



TOXICOLOGICAL REVIEW

OF

BENZO[a]PYRENE

(CAS No. 50-32-8)

**In Support of Summary Information on the
Integrated Risk Information System (IRIS)**

June 2011

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LIST OF ABBREVIATIONS AND ACRONYMS

1-OH-Py	1-hydroxypyrene
3-MC	3-methylcholanthrene
8-OHdG	8-hydroxydeoxyguanosine
ADAFs	age-dependent adjustment factors
AFC	antibody forming cells
Ah	aryl hydrocarbon
AHH	aryl hydrocarbon hydroxylase
AhR	Ah receptor
AhRE	AhR-responsive element
AhRR	AhR repressor
AIC	Akaike's Information Criterion
AKR	aldo-keto reductase
ALT	alanine aminotransferase
Arnt	Ah receptor nuclear translocator
AST	serum aspartate transaminase
ATSDR	Agency for Toxic Substances and Disease Registry
AUC	area under the curve
benzo[a]pyrene	benzo[a]pyrene
BeP	benzo[e]pyrene
BMD	benchmark dose
BMDL	benchmark dose, 95% lower bound
BMDS	Benchmark Dose Software
BMR	benchmark response
BPDE	benzo[a]pyrene-7,8-diol-9,10-epoxide
BPQ	benzo[a]pyrene-7,8-quinone
BRCA	breast cancer antigen
BrdU	bromodeoxyuridine
BSM	benzene-soluble matter
BUN	blood urea nitrogen
CA	chromosomal aberrations
CASRN	Chemical Abstracts Service Registry Number
CAT	chloramphenicol acetyltransferase
CB	carbon black
CDK	cyclin-dependent kinase
CFU-GM	colony forming unit-granulocyte macrophage
CHL	Chinese hamster lung cells
CHO	Chinese hamster ovary cells
CI	confidence interval
CNS	central nervous system
Con A	Concanavalin A
CONSAAM	Conversational SAAM
COX	cyclooxygenase
CPDB	Cancer Potency Database
cSt	centi-Stoke
CTPV	coal tar pitch volatiles
CYP	cytochrome

CYP450	cytochrome P450
dG	deoxyguanosine
dG-N²-BPDE	10β-(deoxyguanosin-N ² -yl)-7β,8α,9α-trihydroxy-7,8,9,10-tetrahydro-benzo[a]pyrene
DHH	dihydrodiol dehydrogenase
DMBA	7,12-dimethylbenzanthracene
DMSO	dimethyl sulfoxide
DNCB	2,4-dinitrochlorobenzene
DRE	dioxin-responsive element
ED	effective dose
EGFR	epidermal growth factor receptor
EH	epoxide hydrolase
ELISA	enzyme-linked immunosorbent assay
EPC	endothelial progenitor cells
EpRE	electrophile (or antioxidant) response element
ER	estrogen receptor
EROD	7-ethoxyresorufin-O-deethylase
ETS	environmental tobacco smoke
Fe₂O₃	ferrous oxide
FEL	frank effect level
Ga₂O₃	gallium oxide
GD	gestational day
GGT	γ-glutamyl transferase
GI	gastrointestinal
GJIC	gap junctional intercellular communication
GLP	good laboratory practice
GM-CSF	granulocyte-macrophage colony stimulating factor
GNMT	glycine N-methyltransferase
GP	glycophorin
GSH	reduced glutathione
GST	glutathione-S-transferase
hAR	human androgen receptor
HED	human equivalent dose
HF	human fibroblasts
HFC	high-frequency cells
HL	human lymphocytes
HPLC	high-performance liquid chromatography
hprt	hypoxanthine guanine phosphoribosyl transferase
Hsp	heat shock protein
IARC	International Agency for Research on Cancer
IC₅₀	half maximal inhibitory concentration
IFN	interferon
Ig	immunoglobulin
IGF	insulin-like growth factor
IHD	ischemic heart disease
IL	interleukin
γ-INF	gamma-interferon
i.p.	intraperitoneal
IRIS	Integrated Risk Information System

i.v.	intravenous
KLH	keyhole limpet hemocyanin
ko	knock-out
LALN	lung-associated lymph nodes
LC-MS	liquid chromatography-mass spectrometry
LDH	lactate dehydrogenase
LH	luteinizing hormone
LOAEL	lowest-observed-adverse-effect level
LPS	lipopolysaccharide
MAP	mitogen-activated protein
MCHC	mean cell hemoglobin concentration
MCP	monocyte-chemoattractant protein
M-CSF	macrophage colony stimulating factor
MGP	manufactured gas plant residue
MLR	mixed lymphocyte response
MMAD	mass median aerodynamic diameter
MN	micronucleus
MOA	mode of action
MPO	myeloperoxidase
NADH	nicotinamide adenine dinucleotide phosphate
NAT	N-acetyl transferase
NER	nucleotide excision repair
NF	naphthoflavone
NK	natural-killer
NMDA	N-methyl-D-aspartate
NO	nitrous oxide
NOAEL	no-observed-adverse-effect level
NQO	NADPH:quinone oxidoreductase
NSAID	non-steroidal anti-inflammatory drug
NTP	National Toxicology Program
OR	odds ratio
PAH	polycyclic aromatic hydrocarbon
PBMC	peripheral blood mononuclear cell
PBPK	physiologically-based pharmacokinetic
PCNA	proliferating cell nuclear antigen
PCR	polymerase chain reaction
PHA	phytohemagglutinin
PHS	prostaglandin H synthase
PMN	polymorphonuclear leukocyte
PND	postnatal day
p.o.	per os
POD	point of departure
Py	pyrene
RBC	red blood cell
RfC	reference concentration
RfD	reference dose
RN	reaction network
ROS	reactive oxygen species
RR	relative risk

RT-PCR	real-time or reverse transcriptase PCR
s.c.	subcutaneous
SAAM	Simulation, Analysis and Modeling
SAM	S-adenosylmethionine
SCC	squamous cell carcinoma
SCE	sister chromatid exchanges
SCE-H	SCE heterogeneity index
SD	standard deviation
SEM	standard error of the mean
SIR	standardized incidence ratio
SLRL	sex-linked recessive lethal
SMR	standardized mortality ratio
SNP	single nucleotide polymorphisms
SPF	specific pathogen-free
SRBC	sheep red blood cell
SSB	single strand break
TCDD	2,3,7,8-tetrachlorodibenzo-p-dioxin
TEF	toxicity (or toxic) equivalency factor
TGF	transforming growth factor
TK	thymidine kinase
TNF	tumor necrosis factor
TPA	12-O-tetradecanoylphorbol-13-acetate
TWA	time-weighted average
UDP	uridine diphosphate
UDPGA	UDP glucuronic acid
UDS	unscheduled DNA synthesis
UF	uncertainty factor
UGT	UDP-dependent glucuronosyltransferase
V_{max}	maximum substrate turnover velocity
vSMC	vascular smooth muscle cell
WBC	white blood cells
WHO	World Health Organization
WT	wild type
WTC	World Trade Center
XP	xeroderma pigmentosum
XPA	xeroderma pigmentosum group A

FOREWORD

The purpose of this Toxicological Review is to provide scientific support and rationale for the hazard and dose-response assessment in IRIS pertaining to chronic exposure to benzo[a]pyrene (benzo[a]pyrene). It is not intended to be a comprehensive treatise on the chemical or toxicological nature of benzo[a]pyrene.

The intent of Section 6, *Major Conclusions in the Characterization of Hazard and Dose Response*, is to present the major conclusions reached in the derivation of the reference dose, reference concentration and cancer assessment, where applicable, and to characterize the overall confidence in the quantitative and qualitative aspects of hazard and dose response by addressing the quality of data and related uncertainties. The discussion is intended to convey the limitations of the assessment and to aid and guide the risk assessor in the ensuing steps of the risk assessment process.

For other general information about this assessment or other questions relating to IRIS, the reader is referred to EPA's IRIS Hotline at (202) 566-1676 (phone), (202) 566-1749 (fax), or hotline.iris@epa.gov (email address).

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1. INTRODUCTION

This document presents background information and justification for the Integrated Risk Information System (IRIS) Summary of the hazard and dose-response assessment of benzo[a]pyrene (benzo[a]pyrene). IRIS Summaries may include oral reference dose (RfD) and inhalation reference concentration (RfC) values for chronic and other exposure durations, and a carcinogenicity assessment.

The RfD and RfC, if derived, provide quantitative information for use in risk assessments for health effects known or assumed to be produced through a nonlinear (presumed threshold) mode of action (MOA). The RfD (expressed in units of mg/kg-day) is defined as an estimate (with uncertainty spanning perhaps an order of magnitude) of a daily exposure to the human population (including sensitive subgroups) that is likely to be without an appreciable risk of deleterious effects during a lifetime. The inhalation RfC (expressed in units of mg/m³) is analogous to the oral RfD, but provides a continuous inhalation exposure estimate. The inhalation RfC considers toxic effects for both the respiratory system (portal-of-entry) and for effects peripheral to the respiratory system (extrarespiratory or systemic effects). Reference values are generally derived for chronic exposures (up to a lifetime), but may also be derived for acute (≤24 hours), short-term (>24 hours up to 30 days), and subchronic (>30 days up to 10% of lifetime) exposure durations, all of which are derived based on an assumption of continuous exposure throughout the duration specified. Unless specified otherwise, the RfD and RfC are derived for chronic exposure duration.

The carcinogenicity assessment provides information on the carcinogenic hazard potential of the substance in question and quantitative estimates of risk from oral and inhalation exposure may be derived. The information includes a weight-of-evidence judgment of the likelihood that the agent is a human carcinogen and the conditions under which the carcinogenic effects may be expressed. Quantitative risk estimates may be derived from the application of a low-dose extrapolation procedure. If derived, the oral slope factor is a plausible upper bound on the estimate of risk per mg/kg-day of oral exposure. Similarly, a plausible inhalation unit risk is an upper bound on the estimate of risk per µg/m³ air breathed.

There is evidence in humans and animal studies, demonstrating an increased incidence of skin tumors with increasing dermal exposure to polycyclic aromatic hydrocarbons (PAHs) mixtures including benzo[a]pyrene or to benzo[a]pyrene alone. Thus this assessment for benzo[a]pyrene derives a dermal slope factor; a quantitative risk estimate that is a plausible upper bound on the estimate of risk per µg/day of dermal exposure.

Development of these hazard identification and dose-response assessments for benzo[a]pyrene has followed the general guidelines for risk assessment as set forth by the National Research Council (NRC, 1983). EPA Guidelines and Risk Assessment Forum Technical Panel Reports that may have been used in the development of this assessment include

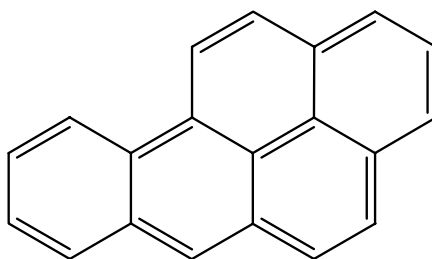
1 the following: *Guidelines for the Health Risk Assessment of Chemical Mixtures* (U.S. EPA,
2 1986a), *Guidelines for Mutagenicity Risk Assessment* (U.S. EPA, 1986b), *Recommendations for*
3 *and Documentation of Biological Values for Use in Risk Assessment* (U.S. EPA, 1988),
4 *Guidelines for Developmental Toxicity Risk Assessment* (U.S. EPA, 1991), *Interim Policy for*
5 *Particle Size and Limit Concentration Issues in Inhalation Toxicity* (U.S. EPA, 1994a), *Methods*
6 *for Derivation of Inhalation Reference Concentrations and Application of Inhalation Dosimetry*
7 (U.S. EPA, 1994b), *Use of the Benchmark Dose Approach in Health Risk Assessment* (U.S. EPA,
8 1995), *Guidelines for Reproductive Toxicity Risk Assessment* (U.S. EPA, 1996), *Guidelines for*
9 *Neurotoxicity Risk Assessment* (U.S. EPA, 1998), *Science Policy Council Handbook: Risk*
10 *Characterization* (U.S. EPA, 2000a), *Benchmark Dose Technical Guidance Document* (U.S.
11 EPA, 2000b), *Supplementary Guidance for Conducting Health Risk Assessment of Chemical*
12 *Mixtures* (U.S. EPA, 2000c), *A Review of the Reference Dose and Reference Concentration*
13 *Processes* (U.S. EPA, 2002), *Guidelines for Carcinogen Risk Assessment* (U.S. EPA, 2005a),
14 *Supplemental Guidance for Assessing Susceptibility from Early-Life Exposure to Carcinogens*
15 (U.S. EPA, 2005b), *Science Policy Council Handbook: Peer Review* (U.S. EPA, 2006a), and *A*
16 *Framework for Assessing Health Risks of Environmental Exposures to Children* (U.S. EPA,
17 2006b).

18 The literature search strategy employed for this compound was based on the Chemical
19 Abstracts Service Registry Number (CASRN) and at least one common name. Any pertinent
20 scientific information submitted by the public to the IRIS Submission Desk was also considered
21 in the development of this document. The relevant literature was reviewed through December,
22 2010.

23

2. CHEMICAL AND PHYSICAL INFORMATION

Benzo[a]pyrene is a five-ring polycyclic aromatic hydrocarbon (PAH) (Figure 2-1). It is a pale yellow crystalline solid with a faint aromatic odor. It is relatively insoluble in water and has low volatility. Benzo[a]pyrene is released to the air from both natural and anthropogenic sources and removed from the atmosphere by photochemical oxidation; reaction with nitrogen oxides, hydroxy and hydroperoxy radicals, ozone, sulfur oxides, and peroxyacetyl nitrate; and dry deposition to land or water. In air, benzo[a]pyrene is predominantly adsorbed to particulates but may also exist as a vapor at high temperatures (NLM, 2010). The structural formula is presented in Figure 2-1. The physical and chemical properties of benzo[a]pyrene are shown in Table 2-1.



Benzo[a]pyrene

Figure 2-1. Structural formula of benzo[a]pyrene.

There is no known commercial use for benzo[a]pyrene and it is only produced as a research chemical. It is found ubiquitously in the environment primarily as a result of incomplete combustion emissions. It is released to the environment via both natural sources (such as forest fires) and anthropogenic sources including stoves/furnaces burning fossil fuels (especially wood and coal), motor vehicle exhaust, cigarettes, and various industrial combustion processes (ATSDR, 1995). Benzo[a]pyrene is also found in soot and coal tars. Mahler et al. (2005) has reported that urban run-off from asphalt-paved car parks treated with coats of coal-tar emulsion seal could account for the majority of PAHs in many watersheds (Mahler *et al.*, 2005). Occupational exposure to PAHs occurs primarily through inhalation and skin contact during the production and use of coal tar and coal tar-derived products, such as roofing tars, creosote and asphalt (IARC, 2010). Chimney sweeping can result in exposure to benzo[a]pyrene contaminated soot (ATSDR, 1995). As shown below in Table 2-2, benzo[a]pyrene exposure can also occur to workers involved in the production of aluminum, coke, graphite, and silicon carbide.

Table 2-1. Physical properties and chemical identity of benzo[a]pyrene

CASRN 50-32-8		
Synonyms	Benzo[d,e,f]chrysene; 3,4-benzopyrene, 3,4-benzpyrene; benz[a]pyrene; benzo[a]pyrene; BP	http://toxnet.nlm.nih.gov/cgi-bin/sis/htmlgen?CHEM
Melting point	179–179.3°C	O’Neil et al. (2001)
Boiling point	310–312°C at 10 mm Hg	O’Neil et al. (2001)
Vapor pressure, at 20°C	5×10^{-7} mm Hg	Verschueren (2001)
Density	1.351 g/cm ³	IARC (1973)
Flashpoint (open cup)	No data	
Water solubility at 25°C	$1.6\text{--}2.3 \times 10^{-3}$ mg/L	ATSDR (1995); Howard and Meylan (1997)
Log K _{ow}	6.04	Verschueren (2001)
Odor threshold	No data	
Molecular weight	252.32	O’Neil et al. (2001)
Conversion factors ^a	1 ppm = 10.32 mg/m ³	Verschueren (2001)
Empirical formula	C ₂₀ H ₁₂	http://toxnet.nlm.nih.gov/cgi-bin/sis/htmlgen?CHEM

^aCalculated based on the ideal gas law, $PV = nRT$ at 25°C: $\text{ppm} = \text{mg/m}^3 \times 24.45 \div \text{molecular weight}$.

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Inhalation

ATSDR (1995) reports average indoor concentrations of benzo[a]pyrene as 0.37 to 1.7 ng/m³ for smokers and 0.27 to 0.58 ng/m³ for non-smokers. Naumova et al. (2002) measured PAHs in 55 nonsmoking residences in three urban areas during June 1999-May 2000. Mean indoor benzo[a]pyrene levels ranged from 0.02 to 0.078 ng/m³. They also reported outdoor benzo[a]pyrene levels ranging from 0.025 to 0.14 ng/m³. They concluded that indoor levels of the 5-7 ring PAHs (such as benzo[a]pyrene) were dominated by outdoor sources and observed an average indoor/outdoor ratio of approximately 0.7. Mitra and Wilson (1992) measured benzo[a]pyrene air levels in Columbus, OH and found elevated indoor levels in homes with smokers. They measured an average outdoor air concentration of 1.38 ng/m³ and indoor concentrations of 0.07 ng/m³ for homes with electrical utilities, 0.91 ng/m³ for homes with gas utilities, 0.80 ng/m³ for homes with gas utilities and a fireplace, 2.75 ng/m³ for homes with gas utilities and smokers, and 1.82 ng/m³ for homes with gas utilities, smokers, and a fireplace. Mitra and Ray (1995) evaluated data on benzo[a]pyrene air levels in Columbus, OH and reported an average of 0.77 ng/m³ inside homes and 0.23 ng/m³ outdoors. Park et al. (2001) measured ambient levels of benzo[a]pyrene in Seabrook, TX during 1995-1996. Based on continuous measurements over this period, they found an average of 0.05 ng/m³ (vapor plus particulate). Parke et al. (2001) also reports average ambient air levels in ng/m³ from other studies conducted earlier as 1.0 for Chicago, 0.19 for Lake Michigan, 0.01 for Chesapeake Bay and 0.02 for Corpus Christie, TX. Petry et al. (1996) conducted personal air sampling during 1992 at 5 workplaces in

Switzerland: carbon anode production, graphite production, silicon carbide production, bitumen paving work, and metal recycling. These data are summarized in Table 2-2.

Santodonato et al. (1981) estimated adult daily intake from inhalation as ranging from 9 to 43 ng/day. EC (2002) reported the following benzo[a]pyrene air levels in Europe during the 1990's: rural areas: 0.1 – 1 ng/m³ and urban areas: 0.5 – 3 ng/m³. They estimated the mean intake via inhalation for an adult non-smoker as 20 ng/day. The data from exposure studies by Naumova et al. (2002) suggest typical inhalation intakes may be lower (probably due in part to the focus on nonsmoker residences). These data suggest that air exposures are typically less than 0.14 ng/m³ which would result in 2 ng/day assuming a 13 m³/day inhalation rate (adult average based on USEPA, 1997).

Table 2-2. Benzo[a]pyrene Concentrations in Air

Setting	Year	n	Concentration (ng/m ³)	reference
Outdoor - Urban				
Los Angeles, CA	1999-2000	19	0.065	Naumova et al., 2002
Houston, TX	1999-2000	21	0.025	Naumova et al., 2002
Elizabeth, NJ	1999-2000	15	0.14	Naumova et al., 2002
Seabrook, TX	1995-1996	NA	0.05	Park et al. 2001
Columbus, OH	1986-1987	8	0.23	Mitra and Ray, 1995
Indoor Residential				
Los Angeles, CA	1999-2000	19	0.078	Naumova et al., 2002
Houston, TX	1999-2000	21	0.020	Naumova et al., 2002
Elizabeth, NJ	1999-2000	15	0.055	Naumova et al., 2002
Columbus, OH	1986-1987	8	0.77	Mitra and Ray, 1995
Columbus, OH		10	0.07 - 2.75	Mitra and Wilson, 1992
Homes with smokers			0.37 – 1.7	ATSDR, 1995
Homes without smokers			0.27 – 0.58	ATSDR, 1995
Occupational:				
Aluminum production			30 - 530	ATSDR, 1995
Coke production			150 – 672 0 8000	ATSDR, 1995 Petry et al., 1996
Carbon anode production - Switzerland	1992	30	1100	Petry et al., 1996
Graphite production - Switzerland	1992	16	83	Petry et al., 1996
SiC production - Switzerland	1992	14	36	Petry et al., 1996
Metal recovery - Switzerland	1992	5	14	Petry et al., 1996
Bitumen paving - Switzerland	1992	9	10	Petry et al., 1996

NA = Not Available

Airborne intake of benzo[a]pyrene in the environment predominantly occurs via inhalation of insoluble carbonaceous particles (e.g. soot, diesel particles) to which organic compounds, such as PAHs, are adsorbed. Reliable, quantitative measurements of the percent

1 absorption of benzo[a]pyrene from insoluble particles are not available; however, studies in
2 experimental animals indicate that benzo[a]pyrene is readily absorbed from carbonaceous
3 particles following inhalation exposure (Gerde et al., 2001; Hood et al., 2000).

4 5 6 *Oral*

7 The processing and cooking of foods is viewed as the dominant pathway of PAH
8 contamination in foods (as reviewed by Bostrom, 2002). Among the cooking methods that lead
9 to PAH contamination are the grilling, roasting and frying of meats. Raw meat, milk, poultry
10 and eggs will normally not contain high levels of PAH due to rapid metabolism of these
11 compounds in the species of origin. However, some marine organisms, such as mussels and
12 lobsters are known to adsorb and accumulate PAH from water, which may be contaminated, for
13 example by oil spills. Vegetables and cereal grains can become contaminated primarily through
14 aerial deposition of PAHs present in the atmosphere (Li 2009).

15 Kazerouni et al. (2001) measured benzo[a]pyrene in a variety of commonly consumed
16 foods collected from grocery stores and restaurants in Maryland (analyzed as a composite from
17 4-6 samples of each food type). The foods were tested after various kinds of cooking. These
18 results are reported in Table 2-3. The concentrations were combined with food consumption
19 data to estimate intake. The intakes of the 228 subjects ranged from approximately 10 to 160
20 ng/d with about 30% in the 40 to 60 ng/day range. The largest contributions to total intake were
21 reported as bread cereal and grain (29%) and grilled/barbecued meats (21%).

22 Kishikawa et al. (2003) measured benzo[a]pyrene levels in cow milk, infant formula and
23 human milk from Japan. They report the following means: cow milk - 0.03 ng/g (n=14), infant
24 formula - 0.05 ng/g (n=3) and human milk - 0.002 (n=51).

25 From the surveys conducted in six EU countries, the mean or national-averaged dietary
26 intake of benzo[a]pyrene for an adult person was estimated in the range 0.05 to 0.29 $\mu\text{g}/\text{day}$
27 (European Commission[EC], 2002). In the UK, average intakes on a $\text{ng kg}^{-1} \text{day}^{-1}$ basis were
28 estimated for the following age groups: adults - 1.6, 15 to 18 years - 1.4, 11 to 14 years - 1.8, 7
29 to 10 years - 2.6, 4 to 6 years - 3.3 and toddlers 3.1 - 3.8. The major contributors were the oils
30 and fats group (50%), cereals (30%) and vegetables (8%) (EC, 2002). The contribution from
31 grilled foods appeared less important in Europe than the U.S. because grilled foods are consumed
32 less often (EC, 2002).

33
34 **Table 2-3. Benzo[a]pyrene Levels in Food**

	Concentration (ng/g)
Meat	
Fried or broiled beef	0.01 - 0.02
Grilled beef	0.09 - 4.9
Fried or broiled chicken	0.08 - 0.48
Grilled chicken	0.39 - 4.57

Fish	0.01 – 0.24
Smoked fish	0.1
Bread	0.1
Breakfast Cereals	0.02 – 0.3
Vegetable Oil	0.02
Eggs	0.03
Cheese	<0.005
Butter	<0.005
Milk	0.02
Fruit	0.01 – 0.17

1 Source: Kazerouni et al., 2001

2
3 Estimates of oral bioavailability from animal studies range from about 30-70% (Ramesh
4 et al, 2001b; Cavret et al., 2003; Hecht et al., 1979). Direct information regarding absorption of
5 benzo[a]pyrene in humans is limited. One study indicated near 100% absorption of
6 benzo[a]pyrene in eight subjects exposed to benzo[a]pyrene through the ingestion of charbroiled
7 meat (Hecht et al., 1979). Other dietary factors likely influence the oral absorption of
8 benzo[a]pyrene. In experimental animals, a high fat diet appears to increase absorption of
9 benzo[a]pyrene whereas a high fiber or protein rich diet appears to decrease absorption
10 (Kawamura et al., 1988; O'Neill et al., 1991; Mirvish et al., 1981).

11
12 *Dermal*

13
14 The general population can be exposed dermally to benzo[a]pyrene when contacting soils
15 or materials which contain benzo[a]pyrene such as soot or tar. Exposure can also occur via the
16 use of dermally applied pharmaceutical products which contain coal tars, including formulations
17 used to treat conditions such as eczema and psoriasis (IARC, 2010).

18 PAHs are commonly found in all types of soils. ATSDR (1995) reported benzo[a]pyrene
19 levels in soil for a variety of settings: 2-1,300 µg/kg in rural areas, 4.6 – 900 µg/kg in
20 agricultural areas and 165-220 µg/kg in urban areas and 14,000-159,000 µg/kg at contaminated
21 sites (before remediation). The soil levels for all land uses appear highly variable. The levels
22 are affected by proximity to roads/combustion sources, use of sewage sludge derived
23 amendments on agricultural lands, particle size and organic carbon content. Wilke (2000)
24 reports that PAH levels in soils have generally increased during the 1900's and that sediment
25 studies suggest some declines may have occurred since the 1970's. An illustration of
26 benzo[a]pyrene levels in soil is presented in Table 2-4.

27
28 **Table 2-4. Levels of benzo[a]pyrene in Soil**

Reference	Location	Land Type	Concentration Mean (µg/kg)
Butler et al, 1984	UK	Urban	1165
Vogt et al. 1987	Norway	Industrial	321
	Norway	Rural	14
Yang et al. 1991	Australia	Residential	363

Maliszewska, 1996	Poland	Agricultural	22
Trapido, 1999	Estonia	Urban	106
	Estonia	Urban	398
	Estonia	Urban	1113
	Estonia	Urban	1224
	Estonia	Rural	6.8
	Estonia	Rural	15
	Estonia	Rural	27
	Estonia	Rural	31
Nam et al., 2008	UK	Rural	46
	Norway	Rural	5.3
Mielke et al. 2001	New Orleans	Urban	276
Nadal et al, 2004	Spain	Industrial-chemical	100
	Spain	Industrial-petrochemical	18
	Spain	Residential	56
	Spain	Rural	22
Maliszewska, 2009	Poland	Agricultural	30
Wilkce, 2000	Various temperate	Arable	18
	Various temperate	Grassland	19
	Various temperate	Forest	39
	Various temperate	Urban	350
	Bangkok	Urban-tropical	5.5
	Brazil	Forest-tropical	0.3

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A number of studies have measured dermal absorption of benzo[a]pyrene from soil (Turkall et al. 2008; Moody et al, 2007; Roy and Singh, 2001; Roy et al, 1998; Wester et al, 1990; Yang et al, 1989). These studies utilize in vitro and in vivo testing in a variety of animal species and in vitro testing with human skin samples. . The absorption percentages of benzo[a]pyrene from soil, as tested in vitro for human skin, ranged from 0.9 to 15% (Moody et al., 2007; Roy et al., 1998; Wester et al., 1990). However, major methodological differences between these studies exist including whether the amount of benzo[a]pyrene left in the skin depot was included as part of the absorbed fraction or whether only benzo[a]pyrene or its metabolites passing into the receptor fluid was quantified.

These studies of benzo[a]pyrene absorption from soil suggest that reduced absorption of benzo[a]pyrene occurs with increasing organic carbon content and clay content of the soils. They also indicate that dermal absorption increases as soil aging decreases (ie. contact time between soil and chemical).

3. TOXICOKINETICS

Benzo[a]pyrene is one of the most extensively studied PAH compounds. Numerous primary reports and secondary reviews are present in the scientific literature that describe the toxicokinetics of benzo[a]pyrene following oral, inhalation, and dermal exposures.

Benzo[a]pyrene is absorbed following exposure by inhalation, oral, and dermal routes. The rate and extent of absorption are dependent upon the exposure medium. For example, bioavailability of benzo[a]pyrene is dependent on vehicle characteristics and adsorption to particles. The presence of benzo[a]pyrene in body fat, blood, liver, and kidney and the presence of benzo[a]pyrene metabolites in serum and excreta indicate wide tissue distribution.

Benzo[a]pyrene metabolism occurs in essentially all tissues, with high metabolic capacity in the liver and significant metabolism in tissues at the portal of entry (lung, skin, and gastrointestinal [GI] tract) and in reproductive tissues. Stable metabolic products identified in body tissues and excreta are very diverse and include phenols, quinones, and dihydrodiols. These classes of metabolites are typically isolated as glucuronide or sulfate ester conjugates in the excreta but can also include glutathione conjugates formed from quinones or intermediary epoxides. The primary route of metabolite elimination is in the feces, particularly following exposure by the inhalation route. To a lesser degree benzo[a]pyrene metabolites are eliminated via urine. Overall, benzo[a]pyrene is eliminated quickly with a biological half-life of several hours.

3.1. ABSORPTION

3.1.1. Inhalation Exposure

3.1.1.1. *Inhalation Exposure in Humans*

The absorption of benzo[a]pyrene is frequently assessed by identification of benzo[a]pyrene metabolites in the urine of people exposed to emissions from combustion processes. Because of the nature of these processes, oral and dermal exposures are likely to accompany exposure through the inhalation route, rendering estimates of inhalation-only exposure rather imprecise. For these reasons, quantitative estimates of absorption via the respiratory tract cannot be derived from these studies. Nevertheless, the observation of benzo[a]pyrene metabolites (as well as DNA adducts) in tissues and excreta of exposed humans provides qualitative evidence for benzo[a]pyrene absorption, at least some of which is likely to be via the respiratory tract.

Becher and Bjørseth (1983) studied urinary excretion of 11 PAHs in highly exposed Norwegian aluminum smelter workers (11 exposed: 7 smokers, 4 nonsmokers; 9 controls: 5 smokers, 4 nonsmokers). The authors compared urinary excretion of parent compound to that of hydroxy-metabolites (determined as parent compound following a chemical reduction procedure) and found that, in the case of benzo[a]pyrene, 92% of the total excreted were

1 metabolites. These values differed widely for the other 10 PAHs, several of which could be
2 detected only following the reduction procedure. Exposed nonsmoking workers excreted 0.104
3 ± 0.154 μg total benzo[a]pyrene per mmol creatinine (range: 0.002–0.37; parent compound plus
4 metabolites) in urine (0.0153 ± 0.016 without one extreme outlayer), while smoking workers
5 excreted 0.025 ± 0.016 $\mu\text{g}/\text{mmol}$ creatinine (range: 0.01–0.063); the difference was not
6 significant. Total PAH excretion in the urine of aluminum plant workers was also higher in
7 nonsmokers than in smokers (6.61 ± 3.59 vs. 5.65 ± 2.31 $\mu\text{g}/\text{mmol}$ creatinine). Air
8 concentrations of PAHs in the aluminum reduction plant were typically $100 \mu\text{g}/\text{m}^3$. The authors
9 concluded that neither high occupational exposure to PAHs nor smoking status provided accurate
10 determinants for PAH body burdens and that interindividual differences in absorption or
11 metabolism played a major role.

12 Grimmer et al. (1994) measured PAH metabolites in the 24-hour urines of four coke oven
13 workers whose exposure to PAHs had been monitored with personal air samplers on
14 4 consecutive workdays. They observed a correlation between benzo[a]pyrene amounts
15 extracted from the sampler filters and benzo[a]pyrene-9,10-dihydrodiol concentrations in urine.
16 Urinary concentrations following similar levels of exposure, however, varied by a factor of about
17 5 among the four workers, which the authors attributed to differences in genetically determined
18 metabolism. One of the central findings in that study was that only a very small fraction of the
19 inhaled benzo[a]pyrene (0.013%) was recovered from urine, suggesting poor pulmonary
20 absorption, poor metabolism, or that urine is not a major route for excretion of benzo[a]pyrene.
21 In the case of phenanthrene and pyrene (Py), percentages recovered from urine were at least
22 fivefold higher.

23 Gündel et al. (2000) studied the urinary excretion of metabolites of eight PAHs, among
24 them benzo[a]pyrene, in 19 workers at a fireproof stone manufacturing plant in Germany, and
25 provided concentrations in the air to which the workers were exposed. In the case of
26 benzo[a]pyrene, the median for personal air samplers was $1.07 \mu\text{g}/\text{m}^3$ (range: 0.043–2.96), and
27 the median for stationary air sampling was $1.31 \mu\text{g}/\text{m}^3$ (range: 0.63–5.41). Other PAH air
28 concentrations ranged from $0.11 \mu\text{g}/\text{m}^3$ (dibenz[a,h]anthracene) to $4.85 \mu\text{g}/\text{m}^3$ (chrysene). The
29 median for urinary excretion of 3-OH-benzo[a]pyrene (the only benzo[a]pyrene metabolite
30 evaluated) was $1.58 \text{ ng}/\text{mmol}$ creatinine (range: 0.34–22.6). This was by far the lowest level of
31 PAH metabolites found in the urine of exposed workers; for comparison, phenanthrene, which
32 showed almost the same median concentration as benzo[a]pyrene in personal air samplers
33 ($1.08 \mu\text{g}/\text{m}^3$), produced a total of $679 \text{ ng}/\text{mmol}$ creatinine in the form of metabolites (range:
34 205–4,700). (The author's values were given as $\mu\text{g}/\text{g}$ creatinine and recalculated using a mol. wt.
35 of 113.12 for creatinine. Values for phenanthrene metabolites were obtained by addition of
36 urinary concentrations of four metabolites.) The authors pointed out that they were not able to
37 detect a correlation between the levels of individual PAH exposures and urinary excretion of
38 related metabolites.

1 Wu et al. (2002) found a statistically significant correlation between *trans-anti-*
2 benzo[a]pyrene-tetrol in the urine of coke oven workers and PAH concentrations in benzene
3 extracts obtained from personal air monitoring devices. These workers were exposed to a variety
4 of PAHs, including benzo[a]pyrene. The results were not influenced by smoking or alcohol
5 consumption habits. However, genetic factors had some influence on urinary *trans-anti-*
6 benzo[a]pyrene-tetrol levels (e.g., workers homozygous for the cytochrome (CYP)1A1 *MspI*
7 variant displayed 27% higher urinary tetrol levels than did workers heterozygous or wild type
8 [WT] for this variant). There was also a statistically significant correlation between urinary
9 levels of *trans-anti*-benzo[a]pyrene-tetrol and 1-hydroxypyrene (1-OH-Py), a metabolite not
10 derived from benzo[a]pyrene, but from Py metabolism that is frequently used for assessment of
11 PAH exposure.

12 Hecht et al. (2003) attempted to establish a procedure for the assessment of PAH
13 exposure by measurement of urinary metabolites of phenanthrene. They compared levels of
14 r-1,t-2,3,c-4-tetrahydroxy-1,2,3,4-tetrahydrophenanthrene to those of 1-OH-Py or
15 r-7,t-8,9,c-10-tetrahydroxy-7,8,9,10-tetrahydrobenzo[a]pyrene (*trans-anti*-benzo[a]pyrene tetrol)
16 in the urine of psoriasis patients treated with coal tar, of coke oven workers, and of smoking or
17 nonsmoking unexposed control persons. They demonstrated statistically significant correlations
18 among all three metabolites in the urine of coke oven workers but not in psoriasis patients,
19 despite the fact that the latter had 13- to 94-fold higher urinary metabolite concentrations. In
20 controls, only *trans-anti*-PheT vs. 1-OH-Py was assessed, and the correlation was statistically
21 significant. The authors emphasized that urinary concentrations of *trans-anti*-benzo[a]pyrene
22 tetrol were 8,000–19,000 times lower than those of r-1,t-2,3,c-4-tetrahydroxy-1,2,3,4-
23 tetrahydrophenanthrene. In a similar attempt, Ariese et al. (1994) tried to establish a correlation
24 between urinary 1-OH-Py and 3-OH-benzo[a]pyrene and found a significant correlation in
25 unexposed controls but not in exposed coke oven workers, who displayed significantly elevated
26 1-OH-Py levels but no corresponding elevation of 3-OH-benzo[a]pyrene.

27 The available data from human exposure studies provide qualitative evidence of
28 benzo[a]pyrene absorption via the respiratory tract and also indicate that, in comparison with
29 other PAHs, benzo[a]pyrene is absorbed in an unpredictable fashion. Most authors appear to
30 assume that, in occupational settings, exposure occurs predominantly by the inhalation route.
31 Occupational inhalation exposure to air contaminated with high levels of benzo[a]pyrene does
32 not necessarily result in correspondingly elevated excretion of benzo[a]pyrene metabolites.
33 These qualitative observations in humans are supported by inhalation and instillation
34 toxicokinetic studies in animals.

35 36 **3.1.1.2. Inhalation Exposure in Animals**

37 Gerde et al. (1993a) conducted a series of studies on the disposition of benzo[a]pyrene in
38 the respiratory tract of dogs. Seven-year-old female beagle dogs (n = 3) were exposed to a bolus

1 of 77 mg aerosolized benzo[a]pyrene crystals (particle size <1 µm) injected directly into the
2 trachea of the animals during a single breath. Blood levels of benzo[a]pyrene in the ascending
3 aorta and right atrium were monitored to evaluate the rate of appearance of benzo[a]pyrene in the
4 systemic circulation. Benzo[a]pyrene concentrations in the blood built up rapidly and peaked at
5 1.8 minutes. Over the 15-minute time period after dosing, approximately 92% of the
6 administered benzo[a]pyrene was cleared from the lungs. The half-life for lung clearance was
7 2.4 minutes. Comparing lung clearance rates for benzo[a]pyrene and the less lipophilic
8 phenanthrene, which is cleared more quickly, the authors concluded that the clearance rate was
9 limited by diffusion through the alveolar septa for highly lipophilic compounds such as
10 benzo[a]pyrene. A half-life of 2 hours was estimated for clearance of absorbed benzo[a]pyrene
11 from the blood. These data demonstrate that absorption of benzo[a]pyrene from the pulmonary
12 portion of the respiratory tract of animals (as opposed to the tracheal, bronchial, and bronchiolar
13 portions) is nearly complete and very rapid.

14 In a second study in this series, Gerde et al. (1993b) determined the disposition of
15 benzo[a]pyrene in the conducting airways by instilling benzo[a]pyrene (dissolved in 20 µL saline
16 and administered as a mist) into a main stem bronchus or distal portion of the trachea of female
17 beagle dogs (n = 4). Benzo[a]pyrene retained in mucus was determined from lavage samples to
18 assess the degree to which benzo[a]pyrene was transferred from the mucus into the bronchial
19 epithelium. Roughly 34% of the benzo[a]pyrene was retained in the mucus collected 1 minute
20 after instillation. Benzo[a]pyrene in the mucus cleared at the same rate as inert particles (90
21 mm/minute), suggesting transport via the mucociliary escalator. The estimated half-life for
22 clearance of benzo[a]pyrene from the mucus to the respiratory epithelium was 9.5 minutes.
23 Therefore, the mucociliary escalator acted like a rather shallow pool for benzo[a]pyrene.
24 Absorption into the airway walls was evaluated by instilling benzo[a]pyrene solutions into the
25 upper bronchial tree and measuring benzo[a]pyrene and metabolites in tissues. Approximately
26 20% of the benzo[a]pyrene dose penetrated into the epithelium of the left and right bronchus,
27 respectively, within 45 minutes and cleared from the main stem bronchi with a half-time of 1.4
28 hours. Benzo[a]pyrene metabolites were also measured in main stem airway segments (trachea
29 and bronchi were dissected into approximately 1-cm-long segments). The percent of
30 benzo[a]pyrene recovered as parent compound was 62 and 33% after 45 minutes and 1.5 hours,
31 respectively. The pattern of metabolites varied across these segments. Benzo[a]pyrene tetrols
32 ranged from 9 to 37% and benzo[a]pyrene-9,10-diol ranged from 3 to 18% of the administered
33 dose. About 8% of the administered dose was covalently bound to tissues. The authors
34 concluded that the significantly higher retention time in the bronchi, as compared to pulmonary
35 epithelium, made the conducting portion of the respiratory tract a possible target of
36 benzo[a]pyrene toxicity.

37 In the third paper of the series, Gerde et al. (1993c) used the results from the previous
38 two studies to evaluate benzo[a]pyrene dosimetry in the respiratory tract. The authors concluded

1 that benzo[a]pyrene uptake occurs via diffusion-limited transport through the lung epithelium.
2 Uptake by the alveolar epithelium took only a few minutes while uptake by the airway
3 epithelium took hours, due to the much thicker air/blood barrier in the conducting airways. This
4 would result in longer residence time in the respiratory tract tissues, where benzo[a]pyrene can
5 be metabolized to reactive metabolites, making the conducting airways an important target for
6 benzo[a]pyrene.

7 This series of studies by Gerde et al. (1993a, b, c) assessed the absorption of
8 benzo[a]pyrene in the respiratory tract using an aerosolized solution of benzo[a]pyrene in saline.
9 However, other studies in animals assessed the bioavailability of benzo[a]pyrene in the lung
10 using benzo[a]pyrene adsorbed onto particle substrates. Gerde et al. (2001) evaluated the
11 bioavailability of diesel soot-adsorbed benzo[a]pyrene in three 1-year-old beagle dogs (n = 3).
12 Soot particles were denuded by toluene extraction and benzo[a]pyrene was adsorbed onto the
13 soot as a surface coating. The dogs were exposed to a single, 220 mL bolus of aerosolized
14 benzo[a]pyrene-coated diesel soot (mass median aerodynamic diameter [MMAD] $1.3 \pm 0.2 \mu\text{m}$),
15 followed by 90 mL of clean air to facilitate delivery of benzo[a]pyrene to the alveolar region,
16 and arterial and venous blood samples were taken at intervals over a 1-hour period. In separate
17 tests, the amount of benzo[a]pyrene deposited was determined to be $36 \pm 20 \mu\text{g}$ (n = 6). The
18 concentration of benzo[a]pyrene in the blood peaked at about 2 minutes and the first half-life of
19 absorption was approximately 4 minutes. Although one dog received approximately seven times
20 the dose of benzo[a]pyrene than the other two, probably due to variability in the aerosol
21 generation technique, the fractional retention of benzo[a]pyrene in the lung was similar in all
22 three dogs, indicating first-order absorption kinetics. The initial absorption was rapid; <10% of
23 the dose remained in the lung after 30 minutes. However, there was a small fraction of
24 benzo[a]pyrene that remained adsorbed to the soot even after 5.6 months in the lungs, when the
25 fraction of material coating the particles had decreased to approximately 16% of what would be a
26 monolayer of benzo[a]pyrene molecules deposited on the soot particles. The authors suggested
27 that the small portion of tightly adsorbed benzo[a]pyrene reflected limited high-energy binding
28 sites that cover only a fraction of the soot particle surface. Benzo[a]pyrene was further released
29 from particles transported to the lymph nodes to approximately 10% of a monolayer coating,
30 which may reflect the more reactive chemical environment provided by alveolar macrophages.
31 Only 30% of the benzo[a]pyrene that remained bound to particles was present as parent
32 compound. Based on these results, most of the adsorbed benzo[a]pyrene was readily released
33 from diesel soot into the systemic circulation, mostly as parent benzo[a]pyrene (with only a
34 minor portion as metabolites), while a small fraction was released from the soot at a much slower
35 rate.

36 Ramesh et al. (2001a) conducted a toxicokinetic study of inhaled benzo[a]pyrene in F344
37 rats. The rats were exposed for a single 4-hour period via nose-only inhalation to aerosol
38 concentrations of 0.1, 1.0, or 2.5 mg/m^3 of benzo[a]pyrene adsorbed to carbon black (CB)

1 particles. The particle size distribution was monodisperse and largely in the respirable range (the
2 reported MMAD was 1.7 μm with a geometric standard deviation [SD] of 0.085 μm), suggesting
3 that the results reflect absorption from the entire lung, since particles of the size distribution used
4 here are expected to be deposited in all respiratory tract regions. Plasma and lung tissue
5 concentrations of benzo[a]pyrene and metabolites were evaluated at 30, 60, 120, and 240
6 minutes postexposure. The plasma benzo[a]pyrene concentration peaked at 1 hour postexposure,
7 and 65% of the inhaled aerosol was cleared from the lung at 2 hours postexposure, presumably at
8 all dose levels, though this was not stated explicitly in the study. There was a significant
9 difference in the time course of plasma levels between male and female rats. Female plasma
10 benzo[a]pyrene levels were about one-third lower at 30 minutes, about 28% higher at 1 hour, and
11 marginally lower than the male levels at later time points. This study is limited by the fact that
12 administered aerosol concentration was reported instead of deposited dose, plasma samples were
13 not collected during the 4-hour exposure period, and the study could not distinguish between
14 absorption from the respiratory tract and mucociliary clearance followed by absorption from the
15 gut.

16 Rapid absorption through the lungs was also shown following intratracheal
17 administration of 1 $\mu\text{g}/\text{kg}$ body weight [^3H]-benzo[a]pyrene dissolved in triethylene glycol in
18 male Sprague-Dawley rats (Weyand and Bevan, 1986). Elimination of radiolabel from the lung
19 was biphasic with half-lives of 5 and 116 minutes. The highest levels of liver radiolabel,
20 equivalent to 21% of the administered dose, were found within 10 minutes after exposure,
21 suggesting rapid absorption from the upper respiratory tract. The authors noted that it was
22 unlikely that the appearance of radiolabel in organs was due to GI tract absorption after
23 mucociliary clearance because the tracheal cannula was left in place for the entire experiment
24 and levels of radioactivity in the stomach increased only slowly. Based on a comparison of
25 benzo[a]pyrene concentrations in the blood following intratracheal administration versus
26 intravenous (i.v.) dosing, the authors calculated the pulmonary bioavailability of benzo[a]pyrene
27 as 57%. A significant degree of metabolism occurred in the lungs (as measured by the
28 concentration of metabolites in lung), suggesting that benzo[a]pyrene absorption into the
29 systemic circulation is limited by first-pass metabolism in the lung.

30 Petridou-Fischer et al. (1988) applied 10 μL aliquots of [^{14}C]-benzo[a]pyrene in a
31 gelatin:saline solution over a 2-hour period to the ethmoid and maxillary nasal turbinates of two
32 female cynomolgus monkeys and four male beagle dogs to assess differences in benzo[a]pyrene
33 disposition in portions of the nose. The dose of benzo[a]pyrene was not provided, but using the
34 total radioactivity administered (93 μCi per animal) and the specific activity of the radiolabeled
35 benzo[a]pyrene that was used (39 mCi/mmol), a total administered dose of 0.6 mg/animal can be
36 calculated. ($98 \mu\text{Ci} \times 1 \text{ mmol}/39 \text{ mCi} \times 1 \text{ mCi}/1,000 \mu\text{Ci} \times 252 \text{ mg benzo[a]pyrene}/\text{mmol} =$
37 0.6 mg/animal .) No radioactivity was found in blood (collected over 2 hours in dogs and 3 hours
38 in monkeys), and very little radioactivity was identified in excreta. Urinary excretion reached a

1 maximum of 0.69% of the administered dose in dogs and 0.07% in monkeys, while in feces a
2 maximum of 6.42% of the administered dose was recovered in dogs and 1.17% in monkeys over
3 a period of 48 hours. These results suggest only limited systemic absorption of benzo[a]pyrene
4 from the nasal turbinates under the test conditions used. The results of this study are in contrast
5 to more traditional inhalation or intratracheal instillation experiments, which have demonstrated
6 significant absorption via other portions of the respiratory tract following inhalation or
7 intratracheal instillation. Little radioactivity was recovered from the mucus, blood, or excreta,
8 suggesting that benzo[a]pyrene and its metabolites were sequestered in the nasal tissues.

9 Several studies demonstrated rapid desorption of benzo[a]pyrene bound to particles.
10 However, the adsorption matrix can impact the bioavailability of inhaled benzo[a]pyrene. Leung
11 et al. (1988) reported that benzo[a]pyrene adsorbed on diesel soot particles and suspended in
12 buffer was transferred to microsomes *in vitro* far less efficiently than free benzo[a]pyrene. The
13 authors concluded that benzo[a]pyrene transfer to microsomes depends on the lipid content of
14 the particles rather than on protein in the medium. Microsomes may enhance the slow transfer of
15 benzo[a]pyrene from particles, which may become an important source of exposure with long
16 retention times. No metabolism of benzo[a]pyrene adsorbed to particles was detected in this
17 study, suggesting that particle-bound benzo[a]pyrene serves as a slow release source of
18 benzo[a]pyrene to the respiratory tract. These findings are consistent with the report by Gerde et
19 al. (2001) that a slow-release phase follows the initial rapid desorption of benzo[a]pyrene from
20 diesel soot. Furthermore, Gerde and Scholander (1989) found in an *in vitro* study that the release
21 from carrier particles was the rate-limiting step in the absorption of benzo[a]pyrene by the
22 bronchial epithelium.

23 The absorption of inhaled benzo[a]pyrene may also be affected by the size of the particle
24 to which it is adsorbed. Elimination of benzo[a]pyrene from the lungs of mice was investigated
25 following intratracheal administration of benzo[a]pyrene crystals (0.5–1.0 μm in size) or
26 benzo[a]pyrene-coated carbon particles (0.5–1.0 μm or 15–30 μm) (Creasia et al., 1976).
27 Approximately 50% of the benzo[a]pyrene crystals were cleared within 1.5 hours and >95%
28 were cleared within 24 hours of treatment. In contrast, benzo[a]pyrene clearance was
29 approximately 50% after 36 hours following exposure to benzo[a]pyrene absorbed onto small
30 carbon particles. With larger carbon particles, desorption was even slower, requiring 4–5 days to
31 release 50% of bound benzo[a]pyrene. The difference in absorption rate for small versus large
32 carbon particles suggests an influence of particle area surface on the rate of desorption.

33 The deposition, retention, and bioavailability of benzo[a]pyrene as a pure aerosol or
34 adsorbed onto gallium oxide ($^{67}\text{Ga}_2\text{O}_3$) particles was investigated by Sun et al. (1982). Male and
35 female F344 rats were exposed nose-only for 30 minutes to atmospheres containing 0.6 mg/m^3
36 [^3H]-benzo[a]pyrene adsorbed onto $^{67}\text{Ga}_2\text{O}_3$ or to 1.0 $\mu\text{g}/\text{L}$ neat (i.e., the pure chemical) [^3H]-
37 benzo[a]pyrene (MMADs were reported as approximately 0.1 μm in both cases). Radiolabel was
38 detected in the esophagus, stomach, small and large intestines, cecum, liver, kidney and blood;

1 however, the time to reach peak tissue concentrations differed considerably between the two
2 exposure regimens. Based on the total amount excreted, 22% of the inhaled dose of
3 benzo[a]pyrene on Ga₂O₃ was released over 16 days, but only 10% of the inhaled dose of pure
4 benzo[a]pyrene was released. Since the amount excreted can reflect differences in absorption,
5 i.e., uptake via pulmonary epithelium vs. ingestion of cleared particles, and hence alternative
6 tissue distribution and metabolism, this result cannot be used quantitatively to estimate
7 bioavailability of inhaled benzo[a]pyrene. The study established that benzo[a]pyrene adsorbed
8 to particles had a longer respiratory tract retention period. For benzo[a]pyrene coated on Ga₂O₃,
9 1 day was required to clear 90% of the [³H]-benzo[a]pyrene lung and trachea burdens that were
10 present 30 minutes after exposure. In contrast, only 1.5 and 4 hours were required to clear 90%
11 of pure benzo[a]pyrene burdens from the lung and trachea, respectively. A different effect was
12 observed in the nose, where clearance of 90% benzo[a]pyrene coated on Ga₂O₃ required 7 hours
13 as compared to 20 hours for pure benzo[a]pyrene aerosol. Thereafter, clearance curves for
14 particle-bound and neat benzo[a]pyrene were similar; the authors attributed this to the absence of
15 a mucociliary escalator in the nose. Inhalation of benzo[a]pyrene on Ga₂O₃ also increased the
16 dose of the compound and its metabolites to the stomach, liver, and kidneys, which the authors
17 suggest may have resulted from mucociliary clearance with subsequent ingestion.

18 benzo[a]pyrene absorption from the respiratory tract may also be affected by the
19 characteristics of the vehicle. Following intratracheal administration in hydrophilic triethylene
20 glycol, approximately 70% of the benzo[a]pyrene administered was excreted within 6 hours by
21 male Sprague-Dawley rats (Bevan and Ulman, 1991). In contrast, 58.4% and 56.2% of
22 administered benzo[a]pyrene were excreted within a 6-hour period when the lipophilic solvents
23 ethyl laurate and tricapylin, respectively, were the vehicles.

24 Pregnant Wistar rats were exposed head-only for 95 minutes on gestational day (GD) 17
25 to 200, 350, 500, 650, or 800 mg/m³ of a [³H]-benzo[a]pyrene microcondensate generated from
26 heated pure material (Withey et al., 1993). Particle sizes ranged from 0.61 to 0.88 μm MMAD.
27 Immediately following exposure (no time estimate was provided), blood radiolabel
28 concentrations varied >eightfold over the fourfold dose range (2.66 ± 0.51 vs. 21.96 ± 1.37 μg/g
29 at lowest and highest dose, respectively). Six hours after exposure, blood radiolabel
30 concentrations had decreased two- to fourfold from the earlier observation but retained a >10-
31 fold difference over the dose range (0.74 ± 0.12 vs. 9.56 ± 2.1 μg/g). Therefore, the difference in
32 the ratio of blood levels to dose range was not likely due to an initial rapid phase of
33 benzo[a]pyrene absorption only at high exposures. However, the study authors did not provide
34 an explanation for this finding. Radiolabel was detected at 0 and 6 hours in all maternal tissues
35 and in fetuses examined, indicating that systemic absorption had occurred.

36 Hood et al. (2000) exposed male and timed pregnant female Sprague-Dawley rats to
37 100 μg/m³ benzo[a]pyrene-CB aerosol (nose only for 4 hours on GD 15), and collected blood
38 was analyzed at 30, 60, 120, 180, and 240 minutes for concentrations of benzo[a]pyrene. The

1 benzo[a]pyrene aerosol particle distribution was trimodal with a significant portion of particles
2 <1 µm in size. The particle size distribution was expected to result in deposition across all
3 regions of the respiratory tract, including the pulmonary region. Following exposure, blood
4 benzo[a]pyrene levels peaked at 30 minutes (the first time point reported), with females
5 exhibiting approximately a 1.7-fold higher peak concentration than males. At later time points,
6 the female benzo[a]pyrene blood concentrations were similar to those observed in males. By
7 240 minutes postexposure benzo[a]pyrene in blood had diminished to <5% of the peak level.
8 The authors did not report a mass balance to allow for the determination of the percentage of
9 dose that was absorbed. Furthermore, benzo[a]pyrene metabolites were not measured.
10 Nevertheless, the appearance of benzo[a]pyrene in the blood at the earliest time point measured
11 is consistent with the conclusion that benzo[a]pyrene is rapidly absorbed from the respiratory
12 tract. Although the peak came earlier than in the Ramesh et al. (2001a) study, the same trend of
13 gender differences was observed, with females displaying higher peak blood levels than males.

14 In summary, although quantitative estimates of human lung absorption are not available,
15 existing toxicity and biological monitoring studies suggest that benzo[a]pyrene is absorbed in the
16 respiratory tract, albeit rather poorly, following inhalation exposure of humans. The evidence
17 suggests, however, that in humans it is difficult to establish a relationship between
18 benzo[a]pyrene exposure and urinary excretion of its metabolites due to large interindividual
19 variation, most likely the result of different genetic makeups and varying background exposures.
20 Numerous controlled studies indicate that benzo[a]pyrene is well absorbed in animals following
21 inhalation or intratracheal instillation. In general, the animal studies show that benzo[a]pyrene is
22 absorbed rapidly (within minutes) and extensively. The rate of absorption varies across regions
23 of the respiratory tract, with more rapid absorption in the pulmonary regions and slower
24 absorption in the conducting airways and nose. In some studies in rats, blood benzo[a]pyrene
25 peak levels at early exposure time points differed between females and males, but leveled out at
26 later time points. Quantitative estimates of benzo[a]pyrene absorption from the respiratory tract
27 are difficult to derive because the contribution of absorption from the GI tract following
28 mucociliary clearance and metabolism of benzo[a]pyrene in the respiratory tract itself are often
29 difficult to determine. Another complication in interpreting these studies is that benzo[a]pyrene
30 absorption from the lung depends on the characteristics of the exposure vehicle or the nature of
31 the particle to which benzo[a]pyrene is adsorbed. In general the data indicate that
32 benzo[a]pyrene is released to a greater extent from hydrophilic vehicles than from lipophilic
33 solvents; particle-bound benzo[a]pyrene is released more slowly than the neat compound; and
34 desorption from large particles is slower than from smaller particles. Because much of the
35 environmental benzo[a]pyrene is adsorbed onto particles of other materials, the effect of the
36 carrier particle is highly relevant to environmental exposures.

38 **3.1.2. Oral Exposure**

1 **3.1.2.1. Oral Exposure in Humans**

2 In a study with eight volunteers who ingested broiled meat containing approximately
3 8.6 µg of benzo[a]pyrene, the concentration of benzo[a]pyrene in feces was below detection
4 limits (<0.1 µg/person) (Hecht et al., 1979). Although the analytical method used in this study
5 assessed only parent compound, not fecal metabolites, the result can be interpreted as indicating
6 that the ingested benzo[a]pyrene was absorbed completely from the GI tract. In addition, studies
7 were conducted to assess DNA adduct levels or benzo[a]pyrene metabolites in humans exposed
8 to PAHs by the oral route. In general, these human dietary studies are not adequate to develop
9 quantitative estimates of oral bioavailability; in one case no measurable relationship between
10 benzo[a]pyrene intake and internal dose measure was found (Scherer et al., 2000).

11 **3.1.2.2. Oral Exposure in Animals**

12 The bioavailability of benzo[a]pyrene was evaluated in F344 rats dosed by gavage with
13 100 mg/kg benzo[a]pyrene dissolved in peanut oil and sacrificed 0, 0.5, 1.0, 2.0, 4.0, 8.0, 24, 48,
14 or 72 hours after dosing (Ramesh et al., 2001b). Blood, liver, reproductive tissues, urine and
15 feces were analyzed for benzo[a]pyrene and metabolites. Plasma benzo[a]pyrene levels peaked
16 at 8 hours and fecal levels at 2.4 hours postexposure. Lipophilic metabolites of benzo[a]pyrene
17 peaked at 2 hours in liver, 8 hours in feces, 24 hours in blood and lung, and 48 hours
18 postexposure in urine. Water-soluble metabolites reached their maxima at 4 hours in liver and
19 lung, 8 hours in blood and feces, and 48 hours in urine. Some of the disposition patterns
20 displayed minor peaks at earlier time points. Based on comparison with plasma levels following
21 i.v. injection, the oral bioavailability was estimated by the authors as approximately 40%;
22 however, the details of this determination were not presented.

23 Foth et al. (1988) conducted several experiments to determine the oral bioavailability of
24 benzo[a]pyrene. In male Sprague Dawley rats, the areas under the blood concentration-time
25 curve (AUCs) following oral versus i.v. bolus doses of benzo[a]pyrene (dissolved in Krebs
26 Ringer buffer with 4% bovine serum albumin) were compared. The oral bioavailability was
27 estimated as 7.8 and 11.5% for doses of 3.2 and 4.0 nmol [³H]-benzo[a]pyrene per rat
28 (approximately 1.8–2.7 and 2.2–3.4 µg/kg, respectively, calculated as 3.2–4 nmol/rat ×
29 0.252 µg/nmol ÷ (0.30–0.46) kg body weight as stated by the authors). This result may reflect
30 limited systemic absorption of benzo[a]pyrene at low doses. In contrast to this result, analysis of
31 benzo[a]pyrene concentrations in arterial blood and bile after continuous intraduodenal infusion
32 of radiolabeled benzo[a]pyrene (in the same vehicle as above) showed that approximately 40%
33 of the administered dose was absorbed by the duodenum over a 240-minute period (Foth et al.,
34 1988). In a similar experimental design, bile- and duodenum-cannulated male Sprague-Dawley
35 rats were given [³H]-benzo[a]pyrene in corn oil with and without exogenous bile (Rahman et al.,
36 1986). The absorption of benzo[a]pyrene was estimated from the cumulative recovery of
37 radioactivity in the bile and urine over 24 hours. This study showed that absorption of
38

1 benzo[a]pyrene was enhanced by bile as absorption in the presence of endogenous bile only was
2 22.9% of that when exogenous bile was administered.

3 Cavret et al. (2003) reported that absorption of benzo[a]pyrene (as measured by the
4 percentage of orally administered radioactivity appearing in the portal blood in 24 hours) was
5 30.5% in pigs fed 1 L of 4% fat milk containing 235 µg/L [¹⁴C]-benzo[a]pyrene (this
6 corresponds to an oral dose of approximately 6 µg/kg based on the reported body weight of 40
7 kg). The level of radioactivity increased rapidly between 1 and 6 hours, with maximum uptake
8 between 3 and 6 hours after dosing.

9 In male F344 rats administered [¹⁴C]-benzo[a]pyrene in peanut oil via gavage at doses
10 from 0.04 to 4.0 µmol/rat (approximately 0.03–0.04 mg/kg and 3.4–4 mg/kg, respectively,
11 calculated as 0.04–4 µmol/rat × 0.252 mg/µmol ÷ 0.25–0.30 kg body weight as stated by the
12 authors) approximately 85% of the radiolabel was recovered in the feces and 1–3% in the urine
13 after 168 hours (Hecht et al., 1979). Because radiolabel in feces may represent unabsorbed as
14 well as absorbed parent compound that is subsequently eliminated via biliary excretion,
15 bioavailability cannot be estimated from this study. However, the percent of radioactivity
16 recovered as parent benzo[a]pyrene was small (ranging from 6 to 13% of the administered
17 radioactivity), suggesting that a minimum of 73% of the administered dose was absorbed (i.e.,
18 total in urine + total in feces – feces as benzo[a]pyrene).

19 Dietary matrices may have an impact on the absorption of benzo[a]pyrene from the GI
20 tract. For example, intestinal absorption of benzo[a]pyrene was enhanced in rats when the
21 compound was solubilized in lipophilic compounds such as triolein, soybean oil, and high-fat
22 diets, as compared with fiber- or protein-rich diets (O'Neill et al., 1991; Kawamura et al., 1988).
23 This may be relevant for the absorption of benzo[a]pyrene from charbroiled meats and other fatty
24 foods.

25 O'Neill et al. (1990) assessed the intestinal absorption of [¹⁴C]-benzo[a]pyrene given to
26 rats by gavage in olive oil with regular rat chow or with low-fat diets high or low in either fiber
27 or beef protein (used to represent human diets). Benzo[a]pyrene and its metabolites were
28 recovered from feces, where they had been trapped by microcapsules given by gavage 2 hours
29 prior to benzo[a]pyrene. Benzo[a]pyrene was absorbed from rat chow differently than from
30 representative human diets. Dietary fiber decreased the availability of benzo[a]pyrene, as
31 evidenced by the appearance of lower metabolite amounts in the GI tract, while the beef-
32 enriched diet affected absorption to result in increased formation of 1,6- and 3,6-benzo[a]pyrene
33 diones. Urinary excretion of benzo[a]pyrene was decreased in rats given the high fiber diet but
34 not the beef-enriched diet. The total amount of benzo[a]pyrene excreted in feces and the
35 feces/urine ratio were increased by the high-fiber diet but not by the beef-enriched diet. These
36 results indicated that bioavailability of benzo[a]pyrene from the GI tract is affected by the type
37 of diet and that bioavailability studies in animals, using typical laboratory animal chow, may not
38 appropriately model the situation with varied human diets.

1 The potential influence of diet on PAH bioavailability was investigated also by Wu et al.
2 (1994). Female mice were fed either gel or powder diets containing coal tar with detectable
3 levels of benzo[a]pyrene, Py, and other PAHs. Urine samples were collected on the first,
4 seventh, and fourteenth day of treatment. Measurement of the amount of the Py metabolite 1-
5 OH-Py in the urine showed that the diet matrix did not influence the bioavailability of the PAHs.
6 Stavric and Klassen (1994) administered radiolabeled benzo[a]pyrene dissolved in various
7 vehicles (water, corn oil, liquid paraffin, or 50% ethanol) by gavage and monitored intestinal
8 absorption in bile-cannulated rats. The animals were fed diets with or without added carbon
9 particles and typical food components, such as quercetin or chlorogenic acid. They observed that
10 aqueous vehicles, quercetin, chlorogenic acid, or carbon particles reduced biliary excretion of
11 benzo[a]pyrene, while lipid media such as corn oil increased it strongly. The authors postulated
12 that absorption of benzo[a]pyrene from food was affected by its solubility in the vehicle, by
13 physical adsorption, and/or by adduction of benzo[a]pyrene to certain food ingredients. On the
14 other hand, Mirvish et al. (1981) observed that varying the corn oil content of a synthetic diet
15 containing 100 µg/g benzo[a]pyrene had little influence on fecal excretion of unmetabolized
16 benzo[a]pyrene. However, addition of 5% wheat bran to the synthetic diet, or using standard lab
17 chow, increased the fecal excretion of parent compound 13-fold. They suggested that the
18 insoluble dietary fiber sequestered benzo[a]pyrene in the GI tract.

19 In summary, absorption of ingested benzo[a]pyrene was demonstrated qualitatively in
20 exposed humans by the excretion of metabolites or the presence of DNA adducts. However,
21 these studies are not sufficient to determine the rate and extent of absorption from the GI tract in
22 humans. Animal studies have produced variable results, in part due to different study designs.
23 Standard approaches in animal studies suggest that the oral bioavailability ranges from 10 to
24 40%. However, some studies have indicated that the standard diets of laboratory animals may
25 not model the human oral exposure to benzo[a]pyrene appropriately. No data on species or
26 gender-based differences in absorption were identified.

27 28 **3.1.3. Dermal Exposure**

29 Several studies in humans and experimental animals have investigated the dermal
30 absorption of benzo[a]pyrene. Benzo[a]pyrene metabolites or DNA adducts were measured in
31 humans exposed dermally to benzo[a]pyrene-containing mixtures in biological monitoring
32 studies. These studies provide only qualitative support for assessing the rate and degree of
33 dermal absorption of benzo[a]pyrene through human skin. However, some studies provide
34 quantitative information on the degree of benzo[a]pyrene absorption through the skin in
35 volunteers or in explanted viable skin samples from tissue donors.

36 37 **3.1.3.1. Dermal Exposure in Humans**

1 Van Rooij et al. (1993) demonstrated differences in absorption rates of PAHs in coal tar
2 ointment at various skin sites in volunteers. A dose of 2.5 mg/cm² of coal tar ointment, which
3 consisted of 10% coal tar in a vehicle of zinc oxide paste, was applied to 24 cm² of skin at the
4 forehead, shoulder, volar forearm, palmar side of the hand, groin, or ankle and allowed to stand
5 for 45 minutes before removing the residue. Dermal absorption of PAH was determined through
6 measuring the disappearance of PAH fluorescence from the skin surface. Absorption rate
7 constants ranged from 0.036 to 0.135/hour, across application sites, suggesting that 20–56% of
8 the dose would be absorbed within 6 hours. A 69% difference in dermal absorption rates
9 between anatomical sites was reported, while only a 7% difference between individual
10 volunteers was observed. The skin on the shoulder absorbed the greatest dose of PAH, followed
11 by the forehead, forearm, and groin, with the ankles and palms absorbing the least amount.
12 There was, however, no correlation between anatomical site of exposure and excretion of 1-OH-
13 Py in urine. The authors estimated that 0.3–1.4% of the PAH dose (assessed as 1-OH-Py)
14 became systemically available, although systemic measurement of benzo[a]pyrene or its
15 metabolites was not reported.

16 Quantitative comparisons of dermal penetration of human skin and other mammalian
17 species have been conducted. Kao et al. (1985) compared benzo[a]pyrene permeation through
18 skin in short-term organ cultures using skin harvested from mice, rats, rabbits, guinea pigs,
19 marmosets, and human donors. Two test systems were used, a dynamic one where skin samples
20 were held on top of chambers flushed with fresh organ culture medium at a constant rate and a
21 static one where skin samples were incubated on a filter disk in a culture dish over culture
22 medium at 36°C. The culture medium was a modified minimal essential medium with Earle's
23 salts and 10% fetal calf serum. In addition, all experiments were conducted with fresh,
24 metabolically viable skin and with metabolically nonviable skin (previously frozen or poisoned
25 with cyanide). Using the static system, [³H]-benzo[a]pyrene dissolved in acetone was applied to
26 full-thickness skin samples (2.5 µg/cm²) and medium collected after 24 hours to be assayed for
27 [³H]-benzo[a]pyrene and metabolites. The overall penetration rate of benzo[a]pyrene was about
28 2.6% of the dose in 24 hours from viable human skin and only about 0.5% from nonviable skin.
29 After 24 hours penetration through viable skin, 52% of the radioactivity in medium consisted of
30 water-soluble benzo[a]pyrene metabolites and 18% was parent compound. By contrast, after
31 penetrating through nonviable skin, 90% of the recovered radioactivity was parent compound,
32 and the ratio of water- to lipid-soluble metabolites was much lower. Results in marmoset, rat,
33 and rabbit were similar. Skin from the mouse allowed more than 10% of the dose to penetrate,
34 while that of guinea pig let only a negligible percentage of the dose penetrate. In all species,
35 metabolism was an important determinant of permeation, with very low rates observed in
36 nonviable skin.

37 Using the dynamic test system, Kao et al. (1985) studied the influence of dermal
38 metabolism on the rate of penetration of benzo[a]pyrene in more detail. Comparing rat to mouse

1 skin they again found a 10-fold difference in the amount penetrating in 16 hours through viable
2 skin, approximately 0.7% of the dose in rat skin vs. approximately 7% in murine skin, but most
3 of this species difference disappeared when previously frozen skin was used or potassium
4 cyanide was added to the medium to destroy metabolic capability. An additional factor was
5 responsiveness to aryl hydrocarbon (Ah)-receptor agonists: dermal penetration in responsive
6 mice given an inducing dose of 3-methylcholanthrene (3-MC) was more than twice that in
7 noninduced Ah-responsive mice, and the latter was similar to nonresponsive mice (see Section
8 3.3 for further discussion of Ah-responsiveness). These results show that functional enzyme
9 systems facilitate penetration of benzo[a]pyrene or its metabolites.

10 Wester et al. (1990) compared skin penetration of [¹⁴C]-benzo[a]pyrene dissolved in
11 acetone versus benzo[a]pyrene adsorbed onto soil using skin from human cadavers. Skin was
12 dermatomed to 500 μm and stored in medium at 4°C to preserve viability. The authors used a
13 dynamic system with undiluted human serum as the receptor fluid and 24-hour exposure.
14 Radiolabeled benzo[a]pyrene was applied to the skin, and concentrations of radioactivity in the
15 receptor fluid, skin, and surface skin wash were determined. For benzo[a]pyrene applied in
16 acetone, 23.7% of the applied dose was recovered in the skin, 0.09% was recovered in the
17 receptor fluid, and 53.0% was recovered in the surface wash. The amount of radiolabel
18 recovered from exposure to benzo[a]pyrene in soil was 1.4% of the dose in skin and 0.01% in
19 receptor fluid, indicating that the exposure matrix greatly impacted dermal absorption. The
20 considerable difference in penetration through human skin observed in this study (only 0.09% of
21 the dose per 24 hours) and that of Kao et al. (1985) (2.6% of the dose) is at least in part a result
22 of differences in experimental design, such as full thickness (approximately 1.5 mm) vs.
23 dermatomed (0.5 mm) skin and synthetic receptor fluid with 10% serum vs. pure human serum.
24 Together, these studies suggest that benzo[a]pyrene is absorbed into human skin but does not
25 penetrate through the skin rapidly.

26 In a second part of the same study, Wester et al. (1990) also evaluated the dermal
27 penetration of benzo[a]pyrene in female rhesus monkeys *in vivo*. Radiolabeled benzo[a]pyrene
28 was applied to a 12 cm² area of the abdominal skin that was protected by a nonocclusive cover.
29 The material was maintained on the skin for 24 hours, after which time the skin area was
30 washed. Urine was collected from the animals during the initial 24-hour exposure period and 6
31 days after. Skin penetration was determined as the ratio of urinary radiolabel for topically
32 exposed animals compared to monkeys administered the same dose by *i.v.* injection. When
33 benzo[a]pyrene was dissolved in acetone, 51% of the applied dose was absorbed as compared to
34 13.2% when benzo[a]pyrene was applied in soil. These results further stress the fact that the
35 dermal absorption of benzo[a]pyrene depends on the vehicle of administration (pure substance
36 vs. contaminated environmental material).

37 Also using human tissues, van der Bijl and van Eyk (1999) compared the mean flux of
38 benzo[a]pyrene across vaginal and buccal mucosa samples from human donors. No significant

1 difference between the two tissue types was observed, and steady-state flux was very low,
2 approximately 0.01% of the dose per $\text{cm}^2/\text{minute}$ ($400 \text{ cpm}/\text{cm}^2/\text{minute}$ in a figure in the paper,
3 or $4,000 \text{ dpm}$ [assuming 10% [^3H]-liquid scintillation counting efficiency] out of a $17.33 \mu\text{Ci}$
4 dose, or $3.8 \times 10^7 \text{ dpm}$, equals about 0.01% of the dose/ $\text{cm}^2/\text{minute}$). These results indicate that
5 benzo[a]pyrene can be absorbed from and metabolized by the female reproductive tract.
6 However, the results cannot be compared to those obtained with human skin. First, there was the
7 difference in absorbing surface, namely, the quasi-dry, mainly lipophilic stratum corneum vs.
8 fresh epithelium. Second, there were also fundamental differences in experimental design:
9 although van der Bijl and van Eyk (1999) used a dynamic system, the tissues had been frozen
10 previously, benzo[a]pyrene was administered in aqueous solution in a large compartment on top
11 of the tissue sample, receptor fluid was a simple buffer, and incubation was performed at 20°C .

12 Potter et al. (1999) also demonstrated that the exposure matrix can affect the dermal
13 absorption of benzo[a]pyrene in mice in vivo as well as in human skin in vitro. In particular, the
14 uptake of benzo[a]pyrene decreased as the viscosity of the oil product used as vehicle increased.
15 Although mouse skin absorbed more radiolabel than human skin, the trend of decreasing
16 absorption with increasing viscosity found in the mouse was also present in human skin.
17 Vehicles tested included mineral oils (32–198 centi-Stoke [cSt], measure of viscosity), residual
18 aromatic extracts (5,160–5,400 cSt), and bitumens ($0.65\text{--}69 \times 10^6 \text{ cSt}$). Most likely, differences
19 in diffusion coefficients for benzo[a]pyrene in the various vehicles would adequately describe
20 the differences in dermal absorption.

21 22 **3.1.3.2. Dermal Exposure in Animals**

23 Yang et al. (1989) compared dermal absorption of benzo[a]pyrene in crude oil adsorbed
24 to soil (particle size of $150 \mu\text{m}$ or less) versus benzo[a]pyrene in the crude oil alone. In the in
25 vivo portion of the study, test materials spiked with 100 ppm [^3H]-benzo[a]pyrene were placed
26 over a 7-cm^2 portion of shaved dorsal skin of female Sprague-Dawley rats. Urine and feces were
27 collected daily over 4 days, and at the end of the experiment animals were sacrificed and
28 radioactivity in tissues was determined. The total percent of the absorbed dose recovered in
29 excreta was greater for benzo[a]pyrene in crude oil (35.3%) than oil adsorbed to soil
30 (approximately 9.2%). While the latter number refers to soil applied to the skin as a monolayer,
31 when six times the amount of soil, and thus a sixfold dose, was applied, the absolute amount of
32 benzo[a]pyrene absorbed remained virtually the same. The authors also found that using viable
33 skin samples in vitro gave almost identical results to those obtained in vivo.

34 Ng et al. (1992) examined the percutaneous absorption of radiolabeled benzo[a]pyrene in
35 the hairless guinea pig. A single dose of $28 \mu\text{g}$ benzo[a]pyrene dissolved in $50 \mu\text{L}$ acetone was
36 applied to 4 cm^2 of dorsal skin and covered with a protective pad for 24 hours. Urine and feces
37 were collected at 6 and 12 hours and then daily for the next 7 days postexposure. Approximately
38 34% of the radiolabel was absorbed and eliminated in 24 hours. Most excretion had occurred by

1 day 3 and then continued at a lower rate to reach about 73% by day 7. Benzo[a]pyrene in the
2 skin wash accounted for 11% of the applied dose. Comparison with the amount excreted
3 following intramuscular injection (which reached about 85% in 7 days) suggested that the dermal
4 bioavailability of benzo[a]pyrene was high (about 85%). Ng et al. (1992) noted that, in an in
5 vitro study, only metabolites were present in the receptor solution, indicating that metabolism in
6 the skin preceded systemic absorption. This is consistent with the findings of Kao et al. (1985)
7 that dermal absorption may be aided by a high metabolic capacity of the skin but leaves open the
8 question how much of the reactive metabolites escape from the dermal compartment.

9 In an earlier study, Kao et al. (1984) used viable mouse skin to study the dose
10 dependence of dermal absorption of benzo[a]pyrene. They administered 1, 2, 4, and 6 μg
11 benzo[a]pyrene per cm^2 full-thickness, shaved skin incubated at 36°C for 24 hours. The
12 percentage of absorbed benzo[a]pyrene dose decreased with increasing dose: 24, 17, 12, and 7%
13 with 1, 2, 4, and 6 $\mu\text{g}/\text{cm}^2$, respectively. The authors also found that, with doses of 4 and
14 6 $\mu\text{g}/\text{cm}^2$, the total amount of penetrated benzo[a]pyrene-derived radioactivity remained similar,
15 indicating saturation of the skin's absorption and metabolic capacity. When the donor mice were
16 pretreated with 2,3,7,8-tetrachlorodibenzo-p-dioxin (TCDD) to induce cytochrome P450
17 (CYP450) enzymes in the skin, amounts of penetrated benzo[a]pyrene increased to 38, 33, 30,
18 and 19% of the dose, respectively. In addition to the increased rate of absorption, a shift in
19 metabolic pattern was observed among the benzo[a]pyrene metabolites in the medium. CYP450
20 induction caused a large increase in metabolite conjugates at the expense of polar metabolites
21 and benzo[a]pyrene diols, and a strong increase in covalent binding of benzo[a]pyrene to skin
22 DNA.

23 Kao et al. (1988) also investigated the influence of skin hair on the rate of benzo[a]pyrene
24 penetration. Using the same dynamic system, six strains of haired and two strains of hairless
25 mice were administered a dose of 1 $\mu\text{g}/\text{cm}^2$ benzo[a]pyrene. After 16 hours of incubation,
26 between 4.4 and 9.4% of the dose had penetrated through viable skin of haired mice but only 2–
27 2.9% of the dose through skin of hairless mice. Since the authors found that permeation of
28 testosterone, which was used as a comparison compound with similar physiochemical properties
29 as benzo[a]pyrene, was the same in haired and hairless mice, 70% of the dose, they concluded
30 that skin appendages have considerable metabolic capacity for benzo[a]pyrene. Extending these
31 findings to humans implies that in the assessment of dermal absorption of benzo[a]pyrene,
32 presence of dense hair may play an additional role.

33 Morse and Carlson (1985) compared in vivo dermal absorption of benzo[a]pyrene in
34 BALB/c and SENCAR mice to find an explanation for the higher sensitivity of the latter strain
35 toward benzo[a]pyrene-induced skin tumors. Animals were treated with 50 mg/kg
36 benzo[a]pyrene dissolved in acetone. Levels of benzo[a]pyrene in skin of BALB/c mice did not
37 reach higher peak levels, but stayed up to three times higher than in SENCAR mice between 6
38 and 24 hours postexposure. The levels of benzo[a]pyrene-derived radioactivity in liver, lung,

1 and stomach also peaked at comparable levels, but stayed at up to twice the levels in SENCAR
2 mice between 6 and 48 hours posttreatment, compared with BALB/c mice. There were no strain
3 differences in these tissues when benzo[a]pyrene was administered orally. The metabolic
4 capacity of the skin was assessed by measuring DNA binding of benzo[a]pyrene in skin.
5 Six hours after exposure DNA-binding of benzo[a]pyrene was higher in SENCAR than in
6 BALB/c mice, but by 24 hours after topical administrations, DNA adducts were higher in
7 BALB/c than in SENCAR mice, possibly indicating differences in metabolism. Kao et al.
8 (1988) had included the same two strains of mice in one of their studies and found comparable
9 results, with 9.2% of the dose penetrating over 16 hours in BALB/c and 4.4% in SENCAR mice.
10 However, the results of Morse and Carlson (1985) did not provide the expected toxicokinetic
11 explanation for the difference in skin tumor sensitivity between the two mouse strains.

12 In summary, due to the use of coal tar products for medicinal purposes, quantitative data
13 on dermal absorption of benzo[a]pyrene in humans are more abundant than for other routes of
14 exposure. The animal-to-human differences are significant. Generally, mice have greater
15 absorption than humans, followed by rats and rabbits. The 24-hour penetration values range
16 from 1 to 3% in viable human skin; much greater amounts of material are absorbed into the skin
17 but do not readily permeate through it. Dermal absorption of PAH is strongly dependent on
18 anatomical site (69% difference across six sites), while inter-individual variation is much smaller
19 (7% difference across nine volunteers). Furthermore, dermal absorption is highly dependent on
20 underlying metabolic activity of the skin. The vehicle of exposure also impacts dermal
21 absorption, with vehicles that absorb benzo[a]pyrene, such as soil, or vehicles with low diffusion
22 coefficients (high viscosity) decreasing the rate and degree of absorption.

23 24 **3.1.4. Other Types of Exposure**

25 Ewing et al. (2006) used isolated, perfused rat lungs and delivered benzo[a]pyrene coated
26 onto silica carrier particles (average size: 3.5 μm) at mean doses of 2.2, 36, and 8,400 ng to each
27 lung within <1 minute. Perfusate was collected for 77 minutes thereafter. Lungs and perfusates
28 were analyzed for benzo[a]pyrene and metabolites. Absorption was strongly dose-dependent: at
29 the low and mid exposure levels benzo[a]pyrene concentration increased rapidly in the perfusate
30 to reach a maximum within <5 minutes, then decreased over the remaining observation period.
31 At the high dose, benzo[a]pyrene in the perfusate reached the maximum at about 30 minutes
32 after exposure and stayed at a constant level from there on, i.e., the absorption of benzo[a]pyrene
33 proceeded at zero order until all deposited solid benzo[a]pyrene was dissolved. The mass
34 balances for benzo[a]pyrene equivalents in lung vs. perfusate were lung ca. one-third perfusate at
35 the low dose, lung = perfusate at the mid dose, and lung about twice that of perfusate at the high
36 dose. At the low exposure level metabolism was apparently able to convert most of the parent
37 compound, while at the highest exposure level most of the absorbed benzo[a]pyrene remained
38 unmetabolized even at the end of the experiment. The results suggest that, at low doses (2.2

1 ng/lung) benzo[a]pyrene is absorbed very efficiently in rat lung (two-thirds absorbed in
2 77 minutes), while at higher doses the rate of absorption decreases markedly, either because of
3 diffusion limitation, or by saturation of local metabolism.

4 5 **3.2. DISTRIBUTION**

6 No adequate quantitative studies of benzo[a]pyrene tissue distribution in exposed humans
7 were identified. Obana et al. (1981) observed low levels of benzo[a]pyrene in liver and fat
8 tissues from autopsy samples. However, prior exposure histories were not available for the
9 donors. Nevertheless, the identification of benzo[a]pyrene metabolites or DNA adducts in
10 tissues and excreta of PAH-exposed populations suggest that benzo[a]pyrene is widely
11 distributed.

12 13 **3.2.1. Inhalation Exposure**

14 Numerous studies evaluated the disposition of benzo[a]pyrene or its metabolites
15 following inhalation or instillation in the respiratory tract in animals. The distribution of
16 benzo[a]pyrene following inhalation exposure was shown to be similar in various species. Male
17 and female F344 rats were exposed nose-only for 30 minutes to atmospheres containing
18 0.6 mg/m^3 [^3H]-benzo[a]pyrene adsorbed onto $^{67}\text{Ga}_2\text{O}_3$ or to 1.0 mg/m^3 neat [^3H]-
19 benzo[a]pyrene (Sun et al., 1982). There were qualitative differences in time and amount of
20 absorption between the exposure regimens. With either exposure regimen, high tissue levels of
21 radiolabel were found in the small and large intestines and cecum, followed by liver, kidney, and
22 blood. Levels in the upper GI tract (esophagus and stomach) differed, with significant levels of
23 radioactivity in the stomach following exposure to [^3H]-benzo[a]pyrene adsorbed onto $^{67}\text{Ga}_2\text{O}_3$
24 but only minimal levels in the stomach following exposure to neat benzo[a]pyrene aerosol.
25 Twelve hours after exposure, the highest tissue concentrations of radiolabel were found in the
26 lower GI tract for both regimes. The differences in distribution of radiolabel likely reflect the
27 relative contribution of two mechanisms for the delivery of benzo[a]pyrene-related radioactivity:
28 for particle-adsorbed benzo[a]pyrene, mucociliary clearance followed by ingestion may be a
29 significant factor, while aerosolized benzo[a]pyrene is likely to be more readily absorbed in the
30 respiratory tract only.

31 Other inhalation studies measured levels of administered radioactivity as benzo[a]pyrene
32 parent compound or metabolites in whole blood, plasma or lung, but not in any other tissues
33 (Ramesh et al., 2001a; Gerde et al., 1993a, b). The rapid appearance of benzo[a]pyrene and
34 metabolites in the blood is consistent with the conclusion that benzo[a]pyrene is readily
35 bioavailable following exposure by the inhalation route. The degree to which absorbed
36 benzo[a]pyrene or metabolites was delivered to target tissues was not determined in these
37 studies.

1 Weyand and Bevan (1986) examined benzo[a]pyrene disposition and metabolism in male
2 Sprague-Dawley rats following intratracheal instillation of 1 µg/kg [³H]-benzo[a]pyrene
3 dissolved in triethylene glycol. The amount of radioactivity in various organs was determined at
4 timed intervals between 5 and 360 minutes after dosing. Peak levels of radioactivity as the
5 percentage of the administered dose per organ/tissue as well as time profiles were as follows:
6

- 7 • Early peak levels: lungs (59.5% at 5 minutes, declining 10-fold over 360 minutes); blood
8 (3–4% between 5 and 15 minutes, declining only twofold by 360 minutes); spleen (0.5%
9 at 5 minutes, declining very slowly); liver (20.8% at 10 minutes, gradually declining);
10 heart/thymus (1.6% at 10 minutes, barely declining from 0.5% after 30 minutes).
11
- 12 • Medium term peak levels: carcass (27.1% at 60 minutes, mostly stable at around
13 approximately 25% between 10 and 90 minutes and at approximately around 22%
14 thereafter); kidney (2.4% at 90 minutes but rather stable at approximately around 2%
15 between 10 and 360 minutes); testes (1.3% at 90 minutes but rather stable at
16 approximately around 1% between 15 and 360 minutes).
17
- 18 • Late peak levels: stomach (6.9% at 120 minutes, decreasing slowly); intestinal contents
19 (44.7% at 360 minutes, increasing over time period); intestines (14.9% at 360 minutes,
20 continually increasing).
21

22 These profiles are consistent with rapid uptake and delivery of benzo[a]pyrene to well-
23 perfused tissues, followed by clearance from the tissues via metabolism and excretion
24 (particularly in the feces). The profile of radioactivity appearing in the GI tract suggests partial
25 removal of benzo[a]pyrene from the lungs via mucociliary escalator. Total recovery of
26 radiolabel at 5 minutes was 96% of the dose and 104% at 360 minutes, indicating complete
27 recovery from the tissues.

28 Weyand and Bevan (1986) also investigated benzo[a]pyrene metabolites in tissues.
29 Quinones were at highest concentrations in both lung and liver 5 minutes after instillation,
30 accounting for 12 and 7% of radiolabeled extractable material, respectively. Benzo[a]pyrene
31 disposition was also investigated in male rats with and without biliary cannulas. Distribution
32 patterns among organs were similar, though the amount excreted in bile and intestinal contents
33 was 77% of the administered dose in cannulated rats and 53% in animals that were not
34 cannulated. The intestinal contents carried lower fractions of the administered dose as thioether
35 and glucuronic acid conjugates than the bile, indicating enterohepatic recirculation of
36 benzo[a]pyrene metabolites.

37 A comparative intratracheal instillation study with Sprague-Dawley rats, Gunn rats,
38 guinea pigs, and hamsters gave results (Weyand and Bevan, 1987) qualitatively similar to those
39 reported by Weyand and Bevan (1986). Doses of 0.16 or 350 µg [³H]-benzo[a]pyrene per animal
40 were administered intratracheally, and the distribution of benzo[a]pyrene-derived radioactivity
41 was determined in various tissues. Relative amounts of radiolabel recovered per gram of tissue

1 at 6 hours were: lung (1.7%) > kidney (0.76%) ≈ liver (0.67%) > testes (0.21%) = spleen
2 (0.21%) = heart (0.21%) ≈ GI tract (0.19%) > stomach (0.13%) > carcass (0.071%). This pattern
3 of distribution was qualitatively similar among all species tested at both doses, but the relative
4 values differed between species. For example, in Sprague-Dawley rats the liver burden
5 represented 0.67% of the recovered radiolabel/gram of tissue, while this value was 2.16% for
6 hamsters, 0.35% for guinea pigs, and 1.02% for Gunn rats after the 0.16 µg/animal dose. The
7 study did not include the intestine or its contents, where likely the majority of radioactivity was.
8 When benzo[a]pyrene was instilled intratracheally into mice, Schnizlein et al. (1987) found that
9 radioactivity in the lung declined steadily throughout the 144-hour investigation period, while
10 levels in liver, spleen, and intestine peaked at 8 hours after dosing, decreased slowly until
11 24 hours, and declined rapidly thereafter. It was also noted that as the lung burden of the
12 radiolabel decreased, radioactivity increased in lung-associated lymph nodes (LALN) over the 6-
13 day study, suggesting distribution of benzo[a]pyrene or its metabolites via the lymph.

14 Bevan and Ulman (1991) administered 1 µg/kg [³H]-benzo[a]pyrene intratracheally to
15 male Sprague-Dawley rats in three different liquid vehicles. At 6 hours after dosing, 56.2, 58.4,
16 and 70.5% of the dose delivered from tricapyrylin, ethyl laurate, and triethylene glycol,
17 respectively, were recovered from bile. Recovery from whole lung after 6 hours was 13.0% of
18 the administered dose for tricapyrylin and 15.6% for ethyl laurate but only 2.6% for triethylene
19 glycol, indicating that pulmonary absorption of benzo[a]pyrene was more efficient from a less
20 hydrophobic vehicle than from a highly hydrophobic one. Among the other organs, kidney and
21 liver retained rather high levels of radioactivity (around 2 and 5%, respectively, for the whole
22 organs). Two percent or less of the administered dose was recovered from intestine and its
23 contents in this study.

24 Pregnant Wistar rats were exposed for 95 minutes on GDs 17 to 200, 350, 500, 650, or
25 800 mg/m³ [³H]-benzo[a]pyrene generated as a microcondensate from heated pure material
26 (Withey et al., 1993). Immediately (time not specified) following exposure, the ranking of
27 benzo[a]pyrene concentrations was maternal lung > blood > liver > kidney > fat > fetus. When
28 total metabolites (as measured by detection of radiolabel) were measured immediately following
29 dosing, the ranking was maternal lung > blood > liver > kidney > fetus > fat. Six hours after
30 exposure, benzo[a]pyrene concentrations were fat > lung > kidney > liver > blood > fetus, while
31 total metabolite concentrations were lung = fat > kidney > liver = blood > fetus. Concentrations
32 of benzo[a]pyrene and metabolites in the GI tract were not reported. This study is consistent
33 with other studies in showing wide tissue distribution of benzo[a]pyrene. In addition, the results
34 also demonstrated placental transfer of benzo[a]pyrene and its metabolites.

35

36 **3.2.2. Oral Exposure**

37 Saunders et al. (2002) evaluated the neurotoxicity of benzo[a]pyrene in male F344 rats
38 following a single gavage dose in peanut oil at doses of 0, 25, 50, 100, or 200 mg/kg body

1 weight (10/sex/dose). Benzo[a]pyrene and metabolite concentrations were monitored for up to
2 96 hours after administration in plasma, cerebellum, and cerebral cortex. Unmetabolized
3 benzo[a]pyrene was observed in brain tissue only at the two highest doses, peaking at 2 to
4 4 hours and then gradually decreasing. Total metabolite concentrations in plasma as well as
5 brain tissue peaked at 2 hours, remained at similar levels until 6 hours and then gradually
6 decreased. By 96 hours after dosing benzo[a]pyrene or its metabolites had dropped to trace
7 levels. The distribution of metabolites shifted over the observation period, with diol metabolites
8 (4,5-, 7,8-, and 9,10-benzo[a]pyrene diols) predominating for the first 12 hours and hydroxy
9 metabolites (3-OH, 9-OH-benzo[a]pyrene) predominating at later time points. The distribution
10 of metabolites was similar in plasma and brain.

11 Neubert and Tapken (1988) administered a single 12 mg/kg oral dose of [¹⁴C]-
12 benzo[a]pyrene to groups of five pregnant NMRI:Han-mice on GDs 11, 12, and 13 to determine
13 whether placental transfer occurred. Six, 24, and 48 hours after treatment radiolabel was found
14 in the maternal lung, liver, and kidney (between 5 and 17% of the dose were recovered per gram
15 tissue at 6 hours post dosing, decreasing to 0.5–1.3% by 48 hours). Radiolabel was also found in
16 the placenta and embryonic liver at one to two orders of magnitude less than that found in
17 maternal tissues. Similar results were found with five pregnant albino rats that received a single
18 oral dose of 200 mg/kg benzo[a]pyrene in sunflower oil (Shendrikova and Aleksandrov, 1974).
19 Three hours after treatment, fetal levels of radiolabel were approximately 10% of the maternal
20 concentration.

21 Taken together it is apparent that, in rats, benzo[a]pyrene can penetrate the blood barrier,
22 and in pregnant mice or rats it crosses the placental barrier and reaches the fetus. Otherwise,
23 distribution data on benzo[a]pyrene following oral administration are insufficient to establish a
24 cogent picture of organ or tissue distribution.

25

26 **3.2.3. Dermal and Other Exposures**

27 Some studies have evaluated the distribution of benzo[a]pyrene and its metabolites
28 following dermal or other dose routes. Morse and Carlson (1985) sought to determine whether
29 differences in toxicokinetic parameters could explain the difference in tumor response between
30 SENCAR (high susceptibility) and BALB/c mice (low susceptibility). The mice were
31 administered radiolabeled benzo[a]pyrene via dermal application or orally, and the time course
32 of radioactivity levels was assessed in several organs. Following topical application of
33 benzo[a]pyrene, organ levels of radioactivity were generally 1.5–4 times higher in SENCAR
34 than in BALB/c mice. Radioactivity levels were liver > lung ≈ stomach, with an approximately
35 twofold difference. DNA adduct levels were liver > lung > stomach, with a threefold difference.
36 Following oral dosing, tissue radioactivity levels differed little between the two strains and
37 essentially followed the same pattern of distribution. However, DNA adduct levels following
38 oral dosing were much higher than after topical administration, approximately 6 times higher in

1 stomach and liver and 10 times higher in lung. RNA and protein binding showed patterns
 2 similar to DNA binding. This study seems to indicate that the route of administration exerts little
 3 influence on the tissue distribution of benzo[a]pyrene.

4 Moir et al. (1998) also measured the toxicokinetics of benzo[a]pyrene in male Wistar rats
 5 dosed i.v. with 2, 6, or 15 mg/kg of [¹⁴C]-benzo[a]pyrene dissolved in an emulphor/saline
 6 emulsion. The concentrations of both benzo[a]pyrene-derived radioactivity and parent
 7 compound were determined in blood, adipose tissue, lung, liver, kidney, heart, spleen, brain, and
 8 testes as well as in urine and feces. The concentrations in all tissues examined except lung
 9 appeared to follow a similar pattern of smoothly increasing and decreasing curves, while the lung
 10 data were rather erratic (this pattern may reflect temporary trapping of lipid vesicles from the
 11 benzo[a]pyrene emulsion in the fine lung vessels). The authors also extracted selected tissues
 12 and determined parent compound levels by high-performance liquid chromatography (HPLC).
 13 Peak concentrations of [¹⁴C]-benzo[a]pyrene equivalents and of parent compound and time to
 14 peak for the 2 mg/kg dose group are given in Table 3-1. Similar patterns were observed at
 15 higher doses, with notable exceptions noted below.

Table 3-1. Distribution of benzo[a]pyrene in selected tissues of male rats following i.v. dosing with 2 mg/kg

Tissue/organ ^a	Blood	Adipose ^b	Kidney ^c	Liver	Lung ^d
<i>Total tissue radioactivity</i>					
Peak level (µg/g tissue)	4.27 ± 0.25	2.31 ± 0.87	8.94 ± 1.21	20.55 ± 2.20	40.54 ± 4.85
Peak time (min)	5	120	5 and 20	5	5 and 120
<i>Parent compound</i>					
Peak level (µg/g tissue)	2.64 ± 0.84	3.96 ± 1.92	7.68 ± 1.23	11.33 ± 4.66	5.17 ± 1.15
Peak time (min)	5	120	5 to 20	5	5

^aMean ± SD, n = 3–4.

^bAdipose tissue levels showed broad maxima between 20 and 480 min; the highest values are shown.

^cTotal radioactivity levels in kidney changed little between 30 and 480 min.

^dParent compound lung had another maximum of 14.65 ± 1.67 µg/g at 120 min; a second maximum at this time point was observed at the higher doses, too, but those did not exceed the value of the first maximum at 5 min.

Source: Moir et al. (1998).

17
 18 At all exposure levels blood maintained the lowest initial concentrations (with some
 19 exceptions in white adipose tissue discussed below). Half-lives for benzo[a]pyrene parent
 20 compound in blood after the 2 and 6 µg/kg doses were 36 and 25 minutes, respectively, while no
 21 parent compound could be detected at time points >480 minutes. Following the 15 µg/kg dose,
 22 blood showed an initial benzo[a]pyrene decline similar to the lower doses, but benzo[a]pyrene
 23 could be recovered up to the end of the study at 32 hours post dosing, and with these additional
 24 data a second elimination phase half-life for benzo[a]pyrene was estimated at 408 minutes. The

1 final half-lives for elimination of benzo[a]pyrene “metabolites” (total benzo[a]pyrene-derived
2 radioactivity minus parent compound) from blood were 666, 848, and 179 minutes for the 2, 6,
3 and 15 mg/kg doses, respectively. It is fair to speculate that the second phase of elimination is
4 the result of redistribution of parent compound (and subsequent metabolism) from a large, deep
5 compartment such as adipose tissue and/or enterohepatic circulation.

6 Similar to the results in the lung, erratic patterns of radioactivity occurred in adipose
7 tissue. Peaks and dips in radioactivity levels in lung occurred roughly with a pattern opposite to
8 that in adipose tissue. This might suggest occasional redistribution of benzo[a]pyrene parent
9 compound between a pool of vesicles trapped in the lung and adipose tissue. Data derived from
10 these tissues should therefore be viewed with caution. Moir et al. (1998) noted that, as a general
11 rule, increasing tissue radioactivity levels following administration of [¹⁴C]-benzo[a]pyrene
12 reflected metabolite accumulation. Kinetics models were fit to the data to derive rate constants
13 for clearance of benzo[a]pyrene. Many organs displayed rapid uptake phases for
14 benzo[a]pyrene: liver (uptake complete at the first time point, 5 minutes) kidney, brain, testis,
15 and adipose tissue, which was followed by a bi-exponential decline (except in adipose tissue).
16 Half-lives for benzo[a]pyrene parent compound elimination changed with the dose administered
17 (half-life in minutes at doses of 2, 6, and 15 µg/kg, respectively): liver (28, 163, and 281),
18 kidney (498, 456, and 389), and adipose tissue (239, 945, and 781). The following organs were
19 evaluated for the 2 µg/kg dose only: brain (2,326 minutes), heart (25 minutes), and spleen (38
20 minutes); the latter, most likely due to nondetectable radioactivity levels at later time points,
21 reflect the initial elimination phase only. Elimination half-lives of benzo[a]pyrene metabolites in
22 liver and several other organs were in the range of 10–15 hours and independent of dose,
23 suggesting first-order elimination. Overall, the results of Moir et al. (1998) suggest a pattern
24 consistent with initial wide distribution determined by blood flow, with lipophilicity and rates of
25 metabolism contributing to temporal patterns of organ levels after the initial distribution period.

26 Some studies showed that reactive metabolites of benzo[a]pyrene are transported in the
27 blood and may be distributed to tissues incapable of benzo[a]pyrene metabolism. Ginsberg and
28 Atherholt (1989) evaluated DNA adduct formation after intraperitoneal (i.p.) administration of
29 benzo[a]pyrene in mice. Serum of benzo[a]pyrene-treated mice incubated with splenocytes or
30 salmon sperm DNA resulted in adduct formation suggesting that reactive benzo[a]pyrene
31 metabolites were systemically distributed and available for interaction with target tissues. DNA
32 adduct levels formed in vivo were highest in liver, lung, and spleen, with levels in kidney and
33 stomach significantly lower.

34 Taken together, the limited human data and few toxicokinetic studies in animals
35 demonstrate that benzo[a]pyrene and its metabolites are widely distributed throughout the body.
36 Inhalation of particle-bound or pure benzo[a]pyrene results in significant levels of
37 benzo[a]pyrene and metabolites in numerous tissues. Distribution of inhaled benzo[a]pyrene and
38 its metabolites to the GI tract is a result of both mucociliary clearance of particulates from the

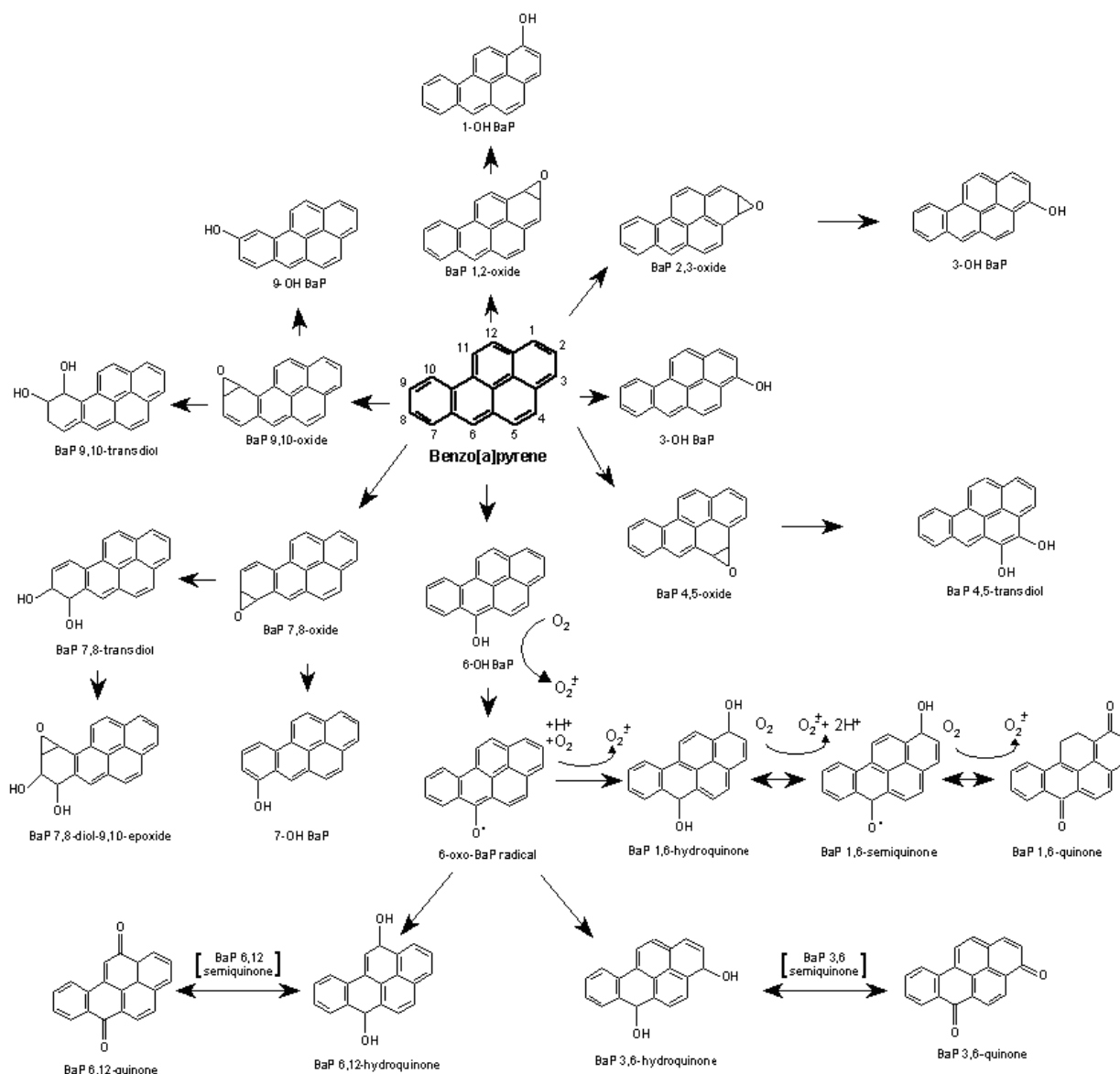
1 lung and of biliary excretion following metabolism. Following absorption, benzo[a]pyrene and
2 metabolites are initially found predominantly in the highly perfused tissues, such as the lung,
3 liver, and kidneys. Lower amounts are distributed to other tissues, including the male
4 reproductive organs, central nervous system, and adipose tissue. Despite its high lipophilicity
5 benzo[a]pyrene has no specific affinity for lipid-rich tissues, most likely because of its rapid
6 metabolism to more hydrophilic compounds. There is some indication that the distribution of
7 benzo[a]pyrene is not much influenced by the route of administration. Reactive benzo[a]pyrene
8 metabolites are also distributed via blood where they may form protein adducts or reach tissues
9 themselves unable to form reactive benzo[a]pyrene metabolites. Benzo[a]pyrene or metabolites
10 are also transferred to the fetus at concentrations one to two orders of magnitude lower than
11 those found in maternal tissues (Withey et al., 1993; Neubert and Tapkin, 1988; Shendrikov and
12 Aleksandrov, 1974).

14 **3.3. METABOLISM**

15 The metabolism of benzo[a]pyrene is a critical aspect of the assessment of its potential
16 toxicity because for many endpoints reactive metabolites are likely to contribute to the toxic
17 response. Numerous reviews on the metabolism of benzo[a]pyrene are available (Miller and
18 Ramos, 2001; WHO, 1998; ATSDR, 1995; Conney et al., 1994; Grover, 1986; Levin et al.,
19 1982; Gelboin, 1980). Key concepts have been adapted largely from these reviews and
20 supplemented with recent findings.

21 benzo[a]pyrene is metabolized extensively by Phase I reactions to form numerous
22 oxidative or reactive metabolites that are targets for further metabolism through diverse phase II
23 reactions. Many of the enzymes involved in benzo[a]pyrene metabolism are isoenzymes within
24 gene families, the members of which have varying metabolic specificities. Many of these
25 enzymes are encoded by genes that show functional polymorphism. Many of the critical
26 enzymes are inducible by a variety of agents, including benzo[a]pyrene itself, and, therefore,
27 studies that evaluate benzo[a]pyrene kinetics following single short-term exposures may not be
28 representative of the kinetics of benzo[a]pyrene following longer-term exposure conditions or
29 exposure to mixtures. Metabolism of benzo[a]pyrene is species-, strain-, and organ-system-
30 specific. There are age- and gender-related differences in the expression of many of the key
31 enzymes that must be considered.

32 The metabolism of benzo[a]pyrene has been extensively studied using both in vivo and in
33 vitro models, and a schematic representation of metabolic pathways is provided in Figure 3-1.
34 Only Phase I reaction products are shown. Phase II reactions include glutathione conjugation of
35 diol epoxides, sulfation and glucuronidation of phenols, and reduction of quinones by
36 NADPH:quinone oxidoreductase (NQO) with subsequent conjugation.



1
2
3 Source: Miller and Ramos (2001).

4
5 **Figure 3-1. Metabolic pathways for benzo[a]pyrene.**

6
7 Some enzymes involved in the metabolism of benzo[a]pyrene are highly inducible
8 (although benzo[a]pyrene itself is a relatively weak inducer compared to other environmental
9 pollutants, such as certain dioxins and polychlorinated biphenyls). The cellular mechanisms
10 underlying the inducibility of these enzymes, as well as the relative potency of various inducers,
11 has been reviewed in detail (Miller and Ramos, 2001; Whitlock, 1999; Nebert et al., 1993).
12 Inducibility of genes that metabolize benzo[a]pyrene is termed genetic responsiveness and the
13 genetic locus that imparts inducibility is designated the Ah locus, so named for the enzyme
14 activity aryl hydrocarbon hydroxylase (AHH) (now known to be catalyzed by enzyme activities
15 from the CYP1 family. The Ah gene encodes a cytosolic receptor that regulates the inducible

1 expression of genes that encode Phase I (CYP1A and CYP1B isoforms) enzymes and may
2 interact coordinately in regulating genes that encode isoforms of the Phase II enzymes uridine
3 diphosphate (UDP)-dependent glucuronosyltransferases [UGTs], GSTs, NQO1) that metabolize
4 the products of Phase I metabolism. The role of these enzyme systems in benzo[a]pyrene
5 metabolism is discussed below. Due to the inducibility of benzo[a]pyrene metabolism,
6 interpretation of toxicity studies should consider whether the studies were conducted in species
7 and strains that have inducible metabolism, whether duration of exposure was sufficient to
8 induce benzo[a]pyrene metabolism, and whether or not known inducers were administered.

9 10 **3.3.1. Phase I Metabolism**

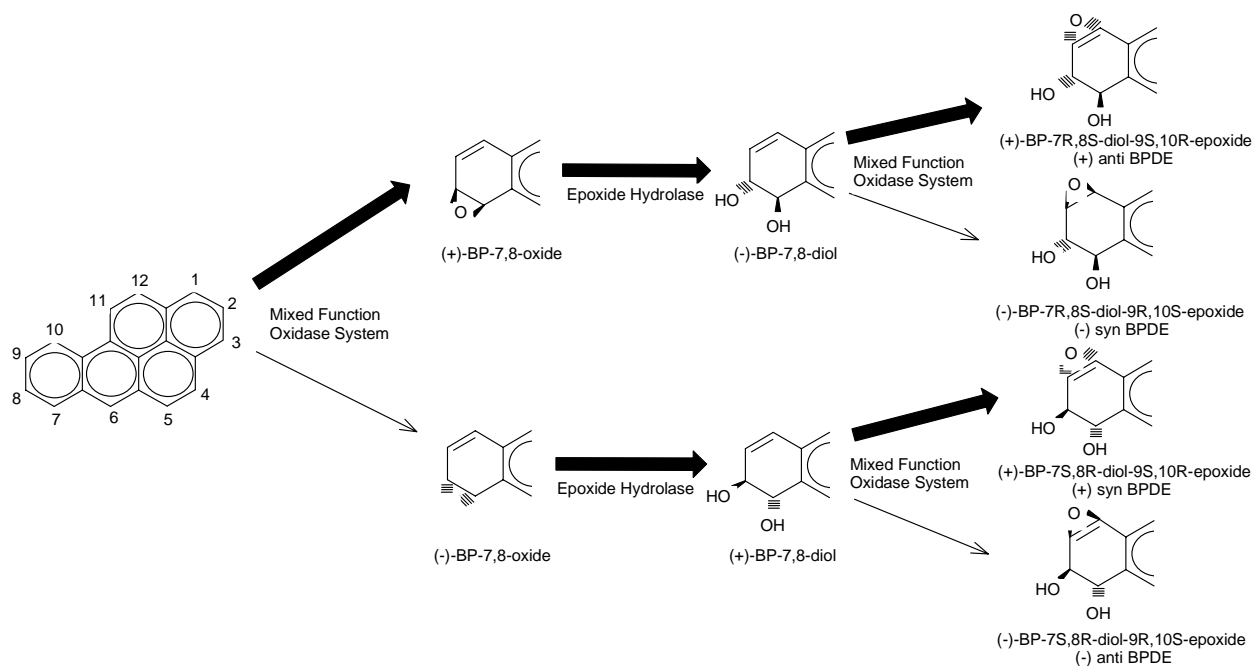
11 **3.3.1.1. CYP450-dependent Reactions**

12 Phase I reactions are catalyzed primarily by the mixed function oxidase system of
13 enzymes associated with CYP450 to form arene oxides. This initial phase I metabolic reaction
14 of benzo[a]pyrene is carried out primarily by the inducible activities of CYP1A1 or CYP1B1 and
15 the constitutively expressed and somewhat inducible CYP1A2, depending on the tissue. Other
16 CYP isoforms may also catalyze the initial oxidation reactions. NADPH:CYP reductase is an
17 important cofactor for this reaction as a supplier of redox equivalents (Byczkowski and Gessner,
18 1989). In addition to the CYP450 enzymes there are also a number of oxidoreductases that are
19 not typically considered Phase I enzymes, yet can play an important role in the oxidative
20 metabolism of benzo[a]pyrene (see below).

21 The isomerization of the arene oxides to their respective phenol metabolites is thought to
22 be a nonenzymatic process; however, physical/chemical studies have shown that rearrangement
23 is susceptible to catalysis by amines (Johnson and Bruice, 1975). This would suggest that
24 rearrangements in vivo could be catalyzed by the amino or sulfhydryl groups of proteins.
25 Typically, a single phenolic isomer tends to be produced and the direction of regioselective ring
26 opening is predictable based on the relative stability of the two possible cationic intermediates
27 (Fu et al., 1978). In accordance with these predictions, exclusively 3-OH-benzo[a]pyrene but not
28 the other possible phenol metabolite, 2-OH-benzo[a]pyrene, is formed from the 2,3-oxide of
29 benzo[a]pyrene (Yang et al., 1977). The other monophenol metabolites of benzo[a]pyrene
30 include 1-, 6-, 7-, and 9-OH-benzo[a]pyrene. Benzo[a]pyrene epoxide formation may yield both
31 phenols and dihydrodiols. Arene oxides that are poor substrates for epoxide hydrolase (EH) or
32 the less stable ones that rearrange rapidly, such as benzo[a]pyrene 2,3-oxide, are less likely to
33 yield dihydrodiols.

34 The arene oxides can be hydrolyzed by EH to form dihydrodiols (Oesch, 1980). The
35 dihydrodiols may be further metabolized by CYPs to form diol epoxides, which are the DNA-
36 reactive metabolites that have been the subject of most studies. In particular, much of the study
37 of oxidative products of benzo[a]pyrene metabolism has been done for the 7,8-oxide, since it is a
38 precursor to the potent DNA-binding metabolites. The metabolism of benzo[a]pyrene, as well as

1 of PAHs in general, proceeds with a high degree of stereoselectivity. Since most aromatic bonds
 2 are prochiral, their epoxidation catalyzed by CYPs often results in optically active products.
 3 Liver microsomes from rats stereospecifically oxidize the 7,8-bond of benzo[a]pyrene to yield
 4 almost exclusively the (+)-benzo[a]pyrene-(7,8)-oxide (see Figure 3-2). Each enantiomer of the
 5 7,8-oxide is stereospecifically converted by EH to a different dihydrodiol via attack of water at
 6 the 8-position. The (+)-benzo[a]pyrene-7,8-oxide gives rise to the (-)-benzo[a]pyrene-7,8-
 7 dihydrodiol, while the (-)-benzo[a]pyrene-7,8-oxide yields the (+)-benzo[a]pyrene-7,8-di-



8 hydrodiol.

9

10 Source: Grover (1986).

11

12 **Figure 3-2. The stereospecific activation of benzo[a]pyrene.**

13

14 Further metabolism of the (-)-benzo[a]pyrene-7,8-dihydrodiol enantiomer by rat CYP
 15 enzymes preferentially yields (+)-benzo[a]pyrene-7R,8S-diol-9S,10R-epoxide [(+)-anti-BPDE],
 16 which is believed to be the most potent carcinogen among the four stereoisomers (Figure 3-2).
 17 Formation of these stereoisomers does not occur at equimolar ratios, and the ratios differ
 18 between biological systems. For example, in a study with rabbit livers, purified microsomes
 19 oxidized the (-)-benzo[a]pyrene-7,8-dihydrodiol to isomeric diol epoxides in a ratio ranging from
 20 1.8:1 to 11:1 in favor of the (+)-anti-BPDE isomer (Deutsch et al., 1979).

21

22 Another important factor in evaluating variability in the metabolic activation of
 23 benzo[a]pyrene is the degree to which functional polymorphisms plays a role. Schwarz et al.
 24 (2001) used recombinant CYP1A1 allelic variants to determine catalytic activity in vitro.
 Catalytic activity of the variant allele 1A1.4 was 70%, and that of variant allele 1A1.2 was only

1 50% of the WT allele, CYP1A1.1. Km values were generally lower for variants than for the
2 WT. Each variant produced BPDE, with the activity of CYP1A1.1 > 1.2 > 1.4. The formation
3 of diol epoxides was stereospecific, with the allelic variants producing about three times the
4 amount of (±)-anti-BPDE isomers, the suspected ultimate carcinogens, as compared to the
5 noncarcinogenic stereoisomers (±)-syn-BPDE. Wu et al. (2002) found no relationship between
6 benzo[a]pyrene metabolite formation and the CYP1A1 *MspI* polymorphism. The identification
7 and characterization of CYP polymorphisms has been the subject of numerous reviews (e.g.,
8 Wormhoudt et al., 1999).

9 Several studies have attempted to clarify the question of which CYP isozyme is
10 predominantly responsible for the metabolism of benzo[a]pyrene. The studies used knock-out
11 (ko) animals in which either one of the isozymes in question, CYP1A1 1A2, or 1B1, or the Ah
12 receptor (AhR) had been removed or inactivated (CYP 1A1 and 1B1 levels respond to AhR
13 induction, while 1A2 is expressed constitutively). Kleiner et al. (2004) measured DNA adduct
14 formation in the epidermis of 1B1^{-/-}, 1A2^{-/-}, AhR^{-/-}, and WT mice. Six [³H]-PAHs were
15 administered topically in one dose of 10–2000 nmol and animals were sacrificed after 24 hours.
16 Absence of CYP1A2 had very little effect on benzo[a]pyrene adduct formation; in 1B1^{-/-} mice
17 adducts were about 150% of WT (not significant), while in AhR^{-/-} they were only 27% of WT.
18 These findings differed considerably with other PAHs. The benzo[a]pyrene-DNA adduct was
19 identified as being derived from (+)-anti-BPDE. The authors concluded that 1A1 was the
20 primary CYP to metabolize benzo[a]pyrene, and that 1B1 rather serves detoxification.

21 Sagredo et al. (2006) conducted a similar experiment, but with various types of AhR
22 knock out mice. AhR^{+/+}, ^{+/-}, and ^{-/-} mice were treated once with 100 mg/kg benzo[a]pyrene by
23 gavage. Twenty-four hours after treatment gene expression for CYP1A1, 1B1, and AhR was
24 measured in lung, liver, spleen, kidney, heart, and blood by real-time or reverse transcriptase
25 PCR (RT-PCR). CYP1A1 expression was increased following benzo[a]pyrene treatment in ^{+/+}
26 and ^{+/-} mice (generally higher in heterozygotes), but ^{-/-} mice expressed no 1A1. There was a low
27 level of basic 1B1 expression in all three genotypes that was inducible by benzo[a]pyrene in
28 lung, but not in liver in AhR^{+/+} and ^{+/-}, but not at all in either tissue of AhR^{-/-} mice. Expression
29 of 1A1 was 25–40 times that of 1B1. There was an AhR gene-dose-response relationship for the
30 basal CYP1A1 expression, i.e., ^{+/+} > ^{+/-} > ^{-/-}, but no such dependence was seen for 1B1.
31 Protein adduct levels were spleen > liver > lung > heart > plasma > kidney. The tissue levels of
32 adducts showed an inverse relationship with AhR gene dose, i.e., ^{-/-} > ^{+/-} > ^{+/+}. Similarly, the
33 levels of unmetabolized benzo[a]pyrene and of free benzo[a]pyrene-tetrol metabolites were
34 higher in all organs of AhR^{-/-} mice, as compared with AhR⁺ mice. Also, in the livers of AhR^{-/-}
35 mice the levels of the less carcinogenic tetrol II were much higher than those of the tetrol I,
36 opposite to the situation in AhR⁺ mice. The authors suggested that the high levels of free
37 benzo[a]pyrene metabolites in AhR^{-/-} mice were the result of delayed bioactivation, and that a
38 powerful AhR-independent pathway for benzo[a]pyrene metabolism must exist. The authors

1 explained the very high levels of benzo[a]pyrene adducts in organs outside the liver as the result
2 of slow detoxification of the agent in the liver of AhR⁻ mice, allowing high concentrations of the
3 parent compound to reach distant tissues. These findings establish important roles in
4 benzo[a]pyrene metabolism for both CYP1A1 and 1B1, but they do not clarify which enzyme is
5 responsible for biological activation, and which for detoxification.

6 Uno et al. (2006) investigated the finding that CYP1A1 (1A1^{-/-}) knock out mice are more
7 sensitive than WT animals to the toxic effects of orally administered benzo[a]pyrene. They
8 produced a series of C57BL/6-based single- or double knock out mice, 1A2^{-/-}, 1B1^{-/-}, 1A1/1B1^{-/-}
9 ^{-/-} and 1A2/1B1^{-/-}. Benzo[a]pyrene was administered in the feed at 1.25, 12.5, or 125 mg/kg for
10 18 days (this dose is well tolerated by WT C57BL/6 mice for 1 year, but lethal within 30 days to
11 the 1A1^{-/-} type). Steady-state blood levels of benzo[a]pyrene, reached within 5 days of
12 treatment, were ~25 times higher in 1A1^{-/-} and ~75 times higher in 1A1/1B1^{-/-} than in WT mice,
13 while in the other knock out types clearance differed little from that in WT animals.
14 Pretreatment of the animals with TCDD to induce the CYP1 enzyme family resulted in decreased
15 benzo[a]pyrene peak blood levels and AUCs in WT, but doubled peak blood levels and vastly
16 increased AUCs in the two 1A1^{-/-} types. A lower-than-WT benzo[a]pyrene clearance was
17 observed only in the two 1A1^{-/-} types. DNA adduct levels, measured by [³²P]-postlabeling in
18 liver, spleen, and bone marrow, were highest in the 1A1^{-/-} mice at the higher doses, and in the
19 1A1/1B1^{-/-} mice at the mid dose only. Only 1A1^{-/-} mice, but not the other genotypes, showed
20 signs of severe toxicity. In conclusion, the authors of the study painted a rather complex picture
21 of how the three CYP1 family enzymes affect the toxicokinetics of benzo[a]pyrene.
22 Detoxification of benzo[a]pyrene is mostly achieved by 1A1, probably not only in liver, but also
23 in the intestine. Second, in spleen and bone marrow 1B1 brings about metabolic activation of
24 benzo[a]pyrene, which, in the absence of 1A1, results in damage to the immune system. The
25 authors suggested that tissue-specific expression of 1A1 and 1B1, respectively, determine an
26 organism's susceptibility to benzo[a]pyrene toxicity and, possibly, carcinogenicity.

27 Van Lipzig et al. (2005) conducted experiments concerning potential estrogenic activity
28 of mono- and dihydroxylated metabolites of benzo[a]pyrene. Estrogen receptor (ER) affinity
29 and estrogenic activity were tested in T47D human breast adenocarcinoma cells (for
30 experimental details see Section 4.5.2). Benzo[a]pyrene metabolite mixtures were generated
31 using β-naphthoflavone (β-NF)-induced rat liver microsomes. Several hydroxylated
32 benzo[a]pyrene metabolites had measurable estrogenic activity. In an attempt to identify
33 enzymes involved in benzo[a]pyrene hydroxylation, the researchers added a specific CYP1A2
34 inhibitor to the metabolic activation mixture and found a ~15-fold increased estrogenic activity
35 of the benzo[a]pyrene metabolite mix. They suggested that 1A2 inhibition drove the metabolism
36 of benzo[a]pyrene towards formation of more estrogenic metabolites. These findings impart a
37 role to CYP1A2 in the metabolism of benzo[a]pyrene.

1 To summarize, there is experimental evidence to suggest that three members of the CYP1
2 family, 1A1, 1A2, and 1B1, contribute to the metabolism of benzo[a]pyrene. However, the
3 available data do not attribute precise roles to either of these enzymes. There is evidence that
4 their expressions, and thus activities, are organ- or tissue-specific and that local isozyme
5 activities determine not only the ratio of toxification vs. detoxification, but also the pattern of
6 highly toxic vs. less toxic metabolites.

7 8 **3.3.1.2. Non-CYP-related metabolic pathways**

9 Other bioactivation processes independent of CYP-mediated diolepoxide formation have
10 been demonstrated for benzo[a]pyrene. One-electron oxidation (via CYPs or peroxidases) can
11 generate radical cations, which, in turn, can generate benzo[a]pyrene quinones (see bottom
12 portion of Figure 3-1). These metabolites may generate DNA damage through redox cycling or
13 the formation of depurinating adducts (McCoull et al., 1999; Cavalieri et al., 1990). Kim et al.
14 (2000) treated male Sprague-Dawley rats with 20 mg/rat benzo[a]pyrene by i.p. injection and
15 reported that the pattern of lipid peroxidation and increase in antioxidant enzymes correlated
16 with formation of quinone metabolites of benzo[a]pyrene. Direct i.v. injection of
17 benzo[a]pyrene and a series of metabolites confirmed the quinone metabolites indeed to be
18 associated with the observed increase in lipid peroxidation. Secondary oxidation of 6-OH-
19 benzo[a]pyrene can generate hydroquinone and quinone metabolites at the 1,6-, 3,6-, or 6,12-
20 positions. Quinone formation may be catalyzed by dihydrodiol dehydrogenases (DHHs), such as
21 aldo-keto reductase (AKR) (Penning et al., 1999). Tsuruda et al. (2001) showed in vitro that the
22 expression of rat DHH in human breast cancer MCF-7 cells generated 7,8-benzo[a]pyrene-diones
23 from benzo[a]pyrene-7,8-diol.

24 Mallet et al. (1991) treated tetradecanoylphorbol acetate-stimulated human
25 polymorphonuclear leukocytes (PMNs) with (\pm)-trans-7,8-dihydroxy-7,8-dihydro-
26 benzo[a]pyrene. They found that the cells were able to transform the benzo[a]pyrene-diol into
27 the diolepoxide and tetrols with a stereochemical *anti/syn* ratio of six. The kinetics of the
28 reaction suggested that hydrogen peroxide or a ferryl-oxygen-transfer were involved. Because
29 myeloperoxidase (MPO) uses hydrogen peroxide in its reaction, the authors inhibited this
30 enzyme specifically with azide and found that the formation of tetrols from benzo[a]pyrene-diol
31 was reduced. Thus, MPO is able to execute the metabolic activation of benzo[a]pyrene.

32 Byczkowski and Kulkarni (1990) observed that benzo[a]pyrene diol can be cooxygenated
33 during lipid peroxidation to form the diolepoxide. To reduce interference from CYP-catalyzed
34 reactions they used term human placental microsomes, which are low in CYP450. Peroxidative
35 conditions were created by a redox cycling system comprised of partially chelated ferrous ions
36 and NADPH:CYP450 oxidase; this system quadrupled the formation of malonic dialdehyde (a
37 measure of lipid peroxidation) compared with placental microsomes alone. The peroxidative
38 system increased overall metabolism of benzo[a]pyrene and benzo[a]pyrene-7,8-diol by 27–

1 28%, compared with placental microsomes alone. The amounts of individual metabolites were
2 changed to various extents in the presence of peroxidative conditions; the most striking
3 observation was the more than doubled formation of trans-anti-benzo[a]pyrene-tetrol (the
4 proximate carcinogen) and an almost tripled binding to protein. There was a highly significant
5 correlation ($p < 0.0005$) between malondialdehyde and trans-anti-benzo[a]pyrene-tetrol
6 formation. The authors pointed out that by means of this metabolic pathway the human fetus
7 could be exposed to BPDE despite the absence of pronounced CYP450 activity in term placenta.
8 Similarly, a redox cycling system based on vanadate-IV ions was able to increase formation of
9 trans-anti-benzo[a]pyrene-tetrol five- to sixfold in the presence of term human placental
10 microsomes (Byczkowski and Kulkarni, 1992).

11 Flowers et al. (1996) investigated the role of radical and reactive oxygen species (ROS)
12 formation in benzo[a]pyrene-quinone-induced redox cycling, a reaction involving DHH, which
13 can oxidize BP-diol to benzo[a]pyrene-7,8-dione (BPQ). BPQ is mutagenic and genotoxic. In
14 isolated rat hepatocytes BPQ was incorporated covalently into DNA (30 ± 17 adducts/ 10^6 base
15 pairs) while extensive DNA fragmentation took place. DNA fragmentation was also observed in
16 hepatocytes treated with BP-diol; the effect was partially abolished when an inhibitor of DHH
17 was added to the reaction. Hepatocytes treated with either BP-diol or BPQ produced superoxide
18 anion radical, formation of which could be blocked by DHH inhibitors. In an in vitro experiment
19 it was shown that BPQ at 0.05–10 μM caused DNA strand scission in the presence of NADPH
20 and CuCl_2 , suggesting that redox-cycling took place. DNA strand scission was prevented by
21 catalase and hydroxyl radical scavengers but not by superoxide dismutase. The authors
22 concluded that DHH metabolizes (+/-)-anti-BPDE to BPQ, which in turn causes extensive DNA
23 fragmentation via the generation of ROS.

24 In a subsequent study, Flowers et al. (1997) provided more detail on the redox cycling
25 reaction of BPQ. They showed that the reaction required the presence of NADPH (1 mM) and
26 Cu^{2+} (10 μM). During the reaction superoxide anion radicals, benzo[a]pyrene semiquinone
27 radicals, hydroxyl radicals, and H_2O_2 were formed. Hydroxyl radical scavengers, such as
28 mannitol, sodium benzoate, or formic acid prevented the redox cycling (as assessed by DNA
29 strand scission), as did the Cu^+ chelators bathocuproine or neocuproine. The results were
30 interpreted as indicating that redox cycling of benzo[a]pyrene quinone involves a Cu^+ -catalyzed
31 Fenton reaction.

32 Other peroxidases such as prostaglandin H synthase (PHS) may also generate radical
33 cations from benzo[a]pyrene (Parman and Wells, 2002). Marnett (1990) reviewed the role of
34 PHS in benzo[a]pyrene metabolism. Peroxy radicals epoxidize the procarcinogen
35 benzo[a]pyrene-7,8-diol via PHS to the epoxide BPDE. Stereochemical experiments have
36 allowed the distinction between peroxide-mediated and CYP450-mediated epoxide formation
37 from benzo[a]pyrene. Thus, peroxy radical-dependent epoxidation of benzo[a]pyrene-7,8-diol
38 occurs in rat liver microsomes, mouse skin homogenates, cultured fibroblasts, cultured hamster

1 trachea, and freshly isolated mouse epidermal cells. Peroxy radical-generated metabolites are
2 predominant in uninduced animals, while in β -NF-induced animals the CYP450-produced
3 metabolites prevail. There are other pathways by which peroxides can oxidize benzo[a]pyrene-
4 7,8-diol because inhibition of PGH synthase with non-steroidal anti-inflammatory drug
5 (NSAIDs) does not prevent BPDE formation.

6 Redox-active quinones are formed through the oxidative metabolism of benzo[a]pyrene,
7 particularly at the 6-position (see Figure 3-1). NQO1 is an important enzyme for the
8 detoxification of reactive quinones. Joseph and Jaiswal (1998) reported that NQO1 expression
9 inhibited the formation of benzo[a]pyrene-quinone adducts with DNA and mutagenicity in vitro.
10 There are also potential ring opening mechanisms for benzo[a]pyrene. Stansbury et al. (2000)
11 used activated polymorphonuclear monocytes or a reconstituted in vitro system to generate a
12 ring-opening dialdehyde metabolite from the benzo[a]pyrene-7,8-diol-9,10-epoxide (BPDE) that
13 can react with DNA to generate unique DNA adducts that are different from the ones formed by
14 BPDE.

15 Nordling et al. (2002) identified a novel benzo[a]pyrene metabolite (7-oxo-
16 benz[d]anthracene-3,4-dicarboxylic acid anhydride) in the urine of benzo[a]pyrene-treated rats.
17 Follow-up in vitro experiments with this compound found it to be weakly mutagenic in
18 salmonella strain TA102, able to induce DNA stand breaks in HT-29 cells, capable of inducing
19 cytotoxicity via an apoptotic mechanism, and increasing gene expression through a
20 cyclooxygenase (COX)-2 promoter in HCT 116 cells. These results demonstrate that novel
21 benzo[a]pyrene metabolites have toxicological properties distinct from those of the better studied
22 BPDE.

23 The roles of PHS-2 (now mostly called COX-2), MPO, NQO1, and other enzymes in the
24 oxidative metabolism of benzo[a]pyrene may be crucial, but not enough data are as yet available
25 to attempt a quantitative comparison with the CYP-mediated pathways. This may be of some
26 importance in the assessment of cancer risks because not only CYP450 isozymes, but also MPO
27 and NQO1 exhibit gene polymorphism. This is an area where much more research is necessary.
28

29 **3.3.2. Phase II Metabolism**

30 The reactive products of phase I metabolism are subject to the action of several phase II
31 conjugation and detoxification enzyme systems that display preferential activity for specific
32 oxidation products of benzo[a]pyrene. These phase II reactions play a critical role in protecting
33 cellular macromolecules from binding with reactive benzo[a]pyrene diolepoxides, radical
34 cations, or ROS. Therefore, the balance between Phase I activation of benzo[a]pyrene and its
35 metabolites and detoxification by Phase II processes is an important determinant of toxicity.

36 The diol epoxides formed from benzo[a]pyrene metabolism are not usually found as
37 urinary metabolites, rather they are detected as adducts of nucleic acids or proteins if not further
38 metabolized. Detoxification of the diol epoxide metabolites of benzo[a]pyrene is through

1 rearrangement to form tetrols or via conjugation with glutathione. Early work by Gozukara et al.
2 (1981) demonstrated that exogenous EH added to benzo[a]pyrene-treated human monocytes
3 reduced DNA binding, suggesting a role for this enzyme in the inactivation of diol epoxides.
4 Furthermore, nonenzymatic hydration of diol epoxides proceeds rapidly in aqueous media in the
5 absence of EH to yield tetrol products via cis or trans addition. A second mechanism for the
6 detoxification of reactive diol epoxides formed from benzo[a]pyrene is through glutathione
7 (GSH) conjugation. This Phase II reaction is catalyzed by GSTs. GSTs are a family of enzymes
8 with varying substrate specificity and distribution among tissues (reviewed in Hayes and
9 Strange, 2000; Eaton and Bammler, 1999; Hayes and Pulford, 1995). Primary isoforms of
10 relevance for conjugation of BPDE include the α , μ , π , and θ isoforms (GSTA, GSTM, GSTP,
11 GSTT, respectively).

12 Numerous studies using human GSTs expressed in mammalian cell lines have
13 demonstrated the ability of GST to metabolize benzo[a]pyrene diol epoxides. For example, Dreij
14 et al. (2002) demonstrated that GST isozymes, including alpha class GSTA1-1, GSTM1-1, and
15 GSTP1-1 isoforms, had significant catalytic activity toward benzo[a]pyrene-derived diol
16 epoxides. Robertson et al. (1986) incubated isolated human GST isoforms with GSH and
17 (\pm)anti-BPDE to assess differences in their catalytic properties. Maximum substrate turnover
18 velocity (V_{\max}) values for α , μ , and π were 38, 570, and 825 nmol mg⁻¹ minute⁻¹, and K_m values
19 were 28, 27, and 54 μ M, respectively. Rojas et al. (1998) reported that no BPDE adducts were
20 formed in GSTM1-positive cells, but adducts were present in GSTM1-negative cells. This body
21 of in vitro studies suggests that GST is an important detoxification mechanism for
22 benzo[a]pyrene-derived epoxides. This compelling evidence for a role of GSTs in protecting
23 against reactive benzo[a]pyrene metabolites has triggered several molecular epidemiology
24 studies. However, recent studies on the impact of polymorphism on adduct levels in PAH-
25 exposed human populations did not succeed in showing clear relationships between CYP1A1,
26 EH, or GSTM1 polymorphisms and DNA (Hemminki et al., 1997) or blood protein adduct
27 formation (Pastorelli et al., 1998).

28 Conjugation with glucuronide is another important detoxification mechanism for
29 oxidative benzo[a]pyrene metabolites. Most of the phenolic metabolites of benzo[a]pyrene are
30 further metabolized by glucuronidation or sulfation, and significant portions of total metabolites
31 in excreta or tissues can be recovered in this form. Bevan and Sadler (1992) administered a
32 single 2 μ g/kg benzo[a]pyrene dose by intratracheal instillation to male Sprague-Dawley rats and
33 assessed the benzo[a]pyrene metabolite profiles in bile after 6 hours. Identified metabolites were
34 31.2% quinol diglucuronides, 30.4% thioether conjugates, 17.8% monoglucuronide, 6.2% sulfate
35 conjugates, and 14.4% unconjugated metabolites.

36 The UGTs are a family of enzymes that catalyze the conjugation of UDP-glucuronide
37 with endogenous substrates (e.g., bilirubin) as well as xenobiotics (reviewed in Guillemette,
38 2003). UGT isoforms as well as their allelic variants show different patterns of tissue

1 distribution and catalytic activity toward benzo[a]pyrene-derived phenols and diols. For
2 example, Fang and Lazarus (2004) assessed the ability of the allelic variants UGT1A1 and 1A9
3 in human liver to catalyze glucuronidation of benzo[a]pyrene-7,8-diol. Microsomes from
4 subjects homozygous for the UGT1A1*28 variant (present in approximately 12% of the
5 Caucasian population) had approximately twofold lower UGT1A1 protein levels than liver
6 microsomes from individuals with the WT allele. Addition of a UGT1A9 inhibitor to the
7 incubation decreased benzo[a]pyrene-glucuronide formation three- to sixfold, suggesting that
8 UGT1A9 also has significant catalytic activity toward benzo[a]pyrene-7,8-diols. With UGT1A9
9 activity blocked, glucuronide formation in UGT1A1*28 homozygotes was significantly lower
10 than in WT and heterozygous individuals. The apparent K_m for this reaction did not differ
11 among microsomes from allelic variants.

12 UGT activity also shows significant interindividual variability. Hu and Wells (2004)
13 evaluated glucuronidation of benzo[a]pyrene metabolites in human lymphocytes (HL) *in vitro*.
14 The degree to which glucuronide conjugates were formed varied over 200-fold (percent of
15 metabolites as glucuronide conjugates ranged from 0.01 to 5% of total benzo[a]pyrene
16 metabolites). Incubation of lymphocytes with benzo[a]pyrene, benzo[a]pyrene-7,8-diol, or
17 benzo[a]pyrene-4,5-diol resulted in covalent binding to protein with, in the case of
18 benzo[a]pyrene, a 220-fold inter-individual variability. Addition of the UGT substrate, UDP
19 glucuronic acid (UDPGA), lowered the inter-individual variability to 143-fold. For
20 benzo[a]pyrene or its diols there was a statistically highly significant relationship between
21 increase in glucuronidation and decrease in protein binding. Cytotoxicity also was inversely
22 correlated to conjugation of diols and diones, suggesting that glucuronidation is an important
23 pathway for protection from chemically reactive benzo[a]pyrene metabolites.

24 Sulfation, normally a detoxification process, can produce a DNA-damaging intermediate in
25 the case of benzo[a]pyrene. It was shown that in rat or mouse liver cytosolic sulfotransferase (in
26 the presence of 3'-phosphoadenosine 5'-phosphosulfate) catalyzes formation of sulfates of
27 benzo[a]pyrene-7,8,9,10-tetrahydro-7-ol, benzo[a]pyrene-7,8-dihydrodiol, and benzo[a]pyrene-
28 7,8,9,10-tetrol. All three sulfates were tested for their ability to bind to DNA, but only the
29 benzo[a]pyrene-7,8,9,10-tetrahydro-7-ol-sulfate formed DNA adducts (Surh and Tannenbaum,
30 1995).

31 Although not specific for benzo[a]pyrene, there is now considerable evidence that genetic
32 polymorphisms of the GST, UGT, and EH genes impart an added risk to humans for developing
33 cancer. Of some significance to the assessment of benzo[a]pyrene may be that smoking, in
34 combination with genetic polymorphism at several gene loci (for detail, see Section 4.8.3),
35 increases the risk for bladder cancer (Moore et al., 2004; Choi et al., 2003; Park et al., 2003) and
36 lung cancer (Alexandrie et al., 2004; Lin et al., 2003). Also, Leng et al. (2004), according to the
37 English abstract of a paper in Chinese, showed that coke oven workers (who are exposed to
38 PAHs, including benzo[a]pyrene) homozygous at the P187S site of the NQO1 gene or carrying

1 the null variant of the GSTM1 gene had a significantly increased risk of chromosomal damage in
2 peripheral blood lymphocytes, while the risk was much lower than controls in subjects with a
3 variant allele at the H113Y site of the EH gene.

4 5 **3.3.3. Tissue-specific Metabolism**

6 **3.3.3.1. *Respiratory Tract Tissues***

7 benzo[a]pyrene treatment has been associated with the induction of respiratory tract
8 tumors. This finding is consistent with the ability of the lung to metabolize benzo[a]pyrene,
9 which has been demonstrated in numerous studies. Ewing et al. (2006) investigated the
10 hypothesis that lung cancer following PAH induction may be a result of slow absorption and
11 extensive metabolism in the thick respiratory epithelia. The researchers used isolated, perfused
12 rat lung to investigate these processes. Benzo[a]pyrene was coated onto 3.5 µm silica carrier
13 particles at concentrations to deliver an average of 2.2, 36, and 8,400 ng to each lung within <1
14 minute. Perfusate was collected for 77 minutes thereafter. Both perfusates and lungs were
15 analyzed for benzo[a]pyrene and metabolites. Absorption and metabolism were both strongly
16 dose-dependent: at the low and mid exposure levels benzo[a]pyrene concentration increased
17 rapidly in the perfusate to a maximum after <5 minutes, then decreased over the remaining
18 observation period. At the high dose, benzo[a]pyrene in perfusate reached the maximum at
19 about 30 minutes after exposure and stayed at a constant level from there on, i.e., the absorption
20 of benzo[a]pyrene proceeded at zero order until all deposited solid benzo[a]pyrene was
21 dissolved. The mass balances for benzo[a]pyrene equivalents in lung vs. perfusate were lung ca.
22 one-third perfusate at the low dose, lung = perfusate at the mid dose, and lung about twice that of
23 perfusate at the high dose. At the low exposure level metabolism was apparently able to convert
24 most of the parent compound, while at the highest exposure level most of the absorbed
25 benzo[a]pyrene remained unmetabolized even at the end of the experiment. The authors pointed
26 out that these findings may explain why many attempts have failed to induce lung cancer in
27 animals using high-dose particle inhalation protocols. The results further confirm that
28 benzo[a]pyrene metabolism is organ specific.

29 Autrup et al. (1980) compared the metabolic capacity of tracheobronchial tissues in
30 culture among several species, including humans, mice, rats, hamsters and bovines. Results from
31 this study are summarized in Table 3-2. Benzo[a]pyrene was metabolized extensively in tissues
32 from all species tested, with lower amounts of metabolites identified in rats and nonresponsive
33 mice. Patterns of metabolism differed among the species but showed formation of a complex
34 array of metabolites, including phenols, diols, tetrols, and quinones. Data summarized from the
35 study suggest that under the conditions tested: (1) upper respiratory tract tissues for all species
36 were able to metabolize benzo[a]pyrene, (2) the degree of phase II conjugation products was
37 greatest in humans, followed by hamsters, Ah-responsive mice, bovines, rats, and Ah-
38 nonresponsive mice, (3) multiple phase II conjugation pathways were operative in tissues of all

1 species, although the relative proportions of conjugate formation varied, and (4) conducting
 2 airway tissues from all species were able to metabolize benzo[a]pyrene into DNA-reactive
 3 metabolites, with DNA binding greatest in hamster trachea followed by human and bovine
 4 bronchus.

5 DNA binding in human, rat, and mouse tissue was similar but considerably higher in
 6 hamster (Table 3-2). The results were quite variable among individuals, a 33-fold difference in
 7 human bronchus, a fivefold variation in human trachea, and a threefold difference in bovine
 8 bronchus, but minimal variation among individuals of the laboratory animal species. Overall,
 9 these results show that human lung tissue metabolizes benzo[a]pyrene in a manner that is
 10 qualitatively similar to that of species that are susceptible to lung tumors, although some
 11 quantitative differences in specific metabolic pathways are observed (Autrup et al., 1980).

12

Table 3-2. Species differences in tracheobronchial benzo[a]pyrene metabolism

Species	Total metabolites ^a	Ratio organic/water soluble metabolites	Percent water soluble metabolites as sulfate esters, glucuronides, and glutathione conjugates	DNA binding ^b
C57B1/6N mouse	1.00 ± 0.25	1.4	31/30/39	10
DBA/2N mouse	0.40 ± 0.13	0.5	28/27/44	10
CD rat	0.65 ± 0.10	0.5	31/13/56	10
Syrian golden hamsters	1.34 ± 0.13	1.2	20/17/63	26
Bovine bronchus	0.93 ± 0.12	0.12	24/29/38	16
Human trachea	1.09 ± 0.48	2.5	56/12/32	11
Human - main-stem bronchus	1.33 ± 0.72	1.7	44/7/51	16
Human secondary and tertiary bronchus	1.75 ± 0.82	2.3	44/6/51	16

^aMean ± SD in pmol/μg DNA.

^bMean ± SD in pmol/mg DNA; results are from trachea in mouse, rat, and hamster.

Source: Autrup et al. (1980).

13

14 In vitro studies with human bronchial epithelial and lung tissue showed that
 15 benzo[a]pyrene is metabolized to the 7,8- and 9,10-diols and, to a lesser extent, to the 4,5-diol
 16 and 3-OH metabolites (Autrup et al., 1978; Cohen et al., 1976). The metabolites were identified
 17 as glutathione and sulfate conjugates; no glucuronide metabolites were found. The ability of
 18 human tissues to metabolize benzo[a]pyrene has also been demonstrated in lung-derived cell
 19 lines. Kiefer et al. (1988) demonstrated that benzo[a]pyrene was metabolized in vitro in the
 20 human lung cancer cell line NCI-H322. These cells were also able to form benzo[a]pyrene-7,8-
 21 diol, suggesting that human lung cells are able to generate carcinogenic metabolites of
 22 benzo[a]pyrene. Approximately 30% of the detected metabolites were water-soluble, about 30%

1 of which were glutathione conjugates. Sulfates, but not glucuronide conjugates, were also
2 detected.

3 A complement of enzymes for the oxidative metabolism of benzo[a]pyrene in the lungs
4 has been identified in both humans and animal tissues, and these activities are inducible with
5 prior exposure. Wei et al. (2001) evaluated CYP1A1 levels in fresh lung tissue from nine human
6 donors. CYP1A1 and CYP1A2 were present at variable levels in lung tissues based on mRNA,
7 protein levels, enzyme activity, and ability of S9 fractions to induce mutagenicity in an Ames
8 assay. CYP1B1 was not identified. The authors emphasized that, in contrast to some previous
9 studies, they were able to identify CYP1A2 in human lung (Wei et al., 2001). In a subsequent
10 study, Wei et al. (2002) lung tissue from 27 human donors was evaluated for CYP1A status.
11 CYP1A1 and CYP1A2 transcripts were present at variable levels in nearly all samples and were
12 inducible by benzo[a]pyrene treatment. Mean inducible CYP1A2 levels were roughly four times
13 lower than CYP1A1. Microsomes prepared from these tissues pretreated with benzo[a]pyrene
14 resulted in a threefold increase in DNA adduct formation, while pretreatment with the potent
15 AhR ligand TCDD increased benzo[a]pyrene-DNA adduct formation to 24-fold over controls.
16 This result shows that that CYP1A activity was highly inducible via the AhR pathway in human
17 lung tissues.

18 These results using human donor tissues are consistent with the body of literature
19 demonstrating the induction of benzo[a]pyrene metabolism in lungs of rodents. For example,
20 Vainio et al. (1976) compared the metabolism of intratracheally-instilled benzo[a]pyrene in the
21 isolated perfused rat lung of both control and rats induced with 3-MC. Pretreated rat lungs had
22 increased covalent binding of [³H]-benzo[a]pyrene to lung tissue, decreased appearance of
23 unmetabolized benzo[a]pyrene in perfusion liquid, and increased formation of water soluble
24 metabolites. Bompert and Clamens (1990) assessed AHH activity in male Sprague-Dawley rats
25 given 2 mg/kg benzo[a]pyrene by i.p. injection weekly for 30 weeks. Every third week, five
26 animals were sacrificed and lung and liver microsomes were prepared for determination of AHH
27 activity (as measured by formation of the 3-OH metabolite of benzo[a]pyrene). Control levels of
28 AHH in lung were much lower than in liver. AHH activity in the lung increased with repeated
29 benzo[a]pyrene doses until approximately week 15, when it reached levels approximately
30 eightfold over controls. Even after this induction, lung AHH activity was still approximately 30-
31 fold lower than in liver. Benzo[a]pyrene treatments had no effect on AHH activity in the liver in
32 this study.

33 Petridou-Fischer et al. (1988) instilled radiolabeled benzo[a]pyrene into the nasal
34 turbinates (ethmoid and maxillary) of monkeys and dogs. Metabolic activity in these tissues was
35 demonstrated by the formation of diverse metabolic products (phenols, diols, tetrols, and
36 quinones). No region-specific metabolism was identified. Even though ethmoid versus
37 maxillary turbinates contain different CYP activities, the pattern of metabolites was qualitatively

1 similar. Differences were not compared quantitatively due to the small number of animals used
2 (four dogs and two monkeys).

3 Bond et al. (1988) showed differential levels of Phase I and Phase II activities in
4 respiratory tract regions of dogs. Benzo[a]pyrene metabolism was greater in nasal tissue than in
5 lung tissue. Metabolic activities towards benzo[a]pyrene in various areas of the upper
6 respiratory tract ranged from 5 to 15 pmol/mg protein/minute; however, in the ethmoid turbinates
7 they reached approximately 45 pmol/mg-minute. There was no difference in the regional patterns
8 of metabolite formation. Although CYP isozyme activity was similar in liver and lung,
9 benzo[a]pyrene metabolism in respiratory tract tissues was about one-tenth that in liver (one-
10 third for the ethmoid turbinates). EH activity was highest in the lower generations of the
11 conducting airways, followed by liver then nasal tissues. GST activity was highest in liver,
12 followed by nasal tissues. UGT activity was more evenly distributed among lung regions, and
13 was similar to levels in the liver. These data show that in dogs the nasal region and lungs have
14 greater metabolic capability for benzo[a]pyrene and its metabolites than the conducting airways,
15 and levels of metabolism are generally similar to those observed in the liver.

16 Dahl et al. (1985) evaluated benzo[a]pyrene metabolism in the respiratory tract tissues
17 from Syrian hamsters. All regions of the respiratory tract had metabolic activity as assessed by
18 the formation of benzo[a]pyrene metabolites. Activity was highest in the nasal tissues on a per
19 gram tissue basis, with similar activities observed in esophagus, forestomach, trachea, larynx,
20 and lungs. Total metabolism on a per organ basis was highest for the lung and trachea. Similar
21 results were obtained for lung and nasal tissue of rats that had inhaled benzo[a]pyrene (Wolff et
22 al., 1989).

23 Persson et al. (2002) showed that [³H]-benzo[a]pyrene instilled nasally in female
24 Sprague-Dawley rats was taken up in nasal structures (sustentacular cells and Bowman's glands).
25 Transport of benzo[a]pyrene or metabolites (as determined by radiography) via axons of
26 olfactory neurons to the olfactory bulb was identified, indicating uptake into nasal structures and
27 transport to central nervous system (CNS) structures via neurons.

28 Weyand and Bevan (1986) examined benzo[a]pyrene disposition and metabolism in male
29 Sprague-Dawley rats following intratracheal instillation of 1 µg/kg body weight [³H]-
30 benzo[a]pyrene. The overall concentration of benzo[a]pyrene metabolites in lung and liver
31 decreased over the 360-minute period, with a shift from predominately lipid-soluble metabolites
32 to an increasing component of water-soluble metabolites at later times. In the lung,
33 benzo[a]pyrene metabolites represented 47.7% of the administered dose in the organic and
34 11.9% in the aqueous fraction, respectively, at 5 minutes, while at 360 minutes the corresponding
35 fractions were 2.16 and 1.83%, respectively. The metabolite profile determined in the organic
36 phase at 360 minutes was as follows: conjugates or polyhydroxylated compounds, 16.3%; 9,10-
37 diol, 4.95%; 4,5-diol, 2.60%; 7,8-diol, 3.11%; 1,6-quinone, 2.99%; 3,6-quinone, 4.09%; 6,12-
38 quinone, 2.23%; 9-OH, 2.26%; 3-OH, 4.59%; and benzo[a]pyrene, 20.0%. Over the 360-minute

1 period, notable shifts in the relative proportion of benzo[a]pyrene and metabolite levels in the
2 lung indicated a net increase in the contribution of conjugates and tetrols, stable to decreasing
3 levels of quinones, increasing levels of diols and phenols, and decreasing levels of parent
4 benzo[a]pyrene. A similar pattern was observed for concentrations of benzo[a]pyrene
5 metabolites in the liver, except that quinone levels also increased in this tissue over the 6-hour
6 period. This identification of significant levels of quinone metabolites early in the lungs is
7 consistent with in vitro studies, such as that of Atrup et al. (1980) in which rat lung had a high
8 capacity to form quinones originating from the oxidation of benzo[a]pyrene at the 6 position.

9 Most of the metabolism studies have focused on the role of the CYP1 isoforms on
10 benzo[a]pyrene metabolism in the lung. However, other CYPs may also be important for
11 benzo[a]pyrene metabolism among species. For example, Shultz et al. (2001) reported that
12 benzo[a]pyrene was metabolized using recombinant CYP2F2 from mouse lung in a cell-free in
13 vitro assay, although metabolic capability was less than that of other isoforms. CYP2F2
14 expression in mouse lung airways was greater than that in tracheal parenchyma, showing region-
15 specific metabolic differences.

16 17 **3.3.3.2. GI Tract and Liver Tissues**

18 Fontana et al. (1999) reported that in healthy volunteers fed diets enriched with char-
19 grilled meat, CYP1A1 and 1A2 activities were induced in the liver and CYP1A1 protein levels
20 were increased in small intestine biopsies. No change in CYP3A4 or 3A5 levels was observed.
21 DNA adducts in peripheral blood cells were inversely correlated to CYP1A levels in enterocytes
22 and CYP1A2 levels in liver. These findings point to the presence of AhR ligands, such as
23 benzo[a]pyrene, in char-grilled meat and lend further support to the complexity of
24 benzo[a]pyrene metabolism by CYP isozymes.

25 In a human hepatoma cell line (HepG2) incubated with [³H]-benzo[a]pyrene,
26 radiolabeled metabolites were recovered primarily in the medium (88.4% of the radioactive
27 material) (Diamond et al., 1980). Sixty-four percent of the metabolites were unidentified water-
28 soluble metabolites. Chloroform extractable metabolites (36% of the radiolabel) included 7,8-
29 diol, 9,10-diol, quinones, 3-OH (16% combined) metabolites, and unmetabolized benzo[a]pyrene
30 (20%). The cell lysate contained the same metabolites, but the proportions of the 3-OH
31 metabolite and parent compound were relatively higher. Enzymatic treatment for conjugate
32 formation did not change recovery of radioactivity, suggesting that at least this tumor cell line
33 did not extensively form phenol products. The authors noted that the HepG2 cell line did not
34 utilize the major phenol detoxification pathway of rodent cell cultures.

35 Similar results were obtained with human hepatocytes in culture (Monteith et al., 1987).
36 Following incubation for 24 hours, the primary metabolites of [³H]-benzo[a]pyrene were
37 unidentified highly polar, water-soluble conjugates. The next four most prevalent metabolites
38 consisted of 3-OH-benzo[a]pyrene and the 4,5-, 9,10- and 7,8-diols. As the dose of

1 benzo[a]pyrene was increased from 10 to 100 μmol , the amount of metabolites increased in a
2 linear fashion, suggesting that the capacity of human hepatocytes to metabolize benzo[a]pyrene
3 was not saturated at benzo[a]pyrene concentrations up to 100 μmol . 3-MC induced rat
4 microsomes convert benzo[a]pyrene to the BPDE approximately 10 times faster than
5 microsomes from uninduced rats, likely through the induction of CYP1A1 (Keller et al., 1987).
6 The rate-limiting step appeared to be competition for CYP1A1 binding sites between parent
7 benzo[a]pyrene and the benzo[a]pyrene-7,8-diol.

8 The metabolism of benzo[a]pyrene in the GI tract and liver has been studied. Zheng et al.
9 (2002) determined the expression of UGT isozyme levels and activity toward benzo[a]pyrene
10 from human tissue samples of the liver, lung, and regions of the aerodigestive tract (tongue,
11 tonsil, floor of the mouth, larynx, esophagus). Glucuronidation of phenolic benzo[a]pyrene
12 metabolites was detected in all aerodigestive tract tissues examined and, of the isoforms tested,
13 activity was identified for UGT1A7, 1A8, and 1A10. No UGT expression or glucuronidation
14 activity was detected in lung tissue.

15 Bentsen-Farmen et al. (1999a) measured CYP1A1 induction and DNA adduct levels in
16 Wistar rats given i.p. doses of 3-MC and followed by a single dose of benzo[a]pyrene, or a single
17 benzo[a]pyrene dose without pretreatment. 3-MC pretreatment increased CYP1A1 activity in
18 the liver and DNA adduct levels in both liver and lung, with significant correlation to CYP1A1
19 activity in lung but not in liver. The results indicate the difficulty in using DNA adducts as a
20 biomarker in short-term exposures. The authors further reported that the study results were
21 highly dependent on the analytical technique used.

22 Ramesh et al. (2001b) exposed F344 rats for up to 90 days to benzo[a]pyrene in the diet
23 at doses of 0, 5, 50, or 100 mg/kg-day. AHH activity (as measured by formation of the 3-OH-
24 benzo[a]pyrene) in the liver was increased in both males and females (approximately twofold
25 higher in females at the end of the study) in a dose- and duration-dependent manner.

26 Granberg et al. (2000) treated female NMRI mice with [^3H]-benzo[a]pyrene by i.v.
27 injection and identified tissue-bound radiolabel in the lung, liver, and cardiovascular endothelial
28 cells. The levels of tissue-bound radioactivity were correlated to CYP1A1, as determined by
29 7-ethoxyresorufin-O-deethylase (EROD) activity. Pretreatment with the AhR ligand $\beta\text{-NF}$
30 increased tissue binding in lung and heart cells.

31 32 **3.3.3.3. Skin**

33 Indirect evidence for metabolism of benzo[a]pyrene in skin is plentiful and includes
34 numerous studies of dermally exposed humans or animals with subsequent detection of
35 benzo[a]pyrene metabolites in tissues or excreta. These types of studies provide qualitative
36 evidence for dermal metabolism but are confounded by potential contribution of metabolism in
37 other tissues following systemic circulation. However, direct measurements of benzo[a]pyrene
38 metabolism have been made in in vitro models using human skin or skin cells.

1 Hall and Grover (1988) also showed that human skin samples can metabolize
2 benzo[a]pyrene. In skin samples from 11 subjects, the majority of benzo[a]pyrene-7,8-diol
3 formed from benzo[a]pyrene was recovered as the (-) enantiomer. However, the stereospecific
4 formation of tetrol metabolites was highly variable, suggesting significant inter-individual
5 variability in the relative formation of the DNA reactive epoxide metabolites from
6 benzo[a]pyrene. Bowman et al. (1997) assessed levels of the 7,8,9,10-tetrol metabolite in the
7 urine of 43 psoriasis patients treated with coal tar medication. Urinary benzo[a]pyrene
8 metabolites were detected in 40% of patients vs. 10% of matched controls. Amounts of
9 metabolites detected were highly variable, but precise measures of applied dose were not
10 available. These studies show that human skin can metabolize benzo[a]pyrene to diverse
11 products, the metabolism of benzo[a]pyrene is inducible and variable with regard to
12 stereospecific formation of downstream metabolites, and there may be significant interindividual
13 variation.

14 Merk et al. (1987) used hair follicles from volunteers to study benzo[a]pyrene
15 metabolism and the effect of coal-tar-containing shampoos. Hair follicles were able to form
16 numerous metabolites of benzo[a]pyrene. The results with coal-tar-exposed individuals showed
17 that AHH activity was inducible in these cells and inhibition of CYP activity decreased the
18 metabolism of benzo[a]pyrene and the formation of DNA binding activity. Alexandrov et al.
19 (1990) demonstrated that hair follicles from human subjects (10 healthy female smokers and 10
20 healthy female nonsmokers) were able to generate benzo[a]pyrene tetrols from diol epoxide
21 precursors. There was stereospecific metabolism with most of the tetrol formed from (-)-
22 benzo[a]pyrene-7,8-diol consistent with extensive formation of (±)-anti-BPDE rather than the
23 (±)-syn-BPDE metabolite. Agarwal et al. (1991) reported that human melanocytes treated in
24 culture formed diverse benzo[a]pyrene metabolites, including dihydrodiols, hydroxyl
25 compounds, quinones, and their glucuronide and sulfate conjugates.

26 Kao et al. (1985) investigated the metabolism of benzo[a]pyrene in skin from several
27 species, including humans. In the case of human skin, TCDD induction could not be studied, but
28 the authors investigated the influence of metabolic viability of the skin on metabolism. Skin
29 samples were treated with 2 µg/cm² [¹⁴C]-benzo[a]pyrene in acetone and were incubated for
30 24 hours. Medium under the skin was then extracted with ethyl acetate but was not subjected to
31 hydrolysis to identify conjugate formation. Fifty-two percent of the radioactivity in culture
32 medium below viable human skin was composed of water-soluble metabolites, 8% were lipid-
33 soluble polar metabolites, 17% were diols, 1.2% were monophenols, 2.5% were quinones, and
34 18% were parent compound. By contrast, previously frozen, nonviable skin allowed mostly
35 parent compound to pass (50% of radioactivity in the medium) and considerably smaller portions
36 of water-soluble and polar metabolites and diols to pass, but, surprisingly, relatively increased
37 portions of monophenols and quinines to pass. Results for skin from other species—marmoset,
38 rabbit, rat, mouse—were similar: water-soluble metabolites varied between 55 and 77% of the

1 radioactivity in the medium; polar metabolites, 5.8–13.2%; diols, 7.9–15.2%; monophenols, 0.7–
2 1.8%; quinones, 0.6–2.1%; and parent compound, 0% (mouse), to 6.7% (rabbit). Metabolites
3 found below nonviable skin from animal species were not reported.

4 Animal studies are consistent with the metabolism data in human skin. Ng et al. (1992)
5 used an in vitro culture system with skin from female hairless guinea pigs and concluded that the
6 degree of penetration of benzo[a]pyrene was dependent on metabolism, since the collection of
7 the administered radioactivity was much greater for viable than nonviable skin. At the lowest
8 dose tested (32.1 nmol/cm²), 37% of the applied radioactivity was recovered in the receptor fluid
9 within 24 hours, 84% of which were metabolites, including OH derivatives, dihydrodiol diones,
10 and conjugates. The tetrol metabolite of the DNA-reactive epoxide accounted for 2.56% of the
11 administered dose. MacNicoll et al. (1980) assessed metabolism of [³H]-benzo[a]pyrene in
12 Swiss mouse skin maintained in short-term organ culture. After incubation for 24 hours,
13 radioactivity derived from benzo[a]pyrene consisted of 3 benzo[a]pyrene-equivalents of lipid-
14 soluble metabolites, 147 benzo[a]pyrene-equivalents water-soluble metabolites, and 5
15 benzo[a]pyrene-equivalents bound to skin. The high proportion of water-soluble metabolites
16 indicates that benzo[a]pyrene was readily metabolized.

17 Kao et al. (1984) evaluated metabolism of benzo[a]pyrene as a factor in the dermal
18 penetration of benzo[a]pyrene in mouse skin. Skin samples formed predominantly polar
19 metabolites and diols (approximately 20% of the radioactivity each), with small shares
20 (approximately 1% of the penetrated material) of conjugates, monophenols, and quinones.
21 Parent compound was recovered at about 1.5%. TCDD induction changed the portion of
22 conjugates to almost 8%, at the expense of polar metabolites, diols, and parent compound, but
23 did not affect monophenol or quinone formation. Investigation of the radioactivity left in the
24 skin revealed that it was mostly parent compound (almost 50% of the dose in uninduced and a
25 little more than 30% in induced skin), with about 12–16% water-soluble metabolites and polar
26 metabolites, diols, monophenols, and quinones decreasing in that order from ~5% of the dose to
27 <2%.

28 29 **3.3.3.4. Reproductive Tissues and Fetal Metabolism**

30 Several toxicity studies have demonstrated the ability of benzo[a]pyrene to impair
31 reproductive function in male and female rodents as well as induce developmental toxicity.
32 Therefore, the ability of benzo[a]pyrene to be metabolized in tissues that affect reproduction or
33 development is of interest. Williams et al. (2000) reported the presence of CYP1A1, CYP1A2,
34 and CYP1B1 transcripts in prostate tissue from human donors exposed in short-term organ
35 culture and the activation of benzo[a]pyrene as indicated by DNA adduct formation. Primary
36 human prostate cells have also been demonstrated to metabolize benzo[a]pyrene in vitro (Martin
37 et al., 2002).

1 Bao et al. (2002) exposed a human endometrium epithelial cell line, which expresses low
2 constitutional levels of EROD activity (used as a measure of CYP1 activity), to benzo[a]pyrene
3 (1 mM) in vitro and observed a 12-fold induction of CYP1A1. Specific inhibitors of CYP1B1
4 and CYP1A2 had no effect on EROD activity, suggesting little metabolic contribution from these
5 two isoforms in endometrial tissues, while the CYP1A1 inhibitor α -NF (100 nM) inhibited
6 EROD activity more than 60%. Melikian et al. (1999) measured DNA adducts in cervical
7 epithelial and stromal tissues in smokers and nonsmokers. Increased levels of adducts in the
8 tissues of smokers suggested that delivery of benzo[a]pyrene to the cervical tissues with
9 subsequent metabolism may occur, although transport of reactive metabolites generated in other
10 tissues was not specifically ruled out.

11 Ramesh et al. (2003, available only as abstract) investigated benzo[a]pyrene metabolism
12 in multiple organ systems in rats exposed by nose-only inhalation to 75 $\mu\text{g}/\text{m}^3$ benzo[a]pyrene
13 adsorbed on CB 4 hours/day for 60 days. AHH activity and benzo[a]pyrene metabolism were
14 reported for various tissues. A diverse spectrum of metabolites was identified, including
15 dihydrodiols and 3- and 9-monophenols. The concentrations of BPDE were highest in testes,
16 providing mechanistic support for previously observed effects of benzo[a]pyrene on male
17 reproductive parameters in animal toxicity studies.

18 Exposure to reactive benzo[a]pyrene metabolites may be a concern both in utero and
19 during lactation. Wu et al. (2003) measured the generation of benzo[a]pyrene metabolites in F1
20 generation pups and determined the mRNA development profile for the AhR in the absence and
21 presence of subacute exposure concentrations of benzo[a]pyrene in preweaning rats. Pregnant
22 F344 rats were exposed to 25, 75, or 100 $\mu\text{g}/\text{m}^3$ benzo[a]pyrene aerosols via nose-only
23 inhalation, 4 hours/day for 10 days (GDs 11–21). Benzo[a]pyrene metabolites and mRNA and
24 protein expression profiles of AhR and CYP1A1 were analyzed in the cerebral cortex,
25 hippocampus, liver, and plasma. Plasma and cerebral cortex benzo[a]pyrene levels on postnatal
26 day (PND) 0 changed with the dose. Benzo[a]pyrene decreased steadily with time in these
27 tissues, always reflecting the administered dose, reaching nondetectable levels by PND 30. In
28 plasma, diols represented approximately 60% of the total metabolites over the period of
29 20 PNDs. In the cerebral cortex, diols represented close to 80% of metabolites soon after
30 parturition, decreasing to approximately 40% by PND 20. Benzo[a]pyrene-7,8-diol represented
31 a maximum of approximately 25% of the recovered metabolites in plasma and approximately
32 30% of recovered metabolites in the cerebral cortex.

33 There was a statistically significant ($p < 0.05$) up-regulation of AhR mRNA, a subsequent
34 induction of CYP1A1 mRNA, and a significant increase in CYP1A1 protein levels in pup livers
35 at 100 $\mu\text{g}/\text{m}^3$ compared to unexposed controls (data were presented only for PND 60 and the
36 100 $\mu\text{g}/\text{m}^3$ concentration). The AhR mRNA expression profile in the developing cerebral cortex
37 and hippocampus indicated up-regulation of AhR during the first 3 postnatal weeks at all
38 concentrations, although these differences were not statistically significant due to large

1 individual variation. At the high concentration, AhR mRNA abundance was more than twice
2 that of controls by PND 30. However, this up-regulation of AhR mRNA was not accompanied
3 by a concomitant up-regulation of CYP1A1 mRNA in the CNS. In fact, expression of CYP1A1
4 in these tissues was very low or absent during the 1-month postnatal period after exposure to
5 $100 \mu\text{g}/\text{m}^3$ of benzo[a]pyrene (the only dose tested). Based on these findings, the authors
6 suggested that the up-regulation of the AhR may increase the potential for benzo[a]pyrene
7 neurotoxicity via the activation of CYP450 in liver and the subsequent deposition of toxic
8 metabolites in the developing CNS. The results do not suggest that benzo[a]pyrene metabolism
9 by CYP1A1 is induced in the developing CNS.

10 Pregnant Swiss Webster mice were administered radiolabeled benzo[a]pyrene by i.v.
11 injection, and maternal and fetal levels of benzo[a]pyrene and radiolabel were determined. Fetal
12 tissue levels of radiolabel increased while maternal tissues decreased. The level of
13 benzo[a]pyrene decreased in fetal tissue during this period, suggesting the accumulation of
14 benzo[a]pyrene metabolites. Increasing the capacity of maternal plasma to bind benzo[a]pyrene
15 by administering a benzo[a]pyrene antiserum decreased fetal accumulation of radiolabel,
16 suggesting that bioavailability had decreased (McCabe and Flynn, 1990). Other investigators
17 have also demonstrated the ability of benzo[a]pyrene to be transported to the fetus (Neubert and
18 Tapken, 1988; Shendrikova and Aleksandrov, 1974). Martin et al. (2000) exposed exfoliated
19 cells from breast milk of human donors to benzo[a]pyrene in vitro. Treated cells showed
20 increased DNA single strand breaks (SSB) relative to controls, indicating that these cells can
21 activate benzo[a]pyrene. Taken together, the results from these various experiments suggest that
22 placental and lactational transfer of benzo[a]pyrene and active metabolites may be of concern.

23 The developmental expression patterns of metabolizing enzymes can be an important
24 determinant of childhood susceptibility. Numerous in vivo mechanistic tumor screening assays
25 have been conducted in newborn mice (see Section 4.4.1 on mechanistic cancer studies), and the
26 observed increases in tumors provide evidence for the ability of young animals to metabolize
27 benzo[a]pyrene to DNA reactive metabolites. Melikian et al. (1989) administered [^3H]-
28 benzo[a]pyrene or [^3H]-BPDE via i.p. injection to CD-1 mice on PND 1, 8, or 15 and formation
29 of benzo[a]pyrene metabolites was determined in lung and liver over time periods ranging from
30 2 minutes to 24 hours after the last dose. In the lung, metabolites included diones, quinones, and
31 phenols, and metabolite levels in the lung were higher on day 1 than on days 8 or 15. In liver, a
32 different spectrum of metabolites was observed, dominated by unidentified polar metabolites.
33 The percentage of radiolabel as metabolites was increased on days 8 and 15, perhaps reflecting
34 greater inducibility of benzo[a]pyrene metabolism in liver versus the lung. Formation of
35 glucuronide or sulfate conjugates was greater than glutathione conjugates in both tissues. The
36 total amount of benzo[a]pyrene metabolized by Phase II enzymes was greater in liver than in
37 lung on day 1 but was similar on days 8 and 15. Age-dependent expression of genes that encode

1 metabolizing enzymes has been the subject of reviews (e.g., Cresteil, 1998) that are addressed in
2 Section 4.4.

3 4 **3.3.3.5. Other Tissues**

5 Moore et al. (1982) exposed organ cultures of human and rat bladder tissues to [³H]-
6 benzo[a]pyrene for 24 hours and evaluated metabolite profiles. Benzo[a]pyrene was metabolized
7 by bladder tissues of both species. Total mean amount of metabolites formed was higher in
8 human than rat bladders (twofold). A similar spectrum of diverse metabolites was generated,
9 although relative proportions varied. Formation of benzo[a]pyrene-7,8-diol was similar in both
10 species and similar levels of DNA binding was observed. These results indicate that bladder
11 tissues have metabolic capability for benzo[a]pyrene and that the metabolic capacity is similar
12 between humans and rats.

13 Several other target tissues for benzo[a]pyrene toxicity have been found to have the
14 capacity to metabolize benzo[a]pyrene. For example, Moorthy et al. (2003) treated mouse aortic
15 smooth muscle cells with benzo[a]pyrene, 3-OH-benzo[a]pyrene, or benzo[a]pyrene-3,6-quinone
16 in vitro. Several DNA adducts were identified that were attributed to the 3-OH and BPQ
17 metabolites. Benzo[a]pyrene treatment increased CYP1B1 but not CYP1A1 in these cells. The
18 authors suggest that CYP1B1 activates benzo[a]pyrene to 3-OH and BPQ metabolites, which
19 induce the DNA damage responsible for changes that are important precursors for
20 atherosclerosis.

21 22 **3.4. ELIMINATION**

23 **3.4.1. Inhalation Exposure**

24 Human studies of benzo[a]pyrene exposure have generally been limited to individuals
25 exposed to coke oven emissions, coal tars, or other products containing a mixture of PAHs and
26 are of limited value in assessing urinary benzo[a]pyrene exposure biomarkers. Numerous
27 studies have evaluated 1-OH pyrene in urine as a general marker for PAH exposure, but, because
28 1-OH pyrene is not a metabolite of benzo[a]pyrene, these data are not directly useful in
29 evaluating the toxicokinetics of benzo[a]pyrene. Some studies have unsuccessfully attempted to
30 quantify exposure to benzo[a]pyrene via measurement of parent compound or its metabolites.
31 Bentsen-Farmen et al. (1999b) compared air concentrations of PAHs to PAH metabolites in the
32 urine of 17 electrode paste plant workers and detected 1-OH-Py but no benzo[a]pyrene
33 metabolites despite the fact that benzo[a]pyrene in personal air samples showed mean exposure
34 levels of 0.3 µg/m³. Waidyanatha et al. (2003) also attempted to measure PAH exposure via
35 urinary metabolite levels of coke oven workers; several PAHs but no benzo[a]pyrene were
36 detected. In other cases, exposure and urinary metabolites were positively correlated (Hecht et
37 al., 2003; Wu et al., 2002; Gündel et al., 2000; Grimmer et al., 1993; Becher and Bjørseth, 1983).

1 Wu et al. (2002) reported a correlation between benzo[a]pyrene-tetrols and total PAH exposure
2 in coke oven workers.

3 Several studies evaluated the elimination of benzo[a]pyrene in animals following
4 exposure via the respiratory tract. Petridou-Fischer et al. (1988) applied 10 μL aliquots of [^{14}C]-
5 benzo[a]pyrene in a gelatin:saline solution over a 2-hour period to the ethmoid and maxillary
6 nasal turbinates of monkeys and dogs to assess differences in benzo[a]pyrene disposition in
7 portions of the nose. Urine levels reached a maximum of 0.69% of the administered dose in
8 dogs and 0.07% in monkeys, while fecal levels reached a maximum of 6.42% of the dose in dogs
9 and 1.17% in monkeys over 48 hours. The pattern of metabolites in the excreta was consistent
10 with results from other respiratory tract deposition studies, showing that benzo[a]pyrene is
11 excreted preferentially in the feces. Wolff et al. (1989) investigated the effects of nose-only
12 exposure of male and female F344 rats to unlabeled benzo[a]pyrene for 4 weeks, followed by a
13 single exposure to [^{14}C]-benzo[a]pyrene. Four weeks after treatment with the radiolabel, the rats
14 had eliminated approximately 96% of the total dose in the feces. The mean half-life was
15 calculated as 22 hours for feces and 28 hours for urine. Sun et al. (1982) exposed male and
16 female F344 rats via nose-only inhalation for 30 minutes to atmospheres containing 0.6 $\mu\text{g}/\text{L}$
17 [^3H]-benzo[a]pyrene absorbed onto $67\text{Ga}_2\text{O}_3$ or to 1.0 $\mu\text{g}/\text{L}$ neat [^3H]-benzo[a]pyrene and
18 measured levels of radioactivity in tissues and excreta. With either exposure, benzo[a]pyrene
19 excretion declined rapidly over the first 3 days after exposure, with little additional excretion
20 occurring by 5 days postexposure. Excretion in feces was much greater than in urine for either
21 exposure regimen. Following exposure of rats to neat benzo[a]pyrene, feces accounted for 86%
22 of total excreted radioactivity and urine for 14%. Metabolite profiles were not evaluated. Wang
23 et al. (2003) exposed B6C3F₁ mice for 10 days to asphalt fumes in an inhalation chamber at a
24 concentration of approximately 180 mg/m^3 ; the benzo[a]pyrene content of the fumes was not
25 reported. Benzo[a]pyrene metabolites measured in the urine of exposed mice were in the $\text{ng}/100$
26 mL range and were identified at the following mass ratios (with benzo[a]pyrene arbitrarily set as
27 1): 7,8,9,10-benzo[a]pyrene-tetrol, 14; benzo[a]pyrene-7,8-diol epoxides, 17; benzo[a]pyrene-
28 7,8-diol, 3.6; 3-OH-benzo[a]pyrene, 10.

29 In a study investigating the disposition of benzo[a]pyrene, 1 $\mu\text{g}/\text{kg}$ of the [^3H]-labeled
30 compound dissolved in triethylene glycol was instilled into the trachea of male Sprague-Dawley
31 rats (Weyand and Bevan, 1986). Approximately 60% of the administered dose was associated
32 with the intestine and intestinal contents, and 2.2% of the radiolabel was recovered in the urine
33 6 hours after dosing. The relative contribution of water- and lipid-soluble metabolites in the
34 intestinal contents was 18.2 and 26.5% of the administered dose, respectively. The amounts of
35 metabolites determined in the organic phase 6 hours after the end of exposure were as follows
36 (percent of total in the organic phase): conjugates or polyhydroxylated compounds (24.1%),
37 9,10-diol (7.75%), 4,5-diol (8.43%), 7,8-diol (5.73%), 1,6-quinone (7.13%), 3,6-quinone
38 (7.85%), 6,12-quinone (7.10%), 9-OH (2.24%), 3-OH (4.66%), benzo[a]pyrene (1.55%). The

1 total amounts of metabolites in feces and urine were not reported in this study, and, due to
 2 enterohepatic circulation, the intestinal content concentrations may not reflect the final amounts
 3 of each metabolite in the excreta. Bevan and Sadler (1992) administered a single intratracheal
 4 instillation of benzo[a]pyrene (2 µg/kg) to male Sprague-Dawley rats and assessed metabolite
 5 profiles in bile after 6 hours. Relative metabolite levels were 31.2% diglucuronides, 30.4%
 6 thioether conjugates, 17.8% monoglucuronides, 6.2% sulfate conjugates, and 14.4%
 7 unconjugated metabolites.

8 Weyand and Bevan (1987) studied the differences in benzo[a]pyrene elimination among
 9 rats, hamsters, and guinea pigs. Male Sprague-Dawley and Gunn rats (the latter a strain
 10 genetically deficient in bilirubin glucuronidation), male Syrian golden hamsters, and male
 11 Dunkin-Hartley guinea pigs were given single intratracheal doses of 0.16 µg or 350 µg [³H]-
 12 benzo[a]pyrene per animal. Tissue levels and the amount of radiolabel excreted into the urine
 13 and bile 6 hours after treatment are given in Table 3-3. Urinary excretion of benzo[a]pyrene
 14 metabolites amounted only to a small fraction of biliary excretion in all tested species. The
 15 results suggested that the metabolic capacity for benzo[a]pyrene became saturated in guinea pigs
 16 and Sprague-Dawley and Gunn rats but not in hamsters at the 350 µg/animal dose (note: rats
 17 weighed 200–250 grams, average high dose 1.56 mg/kg; hamsters 100–140 grams, 2.92 mg/kg;
 18 and guinea pigs 600–850 grams, 0.48 mg/kg), indicating that hamsters, who received about 6
 19 times the dose of guinea pigs, command a metabolic system that handles benzo[a]pyrene very
 20 well. Moir et al. (1998) administered benzo[a]pyrene i.v. to Wistar rats and recovered, at 8 hours
 21 after a 2 mg/kg dose, 4.27% of the dose in urine but only 0.06% in feces, confirming the fact of
 22 enterohepatic circulation of benzo[a]pyrene metabolite conjugates (Hirom et al., 1983; Weyand
 23 and Bevan, 1986).

24
Table 3-3. Excretion of benzo[a]pyrene metabolites in several animal species

Dose	Route of excretion	Gunn rat ^a	Sprague-Dawley rat ^a	Syrian golden hamster ^a	Dunkin-Hartley guinea pig ^a
0.16 µg/animal	Urine	0.98 ± 0.16	2.21 ± 1.1	3.74 ± 1.2	2.22 ± 1.2
	Bile	59.4 ± 1.07	70.3 ± 2.0	54.6 ± 3.6	71.7 ± 1.9
350 µg/animal	Urine	1.44 ± 1.82	1.68 ± 0.6	2.53 ± 0.4	1.27 ± 0.4
	Bile	30.8 ± 1.51	55.0 ± 2.0	52.9 ± 2.7	47.9 ± 4.9

^aValues are percent of the applied dose at 6 hours after intratracheal instillation.

Source: Weyand and Bevan (1987).

25
 26 The pattern of metabolites in bile was also reported; results are compiled in Table 3-4.
 27 At each dose thioether conjugates predominated but to a varying extent in each species. Guinea
 28 pigs evidently used preferentially thioether conjugation for biliary benzo[a]pyrene elimination,
 29 with the other conjugates (or nonconjugated metabolites) making up a small portion of biliary

1 excretion. At the high dose a shift in the relative proportion of metabolites was observed, with
 2 thioethers decreasing, glucuronides and sulfates increasing, and total nonconjugated remaining
 3 unchanged in both rat strains and hamster. The data also indicate that glucuronidation and
 4 sulfation became saturated at the high dose only in guinea pigs, while thioether formation
 5 capacity became overwhelmed by the high dose in all species. Glucuronide levels in the bile of
 6 Gunn rats were about one-half those of Sprague-Dawley rats; this strain also excreted fewer
 7 nonconjugated metabolites and appeared to have a generally lower metabolic capacity for
 8 benzo[a]pyrene.
 9

Table 3-4. Biliary excretion of benzo[a]pyrene metabolites in several species

Metabolite group	Dose (µg/animal)	Gunn rat ^a	Sprague-Dawley rat ^a	Syrian golden hamster ^a	Dunkin-Hartley guinea pig ^a
Nonconjugated	0.16	4	11.5	4.1	1.7
	350	2	8.3	4.2	1.3
Glucuronides	0.16	7	12.9	11.9	4.5
	350	9	18.7	18.1	0.2
Sulfate conjugates	0.16	8	3	3.7	1.9
	350	8	5.9	6.9	1.1
Thioether conjugates	0.16	40	42.9	33.8	54.1
	350	12	22.1	23.7	45.4

^aValues are percent of the applied dose at 6 hours after intratracheal instillation.

Source: Weyand and Bevan (1987).

10
 11 Following intratracheal administration of 1 µg/kg body weight [³H]-benzo[a]pyrene to
 12 male Sprague-Dawley rats in hydrophilic triethylene glycol, 70.5% of the administered
 13 benzo[a]pyrene was excreted into bile within 6 hours (Bevan and Ulman, 1991). In contrast,
 14 benzo[a]pyrene excretion was 58.4 and 56.2% in the same time period when the lipophilic
 15 solvents ethyl laurate and tricapyrylin, respectively, were the vehicles. Benzo[a]pyrene (in
 16 triethylene glycol) excretion in bile was described as biphasic with half-lives of 31 and 100
 17 minutes, respectively. Individual metabolite concentrations were not monitored.
 18

19 3.4.2. Oral Exposure

20 Only limited data were identified on the elimination of benzo[a]pyrene following
 21 exposure by the oral route. Although the dietary/oral route is likely to be the predominant route
 22 of exposure for the general population not occupationally exposed, it has received very little
 23 attention (Stavric and Klassen, 1994). In the only study investigating this issue in humans, the
 24 concentration of benzo[a]pyrene was below detection limits (<0.1 µg/person) in the feces of

1 eight volunteers who had ingested broiled meat containing approximately 8.6 µg of
2 benzo[a]pyrene (Hecht et al., 1979).

3 Ramesh et al. (2001b) evaluated benzo[a]pyrene disposition in F344 rats dosed via
4 gavage with 100 mg/kg benzo[a]pyrene dissolved in peanut oil. Recovery of unmetabolized
5 benzo[a]pyrene from feces reached a maximum of 35% of the dose at 24 hours but was very low
6 at 48 hours and thereafter. Lipid-soluble metabolites in feces reached approximately 28% of all
7 metabolites by 8 hours after dosing then declined sharply. Lipid-soluble metabolites in urine
8 reached 35–40% of total by 48 hours then declined to approximately 10% by 72 hours. Diol
9 metabolites were most numerous in feces, while phenols predominated in urine.

10 Hecht et al. (1979) conducted a study of fecal excretion of benzo[a]pyrene and its
11 metabolites in rats. In male F344 rats administered [¹⁴C]-benzo[a]pyrene via gavage (0.04, 0.4,
12 or 4 µmol/animal), approximately 85% of radiolabel was recovered in feces, and 1–3% in urine
13 after 168 hours. The portion of benzo[a]pyrene recovered from feces as parent compound
14 ranged from 13 to 6% of the administered dose within 48 hours. In rats fed charcoal-broiled
15 hamburger containing 52.7 µg benzo[a]pyrene/kg meat, 11% of the benzo[a]pyrene was excreted
16 unchanged in feces.

17 18 **3.4.3. Dermal Exposure and Other Exposure Routes**

19 Bowman et al. (1997) detected benzo[a]pyrene-tetrols in 40% of the urine samples from
20 psoriasis patients treated with coal tar medication, as compared to only 10% of those of controls.
21 No specific measures of applied dose were available.

22 In a dermal absorption study, Yang et al. (1989) evaluated the recovery of [³H]-
23 benzo[a]pyrene, 100 ppm in crude oil applied topically, in urine and feces of female Sprague-
24 Dawley rats. Total recovery of applied radioactivity over 96 hours was 5.3% in urine and 27.5%
25 in feces. Individual metabolite concentrations were not measured. Ng et al. (1992) examined the
26 percutaneous absorption of radiolabeled benzo[a]pyrene in the hairless guinea pig. Following a
27 single application of 28 µg benzo[a]pyrene (dissolved in 50 µL acetone applied to 4 cm² of
28 dorsal skin), approximately 34% of the administered radiolabel was eliminated within 24 hours.
29 Most excretion had occurred by day 3 and continued slowly to reach 73% by day 7. Relative
30 amounts of benzo[a]pyrene or metabolites in urine versus feces were not reported.

31 Moir et al. (1998) dosed male Wistar rats i.v. with 2, 6, or 15 mg/kg of [¹⁴C]-
32 benzo[a]pyrene and examined excretion in urine and feces over 32 hours. At 8 hours after
33 injection, urinary excretion was 4.3, 2.6, and 3.2% of the dose, while fecal excretion was only
34 0.06, 5.6, and 0.43% of the 2, 6, and 15 mg/kg doses, an indication of enterohepatic circulation.
35 The amount of radioactivity excreted in the urine after 32 hours was similar in each dose group
36 (6–7% of the administered dose). However, the proportion of the administered dose in the feces
37 was dose-dependent. At the low dose (2 mg/kg) fecal excretion accounted for 26% of the dose,

1 while at the mid- and high-doses, fecal excretion accounted for 56 and 50% of the dose,
2 respectively. No measurement of specific metabolites or whole body clearance was conducted.

3 Following i.v. administration of 3 μmol [^{14}C]-benzo[a]pyrene to male New Zealand white
4 rabbits, approximately 30% of the dose was recovered in the bile and 12% in the urine within
5 6 hours after treatment (Chipman et al., 1982). Excretion in the bile was biphasic, with estimated
6 half-lives of 0.27 and 4.62 hours. Further analysis of metabolite profiles in bile and urine were
7 conducted. Treatment of bile or urine with β -glucuronidase or aryl sulfatase increased the
8 amount of radioactivity recovered in ethyl acetate extracts, suggesting that sulfate and
9 glucuronide conjugation are important contributors to benzo[a]pyrene metabolites in excreta.
10 Analysis of these extracts revealed the primary metabolite as 9,10-diol, with lower amounts of
11 numerous other metabolites identified (diols, quinones, and monophenols).

12 Likhachev et al. (1992) measured the excretion of benzo[a]pyrene-7,8-diol and 3-OH-
13 benzo[a]pyrene in L10 rats given a single i.p. dose of 200 mg/kg benzo[a]pyrene. Urine and
14 feces were collected over a 15-day period and then again at 30 days after exposure for another 5
15 days. Both metabolites were excreted in feces at two to three times the amount excreted in urine.
16 Metabolites in urine and feces decreased steadily over the 15-day postexposure period, but no
17 more metabolites were detected at 30 days. A comparative study of metabolism in male *Macaca*
18 *fascicularis* monkeys (five animals) and male L10 rats (four animals) was also performed. Both
19 species were given a single i.p. dose of 100 mg/kg benzo[a]pyrene, and levels of benzo[a]pyrene
20 metabolites in feces were evaluated over 8 days. Total benzo[a]pyrene metabolites were
21 significantly lower in monkeys than in rats, but the results were hampered by infrequent feces
22 collection in monkeys. Monkeys had an approximately fourfold higher benzo[a]pyrene-7,8-diol
23 to 3-OH-benzo[a]pyrene ratio in feces than rats. Together these data suggest that monkeys have
24 lower excretion of benzo[a]pyrene (and perhaps lower metabolism), and formation of the
25 proximate carcinogenic metabolite is higher than in rats.

26 In another part of this study (Likhachev et al., 1992), benzo[a]pyrene metabolism and
27 excretion were assessed following multiple exposures. L10 rats were given i.p. injections of
28 1 mg/kg benzo[a]pyrene every 11th day for 10 treatments. Benzo[a]pyrene metabolites were
29 measured in excreta for 8 days after 1, 5, and 10 treatments. After the single dose, excretion in
30 feces was favored over urine, and benzo[a]pyrene-7,8-diol was the predominant metabolite. The
31 rate of metabolite excretion was decreased considerably after 5 and 10 doses, respectively. The
32 authors attributed this finding to age-related changes in metabolic capacity or "exhaustion of
33 enzymes." They also compared metabolite profiles for individual rats with their tumor responses
34 and based on the results suggested a link between tumor latency and elimination of
35 benzo[a]pyrene metabolites (although small sample size and variability limited the power of the
36 analysis). A direct correlation between benzo[a]pyrene-7,8-diol excreted in urine and tumor
37 latency was observed. It was postulated that higher excretion of reactive benzo[a]pyrene

1 metabolites caused fewer of these metabolites to bind to DNA targets and thus increased tumor
2 latency.

3 In addition to benzo[a]pyrene and its metabolites, adducts of benzo[a]pyrene with
4 nucleotides have also been identified in feces and urine of animals but only as a small fraction of
5 the administered dose. Autrup and Seremet (1986) administered i.p. doses of 0, 10, 50, or
6 100 µg/kg tritiated benzo[a]pyrene to male Wistar rats and collected urine over 24-hour periods
7 for 72 hours. The level of BPDE adducts with guanine detected in urine was dose-dependent.
8 The authors reported that, at the high dose, 0.15% of the administered benzo[a]pyrene dose was
9 excreted in the urine as this adduct within 48 hours. Rogan et al. (1990, only study abstract was
10 available for review) reported that 0.02% of a benzo[a]pyrene dose was excreted as an adduct
11 with guanine in urine and feces over 5 days.

12 Overall, the data on benzo[a]pyrene elimination in humans are too limited to estimate
13 quantitative rates of elimination. The situation is further complicated by the existence of
14 multiple pathways in which many of the key enzymes exhibit polymorphisms. In the context of
15 biomonitoring studies, benzo[a]pyrene metabolites have been detected in the urine of exposed
16 humans, but the fecal excretion has not been investigated in any detail. The animal data are
17 consistent in showing that feces are the primary route of elimination of benzo[a]pyrene, while
18 urinary excretion plays a lesser role. A diverse array of metabolites, as well as parent
19 benzo[a]pyrene, is found in the excreta. Enterohepatic circulation of benzo[a]pyrene metabolite
20 conjugates has been demonstrated in animals and may exist in humans as well, but its impact on
21 the toxicokinetics of benzo[a]pyrene is not understood.

22 Similar considerations apply to the toxicokinetics of benzo[a]pyrene as a whole. A few
23 animal studies that have attempted comprehensive assessments of benzo[a]pyrene metabolism.
24 Although a lot is known from ex vivo studies about which humans tissue can metabolize
25 benzo[a]pyrene to what extent, comprehensive studies to estimate overall metabolic capacities or
26 the balance between Phase I and Phase II metabolism in humans have not been conducted.
27 There are also no comprehensive data on the tissue distribution of benzo[a]pyrene in humans, not
28 counting a number of studies that have reported post mortem levels in a few tissues in the
29 absence of any exposure assessments. Thus, despite the fact the humans are universally exposed
30 to benzo[a]pyrene, there is a great need for more knowledge concerning its toxicokinetics.

31 32 **3.5. PHYSIOLOGICALLY BASED PHARMACOKINETIC (PBPK) MODELS**

33 Several toxicokinetic or pharmacokinetic models of benzo[a]pyrene have been developed
34 for rodents (rat and hamster), but none has been calibrated in humans. Bevan and Weyand
35 (1988) performed compartmental pharmacokinetic analysis of distribution of radioactivity in
36 male Sprague-Dawley rats, following the intratracheal instillation of benzo[a]pyrene to normal
37 and bile duct-cannulated animals (Weyand and Bevan, 1987, 1986). The authors used the
38 Simulation, Analysis and Modeling (SAAM) and Conversational SAAM (CONSAAM)

1 computer programs to model the disposition of labeled benzo[a]pyrene and its metabolites. A
2 good fit to the experimental data was achieved with 10 assumed compartments corresponding to
3 lung, blood, liver, other tissues (reflecting the sum of radioactivity in kidney, stomach, testis,
4 spleen, heart, and thymus), carcass (reflecting the sum of radioactivity in skin, fat, bones,
5 muscle, and blood in those organs), and several additional hypothetical compartments that linked
6 the central compartment with intestines (including their contents) and urine. Enterohepatic
7 circulation and intestinal secretion were both included in the model. The model allowed
8 calculation of linear rate constants for moving radioactivity among compartments (in minute⁻¹),
9 representing the probability per unit time that radioactivity from one compartment would be
10 transferred to another. The model adequately simulated disposition of benzo[a]pyrene and its
11 metabolites, measured as total radioactivity in blood, organs and excreta, under the assumption
12 that the kinetics of benzo[a]pyrene and its metabolites are the same. The authors emphasized
13 differences between their approach in building the implicit SAAM model and the approach used
14 to build explicit PBPK models. Roth and Vinegar (1990) reviewed the capacity of the lung to
15 impact the disposition of chemicals and used benzo[a]pyrene as a case study. A PBPK model
16 was presented based on data from Wiersma and Roth (1983a, b) and was evaluated against tissue
17 concentration data from Schlede et al. (1970). The model was structured with compartments for
18 arterial blood, venous blood, lung, liver, fat, and slowly as well as rapidly perfused tissues.
19 Metabolism in liver and lung was estimated using kinetic data from control rats and rats
20 pretreated with 3-MC to induce benzo[a]pyrene metabolism. Benzo[a]pyrene binding was
21 accounted for in blood, liver, and lung, but only one binding component was used, which, as the
22 authors suggested, resulted in a rather poor fit for liver. The model was built based on blood and
23 tissue concentrations measured over 5 hours in rats given a dose of 117 nmol/kg by i.v. injection
24 into the arterial circulation or the venous blood supply of the liver. The model was tested against
25 the data of Schlede et al. (1970). The number of data points was limited (six time points for the
26 venous circulation, liver, and fat and only a single time point for the lung). The model predicted
27 the data of Schlede et al. (1970) reasonably well, although deviations were apparent for several
28 compartments. Most notably, the model overpredicted concentrations in the induced lung,
29 uninduced and induced liver, and induced fat compartments. The results of PBPK simulations
30 showed that induction of metabolizing enzymes increased the amount of benzo[a]pyrene cleared
31 by the lungs relative to the liver.

32 An interesting result of the Roth and Vinegar (1990) modeling study was that even
33 though the metabolic clearance of benzo[a]pyrene in the lungs was low in comparison to the
34 liver, under simulated pathological conditions of reduced hepatic blood flow, a substantial
35 metabolic clearance was carried on by well perfused lungs. This illustrates that changes in tissue
36 perfusion can shift the organ pattern of benzo[a]pyrene metabolic clearance in vivo, and
37 consequently, might also shift the organ pattern of benzo[a]pyrene-induced disease, such as
38 cancer. The authors emphasized that their PBPK model with the results of simulations should

1 not be taken as a definite model for benzo[a]pyrene but that it could be used for designing further
2 studies of benzo[a]pyrene metabolic clearance. They also suggested that it would be appropriate
3 to incorporate into the PBPK model a description of the appearance of various metabolites of
4 benzo[a]pyrene.

5 Moir et al. (1998) conducted a pharmacokinetic study on benzo[a]pyrene to obtain data
6 for model development. Rats were injected with [¹⁴C]-benzo[a]pyrene at doses of 2, 6, or
7 15 mg/kg and blood, liver, fat, and richly perfused tissue were sampled at 15 time points from 5
8 minutes to 32 hours after dosing. Moir (1999) then described a model for lung, liver, fat, richly
9 and slowly perfused tissues, and venous blood, with saturable metabolism occurring in the liver.
10 The fat and richly perfused tissues were modeled as diffusion-limited, while the other tissues
11 were flow-limited. The model was developed using the 15 mg/kg dose group data from the Moir
12 et al. (1998) study and validated using the 2 and 6 mg/kg data. The model predicted the blood
13 benzo[a]pyrene concentrations well, although it overestimated the 6 mg/kg results at longer
14 times (>100 minutes). The fat and richly perfused tissue benzo[a]pyrene levels were reproduced
15 by the model fairly well at the two highest dose levels but underestimated at the 2 mg/kg dose.
16 The model produced a poor fit to the liver data, underestimating benzo[a]pyrene tissue levels at
17 the two lowest doses. At the high dose the model overestimated the liver benzo[a]pyrene
18 concentration at times <200 minutes but afterwards underestimated them increasingly more. It
19 appeared that the model could not accommodate a slow elimination phase at times beyond 200
20 minutes for the mid and high dose levels. The model simulations were also compared to data of
21 Schlede et al. (1970) who had injected rats with 0.056 mg/kg body weight of benzo[a]pyrene.
22 Again the model predicted blood and fat benzo[a]pyrene concentrations quite well but
23 underestimated liver benzo[a]pyrene concentrations.

24 Moir (1999) suggested that the poor prediction of fat benzo[a]pyrene concentrations after
25 injection of 2 mg/kg benzo[a]pyrene in their own study (Moir et al., 1998) was due to analytical
26 error, causing the model to fail. This explanation is credible because the measured fat
27 benzo[a]pyrene concentrations following injection of 2 mg/kg benzo[a]pyrene were
28 indistinguishable from those obtained following injection of 6 mg/kg benzo[a]pyrene (Moir et
29 al., 1998). The author also speculated that the poor fit to liver benzo[a]pyrene concentration data
30 was due to binding of benzo[a]pyrene to receptors in the liver. The model predicted blood and
31 fat benzo[a]pyrene concentrations fairly accurately over a wide range of doses (0.056–
32 15 mg/kg), but it was limited by the failure to accurately predict liver benzo[a]pyrene
33 concentrations. The model included only one saturable metabolic pathway, and only parent
34 chemical concentrations were used to establish the model. Metabolites were not modeled. An
35 attempt to scale the rodent PBPK model to humans, relevant to risk assessment of oral exposures
36 to benzo[a]pyrene, was presented by Zeilmaker et al. (1999a, b). The PBPK model for
37 benzo[a]pyrene was derived from an earlier model for TCDD in rats (Zeilmaker and van
38 Eijkeren, 1997). The structure of the mainly perfusion-limited PBPK model included

1 compartments for blood, adipose tissue (with diffusion limitation), slowly and richly perfused
2 tissues, and the liver (Figure 3-3). However, there was no separate compartment for the lung.
3 The liver compartment featured the AhR-dependent CYP450 induction mechanism and DNA
4 adduct formation as a marker for formation of genotoxic benzo[a]pyrene metabolites. It was
5 assumed that DNA adduct formation and the bulk benzo[a]pyrene metabolism were mediated by
6 two different metabolic pathways. The model was experimentally calibrated in rats with the data
7 for EROD and formation of DNA adducts in the liver after i.v. administration of a single dose
8 and per oral (p.o.) administration of a single or repeated doses of benzo[a]pyrene (Zeilmaker et
9 al., 1999a).

10 In order to scale this rat PBPK model to human, Zeilmaker et al. (1999b) assumed
11 identical values for several parameters in rats and humans, respectively: benzo[a]pyrene tissue
12 partition coefficients, AhR concentration in liver, rate constant for the decay of the
13 benzo[a]pyrene-CYP450 complex, half-life of the CYP450 protein, fraction and rate of GI
14 absorption of benzo[a]pyrene, and rates of formation and repair of DNA adducts in liver. The
15 basal CYP450 activity in humans was assumed to be lower than that in rat liver (ranging from
16 almost absent up to equal to that in the rat). The mechanism of AhR-dependent induction of
17 CYP450 dominated the simulated benzo[a]pyrene-DNA adduct formation in the liver. The
18 results of PBPK model simulations indicated that the same dose of benzo[a]pyrene administered
19 to rats or humans might produce one order of magnitude higher accumulation of DNA adducts in
20 human liver when compared with the rat (Zeilmaker et al., 1999b).

21 Even though the model of Zeilmaker et al. (1999b) represents a major improvement in
22 predictive modeling of benzo[a]pyrene toxicokinetics, the results of modeling, which included
23 interspecies extrapolation, bear significant uncertainties. As emphasized by the authors, the
24 conversion of benzo[a]pyrene to its mutagenic and carcinogenic metabolites could not be
25 explicitly modeled in human liver because no suitable experimental data were available.
26 According to the authors, to improve the model would require direct measurements of basal
27 activities of CYP1A1 and CYP1A2 and formation of benzo[a]pyrene-DNA adducts in human
28 liver. Moreover, despite the prior results of the study by Roth and Vinegar (1990), the metabolic
29 clearance of benzo[a]pyrene in the lungs was not addressed. Also, the toxicokinetic modeling by
30 Zeilmaker et al. (1999b) addressed only one pathway of benzo[a]pyrene metabolic activation, a
31 single target organ (the liver), and one route of administration (oral).

32 For modeling and predicting of the health outcomes of exposures to benzo[a]pyrene, a
33 mechanistically accurate PBPK model needs to follow, over time and in several target organs,
34 the rate of accumulation of benzo[a]pyrene-DNA adducts and/or the distribution and fate of
35 benzo[a]pyrene metabolites (e.g., BPDE) that bind to DNA and other macromolecules.
36 Alternatively, a stable derivative of the "ultimately carcinogenic" metabolite (e.g.,
37 benzo[a]pyrene trans-anti-tetrol) may be used as an internal dose surrogate. Calibration of such
38 a model requires quantitation of these benzo[a]pyrene metabolites in biological samples, which,

1 in turn, would require refined and sufficiently sensitive analytical methods. Therefore, while the
2 metabolic pattern of benzo[a]pyrene has been relatively well characterized qualitatively in
3 animals, both in vitro and in vivo, the quantitative kinetic relationships between overlapping and
4 sometimes competing metabolic reactions in potential target organs, essential for meaningful
5 PBPK modeling, are yet not well defined.

6 Furthermore, the potential for scaling this model to humans without complete
7 reparameterization is questionable. According to Liao (2004), the recombinant human CYP1A1
8 has a higher activity for the formation of benzo[a]pyrene-hydroxy metabolites and a lower
9 activity for the formation of benzo[a]pyrene-diones, when compared with recombinant rat
10 CYP1A1. The RN-PBPK model overestimated the benzo[a]pyrene concentration in the liver,
11 but after 4 hours, it underestimated benzo[a]pyrene concentration in fat. In contrast to the
12 findings of Zeilmaker et al. (1999a), the adipose tissue compartment was modeled only as
13 perfusion-limited. Tissue-blood partition coefficients for benzo[a]pyrene and its metabolites
14 used in the RN-PBPK model were estimated but not validated experimentally or otherwise.
15 Also, no adjustment was made for using in vitro enzyme activities, especially when measured in
16 recombinant proteins as was done in the calibration of this model, although they typically differ
17 from those in vivo.

18 The published PBPK models for benzo[a]pyrene were evaluated to determine whether the
19 existing models could be used to extrapolate from rats to humans or for a route to route
20 extrapolation from oral exposure to the inhalation route. The focus was concentrated on models
21 for the inhalation route since several well conducted studies by the oral route exist from which to
22 derive toxicity values. No full PBPK model for the inhalation route was identified. It was
23 concluded that at present, none of the published models allow for computation of the resulting
24 internal doses used in the only cancer bioassay available for the inhalation route (Thyssen et al.
25 1981), nor is there a model for humans that simulates the typical inhalation exposure to
26 benzo[a]pyrene on poorly soluble carbonaceous particles.

4. HAZARD IDENTIFICATION

4.1. HUMANS STUDIES

4.1.1. Sources of Human Exposure

Although it has no commercial production or significant uses, benzo[a]pyrene is nevertheless a ubiquitous environmental contaminant resulting from the incomplete combustion of organic matter. Essentially all humans experience repeated exposure to benzo[a]pyrene, which can begin in utero and continue throughout life. The magnitude of benzo[a]pyrene exposure depends on several factors related to lifestyle (e.g., diet, tobacco smoking), occupation, and living conditions (e.g., urban versus rural setting, domestic heating and cooking methods). A distinguishing feature concerning benzo[a]pyrene exposure is that environmental sources always occur as complex mixtures, which may consist of numerous PAHs, including heterocyclic and nonheterocyclic forms as well as aza arenes and nitro-substituted PAHs (reviewed in Bostrom et al., 2002; WHO, 1998; ATSDR, 1995; IARC, 1973). Many of these complex mixture components are carcinogens, and some can exceed the carcinogenic potency of benzo[a]pyrene, as observed in animal bioassays.

With the exception of certain occupational exposure sources such as aluminum production and the conversion of coal to coke and coal tar, the major contributor to total PAH (and thus benzo[a]pyrene) exposure in nonsmokers is the diet (Cogliano et al., 2008; Straif et al., 2005; Bostrom et al., 2002; Cenni et al., 1993; Andersson et al., 1983; Bjorseth et al., 1978a, b). In particular, charbroiled and grilled meats, and certain grain products, are important determinants of PAH exposure in most populations. In very limited populations receiving clinical coal tar treatment of the skin for conditions such as psoriasis, acute dermal exposure to benzo[a]pyrene may greatly exceed that received from both occupational and nonoccupational sources (Godschalk et al., 2001; Pavanello et al., 1999).

4.1.2. Biomonitoring of Benzo[a]pyrene Exposure and Effects In Humans

Quantitative exposure assessment becomes very challenging for complex mixture components such as benzo[a]pyrene, where individual exposures vary depending on background concentrations in the environment, lifestyle factors, and occupation. An alternative to measuring concentrations in various environmental media, human biomonitoring focuses on biomarkers of internal dose which may also serve as mechanistic indicators of early biological response following exposure to a genotoxic agent. Carcinogen exposure biomarkers are generated by uptake and metabolic processes which may result either in detoxification and excretion or in bioactivation to reactive forms that can bind covalently with DNA and other macromolecules. Following exposure to complex PAH mixtures, biomonitoring of benzo[a]pyrene uptake can involve evaluation of urinary metabolites of benzo[a]pyrene or surrogates, DNA adducts in

1 peripheral blood cells such as leukocytes and lymphocytes, protein adducts in hemoglobin and
2 serum albumin, and cytogenetic damage in lymphocytes (Gyorffy et al., 2008; Godschalk et al.,
3 2003; Bostrom et al., 2002; Scherer et al., 2000). Biomarkers offer advantages over traditional
4 benzo[a]pyrene exposure concentration monitoring because they can be quantitative indicators of
5 an individual's environmental exposure and internal carcinogen dose irrespective of time, route
6 of exposure, and inter-individual metabolic differences. Associations between increased cancer
7 risk and levels of specific biomarkers, such as benzo[a]pyrene-DNA adducts in target or
8 surrogate tissues, also provide important mechanistic information in studies of human disease
9 etiology.

10 Considerable progress has been achieved in the application of biomarker methods for
11 human biomonitoring of carcinogens (Vineis and Perera, 2007; Perera and Weinstein, 2000;
12 Poirier et al., 2000). However, further validation is needed before using biomarkers to routinely
13 predict disease risk. Successful application of biomarkers in benzo[a]pyrene exposure
14 assessment currently depends on several assumptions: 1) urinary metabolites, whether derived
15 from benzo[a]pyrene or surrogate PAH compounds such as Py or phenanthrene, provide
16 information about recent benzo[a]pyrene exposures; 2) DNA and protein adduct measurements
17 in easily accessible tissues serve as surrogate biomarkers of long-term benzo[a]pyrene exposure
18 and internal dose for less accessible target tissues (e.g., lung); 3) and cytogenetic biomarkers
19 reflect early biological effects that correlate with relevant preclinical events occurring at target
20 sites, and with biomarkers of biologically effective benzo[a]pyrene dose. Evidence is
21 accumulating to support these assumptions for carcinogenic PAHs, in general, and for
22 benzo[a]pyrene, in particular, although conflicting and variable results among some studies limit
23 their application for dose-response assessment. Important issues to address include differences
24 in biomarker assay sensitivity and specificity, acquired and inherited variations in PAH
25 bioactivation, detoxification, and DNA repair, and uncertain carcinogen intake (Gyorffy et al.,
26 2008; Divi et al., 2002; Poirier et al., 2000; Scherer et al., 2000; Santella et al., 1994).

27 28 **4.1.2.1. Urinary Excretion of Benzo[a]pyrene**

29 Based on animal studies, urinary excretion of benzo[a]pyrene is a minor pathway of
30 elimination compared with elimination in the feces. The hydroxylated metabolites of
31 benzo[a]pyrene, 3-hydroxy-benzo[a]pyrene (3-OH-benzo[a]pyrene) and 9-hydroxy-
32 benzo[a]pyrene, have been identified in the urine of humans exposed to PAH, although they are
33 often not detectable in human urine despite known exposure to benzo[a]pyrene (Rossella et al.,
34 2009; Hecht, 2002; Bentsen-Farmen et al., 1999b). In numerous human studies, the
35 hydroxylated metabolite of Py, 1-OH-Py, is typically used as a surrogate indicator of internal
36 exposure to carcinogenic PAH mixtures based on the assumption that levels of 1-OH-Py in urine
37 correlate with exposure to individual PAH compounds of higher molecular weight, including
38 benzo[a]pyrene. Although urinary 1-OH-Py appears suitable as a sensitive biomarker for total

1 PAH exposure, results are variable for the correlation of 1-OH-Py with the benzo[a]pyrene
2 metabolite, 3-OH-benzo[a]pyrene, in urine (Forster et al., 2008; Godschalk et al., 1998a). In a
3 study of urinary PAHs and their hydroxylated metabolites in 55 coke oven workers, 1-OH-Py
4 was found in 100% of the urine samples, whereas 3-OH-benzo[a]pyrene was always below the
5 quantification limit (Rossella et al., 2009). In addition, reported correlations between urinary 1-
6 OH-Py levels and PAH-DNA adducts in white blood cells (WBCs) are conflicting, although in
7 one study, 3-OH-benzo[a]pyrene levels in urine were significantly correlated with specific
8 benzo[a]pyrene diol epoxide adducts in skin DNA following dermal application of coal tar
9 ointments (Gyorffy et al., 2008; Godschalk et al., 1998a).

10 Buckley et al. (1995) found that excretion of benzo[a]pyrene metabolites in residents
11 (non-smokers not employed in high PAH occupational environments) of Phillipsburg, New
12 Jersey, correlated better with ingestion of benzo[a]pyrene from food rather than with
13 environmental inhalation exposures. Benzo[a]pyrene metabolites in urine were measured
14 following “reverse metabolism,” a procedure that involves enzymatic hydrolysis of
15 benzo[a]pyrene conjugates followed by chemical conversion of all hydroxylated benzo[a]pyrene
16 metabolites back to the parent compound for subsequent thin-layer chromatography and
17 scanning spectrofluorometry. Buckley et al. (1995) estimated pulmonary uptake at 11 and 2.3 ng
18 benzo[a]pyrene/person/day for winter and summer, respectively, based on 24-hour personal air
19 measurements. The median intake of benzo[a]pyrene from the diet was estimated at 176 ng/day.
20 The median urinary excretion of benzo[a]pyrene and metabolites was 121 and 129 ng/day in
21 winter and summer, respectively. Based on multiple regression analyses of estimated inhaled
22 and ingested doses, change in urinary excretion of benzo[a]pyrene was only marginally
23 predictive of benzo[a]pyrene exposure. Most of the variation in urinary benzo[a]pyrene
24 excretion was explained by the ingested dose. These results confirm an earlier study of
25 occupationally exposed (aluminum plant) workers using the same reverse metabolism procedure
26 to measure urinary PAH elimination (Becher and Bjørseth, 1983). Although a significant
27 difference in urinary PAH excretion was seen in nonoccupationally exposed smokers versus
28 nonsmokers, PAH metabolite levels in urine of aluminum plant workers did not reflect the large
29 difference in inhalation exposure relative to controls (Becher and Bjørseth, 1983).

30 Gündel et al. (2000) investigated PAH exposure and urinary metabolite excretion in
31 19 workers from a fireproof stone factory. Along with other PAH metabolites in urine, they
32 measured 3-OH-benzo[a]pyrene levels following airborne exposures to benzo[a]pyrene ranging
33 from 0.043 to 5.41 $\mu\text{g}/\text{m}^3$ based on personal and stationary air sampling. The study authors
34 failed to identify a correlation between benzo[a]pyrene inhalation exposure concentration and
35 urinary 3-OH-benzo[a]pyrene excretion, nor between 1-OH-Py and 3-OH-benzo[a]pyrene levels
36 in urine.

37 38 **4.1.2.2. Adduct Formation with DNA and Protein**

1 A large body of literature supports DNA and protein adducts as biomarkers of a
2 biologically effective dose (ED) for exposures to some DNA reactive human carcinogens
3 (Gyorffy et al., 2008; Vineis and Perera, 2007; Hecht, 2004; Godschalk et al., 2003; Bostrom et
4 al., 2002; Perera and Weinstein, 2000; Poirier et al., 2000). DNA adduct formation is considered
5 to be a necessary early event in tumor formation for many such compounds, particularly large,
6 bulky carcinogens such as benzo[a]pyrene and PAHs in general. In PAH exposed populations,
7 there is evidence demonstrating the formation of DNA adducts with tobacco-derived PAH
8 including benzo[a]pyrene, not only sites directly exposed, but also in distant organs and the
9 peripheral blood (see Table 4-1).

10
11 **Table 4-1. Studies of PAH-DNA adducts in human populations or tissues**
12 **exposed to PAHs**
13

Reference	Study description
Arnould et al. (1998)	DNA adducts from leukocytes from heavy smokers
Arnould et al. (1999)	DNA adducts in leukocytes from workers from plant producing carbon electrodes
Arnould et al. (2000)	DNA adducts in leukocytes of coke oven workers
Assennato et al. (1993)	DNA adducts in peripheral blood leukocytes in coke oven workers
Bartsch et al. (1999)	PAH DNA adduct levels in lung parenchyma of coke oven workers and smokers
Bartsch et al. (1999)	DNA adduct formation in smokers, tobacco chewers, coke oven workers
Bhattacharya et al. (2003)	benzo[a]pyrene-DNA adducts in human urine
Casale et al. (2001)	benzo[a]pyrene adducts in urine of cigarette smokers and women exposed to household smoke
Galati et al. (2001)	DNA adducts in sera of humans exposed to PAHs
Gallagher et al. (1993)	DNA adducts in blood cells, placental syncytial nuclei, placental tissue homogenates, and lung cells following exposure to cigarette or coal smoke
Godschalk et al. (1998a)	DNA adducts in alveolar macrophages and subpopulations of white blood cells in smokers
Godschalk et al. (1998b)	DNA adducts in biopsies of treated skin and in WBCs along with levels of urinary 1-OH-pyrene in psoriasis patients being treated with coal tar
Hemminki et al. (1997)	DNA adduct formation in exposed humans
Izzotti et al. (1991, 1992)	DNA adducts in pulmonary alveolar macrophages following exposure to cigarette smoke
Li et al. (2001)	DNA adduct levels in human peripheral blood lymphocytes of SCC patients and controls
Li et al. (2002)	BPDE-DNA adducts in human breast tissues
Lodovici et al. (1998)	benzo[a]pyrene levels in autoptic lungs of smokers and nonsmokers
Lodovici et al. (1999)	DNA adduct formation in human white blood cells from smokers and nonsmokers
Mancini et al. (1999)	DNA adducts in cervical cells from cigarette smokers
Melikian et al. (1999)	DNA adducts in epithelial and stromal cervical tissue samples from women smokers and self-reported nonsmokers after hysterectomy for nonmalignant conditions
Paleologo et al. (1992)	BPDE-DNA adducts in the white blood cells of patients treated with coal tar preparations
Pavanello et al. (1999)	DNA adducts in mononuclear white blood cells of coke oven workers and chimney sweeps
Rojas et al. (2000)	PAH DNA adduct levels in lung parenchyma of coke oven workers and smokers
Scherer et al. (2000)	benzo[a]pyrene adducts of hemoglobin and albumin in smokers and

Reference	Study description
	nonsmokers
Schoket et al. (1993)	DNA adducts in lymphocytes in aluminum plant workers
Schwartz et al. (2003)	DNA analysis (content, damage, cell cycle, and apoptosis) in smokers and nonsmokers
Shinozaki et al. (1999)	DNA adduct formation in aging smokers and non-smokers
Van Delft et al. (1998)	WBC-DNA adducts in workers in a carbon electrode manufacturing facility
Wiencke et al. (1990)	benzo[a]pyrene DNA adducts and SCEs in human lymphocytes
Zenzes et al. (1999a)	DNA adducts in sperm cells from smokers and nonsmokers
Zenzes et al. (1999b)	DNA adducts in embryos from smoking couples
Zhang et al. (1995)	DNA adduct levels in oral mucosa cells from nonsmokers and smokers

1
2 Measurement of DNA adducts in target tissues (e.g., lung) can be difficult and invasive;
3 however, readily accessible nucleated tissue (e.g., WBCs, sperm cells, cervical cells) and
4 proteins (e.g., hemoglobin and albumin in blood) can serve as surrogate biomarkers of exposure.
5 DNA adducts in WBCs reflect exposure over a relatively long period, and are indicative of the
6 individual's metabolic and DNA repair capability, both of which are genetically influenced.
7 Although protein adducts are not thought to be mechanistic intermediates in PAH-initiated
8 carcinogenesis, they offer several advantages as biomarkers. For example, hemoglobin and
9 albumin are much more abundant than DNA; their adducts are not subject to removal by
10 enzymatic repair, and they can integrate exposures over the protein lifespan of days to weeks.

11 In humans, PAH albumin and hemoglobin adducts have often been used to investigate
12 internal dosimetry of direct tobacco and environmental tobacco smoke (ETS) exposures.
13 Elevated benzo[a]pyrene adducts are often reported in smokers versus nonsmokers and in
14 children exposed to ETS from their smoking mothers (Hecht, 2004; Philips, 2002).
15 Benzo[a]pyrene-protein adduct levels in smokers are typically increased twofold compared with
16 nonsmokers (Scherer et al., 2000; Sherson et al., 1990).

17 18 **4.1.2.2.1. DNA adduct measures in blood**

19 Major advances in methodology have greatly increased the sensitivity and specificity
20 (Himmelstein et al., 2009; Jarabek et al., 2009) of DNA adducts measurements. The various
21 techniques utilized include immunoassays and immunohistochemistry, [³²P]- and [³³P]-
22 postlabelling of modified nucleotides paired with thin layer or high performance liquid
23 chromatography (TLC and HPLC, respectively), fluorescence and phosphorescence
24 spectroscopy, electrochemical detection, and mass spectrometry (Arlt et al., 2007; Poirier et al.,
25 2000). [³²P]-postlabelling assays and immunological methods are the most commonly used. The
26 immunoassays employ an antiserum generated against benzo[a]pyrene-DNA adducts. In
27 addition to DNA adducts formed by benzo[a]pyrene, the antibody cross reacts with DNA adducts
28 formed by other carcinogenic polycyclic aromatic hydrocarbons (PAHs) (Weston, et al, 1989)
29 but has no affinity for DNA or PAHs alone. DNA adducts detected in non-laboratory samples
30 using this technique are therefore referred to as "PAH-DNA" adducts. The [³²P]-postlabelling
31 method is highly sensitive in detecting bulky DNA adducts, including but not limited to
32 benzo[a]pyrene- and PAH-DNA adducts, enabling quantitation down to 1 DNA adduct/10⁹
33 normal nucleotides, and achieving high resolution of individual adducts particularly when
34 combined with HPLC. Unless specific chromatographic standards are available to assist in
35 identification, adducts detected using this technique are referred to in general as "bulky DNA
36 adducts". Accelerator mass spectrometry is a relatively new method for adduct determination
37 that has demonstrated even greater sensitivity. Most studies do not identify the specific DNA
38 adduct structures, but instead report total "PAH-DNA," "bulky-DNA," "hydrophobic-DNA," or

1 “aromatic-DNA” adducts (Alexandrov et al., 2002). Further investigations are needed to
2 examine correlations between DNA adduct levels determined by different methods, and
3 correlations between DNA adducts and urinary PAH metabolites.

4 benzo[a]pyrene-DNA and PAH-DNA adducts have been measured in WBCs in several
5 PAH-exposed human groups and found to be associated with, and predictive of, elevated cancer
6 risks (Vineis and Perera, 2007; Pavanello et al., 1999). Coke oven workers experience especially
7 high exposures to PAHs and demonstrate increased lung cancer rates. Pavanello and coworkers
8 (2005) studied a group of 67 highly exposed coke oven workers for genetic factors that can
9 modulate individual responses to carcinogenic PAHs. Levels of BPDE-DNA adducts in
10 mononuclear WBCs (lymphocyte plus monocyte fraction) were associated with workplace PAH
11 exposure as indicated by urinary 1-OH-Py excretion. The authors concluded that the elevated
12 levels of BPDE-DNA adducts reflected both exposure and individual variation in expression of
13 genes involved with glutathione conjugation activity and DNA excision repair capacity.

14 In a similar study, Pavanello et al. (2006) screened 585 Caucasian municipal workers
15 (52% males, 20–62 years old) from northeast Italy for BPDE-DNA adduct formation in
16 peripheral lymphocytes. Forty-two percent of the participants had elevated anti-BPDE-DNA
17 adduct levels, defined as >0.5 adducts/ 10^8 nucleotides (mean, 1.28 ± 2.80 adducts/ 10^8
18 nucleotides). Comparison of adduct levels with questionnaire responses indicated that smoking,
19 frequent consumption of PAH-rich meals (>52 times/year vs. <52 times/year), and long time
20 periods spent outdoors (>4 hours/day vs. <4 hours/day) were risk factors as all increased BPDE-
21 DNA adduct levels significantly. Exposure to indoor combustion sources (use of fireplace, coal-
22 or wood stove >5 times/year) significantly increased the frequency of subjects positive for
23 BPDE-DNA adducts. Exposure to heavy traffic did not alter lymphocyte BPDE-DNA adduct
24 levels. Smoking and high-PAH diets were associated with increased BPDE-DNA adduct levels.
25 In nonsmokers, high-PAH diets and extended time spent indoors associated with increased
26 BPDE-DNA adduct formation, while in smokers, personal cigarette smoking was the only factor
27 that was positively correlated with adduct levels. These results demonstrate the potential utility
28 of BPDE-DNA adduct measurement as a biomarker of PAH exposure not only in heavily PAH-
29 exposed occupations and tobacco smokers, but also in the general population, including
30 nonsmokers.

31 Several studies investigated the level of DNA adducts in the WBCs of foundry workers
32 (Perera et al., 1988) or coke oven workers (Arnould et al., 2000; Assennato et al., 1993a, b) using
33 an immunoassay. The antibody’s cross-reactivity with adducts originating from PAHs other than
34 benzo[a]pyrene generally results in higher reported adduct levels than the [32 P]-postlabelling
35 method which can isolate benzo[a]pyrene-DNA adducts. All three studies involved several
36 levels of potential benzo[a]pyrene exposure as assessed by environmental sampling: 0.02, 5, 25,
37 and $45 \mu\text{g}/\text{m}^3$ (Arnould et al., 2000); <0.05 , 0.05 – 0.2 , and $>0.2 \mu\text{g}/\text{m}^3$ (Perera et al., 1988); and
38 0.03 – $12.6 \mu\text{g}/\text{m}^3$ (Assennato et al., 1993a, b). Adduct levels ranged from 0.05 to 7 fmol PAH-

1 DNA per μg DNA, and variation within exposure groups was high; however, all three studies
2 reported statistically significant correlations between exposure and adduct levels. However,
3 there are a large number of studies, many of them conducted by the same laboratories cited here,
4 that did not report positive correlations between benzo[a]pyrene exposure and DNA adduct
5 formation in people exposed occupationally or to cigarette smoke (e.g., van Delft et al., 2001;
6 Arnould et al., 1999; Pan et al., 1998; Peluso et al., 1998; Lewtas et al., 1997; Assennato et al.,
7 1993a, b; Kriek et al., 1993; Paleologo et al., 1992; Herbert et al., 1990). These studies illustrate
8 that attempting to correlate DNA adducts with benzo[a]pyrene exposure by a single route of
9 exposure (e.g., inhalation), or by occupation alone, may produce highly variable or misleading
10 results.

11 Perera et al. (2005a) measured BPDE-DNA adduct levels in maternal and umbilical cord
12 blood obtained following normal delivery from 329 nonsmoking pregnant women exposed to
13 emissions from fires during the 4 weeks following the collapse of the World Trade Center
14 (WTC) building in New York City on 09/11/2001. BPDE-DNA adduct levels were highest in
15 study participants who lived within 1 mile of the WTC, with inverse correlation between cord
16 blood levels and distance from WTC. For the group of participants that resided within 1 mile of
17 the WTC, maternal levels were 0.30 ± 0.16 adducts/ 10^8 nucleotides, umbilical cord levels were
18 0.28 ± 0.08 ; for the group employed within 1 mile of WTC, the corresponding values were 0.25
19 ± 0.11 and 0.24 ± 0.12 , and for the unexposed referent group, the values were 0.22 ± 0.10 and
20 0.23 ± 0.10 . Differences between referent and exposed subjects were marginally significant (0.1
21 $> p > 0.05$); however, the trend for adducts in maternal blood decreasing with increasing distance
22 from the WTC was statistically significant ($p = 0.02$) as was the finding that the percentage of
23 participants with detectable BPDE-DNA adducts increased significantly (trend $p = 0.05$) with
24 increasing time and proximity to WTC—from 52.6% (reference group) to 66.7% (employed
25 group) to 80.0% (resident group).

26 Tuntawiroon et al. (2007) evaluated airborne PAH concentrations and internal biomarker
27 levels in 115 Thai school boys (8–12 years old) attending schools adjacent to high-density traffic
28 areas in Bangkok (high exposure group), compared with 69 boys (9–13 years old) attending
29 schools located in a provincial area (low exposure group). Ambient air concentrations (roadside
30 and school areas) and personal breathing zone air concentrations of 10 particulate PAHs were
31 measured in Bangkok and in the provincial location. Peripheral blood samples were collected
32 from the school boys for [^{32}P]-postlabeling determination of bulky DNA adducts in lymphocytes.
33 Based on toxic equivalency factors (TEFs, Nisbet and LaGoy, 1992) for PAH, benzo[a]pyrene
34 equivalent exposures from personal breathing zone measurements in Bangkok children were
35 about 3.5-fold greater than in rural provincial children ($p < 0.001$). Interestingly, although bulky
36 DNA adducts were increased fivefold in Bangkok children compared with provincial children (p
37 < 0.001), adduct levels were negatively correlated with total PAH and benzo[a]pyrene equivalent
38 exposures.

4.1.2.2.2. Adduct measures in reproductive tissues

Zenzes et al. (1998) used immunostaining to detect PAH-DNA adducts in ovarian granulosa-lutein cells from women undergoing procedures for reproductive assistance. The 32 women in the sample were separated by smoking status and consisted of 14 active smokers (1-20 cigarettes per day), 7 passive smokers (nonsmoker but husband smoked), and 11 non-smokers with a non-smoking partner. The collected cells were fixed and stained with the anti-BP-DNA antibody which is known to cross-react with several carcinogenic PAH-DNA adducts. In this assay, darker nuclear staining results from a greater concentration of PAH-DNA adducts and is assigned a higher score. The proportion of nuclei exhibiting respective intensities of staining were combined to obtain an overall intensity score for the sample. The observed staining intensity was related to smoking status, with a mean \pm SE of 1.91 ± 0.10 among active smokers, 1.21 ± 0.20 among passive smokers, and 0.62 ± 0.17 among nonsmokers ($p < 0.0001$). The authors concluded that smoking-related seminal DNA adducts could be a potential source of transmissible zygotic DNA damage.

In another study using the same immunostaining assay, Zenzes et al. (1999a) investigated the occurrence of PAH-DNA adducts in semen in relation to tobacco use. The study included 23 men (11 smokers and 12 nonsmokers), mean age 37 years, recruited through couples attending an in vitro fertilization – embryo transfer clinic in Toronto. Among smokers, the mean amount smoked was 20.6 cigarettes per day. The PAH-DNA adduct staining intensity was higher in the sperm samples from smokers (mean \pm SE, 1.73 ± 0.09) when compared with nonsmokers (0.93 ± 0.10) ($p < 0.0001$); 5.7% and 30.9% of the sperm from smokers and nonsmokers, respectively, exhibited negative staining and 21.2% and 3.8%, respectively, exhibited strong staining. The authors concluded that smoking-related DNA adducts in semen could potentially be a source of zygotic DNA damage.

A related study examined the presence of PAH-DNA adducts in 112 blastomere cells from 22 pre-implantation embryos available through an in vitro fertilization – embryo transfer clinic (Zenzes et al., 1999b). The donated embryos were grouped with respect to the maternal and paternal smoking status ($n = 8$ both parents smoked, $n = 12$ father smoked but mother did not smoke, and $n=7$ neither parent smoked). Five of the embryos (4 from the father-only smoking and 1 from a non-smoking couple) were very fragmented and were not used in the analysis of PAH-DNA staining intensity. Among the smoking parents, women smoked less per day than men (mean \pm SE, 13.0 ± 3.0 and 16.8 ± 1.5 cigarettes per day for women and men, respectively). The intensity score was higher for embryos with at least one parent who smoked (1.40 ± 0.28) compared with embryos from nonsmoking parents (0.38 ± 0.14) ($p = 0.015$), but there was little difference between embryos with two compared with one smoking parent (1.34 ± 0.30 and 1.48 ± 0.55 for both smokers and one smoker, respectively). Similar results were seen using the

1 proportion of embryos exhibiting any staining rather than a mean staining measure, and with the
2 proportion of blastomere cells exhibiting staining. Intensity score was correlated with the
3 amount smoked by the father ($p = 0.020$) but not by the mother. Analysis of the presence of
4 PAH-DNA adducts in sperm cells also revealed an increased proportion and staining intensity in
5 samples from smokers compared with nonsmokers ($p < 0.0001$ for categorical analysis of
6 negative, weak, moderate and strong staining intensity). The authors noted that the demonstrated
7 presence of these adducts in the embryos, reflecting most strongly a paternal origin, may affect
8 the viability of the pregnancy.

9 10 **4.1.2.3. Benzo[a]pyrene-Induced Cytogenetic Damage**

11 Many studies measure cytogenetic damage as biomarkers of early biological effects
12 which also reflect exposure to genotoxic chemicals. Standard cytogenetic end points include
13 chromosomal aberration (CA), sister chromatid exchange (SCE), micronucleus (MN) formation,
14 hypoxanthine guanine phosphoribosyl transferase (hprt) mutation frequency, and GPA mutation
15 frequency (Gyorffy et al., 2008). These biomarkers are often incorporated in multi-endpoint
16 studies with other biomarkers of exposure. Because they indicate related but different endpoints,
17 there is often a lack of correlation between the different categories of biomarkers.

18 Merlo et al. (1997) evaluated DNA adduct formation (measured by [^{32}P]-postlabelling)
19 and micronuclei in WBCs of 94 traffic policemen vs. 52 residents from the metropolitan area of
20 Genoa, Italy. All study subjects wore personal air samplers for 5 hours of one work shift, and
21 levels of benzo[a]pyrene and other PAHs. Policemen were exposed to 4.55 ng
22 benzo[a]pyrene/m³ air, compared with urban residents who were exposed to 0.15 ng/m³. DNA
23 adduct levels in policemen were 35% higher than in urban residents ($p = 0.007$), but micronuclei
24 in urban residents were 20% higher than in policemen ($p = 0.02$). Linear regressions of DNA
25 adducts and MN incidence, respectively, vs. benzo[a]pyrene exposure levels did not reveal
26 significant correlations.

27 Perera and coworkers assessed DNA damage in Finnish iron foundry workers in two
28 separate studies and using three methodologies. Based on results from personal sampling and
29 stationary monitoring in both studies, three levels of benzo[a]pyrene air concentrations were
30 defined: low ($<5 \text{ ng/m}^3$ benzo[a]pyrene), medium ($5\text{--}12 \text{ ng/m}^3$), and high ($>12 \text{ ng/m}^3$). (Perera
31 et al., 1994, 1993). In the first study, involving 48 workers, several biomarkers were analyzed
32 for dose-response and interindividual variability (Perera et al., 1993). PAH-DNA adducts were
33 determined in WBCs using an immunoassay as described in Section 4.1.2.2.1 and enzyme-linked
34 immunosorbent assay (ELISA) with fluorescence detection. Mutations at the hprt locus were
35 also measured in WBC DNA. The latter assay is based on the fact that each cell contains only
36 one copy of the hprt gene, which is located on the X-chromosome. While male cells have only
37 one X-chromosome, female cells inactivate one of the two X-chromosomes at random. The gene
38 is highly sensitive to mutations such that in the event of a crucial mutation in the gene, enzyme

1 activity disappears completely from the cell. In addition, mutations at the GPA gene locus were
2 measured in red blood cells (RBCs). The GPA mutation frequency was not correlated with
3 either benzo[a]pyrene exposure or PAH-DNA adduct formation. However, both PAH-DNA
4 adduct levels and hprt mutation frequency increased with increasing benzo[a]pyrene exposure.
5 In addition, there was a highly significant correlation between incidence of hprt mutations and
6 PAH-DNA adduct levels ($p = 0.004$).

7 In a second study, Perera et al. (1994) surveyed 64 iron foundry workers with
8 assessments conducted in 2 successive years; 24 of the workers provided blood samples in both
9 years. Exposure to benzo[a]pyrene, collected by personal and area sampling in the 1st year of
10 the study, ranged from <5 to 60 ng/m^3 and was estimated to have decreased by 40% in the 2nd
11 year. The levels of PAH-DNA adducts were roughly 50% lower in the 2nd year, presumably
12 reflecting decreased exposure. The longer-lived hprt mutations were not as strongly influenced
13 by the decreasing exposure to benzo[a]pyrene. Study subjects who did not have detectable levels
14 of DNA adducts were excluded from the study. As in the previous study, a strong correlation
15 between DNA adduct levels and incidence of hprt mutations was observed (Perera et al., 1993).

16 Kalina et al. (1998) studied several cytogenetic markers in 64 coke oven workers and
17 34 controls employed at other locations within the same plant. Airborne benzo[a]pyrene and
18 seven other carcinogenic PAHs were collected by personal air samplers, which showed ambient
19 benzo[a]pyrene concentrations ranging widely from 0.002 to $50 \text{ } \mu\text{g/m}^3$ in coke oven workers and
20 from 0.002 to $0.063 \text{ } \mu\text{g/m}^3$ in controls. CAs, SCEs, high-frequency cells (HFCs), and SCE
21 heterogeneity index (SCE-H) were all significantly increased with benzo[a]pyrene exposure.
22 Except for increases in HFCs, no effect of smoking was observed. Consistent with studies of
23 PAH-DNA adduct formation, reduced cytogenetic response at high exposure levels produced a
24 nonlinear dose-response relationship. The authors also evaluated the potential influence of
25 polymorphisms in enzymes involved in the metabolism of benzo[a]pyrene. Glutathione S-
26 transferase M1 (GSTM-1) and N-acetyl transferase (NAT)-2 polymorphisms were studied and
27 no evidence of the two gene polymorphisms having any influence on the incidence of
28 cytogenetic damage was found.

29 Motykiewicz et al. (1998) conducted a similar study of genotoxicity associated with
30 benzo[a]pyrene exposure in 67 female residents of a highly polluted industrial urban area of
31 Upper Silesia, Poland, and compared the results to those obtained from 72 female residents of
32 another urban but less polluted area in the same province of Poland. Urinary mutagenicity and
33 1-OH-Py levels, PAH-DNA adducts in oral mucosa cells (detected by immunoperoxidase
34 staining), SCE, HFC, CA, bleomycin sensitivity, and GSTM-1 and CYP1A1 polymorphisms in
35 blood lymphocytes were investigated. High volume air samplers and gas chromatography were
36 used to quantify ambient benzo[a]pyrene levels which during the summer were 3.7 ng/m^3 in the
37 polluted area and 0.6 ng/m^3 in the control area. During winter, levels rose to 43.4 and 7.2 ng/m^3
38 in the two areas, respectively. The cytogenetic biomarkers (CA and SCE/HFC), urinary

1 mutagenicity, and urinary 1-OH-Py excretion were significantly increased in females from the
2 polluted area, and differences appeared to be more pronounced during winter time. PAH-DNA
3 adduct levels were significantly increased in the study population, when compared to the
4 controls, only in the winter season. No difference in sensitivity to bleomycin-induced
5 lymphocyte chromatid breaks was seen between the two populations. As with the study by
6 Kalina et al. (1998), genetic polymorphisms assumed to affect the metabolic transformation of
7 benzo[a]pyrene were not associated with any difference in the incidence of DNA damage.

8 In a study of Thai school boys in urban (Bangkok) and rural areas, bulky (including but
9 not limited to BPDE-type) DNA adduct levels were measured in lymphocytes along with DNA
10 single strand breaks (SSBs), using the comet assay, and DNA repair capacity (Tuntawiroon et al.,
11 2007). Ambient air and personal breathing zone measurements indicated that Bangkok school
12 children experienced significantly higher exposures to benzo[a]pyrene and total PAHs. A
13 significantly higher level of SSBs (tail length 1.93 ± 0.09 vs. $1.28 \pm 0.12 \mu\text{m}$, +51%; $p < 0.001$)
14 was observed in Bangkok school children when compared with rural children, and this parameter
15 was significantly associated with DNA adduct levels. A significantly reduced DNA repair
16 capacity (0.45 ± 0.01 vs. 0.26 ± 0.01 γ -radiation-induced deletions per metaphase, -42%;
17 $p < 0.001$) was also observed in the city school children, again significantly associated with
18 DNA adduct levels. It was not evident why higher environmental PAH exposure would be
19 associated with lowered DNA repair capacity. However, because the personal breathing zone
20 PAH levels and DNA adduct levels were not associated with each other, it is conceivable that the
21 city school children had a priori lower DNA repair capacities that contributed significantly to the
22 high adduct levels. The authors considered genetic differences between the two study
23 populations as a possible reason for this observation.

25 **4.1.3. Epidemiologic Findings in Humans**

26 The association between human cancer and contact with PAH-containing substances,
27 such as soot, coal tar, and pitch, has been widely recognized since the early 1900s (Bostrom et
28 al., 2002). Although numerous epidemiology studies establish an unequivocal association
29 between PAH exposure and human cancer, defining the causative role for benzo[a]pyrene and
30 other specific PAHs remains a challenge. In essentially all reported studies, either the
31 benzo[a]pyrene exposure and/or internal dose are not known, or the benzo[a]pyrene carcinogenic
32 effect cannot be distinguished from the effects of other PAH and non-PAH carcinogens.
33 Nevertheless, three types of investigations provide support for the involvement of
34 benzo[a]pyrene in some human cancers: molecular epidemiology studies; population- and
35 hospital-based case-control studies; and occupational cohort studies. In some cohort studies,
36 benzo[a]pyrene exposure concentrations were measured and thus provide a means to link
37 exposure intensity with observed cancer rates. In case-control studies, by their nature,
38 benzo[a]pyrene and total PAH doses can only be estimated.

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4.1.3.1. Molecular Epidemiology and Case-Control Cancer Studies

Defective DNA repair capacity leading to genomic instability and, ultimately, increased cancer risk is well documented (Wu et al., 2007, 2005). Moreover, sensitivity to mutagen-induced DNA damage is highly heritable and thus represents an important factor that determines individual cancer susceptibility. Based on studies comparing monozygotic and dizygotic twins, the genetic contribution to BPDE mutagenic sensitivity was estimated to be 48.0% (Wu et al., 2007). BPDE has been used as an etiologically relevant mutagen in case-control studies to examine the association between elevated lung and bladder cancer risk and individual sensitivity to BPDE-induced DNA damage. Mutagen sensitivity is determined by quantifying chromatid breaks or DNA adducts in phytohemagglutinin-stimulated peripheral blood lymphocytes as an indirect measure of DNA repair capacity.

In a hospital-based case-control study involving 221 lung cancer cases and 229 healthy controls, DNA adducts were measured in stimulated peripheral blood lymphocytes after incubation with BPDE in vitro (Li et al., 2001). Lung cells from cancer cases showed consistent statistically significant elevations in induced BPDE-DNA adducts, compared with controls, regardless of subgroup by age, sex, ethnicity, smoking history, weight loss, or family history of cancer. The BPDE-induced DNA adduct levels, when grouped by quartile using the levels in controls as cutoff points, were significantly dose-related with lung cancer risk (odds ratios [ORs] 1.11, 1.62, and 3.23; trend test, $p < 0.001$). In a related hospital-based case-control study involving 155 lung cancer patients and 153 healthy controls, stimulated peripheral blood lymphocytes were exposed to BPDE in vitro (Wu et al., 2005). DNA damage/repair was evaluated using the comet assay, and impacts on cell cycle checkpoints measured using a fluorescence-activated cell-sorting method. The lung cancer cases exhibited significantly higher levels of BPDE-induced DNA damage than the controls ($p < 0.001$), with lung cancer risk positively associated with increasing levels of DNA damage when grouped in quartiles (trend test, $p < 0.001$). In addition, lung cancer patients demonstrated significantly shorter cell cycle delays in response to BPDE exposure, which correlated with increased DNA damage.

Sensitivity to BPDE-induced DNA damage in bladder cancer patients supports the results observed in lung cancer cases. In a hospital-based case-control study involving 203 bladder cancer patients and 198 healthy controls, BPDE-induced DNA damage was specifically evaluated at the chromosome 9p21 locus in stimulated peripheral blood lymphocytes (Gu et al., 2008). Deletions of 9p21, which includes critical components of cell cycle control pathways, are associated with a variety of cancers. After adjusting for age, sex, ethnicity, and smoking status, individuals with high BPDE-induced damage at 9p21 were significantly associated with increased bladder cancer risk (OR 5.28; 95% confidence interval [CI] 3.26–8.59). Categorization of patients into tertiles for BPDE sensitivity relative to controls demonstrated a dose-related association between BPDE-induced 9p21 damage and bladder cancer risk.

1 Collectively, the results of molecular epidemiology studies with lung and bladder cancer patients
2 indicate that individuals with a defective ability to repair BPDE-DNA adducts are at increased
3 risk for cancer and, moreover, that specific genes linked to tumorigenesis pathways may be
4 molecular targets for benzo[a]pyrene and other carcinogens.

5 Due to the importance of the diet as a benzo[a]pyrene exposure source, several
6 population- and hospital-based case-control studies have investigated the implied association
7 between dietary intake of benzo[a]pyrene and risk for several tumor types. In a study involving
8 193 pancreatic cancer cases and 674 controls (Anderson et al., 2005), another involving
9 626 pancreatic cancer cases and 530 controls (Li et al., 2007), and a third involving
10 146 colorectal adenoma cases and 228 controls (Sinha et al., 2005), dietary intake of
11 benzo[a]pyrene was estimated using food frequency questionnaires. In all studies, the primary
12 focus was on estimated intake of benzo[a]pyrene (and other carcinogens) derived from cooked
13 meat. Overall, cases when compared with controls had higher intakes of benzo[a]pyrene and
14 other food carcinogens, leading to the conclusion that benzo[a]pyrene plays a role in the etiology
15 of these tumors in humans. In a supportive follow-up case-control study of colorectal adenomas,
16 increased leukocyte PAH-DNA adducts were measured in cases when compared with controls,
17 using a method that recognizes BPDE and several other PAHs bound to DNA (Gunter et al.,
18 2007).

19 20 **4.1.3.2. Cohort Cancer Studies**

21 Epidemiologic studies of workers in PAH-related occupations indicate increased human
22 cancer risks associated with iron and steel production, roofing, carbon black production, and
23 exposure to diesel exhaust (Bosetti et al., 2007). Exposure to benzo[a]pyrene is only one of
24 numerous contributors to the cancer risk from complex PAH-containing mixtures that occur in
25 the workplace. Although some occupational cohort studies report measured or estimated
26 inhalation exposure concentrations for benzo[a]pyrene, none report biomarkers of internal
27 benzo[a]pyrene dose in study subjects (reviewed in Bosetti et al., 2007; Armstrong et al., 2004).
28 Several of these cohort studies (summarized below) demonstrate a positive exposure-response
29 relationship with cumulative PAH exposure using benzo[a]pyrene—or a proxy such as benzene-
30 soluble matter (BSM) that can be converted to benzo[a]pyrene—as an indicator substance.
31 These studies provide insight and support for the causative role of benzo[a]pyrene in human
32 cancer.

1 **4.1.3.2.1. Cancer incidence in aluminum and electrode production plants.**

2 Exposure to benzo[a]pyrene and BSM in aluminum smelter workers is strongly
3 associated with bladder cancer and weakly associated with lung cancer (Boffetta et al., 1997;
4 Tremblay et al., 1995; Armstrong et al., 1994; Gibbs, 1985; Theriault et al., 1984). In an
5 analysis of pooled data from nine cohorts of aluminum production workers, 688 respiratory tract
6 cancer cases were observed versus 674.1 expected (pooled RR 1.03; CI 0.96–1.11) (Bosetti et al.,
7 2007). A total of 196 bladder cancer cases were observed in eight of the cohorts, compared with
8 155.7 expected (pooled RR 1.29; CI 1.12–1.49). Based on estimated airborne benzo[a]pyrene
9 exposures from a meta-analysis of eight cohort studies, the predicted lung cancer relative risk
10 (RR) per 100 $\mu\text{g}/\text{m}^3$ -years of cumulative benzo[a]pyrene exposure was 1.16 (95% CI 1.05–1.28)
11 (Armstrong et al., 2004).

12 Spinelli et al. (2006) reported a 14-year update to a previously published historical cohort
13 study (Spinelli et al., 1991) of Canadian aluminum reduction plant workers. The results
14 confirmed and extended the findings from the earlier epidemiology study. The study surveyed a
15 total of 6,423 workers with ≥ 3 years of employment at an aluminum reduction plant in British
16 Columbia, Canada, between the years 1954 and 1997, and evaluated all types of cancers. The
17 focus was on cumulative exposure to coal tar pitch volatiles, measured as BSM and as
18 benzo[a]pyrene. Benzo[a]pyrene exposure categories were determined from the range of
19 predicted exposures over time from statistical exposure models. There were 662 cancer cases, of
20 which approximately 98% had confirmed diagnoses. The overall cancer mortality rate
21 (standardized mortality ratio [SMR] 0.97; CI 0.87–1.08) and cancer incidence rate (standardized
22 incidence ratio [SIR] 1.00; CI 0.92–1.08) were not different from that of the British Columbia
23 general population. However, this study identified significantly increased incidence rates for
24 cancers of the bladder (SIR 1.80; CI 1.45–2.21) and the stomach (SIR 1.46; CI 1.01–2.04). The
25 lung cancer incidence rate was only slightly higher than expected (SIR = 1.10; CI 0.93–1.30).
26 Significant dose-response associations with cumulative benzo[a]pyrene exposure were seen for
27 bladder cancer (p trend < 0.001), stomach cancer (p trend < 0.05), lung cancer (p trend < 0.001),
28 non-Hodgkin lymphoma (p trend < 0.001), and kidney cancer (p trend < 0.01), although the
29 overall incidence rates for the latter three cancer types were not significantly elevated versus the
30 general population. Similar cancer risk results were obtained using BSM as the exposure
31 measure; the cumulative benzo[a]pyrene and BSM exposures were highly correlated ($r = 0.94$).

32 In several occupational cohort studies of workers in Norwegian aluminum production
33 plants, personal and stationary airborne PAH measurements were performed.

34 In a study covering 11,103 workers and 272,554 person \times years of PAH exposure, cancer
35 incidence was evaluated in six Norwegian aluminum smelters (Romundstad et al., 2000a, b).
36 Reported estimates of PAH exposure concentrations reached a maximum of 3,400 $\mu\text{g}/\text{m}^3$ PAH
37 (680 $\mu\text{g}/\text{m}^3$ benzo[a]pyrene). The overall number of cancers observed in this study did not differ
38 significantly from control values (SIR 1.03; CI 1.0–1.1). The data from this study showed

1 significantly increased incidences for cancer of the bladder (SIR 1.3; CI 1.1–1.5), and elevated,
2 but not significant, SIRs for larynx (SIR 1.3; CI 0.8–1.9), thyroid (SIR 1.4; CI 0.7–2.5), and
3 multiple myeloma (SIR 1.4; CI 0.9–1.9). Incidence rates for bladder, lung, pancreas, and kidney
4 cancer (the latter three with SIRs close to unity) were subjected to a cumulative exposure-
5 response analysis. The incidence rate for bladder cancer showed a trend with increasing
6 cumulative exposure and with increasing lag times (up to 30 years) at the highest exposure level.
7 The incidence of both lung and bladder cancers was greatly increased in smokers. The authors
8 reported that using local county rates rather than national cancer incidence rates as controls
9 increased the SIR for lung cancer (SIR 1.4; CI 1.2–1.6) to a statistically significant level.

11 **4.1.3.2.2. *Cancer incidence in coke oven, coal gasification, and iron and steel foundry*** 12 ***workers.***

13 An increased risk of death from lung and bladder cancer is reported in some studies
14 involving coke oven, coal gasification, and iron and steel foundry workers (Bostrom et al., 2002;
15 Boffetta et al., 1997). An especially consistent risk of lung lung cancer across occupations is
16 noted when cumulative exposure is taken into consideration (e.g. RR of 1.16 per 100 unity-yrs
17 for aluminum smelter workers, 1.17 for coke oven workers, and 1.15 for coal gasification
18 workers). In an analysis of pooled data from 10 cohorts of coke production workers, 762 lung
19 cancer cases were observed versus 512.1 expected (pooled RR 1.58; CI 1.47–1.69) (Bosetti et al.,
20 2007). Significant variations in risk estimates among the studies were reported, particularly in
21 the large cohorts (RRs of 1.1, 1.2, 2.0, and 2.6). There was no evidence for increased bladder
22 cancer risk in the coke production workers. Based on estimated airborne benzo[a]pyrene
23 exposures from a meta-analysis of 10 cohort studies, the predicted lung cancer RR per
24 100 $\mu\text{g}/\text{m}^3$ -years of cumulative benzo[a]pyrene exposure was 1.17 (95% CI 1.12–1.22)
25 (Armstrong et al., 2004).

26 A meta-analysis of data from five cohorts of gasification workers reported 251 deaths
27 from respiratory tract cancer, compared with 104.7 expected (pooled RR 2.58; 95% CI 2.28–
28 2.92) (Bosetti et al., 2007). Pooled data from three of the cohorts indicated 18 deaths from
29 urinary tract cancers, versus 6.0 expected (pooled RR 3.27; 95% CI 2.06–5.19). Based on
30 estimated airborne benzo[a]pyrene exposures from a meta-analysis of four gas worker cohort
31 studies, the predicted lung cancer RR per 100 $\mu\text{g}/\text{m}^3$ -years of cumulative benzo[a]pyrene
32 exposure was 1.15 (95% CI 1.11–1.20) (Armstrong et al., 2004).

33 Increased risks were reported in iron and steel foundry workers for cancers of the
34 respiratory tract, bladder and kidney. In an analysis of pooled data from 10 cohorts,
35 1,004 respiratory tract cancer cases were observed versus 726.0 expected (pooled RR 1.40; CI
36 1.31–1.49) (Bosetti et al., 2007). A total of 99 bladder cancer cases were observed in seven of
37 the cohorts, compared with 83.0 expected (pooled RR 1.29; CI 1.06–1.57). For kidney cancer,

1 40 cases were observed compared with 31.0 expected based on four studies (pooled RR 1.30;
2 95% CI 0.95–1.77).

3 Xu et al. (1996) conducted a nested case-control study, surveying the cancer incidence
4 among 196,993 active or retired workers from the Anshan Chinese iron and steel production
5 complex. A large number of historical benzo[a]pyrene measurements (1956–1995) were
6 available. The study included 610 cases of lung cancer and 292 cases of stomach cancer, with
7 959 matched controls from the workforce. After adjusting for nonoccupational risk factors such
8 as smoking and diet, significantly elevated risks for lung cancer and stomach cancer were
9 identified for subjects employed for 15 or more years, with ORs varying among job categories.
10 For either type of cancer, highest risks were seen among coke oven workers: lung cancer, OR =
11 3.4 (CI 1.4–8.5); stomach cancer, OR = 5.4 (CI 1.8–16.0).

12 There were significant trends for long-term cumulative benzo[a]pyrene exposure vs. lung
13 cancer ($p = 0.004$) or stomach cancer ($p = 0.016$) incidence. For cumulative total
14 benzo[a]pyrene exposures of < 0.84 , 0.85-1.96, 1.97-3.2 and ≥ 3.2 the ORs for lung cancer were
15 1.1 (CI 0.8-1.7), 1.6 (CI 1.2-2.3), 1.6 (1.1-2.3) and 1.8 (CI 1.2-2.5). For cumulative total
16 benzo[a]pyrene exposures of < 0.84 , 0.85-1.96, 1.97-3.2 and ≥ 3.2 the ORs for stomach cancer
17 were 0.9 (CI 0.5-1.5), 1.7 (CI 1.1-2.6), 1.3 (0.8-2.1) and 1.7 (CI 1.1-2.7). However, the
18 investigators noted that additional workplace air contaminants were measured, which might have
19 influenced the outcome. Of these, asbestos, silica, quartz, and iron oxide-containing dusts may
20 have been confounders. For lung cancers, cumulative exposures to total dust and silica dust both
21 showed significant dose-response trends ($p = 0.001$ and 0.007 , respectively), while for stomach
22 cancer, only cumulative total dust exposure showed a marginally significant trend ($p = 0.061$).
23 For cumulative total dust exposures of < 69 , 69-279, 280-882 and ≥ 883 mg/m³ the ORs for lung
24 cancer were 1.4 (CI 1.2-1.9), 1.2 (CI 1.0-2.19), 1.4 (CI 1.0-2.0) and 1.9 (CI 1.3-2.5),
25 respectively. For cumulative silica dust exposures of < 3.7 , 3.7-10.39, 10.4-27.71 and ≥ 27.72
26 mg/m³ the ORs for lung cancer were 1.7 (CI 1.2-2.4), 1.5 (CI 1.0-2.1), 1.5 (CI 1.0-2.1) and 1.8
27 (CI 1.2-2.5), respectively. For cumulative total dust exposures of < 69 , 69-279, 280-882 and \geq
28 883 mg/m³ ORs for stomach cancer were 1.3 (CI 0.8-2.1), 1.4 (CI 0.9-2.2), 1.2 (CI 0.8-1.9) and
29 1.6 (CI 1.1-2.5), respectively.

30 Exposure-response data from studies of coke oven workers in the United States have
31 often been used to derive quantitative risk estimates for PAH mixtures, and for benzo[a]pyrene
32 as an indicator substance (Bostrom et al., 2002). However, there are numerous studies of coke
33 oven worker cohorts that do not provide estimates of benzo[a]pyrene exposure. An overview of
34 the results of these and other studies can be obtained from the review of Boffetta et al. (1997).

35 36 **4.1.3.2.3. Cancer incidence in asphalt workers and roofers.**

37 These groups encompass different types of work (asphalt paving vs. roofing) and also
38 different types of historical exposure that have changed from using PAH-rich coal tar pitch to the

1 use of bitumen or asphalt, both of which are rather low in PAHs due to their source (crude oil
2 refinery) and a special purification process. Increased risks for lung cancer were reported in
3 large cohorts of asphalt workers and roofers; evidence for increased bladder cancer risk is weak
4 (Burstyn et al., 2007; Partanen and Boffetta, 1994; Chiazze et al., 1991; Hansen, 1991, 1989;
5 Hammond et al., 1976). In an analysis of pooled data from two cohorts of asphalt workers, 822
6 lung cancer cases were observed versus 730.7 expected (pooled RR 1.14; CI 1.07–1.22) (Bosetti
7 et al., 2007). In two cohorts of roofers, analysis of pooled data indicated that 138 lung cancer
8 cases were observed, compared with 91.9 expected (pooled RR 1.51; CI 1.28–1.78) (Bosetti et
9 al., 2007).

11 **4.1.3.3. *Noncancer Disease Caused by Benzo[a]pyrene***

12 Because accumulating evidence indicates that PAH exposure is a risk factor for ischemic
13 heart disease (IHD), Burstyn et al. (2005) investigated 418 cases of fatal IHD in a cohort of
14 12,367 asphalt paving workers exposed to low-level PAH from bitumen and coal tar. The
15 follow-up started in 1953 and ended in 2000, with an average exposure of 17 ± 9 years
16 (minimum: one work season), resulting in 193,889 person-years of observation. Previous
17 analyses of this cohort indicated no association between PAH exposure and excess mortality
18 from cancer or by all causes. Quantitative estimates of exposure to benzo[a]pyrene were
19 obtained for paving operations on the basis of previously available personal exposure
20 measurements from workers in the asphalt industry (but not necessarily from cohort members).
21 Exposures were calculated as average (0–68 [reference], 68–105, 106–146, 147–272, and 273+
22 ng/m^3) and cumulative (0–189 [reference], 189–501, 502–931, 932–2,012, and 2,013+ ng/m^3 -
23 years), respectively.

24 Cumulative and average exposure indices for benzo[a]pyrene were positively associated
25 with mortality from IHD; the highest RR coincided with an average exposure to benzo[a]pyrene
26 of $273 \text{ ng}/\text{m}^3$ or higher (RR = 1.64; CI = 1.13–2.28). A similar risk was observed for the highest
27 cumulative benzo[a]pyrene exposure group ($>2,013 \text{ ng}/\text{m}^3$ -years) (RR = 1.58; CI = 0.98–2.55).
28 Length of employment had no influence on this result. A dose-response was evident for IHD,
29 but not for other types of cardiovascular disease. The RR remained elevated even with
30 adjustment for smoking as a confounder; the RR was 1.24 under the extreme assumption of 0%
31 never smokers, 30% former smokers, and 70% current smokers in the highest-exposed group.
32 The authors discussed the possibility of bias because some of their study subjects might have
33 been exposed to other IHD-causing factors that were not controlled for in their study, or might
34 have been misclassified.

35 An occupational study of Canadian aluminum smelter workers investigated the effect of
36 benzo[a]pyrene exposure on cardiopulmonary mortality (Friesen et al., 2010). Adjusted internal
37 comparisons for smoking were conducted using Cox regression for male subjects ($n = 6,423$).
38 Ischemic heart disease (IHD) was associated with cumulative benzo[a]pyrene exposure with a

1 hazard ratio of 1.62 (95% CI 1.06-2.46) in the highest benzo[a]pyrene exposure category. For
2 active employment, the hazard ratio for IHD was 2.39 (95% CI 0.95-6.05) in the highest
3 cumulative benzo[a]pyrene exposure category.

4 Other studies have reported potential prenatal effects and associated birth outcomes
5 induced by inhalation exposure to PAHs, including benzo[a]pyrene. Perera et al. (2005a) studied
6 329 nonsmoking pregnant women (30 ± 5 years old) possibly exposed to PAHs from fires during
7 the 4 weeks after 09/11/2001. Maternal and umbilical cord blood levels of benzo[a]pyrene
8 (BPDE)-DNA adducts were highest in study participants who lived within 1 mile of the WTC,
9 with an inverse correlation between cord blood levels and distance from the WTC. Neither cord
10 blood adduct level nor ETS alone was positively correlated with adverse birth outcomes.
11 However, the interaction between ETS exposure and cord blood adducts was significantly
12 associated with reduced birth weight and head circumference. Among babies exposed to ETS *in*
13 *utero*, a doubling of cord blood benzo[a]pyrene-DNA adducts was associated with an 8%
14 decrease in birth weight ($p = 0.03$) and a 3% decrease in head circumference ($p = 0.04$).

15 Perera et al. (2005b) compared various exposures—ETS, nutrition, pesticides, material
16 hardship—with birth outcomes (length, head circumference, cognitive development). ETS
17 exposure and intake of PAH-rich foods by pregnant women were determined by questionnaire.
18 Levels of benzo[a]pyrene(BPDE)-DNA adducts were determined in umbilical cord blood
19 collected at delivery. The study population consisted of Dominican or African-American
20 nonsmoking pregnant women ($n = 529$; 24 ± 5 years old) free of diabetes, hypertension, HIV,
21 and drug or alcohol abuse. Benzo[a]pyrene adducts, ETS, and dietary PAHs were not
22 significantly correlated with each other. However, the interaction between benzo[a]pyrene-DNA
23 adducts and ETS exposure was significantly associated with reduced birth weights (-6.8%; $p =$
24 0.03) and reduced head circumference (-2.9%; $p = 0.04$).

25 Tang et al. (2006) measured benzo[a]pyrene(BPDE)-DNA adducts in maternal and
26 umbilical cord blood obtained at delivery from a cohort of 150 nonsmoking women and their
27 newborns in China. Exposure assessment was related to the seasonal operation of a local, coal-
28 fired power plant; however, airborne PAH concentrations were not measured. Dietary PAH
29 intake was not included as a covariate because it did not significantly contribute to the final
30 models, but ETS, sex, and maternal height and weight were considered as covariates. DNA
31 adduct levels were compared to several birth outcomes and physical development parameters,
32 such as gestational age at birth; infant sex, birth weight, length, head circumference, and
33 malformations; maternal height and pregnancy weight total weight gain; complications of
34 pregnancy and delivery; and medications used during pregnancy.

35 High cord blood adduct levels were significantly associated with reduced infant/child
36 weight at 18 months ($\beta = -0.048$, $p = 0.03$), 24 months ($\beta = -0.041$, $p = 0.027$), and 30 months of
37 age ($\beta = -0.040$, $p = 0.049$); decreased birth head circumference was marginally associated with
38 DNA adduct levels ($\beta = -0.011$, $p = 0.057$). Maternal adduct levels were correlated neither with

1 cord blood adduct levels nor with fetal and child growth. Among female infants, cord blood
2 adduct levels were significantly associated with smaller birth head circumference ($p = 0.022$) and
3 with lower weight at 18 months ($p = 0.014$), 24 months ($p = 0.012$), and 30 months of age ($p =$
4 0.033), and with decreased body length at 18 months of age ($p = 0.033$). Among male infants,
5 the corresponding associations were also inverse but statistically not significant.

6 Considerable evidence of a deleterious effect of smoking on male and female fertility has
7 accumulated from epidemiological studies of time to pregnancy, ovulatory disorders, semen
8 quality, and spontaneous abortion (reviewed in Waylen et al., 2009; Cooper and Moley, 2008;
9 Soares and Melo, 2008). In addition, the effect of smoking, particularly during the time of the
10 perimenopausal transition, on acceleration of ovarian senescence (menopause) has also been
11 established (Midgette and Baron, 1990). More limited data is available pertaining specifically to
12 measures of benzo[a]pyrene and reproductive outcomes.

13 Neal et al. (2008, 2007) examined levels of benzo[a]pyrene and other PAHs in follicular
14 fluid and serum sample from 36 women undergoing in vitro fertilization at a clinic in Toronto,
15 and compared the successful conception rate in relation to benzo[a]pyrene levels. The women
16 were classified by smoking status, with 19 who were current cigarette smokers, 7 with passive or
17 sidestream smoke exposure (i.e., non-smoker with a partner who smoked) and 10 non-smoked
18 exposed. An early follicular phase blood sample and follicular fluid sample from the follicle at
19 the time of ovum retrieval were collected and analyzed for the presence of benzo[a]pyrene,
20 acenaphthelene, phenanthrene, pyrene and chrysene using GC/MS (detection limit 5 pg/ml). The
21 frequency of non-detectable levels of serum benzo[a]pyrene was highest in the non-smoking group
22 (60.0%, 14.3%, and 21.0% below detection limit in non-smoking, sidestream smoke, and active
23 smoking groups, respectively). A similar pattern was seen with follicular fluid benzo[a]pyrene
24 (30.0%, 14.3%, and 10.5% below detection limit limit in non-smoking, sidestream smoke, and
25 active smoking groups, respectively). In the analyses comparing mean values across groups, an
26 assigned value of 0 was used for non-detectable samples. Follicular fluid benzo[a]pyrene levels
27 were higher in the active smoking group (mean \pm SE, 1.32 ± 0.68 ng/ml) than in the sidestream
28 (0.05 ± 0.01 ng/ml) or non-smoking (0.03 ± 0.01 ng/ml) groups ($p = 0.04$). The between-group
29 differences in serum benzo[a]pyrene levels were not statistically significant (0.22 ± 0.15 , $0.98 \pm$
30 0.56 , and 0.40 ± 0.13 ng/ml in non-smoking, sidestream smoke, and active smoking groups,
31 respectively), and there were no differences in relation to smoking status. Among active
32 smokers, the number of cigarettes smoked per day was strongly correlated with follicular fluid
33 benzo[a]pyrene levels ($r = 0.7$, $p < 0.01$). Follicular fluid benzo[a]pyrene levels were
34 significantly higher among the women who did not conceive (1.79 ng/ml ± 0.86) compared with
35 women who did get pregnant (mean approximately, 0.10 ng/ml, as estimated from graph) ($p <$
36 0.001), but serum levels of benzo[a]pyrene were not associated with successful conception.

37 A small case-control study conducted between August 2005 and February 2006 in
38 Lucknow city (Uttar Pradesh), India examined PAH concentrations in placental tissues (Singh et

1 al., 2008) in relation to risk of preterm birth. The study included 29 cases (delivery between 28
2 and < 36 weeks gestation) and 31 term delivery controls. Demographic data smoking history,
3 reproductive history and other information were collected by interview, and a 10 g sample of
4 placental tissue was collected from all participants. Concentration of specific PAHs in placental
5 tissue was determined using HPLC. In addition to benzo[a]pyrene, the PAHs assayed were
6 naphthalene, acenaphthylene, phenanthrene, fluorene, anthracene, benzo(a)anthracene,
7 fluoranthene, pyrene, beno(k)fluoranthene, benzo(b)fluoranthene, benzo(g,h,i)perylene, and
8 dibenzo(a,h)anthracene. PAH exposure in this population was from environmental sources and
9 from cooking. The age of study participants ranged from 20 to 35 years. There was little
10 difference in birthweight between cases and controls (mean 2.77 and 2.75 in the case and control
11 groups, respectively). Placental benzo[a]pyrene levels were lower than the levels of the other
12 PAHs detected (mean 8.83 ppb in controls for benzo[a]pyrene compared with 25-30 ppb for
13 anthracene, beno(k)fluoranthene, benzo(b)fluoranthene, and dibenzo(a,h)anthracene, 59 ppb for
14 acenaphthylene, and 200 – 380 naphthalene, phenanthrene, fluoranthene, and pyrene; non-
15 detectable levels of fluorine, benzo(a)anthracene, and benzo(g,h,i)perylene were found). There
16 was little difference in benzo[a]pyrene levels between cases (mean \pm SE 13.85 ± 7.06 ppb) and
17 controls (8.83 ± 5.84 ppb), but elevated levels of fluoranthene (325.91 ± 45.14 and $208.6 \pm$
18 21.93 ppb in cases and controls, $p < 0.05$) and benzo(b)fluoranthene (61.91 ± 12.43 and $23.84 \pm$
19 7.01 ppb in cases and controls, $p < 0.05$) were seen.

20 Wu et al. (2010) conducted a study of benzo[a]pyrene-DNA adduct levels in relation to
21 risk of fetal death in Tianjin, China. This case-control study included women who experienced a
22 missed abortion before 14 weeks gestational age, that is a fetal death that remained in utero and
23 so required surgical intervention. Cases were matched by age and gravidity to controls (women
24 undergoing induced abortion due to an unplanned or unwanted pregnancy). The study excluded
25 women who smoked, women with chronic disease and pregnancy complications, and women
26 with occupational exposures to PAHs. Residency within Tianjin for at least one year was also an
27 eligibility criterion. The participation rate was high: 81 of 84 eligible cases participated and 81
28 of 89 eligible controls participated. Data pertaining to demographic characteristics, reproductive
29 history, and factors relating to potential PAH exposure were collected using a structured
30 interview, and samples from the aborted tissue were obtained. In two of the four hospitals used
31 in the study, blood samples from the women ($n=51$ cases and 51 controls) were also collected.
32 The presence of benzo[a]pyrene-BPDE adducts was assessed in the blood and tissue samples
33 using HPLC. There was no correlation between blood and aborted tissue levels of
34 benzo[a]pyrene adducts ($r = -0.12$ for the 102 blood-tissue pairs, $r = -0.02$ for the 51 case pairs
35 and $r = -0.21$ for the 51 control pairs). (The authors noted that there was little difference
36 between women with and without blood samples in terms of the interview- based measures
37 collected or in terms of the DNA-adduct levels in aborted tissue.) benzo[a]pyrene-adduct levels
38 were similar but slightly lower in the aborted tissue of cases compared with controls (mean \pm SD

1 4.8 ± 6.0 in cases and 6.0 ± 7.4 in controls, p = 0.29). In the blood samples, however,
2 benzo[a]pyrene-adduct levels were higher in cases (6.0 ± 4.7 and 2.7 ± 2.2 in cases and controls,
3 respectively, p < 0.001). In logistic regression analyses using a continuous adduct measure, the
4 OR was 1.35 (95% CI 1.11 – 1.64) per adduct/10⁸ nucleotide. These results adjusted for
5 education and household income, but were very similar to the unadjusted results. Categorizing
6 exposure at the median value resulted in an adjusted OR of 4.27 (95% CI 1.41 – 12.99) in the
7 high compared with low benzo[a]pyrene-adduct group. There was no relation between
8 benzo[a]pyrene-adduct levels in the aborted tissue and missed abortion in the logistic regression
9 analyses using either the continuous (adjusted OR 0.97, 95% CI 0.93 – 1.02) or dichotomous
10 exposure measure (adjusted OR 0.76, 95% CI 0.37 – 1.54). Associations between missed
11 abortion and several interview-based measures of potential PAH exposure were also seen:
12 adjusted OR 3.07 (95% CI 1.31 – 7.16) for traffic congestion near residence, 3.52 (95% CI 1.44
13 – 8.57) for commuting by walking, 3.78 (95% CI 1.11 – 12.87) for routinely cooked during
14 pregnancy, and 3.21 (95% CI 0.98 – 10.48) for industrial site or stack near residence, but there
15 was no association with other types of commuting (e.g., by bike, car, or bus).

18 **4.2. SUBCHRONIC AND CHRONIC STUDIES AND CANCER BIOASSAYS IN** 19 **ANIMALS—ORAL, INHALATION, AND DERMAL**

20 **4.2.1. Oral**

21 **4.2.1.1. Subchronic Studies**

23 *De Jong et al. (1999) 35-day rat study*

24 De Jong et al. (1999) treated male Wistar rats (eight/dose group) with benzo[a]pyrene
25 (98.6% purity) dissolved in soybean oil by gavage 5 days/week for 35 days at doses of 0, 3, 10,
26 30, or 90 mg/kg-day (adjusted doses: 0, 2.14, 7.14, 21.4, and 64.3 mg/kg-day). At the end of the
27 exposure period, rats were necropsied, organ weights were determined, and major organs and
28 tissues were prepared for histological examination (adrenals, brain, bone marrow, colon, caecum,
29 jejunum, heart, kidney, liver, lung, lymph nodes, esophagus, pituitary, spleen, stomach, testis,
30 and thymus). Blood was collected for examination of hematological endpoints, but there was no
31 indication that serum biochemical parameters were analyzed. Immune parameters included
32 determinations of serum immunoglobulin levels (IgG, IgM, IgE, and IgA), relative spleen cell
33 distribution, and spontaneous cytotoxicity of spleen cell populations determined in a natural-
34 killer (NK) cell assay.

35 Body weight gain was decreased beginning at week 2 at the high dose of 90 mg/kg-day;
36 there was no effect at lower doses (De Jong et al., 1999). Hematology revealed a dose-related
37 decrease in RBC count, hemoglobin, and hematocrit at ≥10 mg/kg-day (Table 4-2). A minimal
38 but significant increase in mean cell volume and a decrease in mean cell hemoglobin

1 concentration were noted at 90 mg/kg-day, and may indicate dose-related toxicity for the RBCs
2 and/or RBC precursors in the bone marrow. A decrease in WBCs, attributed to a decrease in the
3 number of lymphocytes (approximately 50%) and eosinophils (approximately 90%), was
4 observed at 90 mg/kg-day; however, there was no effect on the number of neutrophils or
5 monocytes. A decrease in the cell number in the bone marrow observed in the 90 mg/kg-day
6 dose group was consistent with the observed decrease in the RBC and WBC counts at this dose
7 level. In the 90 mg/kg-day dose group, brain, heart, kidney, and lymph node weights were
8 decreased and liver weight was increased (Table 4-2). Decreases in heart weight at 3 mg/kg-day
9 and in kidney weight at 3 and 30 mg/kg-day were also observed, but these changes did not show
10 dose-dependent responses. Dose-related decreases in thymus weight were statistically
11 significant at ≥ 10 mg/kg-day (Table 4-2).

12

Table 4-2. Exposure-related effects in male Wistar rats exposed to benzo[a]pyrene by gavage 5 days/week for 5 weeks

Effect	Dose (mg/kg-d)				
	0	3	10	30	90
<i>Hematologic effects</i> (mean ± SD; n = 7–8)					
White blood cells (10 ⁹ /L)	14.96 ± 1.9	13.84 ± 3.0	13.69 ± 1.8 ^a	13.58 ± 2.9 ^a	8.53 ± 1.1 ^a
Red blood cells (10 ⁹ /L)	8.7 ± 0.2	8.6 ± 0.2	8.3 ± 0.2	7.8 ± 0.4	7.1 ± 0.4 ^a
Hemoglobin (mmol/L)	10.5 ± 0.2	10.4 ± 0.3	9.8 ± 0.2 ^a	9.5 ± 0.4 ^a	8.6 ± 0.6 ^a
Hematocrit (L/L)	0.5 ± 0.01	0.5 ± 0.01	0.47 ± 0.01 ^a	0.46 ± 0.02 ^a	0.43 ± 0.02 ^a
<i>Serum immunoglobulin levels</i> (mean ± SD; n = 7–8)					
IgM	100 ± 13	87 ± 16	86 ± 31	67 ± 16 ^a	81 ± 26
IgG	100 ± 40	141 ± 106	104 ± 28	106 ± 19	99 ± 29
IgA	100 ± 28	73 ± 29	78 ± 67	72 ± 22	39 ± 19 ^a
IgE	100 ± 65	50 ± 20	228 ± 351	145 ± 176	75 ± 55
<i>Cellularity (mean ± SD; n = 7–8)</i>					
Spleen (cell number × 10 ⁷)	59 ± 15	71 ± 14	59 ± 13	63 ± 10	41 ± 10 ^a
Bone marrow (G/L)	31 ± 7	36 ± 5	31 ± 8	27 ± 8	19 ± 4 ^a
<i>Spleen cell distribution (%)</i>					
B cells	39 ± 4	36 ± 2	34 ± 3 ^a	32 ± 4 ^a	23 ± 4 ^a
T cells	40 ± 9	48 ± 12	40 ± 9	36 ± 2	44 ± 6
Th cells	23 ± 7	26 ± 7	24 ± 5	22 ± 4	26 ± 4
Ts cells	24 ± 5	26 ± 6	24 ± 7	19 ± 2	27 ± 5
<i>Body (g) and organ (mg) weights</i> (means; n = 7–8)					
Body weight	305	282 ^a	300	293	250 ^a
Brain	1,858	1,864	1,859	1,784	1,743 ^a
Heart	1,030	934 ^a	1,000	967	863 ^a
Kidney	1,986	1,761 ^a	1,899	1,790 ^a	1,626 ^a
Liver	10,565	9,567	11,250	11,118	12,107 ^a
Thymus	517 ± 47	472 ± 90	438 ± 64 ^a	388 ± 71 ^a	198 ± 65 ^a
Spleen	551	590	538	596	505
Mandibular lymph nodes	152	123	160	141	89 ^a
Mesenteric lymph nodes	165	148	130 ^a	158	107 ^a
Popliteal lymph nodes	19	18	19	17	10 ^a
<i>Thymus cortex surface area</i> (% of total surface area of thymus; mean ± SD; n = 6–8)					
	77.9 ± 3.8	74.4 ± 2.2	79.2 ± 5.9	75.8 ± 4.0	68.9 ± 5.2 ^a

^aSignificantly ($p < 0.05$) different from control mean. For body weight and organ weight means, SDs were only reported for thymus weights.

Source: De Jong et al. (1999).

1
2 Statistically significant reductions were also observed in the relative cortex surface area
3 of the thymus and thymic medullar weight at 90 mg/kg-day, but there was no difference in cell
4 proliferation between treated and control animals using the proliferating cell nuclear antigen
5 (PCNA) technique. Changes in the following immune parameters were noted: dose-related and
6 statistically significant decrease in the relative number of B cells in the spleen at 10 (13%), 30
7 (18%), and 90 mg/kg-day (41%); significant decreases in absolute number of cells harvested in

1 the spleen (31%), in the number of B cells in the spleen (61%), and NK cell activity in the spleen
2 (E:T ratio was $40.9 \pm 28.4\%$ that of the controls) at 90 mg/kg-day; and a decrease in serum IgM
3 (33%) and IgA (61%) in rats treated with 30 and 90 mg/kg-day, respectively. The decrease in
4 the spleen cell count was attributed by the study authors to the decreased B cells and suggested a
5 possible selective toxicity of benzo[a]pyrene to B cell precursors in the bone marrow. The study
6 authors considered the decrease in IgA and IgM to be due to impaired production of antibodies,
7 suggesting a role of thymus toxicity in the decreased (T-cell dependent) antibody production. In
8 addition to the effects on the thymus and spleen, histopathologic examination revealed treatment-
9 related lesions only in the liver and forestomach at the two highest dose levels, but the incidence
10 data for these lesions were not reported by De Jong et al. (1999). Increased incidence for
11 forestomach basal cell hyperplasia ($p < 0.05$ by Fisher's exact test) was reported at 30 and
12 90 mg/kg-day, and increased incidence for oval cell hyperplasia in the liver was reported at
13 90 mg/kg-day ($p < 0.01$, Fisher's exact test). The results indicate that 3 mg/kg-day was a no-
14 observed-adverse-effect level (NOAEL) for effects on hematological parameters (decrease in
15 RBC count, hemoglobin, and hematocrit) and immune parameters (decreased thymus weight and
16 percent of B cells in the spleen) noted in Wistar rats at 10 mg/kg-day (the lowest-observed-
17 adverse-effect level [LOAEL]) and above. Lesions of the liver (oval cell hyperplasia) and
18 forestomach (basal cell hyperplasia) occurred at doses ≥ 30 mg/kg-day.

19
20 *Knuckles et al. (2001) 90-day rat study*

21 Knuckles et al. (2001) exposed male and female F344 rats (20/sex/dose group) to
22 benzo[a]pyrene (98% purity) at doses of 0, 5, 50, or 100 mg/kg-day in the diet for 90 days. Food
23 consumption and body weight were monitored, and the concentration of benzo[a]pyrene in the
24 food was adjusted every 3–4 days to maintain the target dose. The authors indicated that actual
25 intake of benzo[a]pyrene by the rats was within 10% of the calculated intake, and the nominal
26 doses were not corrected to actual doses. Hematology and serum chemistry parameters were
27 evaluated. Urinalysis was also performed. Animals were examined for gross pathology, and
28 histopathology was performed on selected organs (stomach, liver, kidney, testes, and ovaries).
29 Statistically significant decreases in RBC counts and hematocrit level (decreases as much as 10
30 and 12%, respectively) were observed in males at doses ≥ 50 mg/kg-day and in females at
31 100 mg/kg-day. A maximum 12% decrease (statistically significant) in hemoglobin level was
32 noted in both sexes at 100 mg/kg-day. Blood chemistry analysis showed a significant increase in
33 blood urea nitrogen (BUN) only in high-dose (100 mg/kg-day) males. Histopathology
34 examination revealed an apparent increase in the incidence of abnormal tubular casts in the
35 kidney in males at 5 mg/kg-day (40%), 50 mg/kg-day (80%) and 100 mg/kg-day (100%),
36 compared to 10% in the controls. Only 10% of the females showed significant kidney tubular
37 changes at the two high dose levels compared to zero animals in the female control group. The
38 casts were described as molds of distal nephron lumen and were considered by the study authors

1 to be indicative of renal dysfunction. From this study, male F344 rats appeared to be affected
2 more severely by benzo[a]pyrene treatment than the female rats. However the statistical
3 significance of the kidney lesions are unclear. Several reporting gaps and inconsistencies
4 regarding the reporting of kidney abnormalities in Knuckles et al (2001) make interpretation of
5 the results difficult. Results of histopathological kidney abnormalities (characterized primarily
6 as kidney casts) were presented graphically and the data were not presented numerically in this
7 report. No indication was given in the graph that any groups are statistically different than
8 controls, though visual examination of the magnitude of response and error bars appears to
9 indicate a four fold increase in kidney casts in males compared to the control group (40
10 compared to 10%). The figure legend reports the data as “percentage incidence of abnormal
11 kidney tissues” and reports values are mean plus or minus standard deviation. However, text
12 under the materials and methods section states that for histopathological data, Fisher’s Exact Test
13 was used. This would involve the pairwise comparison of incidence and not means. There are
14 additional internal inconsistencies in the data presented. Data appear to indicate that incidences
15 for males are as follows: control: 10%, 5mg/kg-day: 40%, 50 mg/kg-day: 80% and 100 mg/kg-
16 day: 100%, however, these incidences are inconsistent with the size of the study groups which
17 were reported as 6-8 animals per group. The study authors were contacted but did not respond to
18 EPA’s request for clarification of study design and/or results. Due to issues of data reporting, a
19 LOAEL could not be established for the increased incidence of kidney lesions. Based on the
20 statistically significant hematological effects including decreases in RBC counts, hematocrit, and
21 BUN, the NOAEL in males was 5 mg/kg-day and the LOAEL was 50 mg/kg-day, based on in
22 F344 rats. No exposure-related histological lesions were identified in the stomach, liver, testes,
23 or ovaries in this study.

24

25 *Kroese et al. (2001) 5-week rat study*

26 In a range-finding study, Wistar (specific pathogen-free [SPF] Riv:TOX) rats
27 (10/sex/dose group) were administered benzo[a]pyrene (97.7% purity) dissolved in soybean oil
28 by gavage at dose levels of 0, 1.5, 5, 15, or 50 mg/kg body weight, 5 days/week for 5 weeks
29 (Kroese et al., 2001). Behavior, clinical symptoms, body weight, and food and water
30 consumption were monitored. None of the animals died during the treatment period. Animals
31 were sacrificed 24 hours after the last dose. Urine and blood were collected for standard
32 urinalysis and hematology and clinical chemistry evaluation. Liver enzyme induction was
33 monitored based on EROD activity in plasma. Animals were subjected to macroscopic
34 examination, and organ weights were recorded. The esophagus, stomach, duodenum, liver,
35 kidneys, spleen, thymus, lung, and mammary gland (females only) from the highest-dose and
36 control animals were evaluated for histopathology. Intermediate dose-groups were examined if
37 abnormalities were observed in the higher-dose groups.

1 A significant, but not dose-dependent, increase in food consumption in males at
 2 ≥ 1.5 mg/kg-day and a decrease in females at ≥ 5 mg/kg-day was observed (Kroese et al., 2001).
 3 Water consumption was statistically significantly altered in males only, a decrease at 1.5, 5, and
 4 15 mg/kg-day and an increase at 50 mg/kg-day. Organ weights of lung, spleen, kidneys,
 5 adrenals, and ovaries were not affected by treatment. There was a dose-related, statistically
 6 significant decrease in thymus weight in males at 15 and 20 mg/kg-day (decreased by 28 and
 7 33%, respectively) and a significant decrease in females at 50 mg/kg-day (decreased by 17%)
 8 (Table 4-3). In both sexes, liver weight was statistically significantly increased only at
 9 50 mg/kg-day by about 18% (Table 4-3).

Table 4-3. Exposure-related effects in Wistar rats exposed to benzo[a]pyrene by gavage 5 days/week for 5 weeks

Organ	Dose (mg/kg)				
	0	1.5	5	15	50
<i>Liver weight (g; mean \pm SD)</i>					
Males	6.10 \pm 0.26	6.19 \pm 0.19	6.13 \pm 0.10	6.30 \pm 0.14	7.20 \pm 0.18 ^a
Females	4.28 \pm 0.11	4.40 \pm 0.73	4.37 \pm 0.11	4.67 \pm 0.17	5.03 \pm 0.15 ^a
<i>Thymus weight (mg; mean \pm SD)</i>					
Males	471 \pm 19	434 \pm 20	418 \pm 26	342 \pm 20 ^a	317 \pm 21 ^a
Females	326 \pm 12	367 \pm 23	351 \pm 25	317 \pm 30	271 \pm 16 ^a
<i>Basal cell hyperplasia of the forestomach (incidence with slight severity)</i>					
Males	1/10	1/10	4/10	3/10	7/10
Females	0/10	1/10	1/10	3/10 ^a	7/10 ^a

^aSignificantly ($p < 0.05$) different from control mean; n = 10/sex/group.

Source: Kroese et al. (2001).

11
 12 Hematological evaluation revealed only statistically nonsignificant, small dose-related
 13 decreases in hemoglobin in both sexes, and RBC counts in males. Clinical chemistry analysis
 14 showed a small, but statistically significant, increase in creatinine levels in males only at
 15 1.5 mg/kg-day, but this effect was not dose-dependent. A dose-dependent induction of liver
 16 microsomal EROD activity was observed, with a five-fold induction at 1.5 mg/kg-day compared
 17 to controls, reaching 36-fold in males at 50 mg/kg-day; the fold induction in females at the top
 18 dose was less than in males. At necropsy, significant, dose-dependent macroscopic findings
 19 were not observed.

20 Histopathology examination revealed a statistically significant increase in basal cell
 21 hyperplasia in the forestomach of females at doses ≥ 15 mg/kg-day (Kroese et al., 2001). The
 22 induction of liver microsomal EROD was not accompanied by any adverse histopathologic
 23 findings in the liver at the highest dose, 50 mg/kg-day, so the livers from intermediate-dose

1 groups were, therefore, not examined. An increased incidence of brown pigmentation of red
2 pulp (hemosiderin) in the thymus was observed in treated animals of both sexes. However, this
3 tissue was not examined in intermediate-dose groups. This range-finding 5-week study
4 identified a NOAEL of 5 mg/kg-day and a LOAEL of 15 mg/kg-day, based on decreased thymus
5 weight and forestomach hyperplasia in Wistar rats.

6
7 *Kroese et al. (2001) 90-day rat study*

8 Kroese et al. (2001) exposed Wistar (Riv:TOX) rats (10/sex/dose group) to
9 benzo[a]pyrene (98.6% purity, dissolved in soybean oil) by gavage at 0, 3, 10, or 30 mg/kg body
10 weight, 5 days/week for 90 days. The rats were examined daily for behavior and clinical
11 symptoms and by palpation. Food and water consumption, body weights, morbidity, and
12 mortality were monitored. At the end of the exposure period, rats were subjected to macroscopic
13 examination and organ weights were recorded. Blood was collected for hematology and serum
14 chemistry evaluation and urine was collected for urinalysis. All gross abnormalities, particularly
15 masses and lesions suspected of being tumors were also evaluated. The liver, stomach,
16 esophagus, thymus, lung, spleen, and mesenteric lymph node were examined histopathologically.
17 In addition, cell proliferation in forestomach epithelium was measured as the prevalence of S-
18 phase epithelial cells displaying bromodeoxyuridine (BrdU) incorporation.

19 There were no obvious effects on behavior of the animals, and no difference was
20 observed in survival or food consumption between exposed animals and controls (Kroese et al.
21 2001). Higher water consumption and slightly lower body weights than the controls were
22 observed in males but not females at the high dose of 30 mg/kg-day. Hematological
23 investigations showed only nonsignificant, small dose-related decreases in RBC count and
24 hemoglobin level in both sexes. Clinical chemistry evaluation did not show any treatment-
25 related group differences or dose-response relationships for alanine aminotransferase (ALT),
26 serum aspartate transaminase (AST), lactate dehydrogenase (LDH), or creatinine, but a small
27 dose-related decrease in γ -glutamyl transferase (GGT) activity was observed in males only.
28 Urinalysis revealed an increase in urine volume in males at 30 mg/kg-day, which was not dose
29 related. At the highest dose, both sexes showed increased levels of urinary creatinine and a dose-
30 related increase in urinary protein. However, no further investigation was conducted to
31 determine the underlying mechanisms for these changes. At necropsy, reddish to brown/gray
32 discoloration of the mandibular lymph nodes was consistently noted in most rats; occasional
33 discoloration was also observed in other regional lymph nodes (axillary). Statistically significant
34 increases in liver weight were observed at 10 and 30 mg/kg-day in males only (15 and 29%) and
35 a decrease in thymus weight in both sexes only at 30 mg/kg-day (17 and 33% decrease in
36 females and males, respectively, compared with controls) (Table 4-4). At 10 mg/kg-day, thymus
37 weight in males was decreased by 15%, but the decrease did not reach statistical significance.

38

Table 4-4. Means \pm SD^a for liver and thymus weights in Wistar rats exposed to benzo[a]pyrene by gavage 5 days/week for 90 days

Organ	Dose (mg/kg-d)			
	0	3	10	30
<i>Liver weight (g)</i>				
Males	7.49 \pm 0.97	8.00 \pm 0.85	8.62 \pm 1.30 ^b	9.67 \pm 1.17 ^b
Females	5.54 \pm 0.70	5.42 \pm 0.76	5.76 \pm 0.71	6.48 \pm 0.78 ^b
<i>Thymus weight (mg)</i>				
Males	380 \pm 60	380 \pm 110	330 \pm 60	270 \pm 40 ^b
Females	320 \pm 60	310 \pm 50	300 \pm 40	230 \pm 30 ^b

^a Reported as SE, but judged to be SD (and confirmed by study authors).

^b Significantly ($p < 0.05$) different from control mean; student t-test (unpaired, two-tailed); $n = 10/\text{sex}/\text{group}$.

Source: Kroese et al. (2001).

1
2 Histopathologic examination revealed what was characterized by Kroese et al. (2001) as
3 basal cell disturbance in the epithelium of the forestomach in males ($p < 0.05$) and females
4 ($p < 0.01$) at 30 mg/kg-day. The basal cell disturbance was characterized by increased number of
5 basal cells, mitotic figures, and remnants of necrotic cells; occasionally early nodule
6 development; infiltration by inflammatory cells (mainly histiocytes); and capillary hyperemia,
7 often in combination with the previous changes (Kroese et al., 2001). Incidences for these
8 lesions (also described as “slight basal cell hyperplasia”) in the 0, 3, 10, and 30-mg/kg-day
9 groups were 0/10, 2/10, 3/10, and 7/10 in female rats and 2/10, 0/10, 6/10, and 7/10 for male rats.
10 Nodular hyperplasia was noted in one animal of either sex at 30 mg/kg-day. A significant
11 ($p < 0.05$) increase in proliferation of forestomach epithelial cells was detected at doses
12 ≥ 10 mg/kg-day by morphometric of analysis of nuclei with BrdU incorporation. The mean
13 numbers of BrdU-staining nuclei per unit surface area of the underlying lamina muscularis
14 mucosa were increased by about two- and three- to four-fold at 10 and 30 mg/kg-day,
15 respectively, compared with controls. A reduction of thymus weight and increase in the
16 incidence of thymus atrophy (the report described the atrophy as slight, but did not specify the
17 full severity scale used in the pathology examination) was observed in males only at 30 mg/kg-
18 day ($p < 0.01$ compared with controls). Incidences for thymus atrophy for the control through
19 high-dose groups were 0/10, 0/10, 0/10, and 3/10 for females and 0/10, 2/10, 1/10, and 6/10 for
20 males. No significant differences were observed in the lungs of control and treated animals. In
21 the esophagus, degeneration and regeneration of muscle fibers and focal inflammation of the
22 muscular wall were judged to be a result of the gavage dosing rather than of benzo[a]pyrene
23 treatment.

24 The target organs of benzo[a]pyrene toxicity in this 90-day dietary study of Wistar rats
25 were the forestomach, thymus, and liver. The LOAEL for forestomach hyperplasia, decreased

1 thymus weight, thymus atrophy, and increased liver weight was 30 mg/kg-day and the NOAEL
2 was 10 mg/kg-day.

3 4 **4.2.1.2. Chronic Studies and Cancer Bioassays**

5 6 *Kroese et al. (2001) 2-year rat study*

7 Kroese et al. (2001) exposed Wistar (Riv:TOX) rats (52/sex/dose group) to
8 benzo[a]pyrene (98.6% purity) in soybean oil by gavage at nominal doses of 0, 3, 10, or
9 30 mg/kg-day, 5 days/week, for 104 weeks. Mean achieved dose levels were 0, 2.9, 9.6, and
10 29 mg/kg-day. Additional rats (6/sex/group) were sacrificed after 4 and 5 months of exposure
11 for analysis of DNA adduct formation in blood and major organs and tissues. The rats were
12 6 weeks old at the start of exposure. The rats were examined daily for behavior and clinical
13 symptoms and by palpation. Food and water consumption, body weights, morbidity, and
14 mortality were monitored during the study. Complete necropsy was performed on all animals
15 that died during the course of the study, were found moribund, or at terminal sacrifice (organ
16 weight measurement was not mentioned in the report by Kroese et al., 2001). The organs and
17 tissues collected and prepared for microscopic examination included: brain, pituitary, heart,
18 thyroid, salivary glands, lungs, stomach, oesophagus, duodenum, jejunum, ileum, caecum, colon,
19 rectum, thymus, kidneys, urinary bladder, spleen, lymph nodes, liver pancreas, adrenals, sciatic
20 nerve, nasal cavity, femur, skin including mammary tissue, ovaries/uterus, and testis/accessory
21 sex glands. Some of these tissues were examined only when gross abnormalities were detected.
22 All gross abnormalities, particularly masses and lesions that appeared to be tumors, were also
23 examined.

24 At 104 weeks, survival in the control group was 65% (males) and 50% (females),
25 whereas mortality in the 30 mg/kg-day dose group was 100% after about week 70. At 80 weeks,
26 survival percentages were about 90, 85 and 75% in female rats in the 0, 3, and 10 mg/kg-day
27 groups, respectively; in males, respective survival percentages were about 95, 90, and 85% at
28 80 weeks. Survival of 50% of animals occurred at 104, 104, about 90, and 60 weeks for control
29 through high-dose females; for males, the respective times associated with 65% survival were
30 104, 104, 104, and about 60 weeks. The high mortality rate in high-dose rats was attributed to
31 liver or forestomach tumor development, not to noncancer systemic effects. After 20 weeks,
32 body weight was decreased (compared with controls by >10%) in 30-mg/kg-day males, but not
33 in females. This decrease was accompanied by a decrease in food consumption. Body weights
34 and food consumption were not adversely affected in the other dose groups compared to
35 controls. In males, there was a dose-dependent increase in water consumption starting at week
36 13, but benzo[a]pyrene treatment had no significant effects on water consumption in females.

37 Tumors were detected at significantly elevated incidences at several tissue sites in female
38 and male rats at doses ≥ 10 and ≥ 3 mg/kg-day, respectively (Table 4-4; Kroese et al., 2001). The

1 tissue sites with the highest incidences of tumors were the liver (hepatocellular adenoma and
2 carcinoma) and forestomach (squamous cell papilloma and carcinoma) in both sexes (Table 4-4).
3 The first liver tumors were detected in week 35 in high-dose male rats. Liver tumors were
4 described as complex, with a considerable proportion (59/150 tumors) metastasizing to the lungs.
5 At the highest dose level, 95% of rats with liver tumors had malignant carcinomas (95/100;
6 Table 4-4). Forestomach tumors were associated with the basal cell proliferation observed
7 (without diffuse hyperplasia) in the forestomach of rats in the preliminary range-finding and
8 90-day exposure studies described previously in Section 4.2.1. At the highest dose level, 59% of
9 rats with forestomach tumors had malignant carcinomas (60/102; Table 4-4). Other tissue sites
10 with distinctly elevated incidences of tumors in the 30 mg/kg-day dose group included: the oral
11 cavity (papilloma and squamous cell carcinoma [SCC]) in both sexes, and the jejunum
12 (adenocarcinoma), kidney (cortical adenoma) and skin (basal cell adenoma and carcinoma) in
13 male rats (Table 4-4). In addition, auditory canal tumors (carcinoma or squamous cell papilloma
14 originating from pilo-sebaceous units including the Zymbal's gland) were also detected in both
15 sexes at 30 mg/kg-day, but auditory canal tissue was not histologically examined in the lower
16 dose groups and the controls (Table 4-4). Gross examination revealed auditory canal tumors
17 only in the high-dose group.

18
19

Table 4-5. Incidences of exposure-related neoplasms in Wistar rats treated by gavage with benzo[a]pyrene, 5 days/week, for 104 weeks

Site	Dose (mg/kg-d)			
	0	3	10	30 ^b
	Females^a			
Oral cavity				
Papilloma	0/19	0/21	0/9	9/31 ^c
SCC	1/19	0/21	0/9	9/31 ^c
Basal cell adenoma	0/19	0/21	1/9	4/31
Sebaceous cell carcinoma	0/19	0/21	0/9	1/31
Oesophagus				
Sarcoma undifferentiated	0/52	0/52	2/52	0/52
Rhabdomyosarcoma	0/52	1/52	4/52	0/52
Fibrosarcoma	0/52	0/52	3/52	0/52
Forestomach				
Squamous cell papilloma	1/52	3/51	20/51 ^c	25/52 ^c
SCC	0/52	3/51	10/51 ^c	25/52 ^c
Liver				
Hepatocellular adenoma	0/52	2/52	7/52 ^c	1/52
Hepatocellular carcinoma	0/52	0/52	32/52 ^c	50/52 ^c
Cholangiocarcinoma	0/52	0/52	1/52	0/52
Anaplastic carcinoma	0/52	0/52	1/52	0/52
Auditory canal				
Benign tumor	0/0	0/0	0/0	1/20
Squamous cell papilloma	0/0	0/1	0/0	1/20
Carcinoma	0/0	0/1	0/0	13/20 ^c
	Males^a			
Oral cavity				
Papilloma	0/24	0/24	2/37	10/38 ^c
Squamous cell carcinoma	1/24	0/24	5/37	11/38 ^c
Basal cell adenoma	0/24	0/24	0/37	2/38
Sebaceous cell carcinoma	0/24	0/24	0/37	2/38
Forestomach				
Squamous cell papilloma	0/52	7/52 ^c	18/52 ^c	17/52 ^c
SCC	0/52	1/52	25/52 ^c	35/52 ^c
Jejunum				
Adenocarcinoma	0/51	0/50	1/51	8/49 ^c
Liver				
Hepatocellular adenoma	0/52	3/52	15/52 ^c	4/52
Hepatocellular carcinoma	0/52	1/52	23/52 ^c	45/52 ^c
Cholangiocarcinoma	0/52	0/52	0/52	1/52
Kidney				
Cortical adenoma	0/52	0/52	7/52 ^c	8/52 ^c
Adenocarcinoma	0/52	0/52	2/52	0/52
Urothelial carcinoma	0/52	0/52	0/52	3/52
Auditory canal				
Benign	0/1	0/0	1/7	0/33
Squamous cell papilloma	0/1	0/0	0/7	4/33
Carcinoma	0/1	0/0	2/7	19/33 ^c
Sebaceous cell adenoma	0/1	0/0	0/7	1/33

Table 4-5. Incidences of exposure-related neoplasms in Wistar rats treated by gavage with benzo[a]pyrene, 5 days/week, for 104 weeks

	Dose (mg/kg-d)			
	0	3	10	30 ^b
Skin and mammary				
Basal cell adenoma	2/52	0/52	1/52	10/51 ^c
Basal cell carcinoma	1/52	1/52	0/52	4/51
SCC	0/52	1/52	1/52	5/51
Keratoacanthoma	1/52	0/52	1/52	4/51
Trichoepithelioma	0/52	1/52	2/52	8/51 ^c
Fibrosarcoma	0/52	3/52	5/52	0/51
Fibrous histiocytoma (malignant)	0/52	0/52	1/52	1/52

^aIncidences are for number of rats with tumors compared with number of tissues examined histologically. Auditory canal and oral cavity tissues were only examined histologically when abnormalities were observed upon macroscopic examination.

^bThis group had significantly decreased survival.

^cStatistically significant difference ($p \leq 0.01$), Fisher's exact test; analysis of auditory canal tumor incidence was based on assumption of $n = 52$ and no tumors in the controls.

Source: Kroese et al. (2001).

1

2 Kroese et al. (2001) did not systematically investigate nonneoplastic lesions detected in
3 rats sacrificed during the 2-year study, because the focus was to identify and quantitate tumor
4 occurrence. However, incidences were reported for nonneoplastic lesions in tissues or organs in
5 which tumors were detected (i.e., oral cavity, oesophagus, forestomach, jejunum, liver, kidney,
6 skin, mammary, and auditory canal). The reported nonneoplastic lesions associated with
7 exposure were the forestomach basal cell hyperplasia and clear cell foci of cellular alteration in
8 the liver. Incidences for forestomach basal cell hyperplasia in the control through high-dose
9 groups were: 1/52, 8/51, 13/51, and 2/52 for females and 2/50, 8/52, 8/52, and 0/52 in males.
10 Incidences for hepatic clear cell foci of cellular alteration were 22/52, 33/52, 4/52, and 2/52 for
11 females and 8/52, 22/52, 1/52, and 1/52 for males. These results indicate that the lowest dose
12 group, 3 mg/kg-day, was a LOAEL for increased incidence of forestomach hyperplasia and
13 hepatic histological changes in male and female Wistar rats exposed by gavage to
14 benzo[a]pyrene for up to 104 weeks. The lack of an increase in incidence of these nonneoplastic
15 lesions in the forestomach and liver at the intermediate and high doses (compared with controls)
16 may be associated with increased incidences of forestomach and liver tumors at these dose levels
17 (see Table 4-4).

18 As an adjunct study to the 2-year gavage study with Wistar rats, Kroese et al. (2001)
19 sacrificed additional rats (6/sex/group) after 4 and 5 months of exposure (0, 1, 3, 10, or
20 30 mg/kg-day) for analysis of DNA adduct formation in WBCs and major organs and tissues.
21 Additional rats (6/sex/time period) were exposed to 0.1 mg/kg-day benzo[a]pyrene for 4 and
22 5 months for analysis of DNA adduct formation. Using the [³²P]-postlabeling technique, five

1 benzo[a]pyrene-DNA adducts were identified in all of the examined tissues at 4 months (WBCs,
2 liver, kidney, heart, lung, skin, forestomach, glandular stomach, brain). Only one of these
3 adducts (adduct 2) was identified based on co-chromatography with a standard. This adduct,
4 identified as dG-N²-BPDE, was the predominant adduct in all organs of female rats exposed to
5 10 mg/kg-day, except the liver and kidney, in which another adduct (unidentified adduct 4) was
6 predominant. Levels of total adducts (number of benzo[a]pyrene-DNA adducts per 10¹⁰
7 nucleotides) in examined tissues (from the single 10 mg/kg-day female rat) showed the following
8 order: liver > heart > kidney > lung > skin > forestomach ≈ WBCs > brain. Mean values for
9 female levels of total benzo[a]pyrene-DNA adducts (number per 10¹⁰ nucleotides) in four organs
10 showed the same order, regardless of exposure group: liver > lung > forestomach ≈ WBCs;
11 comparable data for males were not reported). Mean total benzo[a]pyrene-DNA adduct levels in
12 livers increased in both sexes from about 100 adducts per 10¹⁰ nucleotides at 0.1 mg/kg-day to
13 about 70,000 adducts per 10¹⁰ nucleotides at 30 mg/kg-day. In summary, these results suggest
14 that total benzo[a]pyrene-DNA adduct levels in tissues at 4 months were not independently
15 associated with the carcinogenic responses noted after 2 years of exposure to benzo[a]pyrene.
16 The liver showed the highest total DNA adduct levels and a carcinogenic response, but total
17 DNA adduct levels in heart, kidney, and lung (in which no carcinogenic responses were
18 detected) were higher than levels in forestomach and skin (in which carcinogenic responses were
19 detected).

20

21 *Brune et al. (1981) 2-year rat study*

22 Groups of Sprague-Dawley rats (32/sex/dose) were fed diets delivering a daily dose of
23 0.15 mg benzo[a]pyrene/kg body weight every 9th day or 5 times/week (Brune et al., 1981).
24 Other groups (32/ sex/dose) were given gavage doses of 0.15 mg benzo[a]pyrene (in aqueous
25 1.5% caffeine solution)/kg every 9th day, every 3rd day, or 5 times/week. The study included an
26 untreated control group (to compare with the dietary exposed groups) and a gavage vehicle
27 control group (each with 32 rats/sex). Rats were treated until moribundity or death occurred,
28 with average annual doses are reported in Table 4-2 (mg/kg-year, calculated by Brune et al.
29 [1981]). The following tissues were prepared for histopathological examination: tongue, larynx,
30 lung, heart, trachea, esophagus, stomach, small intestine, colon, rectum, spleen, liver, urinary
31 bladder, kidney, adrenal gland, and any tissues showing tumors or other gross changes. Survival
32 was similar among the groups, with the exception that the highest gavage-exposure group
33 showed a decreased median time of survival (Table 4-5). Increased incidences of portal-of-entry
34 tumors (forestomach, esophagus, and larynx) were observed in all of the gavage-exposed groups
35 and in the highest dietary exposure group (Table 4-5). Following dietary administration, all
36 observed tumors were papillomas. Following gavage administration, two malignant forestomach
37 tumors were found (one each in the mid- and high-dose groups) and the remaining tumors were
38 benign. The data in Table 4-5 show that the carcinogenic response to benzo[a]pyrene was

1 stronger with the gavage protocol compared with dietary exposure, and that no distinct difference
 2 in response was apparent between the sexes. Tumors at distant sites (mammary gland, kidney,
 3 pancreas, lung, urinary bladder, testes, hematopoietic, and soft tissue) were not considered
 4 treatment-related as they were also observed at similar rates in the control group (data not
 5 provided). The study report did not address noncancer systemic effects.
 6

Table 4-6. Incidences of alimentary tract tumors in Sprague-Dawley rats chronically exposed to benzo[a]pyrene in the diet or by gavage in caffeine solution

Average annual dose (mg/kg-yr)	Estimated average daily dose ^a (mg/kg-d)	Forestomach tumors ^b	Total alimentary tract tumors ^c (larynx, esophagus, forestomach)	Median survival time (wks)
benzo[a]pyrene by gavage in 1.5% caffeine solution				
0	0	3/64 (4.7%)	6/64 (9.4%)	102
6	0.016	12/64 (18.8%) ^d	13/64 (20.3%)	112
18	0.049	26/64 (40.1%) ^e	26/64 (40.6%)	113
39	0.107	14/64 (21.9%) ^e	14/64 (21.9%)	87
benzo[a]pyrene in diet				
0	0	2/64 (3.1%)	3/64 (4.7%)	129
6	0.016	1/64 (1.6%)	3/64 (4.7%)	128
39	0.107	9/64 (14.1%) ^d	10/64 (15.6%)	131

^aAverage annual dose divided by 365 d.

^bNo sex-specific forestomach tumor incidence data were reported by Brune et al. (1981).

^cSex-specific incidences for total alimentary tract tumors were reported as follows:

Gavage (control – high dose): Male: 6/32, 7/32, 15/32, 8/32
 Female: 0/32, 6/32, 11/32, 6/32

Diet (control – high dose): Male: 3/32, 3/32, 8/32
 Female: 0/32, 0/32, 2/32

^dSignificantly ($p < 0.1$) different from control using a modified χ^2 test that accounted for group differences in survival time.

^eSignificantly ($p < 0.05$) different from control using a modified χ^2 test that accounted for group differences in survival time.

Source: Brune et al. (1981).

7

8 *Beland and Culp (1998; Culp et al., 1998) 2-year mouse study*

9 In the other modern cancer bioassay with benzo[a]pyrene, female B6C3F₁ mice (48/dose
 10 group) were administered benzo[a]pyrene (98.5% purity) at concentrations of 0 (acetone
 11 vehicle), 5, 25, or 100 ppm in the diet for 2 years (Beland and Culp, 1998; Culp et al., 1998).

12 This study was designed to compare the carcinogenicity of coal tar mixtures with that of
 13 benzo[a]pyrene and included groups of mice fed diets containing one of several concentrations
 14 of two coal tar mixtures. Benzo[a]pyrene was dissolved in acetone before mixing with the feed.
 15 Control mice received only acetone-treated feed. Female mice were chosen because they have a
 16 lower background incidence of lung tumors than male B6C3F₁ mice. Culp et al. (1998) reported

1 that the average daily intakes of benzo[a]pyrene in the 25- and 100-ppm groups were 104 and
2 430 $\mu\text{g}/\text{day}$, but did not report intakes for the 5-ppm group. Based on the assumption that daily
3 benzo[a]pyrene intake at 5 ppm was one-fifth of the 25-ppm intake (about 21 $\mu\text{g}/\text{day}$), average
4 daily doses for the three benzo[a]pyrene groups are estimated at 0.7, 3.3, and 16.5 $\text{mg}/\text{kg}\text{-day}$.
5 Estimated doses were calculated using time-weighted average (TWA) body weights of 0.032 kg
6 for the control, 5- and 25-ppm groups and 0.026 kg for the 100-ppm group (estimated from
7 graphically presented data). Food consumption, body weights, morbidity, and mortality were
8 monitored at intervals, and lung, kidneys, and liver were weighed at sacrifice. Necropsy was
9 performed on all mice that died during the experiment or survived to the end of the study period.
10 Limited histopathologic examinations (liver, lung, small intestine, stomach, tongue, esophagus)
11 were performed on all control and high-dose mice and on all mice that died during the
12 experimental period, regardless of treatment group. In addition, all gross lesions found in mice
13 of the low- and mid- dose groups were examined histopathologically.

14 None of the mice administered 100 ppm benzo[a]pyrene survived to the end of the study,
15 and morbidity/mortality was 100% by week 78. Decreased survival was also observed at 25 ppm
16 with only 27% survival at 104 weeks, compared with 56 and 60%, in the 5-ppm and control
17 groups, respectively. In the mid- and high-dose group, 60% of mice were alive at about 90 and
18 60 weeks, respectively. Early deaths in exposed mice were attributed to tumor formation rather
19 than other causes of systemic toxicity. Food consumption was not statistically different in
20 benzo[a]pyrene-exposed and control mice. Body weights of mice fed 100 ppm were similar to
21 those of the other treated and control groups up to week 46, and after approximately 52 weeks,
22 body weights were reduced in 100-ppm mice compared with controls. Body weights for the 5-
23 and 25-ppm groups were similar to controls throughout the treatment period. Compared with the
24 control group, no differences in liver, kidney, or lung weights were evident in any of the treated
25 groups (other organ weights were not measured).

26 Papillomas and/or carcinomas of the forestomach, esophagus, tongue, and larynx at
27 elevated incidences occurred in groups of mice exposed to 25 or 100 ppm, but no exposure-
28 related tumors occurred in the liver or lung (Table 4-6; Beland and Culp [1998]; Culp et al.
29 [1998]). The forestomach was the most sensitive tissue, and demonstrated the highest tumor
30 incidence among the examined tissues and was the only tissue with an elevated incidence of
31 tumors at 25 ppm (Table 4-6). In addition, most of the forestomach tumors in the exposed
32 groups were carcinomas, as 1, 31, and 45 mice had forestomach carcinomas in the 5-, 25-, and
33 100-ppm groups respectively. Nonneoplastic lesions were also found in the forestomach at
34 significantly ($p < 0.05$) elevated incidences: hyperplasia at ≥ 5 ppm and hyperkeratosis at ≥ 25
35 ppm (Table 4-6). The esophagus was the only other examined tissue showing elevated incidence
36 of a nonneoplastic lesion (basal cell hyperplasia, see Table 4-6). Tumors (papillomas and
37 carcinomas) were also significantly elevated in the esophagus and tongue at 100 ppm (Table 4-6).
38 Esophageal carcinomas were detected in 1 mouse at 25 ppm and in 11 mice at 100 ppm. Tongue

1 carcinomas were detected in seven 100-ppm mice; the remaining tongue tumors were
 2 papillomas. Although incidences of tumors of the larynx were not significantly elevated in any
 3 of the exposed groups, a significant dose-related trend was apparent (Table 4-6).
 4

Table 4-7. Incidence of nonneoplastic and neoplastic lesions in female B6C3F₁ mice fed benzo[a]pyrene in the diet for up to 2 years

Tissue and lesion	Incidence (%)			
	benzo[a]pyrene concentration (ppm) in diet ^a			
	0	5	25	100
	Average daily doses (mg/kg-d)			
	0	0.7	3.3	16.5
Liver (hepatocellular adenoma)	2/48 (2)	7/48 (15)	5/47 (11)	0/45 (0)
Lung (alveolar/bronchiolar adenoma and/or carcinoma)	5/48 (10)	0/48 (0)	4/45 (9)	0/48 (0)
Forestomach (papilloma and/or carcinoma) ^b	1/48 (2)	3/47 (6)	36/46 ^b (78)	46/47 ^b (98)
Forestomach (hyperplasia) ^b	13/48 (27)	23/47 ^a (49)	33/46 ^b (72)	37/47 ^b (79)
Forestomach (hyperkeratosis) ^b	13/48 (27)	22/47 (47)	33/46 ^b (72)	38/47 ^b (81)
Esophagus (papilloma and/or carcinoma) ^b	0/48 (0)	0/48 (0)	2/45 (0)	27/46 ^b (59)
Esophagus (basal cell hyperplasia) ^b	1/48 (2)	0/48 (0)	5/45 (11)	30/46 ^b (65)
Tongue (papilloma and/or carcinoma) ^b	0/49 (0)	0/48 (0)	2/46 (4)	23/48 ^b (48)
Larynx (papilloma and/or carcinoma) ^b	0/35 (0)	0/35 (0)	3/34 (9)	5/38 (13)

^aSignificant ($p < 0.05$) dose-related trend calculated for incidences of these lesions.

^bSignificantly different from control incidence ($p < 0.05$); using a modified Bonferonni procedure for multiple comparisons to the same control.

Source: Beland and Culp (1998); Culp et al. (1998).

5
 6 *Neal and Rigdon (1967; Rigdon and Neal 1969, 1966) mouse study*
 7 Neal and Rigdon (1967) fed BAP (purity not reported) at concentrations of 0, 1, 10, 20,
 8 30, 40, 45, 50, 100 and 250 ppm in the diets of male and female CFW-Swiss mice.
 9 Corresponding doses (in mg/kg-day) were calculated¹ as 0, 0.2, 1.8, 3.6, 5.3, 7.1, 8, 8.9, 17.8,
 10 44.4 mg/kg-day. The age of the mice ranged from 17-180 days old and the treatment time from
 11 1-197 days; the size of the treated groups ranged from 9 to 73. There were 289 mice (number of

¹ Calculation: mg/kg-day = (ppm in feed x kg food/day)/kg body weight. Reference food consumption rates of 0.0062 kg/day (males) and 0.0056 kg/day (females) and reference body weights of 0.0356 kg (males) and 0.0305 (females) were used (U.S. EPA, 1988) and resulting doses were averaged between males and females.

1 mice/sex not stated) in the control group. No forestomach tumors were reported in the 0-, 0.2-
2 and 1.8 mg/kg-day dose groups. The incidence of forestomach tumors in the 20-, 30-, 40-, 45-,
3 50-, 100- and 250-ppm dose groups (3.6, 5.3, 7.1, 8, 8.9, 17.8, 44.4 mg/kg-day) were 1/23, 0/37,
4 1/40, 4/40, 23/34, 19/23 and 66/73, respectively.

5

6 *Other oral exposure cancer bioassays in mice*

7 Numerous other oral exposure cancer bioassays in mice have limitations which restrict
8 their usefulness for characterizing dose-response relationships between chronic-duration oral
9 exposure to benzo[a]pyrene and noncancer effects or cancer, but collectively, they provide strong
10 evidence that oral exposure to benzo[a]pyrene can cause portal-of-entry site tumors (see Table 4-
11 8 for references).

12

Table 4-8. Tumor incidence in oral exposure rodent cancer bioassays with limitations for describing dose-response relationships for lifetime exposure to benzo[a]pyrene

Species/strain	Exposure	Results	Comments	Reference
Mouse/HaICR	Groups of nine mice (9 wks old) were fed benzo[a]pyrene in the diet (0, 0.2, or 0.3 mg/g diet) for 12 wks and sacrificed. Estimated doses were 0, 27.3, or 41 mg/kg-d.	Incidence with forestomach tumors: Control 0/9 Low 6/9 High 9/9	Less than lifetime exposure duration; glandular stomach, lung, and livers from control and exposed mice showed no tumors.	Triolo et al., 1977
Mouse/HaICR	Groups of 12–20 mice (10 wks old) were fed benzo[a]pyrene in the diet (0.1, 0.3, or 1.0 mg/g diet) for 12–20 wks. Estimated doses were 14.3, 42.0, or 192 mg/kg-d.	Incidence with forestomach tumors: Low 11/20 (18 wks) Mid 13/19 (20 wks) High 12/12 (12 wks)	Less than lifetime exposure duration; only stomachs were examined for tumors; tumors found only in forestomach.	Wattenberg, 1972
Mouse/CD-1	20 female mice (9 wks old) were given 1 mg benzo[a]pyrene by gavage 2 times/wk for 4 wks and observed for 19 wks. Estimated dose is 33 mg/kg-d, using an average body weight of 0.030 kg from reported data.	Incidence with forestomach tumors: Exposed 17/20 (85%) Controls 0/24	Less than lifetime exposure duration; only stomach were examined for tumors; tumors found only in forestomach.	El-Bayoumy, 1985
Mouse/BALB	25 mice (8 wks old) were given 0.5 mg benzo[a]pyrene 2 times/wk for 15 wks.	5/25 mice had squamous carcinomas of the forestomach; tumors were detected 28–65 wks after treatment.	Less than lifetime exposure duration; the following details were not reported: inclusion of controls, methods for detecting tumors, and body weight data.	Biancifiori et al., 1967
Mouse/C3H	19 mice (about 3 mo old) were given 0.3 mL of 0.5% benzo[a]pyrene in polyethylene glycol-400 by gavage, once/d for 3 d.	By 30 wks, 7/10 mice had papillomas; no carcinomas were evident	Less than lifetime exposure duration.	Berenblum and Haran, 1955
Mouse/albino	Groups of 17–18 mice were given single doses of benzo[a]pyrene and allowed to survive until terminal sacrifice at 569 d.	Incidence of mice (that survived at least to 60 d) with forestomach papillomas: Dose (µg) Incidence Experiment 1 Experiment 2 Control 0/17 0/18 12.5 3/17 2/18 50 0/17 1/17 200 8/17 ----- ^a	Less than lifetime exposure duration; GI tract examined for tumors with hand lens; body weight data not reported.	Field and Roe, 1965

Table 4-8. Tumor incidence in oral exposure rodent cancer bioassays with limitations for describing dose-response relationships for lifetime exposure to benzo[a]pyrene

Species/strain	Exposure	Results	Comments	Reference
Mouse/HaICR	20 mice (9 wks old) were given benzo[a]pyrene in the diet (0.3 mg benzo[a]pyrene/g diet) for 6 wks and sacrificed after 20 wks in the study.	8/20 exposed mice had forestomach tumors.	Less than lifetime exposure duration; only stomachs were examined for tumors; tumors found only in forestomach; no nonexposed controls were mentioned.	Wattenberg, 1974
Mouse/A/HeJ	12 female mice (9 wks old) were given standard diet for 25 d, and 3 mg benzo[a]pyrene by gastric intubation on d 7 and 21 of the study. Mice were killed at 31 wks of age and examined for lung tumors.	12/12 exposed mice had lung tumors	Less than lifetime exposure duration; only lungs examined for tumors; no nonexposed controls were mentioned.	Wattenberg, 1974
Mouse/white	Groups of 16–30 mice were given benzo[a]pyrene in triethylene glycol (0.001–10 mg) wkly for 10 wks and observed until 19 mo.	Tumors in stomach antrum Dose (mg) – Carcinoma - Papilloma 0.001 0/16 0/16 0.01– 0/26 2/26 0.1 0/24 5/24 1.0 11/30 12/30 10 16/27 7/27	Less than lifetime exposure duration.	Fedorenko et al., 1967, as cited in U.S. EPA, 1991a
Mouse/albino	Groups of about 160 female mice (70 d of age; strain unknown) were given 0 or 8 mg benzo[a]pyrene mixed in the diet over a period of 14 mo.	Gastric tumors were observed at the following incidence: Control 0/158 8 mg benzo[a]pyrene total 13/160	Close to lifetime exposure duration; daily dose levels and methods of detecting tumors were not clearly reported.	Chouroulinkov et al., 1967
Mouse/A/J	Groups 40 female mice (8 wks old) were given 0 or 0.25 mg benzo[a]pyrene (in 2% emulphor) by gavage 3 times/wk for 8 wks. Mice were killed at 9 mo of age and examined for lung or forestomach tumors.	Incidence for mice surviving at 9 mo of age: Lung tumors Control 11/38 Exposed 22/36 Forestomach tumors Control 0/38 Exposed 33/36	Less than lifetime duration of exposure; only lungs and GI tract were examined for tumors.	Robinson et al., 1987
Mouse/Swiss albino	Groups of mice (9–14 wks old) were given single doses of 0 or 0.05 mg benzo[a]pyrene in polyethylene glycol-400 by gavage. Surviving mice were killed at 18 mo of age and examined for macroscopic tumors.	Forestomach tumor incidence: Dose (µg) – Carcinoma – Papilloma 0 0/65 2/65 50 1/61 20/61	Less than lifetime duration of exposure; exposure-related tumors only found in forestomach.	Roe et al., 1970

Table 4-8. Tumor incidence in oral exposure rodent cancer bioassays with limitations for describing dose-response relationships for lifetime exposure to benzo[a]pyrene

Species/strain	Exposure	Results	Comments	Reference																														
Mouse/ICR	Groups of 20 or 24 mice (71 d old) were given 1.5 mg benzo[a]pyrene by gavage 2 times/wk for 4 wks; terminal sacrifice was at 211 d of age. Estimated dose was about 50 mg benzo[a]pyrene/kg, using an average body weight of 0.03 kg during exposure from reported data.	Incidence of mice with forestomach neoplasms. Experiment 1 23/24 Experiment 2 19/20	Less than lifetime duration of exposure; only stomachs were examined for tumors; tumors found only in forestomach; nonexposed controls were not mentioned.	Benjamin et al., 1988																														
Mouse/CFW	Groups of mice (mixed sex) were fed benzo[a]pyrene in the diet (dissolved in benzene and mixed with diet) at 0, 1, 10, 20, 30, 40, 45, 50, 100, or 250 ppm in the diet.	<table border="1"> <thead> <tr> <th>ppm</th> <th>Exposure (d)</th> <th>Forestomach tumor incidence</th> </tr> </thead> <tbody> <tr><td>1</td><td>110</td><td>0/25</td></tr> <tr><td>10</td><td>110</td><td>0/24</td></tr> <tr><td>20</td><td>110</td><td>1/23</td></tr> <tr><td>30</td><td>110</td><td>0/37</td></tr> <tr><td>40</td><td>110</td><td>1/40</td></tr> <tr><td>45</td><td>110</td><td>4/40</td></tr> <tr><td>50</td><td>152</td><td>24/34</td></tr> <tr><td>100</td><td>110</td><td>19/23</td></tr> <tr><td>250</td><td>118</td><td>66/73</td></tr> </tbody> </table>	ppm	Exposure (d)	Forestomach tumor incidence	1	110	0/25	10	110	0/24	20	110	1/23	30	110	0/37	40	110	1/40	45	110	4/40	50	152	24/34	100	110	19/23	250	118	66/73	Less than lifetime exposure duration; no vehicle control group; animals ranged from three wks to 6 mo old at the start of dosing; only alimentary tract was examined for tumors; (see also Rigdon and Neal, 1969, 1967, 1966).	Neil and Rigdon, 1967
ppm	Exposure (d)	Forestomach tumor incidence																																
1	110	0/25																																
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45	110	4/40																																
50	152	24/34																																
100	110	19/23																																
250	118	66/73																																
Rat/ Sprague-Dawley	Groups of Sprague-Dawley rats (32/sex/dose) were fed diets delivering a daily dose of 0.15 mg benzo[a]pyrene/kg body weight every 9 th day or 5 times/week (Brune et al., 1981). Other groups (32/ sex/dose) were given gavage doses of 0.15 mg benzo[a]pyrene (in aqueous 1.5% caffeine solution)/kg every 9 th day, every 3 rd day, or 5 times/week.	<table border="1"> <thead> <tr> <th>Dose (gavage)</th> <th>larynx, esopogus, and forestomach tumors</th> </tr> </thead> <tbody> <tr><td>0</td><td>6/64</td></tr> <tr><td>0.016</td><td>13/64</td></tr> <tr><td>0.049</td><td>26/64</td></tr> <tr><td>0.107 (diet)</td><td>14/64</td></tr> <tr><td>0</td><td>3/64</td></tr> <tr><td>0.016</td><td>3/64</td></tr> <tr><td>0.107</td><td>10/64</td></tr> </tbody> </table>	Dose (gavage)	larynx, esopogus, and forestomach tumors	0	6/64	0.016	13/64	0.049	26/64	0.107 (diet)	14/64	0	3/64	0.016	3/64	0.107	10/64	Doses are annual averages. Non-standard treatment protocol involved animals being treated for 5 days a week or fewer; relatively high control incidence compared to other gavage studies;	Brune et al., 1981														
Dose (gavage)	larynx, esopogus, and forestomach tumors																																	
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0.016	3/64																																	
0.107	10/64																																	
Mouse/A/J	Groups of female mice were fed benzo[a]pyrene in the diet at 0, 16, or 98 ppm for 260 d. Average intakes of benzo[a]pyrene were 0, 40.6, and 256.6 µg/mouse/d. Estimated doses are 0, 1.6, and 9.9 mg/kg-d using a chronic reference body weight value of 0.026 kg (U.S. EPA, 1988).	<table border="1"> <thead> <tr> <th colspan="2">Incidence of mice surviving to 260 d:</th> </tr> </thead> <tbody> <tr><td colspan="2">Lung tumors</td></tr> <tr><td>Control</td><td>4/21</td></tr> <tr><td>16 ppm</td><td>9/25</td></tr> <tr><td>98 ppm</td><td>14/27</td></tr> <tr><td colspan="2">Forestomach tumors</td></tr> <tr><td>Control</td><td>0/21</td></tr> <tr><td>16 ppm</td><td>5/25</td></tr> <tr><td>98 ppm</td><td>27/27</td></tr> </tbody> </table>	Incidence of mice surviving to 260 d:		Lung tumors		Control	4/21	16 ppm	9/25	98 ppm	14/27	Forestomach tumors		Control	0/21	16 ppm	5/25	98 ppm	27/27	Close to lifetime exposure duration; A/J strain of mice particularly sensitive to chemically induced cancer; only lungs and stomachs were examined for tumors.	Weyand et al., 1995												
Incidence of mice surviving to 260 d:																																		
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1^a ---- = not evaluated

4.2.2. Inhalation

4.2.2.1. Short-term and Subchronic Studies

Wolff et al. (1989) exposed groups of 40 male and 40 female F344/Crl rats, via nose only, to 7.5 mg benzo[a]pyrene/m³ for 2 hours/day, 5 days/week for 4 weeks (corresponding to a TWA of 0.45 mg/m³). Rats were 10–11 weeks old at the beginning of the experiment. Benzo[a]pyrene (>98% pure) aerosols were formed by heating and then condensing the vaporized benzo[a]pyrene. The particle MMAD was 0.21 μm. Subgroups of these animals (six/sex/dose) were exposed for 4 days or 6 months after the end of the 4-week exposure, respectively, to radiolabeled aluminosilicate particles. Lung injury was assessed by analyzing clearance of radiolabeled aluminosilicate particles and via histopathologic evaluations. Body and lung weights, measured in subgroups from 1 day to 12 months after the exposure did not differ between controls and treated animals. Radiolabeled particle clearance did not differ between the control and treated groups, and there were no significant lung lesions. This study identified a NOAEL for lung effects of 0.45 mg/m³-day for a short-term exposure.

4.2.2.2. Chronic Studies and Cancer Bioassays

Thyssen et al. (1981) conducted an inhalation study in which male Syrian golden hamsters were exposed to benzo[a]pyrene for their natural lifetime. Groups of 20–30 male Syrian golden hamsters (8 weeks old) were exposed by nose-only inhalation to NaCl aerosols (controls; 240 μg NaCl/m³) or benzo[a]pyrene condensed onto NaCl aerosols at three nominal concentrations of 2, 10, or 50 mg benzo[a]pyrene/m³ for 3–4.5 hours/day, 5 days/week for 1–41 weeks, followed by 3 hours/day, 7 days/week for the remainder of study (until hamsters died or became moribund). Thyssen et al. (1981) reported average measured benzo[a]pyrene concentrations to be 0, 2.2, 9.5, or 46.5 mg/m³. More than 99% of the particles were between 0.2 and 0.5 μm in diameter, and over 80% had diameters between 0.2 and 0.3 μm. The particle analysis of the aerosols was not reported to modern standards (MMAD and geometric SD were not reported). Each group initially consisted of 24 hamsters; final group sizes were larger as animals dying during the first 12 months of the study were replaced.

Survival was similar in the control, low-dose, and mid-dose groups, but was significantly decreased in the high-dose group. Average survival times in the control, low-, mid-, and high-dose groups were 96.4 ± 27.6, 95.2 ± 29.1, 96.4 ± 27.8, and 59.5 ± 15.2 weeks, respectively. After the 60th week, body weights decreased and mortality increased steeply in the highest dose group. Histologic examination of organs (a complete list of organs examined histologically was not reported by Thyssen et al. [1981]) revealed a dose-related increase in tumors in the upper respiratory tract, including the nasal cavity, pharynx, larynx, and trachea and in the digestive tract in the mid- and high-dose groups (Table 4-8). A statistical analysis was not included in the Thyssen et al. (1981) report. No lung tumors were observed. Squamous cell tumors in the esophagus and forestomach were also observed in the high-dose group, presumably as a

1 consequence of mucociliary particle clearance. Tumors were detected in other sites, but none of
 2 these appeared to be related to exposure. The results indicated that the pharynx and larynx,
 3 including the epiglottis, were the main cancer targets (Table 4-8).

Table 4-9. Incidence of respiratory and upper digestive tract tumors in male hamsters treated for life with benzo[a]pyrene by inhalation

Tumor site	Reported benzo[a]pyrene concentration (mg/m ³)			
	0 ^a	2 ^b	10	50
	Tumor incidence (latency in wks ^c)			
Nasal cavity	0	0	3/26 (116 ± 1.5)	1/25 (79)
Larynx	0	0	8/26 (107.1 ± 15.5)	13/25 (67.6 ± 12.1)
Trachea	0	0	1/26 (115)	3/25 (63.3 ± 33.3)
Lung	0	0	0	0
Pharynx	0	0	6/26 (97.2 ± 16.9)	14/25 (67.5 ± 12.2)
Esophagus	0	0	0	2/25 (70, 79)
Forestomach	0	0	1/26 (119)	1/25 (72)

^aEffective number of animals in control group: n = 27.

^bEffective number of animals in 2 mg/m³ dose group: n = 27.

^cMean ± SD.

Source: Thyssen et al. (1981).

5
 6 Under contract to the U.S. EPA, Clement Associates (1990) obtained the individual
 7 animal data (including individual animal pathology reports, time-to-death data, and exposure
 8 chamber monitoring data) collected by Thyssen et al. (1981). Re-analysis of the original data
 9 revealed several errors and omissions in the published report. The actual exposure protocol was
 10 as follows: 4.5 hours/day 5 days/week on weeks 1–12, 3 hours/day 5 days/week on weeks 13–
 11 29, 3.7 hours/day 5 days/week on week 30, 3 hours/day 5 days/week on weeks 31–41, and
 12 3 hours/day 7 days/week for the remainder of the experiment. In addition, actual exposure
 13 concentrations varied widely from week to week. Because different animals were started at
 14 different times, each individual animal had an exposure history somewhat different than others in
 15 the same exposure group. In order to deal with this problem, Clement Associates (1990) used
 16 the original individual animal data to calculate average continuous lifetime exposures for each
 17 individual hamster. Group averages of individual average continuous lifetime exposure
 18 concentrations were 0, 0.25, 1.01, and 4.29 mg/m³ for the control through high-exposure groups.

19 For this assessment, the individual animal pathology reports prepared by Thyssen et al.
 20 (1981) and obtained by Clement Associates (1990) were examined to independently assess the
 21 numbers of hamsters with tumors in the larynx, pharynx, and nose in each group. Table 4-9
 22 presents the number of animals with tumors in the larynx and pharynx and the numbers of
 23 animals in each exposure group. Numbers of animals with either laryngeal or pharyngeal tumors

1 are also noted in Table 4-10, since these two types of tumors arise in close anatomical proximity
 2 from similar cell types. Examination of the individual animal pathology reports also showed that
 3 all of the nasal, forestomach, esophageal, and tracheal tumors occurred in animals that also had
 4 either laryngeal or pharyngeal tumors, except for two animals in the mid-dose group that
 5 displayed nasal tumors (one malignant and one benign) without displaying tumors in the pharynx
 6 or larynx.

7
Table 4-10. Number of animals with pharynx and larynx tumors in male hamsters exposed by inhalation to benzo[a]pyrene for life

Average continuous benzo[a]pyrene concentration ^a (mg/m ³)	Number of hamsters in group ^b	Larynx ^b		Pharynx ^b		Larynx or pharynx, combined ^c	
		Malignant	All	Malignant	All	Malignant	All
Control	27	0	0	0	0	0	0
0.25	27	0	0	0	0	0	0
1.01	26	8	11	7	9	11	16
4.29	34	9	12	17	18	17	18

^aAs calculated by Clement Associates (1990) from air monitoring data collected by Thyssen and colleagues.

^bAs counted from information in Table D-1 in Appendix D, which was obtained from examination of individual animal pathology reports prepared by Thyssen and colleagues and obtained by Clement Associates.

^cAs counted from information in Table D-1 in Appendix D. Nasal, forestomach, esophageal, and tracheal tumors occurred in hamsters that also had tumors in the larynx or pharynx, except for two animals in the mid-dose group that displayed nasal tumors (one malignant and one benign) without displaying tumors in the pharynx or larynx.

8
 9 Several studies have investigated the carcinogenicity of benzo[a]pyrene in hamsters
 10 exposed by intratracheal instillation. Single-dose studies verified that benzo[a]pyrene is
 11 tumorigenic, but do not provide data useful characterizing dose-response relationships because of
 12 their design (Kobayashi, 1975; Reznik-Schuller and Mohr, 1974; Henry et al., 1973; Mohr, 1971;
 13 Saffiotti et al., 1968; Gross et al., 1965; Herrold and Dunham, 1962). One multiple-dose study,
 14 which utilized very low doses (0.005, 0.02, and 0.04 mg, once every 2 weeks), failed to find any
 15 tumorigenic response (Kunstler, 1983). Tumorigenic responses (mostly in the respiratory tract)
 16 were found at higher dosage levels (0.25–2 mg benzo[a]pyrene once per week for 30–52 weeks)
 17 in four multiple-dose studies (Feron and Kruyssen, 1978; Ketkar et al., 1978; Feron et al., 1973;
 18 Saffiotti et al., 1972). These studies identify the respiratory tract as a cancer target with exposure
 19 to benzo[a]pyrene by intratracheal instillation and provide supporting evidence for the
 20 carcinogenicity of benzo[a]pyrene at portal-of-entry sites.

21
 22 **4.2.3. Dermal Exposure**

23 **4.2.3.1. Skin-Tumor Initiation-Promotion Assays**

1 Results from numerous studies indicate that acute dermal exposure to benzo[a]pyrene
 2 induces skin tumors in mice when followed by repeated exposure to a potent tumor promoter
 3 (Weyand et al., 1992; Cavalieri et al., 1991, 1981; Rice et al., 1985; El-Bayoumy et al., 1982;
 4 LaVoie et al., 1982; Raveh et al., 1982; Slaga et al., 1980, 1978; Wood et al., 1980; Hoffmann et
 5 al., 1972). The typical exposure protocol in these studies involved the application of a single
 6 dose of benzo[a]pyrene (typically ≥ 20 nmol per mouse) to dorsal skin of mice followed by
 7 repeated exposure to a potent tumor promoter, such as 12-*O*-tetradecanoylphorbol-13-acetate
 8 (TPA).

9 10 **4.2.3.2. Carcinogenicity Dermal Bioassays**

11 *Poel (1959)*

12 Poel (1959) applied benzo[a]pyrene in toluene to shaved interscapular skin of groups of
 13 13–56 male C57L mice at doses of 0, 0.15, 0.38, 0.75, 3.8, 19, 94, 188, 376, or 752 μg ,
 14 3 times/week for up to 103 weeks or until the appearance of a tumor by gross examination
 15 (3 times weekly). Some organs (not further specified) and interscapular skin in sacrificed mice
 16 were examined histologically. With increasing dose level, the incidence of mice with skin
 17 tumors increased and the time of tumor appearance decreased (see Table 4-10). Doses >3.8 μg
 18 were associated with 100% mortality after increasingly shorter exposure periods, none greater
 19 than 44 weeks. Poel (1959) did not mention the appearance of exposure-related tumors in tissues
 20 other than interscapular skin.
 21
 22

Table 4-11. Skin tumor incidence and time of appearance in male C57L mice dermally exposed to benzo[a]pyrene for up to 103 weeks

Dose (μg) ^a	Incidence of mice with gross skin tumors	Time of first tumor appearance (wks)	Incidence of mice with epidermoid carcinoma ^b	Length of exposure period(wks)
0 (Toluene)	0/33 (0%)	–	0/33 (0%)	92
0.15	5/55 (9%)	42–44 ^c	0/55 (0%)	98
0.38	11/55 (20%)	24	2/55 (4%)	103
0.75	7/56 (13%)	36	4/56 (7%)	94
3.8	41/49 (84%)	21–25	32/49 (65%)	82
19	38/38 (100%)	11–21	37/38 (97%)	25–44 ^c
94	35/35 (100%)	8–19	35/35 (100%)	22–43
188	12/14 (86%)	9–18	10/14 (71%)	20–35
376	14/14 (100%)	4–15	12/14 (86%)	19–35
752	13/13 (100%)	5–13	13/13 (100%)	19–30

^aIndicated doses were applied to interscapular skin 3 times/wk for up to 103 wks or until time of appearance of a grossly detected skin tumor.

^bCarcinomas were histologically confirmed.

^cRanges reflect differing information in Tables 4 and 6 of Poel (1959).

Source: Poel (1959).

1
2 Poel (1960) applied benzo[a]pyrene in a toluene vehicle to shaved interscapular skin of
3 groups of 14–25 male SWR, C3HeB, or A/He mice 3 times/week at doses of 0, 0.15, 0.38, 0.75,
4 3.8, 19.0, 94.0, or 470 µg benzo[a]pyrene per application, until mice died or a skin tumor was
5 observed. Time ranges for tumor observations were provided, but not times of death for mice
6 without tumors, so it was not possible to evaluate differential mortality among all dose groups or
7 the length of exposure for mice without tumors. With increasing dose level, the incidence of
8 mice with skin tumors increased and the time of tumor appearance decreased (Table 4-11). The
9 lowest dose level did not induce an increased incidence of mice with skin tumors in any strain,
10 but strain differences in susceptibility were evident at higher dose levels. SWR and C3HeB mice
11 showed skin tumors at doses ≥ 0.38 µg benzo[a]pyrene, whereas AH/e mice showed tumors at
12 doses ≥ 19 µg benzo[a]pyrene (Table 4-11). Except for metastases of the skin tumors to lymph
13 nodes and lung, Poel (1960) did not mention the appearance of exposure-related tumors in
14 tissues other than interscapular skin.
15

Table 4-12. Skin tumor incidence and time of appearance in male SWR, C3HeB, and A/He mice dermally exposed to benzo[a]pyrene for life or until a skin tumor was detected

Dose (µg) ^a	SWR mice		C3HeB mice		A/He mice	
	Tumor incidence ^b	Time of first tumor appearance (weeks)	Tumor incidence ^b	Time of first tumor appearance (weeks)	Tumor incidence ^b	Time of fist tumor appearance (weeks)
0 (Toluene)	0/20 (0%)	—	0/17 (0%)	—	0/17 (0%)	—
0.15	0/25 (0%)	—	0/19 (0%)	—	0/18 (0%)	—
0.38	2/22 (9%)	55–55	3/17 (18%)	81–93	0/19 (0%)	—
0.75	15/18 (83%)	25–72	4/17 (24%)	51–93	0/17 (0%)	—
3.8	12/17 (70%)	25–51	11/18 (61%)	(35–73	0/17 (0%)	—
19.0	16/16 (100%)	12–28	17/17 (100%)	13–32	21/23 (91%)	21–40
94.0	16/17 (94%)	9–17	18/18 (100%)	10–22	11/16 (69%)	14–31
470.0	14/14 (100%)	5–11	17/17 (100%)	4–19	17/17 (100%)	4–21

^aIndicated doses were applied 3times/week for life or until a skin tumor was detected. Mice were 10–14 wks old at initial exposure.

^bIncidence of mice exposed 10 or more wks with a skin tumor.

Source: Poel (1960).

16

17

1 Roe et al. (1970) treated groups of 50 female Swiss mice with 0 (acetone vehicle), 0.1,
 2 0.3, 1, 3, or 9 μg benzo[a]pyrene applied to the shaved dorsal skin 3 times/week for up to
 3 93 weeks; all surviving mice were killed and examined for tumors during the following 3 weeks.
 4 The dorsal skin of an additional control group was shaved periodically but was not treated with
 5 the vehicle. Mice were examined every 2 weeks for the development of skin tumors at the site of
 6 application. Histologic examinations included: (1) all skin tumors thought to be possibly
 7 malignant; (2) lesions of other tissues thought to be neoplastic; and (3) limited nonneoplastic
 8 lesions in other tissues. As shown in Table 4-13, markedly elevated incidences of mice with skin
 9 tumors were only found in the two highest dose groups (3 or 9 μg), compared with no skin
 10 tumors in the control groups. Malignant skin tumors (defined as tumors with invasion or
 11 penetration of the panniculus carnosus muscle) were detected in 4/41 and 31/40 mice in the
 12 3- and 9- μg groups, respectively, surviving to at least 300 days. Malignant lymphomas were
 13 detected in all groups, but the numbers of cases were not elevated compared with expected
 14 numbers after adjustment for survival differences. Lung tumors were likewise detected in
 15 control and exposed groups at incidences that were not statistically different.
 16

Table 4-13. Tumor incidence in female Swiss mice dermally exposed to benzo[a]pyrene for up to 93 weeks

Dose (μg) ^a	Cumulative number of mice with skin tumor/survivors						Skin tumor incidence ^b	Malignant Lymphoma incidence ^c	Lung Tumor incidence ^c
	200 d	300 d	400 d	500 d	600 d	700 d			
No treatment	0/48	0/43	0/40	0/31	0/21	0/0	0/43 (0%)	19/44 (43%)	12/41 (29%)
Acetone	0/49	0/47	0/45	0/37	0/23	0/0	0/47 (0%)	12/47 (26%)	10/46 (22%)
0.1	0/45	1/42	1/35	1/31	1/22	1/0	1/42 (2%)	11/43 (26%)	10/40 (25%)
0.3	0/46	0/42	0/37	0/30	0/19	0/0	0/42 (0%)	10/43 (23%)	13/43 (30%)
1	0/48	0/43	0/37	1/30	1/18	1/0	1/43 (2%)	16/44 (36%)	15/43 (35%)
3	0/47	0/41	1/37	7/35	8/24	8/0	8/41 (20%)	23/42 (55%)	12/40 (30%)
9	0/46	4/40	21/32	28/21	33/8	34/0	34/46 (74%)	9/40 (23%)	5/40 (13%)

^aDoses were applied 3 times/wk for up to 93 wks to shaved dorsal skin.

^bNumerator: number of mice detected with a skin tumor. Denominator: number of mice surviving to 300 d for all groups except the highest dose group. For the highest dose group (in which skin tumors were first detected between 200 and 300 d), the number of mice surviving to 200 d was used as the denominator.

^c Numerator : number of mice detected with specified tumor. Denominator: number of mice surviving to 300 d unless a tumor was detected earlier, in which case the number dying before 300 d without a tumor was subtracted from the number of animals reported to have been examined.

Source: Roe et al. (1970).

17
 18 Schmidt et al. (1973) dermally administered benzo[a]pyrene in acetone to female NMRI
 19 mice (100/group) and female Swiss mice. Benzo[a]pyrene was applied to the shaved dorsal skin
 20 twice weekly with doses of 0, 0.05, 0.2, 0.8, or 2 μg until spontaneous death occurred or until an
 21 advanced carcinoma was observed. Skin carcinomas were identified by the presence of crater-

1 shaped ulcerations, infiltrative growth and the beginning of physical wasting (i.e., cachexia).
 2 Necropsy was performed for all animals, and histopathological examination of the dermal site of
 3 application and any other tissues with gross abnormalities was conducted. Skin tumors were
 4 observed at the two highest doses in both strains of female mice (see Table 4-14), with induction
 5 periods of 53.0 and 75.8 weeks for the 0.8 and 2.0 µg NMRI mice and 57.8 and 60.7 weeks for
 6 the Swiss mice, respectively. The authors indicated that the latency period for tumor formation
 7 was highly variable and significant differences among exposure groups could not be identified,
 8 but no further timing information was available, including overall survival. Carcinoma was the
 9 primary tumor type seen after lifetime application of benzo[a]pyrene to mouse skin.

10

Table 4-14. Skin tumor incidence in female NMRI and Swiss mice dermally exposed to benzo[a]pyrene

Dose (µg) ^{a,b}	Skin tumor incidence (all types)	Incidence of papilloma	Incidence of carcinoma
Female NMRI mice			
0 (Acetone)	0/100 (0%)	0/100 (0%)	0/100 (0%)
0.05	0/100 (0%)	0/100 (0%)	0/100 (0%)
0.2	0/100 (0%)	0/100 (0%)	0/100 (0%)
0.8	2/100 (2%)	0/100 (0%)	2/100 (2%)
2	30/100 (30%)	2/100 (2%)	28/100 (28%)
Female Swiss mice			
0 (Acetone)	0/80 (0%)	0/80 (0%)	0/80 (0%)
0.05	0/80 (0%)	0/80 (0%)	0/80 (0%)
0.2	0/80 (0%)	0/80 (0%)	0/80 (0%)
0.8	5/80 (6%)	0/80 (0%)	5/80 (6%)
2	45/80 (56%)	3/80 (4%)	42/80 (52%)

^aMice were exposed until natural death or until they developed a carcinoma at the site of application.

^bIndicated doses were applied 2 times/wk to shaved skin of the back.

Source: Schmidt et al. (1973).

11

12 Schmähl et al. (1977) applied benzo[a]pyrene 2 times/week to the shaved dorsal skin of
 13 female NMRI mice (100/group) at doses of 0, 1, 1.7, or 3 µg in 20 µL acetone. The authors
 14 reported that animals were observed until natural death or until they developed a carcinoma at
 15 the site of application. The effective numbers of animals at risk was about 80% of the nominal
 16 group sizes, which the authors attributed to autolysis; no information was provided concerning
 17 when tumors appeared in the relevant groups, how long treatment lasted in each group, or any
 18 times of death. Necropsy was performed on all mice and the skin of the back, as well as any
 19 organs that exhibited macroscopic changes, were examined histopathologically. The incidence
 20 of all types of skin tumors was increased in a dose related manner compared to controls (see
 21 Table 4-15). Carcinoma was the primary tumor type observed following chronic dermal

1 exposure to benzo[a]pyrene, and skin papillomas occurred infrequently. Dermal sarcoma was not
2 observed.
3

Table 4-15. Skin tumor incidence in female NMRI mice dermally exposed to benzo[a]pyrene

Dose (μg) ^{a,b}	Skin tumor incidence (all types)	Incidence of papilloma	Incidence of carcinoma
0	1/81 (1%)	0/81 (0%)	0/81 (0%)
1	11/77 (14%)	1/77 (1%)	10/77 (13%)
1.7	25/88 (28%)	0/88 (0%)	25/88 (28%)
3	45/81 (56%)	2/81 (3%)	43/81 (53%)

^aMice were exposed until natural death or until they developed a carcinoma at the site of application.

^bIndicated doses were applied 2 times/wk to shaved skin of the back.

Source: Schmähl et al. (1977).

Habs et al. (1980) applied benzo[a]pyrene to the shaved interscapular skin of female NMRI mice (40/group) at doses of 0, 1.7, 2.8 or 4.6 μg in 20 μL acetone twice weekly, from 10 weeks of age until natural death or gross observation of infiltrative tumor growth. Latency of tumors, either as time of first appearance or average time of appearance of tumors, was not reported. Necropsy was performed on all animals, and the dorsal skin, as well as any organs showing gross alterations at autopsy, was prepared for histopathological examination. Age-standardized mortality rates, using the total population of the experiment as the standard population, were used to adjust tumor incidence findings in the study. Benzo[a]pyrene application was associated with a statistically significant increase in the incidence of skin tumors at each dose level (see Table 4-17).

Table 4-16. Skin tumor incidence in female NMRI mice dermally exposed to benzo[a]pyrene

Dose (μg) ^{a,b}	Skin tumor incidence	Age-standardized tumor incidence ^c
0 (acetone)	0/35 (0%)	0%
1.7	8/34 (24%)	24.8%
2.8	24/35 (68%)	89.3%
4.6	22/36 (61%)	91.7%

^aMice were exposed until natural death or until they developed a carcinoma at the site of application.

^bIndicated doses were applied 2 times/wk to shaved skin of the back.

^cMortality data of the total study population were used to derive the age-standardized tumor incidence.

Source: Habs et al. (1980).

Grimmer et al. (1983) and Grimmer et al. (1984) applied benzo[a]pyrene (in 0.1 mL of a 1:3 solution of acetone:dimethyl sulfoxide [DMSO]) to the interscapular skin of female CFLP mice (65–80/group) 2 times/week for 104 weeks. Doses were 0, 3.9, 7.7 and 15.4 μg in the 1983

1 experiment, and 0, 3.4, 6.7, and 13.5 μg in the 1984 experiment. Mice were observed until
 2 spontaneous death, unless an advanced tumor was observed or if animals were found moribund.
 3 Survival information was not provided; incidences reflect the number of animals placed on
 4 study. Necropsy was performed on all mice. Histopathological examination of the skin and any
 5 other organ showing gross abnormalities was performed. Chronic dermal exposure to
 6 benzo[a]pyrene produced a dose-related increase in skin tumor incidence and a decrease in tumor
 7 latency (see Table 4-17). Carcinoma was the primary tumor type observed and a dose-response
 8 relationship was evident for carcinoma formation and incidence of all types of skin tumors.
 9

Table 4-17. Skin tumor incidence and time of appearance in female CFLP mice dermally exposed to benzo[a]pyrene for 104 weeks

Dose (μg) ^a	Skin tumor incidence (all types)	Incidence of papilloma	Incidence of carcinoma	Tumor appearance in weeks
Grimmer et al. (1983)				
0 (1:3 Solution of acetone:DMSO)	0/80 (0%)	0/80 (0%)	0/80 (0%)	—
3.9	22/65 (34%)	7/65 (11%)	15/65 (23%)	74.6 \pm 16.78 ^b
7.7	39/64 (61%)	5/64 (8%)	34/64 (53%)	60.9 \pm 13.90
15.4	56/64 (88%)	2/64 (3%)	54/64 (84%)	44.1 \pm 7.66
Grimmer et al. (1984).				
0 (1:3 Solution of acetone:DMSO)	0/65 (0%)	0/65 (0%)	0/65 (0%)	—
3.4	43/64 (67%)	6/64 (9%)	37/64 (58%)	61 (53–65) ^c
6.7	53/65 (82%)	8/65 (12%)	45/65 (69%)	47 (43–50)
13.5	57/65 (88%)	4/65 (6%)	53/65 (82%)	35 (32–36)

^aIndicated doses were applied twice/week to shaved skin of the back.

^b Mean \pm SD.

^c Median with 95% confidence interval.

10 Habs et al. (1984) applied benzo[a]pyrene (in 0.01 mL acetone) to the shaved
 11 interscapular skin of female NMRI mice at doses of 0, 2 or 4 μg , 2 times/week for life. Animals
 12 were observed twice daily until spontaneous death, unless an invasive tumor was observed. All
 13 animals were necropsied and histopathological examination was performed on the dorsal skin
 14 and any other organ with gross abnormalities. Chronic dermal exposure to benzo[a]pyrene did
 15 not affect body weight gain, but appeared to reduce survival at the highest dose with mean
 16 survival times of 691, 648, and 528 days for the 0, 2, and 4 $\mu\text{g}/\text{day}$ groups, respectively. The
 17 total length of exposure for each group was not reported, but can be inferred from the survival
 18 data. Latency also was not reported. Benzo[a]pyrene application resulted in a dose-related
 19 increase the incidence of total skin tumors and skin carcinomas (see Table 4-18). Hematopoietic
 20 tumors (at 6/20, 3/20, and 3/20) and lung adenomas (at 2/20, 1/20, and 0/20) were observed in

1 the controls and in the benzo[a]pyrene treatment groups, but did not appear to be treatment
2 related according to the study authors.

3

Table 4-18. Skin tumor incidence in female NMRI mice dermally exposed to benzo[a]pyrene for life

Dose (μg) ^{a,b}	Skin tumor incidence (all types)	Incidence of papilloma	Incidence of carcinoma	Mean survival time, days (95% confidence interval)
0 (Acetone)	0/20 (0%)	0/20 (0%)	0/20 (0%)	691 (600-763)
2	9/20 (45%)	2/20 (10%)	7/20 (35%)	648 (440-729)
4	17/20 (85%)	0/20 (0%)	17/20 (85%)	528 (480-555)

^aMice were exposed until natural death or until they developed an invasive tumor at the site of application.

^bIndicated doses were applied 2 times/wk to shaved interscapular skin.

Source: Habs et al. (1984).

4

5 *Higginbotham et al. (1993)*

6 Groups of 23–27 female Ah-receptor-responsive Swiss mice were treated on a shaved
7 area of dorsal skin with 0, 1, 4, or 8 nmol (0, 0.25, 1, or 2 $\mu\text{g}/\text{treatment}$) benzo[a]pyrene (>99%
8 pure) in acetone 2 times weekly for 40 weeks (Higginbotham et al., 1993). Surviving animals
9 were sacrificed 8 weeks later. Complete necropsies were performed, and tissues from the treated
10 area, lung, liver, kidney, spleen, urinary bladder, ovary, and uterus were harvested for
11 histopathologic examination. Histopathologic examination was performed on tissues from the
12 treated area, lungs, liver, kidneys, spleen, urinary bladder, uterus, and ovaries, as well as any
13 other grossly abnormal tissue. Lung adenomas occurred in each group (1/27, 2/24, 1/23, 1/23),
14 and other tumors were noted in isolated mice (i.e., malignant lymphoma (spleen) in one low-dose
15 and one mid-dose mouse; malignant lymphoma with middle organ involvement in one high-dose
16 mouse, and hemangioma (liver) in one mid-dose mouse) and were not considered dose related.
17 In addition, benzo[a]pyrene showed no skin tumors under the conditions of this bioassay.

18

19 *Sivak et al. (1997)*

20 Sivak et al. (1997) designed a study to compare the carcinogenicity of condensed asphalt
21 fumes (including benzo[a]pyrene and other PAHs) with several doses of benzo[a]pyrene alone.
22 For the purposes of this assessment, the exposure groups exposed to PAH mixtures are not
23 discussed. Groups of 30 male C3H/HeJ mice were treated dermally twice/week to 0, 0.0001,
24 0.001, or 0.01% (0, 0.05, 0.5, or 5 μg) benzo[a]pyrene in a 50 μl volume of
25 cyclohexanone/acetone (1:1) for 104 weeks beginning at 8 weeks of age. All mice were
26 necropsied, and skin samples from all as well as any grossly observed lesions were subjected to
27 histopathological examination. The incidence of skin tumors and mean survival times for each

1 group are shown in Table 4-19. All high dose mice died before the final sacrifice. The extent of
2 deaths prior to one year in each group was not provided, so that the reported incidence may
3 underestimate the tumor rate of animals exposed long enough to develop tumors. However, the
4 crude skin tumor rates show an increasing trend in incidence.
5

Table 4-19. Skin tumor incidence in male C3H /HeJ mice dermally exposed to benzo[a]pyrene for 24 months

Dose (μg) ^a	Skin tumor incidence (all types)	No. died before final sacrifice	Mean survival time, days
0 cyclohexanone/acetone (1:1)	0/30 (0%)	19	607
0.05	0/30 (0%)	15	630
0.5	5/30 (20%)	15	666
5.0	27/30 (90%)	30	449

^aIndicated doses were applied twice/week to shaved dorsal skin.

Source: Sivak et al. (1997).

6
7
8 *Albert et al. (1991)*

9 To examine dose-response relationships and the time course of benzo[a]pyrene-induced
10 skin damage, DNA adduct formation, and tumor formation, groups of 43–85 female Harlan mice
11 were treated dermally with 0, 16, 32, or 64 μg of benzo[a]pyrene in 50 μL of acetone once per
12 week for 29 weeks (Albert et al., 1991). Interscapular skin of each mouse was clipped 3 days
13 before the first application and every 2 weeks thereafter. Additional groups of mice were treated
14 for 9 weeks with 0, 8, 16, 32, or 64 μg radiolabeled benzo[a]pyrene to determine benzo[a]pyrene
15 diolepoxide-DNA (BPDE-DNA) adduct formation in the epidermis at several time points (1, 2,
16 4, and 9 weeks). Tumor formation was monitored only in the skin.

17 No tumors were present in vehicle-treated or untreated control mice. In exposed groups,
18 incidences of mice with skin tumors were not reported, but time-course data for cumulative
19 number of tumors per mouse, corrected for deaths from nontumor causes, were reported.
20 Tumors began appearing after 12–14 weeks of exposure for the mid- and high-dose groups and
21 at 18 weeks for the low-dose group. At study termination (35 weeks after start of exposure), the
22 mean number of tumors per mouse was approximately one per mouse in the low- and mid-dose
23 groups and eight per mouse in the high-dose group; indicating that most, if not all, mice in each
24 exposure group developed skin tumors and that the tumorigenic response was greatest in the
25 highest dose group. The majority of tumors were initially benign, with an average time of
26 8 weeks for progression from benign papillomas to malignant carcinomas. Epidermal damage
27 occurred in a dose-related manner (more severe in the high-dose group than in the low- and mid-
28 dose groups) and included statistically significant increases (compared with controls) in: [³H]-
29 thymidine labeling and mitotic indices; incidence of pyknotic and dark cells (signs of apoptosis);

1 and epidermal thickness. Only a minor expansion of the epidermal cell population was observed.
2 In the high-dose group, indices of epidermal damage increased to a plateau by 2 weeks of
3 exposure. The early time course of epidermal damage indices was not described in the low- and
4 mid-dose groups, since data for these endpoints were only collected at 20, 24, and 30 weeks of
5 exposure. An increased level of BPDE-DNA adducts, compared with controls, was apparent in
6 all exposed groups after 4 weeks of exposure in the following order: 64>32>16>8 µg/week. The
7 time-course data indicate that benzo[a]pyrene-induced increases in epidermal damage indices
8 and BPDE-DNA adducts preceded the appearance of skin tumors.

9 10 **4.3. REPRODUCTIVE/DEVELOPMENTAL STUDIES—ORAL, INHALATION, AND** 11 **DERMAL**

12 As discussed in Section 4.1.4.3, several studies of human cohorts have examined possible
13 associations between lower body weights or head circumference in newborns or infants with
14 benzo[a]pyrene-DNA adducts levels in cord blood and exposure to ETS (Tang et al., 2006;
15 Perera et al., 2005a, b). Available studies of reproductive or developmental endpoints in
16 animals exposed orally or by inhalation to benzo[a]pyrene are reviewed as follows in this
17 section. No studies that evaluated reproductive or developmental effects following dermal
18 exposure were identified.

19 20 **4.3.1. Oral**

21 Mohamed et al. (2010) investigated multi-generational effects in male mice following
22 exposure of six-week old C57BL/6 mice (10/group) to 0 (corn oil), 1, or 10 mg/kg-day
23 benzo[a]pyrene for 6 weeks by daily gavage. Following final treatment, male mice were allowed
24 to stabilize for one week prior to being mated with two untreated female mice to produce an F1
25 generation. Male mice were sacrificed one week after mating. F1 males were also mated with
26 untreated female mice as were F2 males. The mice of the F1, F2, and F3 generations were not
27 exposed to benzo[a]pyrene. The F0, F1, F2 and F3 mice were all sacrificed at the same age (14
28 weeks) and endpoints including testis histology, sperm count, sperm motility, and in vitro sperm
29 penetration (of hamster oocytes) were evaluated. These endpoints were analyzed statistically
30 using ANOVA and Tukey's honest significance test and results were reported graphically as
31 means +/- SD.

32 Testicular atrophy was observed in the benzo[a]pyrene treatment groups, but was not
33 statistically different than controls. Statistically significant reductions were observed in
34 epididymal sperm counts of F0 and F1 generations treated with the high or low dose of
35 benzo[a]pyrene. For F0 and F1 generations, epididymal sperm counts were reduced
36 approximately 50% and 70%, respectively, in the low and high dose groups. Additionally, sperm
37 motility was statistically significantly decreased in the high dose in the F0 and F1 generations.
38 Sperm parameters of the F3 generation were not statistically different from controls. An in vitro

sperm penetration assay revealed statistically significantly reduced fertilization in F0 and F1 generations of the low and high dose groups. However, the value of this in vitro test is limited as it bypasses essential components of the intact animal system (US EPA, 1996). Based on decreased epididymal sperm counts of F0 and F1 generations, a LOAEL of 1 mg/kg-day was established from this study (no NOAEL was identified).

Xu et al., (2010) treated female Sprague-Dawley rats (6/group) to 0 (corn oil only), 5, or 10 mg/kg-day benzo[a]pyrene by gavage every other day for a duration of 60 days. This resulted in time weighted average doses of 0, 2.5, and 5 mg/kg-day over the study period of 60 days. Endpoints examined included ovary weight, estrous cycle, 17B-estradiol blood level, and ovarian follicle populations (including primordial, primary, secondary, atretic, and corpora leutea). Animals were observed daily for any clinical signs of toxicity and following sacrifice, gross pathological examinations were made and any findings were recorded. All animals survived to necropsy. A difference in clinical signs was not observed for the treated groups and body weights were not statistically different in treated animals (though they appear to be depressed 6% at the high dose). Absolute ovary weight was statistically significantly reduced in the both the low and high dose groups, 11 and 15% respectively (see Table 4-20). Animals treated with the high dose were noted to have a statistically significantly prolonged duration of the estrous cycle and non-estrus phase compared to controls. Animals in the high dose group also had statistically significantly depressed levels of estradiol (by approximately 25%) and decreased numbers of primordial follicles (by approximately 20%). This study also indicated a strong apoptotic response of ovarian granulosa cells as visualized through TUNEL labeling, however, the strongest response was seen at the low dose; decreased apoptosis was also observed at the high dose. Based on decreased ovary weight following 60 day oral exposure to benzo[a]pyrene, a LOAEL of 2.5 mg/kg-day was established from this study (no NOAEL was identified).

	Dose (mg/kg-d) ^a		
	0	2.5	5
<i>Ovary weight (g)</i>	0.160 ± 0.0146	0.143 ± 0.0098 ^b	0.136 ± 0.0098 ^b
<i>Body weight (g)</i>	261.67 ± 12.0	249.17 ± 11.2	247.25 ± 11.2

^a TWA doses over the 60 day study period

^b Statistically different from controls (p < 0.05) using one-way ANOVA

Source: Xu et al. (2010).

1 Zheng et al., (2010) treated male Sprague-Dawley rats to 0 (corn oil only), 1, or 5 mg/kg-
2 day benzo[a]pyrene by daily gavage for a duration of 30 (8/group) or 90 days (8/group). At
3 necropsy, the left testis of each animal was collected and weighed. Testes testosterone
4 concentrations were determined by radioimmunoassay and results were expressed as ng/g testis
5 and reported graphically. Testicular testosterone was statistically significantly decreased in the
6 high dose group approximately 15% following 90 days of exposure. The low dose group also
7 appeared to have a similar average depression of testosterone levels; however, the change did not
8 reach statistical significance. Testosterone levels measured in animals sacrificed following 30
9 days of benzo[a]pyrene exposure were not statistically different than controls. Based on
10 decreased testicular testosterone levels following 90 day oral exposure to benzo[a]pyrene, a
11 LOAEL of 5 mg/kg-day and a NOAEL of 1 mg/kg-day were identified.

12 McCallister et al., (2008) administered 0 or 300 ug/kg benzo[a]pyrene by oral gavage in
13 peanut oil to pregnant Long Evans rats (n= 5 or 6) on GDs 14 to 17. At this exposure level, no
14 significant changes were seen in number of pups per litter, pup growth, or liver to body weight
15 ratios in control compared to benzo[a]pyrene exposed offspring. Treatment related differences
16 in brain to body weight ratios were observed only on PND 15 and PND 30. Decreases in
17 cerebrocortical mRNA expression of the glutamatergic NMDA receptor subunit was
18 significantly reduced (50%) in treated offspring compared to controls. In addition, in utero
19 exposed offspring exhibited decreased evoked cortical neuronal activity in the barrel field cortex
20 when tested at PN 90-120.

21 Rigdon and Neal (1965) administered diets containing 1,000 ppm benzo[a]pyrene to
22 pregnant mice (nine/group) on GDs 10–21 or 5–21. The pups were reported as appearing
23 generally normal at birth, but cannibalism was elevated in the exposed groups. These results
24 contrast with an earlier study (Rigdon and Rennels, 1964) in which rats (strain not specified)
25 were fed diets containing benzo[a]pyrene at 1,000 ppm for approximately 28 days prior to
26 mating and during gestation. In the earlier study, five of eight treated females mated with
27 untreated males became pregnant, but only one delivered live young. The treated dam that
28 delivered had two live and two stillborn pups; one dead pup was grossly malformed. In the
29 remaining treated females, vaginal bleeding was observed on GDs 23 or 24. In the inverse
30 experimental design, three of six controls mated to benzo[a]pyrene-treated males became
31 pregnant and delivered live young. Visceral and skeletal examinations of the pups were not
32 conducted. These studies were limited by the small numbers of animals, minimal evaluation of
33 the pups, lack of details on days of treatment (food consumption, weight gain), and the
34 occurrence of cannibalism.

35
36 *Reproductive effects of in utero exposure via oral route*

37 MacKenzie and Angevine (1981) conducted a two-generation reproductive and
38 developmental toxicity study for benzo[a]pyrene in CD-1 mice. Benzo[a]pyrene was

1 administered by gavage in 0.2 mL of corn oil to groups of 30 or 60 pregnant (the F0 generation)
 2 mice at doses of 0, 10, 40, or 160 mg/kg-day on GDs 7–16 only. Therefore, unlike the standard
 3 two-generation study, F1 animals were exposed only in utero. F1 offspring were evaluated for
 4 postnatal development and reproductive function as follows. F1 pups (four/sex when possible)
 5 were allowed to remain with their mothers until weaning on PND 20. Crossover mating studies
 6 were then conducted. Beginning at 7 weeks of age, each F1 male mouse (n = 20–45/group) was
 7 allowed to mate with two untreated virgin females for 5-day periods for 25 days (for a total
 8 exposure of 10 untreated females/F1 male), after which time the males were separated from the
 9 females. Fourteen days after separation from the males, (i.e., on days 14–19 of gestation), the
 10 females were sacrificed and the numbers of implants, fetuses, and resorptions were recorded.
 11 The F2 fetuses were then examined for gross abnormalities. Similarly, each F1 female mouse (n
 12 = 20–55/group), beginning at 6 weeks of age, was paired with an untreated male for a period of 6
 13 months. Males were replaced if the females failed to produce a litter during the first 30-day
 14 period. All F2 young were examined for gross abnormalities on day 1 of life and their weights
 15 were recorded on day 4 of age. This F2 group was sacrificed on day 20 postpartum, while the
 16 F1 female was left with a male until the conclusion of the study. At 6 weeks of age, gonads of
 17 groups of 10 male and 10 female F1 mice exposed to 0, 10, or 40 mg/kg-day benzo[a]pyrene in
 18 utero were subjected to gross pathology and histologic examinations.

19 No maternal toxicity was observed. The number of F0 females with viable litters at
 20 parturition at the highest dose was statistically significantly reduced by about 35% (Table 4-21),
 21 but progeny were normal by gross observation. Parturition rates of the low- and mid-dose
 22 groups were unaffected by treatment, and litter sizes of all treated groups were similar to the
 23 control group throughout lactation. However, body weights of the F1 pups in the mid-dose and
 24 high-dose groups were statistically significantly decreased on PND 20, by 7 and 13%,
 25 respectively, and in all treated pups on PND 42, 6, 6, and 10% for the low, mid, and high dose,
 26 respectively (Table 4-21). The number of F1 pups surviving to PNDs 20 and 42 was
 27 significantly reduced at the high dose ($p < 0.01$), by 8 and 16%, respectively. When F1 males
 28 were bred to untreated females and F1 females were mated with untreated males, a marked dose-
 29 related decrease in fertility of > 30% was observed in both sexes, starting at the lowest exposure.
 30 There were no treatment-associated gross abnormalities or differences in body weights in the F2
 31 offspring.

32

Table 4-21. Reproductive effects in male and female CD-1 F1 mice exposed in utero to benzo[a]pyrene

Effect	Dose (mg/kg-d) ^a			
	0	10	40	160

F0 mice with viable litters at parturition	46/60 (77%)	21/30 (70%)	44/60 (73%)	13/30 (43%) ^b
Mean ± SEM pup weight (g) at PND 20	11.2 ± 0.1	11.6 ± 0.1	10.4 ± 0.1 ^b	9.7 ± 0.2 ^b
Mean ± SEM pup weight (g) at PND 42	29.9 ± 0.2	28.2 ± 0.3 ^b	28.0 ± 0.2 ^b	26.8 ± 0.4 ^b
F1 male fertility index ^c	80.4	52.0 ^b	4.7 ^b	0.0 ^b
F1 female fertility index ^d	100.0	65.7 ^b	0.0 ^b	0.0 ^b

^aPregnant F0 mice were administered daily doses of benzo[a]pyrene in corn oil on GDs 7–16.

^bSignificantly ($p < 0.05$) different from control by unspecified tests.

^cBeginning at 7 wks of age, each F1 male mouse (20–45/group) was exposed to 10 untreated females over a period of 25 d. Index = (females pregnant/females exposed to males) × 100.

^dBeginning at 6 wks of age, each F1 female mouse (20–55/group) was cohabitated with an untreated male for a period of 6 mo.

Source: MacKenzie and Angevine (1981).

1
2 Exposure to benzo[a]pyrene caused a marked dose-related decrease in the size of the
3 gonads. In F1 males, testes weights were statistically significantly reduced. Testes from animals
4 exposed in utero to 10 and 40 mg/kg-day weighed approximately 60 and 18%, respectively, of
5 the weight of testes from the control animals (no F2 offspring were produced in the high dose
6 group). This was confirmed by histopathologic observation of atrophic seminiferous tubules in
7 the 40 mg/kg-day group that were smaller than those of controls and were empty except for a
8 basal layer of cells. The number of interstitial cells in the testes was also increased in this group.
9 Males from the 10 mg/kg-day group showed limited testicular damage; although all exhibited
10 evidence of tubular injury, each animal had some seminiferous tubules that displayed active
11 spermatogenesis. Ovarian tissue was absent or reduced in F1 females such that organ weights
12 were not possible to obtain. Examination of available tissue in these females revealed
13 hypoplastic ovaries with few follicles and corpora lutea (10 mg/kg-day) or with no evidence of
14 folliculogenesis (40 mg/kg-day). Ovarian tissue was not examined in highest-dose females.

15 The LOAEL in this study was 10 mg/kg-day, based on decreases in mean pup weight
16 (<5%) at PND 42 of F1 offspring of dams treated with 10, 40, or 160 mg/kg-day benzo[a]pyrene,
17 marked decreases in the reproductive capacity (as measured by fertility index) of both male and
18 female F1 offspring exposed at all three treatment levels of benzo[a]pyrene (by approximately
19 30% in males and females), decreased litter size (by about 20%) in offspring of F1 dams, and
20 also the dramatic decrease in size and alteration in anatomy of the gonads of both male and
21 female F1 mice exposed to 10 and 40 mg/kg-day benzo[a]pyrene in utero. A NOAEL was not
22 identified.

23 In another reproductive and developmental toxicity study, benzo[a]pyrene was
24 administered by gavage in corn oil to nine female NMRI mice at a dose of 10 mg/kg-day on GDs
25 7–16; a group of nine controls received corn oil (Kristensen et al., 1995). Body weights were
26 monitored. F0 females were kept with their offspring until after weaning (21 days after
27 delivery). At 6 weeks of age, one F1 female from each litter (n = 9) was caged with an untreated
28 male. The F2 offspring were inspected for gross deformities at birth, weight and sex were
29 recorded 2 days after birth, and the pups were sacrificed. The F1 females were sacrificed after 6

1 months of continuous breeding. The effects of benzo[a]pyrene treatment on fertility, ovary
 2 weights, follicles, and corpora lutea were evaluated. F0 females showed no signs of general
 3 toxicity, and there was no effect on their fertility. F1 females had statistically significantly lower
 4 median numbers of offspring, number of litters, and litter sizes and a statistically significantly
 5 greater median number of days between litters as compared with the controls (Table 4-22). At
 6 necropsy, the F1 females from treated F0 females had statistically significantly reduced ovary
 7 weights; histologic examination of the ovaries revealed decreased numbers of small, medium, or
 8 large follicles and corpora lutea (Table 4-22). Only one dose group was used in this study, with
 9 decreased F1 female fertility observed following in utero exposure at the LOAEL of 10 mg/kg-
 10 day; no NOAEL was identified.

11

Table 4-22. Effect of prenatal exposure to benzo[a]pyrene on indices of reproductive performance in F1 female NMRI mice

Endpoint (median with range in parentheses)	Control ^a	benzo[a]pyrene exposed ^a (10 mg/kg-d)
Number of F2 offspring	92 (26–121)	22 ^b (0–86)
Number of F2 litters	8 (3–8)	3 ^b (0–8)
F2 litter size (number of pups per litter)	11.5 (6–15)	8 ^b (3–11)
Number of d between F2 litters	20.5 (20–21)	21 ^b (20–23)
F1 ovary weight (mg)	13 (13–20)	9 ^b (7–13)
Number of small follicles	44 (1–137)	0 ^b (0–68)
Number of medium follicles	9 (5–25)	0 ^b (0–57)
Number of large follicles	14 (6–23)	0 ^b (0–19)
Number of corpora lutea	16 (6–35)	0 ^b (0–14)

^aGroups of nine female NMRI F0 mice were administered 0 or 10 mg benzo[a]pyrene/kg by gavage in corn oil on GDs 7–16. One F1 female from each litter was continuously bred with an untreated male for 6 mo.

^bSignificantly ($p < 0.05$) different from control group by Wilcoxon rank sum test or Kruskal-Wallis two-tailed test.

Source: Kristensen et al. (1995).

12

13 *Reproductive effects in adults and repeated oral exposure*

14 Rigdon and Neal (1965) conducted a series of experiments to assess the reproductive
 15 effects of orally administered benzo[a]pyrene to Ah-responsive white Swiss mice. Female
 16 animals (number not stated) were administered benzo[a]pyrene at 250, 500, or 1,000 ppm in the
 17 feed before or during a 5-day mating period. Based on the initial body weight, the doses can be
 18 estimated as 32, 56, and 122 mg/kg-day, respectively. No effect on fertility was observed at any
 19 treatment dose, even when animals were fed 1,000 ppm benzo[a]pyrene for 20 days prior to
 20 mating, but interpretation of this finding was marred by large variability in numbers of pregnant
 21 females and litter sizes for both treated and control mice. In separate experiments, the fertility of
 22 five male mice/group was not affected by exposure to 1,000 ppm in food for up to 30 days prior
 23 to mating with untreated females. Histologic examinations showed that male mice fed 500 ppm
 24 benzo[a]pyrene for 30 days had spermatozoa present in their testes; further details were not

1 provided. The only treatment-related effect was a lack of weight gain related to feed
2 unpalatability. While this study suggests that pre-mating exposure of male or female mice to
3 doses up to 122 mg/kg-day for 20 days may not affect fertility, the sample sizes were too small
4 and study designs were too inconsistent to provide reliable NOAELs and LOAELs for
5 reproductive/developmental toxicity.

6 In an earlier study (Rigdon and Rennels, 1964) rats (strain not specified) were fed diets
7 containing benzo[a]pyrene at 1,000 ppm for approximately 28 days prior to mating and during
8 gestation. In this study, five of eight treated females mated with untreated males became
9 pregnant, but only one delivered live young. The treated dam that delivered had two live and
10 two stillborn pups; one dead pup was grossly malformed. In the remaining treated females,
11 vaginal bleeding was observed on GDs 23 or 24. In the inverse experimental design, three of six
12 controls mated to benzo[a]pyrene-treated males became pregnant and delivered live young.
13 Visceral and skeletal examinations of the pups were not conducted. These studies are
14 insufficiently reported and of insufficient design (e.g., inadequate numbers of animals for
15 statistical analysis) to provide reliable NOAELs or LOAELs for reproductive effects from
16 repeated oral exposure to benzo[a]pyrene.

17 18 *Immunosuppression effects and in utero exposure via oral route*

19 No studies were found that examined immune system endpoints following in utero
20 exposure via the oral route. The abstract of a report by Holladay and Smith (1994) referred to
21 gavage dosing in a study of immune endpoints in fetuses of pregnant mice exposed to
22 benzo[a]pyrene on GDs 13–17, but the methods section of the report described an i.p. injection
23 procedure in detail (see Section 4.4.2).

24 25 **4.3.2. Inhalation**

1 *Reproductive toxicity and in utero exposure via inhalation*

2 Archibong et al. (2002) evaluated the effect of exposure to inhaled benzo[a]pyrene on
 3 fetal survival and luteal maintenance in timed-pregnant F344 rats. Prior to exposure on GD 8,
 4 laparotomy was performed to determine the number of implantation sites, and confirmed
 5 pregnant rats were divided into three groups, consisting of rats that had four to six, seven to nine,
 6 or more than nine conceptuses in utero. Rats in these groups were then assigned randomly to the
 7 treatment groups or control groups to ensure a similar distribution of litter sizes. Animals
 8 (10/group) were exposed to benzo[a]pyrene:CB aerosols at concentrations of 25, 75, or
 9 100 µg/m³ via nose-only inhalation, 4 hours/day on GDs 11–20. Control animals were either
 10 sham-exposed to CB or remained entirely unexposed. Results of particle size analysis of
 11 generated aerosols were reported by several other reports from this laboratory (Inyang et al.,
 12 2003; Ramesh et al., 2001a; Hood et al., 2000). Aerosols showed a trimodal distribution with
 13 averages of 95% cumulative mass with diameters <15.85 µm; 89% <10 µm; 55% <2.5 µm; and
 14 38% <1 µm (Inyang et al., 2003). Ramesh et al. (2001a) reported that the (MMAD ± GSD) for
 15 the 55% mass fraction with diameters <2.5 µm was 1.7 ± 0.085. Progesterone, estradiol-17β,
 16 and prolactin concentrations were determined in plasma collected on GDs 15 and 17. Fetal
 17 survival was calculated as the total number of pups divided by the number of all implantation
 18 sites determined on GD 8. Individual pup weights and crown-rump length per litter per
 19 treatment were determined on PND 4 (PND 0 = day of parturition).

20 Archibong et al. (2002) reported that exposure of rats to benzo[a]pyrene caused
 21 biologically and statistically significant ($p \leq 0.05$) reductions in fetal survival compared with the
 22 two control groups; fetal survival rates were 78.3, 38.0, and 33.8% per litter at 25, 75, and
 23 100 µg/m³, respectively, and 96.7% with CB or 98.8% per litter in untreated controls (see Table
 24 4-23). Consequently, the number of pups per litter was also decreased in a concentration-
 25 dependent manner. The decrease was ~50% at 75 µg/m³ and ~65% at 100 µg/m³, compared with
 26 sham-exposed and unexposed control groups. No effects on hormone levels were observed on
 27 GDs 15 or 17 at the low-dose. Biologically significant decreases in mean pup weights
 28 (expressed as g per litter) of >5% were observed at doses ≥75 µg/m³ (14 and 16% decreases at 75
 29 and 100 µg/m³, respectively, $p < 0.05$). Exposure to benzo[a]pyrene did not affect crown-rump
 30 length (see Table 4-22).

31
Table 4-23. Pregnancy outcomes in female F344 rats treated with benzo[a]pyrene on GDs 11–21 by inhalation

Parameter ^a	Administered concentration of benzo[a]pyrene (µg/m ³)				
	0 (unexposed control)	0 (carbon black)	25	75	100
Implantation sites	8.6 ± 0.2	8.8 ± 0.1	8.8 ± 0.5	9.0 ± 0.2	8.8 ± 0.1
Pups per litter	8.5 ± 0.2	8.7 ± 0.2	7.4 ± 0.5 ^b	4.2 ± 0.1 ^b	3.0 ± 0.2 ^b

Survival (litter %)	98.9 ± 1.1	96.7 ± 1.7	78.3 ± 4.1 ^b	38.0 ± 2.1 ^b	33.8 ± 1.3 ^b
Pup weight (g/litter)	10.6 ± 0.1	8.8 ± 0.1	10.5 ± 0.2	9.1 ± 0.2 ^b	8.9 ± 0.1 ^b
Crown-rump length (mm/litter)	29.4 ± 0.6	29.3 ± 0.5	28.0 ± 0.6	27.3 ± 0.7	27.9 ± 0.7

aValues presented as means ± SEM.

bSignificantly different from controls at $p < 0.05$ by one-tailed post-hoc t-testing following ANOVA.

Source: Archibong et al. (2002).

1
2 benzo[a]pyrene exposure at $75 \mu\text{g}/\text{m}^3$ caused a statistically significant decrease in plasma
3 progesterone, estradiol, and prolactin on GD 17; these levels were not determined in the rats
4 exposed to $100 \mu\text{g}/\text{m}^3$ (Archibong et al., 2002). Plasma prolactin is an indirect measure of the
5 activity of decidual luteotropin, a prolactin-like hormone whose activity is necessary for luteal
6 maintenance during pregnancy in rats. Control levels of prolactin increased from GDs 15 to 17,
7 but this increase did not occur in the rats exposed to $75 \mu\text{g}/\text{m}^3$. Although the progesterone
8 concentration at $75 \mu\text{g}/\text{m}^3$ was significantly lower than in controls on GD 17, the authors thought
9 that the circulating levels should have been sufficient to maintain pregnancy; thus, the increased
10 loss of fetuses was thought to be caused by the lower prolactin levels rather than progesterone
11 deficiency. The reduced circulating levels of progesterone and estradiol-17 β among
12 benzo[a]pyrene-treated rats were thought to be a result of limited decidual luteotropic support for
13 the corpora lutea. The authors proposed the following mechanism for the effects of
14 benzo[a]pyrene on fertility: benzo[a]pyrene or its metabolites decreased prolactin and decidual
15 luteotropin levels, compromising the luteotropic support for the corpora lutea and thereby
16 decreasing the plasma levels of progesterone and estradiol-17 β . The low estradiol-17 β may
17 decrease uterine levels of progesterone receptors, thereby resulting in fetal mortality. Based on
18 biologically and statistically significant decreases in pups/litter and percent fetal survival/per
19 litter, the LOAEL was $25 \mu\text{g}/\text{m}^3$; no NOAEL was identified.

21 *Neurotoxicity and in utero exposure via inhalation*

22 To evaluate the effects of benzo[a]pyrene on the developing central nervous system,
23 Wormley et al. (2004) exposed timed-pregnant F344 rats (10/group) to benzo[a]pyrene:CB
24 aerosols by nose-only inhalation on GDs 11–21 for 4 hours/day at a concentration of $100 \mu\text{g}/\text{m}^3$.
25 Results of particle size analysis of generated aerosols were reported by other reports from this
26 laboratory (Ramesh et al., 2001a; Hood et al., 2000). Particle size analysis of a $100\text{-}\mu\text{g}/\text{m}^3$
27 aerosol showed a trimodal distribution with averages of 95% cumulative mass with diameters
28 $<15.85 \mu\text{m}$; 90% $<10 \mu\text{m}$; 67.5% $<2.5 \mu\text{m}$; and 66.2% $<1 \mu\text{m}$; the MMAD ± GSD for the latter
29 fraction was $0.4 \pm 0.02 \mu\text{m}$ (Hood et al., 2000). Dams were maintained to term and pups were
30 weaned on PND 30. Benzo[a]pyrene reduced the number of live pups to one-third of control
31 values without affecting the number of implantation sites. During PNDs 60–70 electrical
32 stimulation and evoked field potential responses were recorded via electrodes implanted into the

1 brains of the animals. Direct stimulation of perforant paths in the entorhinal region revealed a
2 diminution in long-term potentiation of population spikes across the perforant path-granular cell
3 synapses in the dentate gyrus of the hippocampus of F1 generation benzo[a]pyrene-exposed
4 animals; responses in exposed offspring were about 25% weaker than in control offspring.
5 Additionally, NMDA receptor subunit 1 protein (important for synaptic functioning) was down-
6 regulated in the hippocampus of benzo[a]pyrene exposed F1 pups. The authors interpreted their
7 results as suggesting that gestational exposure to benzo[a]pyrene inhalation attenuates the
8 capacity for long-term potentiation (a cellular correlate of learning and memory) in the F1
9 generation.

10 In a later study by this same group of investigators, Wu et al. (2003) evaluated the
11 generation of benzo[a]pyrene metabolites in F1 generation pups, as well as the developmental
12 profile for AhR and mRNA. In this study, confirmed pregnant F344 rats were exposed to
13 benzo[a]pyrene:CB aerosols at 25, 75, or 100 $\mu\text{g}/\text{m}^3$ via nose-only inhalation, 4 hours/day, for
14 10 days (GDs 11–21). Control animals were exposed to CB (sham) to control for inert carrier
15 effects or they remained untreated. Each benzo[a]pyrene concentration had its own set of
16 controls (CB and untreated). Two randomly selected pups were sacrificed on each of PND 0, 3,
17 5, 10, 15, 20, and 30. Body, brain, and liver weights were recorded. Benzo[a]pyrene metabolites
18 were analyzed in the cerebral cortex, hippocampus, liver, and plasma. A dose-related increase in
19 plasma and cortex benzo[a]pyrene metabolite concentrations in pups was observed.
20 Dihydrodiols (4,5-; 7,8-; 9,10-) dominated the metabolite distribution profile up to PND 15 and
21 the hydroxy (3-OH-benzo[a]pyrene; 9-OH-benzo[a]pyrene) metabolites after PND 15 at
22 100 $\mu\text{g}/\text{m}^3$ (the only exposure concentration reported). Results indicated a dose-related decrease
23 in the ratio of the total number of pups born per litter to the total number of implantation sites per
24 litter. The number of resorptions at 75 and 100 $\mu\text{g}/\text{m}^3$, but not at 25 $\mu\text{g}/\text{m}^3$, was statistically
25 significantly increased compared with controls.

26 27 *Adult male reproductive effects and repeated inhalation exposure*

28 Inyang et al. (2003) evaluated the effect of sub-acute exposure to inhaled benzo[a]pyrene
29 on testicular steroidogenesis and epididymal function in rats. Male F344 rats (10/group),
30 13 weeks of age, were exposed to benzo[a]pyrene:CB aerosols at 25, 75, or 100 $\mu\text{g}/\text{m}^3$ via nose-
31 only inhalation, 4 hours/day for 10 days. Control animals were either exposed to CB (sham) to
32 control for exposure to the inert carrier, or they remained untreated. Each benzo[a]pyrene
33 concentration had its own set of controls (CB and untreated). Aerosols showed a trimodal
34 distribution with averages of 95% cumulative mass <15.85 μm ; 89% <10 μm ; 55% <2.5 μm ; and
35 38% <1 μm (Inyang et al., 2003); an earlier report from this laboratory indicated that the 55%
36 mass fraction had a MMAD \pm GSD of 1.7 ± 0.085 (Ramesh et al., 2001a). Blood samples were
37 collected at 0, 24, 48, and 72 hours after cessation of exposure to assess the effect of
38 benzo[a]pyrene on systemic concentrations of testosterone and luteinizing hormone (LH),

1 hormones that regulate testosterone synthesis. Reproductive endpoints such as testis weight and
2 motility and density of stored (epididymal) sperm were evaluated.

3 Regardless of the exposure concentration, inhaled benzo[a]pyrene did not affect testis
4 weight or the density of stored sperm compared with controls. However, inhaled benzo[a]pyrene
5 caused a concentration-dependent reduction in the progressive motility of stored sperm.
6 Progressive motility was similar at 75 and 100 $\mu\text{g}/\text{m}^3$, but these values were significantly lower
7 ($p < 0.05$) than in any other group. The reduction in sperm motility post-cessation of exposure
8 was thought to be the result of benzo[a]pyrene limiting epididymal function. Benzo[a]pyrene
9 exposure to 75 $\mu\text{g}/\text{m}^3$ caused a decrease in circulating concentrations of testosterone compared
10 with controls from the time of cessation of exposure (time 0) to 48 hours post-termination of
11 exposure ($p < 0.05$). However, the decrease was followed by a compensatory increase in
12 testosterone concentration at 72 hours post-cessation of exposure. Exposure to 75 $\mu\text{g}/\text{m}^3$ caused
13 a nonsignificant increase in plasma LH concentrations at the end of exposure compared with
14 controls, which increased further and turned significant ($p < 0.05$) for the remaining time of the
15 study period. The decreased plasma concentration of testosterone, accompanied by an increased
16 plasma LH level, was thought to indicate that benzo[a]pyrene did not have a direct effect on LH.
17 The authors also noted that the decreased circulating testosterone may have been secondary to
18 induction of liver CYP450 enzymes by benzo[a]pyrene. The authors concluded that subacute
19 exposure to benzo[a]pyrene contributed to impaired testicular endocrine function that ultimately
20 impaired epididymal function. Based on this study, the NOAEL was 25 $\mu\text{g}/\text{m}^3$ and the LOAEL
21 was 75 $\mu\text{g}/\text{m}^3$, based on a statistically significant reduction in the progressive motility of stored
22 sperm and impairment of testicular function with 10 days of exposure at 75 $\mu\text{g}/\text{m}^3$.

23 In a follow up study with longer exposure duration, adult male F344 rats (10 per group)
24 were exposed to benzo[a]pyrene:CB aerosols at 75 $\mu\text{g}/\text{m}^3$ via nose-only inhalation, 4 hours/day
25 for 60 days (Archibong et al., 2008; Ramesh et al., 2008). Rats in the control group were
26 subjected to the nose-only restraint, but were not exposed to the CB carrier. Blood samples were
27 collected at 0, 24, 48, and 72 hours after exposure terminated, and the animals sacrificed for
28 tissue analyses following the last blood sampling. Data were analyzed statistically for
29 benzo[a]pyrene effects on weekly body weights, total plasma testosterone and LH
30 concentrations, testis weights, density of stored spermatozoa, sperm morphological forms and
31 motility, benzo[a]pyrene metabolite concentrations and AHH activity, and morphometric
32 assessments of testicular histologies. Relative to controls, the results indicated 34% reduced
33 testis weight ($p < 0.025$), reduced daily sperm production ($p < 0.025$) and reduced intratesticular
34 testosterone concentrations ($p < 0.025$). Plasma testosterone concentrations were reduced to
35 about one-third of the level in controls on the last day of exposure (day 60) and at 24, 48, and 72
36 hours later ($p < 0.05$). However, plasma LH concentrations in benzo[a]pyrene exposed rats were
37 elevated throughout the blood sampling time periods compared with controls ($p < 0.05$). In
38 testis, lung, and liver, AHH activity, and benzo[a]pyrene-7,8-dihydrodiol (precursor to the DNA-

1 reactive BPDE) and benzo[a]pyrene-3,6-dione metabolites were significantly ($p < 0.05$) elevated
2 relative to controls. Progressive motility and mean density of stored spermatozoa were
3 significantly reduced ($p < 0.05$). Weekly body weight gains were not affected by benzo[a]pyrene
4 exposure. These results indicate that 60-day exposure of adult male rats to benzo[a]pyrene:CB
5 aerosols at $75 \mu\text{g}/\text{m}^3$ produced decreased testis weight; decreased intratesticular and plasma
6 testosterone concentrations; and decreased sperm production, motility, and density.

8 **4.4. OTHER DURATION OR ENDPOINT-SPECIFIC STUDIES**

9 **4.4.1. Acute Neurological Studies**

10 Saunders et al. (2001) administered benzo[a]pyrene (>97% pure in peanut oil) to F344
11 rats (10/sex/dose group) via a single gavage dose of 0, 12.5, 25, 50, 100, or 200 mg/kg. Separate
12 groups of animals were used for motor activity assessment and functional observational battery.
13 Motor activity (horizontal, vertical, total distance, and stereotypic activity) was measured over
14 2-hour intervals during the nocturnal phase (12 hours) for 5 consecutive days after treatment
15 (day 1). The functional observational battery, consisting of 29 tests assessing autonomic,
16 neuromuscular, CNS excitability, CNS activity, sensorimotor, and physiological activity, was
17 administered before dosing and at 2, 4, 6, 12, 24, 48, 72, and 96 hours after dosing. Body weight
18 was measured after the functional observational battery.

19 In both sexes of rat, body weight gain was significantly reduced on day 4 and/or 5 at
20 doses ≥ 25 mg/kg (Saunders et al., 2001). Body weight gains were comparable to controls in the
21 25 and 50 mg/kg groups by day 6. Higher doses of benzo[a]pyrene resulted in prolonged
22 reductions in body weight gain, with reductions of 21–26% in both sexes on day 9 after
23 treatment. Weight gain had returned to control levels after 2 weeks postdosing. At doses of
24 50 mg/kg and higher, all measures of motor activity were significantly depressed in both sexes
25 beginning 2 hours after dosing and persisting through the 12-hour post-dosing measurement. At
26 the 25 mg/kg dose, significant changes in motor activity were not observed until 4–6 hours after
27 treatment. When motor activity was measured over 24-hour intervals, significant depression of
28 motor activity (all measures) was observed at all doses on day 1 post-treatment and at ≥ 50 mg/kg
29 on day 2. The results of the functional observational battery showed significant effects on
30 neuromuscular endpoints (decreased mobility and grip strength, abnormal gait, loss of righting
31 reflex), autonomic endpoints (increased defecation and urination), and sensorimotor endpoints
32 (decreased response to sound, touch, and pain) at all doses and in both sexes. Effects on most
33 parameters peaked at 6 hours post-dosing, with return to control levels by 72 hours post-dosing.
34 The severity of effects on the FOB tests was greater in males than in females. This study
35 identified a LOAEL of 25 mg/kg benzo[a]pyrene for acute neurotoxicity; the NOAEL is
36 12.5 mg/kg.

37 In a study with nearly identical design, Saunders et al. (2002) treated male F344 rats
38 (10/dose) with single gavage doses of 0, 25, 50, 100, or 200 mg/kg benzo[a]pyrene (>97% pure,

1 in peanut oil). As in the study by Saunders et al. (2001), separate groups of animals were used
2 for motor activity assessment and functional observational battery (using the same endpoints
3 measured at the same time intervals); in addition, a third group of animals was treated at the
4 same doses and used for measurement of benzo[a]pyrene and its metabolites in plasma and brain
5 tissue. At 2, 4, 6, 12, 24, 48, 72, and 96 hours after dosing, rats in the metabolism groups were
6 sacrificed for analysis of benzo[a]pyrene and its metabolites in plasma and brain tissue. Dose-
7 and time-dependent effects on locomotor activity were observed in all treated groups. In
8 measurements conducted over the 12 hours on day 1 after treatment, significant ($p < 0.05$ relative
9 to vehicle controls) reductions in total distance traveled (considered by the authors to represent
10 the most accurate measure of locomotor activity) were observed at doses ≥ 50 mg/kg beginning at
11 2–4 hours post dosing and persisting up to the 12-hour time point. When assessed on a daily
12 basis over the 5 posttreatment days, total distance traveled was significantly lower than controls
13 in all treated groups on day 1 and in groups exposed to ≥ 50 mg/kg on day 2. Significant ($p <$
14 0.05) dose-dependent effects on neuromuscular, sensorimotor, and autonomic parameters were
15 observed with benzo[a]pyrene treatment. Significant increases in the severity of abnormal gait
16 and impaired sound and tail pinch responses, as well as decreased forelimb grip strength,
17 occurred in all dose groups; the severity of effects peaked at 4 or 6 hours after treatment.
18 Increased severity of landing foot splay was observed at doses ≥ 50 mg/kg, also peaking in
19 severity at 6 hours after treatment. Effects on this endpoint persisted from 2 to 24 hours post
20 treatment in the two high dose groups. Autonomic effects, consisting of increased frequency of
21 urination and defecation, occurred at doses ≥ 50 mg/kg; in the two high dose groups, these effects
22 began 4 hours after dosing and persisted through 24 hours. The onset and duration of the effects
23 observed in this study corresponded well with the plasma and brain tissue concentrations of
24 benzo[a]pyrene and its metabolites. In particular, levels of benzo[a]pyrene metabolites in brain
25 tissue peaked between 2 and 6 hours after dosing, and plasma levels peaked at 6 hours after
26 dosing, in all treated groups. By 72 hours after dosing, metabolite levels had returned to
27 baseline. Unmetabolized benzo[a]pyrene was detected in brain tissue only in the two highest
28 dose groups; levels in both brain tissue and plasma peaked between 2 and 6 hours after dosing.
29 Analysis of specific metabolite levels over time showed that the diol metabolites comprised a
30 larger percentage of the total metabolites at earlier time points (up to 12 hours after dosing),
31 while the hydroxyl metabolites predominated at later times. The authors postulated that the
32 observed toxic effects were associated with the production of benzo[a]pyrene diol metabolites
33 and/or related generation of ROS rather than an effect of the parent compound or hydroxyl
34 metabolites. The LOAEL in this study was 25 mg/kg based on suppression of locomotor activity
35 and evidence of impairment in the functional observational battery.

36 In a follow-up study, Saunders et al. (2006) attempted to correlate neurobehavioral
37 changes with levels of benzo[a]pyrene metabolites, antioxidant enzyme levels, and measures of
38 lipid peroxidation in selected brain regions. Single oral doses of 0, 25, 50, 100, or 200 mg/kg

1 benzo[a]pyrene (97% pure, in peanut oil) were administered by gavage to groups of 10 male
2 F344 rats. Motor activity, measured as total distance traveled over a 2-hour interval during the
3 nocturnal phase, was assessed at 0, 2, 4, 6, 12, 24, 48, 72, and 96 hours post treatment.
4 Additional groups of animals were exposed to the same doses and sacrificed for collection of
5 blood and specific brain tissues (hippocampus, striatum) at the same time points; benzo[a]pyrene
6 and its metabolites were measured by reverse phase HPLC in blood and brain tissues. In
7 addition, the activities of superoxide dismutase, catalase, glutathione peroxidase, and levels of
8 malondialdehyde in striatum and hippocampus were determined at 6 and 96 hours after
9 treatment. The authors reported that motor activity was significantly ($p < 0.01$) suppressed as
10 early as 2 hours after treatment and remained suppressed through the 48-hour time point in the
11 groups exposed to 50 and 200 mg/kg benzo[a]pyrene; however, the data were not reported, and it
12 was not clear whether the 100 mg/kg dose group was affected. The authors indicated that the
13 maximum suppression occurred at 12 hours, when motor activity was 72% lower than controls;
14 however, the affected dose group(s) was not reported. Data were reported graphically for the
15 25 mg/kg group only; based on the graph, a significant ($p < 0.05$) suppression of motor activity
16 occurred by 4 hours after treatment and persisted through the 12-hour measurement. Activity
17 had returned to control levels by 72 or 96 hours in all dose groups. As in the study reported by
18 Saunders et al. (2002), the levels of benzo[a]pyrene metabolites in the plasma and brain
19 correlated with the onset and duration of behavioral effects; metabolite concentrations peaked
20 between 2 and 6 hours after treatment, when suppression of motor activity occurred. In addition,
21 the toxification/detoxification ratio of benzo[a]pyrene metabolites (measured as the ratio of 7,8-
22 dihydrodiol 9,10-epoxide to 3[OH]benzo[a]pyrene) in plasma, cortex, cerebellum, hippocampus,
23 and striatum was higher (between 2 and 7) for the first 6 hours, indicating higher levels of the
24 more toxic epoxide metabolite. From 24 to 96 hours after treatment, the ratio was <1 , indicating
25 that the hydroxyl form predominated. Measurement of antioxidant enzyme levels in the striatum
26 and hippocampus at 6 and 96 hours after dosing showed significant dose-related decreases in the
27 activities of superoxide dismutase and glutathione peroxidase, but enhanced catalase activity and
28 increased lipid peroxidation products in the striatum and hippocampus. The authors suggested
29 that benzo[a]pyrene-induced acute neurobehavioral effects may be associated with oxidative
30 stress resulting from generation of ROS and inhibition of brain antioxidants.

31 Evidence of neurotoxicity has also been reported in studies of acute exposure to
32 benzo[a]pyrene administered via parenteral routes. As part of a study of the neurotoxicity of
33 motorcycle exhaust, Liu et al. (2002) administered benzo[a]pyrene dissolved in corn oil via i.p.
34 injection to ICR mice (sex not specified; 4–6/group) at doses of 0, 50, or 100 mg/kg-day for
35 3 consecutive days. One day after the last treatment, motor nerve conduction velocity was
36 measured in the tails. The mice were then sacrificed for removal of the sciatic nerve, which was
37 assayed for Na^+/K^+ -ATPase activity. Although the methods section indicated that
38 benzo[a]pyrene-treated animals were tested for rotarod performance, results of this evaluation

1 were not reported. Data on motor nerve conduction velocity and Na⁺/K⁺-ATPase activity were
2 presented graphically. Exposure to benzo[a]pyrene at resulted in significant ($p < 0.05$)
3 depression of motor nerve conduction velocity (about 25 and 40% decrease from control for 50
4 and 100 mg/kg-day doses, respectively, based on visual inspection data presented graphically),
5 and decreased the Na⁺/K⁺-ATPase activities of sciatic nerves (about 20 and 30% decreases from
6 control, respectively).

7 Grova et al. (2007) evaluated the effects on short-term exposure to benzo[a]pyrene on
8 learning, memory, locomotor activity, and motor coordination. Groups of 10 female Balb/c mice
9 were treated with i.p. injections of benzo[a]pyrene (>97% pure, in vegetable oil) at doses of 0,
10 0.02, 0.2, 2, 20, or 200 mg/kg-day for 10 consecutive days. At the end of exposure, locomotor
11 activity was measured in open field activity, and motor coordination was assessed. Memory and
12 learning were evaluated using the Y maze (measures spontaneous alternation behavior) and the
13 Morris water maze (measures learning as escape latency in repeated trials). Finally, the animals
14 were sacrificed for removal of the brain; expression of the NMDA R1 receptor (involved in
15 cognitive function) subunit gene was measured in eight brain regions (cerebral trunk, cerebellum,
16 mesencephalum, hippocampus, hypothalamus, thalamus, frontal cortex, and temporal cortex) by
17 quantitative real-time reverse transcription PCR assay. In contrast to oral studies of
18 benzo[a]pyrene exposure (Saunders et al., 2006, 2002, 2001), injection of benzo[a]pyrene did not
19 affect locomotor activity at any dose in this study. At the lowest doses (0.02 and 0.2 mg/kg-
20 day), benzo[a]pyrene exposure resulted in reductions in the percentage of spontaneous
21 alternation in the Y maze. However, at the higher doses (≥ 2 mg/kg-day), there was no difference
22 from controls. The authors attributed this finding to increased activity and arousal at the higher
23 doses, postulated to result from an anxiolytic effect of benzo[a]pyrene. In the 5th trial of the
24 water maze, all benzo[a]pyrene groups showed impairment; the escape latency was significantly
25 higher than controls. In contrast, the higher doses of benzo[a]pyrene resulted in significantly
26 reduced latency during the first trial. No differences from control were observed in the 2nd, 3rd,
27 and 4th trials, or 1 day after the last dose. Benzo[a]pyrene exposure resulted in modulation of
28 NMDA-R1 subunit gene expression; expression was significantly ($p < 0.05$) increased in the
29 cerebellum, mesencephalus, and hippocampus, but decreased in the frontal cortex and cerebral
30 trunk. Effects on gene expression in the cerebellum, frontal cortex, and hippocampus occurred at
31 all doses, while gene expression in the cerebral trunk was affected only at doses of ≥ 0.2 mg/kg-
32 day, and expression in the mesencephalus occurred only at doses of ≥ 2.0 mg/kg-day.

33 In a follow-up study, Grova et al. (2008) evaluated the effects of exposure to
34 benzo[a]pyrene on anxiety-related behaviors (performance in elevated-plus maze and hole-board
35 apparatus). The animals, group sizes, and doses were the same as in Grova et al. (2007), but
36 exposure occurred over 11 days. Behavioral tests were administered 30 minutes after the final
37 dose, and the animals were sacrificed 1 hour after the tests. Benzo[a]pyrene exposure at 20 and
38 200 mg/kg-day resulted in reduction in anxiety-related behavior as measured by the increased

1 number of head dippings in the hole-board apparatus. In the elevated-plus maze test, only the
2 highest dose resulted in a significant reduction in anxiety (as measured by higher percentage of
3 open arm entries and time spent in open arms).

4 5 **4.4.2. Immunological Studies**

6 Immunological effects (e.g., decreased thymus weight, decreased number of B cells in
7 spleen) have been reported in Wistar rats repeatedly exposed to benzo[a]pyrene doses ≥ 10 –
8 15 mg/kg-day in standard oral toxicity studies (Kroese et al., 2001; De Jong et al., 1999).
9 Diminished immune responses elicited by the dermal sensitizer, 2,4-dinitrochlorobenzene
10 (DNCB) have been observed in C56BL/6 mice orally exposed to 13 mg/kg-day benzo[a]pyrene,
11 3 times/week for 4 weeks (van den Berg et al., 2005). No studies were located examining
12 immune system endpoints following inhalation exposure of animals to benzo[a]pyrene. Results
13 from studies of immune system endpoints in mice following i.p., subcutaneous (s.c.), or
14 intratracheal instillation exposure are consistent with immune suppression at dose levels
15 generally ≥ 40 mg/kg-day. The available animal studies identify immune suppression as a
16 potential hazard of repeated oral exposure to benzo[a]pyrene at doses ≥ 10 –15 mg/kg-day.

17 18 **4.4.2.1. Oral Exposure Immunological Studies**

19 As discussed in Section 4.2.1.1, dose-related decreases in thymus weight and relative
20 number of B cells in the spleen were observed in male Wistar rats administered gavage doses
21 ≥ 10 mg/kg-day for 35 days (De Jong et al., 1999). At higher doses (≥ 30 mg/kg-day), serum IgM
22 and IgA levels were decreased. At the highest dose tested (90 mg/kg-day), the relative cortex
23 surface area of thymus and thymic medullar weight were significantly reduced; NK cell activity
24 in the spleen was also reduced at this dose. No effects on the immune system were observed at
25 3 mg/kg-day (De Jong et al., 1999). In two additional subchronic gavage studies, thymus weight
26 was decreased in a dose-related manner in male Wistar rats exposed to doses ≥ 15 mg/kg-day
27 (5 days/week) for at least 5 weeks and in females exposed to doses of ≥ 30 mg/kg-day
28 (5 days/week) for 90 days (Kroese et al., 2001). No other immune system parameters were
29 assessed in these studies. In an adaptation of the sensitization-specific murine local lymph node
30 assay for use in testing immune function, van den Berg et al. (2005) tested several
31 immunomodulating compounds, including benzo[a]pyrene, for effects on the T-cell-dependent
32 immune response induced by the contact sensitizer, DNCB. Groups of eight male and eight
33 female C56BL/6 mice were given gavage doses of 13 mg/kg-day benzo[a]pyrene (purity not
34 reported) 3 times/week for 4 weeks, followed by sensitization with DNCB (0, 0.33, 0.66, or 1%
35 solutions in acetone:corn oil) applied topically to the backs of both ears for 3 consecutive days.
36 Three days after the last DNCB treatment, the lymph nodes under the application area were
37 excised, weighed, and homogenized for preparation of cell suspensions. The lymph node cell
38 suspensions were tested for cell proliferation capacity via measurement of [³H]-thymidine

1 incorporation. Releases of the cytokines interferon (IFN)- γ and interleukin (IL)-4 following
2 concanavalin A stimulation were assayed by ELISA. At the highest concentration of the
3 sensitizer, benzo[a]pyrene treatment reduced [^3H]-thymidine incorporation into lymphocytes by
4 approximately 30% (based on visual inspection of data presented graphically; $p = 0.008$)
5 compared with untreated controls. In this treatment group, benzo[a]pyrene also reduced the
6 release of IFN- γ (approximately 75% less than controls based on graphical data, not significant)
7 and IL-4 (approximately 60% less than controls based on graphical data, $p < 0.001$). The results
8 indicated that benzo[a]pyrene modulates the immune response elicited by the sensitizer DNCB.
9

10 **4.4.2.2. Inhalation Exposure Immunological Studies**

11 No studies were located that examined immune system endpoints following inhalation
12 exposure of animals to benzo[a]pyrene.
13

14 **4.4.2.3. Other Exposure Route Immunological Studies**

15 A number of studies have shown suppression of both humoral and cell-mediated immune
16 responses in mice following i.p., s.c., or intratracheal administration. Dose-related decreases in
17 spleen and serum IgM levels after challenge by sheep red blood cells (SRBC) were reported in
18 rats (10, 40 mg/kg-day) and mice (5, 20, 40 mg/kg-day) following s.c. injection of
19 benzo[a]pyrene for 14 days (Temple et al. 1993). Reduced spleen cell response to SRBC and
20 lipopolysaccharides were observed in B6C3F₁ mice exposed to doses ≥ 40 mg/kg-day
21 benzo[a]pyrene by i.p. or s.c. injection for 4–14 days (Lyte and Bick, 1985; Dean et al., 1983;
22 Munson and White, 1983) or by intratracheal instillation for 7 days (Schnizlein et al., 1987).
23 B6C3F₁ mice exhibited dose-dependent decreased resistance to *Streptococcus pneumoniae* or
24 *Herpes simplex type 2* following s.c. injection of 5, 20, or 40 mg/kg benzo[a]pyrene for 14 days
25 (Munson et al., 1985). Galvan et al. (2006) reported that single i.p. injections of mice with
26 50 mg/kg benzo[a]pyrene caused decreased pro/pre B-lymphocytes and neutrophils in bone
27 marrow, without affecting numbers of immature and mature B-lymphocytes or GR-1+ myeloid
28 cells. Several i.p. injection studies reported immune suppression effects in mice exposed to
29 benzo[a]pyrene *in utero* at doses ranging from 50 to 150 mg/kg; effects included decreased
30 spleen or thymus weights, suppression of antibody forming cells in response to sheep red blood
31 cells, decreased spleen, thymic, or bone marrow cellularity, and disrupted T-cell development
32 (Rodriguez et al. 1999; Holladay and Smith, 1995; Lummus and Henningsen, 1995; Holladay
33 and Smith, 1994; Urso and Johnson 1988; Urso et al., 1988; Urso and Gengozian, 1984, 1982,
34 1980).

35 In contrast to the studies that have shown decrements in immune response,
36 benzo[a]pyrene may also induce sensitization responses. Epicutaneous application of
37 benzo[a]pyrene (100 μg benzo[a]pyrene to C3H/HeN mice followed by ear challenge with 20 μg

1 benzo[a]pyrene 5 days later) produced a contact hypersensitivity (a significant ear swelling)
2 response (Klemme et al., 1987).

3 4 **4.4.2.4. Other Exposure Route Developmental Immunotoxicity**

5 While there are no oral or inhalation studies of benzo[a]pyrene on the developing
6 immune system, several i.p. injection studies indicate that this is an area of concern for both cell-
7 mediated and humoral immune ontogeny. In terms of cell-mediated effects, Urso and Gengozian
8 (1984) reported severe suppression of the mixed lymphocyte response and moderate suppression
9 of the graft-versus-host response in mice exposed *in utero* to 150 mg/kg from GD 11 to 17. Both
10 effects persisted until 18 mo of age. Holladay and Smith (1994) found that mice exposed to 0,
11 50, 100, or 150 mg/kg from GD 13 to 17 exhibited severe fetal thymic atrophy when examined
12 on GD 18. In the same study, expression data of cell surface markers (e.g. CD4, CD8) indicate
13 that benzo[a]pyrene may inhibit and/or delay thymocyte maturation, possibly contributing to the
14 observed thymic atrophy. Several other studies also show decreased thymocyte numbers and
15 disrupted T cell maturation after *in utero* exposure to benzo[a]pyrene (Rodriguez et al., 1999;
16 Holladay and Smith, 1995; Lummus and Henningsen, 1995; Urso et al., 1992; Urso and Johnson,
17 1987).

18 In addition to direct thymus effects, Holladay and Smith (1994) reported a large reduction
19 in total cellularity in the fetal liver, which is the primary hematopoietic organ during gestation
20 and a major source of thymocyte precursors beginning around GD 10-11 in mice (Pennit and
21 Vaddeur, 1989; Landreth and Dodson, 2005). This was accompanied by decreased expression of
22 terminal deoxynucleotidyl transferase (TdT), an intracellular marker known to be present in
23 cortical thymocyte progenitors in the fetal liver (Fine et al., 1990; Silverstone et al., 1976). This
24 data suggests that benzo[a]pyrene also disrupts liver hematopoiesis during gestation and may
25 interfere with prolymphoid seeding of the thymus, possibly contributing to thymic atrophy and
26 cell-mediated immunosuppression. Rodriguez et al. (1999) assessed downstream affects of T cell
27 development by showing that CD4⁺ T-cells were reduced in the spleen of 1-week old mice
28 following *in utero* benzo[a]pyrene exposure.

29 There is also some evidence of humoral immune disruption by benzo[a]pyrene during
30 fetal life. In a series of related studies, mice exposed to benzo[a]pyrene during mid (GD 11 to
31 13) or late (GD 16-18) gestation or both (GD 11 to 17) exhibited severe suppression of the
32 plaque-forming cell response to sheep red blood cells from 1 wk up to 18 mo after birth (Urso
33 and Gengozian, 1984, 1982, 1980). In their analysis of fetal liver cells, Holladay and Smith
34 (1994) reported large decreases in expression of TdT and CD45R cellular markers, both of which
35 are present on pre-B lymphocytes.

36 37 **4.4.3. Cancer Bioassays (Other Routes of Exposure)**

1 Cancer bioassays following i.p. injection of mice with benzo[a]pyrene have consistently
2 found cancer responses. Newborn mouse bioassays involving postnatal injections of
3 benzo[a]pyrene (generally in the dose range of 0.5–1 $\mu\text{mol}/\text{mouse}$ on PNDs 1, 8, and 15)
4 consistently found increases in liver or lung tumors, either increases in incidence of animals with
5 tumors or increased numbers of tumors per animal (LaVoie et al., 1994, 1987; Busby et al., 1989,
6 1984; Weyand and LaVoie, 1988; Wislocki et al., 1986; Buening et al., 1978; Kapitulnik et al.,
7 1978). Likewise, i.p. injection of pregnant mice with benzo[a]pyrene (100–150 mg/kg) during
8 gestation induced increased incidences of offspring with liver or lung tumors, compared with
9 controls (Urso and Gengozian, 1984; Bulay and Wattenberg, 1971). A/J adult mice given single
10 i.p. injections of benzo[a]pyrene showed a dose-related increase in the number of lung tumors
11 per mouse with doses ranging from about 5 to 200 mg/kg (Mass et al., 1993).

12 Tumorigenic responses to s.c. administered benzo[a]pyrene have been observed mostly at
13 the site of injection in studies with mice (Nikonova, 1977; Pfeiffer, 1977; Homburger et al.,
14 1972; Roe and Walters, 1967; Grant and Roe, 1963; Steiner, 1955; Rask-Nielson, 1950; Pfeiffer
15 and Allen, 1948; Bryan and Shimkin, 1943; Barry et al., 1935).

16 Positive cancer responses from other routes of exposure have included: (1) mammary
17 tumors in rats with intramammary administration (Cavalieri et al., 1991, 1988a, b, c);
18 (2) cervical tumors in mice with intravaginal application (Naslund et al., 1987); (3) injection site
19 sarcomas with intramuscular injection (Sugiyama, 1973); (4) respiratory tract tumors in hamsters
20 with intratracheal instillation (Henry et al., 1973); and (5) tracheal epithelial tumors in rats with
21 intratracheal implantation (Topping et al., 1981, Nettesheim et al., 1977).

23 **4.4.4. Atherogenesis Studies**

24 Cigarette smoking (see Ramos and Moorthy, 2005; Miller and Ramos, 2001; Thirman et
25 al., 1994, for review) and, to a more limited degree, occupational exposure to PAH mixtures
26 (Burstyn et al., 2005) have been identified as risk factors associated with the development of
27 atherosclerotic vascular disease and increased risk for cardiovascular mortality. Based on results
28 from in vivo and in vitro animal studies, reactive metabolites of PAHs, including
29 benzo[a]pyrene, are thought to play a role in the progression of atherosclerosis leading to
30 hardening and thickening of the arteries (see Ramos and Moorthey, 2005; Miller and Ramos,
31 2001 for review). For example, in vivo exposure of Sprague-Dawley rats to 10 mg/kg
32 benzo[a]pyrene i.p. injections (once/week for 8 weeks) induced aortic wall lesions related to
33 atherosclerosis including loss of endothelial integrity and increase of smooth muscle cell mass
34 (Zhang and Ramos, 1997). The molecular mechanisms responsible for PAH-induced vascular
35 injury and the development of atherosclerosis are not well established, but current hypotheses
36 include roles for cell proliferative responses to injury of endothelial cells from reactive
37 metabolites (including ROS) and genomic alterations in smooth muscle cells from reactive

1 metabolites leading to transformed vasculature cells and eventual plaque formation (Ramos and
2 Moorthy, 2005).

3 Although many studies have been conducted in animal systems to study the mechanisms
4 by which PAHs may participate in the initiation and promotion of atherosclerosis, no studies
5 were located that examined relationships between levels of exposure to benzo[a]pyrene (via
6 environmentally relevant routes) and the development of aortic wall lesions related to
7 atherosclerosis, with the exception of a series of experiments involving repeated exposure of
8 Apolipoprotein E knock out (ApoE^{-/-}) mice to oral doses of 5 mg/kg benzo[a]pyrene (Knaapen
9 et al., 2007; Curfs et al., 2005, 2004; Godschalk et al., 2003). ApoE^{-/-} mice develop
10 spontaneous atherosclerosis, which is thought to be due to enhanced oxidative stress from the
11 lack of ApoE (Godschalk et al., 2003).

12 Treatment of male ApoE^{-/-} mice with gavage doses of 5 mg/kg-day benzo[a]pyrene for
13 4 days produced increased levels of lipid peroxidation-derived DNA modifications (etheno-DNA
14 adducts) and BPDE-DNA adducts in aorta, compared with unexposed ApoE^{-/-} controls
15 (Godschalk et al., 2003). Repeated exposure of male ApoE^{-/-} mice to 5 mg/kg once a week for
16 12 or 24 weeks did not cause enhancement of the initiation of plaques in the aortic arch
17 (compared with unexposed ApoE^{-/-} controls), but caused larger plaques with increased plaque
18 layering and number of lipid cores, and increased plaque content of T-lymphocytes, compared
19 with unexposed ApoE^{-/-} controls (Curfs et al., 2004). In another study, gavage exposure of male
20 ApoE^{-/-} mice with 5 mg/kg benzo[a]pyrene or 5 mg/kg benzo[e]pyrene (BeP) once per week for
21 24 weeks similarly increased plaque size and T-lymphocyte content (Curfs et al., 2005). In
22 addition, exposure to benzo[a]pyrene, and to a lesser extent BeP, was associated with increased
23 transforming growth factor beta (TGF β 1) protein levels in plaque macrophages; TGF β 1 is
24 thought to play a role in the migration of T-lymphocytes. No exposure-related differences were
25 noted in the location or number of plaques, oxidative DNA damage (assessed by immunostaining
26 for 8-hydroxydeoxyguanosine, 8-OHdG), or apoptosis in the plaques (Curfs et al., 2005). As
27 expected, the lungs of benzo[a]pyrene-exposed mice showed several benzo[a]pyrene-DNA
28 adducts, which were not detectable in the lungs of BeP-exposed or control ApoE^{-/-} mice (Curfs
29 et al., 2005). In another study, increased expression of monocyte-chemoattractant protein-1
30 (MCP-1) was found in aortic tissue from male ApoE^{-/-} mice exposed to 5 mg/kg benzo[a]pyrene
31 once per week by gavage for 2 weeks; this protein is thought to recruit monocytes into
32 atherosclerotic lesions (Knaapen et al., 2007).

33 In summary, the results of the studies with ApoE^{-/-} mice indicate that repeated oral
34 exposure to 5 mg/kg gavage doses of benzo[a]pyrene enhance the progression of (but do not
35 initiate) atherosclerosis through a general local inflammatory process. The involvement of PAH-
36 DNA adducts was not evident in these studies, as indicated by observations that BeP, which does
37 not cause DNA adducts, elicited similar plaque responses in ApoE^{-/-} mice as benzo[a]pyrene.
38 Although these results demonstrate that repeated oral exposure to 5 mg/kg benzo[a]pyrene can

1 enhance atherosclerosis in animals, the altered genetic disposition of ApoE^{-/-} mice limits their
2 usefulness in describing human-relevant dose-response relationships for oral exposure to
3 benzo[a]pyrene and atherosclerosis.

4 5 **4.4.5. Reproductive Studies (Other Routes of Exposure)**

6 Mattison et al. (1980) examined the response to i.p. exposure to a single dose of
7 benzo[a]pyrene in female DBa/2N mice (n=15 per dose group); effects on fertility, primordial
8 oocyte destruction, and response to pregnant mare's serum gonadotropins were evaluated. In the
9 10 week breeding study, dose groups included the vehicle control (corn oil) and 10, 100, 200 and
10 500 mg benzo[a]pyrene/kg. Complete infertility was seen in the 200 and 500 mg/day groups,
11 with decreased fertility seen in the 10 and 100 mg/kg dose groups, too. The total number of pups
12 born was 137, 91, 28, 0 and 0 and the mean number of pups per mouse per week was 0.91, 0.61,
13 0.20, 0.0, 0.0 in the 0, 10, 100, 200 and 500 mg/kg dose groups, respectively ($p < 0.05$ for
14 comparison of 0 to 10 mg/kg groups and 20 to 100 mg/kg groups). In a parallel study using a
15 single i.p. dose administered 21 days before sacrifice, the percent of primordial oocytes
16 destroyed (compared with controls) was 0, 18, 19, 56, 88, 100% for doses of 0, 5, 10, 50, 100,
17 and 200 mg benzo[a]pyrene/kg. The differences at doses ≥ 50 mg/kg were statistically
18 significant ($p < 0.05$) compared with controls. The results from these studies were used to
19 calculate an ED₅₀ (i.e., dose producing a reduction in fertility or number of oocytes) of 25.5
20 mg/kg for fertility reduction and 24.5 mg/kg for primordial oocyte destruction. There was no
21 effect of benzo[a]pyrene exposure on ovary weight or response to pregnant mare's serum
22 gonadotropin, indicating that the effect of exposure did not involve ovulation inhibition.

23 Another acute exposure study examined the effect of benzo[a]pyrene exposure on
24 ovulatory response (as determined by number of corpora lutea) in female C57BL/6N mice
25 (Swartz and Mattison, 1985). Benzo[a]pyrene was given as a control dose (corn oil vehicle), and
26 1, 5, 50, 100, 500 mg/kg i.p., 20 animals were included per dose group and 5 were sacrificed at
27 weekly intervals. Ovaries were removed and serial sections were examined for histological
28 changes and counts of corpora lutea. There was a 35% mortality rate in the 500 mg/kg group,
29 but no evidence of treatment-related mortality in the other groups. Mean number of corpora
30 lutea in controls varied between 5.5 and 10.0 for the samples taken at 1, 2, 3, and 4 weeks post-
31 dose administration, with no time-related trend of increasing or decreasing number. The 1 mg/kg
32 dose group exhibited no decrease in number of corpora lutea compared with controls at any time
33 period (mean count varying between 6.2 and 7.2). The number of corpora lutea was decreased (p
34 < 0.05) at all doses ≥ 5.0 mg/kg at 1 week post-administration (mean 0.0, 2.0, 0.0, 0.0 and 0.0 for
35 5.0, 10, 50, 100 and 500 mg/kg compared with 6.8 and 10.0 in the control and 1 mg/kg groups,
36 respectively); at 2 weeks decreases were seen at ≥ 50 mg/kg and at weeks 3 and 4 a decrease was
37 seen only at doses ≥ 100 mg/kg. Thus in addition to the destruction of primordial follicles seen

1 in Mattison et al. (1980), this study also demonstrated an inhibition of ovulation by
2 benzo[a]pyrene that was time- and dose-related.

3 Miller et al. (1992) used the protocol described by Swartz and Mattison (1985) to further
4 examine the ovarian effects of acute benzo[a]pyrene exposure. As in the previous experiment,
5 doses of 1, 5, 10, 50, 100 and 500 mg/kg i.p. (with corn oil vehicle control) were administered to
6 female C57BL/6N mice (20 per group; 5 sacrificed at 1, 2, 3, and 4 weeks post-dose
7 administration). In addition to counts of corpora lutea, total ovarian volume and total and
8 individual corpora lutea volume were measured. The results pertaining to dose- and time-
9 dependent decreases in corpora lutea matched the results seen in Swartz and Mattison, 1985),
10 and similar trends were seen in the total ovarian volume and total corpora luteal volume
11 measures. However, volume of individual corpora lutea was increased in the treated animals
12 compared with controls at weeks 2, 3 and 4 post-treatment. The authors note that the recovery
13 seen in the effect on corpora lutea number by 2 weeks post-treatment at the lower doses may
14 reflect an effect specifically on antral follicles, whereas the longer recover period at higher doses
15 indicates an additional effect on growing follicles.

16 Borman et al. (2000) compared the ovarian effects of benzo[a]pyrene, two other PAHs
17 (9,10-dimethylbenzanthracene and 3-methylcholanthrene in female B6C3F₁ mice and Fischer
18 344 rats); the ovotoxic 4-vinylcyclohexene (VHC) and its diepoxide metabolite (4-
19 vinylcyclohexene diexoxide, VHD) were also included to allow calculation of an “ovotoxic
20 index.” as positive controls. Doses of 0.0, 0.0075, 0.015, 0.075, 0.15, 0.75, 3.5, 7.5 and 15
21 mg/kg in the mouse, and an additional dose of 60 mg/kg for the rat were administered i.p.
22 (sesame oil vehicle) daily for 15 days (6-7 animals per treatment group). The size of the ovaries
23 and the number of primordial, primary and secondary (containing an oocyte) follicles was
24 determined was determined after sacrifice (4 hours after the last dose administration). The
25 ovotoxic index was defined as the lowest dose that resulted in a 50% loss (ED₅₀) of primordial
26 follicles. For benzo[a]pyrene in mice, the ED₅₀ was 3 mg/kg (0.012 mmol/kg) for primordial
27 follicles, a 50% loss in primary follicles was seen at 7.5 mg/kg (0.03 mmol/kg), but this level of
28 loss of secondary follicles was not seen even at the highest dose used. The ED₅₀ for primordial
29 follicle loss was 0.02 mg/kg for dimethylbenzanthracene and 0.045 mg/kg for 3-
30 methylcholanthrene. In rats, the 60 mg/kg (0.24 mmol/kg) dose of benzo[a]pyrene resulted in a
31 50% loss of primary and secondary follicles, but a much smaller decrease in primordial follicles
32 was seen (approximately 75% of control counts in the 15 and 60 mg/kg groups).

34 **4.5. MECHANISTIC DATA AND OTHER STUDIES IN SUPPORT OF THE MOA**

35 benzo[a]pyrene is a complete carcinogen in that it both initiates and promotes tumor
36 formation. Several mechanistic processes have been associated with benzo[a]pyrene
37 carcinogenicity, including oxidative metabolism, which gives rise to reactive intermediates (see
38 Section 3.3), and formation of DNA adducts, both of which can lead to genotoxicity and

1 mutations in specific cancer-related genes. The ability of benzo[a]pyrene to function as a tumor
2 promoter may be related to cytotoxicity, AhR affinity, and upregulation of genes related to
3 biotransformation, growth, and differentiation.

4 The following sections discuss mechanistic evidence for possible key events in the MOA
5 for cancer (a topic that is further discussed in Section 4.7.3). Information regarding MOAs for
6 noncancer effects noted above is discussed in Section 4.6.3.

7 8 **4.5.1. Genotoxicity**

9 The ability of benzo[a]pyrene to cause mutations and other forms of DNA damage in
10 both *in vivo* and *in vitro* studies is well documented (see Tables 4-24, 4-25 and 4-26). With
11 metabolic activation (inclusion of S9) benzo[a]pyrene is consistently mutagenic in the
12 prokaryotic *Salmonella*/Ames and *E. coli* assays (Table 4-24). A rare exception was observed, in
13 which benzo[a]pyrene did not induce mitotic recombination in eukaryotic *S. cerevisiae*
14 regardless of the presence of S9. In mammalian *in vitro* studies, benzo[a]pyrene is consistently
15 mutagenic, clastogenic and induces cell transformation both with and without metabolic
16 activation (Table 4-25). Cytogenetic damage in the form of chromosomal aberrations,
17 micronuclei, sister chromatid exchanges and aneuploidy are commonplace following
18 benzo[a]pyrene exposure as are DNA adduct formation, single strand breaks, and induction of
19 DNA repair and unscheduled DNA synthesis. The *in vitro* mammalian cell assays were
20 conducted in various test systems, including human cell lines.

21 In *in vivo* studies, benzo[a]pyrene consistently tested positive in multiple species and
22 strains and under various test conditions in the following assays: cell transformation,
23 chromosomal aberrations, DNA adducts, DNA strand breaks, micronuclei formation, gene
24 mutations (H-ras, K-ras, p53, *lacZ*, *Hprt*), sister chromatid exchanges, sperm abnormality, and
25 unscheduled synthesis. Negative results were nominally interspersed throughout the *in vivo*
26 mammalian assays, except for consistently negative results observed for unscheduled DNA
27 synthesis.

28 In human *in vivo* studies, exposures were to mixed PAHs through cigarette smoke or
29 occupational exposure. In a subset of these studies, benzo[a]pyrene-specific DNA adducts have
30 been detected, and it has been demonstrated qualitatively that benzo[a]pyrene metabolites
31 damage DNA in exposed humans (see Table 4-26).

Table 4-24. In vitro genotoxicity studies of benzo[a]pyrene in non-mammalian cells

	Result		Reference
	+S9	- S9	
Endpoint/Test System: prokaryotic cells			
Forward mutation			
<i>S. typhimurium</i> TM677	+	-	Rastetter et al. (1982)
<i>S. typhimurium</i> TM677	+	ND	Babson et al. (1986)
Reverse mutation			
<i>S. typhimurium</i> TA1537; TA1538	+	-	Ames et al. (1973)
<i>S. typhimurium</i> TA1535	-	-	Ames et al. (1973)
<i>S. typhimurium</i> TA98; TA1538	+	ND	Ames et al. (1975)
<i>S. typhimurium</i> TA1537; TA1538	+	-	Glatt et al. (1975)
<i>S. typhimurium</i> TA 1535	-	-	Glatt et al. (1975)
<i>S. typhimurium</i> TA98; TA100; TA1538	+	ND	McCann et al. (1975)
<i>S. typhimurium</i> TA 1535	-	ND	McCann et al. (1975)
<i>S. typhimurium</i> TA1538	+	ND	Egert and Greim (1976)
<i>S. typhimurium</i> TA1537	+	ND	Oesch et al. (1976)
<i>S. typhimurium</i> TA1538, TA98	+	-	Wood et al. (1976)
<i>S. typhimurium</i> TA98; TA100; TA1537	+	-	Epler et al. (1977)
<i>S. typhimurium</i> TA1535	-	-	Epler et al. (1977)
<i>S. typhimurium</i> TA98; TA100	+	-	Obermeier and Froberg (1977)
<i>S. typhimurium</i> TA100	+	ND	Tang and Friedman (1977)
<i>S. typhimurium</i> TA98	+	-	Pitts et al. (1978)
<i>S. typhimurium</i> TA100	+	ND	Bruce and Heddle (1979)
<i>S. typhimurium</i> TA98, TA100	+	ND	LaVoie et al. (1979)
<i>S. typhimurium</i> TA1538	+	-	Rosenkranz and Poirier (1979)
<i>S. typhimurium</i> TA98, TA100	+	-	Simmon et al. (1979a)
<i>S. typhimurium</i> TA98	+	ND	Hermann (1981)
<i>S. typhimurium</i> TA98, TA100	+	ND	Alfheim and Randahl (1984)
<i>S. typhimurium</i> TA100	+	ND	Norpoth et al. (1984)
<i>S. typhimurium</i> TA98, TA100, TA 1538	ND	-	Glatt et al. (1985)
<i>S. typhimurium</i> TA97, TA98, TA100	+	-	Sakai et al. (1985)

<i>S. typhimurium</i> TA100	+	–	Carver et al. (1986)
<i>S. typhimurium</i> TA98	+	–	Alzieu et al. (1987)
<i>S. typhimurium</i> TA97, TA98, TA100, TA1537	+	–	Glatt et al. (1987)
<i>S. typhimurium</i> TA97, TA98, TA100	+	ND	Marino (1987)
<i>S. typhimurium</i> TA100	+	ND	Pahlman and Pelkonen (1987)
<i>S. typhimurium</i> TA 98, TA100	+	–	Prasanna et al. (1987)
<i>S. typhimurium</i> TA98	+	ND	Ampy et al. (1988)
<i>S. typhimurium</i> TA98, TA100	+	ND	Bos et al. (1988)
<i>S. typhimurium</i> TA98	+	ND	Lee and Lin (1988)
<i>S. typhimurium</i> TA100	+	ND	Phillipson and Ioannides (1989)
<i>S. typhimurium</i> TA98	+	ND	Antignac et al. (1990)
<i>S. typhimurium</i> TA98	–	ND	Gao et al. (1991)
<i>S. typhimurium</i> TA98	+	ND	Balansky et al. (1994)
<i>S. typhimurium</i> TA100	–	ND	Balansky et al. (1994)
DNA damage			
<i>E. coli</i> /pol A	+	–	Rosenkranz and Poirier (1979)
<i>E. coli</i> /differential killing test	+	–	Tweats (1981)
<i>E. coli</i> WP2-WP100/rec-assay	+	ND	Mamber et al. (1983)
<i>E. coli</i> /SOS chromotest Pq37	+	–	Mersch-Sundermann et al. (1992)
Endpoint/Test System: Non-mammalian eukaryotes			
Mitotic recombination			
<i>S. cerevisiae</i> D4-RDII	ND	–	Siebert et al. (1981)
<i>S. cerevisiae</i> D3	–	–	Simmon (1979b)

Table 4- 25. In vitro genotoxicity studies of benzo[a]pyrene in mammalian cells

Assay/Test System	Result		Reference
	+S9	- S9	
Forward Mutation			
Human AHH-1 lymphoblastoid cells	ND	+	Danheiser et al. (1989)
Human lymphoblast (AHH-1) cells (<i>Hprt</i>)	ND	+	Crespi et al. (1985)
Human lymphoblastoid (AHH-1) cell line	ND	+	Chen et al. (1996)
Human fibroblast (MRC5CV1) cell line (<i>Hprt</i>)	-	ND	Hanelt et al. (1997)
Human lymphoblast (TK) cells	ND	+	Barfknecht et al. (1982)
Human lymphoblast (TK6) cells	+	ND	Crespi et al. (1985)
Human embryonic epithelial (EUE) cells	ND	+	Rocchi et al. (1980)
Mouse L5178Y/HGPRT	+	-	Clive et al. (1979)
Mouse lymphoma (L5178Y/TK+/-) cells	+	-	Clive et al. (1979)
Mouse lymphoma (L5178Y/TK+/-) cells	+	ND	Amacher et al. (1980); Amacher and Turner (1980)
Mouse lymphoma (L5178Y/TK+/-) cells	+	-	Amacher and Paillet (1983)
Mouse lymphoma (L5178Y/TK+/-) cells	+	ND	Arce et al. (1987)
Human HSC172 lung fibroblasts	+	-	Gupta and Goldstein (1981)
Human Q3-wp normal lung keratinocytes	+	ND	Allen-Hofmann and Rheinwald (1984)
Human SCC-13Y lung keratinocytes	ND	+	Allen-Hofmann and Rheinwald (1984)
Chinese hamster ovary (CHO) cells (<i>aprt</i>)	+	ND	Yang et al. (1999)
Chinese hamster ovary cells (5 marker loci)	+	+	Gupta and Singh (1982)
Chinese hamster V79 cells (Co-cultured with irradiated HepG2 cells)	+	ND	Diamond et al. (1980)
Chinese hamster V79 lung epithelial cells	+	ND	Huberman (1976)
Chinese hamster V79 lung epithelial cells	+	ND	Arce et al. (1987)
Chinese hamster V79 lung epithelial cells	+	ND	O'Donovan (1990)
Rat/Fischer, embryo cells/Oua ^R	ND	+	Mishra et al. (1978)
DNA damage			
<i>DNA adducts</i>			

Human fibroblast (MRC5CV1) cell line	+	ND	Hanelt et al. (1997)
Human peripheral blood lymphocytes	ND	+	Wienke et al. (1990)
Hamster tracheal cells	ND	+	Roggeband et al. (1994)
Chinese hamster V79 lung epithelial cells	+	ND	Arce et al. (1987)
Virus transformed Syrian hamster embryo and mouse C3H10T1/2 cells	ND	+	Arce et al. (1987)
Mouse lymphoma (L5178Y/TK+/-) cells	+	ND	Arce et al. (1987)
Rat tracheal cells	ND	+	Roggeband et al. (1994)
<i>DNA damage/single strand breaks</i>			
Human fibroblast (MRC5CV1) cell line	+	ND	Hanelt et al. (1997)
Human hepatoma (HepG2) cell line	ND	+	Tarantini et al. (2009)
Human prostrate carcinoma (DU145) cell line	ND	+	Nwagbara (2007)
Mouse embryo fibroblast (C3H/10T1/2 CL 8) cells	ND	+	Lubet et al. (1983)
Rat C18 trachea epithelial cells	ND	+	Cosma and Marchok, 1988; Cosma et al. (1988)
Rat lymphocytes	ND	+	Gao et al. (1991)
<i>Unscheduled DNA synthesis</i>			
HeLa cells	+	ND	Martin et al. (1978)
Human fibroblasts	+	ND	Agrelo and Amos (1981)
Human fibroblasts	+	-	Robinson and Mitchell (1981)
Human HepG2	[+]		Valentin-Severin et al. (2004)
Hamster Primary embryo cells	ND	+	Casto et al. (1976)
Hamster tracheal cells	ND	+	Roggeband et al. (1994)
Rat Hepatocytes	[+]		Michalopoulos et al. (1978)
Rat tracheal cells	ND	-	Roggeband et al. (1994)
<i>DNA repair</i>			
Human mammary epithelial cells	ND	+	Leadon et al. (1988)
Human skin fibroblasts	ND	+	Milo et al. (1978)
Baby hamster kidney (BHK21/c13) cells	[+]		Feldman et al. (1978)

secondary mouse embryo fibroblasts (C57BL/6) and human lymphocytes	[+]		Shinohara and Cerutti (1977)
Rat/F344 hepatocytes	ND	+	Williams et al. (1982)
Cytogenetic damage			
<i>Chromosomal aberrations</i>			
Human blood cells	ND	+	Salama et al. (2001)
Human WI38 fibroblasts	+	-	Weinstein et al. (1977)
Chinese hamster CHL	+	-	Matsuoka et al. (1979)
Chinese hamster V79-4 lung epithelial cells	-	-	Popescu et al. (1977)
Mouse lymphoma (L5178Y/TK+/-) cells	+	ND	Arce et al. (1987)
Rat Liver RL1 cells	+	ND	Dean (1981)
<i>Micronuclei</i>			
Human AHH-1 lymphoblastoid cells	ND	+	Crofton-Sleigh et al. (1993)
Human HepG2 liver cells	ND	+	Wu et al. (2003b)
Human lymphoblastoid (TK) cells	ND	+	Fowler et al. (2010)
Human MCL-5 lymphoblastoid cells	ND	+	Crofton-Sleigh et al. (1993)
Human peripheral blood lymphocytes	+	ND	Lo Jacono et al. (1992)
Chinese hamster V79 cells	ND	+	Whitwell et al. (2010)
Chinese hamster V79-MZ cells	ND	+	Matsuoka et al. (1999)
<i>Sister chromatid exchanges</i>			
Human C-HC-4 and C-HC-20 hepatoma cells	ND	+	Abe et al. (1983a,b)
Human diploid fibroblast (TIG-II) cell line	+	+	Huh et al. (1982)
Human fibroblasts	ND	+	Juhl et al. (1978)
Human blood cells	ND	+	Salama et al. (2001)
Human peripheral blood lymphocytes	ND	+	Rudiger et al. (1976)
Human peripheral blood lymphocytes	ND	+	Craig-Holmes and Shaw (1977)
Human peripheral blood lymphocytes	ND	+	Schoenwald et al. (1977)
Human peripheral blood lymphocytes	ND	+	Wienke et al. (1990)
Human peripheral blood lymphocytes	ND	+	Wienke et al. (1990)

Human peripheral blood lymphocytes	+	-	Tohda et al. (1980)
Human peripheral blood lymphocytes	+	ND	Lo Jacono et al. (1992)
Chinese hamster Don-6 cells	ND	+	Abe et al. (1983a,b)
Chinese hamster V79 lung epithelial cells	+	-	Popescu et al. (1977)
Chinese hamster V79 lung epithelial cells	+	ND	Mane et al. (1990)
Chinese hamster V79 lung epithelial cells	+	ND	Wojciechowski et al. (1981)
Chinese hamster V79 lung epithelial cells	+	ND	Arce et al. (1987)
Chinese hamster V79 lung epithelial cells	ND	+	Kulka et al. (1993)
Chinese hamster ovary (CHO) cells	+	-	de Raat (1979)
Chinese hamster ovary (CHO) cells	+	-	Husgafvel-Pursiainen et al. (1986)
Chinese hamster ovary (CHO) cells	ND	+	Wolff and Takehisa (1977)
Chinese hamster ovary (CHO) cells	ND	+	Pal et al. (1978)
Hamster Ch1 cells	ND	+	Shimizu et al. (1984)
Rabbit peripheral blood lymphocytes	ND	+	Takehisa and Wolff (1978)
Rat ascites hepatoma AH66-B	ND	+	Abe et al. (1983a,b)
Rat esophageal tumor R1	ND	+	Abe et al. (1983a,b)
Rat hepatocyte (immortalized) cell lines (NRL cl-B, NRL cl-C and ARL)	+	ND	Kulka et al. (1993)
Rat hepatoma (Reuber H4-II-E) cells	ND	+	Dean et al. (1983)
Rat liver cell line ARL18	ND	+	Tong et al. (1981)
Rat pleural mesothelial cells	ND	+	Achard et al. (1987)
<i>Aneuploidy</i>			
Chinese hamster V79-MZ cells	ND	+	Matsuoka et al. (1998)
<i>Cell transformation</i>			
Human BEAS-2B lung cells	+		van Agen et al. (1997)
Human breast epithelial (MCF-10F, MCF-7, T24) cell lines	ND	+	Calaf et al. (1993)
Baby hamster kidney (BHK21/c13) cells	+	ND	Greb et al. (1980)
Golden hamster embryo cells	+	ND	Mager et al. (1977)
Syrian hamster embryo (SHE) cells	ND	+	DiPaolo et al. (1969, 1971)
Syrian hamster embryo cells	ND	+	Dunkel et al. (1981)

Syrian hamster embryo cells	+		LeBoeuf et al. (1996)
Syrian hamster embryo (SHE) cells/focus assay	ND	+	Casto et al. (1977)
Fetal Syrian hamster lung (FSHL) cells	ND	+	Emura et al. (1980, 1987)
Virus infected rat embryo RLV/RE and RAT cells; mouse embryo AKR/Me cells; Syrian hamster embryo cells	+		Heidelberger et al. (1983)
Virus transformed Syrian hamster embryo and mouse C3H10T1/2 cells	ND	+	Arce et al. (1987)
Mouse C3H/10T1/2 embryo fibroblasts	+		Nesnow et al. (2002, 1997)
Mouse embryo fibroblast (C3H/10T1/2 CL 8) cells	ND	+	Peterson et al. (1981)
Mouse embryo fibroblast (C3H/10T1/2 CL 8) cells	ND	+	Lubet et al. (1983)
Mouse SHE cells; BALB/c-3T3 cells; C3H/10T1/2 cells; prostate cells	+		Heidelberger et al. (1983)
Mouse BALB/c-3T3 cells	ND	+	Dunkel et al. (1981)
Mouse BALB/c-3T3 cells	+		Matthews (1993)
Mouse BALB/c-3T3 clone A31-1-1	ND	+	Little and Vetroys (1988)
Rat embryo cells/SA7 virus transformation	ND	+	DiPaolo and Casto (1976)
Rat/Fischer, embryo cells (leukemia virus transformed)	ND	+	Dunkel et al. (1981)
Rat/Fischer, embryo cells/Oua ^R	ND	+	Mishra et al. (1978)

Key: [+] = S9 status not given; “+” = positive; “-“ = negative; ND = not determined.

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Table 4-26. In vivo genotoxicity studies of benzo[a]pyrene

Endpoint	Test System	Test Conditions	Results	Dose	Comment	Reference
Mutations	Human/Blood T lymphocytes (smokers and nonsmokers); <i>HPRT</i> locus mutation assay	T-cells of lung cancer patients (smokers and nonsmokers from lung cancer patients and population controls with known smoking status) analyzed for <i>HPRT</i> locus mutations.	+	Smokers and nonsmokers	Splicing mutations, base-pair substitutions, frameshift and deletion mutations observed. Smokers and nonsmokers had GC-->TA transversions (13% and 6%, respectively) and GC-->AT transitions (24% and 35%, respectively) in <i>HPRT</i> gene consistent with in vitro mutagenicity of benzo[a]pyrene	Hackman et al. (2000)
Mutations	Mouse/strains:T-stock, (SEC×C57BL) _{F1} , (C3H×101) _{F1} , (C3H×C57BL) _{F1} for females; (101×C3H) _{F1} or (C3H×101) _{F1} for males; dominant-lethal mutation assay	12-wk old males dosed with benzo[a]pyrene i.p. and mated 3.5-6.5 days post-treatment with 12-wk old females from different stocks; sacrificed on days 12-15 after vaginal plug was observed; females kept in a 5 hr-dark phase to synchronize ovulation 5 wks before the start of the expt.; fertilized eggs collected from 9-11 hrs after mating and first-cleavage metaphase chromosomes prepared 20 hrs after mating	+	500 mg/kg b.w.	The % of dominant lethal mutations were in the order of T-stock = (C3H×101) _{F1} > (SEC×C57BL) _{F1} > (C3H×C57BL) _{F1}	Generoso et al. (1979)
Mutations, GC	Mice/strains: Male stocks: (101×C3H) _{F1} ; Female stocks (A): (101×C3H) _{F1} , (B): (C3H×101) _{F1} , (C): (C3H×C57BL) _{F1} , (D):(SEC×C57BL) _{F1} , (E):T-stock females; dominant lethal mutations	In dominant lethal assay (DLA), 12-wk-old males dosed i.p. with benzo[a]pyrene and mated with 10-12 wk-old (#1) stock A females; or (#2) stock B females on the day of dosing; or with (#3a) with stocks B, C and D females 3.5-7.5 days post-dosing, or with (#3b) with stocks B, C, D and E females 3.5-6.5 days post-dosing. Control group mated at time corresponding to 1.5-4.5 days post-treatment in	positive for DLA; negative for HT	500 mg/kg b.w.	Dominant lethal effects were observed in early to middle (4.5-5.5 and 6.5-7.5 days post-treatment, respectively) spermatozoa and in preleptotene spermatocytes (32.5-33.5 and 34.5-35.5 days post-treatment). In the HTA, no significant differences observed between treated and control progeny.	Generoso et al. (1982)

		the test groups.				
Mutations, GC	Mice/strains: Male stocks: (101×C3H)F ₁ ; Female stocks (A): (101×C3H)F ₁ , (B): (C3H×101)F ₁ , (C): (C3H×C57BL)F ₁ , (D):(SEC×C57BL)F ₁ , (E):T-stock females; heritable translocations	For heritable translocation assay (HTA), males were mated with stocks B and D females 3.5-7.7 days post-benzo[a]pyrene treatment and male progeny screened for translocation heterozygosity.	-	500 mg/kg b.w.	No significant differences observed between treated and control progeny.	Generoso et al. (1982)
Mutations, GC/ spot test	Mouse/C57BL female × T-strain male; somatic mutation assay	Mice mated for a 5-day period; 10 ¹ / ₄ days post-appearance of vaginal plug, females injected i.p. with benzo[a]pyrene or vehicle; offspring (pups) scored for survival, morphology and presence of white near-midline ventral spots (WMVS) and recessive spots (RS).	+	100 and 500 mg/kg b.w.	Induced coat color mosaics represent genetic changes (e.g. point mutations) in somatic cells. WMVS and RS represent melanocyte cell killing and mutagenicity, respectively. Benzo[a]pyrene caused high incidence of RS but did not correlated with WMVS.	Russell (1977)
Mutations	Mouse/ <i>lacZ</i> transgenic (Muta TM Mouse)	benzo[a]pyrene given orally in corn oil for 5 consecutive days; sacrificed 14 days after last dosing; Eleven organs analyzed for <i>lacZ</i> MF	+	125 mg/kg/day	Highest MF observed in colon followed by ileum > forestomach > bone marrow = spleen > glandular stomach > liver = lung>kidney = heart	Hakura et al. (1998)
Mutations	Mouse/C57BL/6J <i>Dlb-1</i> congenic; <i>Dlb-1</i> locus assay	Animals dosed i) i.p. with vehicle or benzo[a]pyrene 2, 4, or 6 doses at 96 hr intervals; or ii) single dose of benzo[a]pyrene given i.p. or p.o. alone or 96 hours following a single i.p. dosing with 10 µg/kg TCDD	+	40 mg/kg b.w.	benzo[a]pyrene caused a dose-dependent increase in mutant frequency; i.p. route showed higher mutant frequency than p.o. route; induction of mutations were associated with Ah-responsiveness.	Brooks et al. (1999)

Mutations (<i>Hprt</i> locus)	Mice/C57BL/6 (<i>lacZ</i> negative and <i>XPA</i> ^{+/+} and <i>XPA</i> ^{-/-}); T lymphocytes	Gavage in corn oil 3 times/wk for 0, 1, 5, 9, 13 wks; sacrificed 7 wks after last treatment	+	13 mg/kg	Mutation sensitivity: <i>XPA</i> ^{-/-} > <i>XPA</i> ^{+/+}	Bol et al. (1998)
Mutations	Mouse/Cockayne syndrome-deficient (<i>Csb</i> ^{-/-}); heterozygous (<i>Csb</i> ^{+/-}) and wild type controls (<i>Csb</i> ^{+/+}); <i>Hprt</i> mutation frequency assay	<i>Csb</i> ^{-/-} / <i>lacZ</i> ^{+/+} and <i>Csb</i> ^{+/-} / <i>lacZ</i> ^{+/+} mice were dosed i.p. with benzo[a]pyrene thrice a wk for 5, 9, or 13 wks; For <i>Hprt</i> MF analysis mice were sacrificed 3 wks after last treatment; spleenocytes collected; For <i>lac Z</i> MF analysis mice were sacrificed 3 days after last treatment and liver, lung and spleen collected.	+	13 mg/kg	<i>lac Z</i> MF detected in all tissues but no differences between WT and <i>Csb</i> ^{-/-} mice; <i>Hprt</i> mutations significantly higher in <i>Csb</i> ^{-/-} mice than control mice. BPDE-dGuo adducts in <i>Hprt</i> gene are preferentially removed in WT mice than <i>Csb</i> ^{-/-} mice.	Wijnhoven et al. (2000)
Mutations	Mouse/B6C3F1, forestomach <i>H-ras</i> , <i>K-ras</i> & <i>p53</i> mutations	benzo[a]pyrene given in feed in a 2-year chronic feeding study;	+	5, 25, 100 ppm	68% <i>K-ras</i> (codons 12,13), 10% <i>H-ras</i> (codon 13), 10% <i>p53</i> mutations; all G-->T transversions	Culp et al. (2000)
Mutations	Mouse/ <i>lacZ/galE</i> (Muta TM Mouse); Skin painting study	Mice topically treated with a single dose or in five divided doses daily; sacrificed 7 or 21 days after the single or final treatment; DNA from skin, liver and lung analyzed for mutations.	+ ^{Sk} or - _{Li,Lu}	1.25 or 2.5 mg/kg (25 or 50 µg/mouse)	Skin showed significant dose- and time-dependent increase in mutation frequency; liver and lung showed no mutations; MF for single or multiple-dose regimens were similar.	Dean et al. (1998)
Mutations/ spot test	Mouse/T-strain	benzo[a]pyrene given to pregnant mice by gavage in 0.5 ml corn oil on GDs 5-10	+	10 mg/mouse (5 x 2 mg)		Davidson and Dawson (1976)
Mutations (<i>Hprt</i> locus)	Mouse, 129/Ola (Wild type); splenic T lymphocytes	Single i.p. injection followed by sacrifice 7 wks post-treatment	+	0, 50, 100, 200, 400 mg/kg	dose-dependent increase in <i>Hprt</i> MF	Bol et al. (1998)
Mutations	Mouse, A/J, male	Single i.p. injection followed by sacrifice 28 days post-treatment	+	0, 0.05, 0.5, 5 50 mg/kg	Dose-dependent increase in lung tissue <i>K-ras</i> codon 12 G-->T mutation frequency	Meng et al. (2010)
Mutations/ gene	Mouse/CD-1; skin papillomas (<i>Ha-ras</i> mutations)	Female mice were initiated topically with a single dose of benzo[a]pyrene and 1 wk after	+	600 nmol/mouse	About 90% of papillomas contained <i>Ha-ras</i> mutations, all of them being	Colapietro et al. (1993)

		initiation promoted twice weekly with 5 nmol TPA for 14 wks. One month after stopping TPA application, papillomas collected and DNA from 10 individual papillomas were analyzed for Ha-ras mutations by PCR and direct sequencing.			transversions at codons 12 (20% GGA-->GTA), 13 (50% GGC-->GTC), and 61 (20% CAA--> CTA).	
Mutation/ <i>In vivo-in vitro</i>	Rat, Wistar	Single dose by gavage; urine and feces collected 0-24, 24-48 and 48-72 hrs post-treatment; urine and extracts of feces tested in <i>S. typhimurium</i> TA100 strain with or without S9 mix and β -glucuronidase	+	0, 1, 5, 10, 100 mg/kg	Fecal extracts and urine showed mutagenicity at and above 1 and 10 mg/kg b.w. Benzo[a]pyrene, respectively. Highest mutagenic activity observed for 0-24 hrs post-treatment for feces and 24-48 hrs post-treatment for urine with β -glucuronidase \pm S9 mix.	Willems et al (1991)
Mutations, GC /gene	<i>D. melanogaster</i> / sex-linked recessive lethal test	<i>Basc</i> males exposed to benzo[a]pyrene were mated with virgin females of <i>Berlin K</i> or <i>mei-9^{L1}</i> strains;	\pm	10 mM	Data inconclusive due to low fertility rates of <i>mei-9^{L1}</i> females.	Vogel et al. (1983)
Mutations, GC /gene	<i>D. melanogaster</i> / sex-linked recessive lethal test	Adult <i>Berlin</i> males treated orally with benzo[a]pyrene	+	5 or 7.5 mM	Low mutagenic activity	Vogel et al. (1983)
Mutations, GC /gene	<i>D. melanogaster</i> /Berlin-K and Oregon-K strains; sex-linked recessive lethal test	benzo[a]pyrene dissolved in special fat and injected into the abdomen of flies.	-	2 and 5 mM	Negative at both doses	Zijlstra and Vogel (1984)
Mutations, GC /gene	<i>D. melanogaster</i> / sex-linked recessive lethal test	Male <i>Berlin K</i> larvae treated with benzo[a]pyrene for 9-11 days	+	0.1-4 mM	Threefold enhancement in lethals in treated versus controls	Vogel et al. (1983)

Mutations, GC /gene	<i>D. melanogaster</i> /Canton-S (WT) males, FM6 (homozygous for an X chromosome) females; sex-linked recessive lethal test	Adult male flies were fed on filters soaked in benzo[a]pyrene for 48 or 72 hrs; Treated and control males mated with FM6 females, males transferred to new groups of females at intervals of 3, 2, 2, and 3 days; four broods obtained; a group of 100 daughters of each male were mated again; scored for % lethal	-	250, 500 ppm	Authors report incomplete dissolution of benzo[a]pyrene in DMSO as a possible cause of negative result.	Valencia and Houtchens (1981)
Mutation/gene	<i>D. melanogaster</i> ; somatic mutation - eye color mosaicism	50 females and 20 females were mated in a culture bottle for 48 hrs allowing females to oviposit; adults then discarded and the eggs allowed to hatch; larvae fed on benzo[a]pyrene deposited on food surface and the emerging adult males scored for mosaicly colored eye sectors;	+	1, 2, or 3 mM	benzo[a]pyrene was effective as a mutagen; no dose-response observed	Fahmy and Fahmy (1980)
DNA adducts	Human/white blood cells	Workers were exposed for 6-8 hrs/day for at least 4-6 months before blood collection; leukocyte DNA isolated, digested and benzo[a]pyrene tetrols analyzed by HPLC with fluorescent detection (HPLC-FD). Low, medium, and high exposure groups correspond to < 0.15, 0.15 to 4, > 4 mg/m ³ of benzo[a]pyrene, respectively.	+	< 0.15, 0.15 to 4, > 4 µg/m ³ of benzo[a]pyrene	PAH exposure, CYP1A1 status and smoking significantly affected DNA adduct levels, i.e. <i>CYP1A1</i> (*1/*2 or *2A/*2a) > <i>CYP1A1</i> *1/*1; occupational > environmental exposure; smokers > nonsmokers; adducts increased with dose and duration of smoking	Rojas et al. (2000)
DNA adducts	Human/white blood cells	Coke oven workers were exposed to PAHs and benzo[a]pyrene-WBC DNA analyzed by HPLC-FD for BPDE-DNA adducts	+	0.14 µg/m ³	BPDE-DNA adducts detectable; no significant difference between smokers and nonsmokers; no correlation with air benzo[a]pyrene levels and adduct levels	Mensing et al. (2005)

DNA adducts and Mutations	Mouse/C57BL/6 <i>lacZ</i> transgenic	Mice dosed with single i.p. injection of benzo[a]pyrene in DMSO; sacrificed 1, 3, 5, 7, 14, 21, and 28 days post-treatment; spleen, lung, liver, kidney and brain collected, DNA isolated and analyzed for mutations in <i>lacZ</i> reporter gene in <i>E. coli</i> and adducts by ³² P-postlabeling assay.	+	50 mg/kg b.w.	BPDE-dG adduct levels peaked between 5 and 7 days post-treatment, followed by gradual decline; rate of removal highest in lung, liver and spleen and lowest in kidney and brain; mutant frequencies peaked between 7 and 14 days in lung, spleen, liver and kidney; brain was not significant at any time point.	Boerrigter (1999)
DNA adducts	Mice/ (<i>Ahr</i> ^{+/+} , <i>Ahr</i> ^{+/-} , <i>Ahr</i> ^{-/-})	Gavage; sacrificed 24 hr post-treatment	+	100 mg/kg b.w.	No induction of CYP in <i>Ahr</i> ^{-/-} , but all alleles positive for adduct formation	Sagredo et al 2006
DNA adducts	Mice/C57BL/6J <i>Cyp1a1</i> (+/-) and <i>Cyp1a1</i> (-/-)	Single i.p. injection; sacrificed 24 hrs post-treatment; liver DNA analyzed by ³² P-postlabeling assay	+	500 mg/kg b.w.	BPDE-DNA adduct levels 4-fold higher in <i>Cyp1a1</i> (-/-) mice than <i>Cyp1a1</i> (+/-) mice	Uno et al. (2001)
DNA adducts	Mouse/B6C3F1	benzo[a]pyrene fed in diet for 4 (100 ppm) or for 1, 2, 8, 16 and 32 wks (5 ppm); sacrificed and liver, lungs, forestomach, small intestine collected; DNA analyzed by ³² P-postlabeling assay	+	5 ppm (32 wks) and 100 ppm (4 wks)	Linear dose-response in 4-wk study; the 5 ppm groups showed a plateau after 4 wks of feeding	Culp et al. (2000)
DNA adducts	Mouse/Balb/c;	Single i.p. injection; sacrificed 12 hrs post-injection; liver and forestomach collected; DNA binding of [³ H]benzo[a]pyrene analyzed by scintillation counting.	+	140 µCi/100 g b.w.	Liver DNA had 3-fold higher binding of benzo[a]pyrene than that of forestomach	Gangar et al. (2006)
DNA adducts	Mice/BALB/cAnN (BALB), CBA/JN (CBA); ³² P-postlabeling assay	Animals dosed i.p. with or without 24 hr pretreatment with TCDD	+	50 and 200 mg/kg	Adduct levels similar in both strains dosed with benzo[a]pyrene alone. TCDD pre-treatment had a greater suppressive effect on	Wu et al. (2008)

					adduct formation in BALB relative to CBA mice at low dose but resulted in no significant difference in adduct levels at high dose.	
DNA adducts	Mice/BALB/c, skin;	Four doses of benzo[a]pyrene topically applied to the shaved backs of animals at 0, 6, 30 and 54 hrs; sacrificed 1 day after last treatment; DNA analyzed by ³² P-postlabeling assay	+	4 x 1.2 μmol/animal	Five adducts spots detected	Reddy et al . (1984)
DNA adducts	Mice/Swiss, epidermal and dermal skin	Single topical application on shaved backs; sacrificed 1, 3, and 7 days post-treatment; epidermal and dermal cells separated; DNA isolated, digested with DNaseI and estimated DNA binding; adducts separated by HPLC.	+	250 nmol in 150 μl acetone	Both cells positive for benzo[a]pyrene adducts; epidermis>dermis; adducts persisted up to 7 days with a gradual decline in levels	Oueslati et al. (1992)
DNA adducts	Rats/CD, PBLs, lungs and liver	Single i.p. injection; sacrificed 3 days post-treatment; DNA analyzed by Nuclease P1-enhanced ³² P-postlabeling assay.	+	2.5 mg/animal	BPDE-dG as major adducts and several minor adducts detected in all tissues	Ross et al . (1991)
DNA adducts	Rats/Sprague-Dawley, liver	Single i.p. injection followed by sacrifice at 4 hours post-treatment; liver DNA isolated and analyzed by ³² P-postlabeling assay.	+	100 mg/kg b.w.	Two adduct spots detected	Reddy et al . (1984)
DNA adducts	Rat/Lewis; lung and liver	Animals received a single oral dose of benzo[a]pyrene in tricaprylin; sacrificed 1, 2, 4, 11, and 21 days post-dosing; analyzed liver and lung DNA for BP-DNA adducts by ³² P-postlabeling assay and urine for 8-oxodG adducts by HPLC-ECD.	+	10 mg/kg	BPDE-dG levels peaked 2 days after exposure in both tissues, higher in lungs than liver at all time points, decline faster in liver than lung; Increased 8-oxodG levels in urine and decreased levels in liver and lung.	Briedé et al. (2004)

DNA adducts	Rats/F344; ³² P-postlabeling assay	benzo[a]pyrene given in the diet for 30, 60, or 90 days; animals sacrificed and liver and lung isolated and DNA extracted and analyzed for adducts.	+	0, 5, 50, 100 mg/kg	Adduct levels linear at low and intermediate doses, nonlinear at high dose;	Ramesh and Knuckels (2006)
DNA adducts	Rats/Wistar; liver and PBL adducts	Single dose by gavage; sacrificed 24 hrs post-dosing; PBL and liver DNA analyzed by ³² P-postlabeling for BP-DNA adducts	+	0, 10, 100 mg/kg b.w.	At 100 mg dose total adduct levels in PBL were twofold higher than the levels in liver; adduct profiles differed between PBL and liver	Willems et al (1991)
DNA strand breaks	Rats/Sprague-Dawley; Comet assay	instilled intratracheally with (i) single dose of benzo[a]pyrene in aqueous suspension; sacrificed at 3, 24, 48 hrs post-treatment; alveolar macrophages, lung cells, lymphocytes, hepatocytes collected (ii) dose-response study and sacrificed at 24 hours post-treatment; lungs collected; Controls received normal saline instillation; All cells analyzed by comet assay.	+	Expt#1: 3 mg of benzo[a]pyrene; Expt#2: dose-response study with 0.75, 1.5, 3 mg benzo[a]pyrene	All time points showed significant increase in SSB (Expt#1); A dose-response in SSB observed (Expt#2)	Garry et al. (2003a,b)
DNA strand breaks	Aquatic organisms:Carp (<i>Cyprinus carpio</i>), rainbow trout (<i>Oncorhynchus mykiss</i>), and clams (<i>Spisula sachalinensis</i>); Comet assay	All organisms acclimatized in tanks for 2 days, water changed every 24 hrs; exposed to benzo[a]pyrene in DMSO in a tank; one third volume of tank contents changed every 12 hrs; organisms sacrificed at 24, 48, 72, and 96 hrs post-treatment; cell suspensions prepared from liver (carp and trout) or digestive gland (clam) for comet assay	+	0.05, 0.25, 0.5 and 1 ppm	Significant dose-response for strand breaks observed; carp and trout liver showed highest response at 48 hrs and clam digestive gland showed time-dependent increase at highest conc.	Kim and Hyun (2006)
DNA strand breaks	Rat, Brown Norway	UDS determined after 5 and 18 hrs of a single i.g. dosing	-	62.5 mg/kg	negative at both time points	Mullaart et al. (1989)

Unscheduled DNA synthesis	Rats/F344;	Single i.p. injection of benzo[a]pyrene or DMSO; sacrificed at 2 or 12 hrs post-exposure; liver isolated, hepatocyte cultures were setup and incubated with 10 mCi/ml ³ H-thymidine for 4 hrs; washed and autoradiography performed	-	100 mg/kg b.w.	benzo[a]pyrene was negative at both time points	Mirsalis et al. (1982)
Unscheduled DNA synthesis	Mouse/HOS:HR-1 hairless; skin	Single topical application on two spots on the backs after stripping stratum corneum with adhesive tape to enhance penetration; sacrificed 24 hr post-treatment, skin isolated [³ H]thymidine; cultured in ; epidermal UDS measured	+	0, 0.25, 0.5 and 1% (w/v) in acetone	UDS index showed a dose-dependent increase up to 0.5% benzo[a]pyrene dose and then plateaued	Mori et al. (1999)
Unscheduled DNA synthesis	Rat/Brown Norway; liver	Single intragastric injection; sacrificed at 5 and 18 hours post-injection	-	62.5 mg/kg b.w.	benzo[a]pyrene was negative at both time points	Mullaart et al. (1989)
Unscheduled DNA synthesis	Mouse/(C3Hf x 101)F ₁ hybrid, germ cells	i.p. injection of benzo[a]pyrene; [³ H]Thymidine injection later	-	0.3 mL	Concentration not specified	Sega (1979)
Unscheduled DNA synthesis	Mouse, early spermatid	i.p. injection	-	250-500 mg/kg b.w.	Reviewed by Sotomayor and Sega (2000)	Sega (1982)
Chromosomal aberrations	Hamster/Chinese bone marrow	Single, i.p. injection of benzo[a]pyrene dissolved in tricapyryline; animals sacrificed 24 hours post-exposure	+	25, 50, 100 mg/kg b.w.	benzo[a]pyrene induced CAs at 50 mg/kg/bw only, with negative responses at the low and high dose	Bayer (1979)
Chromosomal aberrations	Mice/C57 (high AHH inducible) and DBA (low AHH inducible) strains; 11-day old embryos; adult bone marrows	Study used 4 matings (female×male): C57×C57; DBA×DBA; C57×DBA; DBA×C57; Pregnant mice treated orally on GD11 with benzo[a]pyrene; sacrificed 15 hrs post-treatment; material liver, bone	+	150 mg/kg	Levels of CAs: hybrid embryos > homozygous DBA embryos > homozygous C57 embryos; Tissue AHH activity: C57 mothers and their embryos > DBA females and their	Adler et al. (1989)

		marrow and placenta and embryos collected; male mice dosed similarly and bone marrows collected; individual embryo cell suspensions and bone marrow preparations scored for CAs. Tissue AHH activity measured.			homozygous embryos. No quantitative correlation between BP-induced CAs and AHH inducibility. No differences in bone marrow mitotic index of males of different strains between control and treatment groups.	
Chromosomal aberrations	Mouse/1C3F1 hybrid (101/E1x C31x E1)F ₁ ; CAs in bone marrow	Single dose by gavage; sacrificed 30 hrs of post-dosing; bone marrow from femur isolated and analyzed for CAs	+	63 mg/kg	Significant increase in CAs in benzo[a]pyrene-treated animals compared to controls.	Adler and Ingwersen (1989)
Chromosomal aberrations	Rats/Wistar; PBLs	Single dose by gavage; sacrificed 6, 24 and 48 hrs post-treatment; blood from abdominal aorta collected, whole blood cultures set up, CAs scored in 100 first-division PBLs per animal	-	0, 10, 100, 200 mg/kg b.w.	No difference between control and treatment groups at any dose or at any sampling time observed	Willems et al (1991)
Micronuclei	Hamster, Chinese, bone marrow	Single, i.p. injection of benzo[a]pyrene dissolved in tricapylin; animals sacrificed 30 hours post-exposure	-	100, 300, 500 mg/kg b.w.		Bayer (1979)
Micronuclei	Mice/B6C3F1 (hybrid);	I.p. injection; several doses given to calculate LD ₅₀	+	232 mg/kg (LD _{50/7}); 259 mg/kg (LD _{50/4})	Study conducted to determine the toxicity of benzo[a]pyrene (LD ₅₀)	Salamone et al. (1981)
Micronuclei	Mouse/CD-1 and BDF1; bone marrow	Dosed orally once, twice or thrice at 24 hr intervals; sacrificed 24 hrs after last treatment	+	250, 500, 1000, 2000 mg/kg b.w.	significant increase at all doses; no dose-response; double dosing at 500 mg/kg dose gave best response	Shimada et al. (1990)
Micronuclei	Mouse/CD-1 & BDF1, peripheral blood reticulocytes	Given single i.p injection; tail blood collected at 24 hr intervals from 0 to 72 hrs	+	62.5, 125, 250, 500 mg/kg b.w.	maximum response seen at 48 hrs post-treatment	Shimada et al. (1992)
Micronuclei	Rat/Sprague-Dawley, peripheral blood reticulocytes	Given single i.p injection; tail blood collected at 24 hr intervals from 0 to 96 hrs	+	62.5, 125, 250, 500, 1000 mg/kg b.w.	maximum response seen at 72 hrs post-treatment	Shimada et al. (1992)

Micronuclei	Mouse/ICR [Hsd: (ICR)Br]	benzo[a]pyrene was heated in olive oil and given orally as a single dose; males, females and pregnant mothers used; pregnant mice dosed on GDs 16-17 and sacrificed on GDs 17-18; micronuclei evaluated in adult bone marrow and fetal liver	+	150 mg/kg b.w.	All groups significantly higher than controls for MN; Fetal liver more sensitive than any other group	Harper et al. (1989)
Micronuclei	Mouse/Swiss albino; bone marrow	Given orally in corn oil; sacrificed 24 hr post-exposure	+	75 mg/kg b.w.		Koraktar et al. (1993)
Micronuclei	Mouse/Swiss; bone marrow PCE	Given by gavage and sacrificed 36 hrs post-treatment	+	75 mg/kg b.w.		Rao and Nandan (1990)
Micronuclei	Mice/CD-1 and MS/Ae strains	i.p. and p.o. administration	+	62.5, 125, 250, 500 mg/kg	good dose response by both routes, strains; i.p. better than p.o.; MS/Ae strain more sensitive than CD-1 strain	Awogi and Sato (1989)
Micronuclei	Mouse/BDF1, bone marrow	Male and female mice aged 12-15 wks given single i.p. injection of benzo[a]pyrene or corn oil; sacrificed 24, 48, and 72 hrs post-treatment; bone marrow smears prepared, stained with May-Grunwald-Giemsa technique and scored for MN PCEs.	+	0, 25, 50, 60 mg/kg b.w.	Positive at all doses, time points and sexes tested. Dose-dependent increase in MN observed in both sexes; males responded better than females; highest positive response observed at 72 hrs post-injection	Balansky et al. (1994)
Micronuclei	Mouse, HRA/Skh hairless, keratinocytes	Single topical application	+	0.5, 5, 50, 100, 500 mg/mouse		He and Baker (1991)
Micronuclei	Mouse/HOS:HR-1, hairless; skin micronuclei	Topical application once daily for 3 days; sacrificed 24 hrs after last treatment	+	0.4, 1, 2, 4 mg		Nishikawa et al. (2005)
Micronuclei	Mice/HR-1 hairless, skin (benzo[a]pyrene with slight radiation)		+		Exposure to sunlight simulator to evaluate photogenotoxicity and chemical exposure	Hara et al. (2007)
Micronuclei	Rat, Sprague-Dawley, pulmonary alveolar macrophages	i.t. instillation, once/day for 3 days	+	25 mg/kg b.w.		De Flora et al. (1991)

Micronuclei	Rat, Sprague-Dawley, bone marrow cells	i.t. instillation, once/day for 3 days	-	25 mg/kg b.w.		De Flora et al. (1991)
Micronuclei	Fish (Carp, rainbow trout, clams); Blood and hemolymph		+	0.05, 0.25, 0.5 and 1 ppm		Kim and Hyun (2006)
Sister chromatid exchanges	Hamster/Chinese; SCEs in bone marrow	8-12 wk-old animals dosed with two i.p. injections of benzo[a]pyrene given 24 hrs apart; animals sacrificed 24 hrs after last treatment, bone marrow from femur isolated and metaphases analyzed.	+	450 mg/kg b.w.	Significant increase in metaphase SCEs in benzo[a]pyrene-treated animals compared to vehicle-treated controls.	Roszinsky-Kocher et al. (1979)
Sister chromatid exchanges	Hamster/Chinese,	Animals implanted s.c. with bromodeoxyuridine (BrdU) tablet; 2 hrs later given phorone (125 or 250 mg/kg) i.p.; another 2 hrs later dosed i.p. with benzo[a]pyrene; 24 hrs post-BrdU dosing, animals injected with colchicine 10 mg/kg b.w., sacrificed 2 hrs later; bone marrow from femur prepared for SCE assay	+	50 or 100 mg/kg b.w.	SCEs increased with low dose of phorone significantly.	Bayer et al. (1981)
Sister chromatid exchanges	Hamster/Syrian, fetal liver	i.p. injection to pregnant animals on GDs 11, 13 or 15; fetal liver SCEs were analyzed	+	50 and 125 mg/kg b.w.	Produced doubling of SCE frequency	Pereira et al. (1982)
Sister chromatid exchanges	Hamster/Chinese, bone marrow	NA	+	2.5, 25, 40, 50, 75, 100 mg/kg b.w.	Frequency of SCEs increased ≥ 40 mg/kg b.w.	Bayer (1979)
Sister chromatid exchanges	Mouse/DBA/2 & C57BL/6, bone marrow cells	Two intragastric injections given; mice implanted with BrdU tablets, sacrificed on day 5, SCE estimated	+	10 or 100 mg/kg b.w.	SCEs and BP-DNA adducts in the order of C57BL/6 (AHH-inducible) < DBA/2 (AHH-noninducible)	Wielgosz et al. (1991)
Sister chromatid exchanges	Mouse/DBA/2 & C57BL/6, splenic lymphocytes	Two intragastric injections given; mice killed on 5th day and cells cultured for 48 hrs with BrdU.	+	10 or 100 mg/kg b.w.	SCEs and BP-DNA adducts in the order of C57BL/6 (AHH-inducible) < DBA/2 (AHH-noninducible)	Wielgosz et al. (1991)

Sister chromatid exchanges	Rats/Wistar; PBLs	Single dose by gavage; sacrificed 6, 24 and 48 hrs post-treatment; blood from abdominal aorta collected, whole blood cultures set up, SCEs scored in 50 second-division metaphases in PBLs per animal	+	0, 10, 100, 200 mg/kg	Linear dose-response at any sampling time, however, significant at the highest dose only; no interaction between dose and sampling time	Willems et al (1991)
Cell transformation	Hamsters/LVG:LAK strain (virus free); Transplacental host-mediated assay	Pregnant animals dosed i.p. with benzo[a]pyrene on GD 10; sacrificed on GD 13, fetal cell cultures prepared, 10×10 ⁶ cells/plate; 5 days post-culture trypsinized; subcultured every 4-6 days thereafter and scored for plating efficiency and transformation.	+	3 mg/100 g b.w.		Quarles et al. (1979)

8-oxodG, 8-oxodeoxyguanosine; AHH, aryl hydrocarbon hydroxylase; benzo[a]pyrene, benzo[a]pyrene; BPDE, benzo[a]pyrene diol epoxide; BrdU, bromodeoxyuridine; CAs, chromosomal aberrations; CSB, Cockayne syndrome; CYP, cytochrome P450; DLA, dominant lethal assay; DMSO, dimethylsulfoxide; ECD, electrochemical detection; FD, fluorescence detection; FM6, First Multiple No. 6 is an X chromosome with a complex of inversions (to suppress cross-over) and visible markers such as yellow body, white eyes and narrow eyes. GC, germline cell; GD, gestational day; HPLC, high performance liquid chromatography; HPRT, hypoxanthine-guanine phosphoribosyl transferase; HTA, heritable translocation assay; i.p., intraperitoneal; i.t., intratracheal; Li, Liver; Lu, Lung; MF, mutation frequency; PBL, peripheral blood lymphocytes; PCE, polychromatic erythrocytes; SCEs, sister chromatid exchanges; SFS, synchronous fluorescence spectrometry; Sk Skin TCDD, 2,3,7,8-tetrachlorodibenzodioxin; TPA, 12-tetradecanoyl-*O*-phorbol acetate; UDS, unscheduled DNA synthesis; USERIA, ultrasensitive enzyme radioimmunoassay; WT, wild-type; XP, xeroderma pigmentosum;

4.5.2. Metabolic pathways

Diol epoxide pathway

benzo[a]pyrene diol epoxide metabolites interact preferentially with the exocyclic amino groups of deoxyguanine and deoxyadenine (Geacintov et al., 1997; Jerina et al., 1991). Adducts may give rise to mutations unless these adducts are removed by DNA repair processes prior to replication. The stereochemical nature of the diol epoxide metabolite (i.e., anti- vs. syn-diol epoxides) affects the number and type of adducts and mutation that occurs (Geacintov et al., 1997). Transversion mutations (e.g., GC→TA or AT→TA) are the most common type of mutation found in mammalian cells following diol epoxide exposure (Bostrom et al., 2002).

Strong evidence for the association between benzo[a]pyrene activation by the diol epoxide pathway and key DNA-reactive and mutational events associated with tumor initiation comes from the following observations: (1) (+)-anti-BPDE is very reactive with guanine residues in DNA (Koreeda et al., 1978; Jeffrey et al., 1976); (2) (+)-anti-BPDE is more potent than benzo[a]pyrene, benzo[a]pyrene phenols, and benzo[a]pyrene diols in mutagenicity assays in bacterial and mammalian cells (Malaveille et al., 1977; Newbold and Brookes, 1976); (3) When administered by ip injection to newborn mice, (+)-anti-BPDE is more potent than benzo[a]pyrene phenols and benzo[a]pyrene diols and much more potent than benzo[a]pyrene itself in lung tumorigenicity assays (Chang et al., 1987; Buening et al., 1978; Kapitulnik et al., 1978); (4) (+)-anti-BPDE treatment resulted in *ras* gene codon 12 G→T point mutations, the activation of the H-ras-1 proto-oncogene and transformation of NIH/3T3 cells (Marshall et al., 1984); (5) (+)-anti-BPDE forms DNA adducts at specific “hotspots” in the p53 tumor suppressor gene that are commonly mutated in lung and other cancer patients (Denissenko et al., 1996; Puisieux et al., 1991); (6) lung tumors from nonsmoking patients who were chronically exposed to smoky coal emissions contained mutated p53 and showed a spectrum of mutations consistent with (+)-anti-BPDE-associated mutations in the K-ras oncogene (DeMarini et al., 2001); (7) elevated blood BPDE-DNA adducts have been observed in coke oven workers and chimney sweeps, occupations associated with increased risks of cancer from PAH-containing complex mixtures (Pavanello et al., 1999); (8) the spectrum of mutation in the K-ras, H-ras, and p53 genes in forestomach tumors of mice fed benzo[a]pyrene in the diet for 2 years was consistent with (+)-anti-BPDE DNA reactions (Culp et al., 2000); (9) K-ras mutations found in lung tumors from A/J mice given single i.p. injections of benzo[a]pyrene showed several guanine mutations at codon 12, which are indicative of (+)-anti-BPDE DNA adduct formation (Ross and Nesnow, 1999; Nesnow et al., 1998a, b, 1996, 1995; Mass et al., 1993); and (10) the major DNA adduct formed in a murine embryonic fibroblast line transfected with human p53 DNA and exposed to 1uM benzo[a]pyrene for 96 hours was (+)-anti-BPDE-DNA. The concomitant spectrum of p53 mutations in the latter study had features similar to those found in human lung cancer:

1 predominance of G→T mutations, strand bias of transversions, and mutation hot spots at codons
2 157 to 158 (Liu et al., 2005).

3 As pointed out by Penning et al. (1999), the association between BPDE-DNA adducts
4 and tumors from benzo[a]pyrene exposure is not entirely specific given that dihydrodiol and diol
5 epoxides of benzo[a]pyrene are less potent tumorigenic agents in mouse skin than the parent
6 material (Slaga et al., 1977; Chouroulinkov et al., 1976) and oxidative damage to DNA has been
7 observed in rats treated with benzo[a]pyrene (Kim and Lee, 1997) and human mammary
8 epithelial cells exposed to benzo[a]pyrene (Leadon et al., 1988). In addition, although BPDE-
9 DNA adduct levels in forestomach tissue were linearly related to the amount of benzo[a]pyrene
10 consumed by mice in a 28-day study (Culp et al., 2000, 1998, 1996a; Culp and Beland, 1994),
11 levels of BPDE-DNA adduct in lung and liver tissue (which did not develop tumors with 2 years
12 of exposure to benzo[a]pyrene in the diet) were similar at 28 days to those in forestomach tumors
13 (Goldstein et al., 1998). These observations suggest that BPDE-adduct levels alone are not the
14 only path to benzo[a]pyrene-induced tumors and provide indirect evidence for the other
15 mutagenic pathways to benzo[a]pyrene tumor initiation.

16 17 *Radical cation pathway*

18 Radical cation formation involves a one-electron oxidation by CYP or peroxidase
19 enzymes (i.e., horseradish peroxidase, prostaglandin H synthetase) that produces electrophilic
20 radical cation intermediates (Cavalieri and Rogan, 1995, 1992). Radical cations can be further
21 metabolized to phenols and quinones (Cavalieri et al., 1988d, e), or they can form unstable
22 adducts with DNA that ultimately result in depurination (Cavalieri et al., 2005, 1993; Rogan et
23 al., 1993). The predominant depurinating adducts occur at the N-3 and N-7 positions of adenine
24 and the C-8 and N-7 positions of guanine (Cavalieri and Rogan, 1995).

25 Abasic sites resulting from base depurination undergo error-prone excision repair and can
26 induce mutations such as those found in the H-ras oncogene in mouse skin (Chakravarti et al.,
27 2000). One pathway to the formation of depurinating DNA adducts involves the formation of
28 DNA-reactive radical cations from benzo[a]pyrene via CYP peroxidases (Cavalieri and Rogan,
29 1995). In mouse skin exposed to 200 nmol benzo[a]pyrene for 4 hours, a mix of stable and
30 depurinating DNA adducts was found: (+)-anti-BPDE DNA and depurinating adducts accounted
31 for 22 and 74% of the identified adducts, respectively (Rogan et al., 1993). When mouse skin
32 was exposed to either the benzo[a]pyrene-7,8-diol or BPDE, only stable BPDE-DNA adducts
33 were found (Rogan et al., 1993). In mouse skin tumors induced by benzo[a]pyrene and
34 promoted by TPA, 7/13 examined tumors had H-ras oncogene mutations attributed to apurinic
35 sites generated by loss of N-7 and C-8 guanine adducts, and 2/13 tumors had H-ras mutations
36 attributed to loss of N-7 adenine adducts (Chakravarti et al., 1995). Results from the Rogan et al.
37 (1993) and Chakravarti (1995) studies provide strong in vivo evidence for the importance of both

1 the diol epoxide and the radical cation pathways in the activation of benzo[a]pyrene to initiate
2 mouse skin tumors, possibly by inducing mutations in critical genes.

3 4 *o*-Quinone/ROS pathway

5 The *o*-Quinone metabolites of PAHs are formed by enzymatic dehydrogenation of
6 dihydrodiols (Bolton et al., 2000; Penning et al., 1999; Harvey, 1996; ATSDR, 1995). DHH
7 enzymes are members of the α -keto reductase gene superfamily. *o*-Quinone metabolites are
8 potent cytotoxins, are weakly mutagenic, and are capable of producing a broad spectrum of DNA
9 damage. These metabolites can interact directly with DNA as well as result in the production of
10 ROS (i.e., hydroxyl and superoxide radicals) that may produce further cytotoxicity and DNA
11 damage. The DNA damage caused by *o*-quinones may include the formation of stable adducts
12 (Balu et al., 2004), N-7 depurinating adducts (McCoull et al., 1999), oxidative base damage (i.e.,
13 8-oxo-2'-deoxyguanosine or 8-oxo-dG) (Park et al., 2006a), and strand scission (Flowers et al.,
14 1997). The ROS generated by the *o*-quinone metabolites of benzo[a]pyrene and other PAHs
15 have been shown to induce mutation in the p53 tumor suppressor gene using an *in vitro* yeast
16 reporter gene assay (Park et al., 2008; Shen et al., 2006; Yu et al., 2002).

17 The *o*-quinone/ROS pathway also can produce depurinated DNA adducts from
18 benzo[a]pyrene metabolites (Jiang et al., 2007; 2005). In this pathway, and in the presence of
19 NAD(P)⁺, AKR oxidizes benzo[a]pyrene-7,8-diol to a ketol, which subsequently forms
20 benzo[a]pyrene-7,8-dione. This and other PAH *o*-quinones react with DNA to form unstable,
21 depurinating DNA adducts. In the presence of cellular reducing equivalents, *o*-quinones can also
22 activate redox cycles which produce DNA-ROS (Penning et al., 1996). DNA damage in *in vitro*
23 systems following exposure to benzo[a]pyrene-7,8-dione or other *o*-quinone PAH derivatives
24 occurs through the AKR pathway and can involve the formation of stable DNA adducts (Balu et
25 al., 2004), N-7 depurinated DNA adducts (McCoull et al., 1999), DNA damage from ROS
26 (8-oxo-dG) (Park et al., 2006a) and strand scission (Flowers et al., 1997, 1996).
27 Benzo[a]pyrene-7,8-dione and other PAH *o*-quinones have been shown to induce mutations in
28 the p53 tumor suppressor gene using an *in vitro* yeast reporter gene assay (Park et al., 2008; Shen
29 et al., 2006; Yu et al., 2002). When the yeast were exposed to varying concentration of
30 benzo[a]pyrene-7,8-dione or (+)-anti-BPDE, levels of 8-oxo-dG or (+)-anti-BPDE-DNA,
31 adducts were linearly related to p53 mutagenic frequencies with similar slopes, suggesting that
32 these two types of DNA lesions were equipotent in producing p53 mutations in this system (Park
33 et al., 2008). When the p53 mutations were sorted into dominant and recessive mutants, the
34 dominant mutations clustered to p53 mutation hotspots observed in human lung cancer tissue
35 (Park, 2008). The combined results provide strong *in vitro* evidence for the potential for *the o*-
36 *Quinone/ROS pathway* to produce several DNA-damaging products from benzo[a]pyrene (e.g.,
37 benzo[a]pyrene-7,8-dione and ROS) that lead to p53 mutations associated with human lung
38 cancer. In support of the operation of this pathway, and the other bioactivation pathways, in

1 humans, Jiang et al. (2007) used liquid chromatography-mass spectrometry (LC-MS) to provide
2 evidence for the formation of radical cations, diol epoxides, and o-quinones in cultured human
3 lung H358 cells following exposure to 4 μM [^3H]-benzo[a]pyrene.

4 5 6 **4.5.3. Mechanistic Studies- Mutagenesis and Tumor Initiation**

7 8 *Oncogene/tumor suppressor gene mutations (in vivo)*

9 DeMarini et al. (2001) demonstrated mutations in the p53 tumor suppressor gene and the
10 K-ras oncogene in the lung tumors of nonsmokers, whose tumors were associated with exposure
11 to smoky coal. Lung tumors were obtained from 24 nonsmoking women from China (age 30–
12 63, mean age 48.5 ± 8.8 years) who used smoky coal in their homes without chimneys.
13 Bronchioloalveolar adenocarcinoma and acinar adenocarcinoma were observed in 54 and 46% of
14 the women studied, respectively. The observed mutations in lung tumors were primarily G→T
15 transversions at either K-ras or p53. Mutation hotspots in the lung tumors examined
16 corresponded with hot spots for PAH adducts (codon 154), cigarette smoke associated mutations
17 (codon 249), and both of these events together (codon 273). The mutation spectrum was
18 described as unique and consistent with exposure to PAHs in the absence of cigarette smoke.

19 Mutations in the K-ras, H-ras, and p53 genes were assessed in forestomach tumors
20 ($n = 31$) of mice fed benzo[a]pyrene in the diet (0, 5, 25, or 100 ppm) for 2 years (Culp et al.,
21 2000). Forestomach tumors had K-ras mutations (68% of tumors) that were G→T or C
22 transversions in codon 12 or 13. H-ras (codon 13) and p53 mutations characterized as G→T or
23 C transversions were also each found in 10% of forestomach tumors.

24 K-ras mutations were observed in A/J mouse lung tumors (Nesnow et al., 1998a, b, 1996,
25 1995; Mass et al., 1993). Benzo[a]pyrene was administered to male A/J mice (20/group) as a
26 single i.p. injection (0, 10, 50, 100, or 200 mg/kg in tricapylin) and the presence of lung
27 adenomas were evaluated 8 months following injection. The number of lung adenomas/mouse
28 was significantly greater than control ($p < 0.05$) for benzo[a]pyrene doses ≥ 50 mg/kg. Lung
29 tumor DNA was isolated and DNA sequence analysis of K-ras mutations was performed for 19
30 separate lung tumors. The DNA sequence analysis demonstrated several guanine mutations at
31 codon 12, including GGT→TGT (56% of tumors), GGT→GTT (25% of tumors), and
32 GGT→GAT (19% of tumors).

33 H-ras mutations were studied in skin papillomas of SENCAR mice resulting from dermal
34 initiation by benzo[a]pyrene or benzo[a]pyrene-7,8-dihydrodiol (400 nmol) followed by TPA
35 promotion (Chakravarti et al., 2000, 1995). PCR amplification of the H-ras gene and sequencing
36 revealed that codon 13 (GGC to GTC) and codon 61 (CAA to CTA) mutations in papillomas
37 corresponded to the relative levels of depurinating adducts of guanine and adenine, despite the
38 formation of significant amounts of stable DNA adducts.

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DNA adducts in target tissues detected following chemical exposure

DNA adducts of benzo[a]pyrene have been measured in target tissues of humans exposed to PAH mixtures and experimental animals exposed to benzo[a]pyrene. Phillips et al. (2002) provided a review of smoking-related DNA adducts detected in human respiratory tract tissues. BPDE-DNA adducts were detected in the lung, parenchyma of cigarette smokers with lung cancer (Godschalk et al., 2002; Bartsch et al., 1999; Alexandrov et al., 1992). DNA was isolated from the normal tissue (i.e., noncancerous) of the lung which was obtained during surgery. A study using lung samples obtained on autopsy revealed that the average level of BPDE-DNA adducts was higher in smokers (4.46 ± 5.76 per 10^8 bases) compared to ex-smokers (4.04 ± 2.37 per 10^8 bases) and nonsmokers (1.76 ± 1.69 per 10^8 bases) (Lodovici et al., 1998).

BPDE-DNA adducts were measured in skin biopsies of eczema patients treated with coal tar preparations (Godschalk et al., 2001). Godschalk et al. (1998a) performed a study examining the level of DNA adducts in biopsies of treated skin and in WBCs in psoriasis patients being treated with coal tar. Urinary 1-OH-Py levels were serially monitored in all subjects. Skin biopsies were taken before and after five treatments, at which point the average number of DNA adduct levels increased from 2.9 to 63.3 per 10^8 nucleotides. Total WBC DNA adducts increased from 0.33 to 0.89 per 10^8 after five treatments, and then doubled to 1.59 per 10^8 when sampled 1 week later. There was an increase in 1-OH-Py levels from 0.75 to 186 $\mu\text{g/L}$ after one treatment and to 266 $\mu\text{g/L}$ after five treatments. One week later, mean 1-OH-Py levels were reduced to 2.4 $\mu\text{g/L}$. Adduct levels in the skin increased over 20-fold with five treatments, while WBC adduct levels approximately doubled over the same period.

DNA adduct levels were examined in the forestomach of groups of female B6C3F₁ mice fed benzo[a]pyrene in the diet at concentrations of 5, 25, or 100 ppm for 28 days (Culp et al., 2000, 1998, 1996a, b; Culp and Beland, 1994). [³²P]-postlabeling of forestomach DNA of benzo[a]pyrene-treated mice revealed one major adduct characterized as dG-N²-BPDE. There was a linear relationship between the amount of benzo[a]pyrene consumed and the concentration of dG-N²-BPDE in the forestomach of mice. For benzo[a]pyrene, forestomach tumor incidence increased sharply with adduct concentrations between 50 and 140 fmol/mg DNA and in coal-tar fed mice. Tumor incidence increased sharply with dG-N²-BPDE adduct levels between 20 and 60 fmol/mg DNA. The same levels of adduct were present in lung and liver of benzo[a]pyrene-treated mice, although only the forestomach exhibited benzo[a]pyrene-induced tumors (Goldstein et al., 1998). The presence of adducts in tumor-free tissue suggests that DNA adduct levels alone are not necessarily predictors of tumor outcome.

DNA adducts were identified and quantified in experiments using the A/J mouse lung model which results in lung adenomas in male A/J mice 8 months following a single i.p. injection (Nesnow et al., 1998a, b, 1996, 1995; Ross et al., 1995). Benzo[a]pyrene was administered to male A/J mice (20-25/group) as a single i.p. injection (0, 20, 50, or 100 mg/kg in

1 tricapylin) and DNA was isolated from lung tissues at several time points between 1 and 21
2 days following injection. The primary DNA adduct identified in mouse lung tumors was a
3 benzo[a]pyrene bay region diol epoxide adduct of guanine (7R, 8S, 9S-trihydroxy-10R-[2N-2'-
4 deoxyguanosyl]-7, 8, 9, 10-tetrahydro-benzo[a]pyrene). Two minor adducts were also observed
5 to result from the metabolism of 9-hydroxy-benzo[a]pyrene and trans-7,8-dihydroxy-7,8-
6 dihydro-benzo[a]pyrene. Quantitative analysis of DNA adducts by [³²P]-postlabeling illustrated
7 the importance of measuring DNA adduct levels over time. Total DNA adducts accumulated
8 rapidly between 3 and 9 days after exposure followed by a gradual decrease. A time-integrated
9 DNA adduct level (TIDAL) was linearly related to the administered dose of benzo[a]pyrene
10 (Ross et al., 1995).

11 Following i.p injection, benzo[a]pyrene also induced DNA adducts in the lungs, liver,
12 and peripheral blood lymphocytes of rats (Ross et al., 1991, 1990), the liver of Lewis rats
13 (Godschalk et al., 1998b), and lungs of BALB/c mice (Van Schooten et al., 1991). Qian et al.
14 (1998) treated male CD rats with intratracheal instillation of fume condensates of roofing
15 asphalts and evaluated adducts in lung cells and WBCs. Adducts were seen in the lungs but not
16 in WBCs, leading the authors to conclude that WBCs may not be a suitable surrogate for lung
17 cells. The adducts were not characterized, so the benzo[a]pyrene-specificity of the results cannot
18 be evaluated. Formation of DNA adducts from benzo[a]pyrene metabolites also has been
19 observed in the lung and liver of male Sprague-Dawley rats after intratracheal administration of
20 benzo[a]pyrene (De Flora et al., 1991; Weyand and Bevan, 1987).

21 DNA adducts have been reported in the lung and skin of dermally treated SENCAR mice
22 (Mukhtar et al., 1986), in the epidermis of Swiss mice (Oueslati et al., 1992), and in the skin of
23 an unspecified strain of mice (Ingram et al., 2000). When Talaska et al. (1996) compared the
24 dose-duration-response of benzo[a]pyrene-induced adducts in the skin, lung, and liver of Hsd
25 (ICR) BR mice treated dermally with 10, 25, or 50 nmol benzo[a]pyrene, accumulation of
26 adducts was found to be linear with dose in the skin and lung. In skin painting studies with
27 female SENCAR mice and various PAHs, Melendez-Colon et al. (1999) found that carcinogenic
28 potency correlated with DNA adduct levels in epidermal DNA rather than in the formation of
29 apurinic sites. Alexandrov and Rojas-Moreno (1990) found DNA adducts in epidermal
30 keratinocytes and dermal fibroblasts of Swiss mice treated dermally with benzo[a]pyrene but not
31 in similarly treated Wistar rats. BPDE-DNA adducts were measured in the lung, stomach, and
32 skin of male Lewis rats (15/group) following a single exposure to 10 mg/kg benzo[a]pyrene via
33 the intratracheal, gavage, and dermal routes, respectively (Godschalk et al., 2000).

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35 **4.5.3. Tumor Promotion and Progression**

36 benzo[a]pyrene has been shown to promote the growth of previously initiated cells,
37 resulting in the formation of tumors in the skin (see Section 4.2.3.1). The tumor promotion

1 properties of benzo[a]pyrene may be due to a compensatory response to cytotoxicity or via an
2 AhR-mediated effect on cell growth and differentiation.

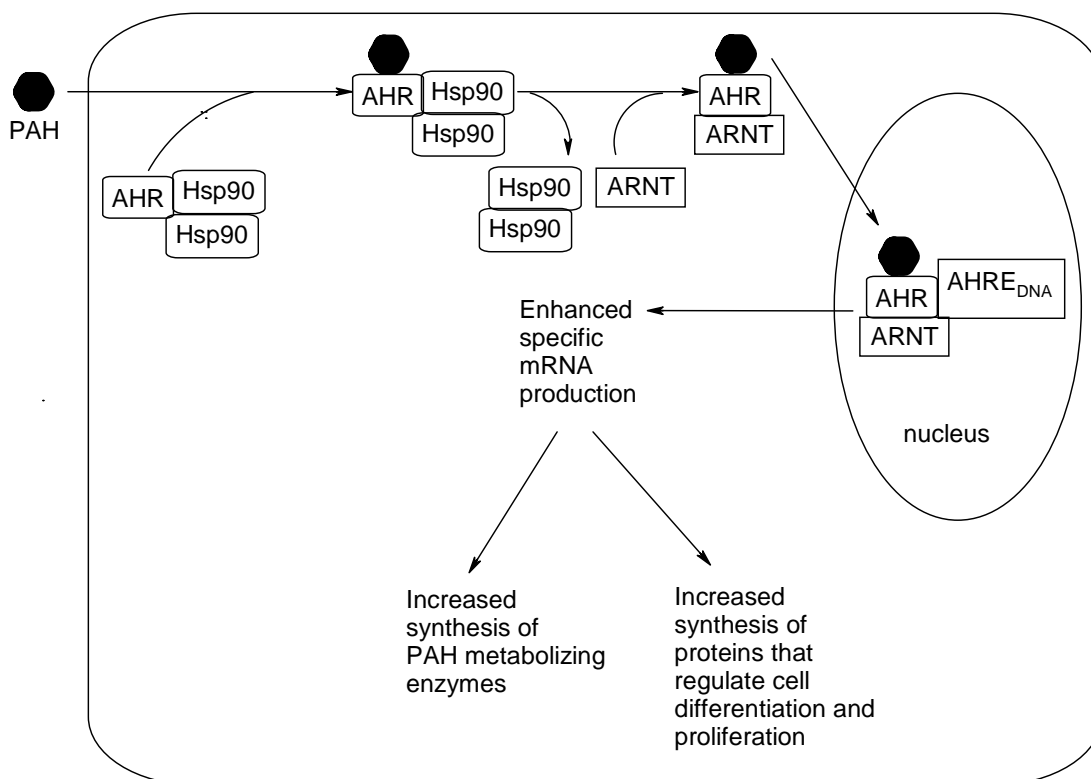
3 4 *Cytotoxicity and inflammatory response*

5 The cytotoxicity of benzo[a]pyrene metabolites may contribute to tumor promotion via
6 inflammatory responses leading to cell proliferation (Burdick et al., 2003). Benzo[a]pyrene is
7 metabolized to o-quinones, which are cytotoxic, and can generate ROS (Bolton et al., 2000;
8 Penning, 1999). Benzo[a]pyrene o-quinones reduce the viability and survival of rat and human
9 hepatoma cells (Flowers-Geary et al., 1996, 1993). Cytotoxicity was also induced by
10 benzo[a]pyrene and BPDE in a human prostate carcinoma cell line (Nwagbara et al., 2007).
11 Inflammatory responses to cytotoxicity may contribute to the tumor promotion process. For
12 example, benzo[a]pyrene quinones (1,6-, 3,6-, and 6,12-benzo[a]pyrene-quinone) generated ROS
13 and increased cell proliferation by enhancing the epidermal growth factor receptor
14 (EGFR) pathway in cultured breast epithelial cells (Burdick et al., 2003).

15 Several studies have demonstrated that exposure to benzo[a]pyrene increases the
16 production of inflammatory cytokines which may contribute to cancer progression. Garçon et al.
17 (2001a, b) exposed Sprague-Dawley rats by inhalation to benzo[a]pyrene with or without ferrous
18 oxide (Fe₂O₃) particles. They found that benzo[a]pyrene alone or in combination with Fe₂O₃
19 particles elicited mRNA and protein synthesis of the inflammatory cytokine, IL-1. Tamaki et al.
20 (2004) also demonstrated a benzo[a]pyrene-induced increase in IL-1 expression in a human
21 fibroblast-like synoviocyte cell line (MH7A). Benzo[a]pyrene increases the expression of the
22 mRNA for CCL1, an inflammatory chemokine, in human macrophages (N'Diaye et al., 2006).
23 The benzo[a]pyrene-induced increase in CCL1 mRNA was inhibited by the potent AhR
24 antagonist 3'-methoxy-4'-nitroflavone.

25 26 *AhR-mediated effects*

27 The promotional effects of benzo[a]pyrene may also be related to AhR affinity and the
28 upregulation of genes related to biotransformation (i.e., induction of CYP1A1), growth, and
29 differentiation (Bostrom et al., 2002). Figure 4-1 illustrates the function of the AhR and depicts
30 the genes regulated by this receptor as belonging to two major functional groups (i.e., induction
31 of metabolism or regulation cell differentiation and proliferation). PAHs bind to the cytosolic
32 AhR in complex with heat shock protein 90 (Hsp90). The ligand-bound receptor is then
33 transported to nucleus in complex with the ARNT. The AhR complex interacts with the Ah
34 responsive elements (AHRE) of the DNA to increase the transcription of proteins associated with
35 induction of metabolism and regulation of cell differentiation and proliferation.



AHRE_{DNA} = Ah-responsive elements of DNA; Hsp90 = heat shock protein 90

Source: Okey et al. (1994).

Figure 4-1. Interaction of PAHs with the AhR.

Binding to the AhR induces enzymes that increase the formation of reactive metabolites, resulting in DNA binding and, eventually, tumor initiation. In addition, with persistent exposure, the ligand-activated AhR triggers epithelial hyperplasia, which provides the second step leading from tumor initiation to promotion and progression (Nebert et al., 1993). Ma and Lu (2007) reviewed several studies of benzo[a]pyrene toxicity and tumorigenicity in mouse strains with high and low affinity AhRs. Disparities were observed in the tumor pattern and toxicity of Ah-responsive (+/+ and +/-) and Ah-nonresponsive (-/-) mice. Ah-responsive mice were more susceptible to toxicity and tumorigenicity in proximal target tissues such as the liver, lung, and skin. For example, Shimizu et al. (2000) reported that AhR knock out mice (-/-), treated with benzo[a]pyrene by s.c. injection or dermal painting, did not develop skin cancers at the treatment site, while AhR-responsive (+/+) or heterozygous (+/-) mice developed tumors within 18–25 weeks after treatment. Benzo[a]pyrene treatment increased CYP1A1 expression in the skin and liver of AhR-positive mice (+/- or +/+), but CYP1A1 expression was not altered by benzo[a]pyrene treatment in AhR knock out mice (-/-). Talaska et al. (2006) also showed that benzo[a]pyrene adduct levels in skin were reduced by 50% in CYP1A2 knock out mice and by

1 90% in AhR knock out mice compared with WT C57Bl6/J mice following a single dermal
2 application of 33 mg/kg benzo[a]pyrene for 24 hours. Ma and Lu (2007) further noted that Ah-
3 nonresponsive mice were at greater risk of toxicity and tumorigenicity in remote organs, distant
4 from the site of exposure (i.e., bone marrow). As an example, Uno et al. (2006) showed that
5 benzo[a]pyrene (125 mg/kg/-day, p.o. for 18 days) caused marked wasting, immunosuppression,
6 and bone marrow hypocellularity in CYP1A1 knock out mice, but not in WT mice.

7 Some studies have demonstrated the formation of DNA adducts in the liver of AhR
8 knock out mice following i.p. or oral exposure to benzo[a]pyrene (Sagredo et al., 2006; Uno et
9 al., 2006; Kondraganti et al., 2003). These findings suggest that there may be alternative (i.e.,
10 non-AhR mediated) mechanisms of benzo[a]pyrene activation in the mouse liver. Sagredo et al.
11 (2006) studied the relationship between the AhR genotype and CYP metabolism in different
12 organs of the mouse. AhR^{+/+}, ^{+/-}, and ^{-/-} mice were treated once with 100 mg/kg benzo[a]pyrene
13 by gavage. CYP1A1, CYP1B1, and AhR expression was evaluated in the lung, liver, spleen,
14 kidney, heart, and blood, via RT-PCR, 24 hours after treatment. CYP1A1 RNA was increased in
15 the lung and liver and CYP1B1 RNA was increased in the lung following benzo[a]pyrene
16 treatment in AhR^{+/+} and ^{+/-} mice (generally higher in heterozygotes). Benzo[a]pyrene treatment
17 did not induce CYP1A1 or CYP1B1 enzymes in AhR^{-/-} mice. The expression of CYP1A1 RNA,
18 as standardized to β -actin expression, was generally about 40 times that of CYP1B1. The
19 concentration of benzo[a]pyrene metabolites and the levels of DNA and protein adducts were
20 increased in mice lacking the AhR, suggesting that there may be an AhR-independent pathway
21 for benzo[a]pyrene metabolism and activation. The high levels of benzo[a]pyrene DNA adducts
22 in organs other than the liver of AhR^{-/-} mice may be the result of slow detoxification of
23 benzo[a]pyrene in the liver, allowing high concentrations of the parent compound to reach
24 distant tissues.

25 Uno et al. (2006) also demonstrated a paradoxical increase in liver DNA adducts in AhR
26 ko mice following oral exposure to benzo[a]pyrene. WT C57BL/6 mice and several knock out
27 mouse strains (CYP1A2^{-/-} and CYP1B1^{-/-} single ko, CYP1A1/1B1^{-/-} and CYP1A2/1B1^{-/-}
28 double ko) were studied. Benzo[a]pyrene was administered in the feed at 1.25, 12.5, or 125
29 mg/kg for 18 days (this dose is well tolerated by WT C57BL/6 mice for 1 year, but lethal within
30 30 days to the CYP1A1^{-/-} mice). Steady-state blood levels of benzo[a]pyrene, reached within 5
31 days of treatment, were ~25 times higher in CYP1A1^{-/-} and ~75 times higher in CYP1A1/1B1^{-/-}
32 than in WT mice, while clearance was similar to WT mice in the other knock out mouse strains.
33 DNA adduct levels, measured by [³²P]-postlabeling in liver, spleen, and bone marrow, were
34 highest in the CYP1A1^{-/-} mice at the two higher doses, and in the CYP1A1/1B1^{-/-} mice at the
35 mid dose only. Adduct patterns, as revealed by 2-dimensional chromatography, differed
36 substantially between organs in the various knock out types.

37 Dertinger et al. (2001, 2000) demonstrated that AhR signaling may play a role in
38 cytogenetic damage caused by benzo[a]pyrene. The in vivo formation of micronuclei in

1 peripheral blood reticulocytes of C57Bl/6J mice induced by a single i.p. injection of
2 benzo[a]pyrene (150 mg/kg) was eliminated by prior treatment with the potent AhR antagonist
3 3'-methoxy-4'-nitroflavone. This antagonist also protected AhR null allele mice from
4 benzo[a]pyrene-induced increases in micronuclei formation, suggesting that 3'-methoxy-4'-
5 nitroflavone may also act through a mechanism independent of the AhR (Dertinger et al., 2000).

6 Several in vitro studies have suggested that the AhR plays a role in the disruption of cell
7 cycle control, possibly leading to cell proliferation and tumor promotion following exposure to
8 benzo[a]pyrene (Andrysik et al., 2007; Chung et al., 2007; Chen et al., 2003). Chung et al.
9 (2007) showed that benzo[a]pyrene-induced cytotoxicity and apoptosis in mouse hepatoma
10 (Hepa1c1c7) cells occurred through a p53 and caspase-dependent process requiring the AhR.
11 An accumulation of cells in the S-phase of the cell cycle (i.e., DNA synthesis and replication)
12 was also observed, suggesting that this process may be related to cell proliferation. Chen et al.
13 (2003) also demonstrated the importance of the AhR in benzo[a]pyrene-7,8-dihydrodiol- and
14 BPDE-induced apoptosis in human HepG2 cells. Both the dihydrodiol and BPDE affected Bcl2
15 (a member of a family of apoptosis suppressors) and activated caspase and p38 mitogen-
16 activated protein (MAP) kinases, both enzymes that promote apoptosis. When the experiments
17 were conducted in a cell line that does not contain ARNT (see Figure 4-1), the dihydrodiol was
18 not able to initiate apoptotic event sequences, indicating that activation to BPDE by CYP1A1
19 was required. BPDE did not induce apoptosis-related events in a p38-defective cell line,
20 illustrating the importance of MAP kinases in this process. In rat liver epithelial cells (WB-F344
21 cells), in vitro exposure to benzo[a]pyrene resulted in apoptosis, a decrease in cell number, an
22 increase in the percentage of cells in S-phase (comparable to a proliferating population of WB-
23 F334 cells), and increased expression of cell cycle proteins (e.g., cyclin A) (Andrysik et al.,
24 2007). Benzo[a]pyrene-induced apoptosis was attenuated in cells transfected with a dominant-
25 negative mutation of the AhR.

26 27 *Inhibition of gap junctional intercellular communication*

28 Gap junctions are channels between cells that allow substances of a molecular weight up
29 to roughly 1 kDa to pass from one cell to the other. This process of metabolic cooperation is
30 crucial for differentiation, proliferation, apoptosis, and cell death and consequently for the two
31 epigenetic steps of tumor formation, promotion, and progression. Chronic exposure to many
32 toxicants results in down-regulation of gap junctions. For tumor promoters, such as TPA or
33 TCDD, inhibition of intercellular communication is correlated with their promoting potency
34 (Sharovskaya et al., 2006; Yamasaki, 1990).

35 Blaha et al. (2002) surveyed the potency of 35 PAHs, including benzo[a]pyrene, to
36 inhibit gap junctional intercellular communication (GJIC). The scrape loading/dye transfer assay
37 was employed using a rat liver epithelial cell line that was incubated in vitro for 15, 30, or 60
38 minutes with 50 μ M benzo[a]pyrene. After incubation, cells were washed, and then a line was

1 scraped through the cells with a surgical blade. Cells were exposed to the fluorescent dye lucifer
2 yellow for 4 minutes and then fixed with formalin. Spread of the dye from the scrape line into
3 cells remote from the scrape was estimated under a fluorescence microscope. Benzo[a]pyrene
4 reduced spread of the dye after 30 minutes of exposure (approximately 50% of control).
5 Recovery of GJIC was observed 60 minutes after exposure.

6 Sharovskaya et al. (2006) studied the effects of carcinogenic and noncarcinogenic PAHs
7 on GJIC in HepG2 cells. Individual carcinogenic PAHs inhibited GJIC in a temporary fashion
8 (70–100% within 24 hours), but removal of the PAH from culture reversed the effect.
9 Noncarcinogenic PAHs had very little effect on GJIC. Benzo[a]pyrene at 20 µM inhibited GJIC
10 completely within 24 hours, while its noncarcinogenic homolog, BeP, produced <20% inhibition.
11 The effect was not AhR-dependent, because benzo[a]pyrene inhibited GJIC in HepG2 cells to
12 the same extent as in hepatoma G27 cells, which express neither CYP1A1 nor AhR. The authors
13 concluded that the effects of benzo[a]pyrene and BeP on GJIC were direct (i.e., not caused by
14 metabolites).

15 16 **4.6. SYNTHESIS OF MAJOR NONCANCER EFFECTS**

17 **4.6.1. Oral**

18 Numerous epidemiological studies are available which investigate associations between
19 PAH (including benzo[a]pyrene) dietary intake and cancer incidence; however, no studies were
20 found which evaluate the contribution of benzo[a]pyrene through dietary exposure in humans
21 and noncancer health effects. Several studies in animal models are available evaluating the
22 sensitive noncancer effects following subchronic or chronic exposure to benzo[a]pyrene. The
23 types of effects observed following oral exposure were predominantly effects in the reproductive
24 and immune systems. Additionally, some minor hematological effects and kidney and
25 forestomach effects were observed. Studies that identified NOAELs and LOAELS for
26 noncancer effects in animals repeatedly exposed to benzo[a]pyrene by the oral route are
27 summarized in Table 4-27.

Table 4-27. NOAELs and LOAELs for noncancer effects in animals repeatedly exposed to benzo[a]pyrene by the oral route

Species/sex	Dose	Duration	NOAEL	LOAEL	Response at LOAEL	Comments	Reference
			mg/kg-d				
Wistar rat/ male and female	0, 3, 10, or 30 mg/kg-d, gavage, 5 d/wk	2 yrs	ND	3	↑ Forestomach hyperplasia, ↑ liver clear cell foci of alteration	Hematological and organ weight variables were not measured at terminal sacrifice. No exposure-related changes in noncancer histology in oral cavity, esophagus, forestomach, jejunum, liver, kidney, skin, mammary gland, or auditory canal (noncancer lesions were only detected in tissues that developed tumors). ↑ Forestomach tumors in males at ≥3 mg/kg-d. ↑ Forestomach, liver, and kidney (males only) tumors at 10 and 30 mg/kg-d.	Kroese et al., 2001
B6C3F ₁ mouse/female only	Estimated doses: 0, 0.7, 3.3, or 16.5 mg/kg-d in diet	2 yrs	ND 0.7 3.3	0.7 3.3 16.5	↑ Forestomach hyperplasia ↑ Forestomach hyperkeratosis ↑ Esophagus (basal cell hyperplasia)	No exposure-related changes in weight or histology of liver, kidney, or lung weight (other organs not measured). Hematologic variables were not examined. ↑ Forestomach tumors at 3.3 and 16.5 mg/kg-d; ↑ Esophagus and tongue tumors at 16.5 mg/kg-d.	Beland and Culp, 1998; Culp et al., 1998
Wistar rat/ male and female	0, 3, 10, or 30 mg/kg-d, 5 d/wk	90 d	10 3	30 10	↓ Thymus weight, ↑ liver weight, ↑ forestomach hyperplasia, ↑ slight thymic atrophy ↑ Forestomach epithelial cell proliferation index (BrdU incorporation)	No exposure-related changes in hematological variables or histology of lung, spleen, or lymph node.	Kroese et al., 2001
F344 rat/ male and female	0, 5, 50, or 100 mg/kg-d in diet	90 d	ND 5 50	5 50 100	↑ renal tubular casts in males ↓ RBCs and hematocrit in males ↓ RBCs and hematocrit in females, ↓ hemoglobin in both sexes, ↑liver:body weight ratio in males	No exposure-related changes in other organ weights measured (stomach, testes, ovaries), or in histology of stomach, liver, testes or ovaries (other tissues were not examined).	Knuckles et al., 2001

Table 4-27. NOAELs and LOELs for noncancer effects in animals repeatedly exposed to benzo[a]pyrene by the oral route

Species/sex	Dose	Duration	NOAEL	LOAEL	Response at LOAEL	Comments	Reference
			mg/kg-d				
SD male rats	0, 1 or 5 mg/kg-day by gavage	90 d	1	5	↓ testicular testosterone	Testosterone levels measured in animals sacrificed after 30 d, were not statistically different than controls	Zheng et al., 2010
SD female rats	0, 2.5 or 5 mg/kg-day, gavage ¹	60 d	ND 2.5	2.5 5	↓ ovary weight ↓ estrogen and primordial follicles; altered estrous cyclicity		Xu et al., 2010
C57BL/6 male mice	0, 1, or 10 mg/kg-day exposure to F0 generation	42 d	ND 1	1 10	↓ epididymal sperm count in F0 and F1 generations ↓ sperm motility in F0 mice		Mohamed et al., 2010
Wistar rat/male only	0, 3, 10, 30, or 90 mg/kg-d, gavage, 5 d/wk	35 d	3 10 30	10 30 90	↓ RBCs, hemoglobin, and hematocrit, ↓ thymus weight, ↓ percent B cells in spleen ↑ Forestomach hyperplasia, ↓serum IgM and IgA ↑ Liver oval cell hyperplasia	No exposure-related histological changes in adrenals, brain, bone marrow, colon, caecum, jejunum, heart, kidney, lung, lymph nodes, esophagus, pituitary, spleen, stomach, testis, or thymus.	De Jong et al., 1999
Wistar rat/male and female	0, 1.5, 5, 15, or 50 mg/kg-d, gavage, 5 d/wk	35 d	5 15	15 50	↓ Thymus weight, ↑ forestomach hyperplasia ↑ Liver weight	No exposure-related changes in hematological variables, weights of kidney, spleen, lung, adrenals or ovaries, or histology of liver, kidney, spleen, thymus, lung, or mammary gland.	Kroese et al., 2001
CD-1 mouse/ F0 female; F1 male and female	0,10, 40, or 160 mg/kg-d, gavage	GDs 7–16 of F0 pregnancy	40 10 ND ND	160 40 10 10	↓ Number of F0 females with viable litters ↓ F1 body weight at PND 20 ↓ F1 body weight at PND 42 ↓ F1 male and F1female fertility index	Beginning at 6–7 wks of age, each F1 male mouse (20–45/group) was exposed to 10 untreated females over a period of 25 d. Beginning at 6 wks of age, each F1 female mouse (20–55/group) was cohabitated with an untreated male for a period of 6 mo.	MacKenzie and Angevine, 1981

Table 4-27. NOAELs and LOAELs for noncancer effects in animals repeatedly exposed to benzo[a]pyrene by the oral route

Species/sex	Dose	Duration	NOAEL	LOAEL	Response at LOAEL	Comments	Reference
			mg/kg-d				
NMRI mouse/ F0 female; F1 female	0 or 10 mg/kg-d	GDs 7-16 of F0 pregnancy	ND	10	↓ F1 female fertility (↓ number of F2 litters and F2 litter size; ↓ ovary weight, and ↓ numbers of small, medium, or large follicles and corpora lutea)	Exposed F0 females showed no gross signs of toxicity and no effects on fertility. One F1 female from each litter was continuously bred with an untreated male for 6 mo.	Kristensen et al., 1995

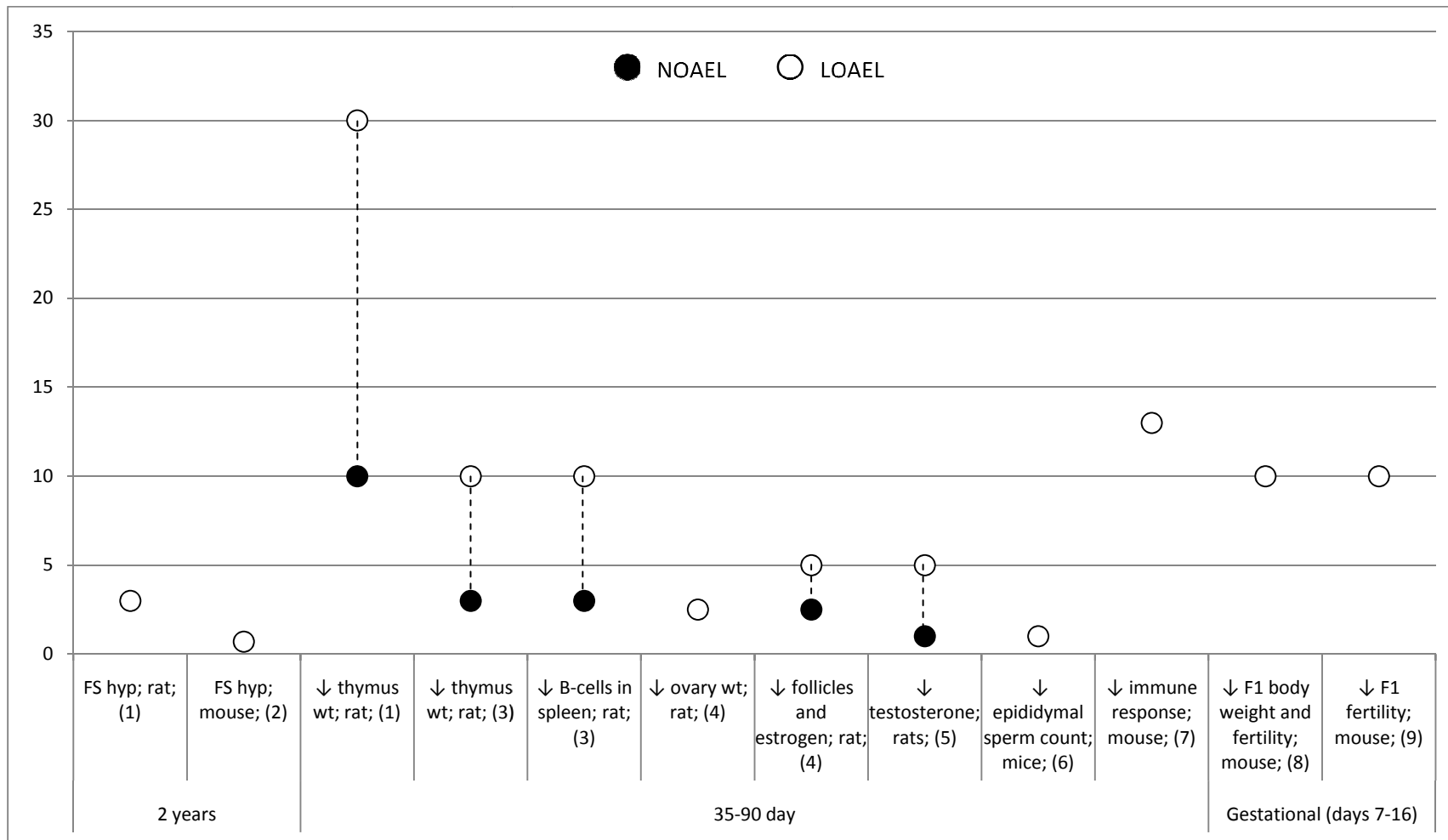
ND = not determined

1 Time weighted average dose ; animals treated by gavage, every other day to 0, 5, or 10 mg/kg-day

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3 (1) Kroese et al., 2001; (2) Beland and Culp, 1998; Culp et al., 1998; (3) De Jong et al., 1999; (4) Xu et al., 2010; (5) Zheng et al., 2010; (6) Mohamed et al.,
 4 2010; (7) van den Berg et al., 2005; (8) MacKenzie and Angevine, 1981; (9) Kristensen et al., 1995; ↑= increased; ↓= decreased; FS = forestomach; hyp =
 5 hyperplasia; wt = weight.

6

7

Figure 4-2. NOAELs and LOAELs for selected noncancer effects from repeated oral exposure to benzo[a]pyrene.

8

1 The two oral chronic-duration studies identify forestomach hyperplasia in rats (gavage
2 exposure) and mice (dietary exposure) as a sensitive effect—a LOAEL of 3 mg/kg-day for
3 forestomach hyperplasia in male and female Wistar rats (Kroese et al., 2001) and a LOAEL of
4 0.7 mg/kg-day for forestomach hyperplasia in female B6C3F₁ mice (Beland and Culp, 1998;
5 Culp et al., 1998). In both rats and mice, an increasing incidence of animals with forestomach
6 tumors with increasing dose was also observed.

7 Several immune related effects have been observed in animals treated subchronically with
8 benzo[a]pyrene, including decreased thymus weight, decreased % of B cells in the spleen,
9 decreased RBCs, and decreased serum immunoglobulins. LOAELs for decreased thymus
10 weights were 10 and 15 mg/kg-day in two different studies of Wistar rats exposed by gavage for
11 35 days (Kroese et al., 2001; De Jong et al., 1999) and 30 mg/kg-day for Wistar rats exposed to
12 benzo[a]pyrene in the diet for 90 days (Kroese et al., 2001). Thymus weights were not measured
13 in the the available chronic studies. Decreased thymus weight was accompanied by a decreased
14 percentage of B cells in spleen and decreased serum IgM and IgA in one 35-day Wistar rat study
15 (De Jong et al., 1999) and increased incidence of slight thymic atrophy in the 90-day Wistar rat
16 study (Kroese et al., 2001). Thymus atrophy, but no histological thymus lesions, was noted in
17 the other 35-day study with Wistar rats (Kroese et al., 2001). Other support for immune effects
18 as a potential effect from repeated oral exposure to benzo[a]pyrene is shown by decreased
19 immune responses in lymph nodes to the dermal sensitizer, DNCB, in C56BL/6 mice given 13
20 mg/kg-day (LOAEL) 3 times/week for 4 weeks (van den Berg et al., 2005; see Section 4.4.2).

21 Effects on RBC counts were also observed across the rat subchronic duration studies
22 (Table 4-25). LOAELs for decreased RBCs were 10 mg/kg-day in Wistar rats exposed by
23 gavage for 35 days (De Jong et al., 1999) and 50 mg/kg-day in male F344 rats exposed in the
24 diet for 90 days (Knuckles et al., 2001), but no significant exposure-related changes in RBC
25 counts were observed in Wistar rats in another 35 day study at doses up to 50 mg/kg-day (Kroese
26 et al., 2001) or at 30 mg/kg-day in Wistar rats exposed in the diet for 90 days (Kroese et al.,
27 2001; see Table 4-26). When observed, the magnitudes of the decreases in RBC, hemoglobin, or
28 hematocrit were generally small: about 18% at 90 mg/kg-day and <10% at lower doses in Wistar
29 rats (De Jong et al., 1999) and about 10% in F344 rats (Knuckles et al., 2001). Hematologic
30 variables were not measured at the terminal sacrifices in the chronic duration studies in rats
31 (Kroese et al., 2001) or mice (Beland and Culp, 1998; Culp et al., 1998).

32 Kidney effects characterized as increased incidence of renal tubular casts in male F344
33 rats were observed in a study by Knuckles et al 2001). The most sensitive effect observed in this
34 study was an increase in abnormal tubular casts in the kidney in males at 5 mg/kg-day (40%),
35 50 mg/kg-day (80%) and 100 mg/kg-day (100%), compared to 10% in the controls. In females,

1 only 10% showed significant kidney tubular changes at the two high dose levels compared to
2 zero incidence in controls.

3 Reproductive and developmental effects following gestational exposure to
4 benzo[a]pyrene have been observed in animal models. Decreased male reproductive endpoints
5 including decreased testicular testosterone, decreased epididymal sperm count, and decreased
6 sperm motility have been observed in rodents treated subchronically (Mohamed et al., 2010;
7 Zheng et al., 2010). In addition, female reproductive endpoints including decreased ovary
8 weight, decreased estrogen, decreased primordial follicles, and estrus cyclicity have been
9 observed in female rats treated for 60 days (Xu et al., 2010). Impaired reproductive performance
10 in F1 mouse offspring (male and female) has been observed following exposure of F0 mice to
11 oral doses as low as 10 mg/kg-day during GDs 7–16 (Kristensen et al., 1995; MacKenzie and
12 Angevine, 1981). Effects observed included decreased ovary weight in F1 females and reduced
13 fertility as reflected by decreased mean number of F2 litters. F1 females had statistically
14 significantly lower median numbers of offspring, number of litters, and litter sizes and a
15 statistically significantly greater median number of days between litters as compared with the
16 controls (Kristensen et al., 1995). Another study of gestationally treated dams (GD 7-16)
17 identified statistically significant decrements in fertility, pup weight, and reproductive organ
18 weights and histology (MacKenzie and Angevine, 1981). These mouse developmental/
19 reproductive toxicity studies observed effects at the lowest dose tested (10 mg/kg-day).
20 Reductions in motor activity, decreased grip strength, and decreased response to sound, touch,
21 and pain were observed in F344 rats following administration of single gavage doses of
22 ≥ 25 mg/kg (Saunders et al., 2006, 2002, 2001; see Section 4.4.1), but similar evaluations of
23 neurological endpoints following repeated oral exposure of animals to benzo[a]pyrene were not
24 located.

25 Studies with ApoE^{-/-} mice, which spontaneously develop atherosclerosis, show that
26 repeated oral exposure to 5 mg/kg gavage doses of benzo[a]pyrene enhances the progression of
27 atherosclerosis through a general local inflammatory process (Knaapen et al., 2007; Curfs et al.,
28 2005, 2004; Godschalk et al., 2003; see Section 4.4.4); however, available data are inadequate to
29 assess oral exposure dose-response relationships for benzo[a]pyrene-induced atherosclerosis in
30 normal test animals.

31 32 **4.6.2. Inhalation**

33 Several epidemiological studies have associated increased occupational exposure of
34 benzo[a]pyrene with cardiac endpoints, specifically ischemic heart disease (Friesen et al., 2010;
35 Burstyn et al., 2005). Other studies have reported potential prenatal effects, birth outcomes, and
36 decreased fertility associated with increased exposure to benzo[a]pyrene. Decreased head

1 circumference, decreased birth weight, and decreased postnatal weight have been reported (Tang
2 et al., 2006; Perera et al., 2005a,b;) in addition to increased risk of early fetal death (Wu et al.,
3 2010). Furthermore, elevated levels of benzo[a]pyrene in follicular fluid have been associated
4 with reduced fertility (Neal et al., 2008).

5 In addition to epidemiological studies, several repeated-exposure inhalation toxicity studies in
6 animals exist for benzo[a]pyrene (Archibong et al., 2002, 2008; Ramesh et al., 2008; Inyang et
7 al., 2003; Wormley et al., 2004). A lifetime-exposure carcinogenicity study of Syrian golden
8 hamsters exposed to benzo[a]pyrene condensed onto NaCl aerosols at nominal concentrations of
9 2, 10, or 50 mg/m³ is available (Thyssen et al., 1981); however, noncancer effects were not
10 evaluated.

11 Although no standard developmental toxicity studies are available for benzo[a]pyrene via
12 the inhalation route, decreased fetal survival and number of pups per litter were observed
13 following exposure of pregnant F344 rats to aerosols of benzo[a]pyrene and CB at
14 concentrations $\geq 25 \mu\text{g}/\text{m}^3$ on GDs 11–20 (Archibong et al., 2002). Decreased levels of plasma
15 progesterone, estradiol, and prolactin were observed on GD 17 in dams exposed to 75 $\mu\text{g}/\text{m}^3$, but
16 not in those exposed to 25 $\mu\text{g}/\text{m}^3$ (Archibong et al., 2002). Other rat studies from the same
17 laboratory have associated inhalation exposures to 100 $\mu\text{g}/\text{m}^3$ benzo[a]pyrene:CB aerosols
18 during gestation with changes in electrophysiological variables in the hippocampus (Wormley et
19 al., 2004). Duration-dependent effects on male reproductive endpoints including increased
20 luteinizing hormone, decreased circulating and intratesticular concentrations of testosterone,
21 decreased testis weight, and decreased sperm motility have also been observed following
22 exposure of adult male F344 rats to benzo[a]pyrene:CB aerosols at 75 $\mu\text{g}/\text{m}^3$ for 10 or 60 days
23 (Archibong et al., 2008; Ramesh et al., 2008; Inyang et al., 2003).

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Table 4-28. NOAELs and LOAELs for noncancer effects in animals repeatedly exposed to benzo[a]pyrene by the inhalation route

Species/sex	Dose	Duration	NOAEL	LOAEL	Response at LOAEL	Comments	Reference
			µg/m ³				
F344 rats	0, 25, 75, 100 µg/m ³ 4hr/d	GD 11-20	ND	25	↓ pups/litter, litter survival (%) ↑ resorptions ↓ plasma progesterone, estradiol, and prolactin	carbon black used as carrier particle	Archibong et al., 2002; Wu et al 2003
			25	75			
F344 rats	0, 75 µg/m ³ 4hr/d	60 d	ND	75	↑ luteinizing hormone ↓ testosterone ↓ decreased testis weight ↓ sperm motility	carbon black used as carrier particle in treatment group; controls not exposed to carbon black	Archibong et al., 2008
F344 rats	0, 100 µg/m ³ 4hr/d	GD	ND	100	↓ pups/litter electrophysiological changes in the hippocampus		Wormley et al., 2004

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4.6.3. Dermal

Though numerous chronic cancer bioassays exist for benzo[a]pyrene by the dermal route, noncancer effects were not reported in these studies, nor are studies available evaluating noncancer effects in humans exposed dermally to benzo[a]pyrene.

4.6.4. Mode-of-Action Information

4.6.4.1. Forestomach Lesions from Oral Exposure

The development of forestomach hyperplasia in mice and rats from subchronic or chronic oral exposures (by gavage and diet) to benzo[a]pyrene is reasonably expected to involve a cell proliferative response to cytotoxicity from reactive benzo[a]pyrene metabolic intermediates, based on the extensive findings from research on the bioactivation of benzo[a]pyrene and carcinogenicity (see reviews on the bioactivation of benzo[a]pyrene by Xu et al., 2009; Jiang et al., 2007, 2005; Xue and Warshawsky, 2005; Penning et al., 1999; Harvey 1996; Cavalieri and Rogan, 1995). Reactive intermediates that can react with cellular macromolecules and potentially lead to cytotoxicity include BPDE, benzo[a]pyrene radical cations, benzo[a]pyrene o-quinones, and ROS. Reactive benzo[a]pyrene metabolites are also well known to reduce the viability and survival of cultured cells involving mechanisms related to stimulation of apoptosis (Andrysik et al., 2007; Chung et al., 2007; Nwagbara et al., 2007; Chen et al., 2003; Jyonouchi et al., 1999; Flowers-Geary et al., 1996, 1993). Molecular details of cell proliferative responses to cytotoxicity or apoptosis from benzo[a]pyrene metabolites are poorly understood, but Burdick et al. (2006, 2003) provided evidence that benzo[a]pyrene o-quinones could inhibit apoptosis and increase cell proliferation in a model human mammary epithelial cell system (MCF10A) via activation of the epidermal growth factor receptor (EGFR) by ROS. The relationship of these findings to development of benzo[a]pyrene-induced forestomach hyperplasia is unknown.

4.6.4.2. Immune System Effects

Decreased thymus weight, decreased number of B cells in spleen, and immune suppression have been observed following oral, i.p., s.c., or intratracheal instillation exposure to benzo[a]pyrene. DeJong et al. (1999) and Kroese et al. (2001) found decreased thymus weight due to benzo[a]pyrene at oral doses ≥ 10 mg/kg body weight. In addition, several studies report thymus effects at higher doses and/or by other routes of exposure (e.g. Rodriguez et al., 1999; Holladay and Smith, 1994). Reduced thymus size or weight have been noted to be among the first indicators of immunotoxicity (Schuurman et al. 1992; Luster et al. 1988), and correlate well with adverse histopathologic effects and the presence of lesions in the thymic cortex (Germolec et al. 2004a, 2004b; Wachsmuth 1983).

1 Interpretation of decreased thymus weight as an adverse effect is supported by general
2 immunology literature as well as chemical-specific data. The thymic cortex is known to be a
3 major site of thymocyte proliferation and selection for maturation, and impairment can lead to
4 cell-mediated immune suppression (Kuper 2002, 1992; De Waal et al. 1997). Reduced thymus
5 weight is often attributed to decreased thymocyte proliferation or increased thymocyte apoptosis
6 in the thymic cortex (Kamath et al., 1997; Vandebriel et al., 1999). DeJong et al. (1999) reported
7 a decrease in estimated thymic cortex weight at 10, 30, and 90 mg/kg benzo[a]pyrene, and
8 reduced medulla weight at 90 mg/kg, but did not calculate the ratio between the two. This
9 suggests that both parts of the thymus were affected by benzo[a]pyrene, but that the cortex may
10 be more sensitive. De Jong also used immunohistochemistry data to show that cell proliferation
11 was not affected, suggesting that thymic atrophy may be due to increased rates of thymocyte
12 apoptosis. However, a study using the murine LLNA showed that proliferation activity
13 decreased after a single 13 mg/ml oral dose of benzo[a]pyrene (van den Berg et al., 2005).

14 In addition to thymus effects, decreased B cell percentages in the spleen were observed at
15 10, 30, and 90 mg/kg-day benzo[a]pyrene in a dose response pattern. The absolute number of B
16 cells, however, was not significantly lower than control animals until 90 mg/kg/day. There is
17 also supportive evidence of humoral immune suppression at higher doses, as well as evidence of
18 overall toxicity of the bone marrow. This theory is supported by the decrease in IgA and IgM
19 (incating T-cell dependant effects), and the dose-related toxicity of RBCs observed by Dejong et
20 al. (1999).

21 The MOA by which benzo[a]pyrene produces immune system effects is not understood,
22 but several in vitro studies have been conducted to investigate potential contributing
23 mechanisms. Benzo[a]pyrene induced myelotoxicity in human cord blood cells (Carfi et al.,
24 2007) and mouse bone marrow cultures (Legraverend et al., 1983), suppressed mouse B cell
25 lymphopoiesis (Hardin et al., 1992), and inhibited mitogen-induced proliferative responses of
26 mouse spleen cell cultures (Lee and Urso, 2007). Benzo[a]pyrene inhibition of the proliferative
27 responses of spleen cells to a mitogen was diminished by the presence of the AhR antagonist and
28 CYP inhibitor, α -NF, indicating the potential importance of benzo[a]pyrene metabolites in the
29 immune suppression effect (Lee and Urso, 2007). Similarly, the CYP1A1 inhibitor 1-(1-
30 propynyl)pyrene blocked B-cell growth inhibition by benzo[a]pyrene, but not through the
31 metabolite BPDE (Allan et al. 2006).

32 Carfi et al. (2007) described a series of in vitro assays designed to assess cytotoxicity,
33 myelotoxicity, cytokine release, and mitogen responsiveness in rat, mouse, and human cells (i.e.,
34 peripheral lymphocytes and cord blood cells, and spleen cells from rats and mice only). The
35 cytotoxicity half maximal inhibitory concentration (IC₅₀) value for benzo[a]pyrene was >200 μ M
36 in human, rat, and mouse cells. Benzo[a]pyrene produced myelotoxicity as evaluated by a dose-
37 related decrease in colony scoring for the colony forming unit-granulocyte macrophage (CFU-
38 GM) assay using human cord blood cells. Benzo[a]pyrene reduced the release of specific

1 cytokines from HL following phytohemagglutinin (PHA), gamma-interferon (γ -INF), and
2 lipopolysaccharide (LPS) tumor necrosis factor (TNF- α) stimulation. Mitogen responsiveness in
3 rat and mouse spleen cells following stimulation with LPS or PHA was decreased by exposure to
4 benzo[a]pyrene. T-lymphocyte proliferation induced by anti-CD3 antibody was also inhibited by
5 benzo[a]pyrene in HL, but was not affected in mouse spleen cells at the highest concentration
6 (160 μ M).

7 Myelotoxicity was also observed in mouse bone marrow cultures exposed to
8 benzo[a]pyrene as evidenced by decreased cell survival (Legraverend et al., 1983). The findings
9 in bone marrow cultures from Ah-responsive (C57BL/6) and Ah-nonresponsive (DBA/2) mice
10 suggest that AhR affinity may play a role in benzo[a]pyrene-induced myelotoxicity.
11 Benzo[a]pyrene is more toxic to bone marrow cells from C57BL/6 mice in vitro compared to
12 cells cultured from DBA/2 mice.

13 Hardin et al. (1992) treated cultured bone marrow cells from DBA/2 and C57BL/6 mice
14 with benzo[a]pyrene at concentrations between 10^{-4} and 10^{-8} M. Benzo[a]pyrene suppressed B
15 cell lymphopoiesis in a dose-dependent manner even at the lowest concentration used. Bone
16 marrow cells from Ah-nonresponsive DBA/2 mice were less sensitive to the immunosuppressive
17 action of benzo[a]pyrene, compared with Ah-responsive C57BL/6 mice. The AhR antagonist
18 and CYP450 inhibitor α -NF prevented benzo[a]pyrene-induced inhibition of B cell
19 lymphopoiesis from C57BL/6 mice in a concentration-dependent fashion. Benzo[a]pyrene also
20 induced apoptosis in cultured bone marrow cells.

21 Spleen cell cultures derived from C3H/HeJ and CBY/D2 mice were exposed to benzo[a]pyrene
22 and assessed for T-lymphocyte proliferation in response to mitogenic or antigenic stimulation
23 (Lee and Urso, 2007). Benzo[a]pyrene (10 μ M) produced an 80% decrease in the allogeneic
24 mixed lymphocyte response (MLR) assay, which is a measure of the proliferative response to
25 antigenic stimulation. Benzo[a]pyrene (0.1, 1, and 10 μ M) also produced a dose-dependent
26 inhibition of the proliferative response to the mitogen Concanavalin A (Con A). This inhibition
27 did not occur in spleen cells treated with the AhR antagonist and CYP450 inhibitor, α -NF.
28 BPDE-DNA adducts were detected in CH3 spleen cells cultured with 10 μ M benzo[a]pyrene in
29 the presence of Con A.

31 **4.6.4.3. Developmental and Reproductive Toxicity Effects**

32 Developmental and reproductive toxicity effects have been associated with oral and
33 inhalation exposure to benzo[a]pyrene. Impaired fertility, with associated lesions in ovarian
34 (decreased follicles) and testicular (atrophic seminiferous tubules) tissues, has been observed in
35 male and female F1 offspring following exposure of F0 female mice to 10 mg/kg-day
36 benzo[a]pyrene on GDs 7–16; a decrease in the number of F0 females with viable litters was
37 observed at a higher dose level of 160 mg/kg-day (Kristensen et al., 1995; MacKenzie and
38 Angevine, 1981). Inhalation exposure of pregnant rats to benzo[a]pyrene:CB aerosols during

1 gestation has also been associated with decreased fetal survival and number of pups per litter
2 (Archibong et al., 2002), decreased levels of plasma progesterone, estradiol, and prolactin
3 (Archibong et al., 2002), changes in electrophysiological variables in the hippocampus
4 (Wormley et al., 2004) and decreased cortical neuron activity (McCallister et al., 2008).
5 Inhalation exposure of adult male rats to benzo[a]pyrene:CB aerosols ($75 \mu\text{g}/\text{m}^3$) for 10 or 60
6 days caused decreased circulating and intratesticular concentrations of testosterone, decreased
7 testis weight, and decreased sperm motility (Archibong et al., 2008; Ramesh et al., 2008; Inyang
8 et al., 2003).). Acute i.p. exposure studies in adult female DBa/2N or C57BL/6N mice
9 demonstrated a 50% destruction of primordial follicles with a single dose of 25 mg/kg (Mattison
10 et al., 1980) and a 15-day exposure of 3 mg/kg-day (Boorman et al., 2000). Other reproductive-
11 related effects in these studies include decreased fertility (number of pups) (Mattison et al., 1980)
12 and ovulatory inhibition, as indicated by decreased number of corpora lutea, one week after a
13 dose of 5 mg/kg and 3-4 weeks after a dose of 100 mg/kg (Miller et al., 1992; Swartz and
14 Mattison, 1985).

15 In vivo studies have suggested that the mechanism of decreased fertility in females and
16 decreased fetal survival may result from changes in circulating hormones (i.e., decreased
17 progesterone, estradiol-17 β , and prolactin levels) responsible for maintaining the uterine
18 environment in a state that can support embryonic and fetal development (Archibong et al., 2002,
19 see Section 4.3.2). Several in vitro studies have demonstrated low affinity binding of
20 benzo[a]pyrene to the estrogen receptor and alteration of estrogen-dependent gene expression
21 (Liu et al., 2006; Van Lipzig et al., 2005; Vondracek et al., 2002; Fertuck et al., 2001; Charles et
22 al., 2000); however, the role of these changes in benzo[a]pyrene-induced reproductive toxicity is
23 unknown. Fertuck et al. (2001) showed in vitro effects of benzo[a]pyrene on estrogen-receptor-
24 mediated gene expression, but did not demonstrate estrogen-mediated uterotrophic effects
25 (increased uterine weight or lactoferrin mRNA expression) in ovariectomized C57BL/6 or
26 DBA/2 mice following in vivo administration of benzo[a]pyrene (0.1–10 mg/kg-day, p.o., for 3
27 consecutive days).

28 The mechanism(s) by which benzo[a]pyrene or its metabolites impair the development of
29 follicles in the ovary have been the focus of study for more than 30 years (Mattison and
30 Thorgeirsson, 1977). AHH is found in the ovary, and inhibition of AHH activity reduces the
31 level of oocyte destruction seen with benzo[a]pyrene exposures in mice (Mattison and
32 Thorgeirsson, 1979). AHH activation is not sufficient to explain the variation across strains in
33 oocyte destruction, however (Mattison and Nightingale, 1980). Studies using intraovarian
34 injection of metabolites of benzo[a]pyrene indicate that the epoxide metabolite (+)-(7R,8S)-diol-
35 (9S,10R)-epoxide-2 is most strongly correlated with the oocyte counts in exposed mice
36 (Takizawa et al., 1984).

37 Most of the loss of oocytes that occurs in utero and throughout the reproductive lifespan
38 in mice and in women occurs through programmed cell death (apoptosis), which is regulated by

1 the protein Bax. Activation of *Bax* leads to increased oocyte death, and PAHs (including
2 benzo[a]pyrene) have been demonstrated to activate *Bax* gene transcription in mice (Matikainen
3 et al., 2002; 2001). However, an in vitro study of mouse ovarian cells obtained from 4-day old
4 pups did not show any evidence of increased markers of apoptosis with treatments of
5 benzo[a]pyrene concentrations of up to 1000 ng/ml for 6 and for 24 hours (Tuttle et al., 2009).

6 Other mechanisms may be more relevant for the ovulatory inhibition effects seen with
7 benzo[a]pyrene exposures. In an in vitro experiment, Neal et al. (2007) demonstrated a dose-
8 dependent decrease in FSH-stimulated rat follicle growth, with 158, 99, 75, 38, 30 and 38%
9 change in follicle area for benzo[a]pyrene exposure concentrations of 0, 1.5, 5.0, 15, 45 and 135
10 ng /ml ($p < 0.05$ for all differences compared with controls). The authors noted that the lowest
11 dose at which this effect was seen, 1.5 ng/ml, was similar to the mean concentration of
12 benzo[a]pyrene seen in follicular fluid samples from women who smoked.

13 Several in vitro studies have investigated the possible mechanisms for impaired
14 spermatogenesis by benzo[a]pyrene including enhancement of apoptosis of spermatogonia
15 (Revel et al., 2001), inhibition of spermatid meiosis (Georgellis et al., 1990), Sertoli cell
16 cytotoxicity (Raychoudhury and Kubinski, 2003), and altered androgen hormone regulation
17 (Inyang et al., 2003; Vinggaard et al., 2000).

18 Revel et al. (2001) reported dose-related increases in apoptosis of spermatogonia
19 harvested from the vas deferens of male BALB/c mice administered benzo[a]pyrene doses
20 ranging from 0.5 to 50 mg/kg via s.c. injection for 5 weeks. The competitive AhR inhibitor,
21 resveratrol (50 mg/kg, s.c.) given simultaneously with 5 mg/kg benzo[a]pyrene s.c. for 5 weeks,
22 suppressed both BPDE DNA adduct formation and apoptosis, suggesting a role for the AhR in
23 this benzo[a]pyrene-induced male reproductive toxicity (Revel et al., 2001).

24 Georgellis et al. (1990) reported that concentrations of 0.1 μ M benzo[a]pyrene incubated
25 in vitro with seminiferous tubule segments from Sprague-Dawley rats with microsome
26 preparations from the whole rat testes inhibited meiotic division of the spermatids and was
27 highly cytotoxic.

28 Raychoudhury and Kubinski (2003) isolated Sertoli cells from CD rats and incubated the
29 cells in culture with benzo[a]pyrene. Benzo[a]pyrene was cytotoxic to these cells at 50 and
30 100 μ g/mL. Treatment of the cells for 24 hours with 10 μ g/mL benzo[a]pyrene induced cell
31 killing through an apoptotic response (as measured by fluorescence labeling of apoptotic DNA
32 fragments).

33 Inyang et al. (2003) demonstrated that benzo[a]pyrene inhalation altered circulating
34 levels or cellular responsiveness to androgenic hormones such as testosterone (see Section 4.3.2).
35 Vinggaard et al., 2000) showed that benzo[a]pyrene antagonized the human androgen receptor
36 (hAR) in a sensitive reporter gene assay based on CHO cells transiently cotransfected with a
37 hAR vector and an MMTV-LUC vector (antiandrogen IC_{50} of 3.9 μ M).

38

4.7. EVALUATION OF CARCINOGENICITY

4.7.1. Summary of Overall Weight-of-Evidence

Under EPA's *Guidelines for Carcinogen Risk Assessment* (U.S. EPA, 2005a), benzo[a]pyrene is "carcinogenic to humans." This conclusion is based on evidence of carcinogenicity in humans following exposure to different PAH mixtures containing benzo[a]pyrene, extensive and consistent evidence of carcinogenicity in laboratory animals exposed to benzo[a]pyrene via all routes of administration, and strong evidence that the biological processes leading to benzo[a]pyrene carcinogenesis in laboratory animals are also present in humans. Bioactivation of benzo[a]pyrene leads to the formation of DNA-reactive metabolites which can produce mutations in key genes, such as the p53 tumor suppressor gene and the ras oncogene, leading to tumor formation (see Section 4.7.3.).

4.7.2. Synthesis of Human, Animal, and Other Supporting Evidence

There is a large body of evidence for human carcinogenicity for several PAH mixtures containing benzo[a]pyrene, such as soot, coal tars, coal-tar pitch, mineral oils, and shale oils (IARC, 2010; Baan et al., 2009; Straif et al., 2005). There is also evidence of carcinogenicity in occupations involving exposure to PAH mixtures containing benzo[a]pyrene, such as aluminum production, chimney sweeping, coal gasification, coal-tar distillation, coke production, iron and steel founding, and paving and roofing with coal tar pitch (IARC, 2010; Baan et al., 2009; Straif et al., 2005). Increased cancer risks have been reported among other occupations involving exposure to PAH mixtures such as carbon black and diesel exhaust (Bosetti et al., 2007; Straif et al., 2005). Benzo[a]pyrene is also a notable constituent of tobacco smoke (IARC 2004). An increasing number of studies report exposure biomarkers such as benzo[a]pyrene- or PAH-DNA adducts in white blood cells, and several cohort studies (summarized in Section 4.1) demonstrate a positive exposure-response relationship with cumulative PAH exposure using benzo[a]pyrene—or a proxy such as BSM that can be converted to benzo[a]pyrene—as an indicator substance. Because benzo[a]pyrene is only one of many PAHs that could contribute to these observed increases in cancer, the epidemiologic studies provide credible but limited support for a causative role of benzo[a]pyrene in human cancer.

In laboratory animals (i.e., rats, mice, and hamsters), exposure to benzo[a]pyrene via the oral, inhalation, and dermal routes have been associated with carcinogenic responses both systemically and at the site of administration. Chronic oral exposure to benzo[a]pyrene was associated with forestomach and liver tumors in male and female Wistar rats (Kroese et al., 2001), forestomach tumors in male and female Sprague-Dawley rats (Brune et al., 1981), and forestomach, esophagus, tongue, and larynx tumors in female B6C3F₁ mice (Beland and Culp, 1998; Culp et al., 1998). Auditory canal tumors were also observed in male and female Wistar rats (Kroese et al., 2001). Repeated or short-term oral exposure to benzo[a]pyrene was associated with forestomach tumors in more than 10 additional bioassays with several strains of

1 mice (see Table 4-4 in Section 4.2.1.2). Chronic inhalation exposure to benzo[a]pyrene was
2 associated with tumors in the larynx and pharynx of male Syrian golden hamsters exposed to
3 benzo[a]pyrene:NaCl aerosols (Thyssen et al., 1981). Intratracheal instillation of benzo[a]pyrene
4 was associated with respiratory tract tumors in more than 10 additional studies with hamsters
5 (see Section 4.2.2.2 for references). Chronic dermal application of benzo[a]pyrene (2–3
6 times/week) has been associated with mouse skin tumors in 12 bioassays (see Section 4.2.3.2 for
7 references). Skin tumors in rats, rabbits, and guinea pigs have also been associated with repeated
8 application of benzo[a]pyrene to skin in the absence of exogenous promoters (WHO, 1998;
9 ATSDR, 1995; IARC, 1983, 1973). When followed by repeated exposure to a potent tumor
10 promoter, acute dermal exposure to benzo[a]pyrene induced skin tumors in numerous studies of
11 mice, indicating that benzo[a]pyrene is a strong tumor-initiating agent in the mouse skin model
12 (see Section 4.2.3.1 for references).

13 Carcinogenic responses in animals exposed to benzo[a]pyrene by other routes of
14 administration include: (1) liver or lung tumors in newborn mice given acute postnatal i.p.
15 injections; (2) increased lung tumor multiplicity in A/J adult mice given single i.p. injections; (3)
16 injection site tumors in mice following s.c. injection; (4) injection site sarcomas in mice
17 following intramuscular injection; (5) mammary tumors in rats with intramammary
18 administration; (6) cervical tumors in mice with intravaginal application; and (7) tracheal tumors
19 in rats with intratracheal implantation (see Section 4.4.3 for references).

20 Benzo[a]pyrene is classified as an alternant PAH, or a compound composed solely of
21 fused benzene rings. Nonalternant PAHs contain both benzene and five carbon rings. Among
22 alternant PAHs, important structural features related to enhanced mutagenicity and
23 carcinogenicity include the presence of at least four rings (Bostrom et al., 2002). The
24 carcinogenic activity of PAH compounds is influenced by specific structural features. Recently,
25 this knowledge has been exploited in an effort to derive quantitative structure activity
26 relationship (QSAR) methods to evaluate the relationship between specific PAH structural
27 features and mechanistic events related to carcinogenesis (Bruce et al., 2008; Vijayalakshmi et
28 al., 2008). Alternant PAHs having four or more benzene rings exhibit greater carcinogenic
29 potency than PAHs with two or three benzene rings (Bostrom et al., 2002). The carcinogenic
30 activity of PAHs is also related to the specific arrangement of the benzene rings. As a general
31 rule, PAHs with at least four rings and a classic bay- or fjord-region (formed entirely by benzene
32 rings) may be characterized as containing structural alerts for carcinogenesis. However, this
33 structural characterization is likely to be overly simplistic and other features may be important to
34 carcinogenesis.

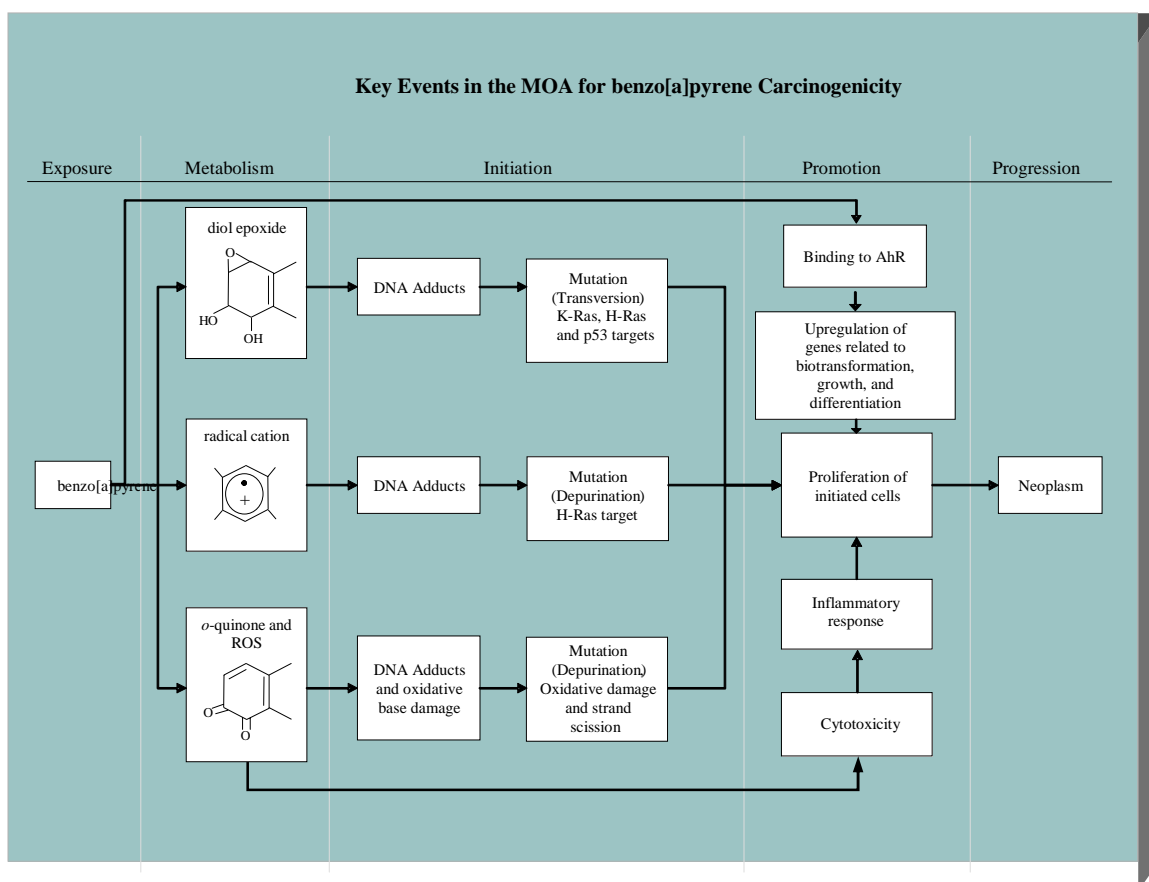
35 As discussed in Section 4.5.1.1, several lines of evidence related to tumor initiation
36 following mutagenicity are available for benzo[a]pyrene including: (1) in vivo detection of
37 cancer-relevant oncogene/tumor suppressor gene mutations in target tissue; (2) in vivo detection
38 of DNA adducts in target tissue; (3) in vivo DNA adducts, gene mutations, cytogenetic damage,

1 and other measures of primary DNA damage in non-target tissues; and (4) in vitro DNA adduct
2 formation, mutations, cytogenetic damage, and primary DNA damage in cells from target and
3 nontarget tissues.

4.7.3. Mode-of-Action Information

4.7.3.1. Hypothesized MOA

7 The carcinogenicity of benzo[a]pyrene, the most studied and best characterized PAH, is
8 well documented (Xu et al., 2009; Jiang et al., 2007, 2005; Xue and Warshawsky, 2005; Ramesh
9 et al., 2004; Bostrom et al., 2002; Penning et al., 1999; WHO, 1998; Harvey, 1996; ATSDR,
10 1995; Cavalieri and Rogan, 1995; U.S. EPA, 1991b). EPA has concluded that benzo[a]pyrene
11 induces carcinogenicity via a mutagenic mode of action. Mutagenicity is a well-established
12 cause of carcinogenicity. This hypothesized mode of action is presumed to apply to all tumor
13 types and is relevant for all routes of exposure. The principal key events associated with the
14 mode of action for benzo[a]pyrene include: (1) bioactivation of benzo[a]pyrene to reactive
15 metabolites (2) direct DNA damage by the reactive metabolites, including the formation of DNA
16 adducts (3) formation and fixation of DNA mutations, particularly in tumor suppressor genes or
17 oncogenes and (4) clonal expansion of mutated cells. These events are depicted in Figure 4-3.



20
21

1 **Figure 4-3. Proposed principal pathways and key events in the**
2 **benzo[a]pyrene carcinogenic MOA.**

3
4 **4.7.3.2. Experimental Support for the Hypothesized MOA**

5
6 **Strength, consistency, specificity of association.** There is an extensive database of in vitro and in
7 vivo studies demonstrating the genotoxicity and mutagenicity of benzo[a]pyrene following
8 metabolic activation (see Tables 4-24, 4-25 and 4-26). In vitro studies overwhelmingly support
9 the formation of DNA adducts, mutagenesis in bacteria, yeast and mammalian cells, several
10 measures of cytogenetic damage (CA, SCE, MN), and DNA damage. In vivo systems in animal
11 models are predominantly positive for somatic mutations following benzo[a]pyrene exposure.
12 Additionally, some evidence exists that benzo[a]pyrene can induce mutations in germ cells.

13 Benzo[a]pyrene is thought to be converted into reactive intermediates via three principal
14 metabolic pathways: (1) activation to a reactive diol epoxide via CYP1A1/1B1 and epoxide
15 hydrolase; (2) activation to a reactive radical cation via CYP peroxidases and (3) activation to a
16 reactive and redox active o-quinone metabolite via AKR1A1 and AKR1C1-1C4 (Xu et al., 2009;
17 Jiang et al., 2007, 2005; Xue and Warshawsky, 2005; Penning et al., 1999; Harvey 1996;
18 Cavalieri and Rogan, 1995). All three of these pathways (discussed in detail in Sections 3.3. and
19 4.5.2) lead to DNA damage including DNA adducts, depurination, and/or oxidative damage to
20 DNA. DNA damage, if not correctly repaired prior to replication, can subsequently give rise to
21 mutations.

22 Benzo[a]pyrene-DNA adducts, biomarkers of exposure and of effect, have been
23 extensively demonstrated with in vitro cell systems, in vivo animals studies, and in human target
24 tissues, including skin and lung (see Section 4.1.2.). Specifically, elevated BPDE-DNA adducts
25 have been observed in coke oven workers and chimney sweepers, occupations associated with
26 increased risks of cancer from complex PAH-containing mixtures (Pavanello et al., 1999).
27 BPDE-DNA adducts were also found to be elevated in the lungs of cigarette smokers with lung
28 cancer (Godschalk et al., 2002; Phillips et al., 2002; Bartsch et al., 1999; Alexandrov et al.,
29 1992). Multiple epidemiological studies have indicated that PAH exposed individuals who are
30 homozygous for a CYP1A1 polymorphism which increases the inducibility of this enzyme (thus
31 increasing the production of reactive diol epoxide metabolites) have increased levels of
32 benzo[a]pyrene-DNA adducts (Bartsch et al., 2006; Aklillu et al., 2005; Alexandrov et al., 2002;
33 Perera and Weinstein, 2000). In addition, this population of individuals also has a greater risk of
34 certain tumors, including those of the lung.

35 Mutations in the K-ras, H-ras, and p53 genes were assessed in forestomach tumors of
36 mice fed benzo[a]pyrene in the diet for 2 years (Culp et al., 2000). Forestomach tumors had K-
37 ras mutations (68% of tumors) that were G→T or C transversions in codon 12 or 13. H-ras
38 (codon 13) and p53 mutations characterized as G→T or C transversions were also found.

1 K-ras mutations were observed in the A/J mouse lung tumor model following IP
2 treatment with benzo[a]pyrene, and were observed to be qualitatively different than K-ras
3 mutations in spontaneous lung tumors from control animals (Nesnow et al., 1996). Lung tumor
4 DNA was isolated and DNA sequence analysis of K-ras mutations was performed for 19 separate
5 lung tumors in the benzo[a]pyrene treated group and the control group. The DNA sequence
6 analysis demonstrated several guanine mutations at codon 12, which were different than the
7 spectrum of mutations found in untreated animals. Specifically, the frequency of GGT→TGT
8 transversion mutations were significantly higher in the benzo[a]pyrene treated animals compared
9 to controls (56% vs. 0%) whereas GGT→GAT transition mutations were the predominant
10 mutation in lung tumors from control animals (58% vs. 19% in benzo[a]pyrene group).

11 Some human data exist which correlate the frequency of PAH-DNA adducts with gene
12 mutations in highly PAH-exposed populations. In a study of iron foundry workers (a high PAH
13 exposure population which has been demonstrated to have an increased risk of lung cancer
14 [Bosetti et al., 2007]), biological samples from workers were analyzed for DNA adducts and
15 somatic gene mutations at the hprt locus (Perera et al.1994, 1993). A strong correlation between
16 PAH-DNA adduct levels and incidence of hprt mutations was observed in individuals with
17 detectable levels of adducts, indicating that somatic mutations were increased in parallel with
18 PAH-DNA adducts in workers exposed to PAHs.

19 Data in humans from a study by Marini et al. (2001) indicate that the types of mutations
20 commonly found in response to benzo[a]pyrene exposure in in vitro and animal models are
21 similar to the spectrum of mutations in critical tumor suppressor genes and/or oncogenes in
22 populations highly exposed to PAHs. DeMarini et al. (2001) demonstrated mutations in the p53
23 tumor suppressor gene and the K-ras oncogene in lung tumors obtained from 24 nonsmoking
24 women from China, whose tumors were associated with exposure in their homes to smoky coal
25 from the use of stoves with no chimneys. The observed mutations in lung tumors were primarily
26 G→T transversions at either K-ras or p53. Mutation hotspots in the lung tumors corresponded
27 with hot spots for PAH mutations (codon 154, codon 249, and codon 273).

28
29 ***Dose-response concordance and temporal relationship.*** The metabolism of benzo[a]pyrene to
30 reactive metabolites is a necessary event which precedes mutagenesis. Mutation assays of
31 benzo[a]pyrene in *salmonella typhimurium* are overwhelmingly positive with the inclusion of S9
32 metabolic liver fractions, but are negative without the addition of the S9 metabolic enzymes (see
33 Table 4-24).

34 In mice, a dose-response and temporal relationship has been demonstrated between the
35 formation of BPDE-DNA adducts and skin and forestomach tumors. In a study using mice
36 treated dermally with benzo[a]pyrene once or twice per week for 15 weeks, a linear dose-
37 response of benzo[a]pyrene-induced adducts in the skin, lung, and liver was observed (Talaska et
38 al. 1996). Another study examined the dose-response relationship and the time course of

1 benzo[a]pyrene-induced skin damage, DNA adduct formation, and tumor formation in female
2 mice. Mice were treated dermally with 0, 16, 32, or 64 µg of benzo[a]pyrene once per week for
3 29 weeks (Albert et al., 1991). Indices of skin damage and levels of BPDE-DNA adducts in skin
4 reached plateau levels in exposed groups by 2–4 weeks of exposure. With increasing dose level,
5 levels of BPDE-DNA adducts (fmol/µg DNA) initially increased in a linear manner and began to
6 plateau at doses ≥ 32 µg/week. Tumors began appearing after 12–14 weeks of exposure for the
7 mid- and high-dose groups and at 18 weeks for the low-dose group. At study termination
8 (35 weeks after start of exposure), the mean number of tumors per mouse was approximately one
9 per mouse in the low- and mid-dose groups and eight per mouse in the high-dose group. The
10 time-course data indicate that benzo[a]pyrene-induced increases in BPDE-DNA adducts
11 preceded the appearance of skin tumors, consistent with the formation of DNA adducts as a
12 precursor event in benzo[a]pyrene induced skin tumors.

13 Culp et al. (1996a) compared dose-response relationships for BPDE-DNA adducts and
14 tumors in female B6C3F₁ mice exposed to benzo[a]pyrene in the diet at 0, 18.5, 90, or 350
15 µg/day for 28 days (to examine adducts) or 2 years (to examine tumors). The benzo[a]pyrene
16 dose-tumor response data showed a sharp increase in forestomach tumor incidence between the
17 18.5 µg/day group (6% incidence) and the 90 µg/day group (78% incidence). The BPDE-DNA
18 adduct levels in forestomach showed a relatively linear dose-response throughout the
19 benzo[a]pyrene dose range tested. The appearance of increased levels of BPDE-DNA adducts in
20 the target tissue at 28 days is temporally consistent with the contribution of these adducts to the
21 initiation of forestomach tumors. Furthermore, about 60% of the examined tumors had
22 mutations in the K-ras oncogene at codons 12 and 13, which were G→T or G→C transversions
23 indicative of BPDE reactions with DNA (Culp et al., 1996a).

24
25 ***Biological plausibility and coherence.*** A mutagenic MOA for benzo[a]pyrene is supported by a
26 large body of research over time with consistent evidence of benzo[a]pyrene activation to
27 reactive metabolites leading to DNA-damage and mutational events associated with tumor
28 initiation. Mutagenicity as a mode of action for carcinogenicity in humans is generally accepted
29 and is a biologically plausible mechanism for tumor induction. The formation of DNA adducts
30 and oncogene/tumor suppressor mutations in organs that also displayed an increase in tumor
31 incidence in rats and mice indicates coherence of these effects. Benzo[a]pyrene has been shown
32 to be mutagenic in vivo and in vitro, across species and tissue types.

33 34 **4.7.3.2. Other Possible MOAs**

35 In addition to mutagenicity, other MOAs which contribute to the carcinogenicity of
36 benzo[a]pyrene are possible, but are not as well studied. The tumor promotion properties of
37 benzo[a]pyrene may involve cell proliferative responses to cytotoxicity or apoptosis from
38 benzo[a]pyrene metabolites, AhR-mediated effects on cell growth and differentiation, or anti-

1 apoptotic signals elicited by metabolites (Burdick et al., 2006, 2003; Chen et al., 2003; Nebert et
2 al., 1993). Results from some studies indicate that exposure to benzo[a]pyrene or its metabolites
3 increases the production of inflammatory cytokines, such as IL-1, which may contribute to tumor
4 promotion (N'Diaye et al., 2006; Tamaki et al., 2004; Garçon et al., 2001a, b). Benzo[a]pyrene
5 also has been shown to inhibit GJIC, a characteristic associated with well-known tumor
6 promoters such as TPA (Sharovskaya et al., 2006; Blaha et al., 2002). In summary, though there
7 are limited data which support other processes that may contribute to the carcinogenicity of
8 benzo[a]pyrene (inflammation, cytotoxicity, anti-apoptotic signaling, etc.); the available
9 evidence indicates that the primary mode of action for benzo[a]pyrene involves DNA reactivity
10 and mutagenicity leading to carcinogenesis.

11 12 **4.7.3.4. *Conclusions About the Hypothesized Mode of Action***

13 The MOA of mutagenicity of benzo[a]pyrene through reactive metabolites is extensively
14 supported by a large body of research. Mutations from DNA reactive benzo[a]pyrene
15 metabolites occur as early events in the carcinogenic process and are not believed to be acquired
16 following cytotoxicity or regenerative proliferation. Several lines of evidence relating to
17 mutagenicity and tumor initiation are available for benzo[a]pyrene including: in vitro evidence
18 of DNA adducts, mutations, cytogenetic damage, and primary DNA damage; in vivo DNA
19 adducts, gene mutations, cytogenetic damage, and other measures of primary DNA damage;
20 detection of DNA adducts in target tissue in vivo; and detection of cancer-relevant
21 oncogene/tumor suppressor gene mutations in target tissue in vivo. Taken together, these data
22 provide support for a mutagenic MOA for benzo[a]pyrene-induced cancer.

23 24 *Support for the hypothesized MOA in test animals*

25 Benzo[a]pyrene induces gene mutations in a variety of in vivo and in vitro systems and
26 produces tumors in all animal species tested and all routes of exposure. Strong, consistent
27 evidence indicates that the postulated key events: the metabolism benzo[a]pyrene to a DNA-
28 reactive intermediates, the formation of DNA adducts, and the occurrence of subsequent
29 mutations in oncogenes and tumor suppressor genes occur in animal models.

30 31 *Relevance of the hypothesized MOA to humans*

32 Mutagenicity is a well-established cause of carcinogenicity. A substantial database of
33 information on benzo[a]pyrene indicates that the postulated key events: the metabolism of
34 benzo[a]pyrene to a DNA-reactive intermediates, the formation of DNA adducts, and the
35 formation of subsequent mutations in oncogenes and tumor suppressor genes all occur in human
36 tissues. The following lines of evidence from human studies provide support that the
37 hypothesized mutagenic MOA is relevant to humans: the activation of benzo[a]pyrene to DNA
38 reactive metabolites occurs in humans in qualitatively and quantitatively similar manner

1 compared to animals; DNA adducts specific to benzo[a]pyrene have been found in a wide variety
2 of human tissues and are elevated in populations exposed to high levels PAHs; increased DNA
3 mutations have been strongly associated with increasing levels of PAH-DNA adducts in workers
4 occupationally exposed to PAHs; and the spectra of benzo[a]pyrene induced mutations in p53
5 tumor suppressor genes and ras oncogenes observed in controlled in vitro cell systems and in
6 vivo animal studies are similar to the spectra of mutations in tumors from PAH-exposed humans.

7 8 *Populations or lifestages particularly susceptible to the hypothesized MOA*

9 The mutagenic mode of action is considered relevant to all populations and lifestages.
10 The current understanding of biology of cancer indicates that mutagenic chemicals, such as
11 benzo[a]pyrene, are expected to exhibit a greater effect in early life versus later life exposure
12 (U.S. EPA, 2005b; Vesselinovitch et al., 1979). Although the developing fetus and infants may
13 have lower levels of some bioactivating enzymes than adults (e.g., CYP1A1/1B1), infants or
14 children are expected to be more susceptible to benzo[a]pyrene-induced cancer at certain tissue
15 sites. Newborn or infant mice developed liver and lung tumors more readily than young adult
16 mice following acute i.p. exposures to benzo[a]pyrene (Vesselinovitch et al., 1975; see Section
17 4.8.1). These results indicate that exposure to benzo[a]pyrene during early life stages presents
18 additional risk for cancer, compared with exposure during adulthood. The *Supplemental*
19 *Guidance for Assessing Susceptibility from Early-Life Exposure to Carcinogens* (U.S. EPA,
20 2005b) recommends the application of age-dependent adjustment factors (ADAFs) for
21 carcinogens that act through a mutagenic mode of action. Given the weight of the available
22 evidence, benzo[a]pyrene acts through a mutagenic mode of carcinogenic action and the ADAFs
23 should be applied.

24 Population variability in metabolism and detoxification of benzo[a]pyrene, in addition to
25 DNA repair capability, may affect cancer risk. Polymorphic variations in the human population
26 in CYP1A1, CYP1B1, and other CYPs have been implicated as determinants of increased
27 individual lung cancer risk in some studies (Aklillu et al., 2005; Alexandrov et al., 2002; Perera
28 and Weinstein, 2000). The Phase II cytosolic GST, mediated by variants of the GSTM1 and
29 GSTT1 genes, prevents the formation of BPDE-DNA adducts. Some evidence suggests that
30 humans lacking a functional GST gene have higher BPDE-DNA adduct levels and thus are at
31 greater risk for cancer (Vineis et al., 2007a; Pavanello et al., 2004; Perera and Weinstein, 2000;
32 Alexandrov et al., 2002). In addition, acquired deficiencies or inherited gene polymorphisms
33 that affect the efficiency or fidelity of DNA repair may also influence individual susceptibility to
34 cancer from environmental mutagens (Matullo et al., 2003; Shen et al., 2003; Cheng et al., 2000;
35 Perera and Weinstein, 2000; Wei et al., 2000; Amos et al., 1999). In general, however, available
36 support for the role of single polymorphisms in significantly modulating human PAH cancer risk
37 is relatively weak or inconsistent. Combinations of metabolic polymorphisms, on the other hand,

1 may be critical determinants of cumulative DNA-damaging dose, and thus susceptibility to
2 cancer from benzo[a]pyrene exposure.

4 4.8. SUSCEPTIBLE POPULATIONS AND LIFE STAGES

5 4.8.1. Possible Childhood Susceptibility

6 Increased childhood susceptibility to benzo[a]pyrene is supported by several lines of
7 evidence including epidemiological studies reporting associations between adverse birth
8 outcomes and developmental effects and internal biomarkers of exposure to benzo[a]pyrene,
9 presumably via exposure to complex PAH mixtures (Tang et al. 2008, 2006; Perera et al.,
10 2005a,b). The occurrence of BPDE-DNA in maternal and umbilical cord blood in conjunction
11 with exposure to ETS was associated with reduced birth weight and head circumference in
12 pregnant women living in the vicinity of fires from the 09/11/2001 disaster site in New York
13 City (Perera et al., 2005a). In other studies, elevated levels of BPDE-DNA adducts in umbilical
14 cord blood were associated with: (1) reduced birth weights or reduced head circumference in the
15 offspring of 529 Dominican or African-American nonsmoking women (Perera et al., 2005b); and
16 (2) decreased body weight at 18, 24, and 30 months and deficits in several areas of development
17 as assessed by the Gesell Developmental Schedules at 24 months in the offspring of nonsmoking
18 Chinese women living in the vicinity of a coal-fired power plant (Tang et al., 2008, 2006).

19 *Developmental neurotoxicity*

20
21 Studies in humans and experimental animals indicate that exposure to PAHs in general,
22 and benzo[a]pyrene in particular, may impact neurological development at relatively low
23 exposure levels. Observational studies in humans have suggested associations between
24 gestational exposure to PAHs and later measures of neurodevelopment (Perera et al., 2009; Tang
25 et al., 2008). An observational study of a Chinese population living in close proximity to a coal
26 fired power plant found increased levels of benzo[a]pyrene-DNA adducts in cord blood were
27 associated with decreased developmental quotients in offspring (Tang et al., 2008). In addition,
28 a study of pregnant women living or working near the World Trade Center site in NYC found
29 high PAH exposure during pregnancy was associated with a reduction in verbal and full scale IQ
30 of offspring at 5 years of age (Perera et al., 2009).

31 A study in pregnant rats exposed by inhalation showed a dose related increase in
32 benzo[a]pyrene metabolites in the cerebral cortex and hippocampus of pups, indicating the fetal
33 brain is exposed to benzo[a]pyrene and/or its metabolites following maternal inhalation exposure
34 (Wu et al., 2003). Another study which treated pregnant rat dams to benzo[a]pyrene by
35 inhalation found a decrease in long term potentiation (LTP) in the hippocampus of gestationally
36 treated pups compared with controls, indicating a possible effect on learning and memory in
37 benzo[a]pyrene exposed animals, though functional tests were not conducted (Wormley et al.,
38 2004). Another study by the same group treated rat dams by gavage with low levels of

1 benzo[a]pyrene (300 µg/kg) on GD 14-17 and observed benzo[a]pyrene metabolites in the brains
2 of pups and diminished cortical neuronal activity to sensory input in exposed offspring compared
3 with controls (McCallister et al., 2008). In addition, a study of mice exposed to benzo[a]pyrene
4 lactationally observed statistically significant differences in performance in several neuromotor
5 and behavioral tests which indicated decreased righting reflex and disinhibition behavior
6 (Bouayed et al., 2009).

7 8 *Reproductive effects*

9 Epidemiological studies indicate that exposure to complex mixtures of PAHs, such as
10 through cigarette smoke, is associated with measures of decreased fertility in humans (El Nemr
11 et al., 1998; Neal et al., 2005) and that prenatal exposure to cigarette smoking is associated with
12 reduced fertility of women later in life (Weinberg et al., 1989). A case-control study in a
13 Chinese population has also indicated that women with elevated levels of benzo[a]pyrene-DNA
14 adducts in maternal blood were four times more likely to have experienced a missed abortion
15 (Wu et al., 2010).

16 Oral multigenerational studies of benzo[a]pyrene exposure in mice demonstrate effects
17 on fertility and the development of reproductive organs in male and female offspring exposed to
18 benzo[a]pyrene during development at levels in which no overt toxicity or depression in fertility
19 is seen in the parental animals (Mackenzie and Angevine 1981; Kristensen et al., 1995).

20 MacKenzie and Angevine (1981) exposed groups of mice to benzo[a]pyrene on GDs 7–
21 16 and reproductive outcomes of the offspring were investigated. Fertility of the F1 generation
22 was decreased in a dose dependant manner. At maturity, the fertility of these animals was tested.
23 The F1 male and F1 female fertility indices were significantly decreased in each exposure group.
24 These reductions in fertility indices were associated with decreased testes and ovary weight in
25 the F1 animals. Male offspring showed histological damage of the seminiferous tubules and
26 female offspring had hypoplastic ovaries with few follicles and corpora lutea. Similar results
27 were reported in a study in which female mice were exposed by gavage to benzo[a]pyrene on
28 GDs 7–16 (Kristensen et al., 1995). F1 females had decreased mean ovary weight and reduced
29 fertility. At necropsy, the F1 females had reduced ovary weights with decreased numbers of
30 small, medium, or large follicles and corpora lutea. Inhalation exposure of pregnant female rats
31 to benzo[a]pyrene:CB aerosols during gestation has also been associated with decreased fetal
32 survival and number of pups per litter associated with decreased levels of plasma progesterone,
33 estradiol, and prolactin (Archibong et al., 2002).

34 These reductions in fertility observed in animal models are supported by a large database
35 of animal studies in adult animals indicating that benzo[a]pyrene is ovotoxic with effects
36 including decreased ovary weight, decreased primordial follicles, and reduced fertility (Mattison
37 et al., 1980; Swartz and Mattison 1985; Miller et al., 1992; Borman et al, 2000).

38

1 *Developmental Immune effects*

2 The severity and persistence of immune effects observed during in utero studies suggests
3 that immunotoxicity may be greater during gestation than adulthood (Dietert and Pieperbrink,
4 2006; Holladay and Smialowicz, 2000). Urso and Gengozian (1982) provide experimental
5 support demonstrating immunosuppression from benzo[a]pyrene exposure during gestation was
6 greater than for mice exposed after birth to a 25-fold higher dose. There is also substantial
7 general literature indicating that disruption of the immune system during certain critical periods
8 of development (e.g., initiation of hematopoiesis; migration of stem cells; expansion of
9 progenitor cells) may have significant and lasting impacts on lifetime immune function (e.g.
10 Burns-Naas et al., 2008; Dietert, 2008; Landreth et al., 2002; Dietert et al., 2000), as well as
11 more specific studies showing increased dose sensitivity and disease persistence from
12 developmental versus adult chemical exposure (reviewed in Luebke et al., 2006).

13 Thymus toxicity is a sensitive and specific effect of benzo[a]pyrene and has been
14 observed in both prenatal and adult exposure studies. The thymus serves as a major site of
15 thymocyte proliferation and selection for maturation, and impairment can lead to cell-mediated
16 immune suppression (Kuper 2002, 1992; De Waal et al., 1997). The thymus is believed to be
17 critical for T lymphocyte production during early life and not in adulthood (Hakim et al., 2005;
18 Schonland et al., 2003; Petrie et al., 2002; Mackall et al., 1995). Therefore, the decreases in
19 thymus weight observed in studies of adult animals exposed to benzo[a]pyrene suggest that
20 immunosuppression may be a heightened concern for individuals developmentally exposed to
21 benzo[a]pyrene.

23 *Cancer*

24 As mentioned above in section 4.7.3.4, investigations in young animals exposed to
25 benzo[a]pyrene provide evidence that early life exposure may present increased risk of cancer.
26 Comparisons of cancer responses in newborn (1 day old), infant (15 days old), and young adult
27 (42 days old) mice indicate that exposure to benzo[a]pyrene during early life stages can present
28 additional risk for cancer, compared with exposure during young adulthood (Vesselinovitch et
29 al., 1975), but studies designed to compare risks of cancer from early-life (including gestational
30 and pre-weaning) plus chronic adulthood exposures with risks from chronic adulthood exposure
31 alone were not located. Following i.p. injection of single doses of 75 or 150 mg/kg
32 benzo[a]pyrene to newborn (1 day old), infant (15 days old), or young adult (42 days old),
33 newborn and infant mice more readily developed tumors than young adult mice in the liver and
34 lung, the most predominant tissue sites of cancer development under these exposure conditions
35 (Vesselinovitch et al., 1975). The benzo[a]pyrene-exposed groups also displayed increased
36 incidences of stomach and lymphoreticular tumors, but the data indicated that these tumors
37 developed more readily with exposure at 42 or 15 days, compared with exposure on PND 1 .

38

1 **4.8.2. Possible Gender Differences**

2 Cheng et al. (2007) had conducted a study in which they found that lung tumor tissue
3 from nonsmoking females contained higher benzo[a]pyrene-DNA adduct levels than that from
4 nonsmoking males. The adduct levels were associated with CYP1A1 protein levels in the same
5 tissues. Female lung cancer tissue contains higher levels of DHH activity than male lung cancer
6 tissue. DHH is an enzyme that can divert benzo[a]pyrene-7,8-dihydrodiol into the quinone
7 pathway, thus preventing BPDE and DNA adduct formation. It is highly expressed in liver, but
8 only weakly in lung. Cheng et al. (2007) decided to investigate benzo[a]pyrene-DNA adduct
9 formation in several lung cancer cell lines to elucidate the roles of CYP1A1 and DHH in this
10 process. They found that DNA adduct levels were increased in cell lines that contain elevated
11 CYP1A1 and DHH isoform 1 activities. When DHH1 activity was blocked in these cells, DNA
12 adduct levels were increased. Benzo[a]pyrene-DNA adduct levels in 120 lung tumor samples
13 were associated with the protein levels of CYP1A1, but not DHH1. Comparing tumor tissues
14 from both genders lung cancer patients they observed that a significantly higher percentage of
15 female lung tumors had measurable CYP1A1 levels, but were negative for DHH1, compared
16 with male tumors. The authors suggested that a gender difference in DHH1 activity was in part
17 responsible for the increased lung tumor incidence in females.

18 Chang et al. (2007) conducted a study also based in the increased incidence of lung
19 cancer in human females, but focused on the benzo[a]pyrene interaction with estrogen that result
20 in elevated COX-2 expression. COX-2 (aka PHS-2) can activate the procarcinogen
21 benzo[a]pyrene-7,8-dihydrodiol to BPDE. Human bronchial epithelial cells were treated with
22 benzo[a]pyrene and/or 17 β -estradiol. The combined, but not the individual treatments induced
23 COX-2 expression. The authors considered their findings as mechanistic evidence towards
24 understanding the gender difference in susceptibility towards benzo[a]pyrene.

25 Taioli et al. (2007) reviewed the evidence for a connection between MPO polymorphism
26 and lung cancer (a more detailed overview of this study is given in Section 4.8.3.3). MPO
27 converts benzo[a]pyrene metabolites into highly reactive epoxides and a known polymorphism
28 in its promoter region is said to afford some protection from lung cancer. Several genetic
29 variants of MPO are known, most of which result in deficiency of the enzyme. The MPO-G/G
30 genotype (WT) is said to be associated, among others, with acute promyelocytic leukemia,
31 aerodigestive tract cancer, coronary artery disease, early-onset multiple sclerosis, and an
32 increased incidence of Alzheimer disease. A multi-study analysis was conducted after several
33 epidemiologic studies had suggested an association between this gene polymorphism and lung
34 cancer incidence. The data were stratified for ethnicity, age, gender, and smoking status but
35 neither age nor gender showed any association for MPO polymorphism and lung cancer risk.
36 The authors hypothesized that age- and gender-related associations with MPO genotype and lung
37 cancer incidence, as had been observed in other studies, may be related to age- and gender-
38 dependent smoking habits rather than to the gene polymorphism itself.

1 Mammary epithelium and tissues from the female genital tract have the ability to activate
2 benzo[a]pyrene. Morris and Seifter (1992) made a strong case for benzo[a]pyrene as a potential
3 causative agent in breast cancer, not only based on the anti-estrogenic action of benzo[a]pyrene
4 but also on its tendency to accumulate in adipose and hence breast tissue. Jeffy et al. (2002) also
5 pointed out that PAHs are risk factors for breast cancer. Because the populations in the studies
6 presented in Section 4.1.4 were predominantly, if not exclusively males, data for breast or
7 cervical cancers in relation to benzo[a]pyrene exposure are not available.

8 Gender differences in the response to benzo[a]pyrene have been demonstrated in
9 numerous animal studies (Knuckles et al., 2001; Kroese et al., 2001; Ramesh et al., 2001a, 2000;
10 Hood et al., 2000; Rodriguez et al., 1997; Weyand et al., 1994; Turusov et al., 1990; Weyand and
11 Bevan, 1987). The differences ranged from variations in feed intake, with or without effects on
12 body weight, to differences in disposition, all the way to different susceptibility towards
13 benzo[a]pyrene-induced cancers. In some studies, females and males were dosed differently; in
14 general, females were more resistant to benzo[a]pyrene toxicity than males. None of the studies
15 presented cogent explanations for the observed differences.

16 In the 2-year bioassay by Kroese et al. (2001), female rats had fewer tumors of the
17 forestomach and auditory canal, but more tumors of the liver, compared with males. There was a
18 rather striking negative dose response for pituitary tumors in females (eight to zero tumors from
19 control to highest dose), but not in males. Brune et al. (1981) used both sexes of animals in their
20 study, but did not report their findings for the sexes separately, allowing the conclusion that no
21 obvious sex differences were observed.

22 Soyka (1980) found that prenatal treatment of mice with benzo[a]pyrene affected
23 response to an enzyme-inducing challenge with 3-MC in a gender-specific way when the animals
24 were 3 months old. Female offspring of benzo[a]pyrene-treated mice had significantly elevated
25 hepatic microsomal aminopyrine demethylase activity, while CYP450 levels were significantly
26 lower in male offspring.

27 Sharma et al. (1997) specifically attempted to resolve the gender difference in cancer
28 susceptibility of CD-1 mice. They focused on glutathione S-transferase π (GSTP) because it
29 detoxifies BPDE. They noted that constitutive expression of GSTP in the liver of the male CD-1
30 mouse was higher than in the female and that GSTP activity was much more inducible by the
31 antioxidant butylhydroxyanisole in the female than in the male mouse. They reported that
32 female mice were more susceptible to the carcinogenic effect of benzo[a]pyrene than males, but
33 only females could be partially protected from benzo[a]pyrene-induced carcinogenesis by the co-
34 administration of butylhydroxyanisole. This is an indication that Phase II enzymes may play a
35 role in the gender difference towards benzo[a]pyrene toxicity.

36 Martin et al. (2004) used a transgenic mouse model to address the question of gender
37 differences. They used p53 heterozygous Tg.AC (v-Ha-ras) mice, a strain possessing a
38 carcinogen-inducible ras oncogene, but only one functional p53 tumor suppressor gene. The

1 authors emphasized that these two mutations have been observed frequently in human tumors.
2 Female and male animals received 20 mg/kg benzo[a]pyrene by gavage in corn oil twice weekly
3 for 10 weeks. Eighteen weeks after termination of dosing, tissues were collected for histologic
4 processing. There were evident differences in gender response (percent of total, female
5 control/male control:female treated/male treated): mortality (60/60:70/40), thymus hyperplasia
6 (9/30:27/10), bladder papilloma (0/0:0/13), hepatocyte vacuolization (54/92:86/55),
7 hematopoietic cell proliferation in spleen (57/8:82/27), lymph node hyperplasia (0/0:0/33), and
8 malignant lymphoma (0/0:7/36). Neoplasia of the forestomach did not show a gender difference.
9 The focus of this study was evaluation of feed modifications in carcinogenesis studies (some of
10 the animals were given N-acetyl cysteine in the feed); the authors did not speculate on the
11 reasons for the gender differences. Wei et al. (2000) (see Section 4.1.4) observed that female
12 lung cancer patients displayed less DNA repair capacity than males.

13 benzo[a]pyrene has also been described as an anti-androgen (see Sections 4.4.1.3 and
14 4.5.3) in animal studies. The AhR mediates anti-estrogenic effects of its ligands (see Section
15 4.6.3.2). The findings in animals—anti-estrogenic and potential protection from breast cancer—
16 are at odds with postulates made for humans that benzo[a]pyrene may advance the development
17 of breast cancer (Jeffy et al., 2002; Morris and Seifter, 1992). Li et al. (1999) reported that 41%
18 of the samples of noncancerous breast tissue from breast cancer patients contained
19 benzo[a]pyrene-like DNA adducts, while no such adducts were detected in tissues obtained from
20 breast reduction surgery patients. Gender-specific expression of glycine N-methyltransferase
21 (GNMT) has been shown for mice (Section 4.5.2) and might help to explain gender-related
22 effects, including cancer formation, in this species. Applicability to human populations,
23 however, has not been addressed.

24

25 **4.8.3. Genetic Polymorphisms**

26 The metabolic formation and subsequent binding to critical positions in DNA of ultimate
27 carcinogenic forms of PAH are recognized as key mechanistic events in tumorigenesis.
28 Increased PAH exposure concentrations are associated with increased levels of DNA adducts and
29 other biomarkers in both target and surrogate tissues. Observed biomarker levels vary
30 considerably even among persons with apparently comparable exposures (Garte et al., 2007;
31 Perera and Weinstein, 2000). While laboratory variation and uncertain exposure estimates
32 contribute to the differences, inter-individual variation in PAH metabolism (activation and
33 detoxification) may be especially important. In particular, heritable metabolic gene (single
34 nucleotide polymorphisms [SNPs]) appear in some cases to influence individual susceptibility to
35 specific types of cancer, in addition to factors such as ethnicity, age, gender, nutrition, hormonal
36 and immune status, and preexisting health impairment.

37 In humans, benzo[a]pyrene is metabolized to the highly DNA-reactive BPDE by
38 microsomal CYP Phase I enzymes, mediated primarily by the CYP1A1 and CYP1B1 genes.

1 Polymorphic variations in the human population that result in high inducibility of CYP1A1,
2 CYP1B1, and other CYPs have been implicated as determinants of increased lung cancer risk in
3 some studies (Aklillu et al., 2005; Alexandrov et al., 2002; Perera and Weinstein, 2000). The
4 Phase II cytosolic GST, mediated by variants of the GSTM1 and GSTT1 genes, prevent the
5 formation of BPDE-DNA adducts. Some evidence suggests that humans lacking a functional
6 GST gene have higher PAH(BPDE)-DNA adduct levels and thus be at greater risk for cancer
7 (Vineis et al., 2007a; Pavanello et al., 2004; Alexandrov et al., 2002; Perera and Weinstein,
8 2000). Similarly, acquired deficiencies or inherited gene polymorphisms that affect the
9 efficiency or fidelity of DNA repair may also influence individual susceptibility to cancer
10 (Matullo et al., 2003; Shen et al., 2003; Cheng et al., 2000; Perera and Weinstein, 2000; Wei et
11 al., 2000; Amos et al., 1999). In general, however, support for the role of SNP in significantly
12 modulating PAH cancer risk is relatively weak (i.e., small increases in cancer risk) or
13 inconsistent, possibly due in part to small study size and the use of DNA-adduct detection
14 methods with low specificity (i.e., bulky DNA-adducts) and sensitivity. Combinations of
15 metabolic polymorphisms, on the other hand, are receiving increased attention as critical
16 determinants of cumulative DNA-damaging dose, and thus individual cancer risk.

17 Following a report from Japan that the GSTM1 null genotype combined with a mutated
18 CYP1A1 genotype was associated with increased lung cancer risk, Rojas and coworkers (2000)
19 measured specific BPDE-DNA adducts in leukocytes (HPLC with fluorometric detection) to
20 evaluate the impact of CYP1A1, GSTM1, and GSTT1 genotype combinations. The human
21 subjects were 89 PAH-exposed coke oven workers (smokers and nonsmokers) and 44 power
22 plant workers (all smokers) not occupationally exposed to PAH. Increased adduct levels were
23 significantly correlated with CYP1A1 polymorphism, occupational PAH exposure, and smoking.
24 Combinations of genotypes were observed to have a significant impact on BPDE-DNA adducts,
25 ranging from the absence of adducts in subjects with the active CYP1A1/GSTM1 genotypes to
26 the highest BPDE-DNA adduct level in the most susceptible combination of mutated CYP1A1
27 with null GSTM1 genotype. The results provide mechanistic support for distinguishing high-
28 susceptibility benzo[a]pyrene-exposed subgroups, and for understanding their association with
29 increased cancer rates.

30 Pavanello et al. (2005) studied associations between xeroderma pigmentosum
31 (XP)-linked gene polymorphisms, the GSTM1 polymorphism, and bulky BPDE-type DNA
32 adduct formation in peripheral lymphocytes from 67 highly PAH-exposed male Polish coke oven
33 workers. The four XP genotypes studied impart low NER capacity, while the GSTM1 active or
34 null genotypes are associated with effective or ineffective removal of biologically active
35 benzo[a]pyrene metabolites via GSH conjugation. Workers were questioned for smoking habits,
36 charbroiled meat consumption, and other factors that might have affected their PAH exposure.
37 PAH exposure was assessed via urinary 1-OH-pyrene levels. There was a statistically significant
38 difference in the number of DNA adducts between the GSTM1 active and null carriers ($3.37 \pm$

1 2.20 vs. 6.73 ± 6.61 adducts per 10^8 nucleotides, respectively). For the DNA repair gene
2 polymorphisms, there was an increase in DNA adduct numbers from homozygous WT carriers to
3 low-DNA-repair homozygous variant carriers. This difference was statistically significant for
4 homozygous carriers of the XPC-PAT and XPA-A23G variants, but not for the homozygous
5 XPD-Lys751Gln and XPD-Asp312Asn variants. Individuals with a combination of DNA repair-
6 unfavorable XPC or XPA genotypes and the GSTM1-null variant generally fell into the highest
7 tertile of DNA adduct numbers. Smoking status and diet did not influence urinary 1-OH-Py or
8 BPDE-DNA adduct levels. These results support the conclusion that certain gene polymorphism
9 combinations affecting DNA repair or detoxification capacities may increase health risks
10 resulting from PAH exposure.

11 Porter et al. (2005) also evaluated the influence of XPA gene variants involved in NER
12 on BPDE-induced cytotoxicity. SV40-transformed human skin fibroblasts from an XP patient
13 with a nonsense XPA mutation were stably transfected with the WT XPA gene or either of two
14 rare XPA variants; the transfected genes could be overinduced with ponasterone A. The WT
15 XPA and both variants had greatly improved survival compared to XPA-free cells. Survival was
16 even more improved by ponasterone A induction in the variant, but not the WT cells. These
17 findings indicate that the polymorphic XPAs show greater ability to repair BPDE-induced DNA
18 damage, and thus may offer some protection from benzo[a]pyrene-induced genotoxicity, while
19 the nonsense mutation is likely to increase genotoxic risk.

1
2 **5. DOSE-RESPONSE ASSESSMENTS**
3
4

5 **5.1. ORAL REFERENCE DOSE (RfD)**

6 **5.1.1. Choice of Principal Study and Critical Effect—with Rationale and Justification**

7 There are limited data establishing associations between increased risk for noncancer
8 health effects in humans and exposure to benzo[a]pyrene. Several epidemiology studies have
9 reported associations between adverse birth outcomes including reduced birth weight, postnatal
10 body weight, and head circumference and internal biomarkers of exposure to benzo[a]pyrene
11 (BPDE-DNA adducts) via exposure to complex PAH mixtures (Tang et al., 2008, 2006; Perera et
12 al., 2005a, b). However, extrapolations from these studies are complicated by the concomitant
13 exposure to multiple PAHs and other components in the mixture. Thus, studies in humans were
14 not selected to serve as the basis of the RfD.

15 The subchronic and chronic oral exposure animal database includes a 2-year gavage
16 cancer bioassay with male and female Wistar rats (Kroese et al. 2001), a 2-year dietary cancer
17 bioassay with female B6C3F₁ mice (Beland and Culp, 1998; Culp et al., 1998), a 90-day gavage
18 study with male and female Wistar rats (Kroese et al., 2001), a 90-day dietary study with male
19 and female F344 rats (Knuckles et al., 2001), and a 35-day study in male Wistar rats evaluating
20 immune endpoints (De Jong et al., 1999). Also available are five reproductive/developmental
21 toxicity studies in rodents examining reproductive endpoints in male Sprague-Dawley rats
22 (Zheng et al., 2010) and C57BL/6 mice (Mohamed et al., 2010), in offspring of treated CD-1 and
23 NMRI female mice (Kristensen et al., 1995; MacKenzie and Angevine, 1981), and in female
24 Sprague-Dawley rats (Xu et al., 2010).

25 Kroese et al. (2001) exposed Wistar rats to benzo[a]pyrene in soybean oil by gavage at
26 doses of 0, 3, 10, or 30 mg/kg-day, 5 days/week, for 2 years. This study was primarily designed
27 as a cancer bioassay and did not evaluate other endpoints. An increase in the incidence of
28 animals with forestomach hyperplasia, compared with the control incidence, occurred at the low
29 and mid-dose but not the high-dose level; an dose-related, increased incidence of forestomach
30 tumors was observed at doses ≥ 3 mg/kg-day. An increased incidence of hepatic clear cell foci
31 of cellular alteration was also observed at the 3 mg/kg-day, but not at the 10 or 30 mg/kg-day.
32 At the two highest exposure levels, elevated incidences of liver tumors were observed.

33 Female B6C3F₁ mice were administered benzo[a]pyrene in the diet at average daily doses
34 of 0, 0.7, 3.3, and 16.5 mg/kg-day for 2 years (Beland and Culp, 1998; Culp et al., 1998). An
35 increase in the incidence of mice with forestomach hyperplasia, compared with the control
36 incidence, occurred at the lowest exposure level (23/47 at 0.7 mg/kg-day versus 13/48 in
37 controls). Similar to the rat bioassay (Kroese et al., 2001), forestomach hyperplasia was
38 observed with increasing incidence of animals with forestomach tumors (squamous cell

1 papillomas or carcinomas) with increasing dose. No other dose-related effects were reported in
2 this cancer bioassay.

3 A 90 day study also reported by Kroese et al. (2001) treated animals by gavage 5
4 days/week with 0, 3, 10, or 30 mg/kg benzo[a]pyrene in corn oil. The most sensitive effects
5 observed included increased liver weight and decreased thymus weight. Increases in liver weight
6 greater than 10% of controls were observed at 10 and 30 mg/kg-day in males only, and were
7 statistically significant. However, there were no statistically significant elevations in liver
8 enzymes screened in serum (ALT, AST, LDH, and GGT). The biological significance of
9 increased liver weight in males in the absence of elevated liver enzymes in the serum is unclear.
10 A decrease in thymus weight was observed in both sexes at 30 mg/kg-day, at 17 and 33% in
11 females and males, respectively, compared with controls. At 10 mg/kg-day, thymus weight in
12 males was decreased by 15% (not statistically significant). An increase in the incidence of
13 thymus atrophy was also observed in the 30 mg/kg-day males that showed a reduction of thymus
14 weight. Incidences for thymus atrophy (categorized in severity as slight) for the control through
15 high-dose groups were 0/10, 0/10, 0/10, and 3/10 for females and 0/10, 2/10, 1/10, and 6/10 for
16 males. The thymus is an organ involved in the maturation of immune cells especially early in
17 development. A change in thymus weight in the adult animal may be accompanied by alterations
18 of the immune system in functional assays, but the significance of thymus weight changes alone
19 is unknown.

20 Knuckles et al. (2001) exposed male and female F344 rats (6-8 per group) to
21 benzo[a]pyrene at doses of 0, 5, 50, or 100 mg/kg-day in the diet for 90 days. Statistically
22 significant decreases in RBC counts and hematocrit level (decreases as much as 10 and 12%,
23 respectively) were observed in males at doses ≥ 50 mg/kg-day and in females at 100 mg/kg-day.
24 The effect observed in this study at the lowest dose was an increase in abnormal tubular casts in
25 the kidney in males in which increases were observed at 5 mg/kg-day (40%), 50 mg/kg-day
26 (80%) and 100 mg/kg-day (100%), compared to 10% in the controls. In females, only 10%
27 showed significant kidney tubular changes at the two high dose levels compared to zero
28 incidence in controls. The incidences for kidney lesions were not provided; instead the data are
29 reported graphically as rounded percent incidences. Several reporting gaps in Knuckles et al
30 (2001) make interpretation of the results difficult. Specifically, the authors do not provide
31 statistical analysis of the renal endpoint nor do they provide the incidence data which would
32 allow for independent statistical analysis. The study author was contacted, but additional
33 clarification of the study data was not provided. Therefore, due to reporting gaps and resulting
34 reduced confidence, this study was not considered further in selecting the principal study.

35 De Jong et al. (1999) treated male Wistar rats (eight/dose group) with benzo[a]pyrene by
36 gavage 5 days/week for 35 days at doses of 0, 3, 10, 30, and 90 mg/kg-day. Hematological and
37 immunological changes were reported. Small, but statistically significant, dose-related decreases
38 in RBC count (5%) and associated measures (hemoglobin, and hematocrit) were observed at

1 ≥ 10 mg/kg-day. In addition, a dose-related and statistically significant decrease in the relative
2 number of B cells (13%) in the spleen was observed at ≥ 10 mg/kg-day compared to controls.
3 Dose-related decreases in thymus weight were statistically significant at ≥ 10 mg/kg-day.
4 Decreases in heart weight at 3 mg/kg-day and in kidney weight at 3 and 30 mg/kg-day were also
5 observed, but these changes did not show dose-dependent responses. At doses above 10 mg/kg-
6 day, significant decreases were observed in absolute number of cells harvested in the spleen, in
7 the number of B cells in the spleen, and in NK cell activity in the spleen, as well as a decrease in
8 serum IgM and IgA in rats.

9 Zheng et al., (2010) treated male Sprague-Dawley rats (8/group) to 0, 1, or 5 mg/kg-day
10 benzo[a]pyrene by daily corn oil gavage for a duration of 30 or 90 days. Testicular testosterone
11 was statistically significantly decreased in the high dose group (approximately 15%) following
12 90 days of exposure. The low dose group also appeared to have a similar average depression of
13 testosterone levels; however, the change did not reach statistical significance.

14 Mohamed et al. (2010) investigated multi-generational effects in male mice following
15 exposure of six-week old C57BL/6 mice (10/group) to 0 (corn oil), 1, or 10 mg/kg-day
16 benzo[a]pyrene for 6 weeks by daily corn oil gavage. Following final treatment, male mice were
17 mated with two untreated female mice to produce an F1 generation; F1 and F2 males were also
18 mated with untreated female mice. The mice of the F1, F2, and F3 generations were not exposed
19 to benzo[a]pyrene. Statistically significant reductions of approximately 50% were observed in
20 epididymal sperm counts of F0 and F1 generations treated with the low dose of benzo[a]pyrene.
21 For F0 and F1 generations of the high dose group, epididymal sperm counts were reduced
22 approximately 70%. Means and variances were not reported but were presented graphically.
23 This study indicates that exposure to benzo[a]pyrene may have transgenerational effects on
24 sperm count. However, due to incomplete reporting, this study was not considered further for
25 selection as the principal study but was considered to be supportive of low dose male
26 reproductive effects following benzo[a]pyrene exposure.

27 MacKenzie and Angevine (1981) exposed groups of 30–60 female CD-1 mice to 0, 10,
28 40, or 160 mg/kg-day benzo[a]pyrene on GDs 7–16. Crossover mating studies were then
29 conducted in which F1 offspring were mated continuously with untreated mice to determine
30 effects on fertility. Benzo[a]pyrene did not appear to be overtly toxic to mothers or offspring.
31 However, statistically significant decreased pup weight was observed at all dose levels at day 42.
32 At the lowest dose tested, pup weight was decreased 6% compared to control. At maturity, the
33 fertility of these animals was tested. The F1 male and F1 female fertility indices (i.e., percent of
34 mated animals that were pregnant) were significantly decreased in each exposure group as
35 follows (control through high-dose groups): F1 males: 80.4, 52.0, 4.7, and 0.0; F1 females 100,
36 65.7, 0.0, and 0.0. After six months on the breeding study, 34% of the gestationally treated
37 females in the 10 mg/kg-day dose group failed to produce any litters, and the the F1 females in
38 this dose group that did litter produced statistically significantly smaller litter sizes (19%

1 reduction in mean litter size). These reductions in fertility indices were associated with
 2 decreased testes and ovary weight in the F1 animals. Testes weight was decreased 40 and 88%
 3 at 10 and 40 mg/kg-day, respectively, and was associated with histologic evidence of injury to
 4 the seminiferous tubules. In female F1 animals, severe reductions in ovarian tissues were
 5 observed at all dose levels such that ovary weight measurements were difficult to obtain.
 6 Examination of available tissue in these females revealed hypoplastic ovaries with few follicles
 7 and corpora lutea (10 mg/kg-day) or with no evidence of folliculogenesis at the higher dose
 8 (40 mg/kg-day).

9 Similar results were reported in a study in which groups of nine NMRI F0 female mice
 10 were exposed by gavage to 0 or 10 mg/kg-day benzo[a]pyrene on GDs 7–16 (Kristensen et al.,
 11 1995). F1 females were continuously bred with an untreated male for 6 months. F1 females had
 12 decreased mean ovary weight (30% decreased, compared with controls) and reduced fertility as
 13 reflected by decreased mean number of F2 litters (three compared with eight for control F1
 14 females). F1 females had statistically significantly lower median numbers of offspring, number
 15 of litters, and litter sizes and a statistically significantly greater median number of days between
 16 litters as compared with the controls. At necropsy, the F1 females had statistically significantly
 17 reduced ovary weight with histologic examination revealing decreased numbers of small,
 18 medium, or large follicles and corpora lutea.

19 Xu et al., (2010) treated female Sprague-Dawley rats (6/group) to 0, 5, or 10 mg/kg-day
 20 benzo[a]pyrene by corn oil gavage every other day for a duration of 60 days. This resulted in
 21 time weighted average doses of 0, 2.5, and 5 mg/kg-day over the study period of 60 days.
 22 Absolute ovary weight was statistically significantly reduced in the both the low and high
 23 benzo[a]pyrene dose groups (11 and 15%, respectively; see Table 5-1). Animals in the high dose
 24 group also had statistically significantly depressed levels of estradiol (by approximately 25%)
 25 and decreased numbers of primordial follicles (by approximately 20%) compared to controls.
 26 Statistically significantly altered estrus cyclicity was also evident in the high dose of
 27 benzo[a]pyrene.

28

Table 5-1. Means ± SD for ovary weight in female SD-rats			
	Dose (mg/kg-d)^a		
	0	2.5	5

<i>Ovary weight (g)</i>	0.160 ± 0.0146	0.143 ± 0.0098 ^b	0.136 ± 0.0098 ^b
<i>Body weight (g)</i>	261.67 ± 12.0	249.17 ± 11.2	247.25 ± 11.2

^a TWA doses over the 60 day study period

^b Statistically different from controls (p < 0.05) using one-way ANOVA

Source: Xu et al. (2010).

1

2 **5.1.2. Methods of Analysis**

3 A number of noncancer effects observed following chronic or subchronic administration
4 of benzo[a]pyrene were modeled with U.S. EPA's Benchmark Dose (BMD) Modeling Software
5 (BMDS) where data were amenable. These endpoints included increased liver weight, decreased
6 thymus weight, decreased percent of splenic B-cells, increased forestomach hyperplasia, and
7 decreased ovary weight (Xu et al., 2010; Kroese et al., 2001; De Jong et al., 1999). Zheng et al.
8 (2010) did not provide enough information (i.e., incidences or means and variances) to allow for
9 BMD modeling. Data from other studies could not be modeled due study design utilizing only
10 one dose (Kristensen et al., 1995) or a highly elevated magnitude of response at the lowest dose
11 (MacKenzie and Angevine 1981) in which extrapolation down to a suitable benchmark response
12 would be unsupported by the available models.

13 In accordance with U.S. EPA's *Benchmark Dose Technical Guidance Document* (U.S.
14 EPA, 2000b), the BMD and the 95% lower confidence limit on the BMD (BMDL) were
15 estimated using a benchmark response (BMR) of 1 standard deviation (SD) from the control
16 mean for continuous data or a BMR of 10% extra risk for dichotomous data in the absence of
17 information regarding what level of change is considered biologically significant, and also to
18 facilitate a consistent basis of comparison across endpoints and assessments. A summary of
19 modeling results for each endpoint is listed below in Table 5-2. Further details including the
20 output and graph for the best fit model can be found in Appendix B. In general, model fit was
21 assessed by a chi-square goodness-of-fit test (i.e., models with p < 0.1 failed to meet the
22 goodness-of-fit criterion) and the Akaike Information Criterion (AIC) value (i.e., a measure of
23 the deviance of the model fit that allows for comparison across models for a particular endpoint).
24 Of the models exhibiting adequate fit, the model yielding the lowest AIC value was selected as
25 the best-fit model. (U.S. EPA, 2000b).

26 For the forestomach hyperplasia endpoint, all data sets provided adequate descriptions of
27 the dose-response relationship from chronic oral exposure to benzo[a]pyrene, but at the highest
28 dose level for the rats (Kroese et al., 2001), the incidence of forestomach hyperplasia was not
29 increased relative to controls. It is possible that the forestomach hyperplasia observed following
30 benzo[a]pyrene exposure may be a precursor to the development of forestomach tumors, but
31 specific data supporting this conclusion are unavailable. Regardless, the male and female data

1 sets in rats (Kroese et al., 2001) were modeled without the data from the highest dose group due
2 to the nonmonotonic increase in response to increasing dose (Kroese et al., 2001).

3 Points of departure (PODs) for endpoints that were not amenable to BMD modeling were
4 identified using a NOAEL/LOAEL approach (Zheng et al., 2010; Kristensen et al., 1995;
5 MacKenzie and Angevine, 1981). A LOAEL of 5 mg/kg-day was identified for Zheng et al.
6 (2010) for significantly decreased testicular testosterone. A LOAEL of 10 mg/kg-day was
7 identified as the POD for Mackenzie and Angevine for decreased postnatal body weight and
8 decreased fertility of male and female mice treated during gestation. A POD based on the
9 LOAEL of 10 mg/kg/day was established from Kristensen et al. (1995) based on decreased
10 fertility and decreased ovary weight in female mice treated during gestation.

Table 5-2. Summary of BMDs and BMDLs for modeled noncancer effects following oral exposure								
Endpoint/data	Exposure duration	BMR	Fitted model	Goodness-of-fit <i>p</i>-value	AIC	BMD (mg/kg-d)	BMDL (mg/kg-d)	Reference
Increased Liver Weight in Male Wistar Mice	90 d	10%	Linear (1° polynomial), Power	0.58	49.51	8.11	5.8	Kroese et al., 2001
			Polynomial (2°)	0.74	50.53	4.53	2.29	
			Hill	0.82	50.48	4.1	1.24	
Decreased Thymus Weight in Male Wistar Mice	90 d	1 SD	Linear , Polynomial (2°), Power (nonconstant variance)	0.23	380.71	16.40	11.30	Kroese et al., 2001
			Hill (nonconstant variance)	NA				
Decreased Thymus Weight in Female Wistar rats	90 d	1 SD	Linear	0.81	349.12	10.52	7.64	Kroese et al., 2001
			Hill	NA				
			Polynomial (2°)	0.77	350.80	13.29	7.77	
			Power	NA				
Decreased Thymus Weight in Male Wistar Mice	35 d	1 SD	Linear , Polynomial (2°)	0.52	381.41	14.41	11.58	De Jong et al., 1999
			Hill	0.42	382.91	11.15	6.19	
			Power	NA				
Decreased Splenic B-cells in Male Wistar rats	35 d	1 SD	Linear, Polynomial (2°), Power (constant variance)	0.21	145.28	15.58	12.43	De Jong et al., 1999
			Hill (constant variance)	0.18	146.18	10.24	5.31	
Increased Forestomach Hyperplasia ^a in Male Wistar Rats	2 yrs	10%	Log-logistic	0.13	112.27	5.31	2.39	Kroese et al., 2001
			Gamma, Multistage, Weibull	0.12	112.37	5.63	2.67	
			Logistic	0.09	112.93	7.25	4.35	
			LogProbit	0.06	113.88	8.36	4.52	
			Probit	0.10	112.87	7.09	4.13	
Increased Forestomach Hyperplasia ^a in Female	2 yrs	10%	Log-logistic	0.32	117.04	2.15	1.35	Kroese et al., 2001
			Logistic	0.06	120.02	4.23	3.28	

Wistar Rats			LogProbit	0.02	121.13	3.91	2.57	
			Gamma, Multistage, Weibull	0.24	117.42	2.40	1.59	
			Probit	0.06	119.74	3.99	3.06	
Increased Forestomach Hyperplasia in Female B6C3F ₁ mice	2 yrs	10%	Log-logistic	0.21	193.3	0.329	0.115	Beland and Culp, 1998
			Logistic	0.06	194.7	0.757	0.545	
			LogProbit	0.29	192.1	0.670	0.448	
			Gamma, Multistage, Weibull	0.42	191.3	0.421	0.295	
			Probit	0.03	196.6	0.946	0.711	
Decreased Ovary Weight in Female Sprague-Dawley Rats	60 d	1 SD	Linear , Polynomial (1^a)	0.39	-138.67	2.3	1.5	Xu et al., 2010
			Power	NA				

^a The best fit of each model considered is summarized. For continuous models (linear, polynomial, power, Hill), if an adequate required including a variance model, only the results including modeled variance are summarized and the use of nonconstant variance is indicated; otherwise constant variance was assumed. Details in Appendix B.

^bData for the high-dose group were excluded from the modeled dataset due to a decreased incidence judged to be due to competing effects masking the response.

NA = not applicable, model failed

1 Several candidate principal studies (Kroese et al., 2001; De Jong et al., 1999; Zheng et
2 al., 2010; Kristensen et al., 1995; MacKenzie and Angevine, 1981) reported liver, thymus,
3 immune, and reproductive effects at higher doses relative to the ovary and forestomach effects
4 (reported by Kroese et al., 2001; Beland and Culp, 1998) and were considered less sensitive
5 measures of benzo[a]pyrene effects. Forestomach hyperplasia was not selected as the critical
6 effect, even though it was observed at lower doses compared with other effects, based on the
7 consideration that the reproductive and fertility effects, observed in animals and supported by
8 human data, appear to better characterize noncancer low dose effects of BaP. Specifically, the
9 Xu et al., (2010) study was chosen as the principal study and decreased ovarian weight as the
10 critical effect for the derivation of the RfD. This study identified biologically and statistically
11 significant decreases in ovary weight, estrogen, and primordial follicles, and altered estrus
12 cycling in treated animals. These reductions in female reproductive parameters are supported by
13 a large database of animal studies indicating that benzo[a]pyrene is ovotoxic with effects
14 including decreased ovary weight, decreased primordial follicles, and reduced fertility (Mattison
15 et al., 1980; MacKenzie and Angevine 1981; Swartz and Mattison 1985; Miller et al., 1992;
16 Kristensen et al., 1995; Borman et al, 2000). Additionally, studies indicate that exposure to
17 complex mixtures of PAHs, such as through cigarette smoke, is associated with measures of
18 decreased fertility in humans (El Nemr et al., 1998; Neal et al., 2005). Specific associations have
19 also been made between infertility and increased levels of benzo[a]pyrene in follicular fluid in
20 women undergoing in vitro fertilization (Neal et al., 2008).

21 22 **5.1.3. RfD Derivation—Including Application of Uncertainty Factors (UFs)**

23 Of the endpoints discussed in section 5.1.1., decreased ovary weight in female rats
24 (BMDL_{1SD} of 1.5 mg/kg-day) reported by Xu et al. (2010) was selected to serve as the critical
25 effect for the RfD. A total UF of 3000 was applied to the POD of 1.5 mg/kg-day to account for
26 several areas of uncertainty.

27 An UF_A of 10 was applied to account for toxicokinetic and toxicodynamic differences
28 associated with extrapolation from animals to humans. The available data do not provide
29 quantitative information on the difference in susceptibility to benzo[a]pyrene between rats and
30 humans.

31 An UF_H of 10 was applied to account for variability in susceptibility among members of
32 the human population (i.e., interindividual variability). Insufficient information is available to
33 quantitatively estimate variability in human susceptibility to benzo(a)pyrene.

34 An UF_S of 10 was applied for the extrapolation of subchronic-to-chronic exposure
35 duration. The 60-day study by Xu et al. (2010) falls well short of a lifetime duration.
36 Therefore, it is unknown whether effects would be more severe or would be observed at lower
37 doses with a longer exposure duration.

1 An UF_L of 1 was applied for LOAEL-to-NOAEL extrapolation because the current
2 approach is to address this factor as one of the considerations in selecting a BMR for BMD
3 modeling. In this case, a BMR of a 1 SD change from the control mean in ovary weight was
4 selected under an assumption that it represents a minimal biologically significant response level.

5 An UF_D of 3 was applied to account for deficiencies in the benzo[a]pyrene toxicity
6 database. Limited observational studies in humans have suggested associations between
7 biomarkers of internal dose of benzo[a]pyrene and adverse birth outcomes (including reduced
8 birth weight, postnatal body weight, head circumference, and neurodevelopment) and decreased
9 fertility (Edwards et al., 2010; Neal et al., 2008; Tang et al., 2008, 2006; Perera et al., 2009;
10 2005a, b). However, the likely contribution of multiple exposure routes in these studies make
11 extrapolation to exposure concentrations uncertain. Several animal studies exist for
12 benzo[a]pyrene to inform noncancer effects, including subchronic oral toxicity studies in rats and
13 mice, and two developmental studies and several reproductive studies in mice and rats. The lack
14 of a standard multigenerational study (specifically, one which includes exposure from pre-mating
15 to lactation) is a data gap, especially considering benzo[a]pyrene has been shown to affect
16 fertility in adult male and female animals by multiple routes of exposure (Mohamed et al., 2010;
17 MacKenzie and Angevine 1981; Kristensen et al., 1995; Archibong et al., 2008; Borman et al.,
18 2000; Swartz and Mattison 1985). In addition, the lack of a study examining functional
19 neurological endpoints following in utero exposure is also a data gap considering the available
20 epidemiological evidence showing the association of in utero PAH exposure and indicators of
21 decreased neurological development (Edwards et al., 2010, Perera et al., 2009, Tang et al., 2008).
22 Therefore, an UF of 3 was applied to the POD for the lack of a standard multigenerational
23 reproductive toxicity study and a neurodevelopmental study.

24
25 The RfD for benzo[a]pyrene was calculated as follows:

$$\begin{aligned} \text{RfD} &= \text{BMDL}_{1\text{SD}} \div \text{UF} \\ &= 1.5 \text{ mg/kg-day} \div 3000 \\ &= 0.0005 \text{ mg/kg-day} \end{aligned}$$

26 27 28 29 30 31 32 **5.1.4. Previous RfD Assessment**

33 No RfD was derived in the previous IRIS assessment.
34

35 **5.2. INHALATION REFERENCE CONCENTRATION (RfC)**

36 **5.2.1. Choice of Principal Study and Critical Effect—with Rationale and Justification**

37 The only chronic inhalation study available for benzo[a]pyrene was designed as a cancer
38 bioassay and did not report noncancer endpoints (Thyssen et al.1981). However, several repeat

1 dose reproductive and developmental toxicity studies are available in which effects on fetal
2 survival and the male reproductive system have been observed.

3 Reproductive system variables were adversely impacted in male F344 rats (10/group)
4 exposed to benzo[a]pyrene aerosols at 75 $\mu\text{g}/\text{m}^3$ for 60 days (Archibong et al., 2008; Ramesh et
5 al., 2008). The testes from exposed rats weighed 34% less than those from unexposed controls.
6 Treatment with benzo[a]pyrene was also associated with reductions (compared with control
7 values) in total tubular volume and weight (~20%) and tubular length (~40%); total weight and
8 volume of interstitium per paired testis (12%); and percentage of progressively motile stored
9 spermatozoa, stored sperm density, and percentage of morphologically normal sperm (~70–
10 80%). Daily sperm production and levels of circulating and intratesticular testosterone were also
11 decreased in treated rats (by about 50, 70, and 80% respectively), compared with controls.
12 Luteinizing hormone levels were 50–60% higher in treated rats than controls 72 hours after
13 exposure.

14 In a developmental study, timed-pregnant F344 rats (10/group) were exposed to
15 benzo[a]pyrene on GDs 11–21 as a carbon black aerosol at 100 $\mu\text{g}/\text{m}^3$ to assess neurological
16 endpoints in offspring during PNDs 60–70 (Wormley et al., 2004). Pups of the F1 generation
17 were weaned on PND 30 and tested for long-term potentiation electrophysiological responses in
18 the hippocampus during PNDs 60–70. Although the number of implantation sites in treated rats
19 was within 1% of unexposed controls, the percentage of pups born relative to recorded
20 implantation sites in each dam (the birth index) was reduced by 65% in treated rats compared
21 with unexposed controls. In addition, protein levels of NMDA receptor subunit 1 were down-
22 regulated (by 18% on PND 10 and 67% on PND 30) in the hippocampus of benzo[a]pyrene-
23 exposed F1 pups, and the magnitude of the long-term potentiation response across the perforant
24 path-granular cells in the hippocampus of F1 rats was consistently weaker than the response
25 observed for the controls (about 25% weaker), suggesting that exposure to benzo[a]pyrene via
26 the inhalation route attenuates the capacity for long-term potentiation in the F1 generation.
27 However, no functional tests to assess neurotoxicity were conducted in this study.

28 In another developmental toxicity study, timed-pregnant F344 rats (10/group) were
29 exposed to benzo[a]pyrene aerosols at concentrations of 25, 75, or 100 $\mu\text{g}/\text{m}^3$ on GDs 11–20 and
30 evaluated for post-implantation fetal survival and hormone levels associated with pregnancy
31 (Archibong et al., 2002). The total number of implantation sites in treated rats was within 5% of
32 the values obtained for sham-exposed and unexposed controls. However, dose-dependent trends
33 were observed for decreased numbers of pups per litter and percent fetal survival per litter with
34 increasing benzo[a]pyrene concentrations. The number of pups/litter was decreased by
35 approximately 14, 50, and 65% at 25, 75, and 100 $\mu\text{g}/\text{m}^3$, respectively, compared with sham-
36 exposed and unexposed controls. Percent survival was similarly reduced by about 20, 60, and
37 65%, respectively, at the same exposure concentrations. In addition, biologically significant
38 decreases in pup weights (presented as g/litter) were observed at concentrations $\geq 75 \mu\text{g}/\text{m}^3$ (14

1 and 16% decreases at 75 and 100 $\mu\text{g}/\text{m}^3$, respectively). Levels of plasma progesterone, estradiol-
 2 17 β , and prolactin on GD 17 were decreased by about 12, 14, and 35%, respectively, at 25 $\mu\text{g}/\text{m}^3$
 3 and 17, 60, and 70%, respectively, at 75 $\mu\text{g}/\text{m}^3$ compared with respective controls.

4 The study by Archibong et al. (2002) was selected as the principal study as it observed
 5 biologically significant effects at the lowest dose tested by the inhalation route. This study
 6 indicates that the developing fetus is a sensitive target following inhalation exposure to
 7 benzo[a]pyrene. A LOAEL of 25 $\mu\text{g}/\text{m}^3$ was identified based on exposure to benzo[a]pyrene on
 8 GDs 11–20 that caused biologically significant reductions in fetal survival and body weight
 9 decreases in the surviving pups (see Table 5-3). The observed decrease in pup weight and fetal
 10 survival were selected as critical effects as they are the most sensitive noncancer effects observed
 11 following inhalation exposure to benzo[a]pyrene. Though only a few studies exist which
 12 evaluate benzo[a]pyrene by the inhalation route, additional support for this endpoint can be
 13 found from oral studies of benzo[a]pyrene. A developmental/reproductive study conducted via
 14 the oral route in mice observed decreased survival of litters, decreased pup weight, and decreased
 15 reproductive organ weight following in utero exposure to benzo[a]pyrene on GD 7-16
 16 (MacKenzie and Angevine, 1981).

17 18 **5.2.2. Methods of Analysis- Adjustment to a Human Equivalent Concentration (HEC)**

19 By definition, the RfC is intended to apply to continuous lifetime exposures for humans
 20 (U.S. EPA, 1994). EPA recommends that adjusted continuous exposures be used for inhalation
 21 developmental toxicity studies as well as for studies of longer durations (U.S. EPA, 2002). The
 22 LOAEL of 25 $\mu\text{g}/\text{m}^3$ based on decreased pup weight and fetal survival reported in the
 23 developmental study by Archibong et al. (2002) was selected to serve as the POD.

24
Table 5-3. Pregnancy outcomes in female F344 rats treated with benzo[a]pyrene on GDs 11–21 by inhalation

Parameter ^a	Administered concentration of benzo[a]pyrene ($\mu\text{g}/\text{m}^3$)				
	0 (unexposed control)	0 (carbon black)	25	75	100
Implantation sites	8.6 \pm 0.2	8.8 \pm 0.1	8.8 \pm 0.5	9.0 \pm 0.2	8.8 \pm 0.1
Pups per litter	8.5 \pm 0.2	8.7 \pm 0.2	7.4 \pm 0.5 ^b	4.2 \pm 0.1 ^b	3.0 \pm 0.2 ^b
Survival (litter %)	98.9 \pm 1.1	96.7 \pm 1.7	78.3 \pm 4.1 ^b	38.0 \pm 2.1 ^b	33.8 \pm 1.3 ^b
Pup weight (g/litter)	10.6 \pm 0.1	8.8 \pm 0.1	10.5 \pm 0.2	9.1 \pm 0.2 ^b	8.9 \pm 0.1 ^b
Crown-rump length (mm/litter)	29.4 \pm 0.6	29.3 \pm 0.5	28.0 \pm 0.6	27.3 \pm 0.7	27.9 \pm 0.7

^aValues presented as means \pm SEM.

^bSignificantly different from controls at $p < 0.05$ by one-tailed post-hoc t-testing following ANOVA.

Source: Archibong et al. (2002).

1 Data for decreased pup survival were not amenable to BMD modeling due to the pattern
2 of variability (heterogeneous variances) in the data set. Therefore, the LOAEL from this study
3 was used as the POD. The LOAEL from this study is based on a 4 hour exposure of pregnant
4 rats to 25 µg/m³ benzo[a]pyrene on GDs 11-20. This concentration was adjusted to account for
5 the discontinuous daily exposure as follows:

$$\begin{aligned} \text{POD}_{\text{ADJ}} &= \text{POD} \times \text{hours exposed per day}/24 \text{ hours} \\ &= \text{LOAEL} \times (4 \text{ hr}/24 \text{ hr}) \\ &= 25 \mu\text{g}/\text{m}^3 \times 4/24 \\ &= 4.2 \mu\text{g}/\text{m}^3 \end{aligned}$$

11
12 The human equivalent concentration (HEC) was calculated from the POD_{ADJ} by
13 multiplying by a dosimetric adjustment factor (DAF), which, in this case, was the regional
14 deposited dose ratio (RDDR_{ER}) for extrarespiratory (i.e. systemic) effects as described in
15 *Methods for Derivation of Inhalation Reference Concentrations and Application of Inhalation*
16 *Dosimetry* (U.S. EPA, 1994b). The observed developmental effects are considered systemic in
17 nature (i.e., extrarespiratory) and the current normalizing factor for extrarespiratory effects of
18 particles is body weight. In the case of benzo[a]pyrene, the RDDR_{ER} was calculated as follows:

$$\text{RDDR}_{\text{ER}} = \frac{\text{BW}_{\text{H}}}{\text{BW}_{\text{A}}} \times \frac{(\text{V}_{\text{E}})_{\text{A}}}{(\text{V}_{\text{E}})_{\text{H}}} \times \frac{(\text{F}_{\text{TOT}})_{\text{A}}}{(\text{F}_{\text{TOT}})_{\text{H}}}$$

21 where:

22 BW = body weight (kg)

23 V_{E} = ventilation rate (L/min)

24 F_{TOT} = total fractional deposition

25
26 The total fractional deposition (F_{TOT}) includes particle deposition in the nasal-pharyngeal
27 region, the tracheobronchial region, and the pulmonary region. F_{TOT} for both animals and
28 humans was calculated using the Multi-Path Particle Dosimetry model, a computational model
29 that can be used for estimating human and rat airway particle deposition and clearance (MPPD;
30 Version 2.0 © 2006, publicly available through the Hamner Institute). The F_{TOT} was calculated
31 based on the average particle size of 1.7 ± 0.085 (mass median aerodynamic diameter \pm
32 geometric standard deviation) as reported in the description of particle generation methods in
33 Ramesh et al. (2000). For the model runs, the Yeh-Schum 5-lobe model was used for the human
34 and the asymmetric multiple path model was used for the rat (see Appendix C for MPPD model
35 output). Both models were run under nasal breathing scenarios with the inhalability adjustment
36 selected. A geometric standard deviation (GSD) of 1 was used as the default by the model
37 because the reported GSD of $0.085 \leq 1.05$.

1 The human parameters used in the model for calculating F_{TOT} and in the subsequent
2 calculation of the POD_{HEC} were as follows: human - BW, 70 kg; V_E , 13.8 L/min; breathing
3 frequency, 16 per minute; tidal volume, 860 mL; FRC (functional residual capacity), 3300 mL;
4 and URT (upper respiratory tract) volume, 50 mL. Although the most sensitive population in the
5 principal study is the developing fetus, the adult rat dams were exposed. Thus, adult human
6 parameters were used in the calculation of the HEC to extrapolate from a pregnant rat to a
7 pregnant human. The parameters used for the rat were BW, 0.25 kg (based on the approximate
8 weight of a 100 day-old, female timed-pregnant Sprague-Dawley rat); V_E , 0.18 L/min; breathing
9 frequency, 102 per minute; tidal volume, 1.8 mL; FRC (functional residual capacity), 4 mL; and
10 URT (upper respiratory tract) volume, 4.42 mL. All other parameters were set to the default
11 value (see Appendix C).

12 Under these conditions, the MPPD model calculated F_{TOT} values of 0.621 for the human
13 and 0.181 for the rat. Using the above equation, the $RDDR_{ER}$ was calculated to be 1.06.
14 From this, the POD_{HEC} was calculated as follows:

$$POD_{HEC} = POD_{ADJ} \times RDDR_{ER}$$

$$POD_{HEC} = 4.2 \mu\text{g}/\text{m}^3 \times 1.1$$

$$POD_{HEC} = 4.6 \mu\text{g}/\text{m}^3$$

15 16 17 18 19 20 21 **5.2.3. RfC Derivation- Including Application of Uncertainty Factors (UFs)**

22 The critical effect for the derivation of the RfC was identified as decreased fetal survival
23 and decreased pup weight associated with inhalation exposure to pregnant rats on GDs 11–20.
24 The LOAEL for decreased fetal survival was adjusted to a continuous human equivalent
25 concentration and used as the POD for the derivation of the RfC. A total UF of 1000 was
26 applied to the POD_{HEC} to account for four main areas of uncertainty:

27 A UF_A of 3 was applied to account for uncertainties in extrapolating from rats to humans.
28 Application of a UF of 10 encompasses two areas of uncertainty: toxicokinetic and
29 toxicodynamic uncertainties. In this assessment, the toxicokinetic component is mostly
30 addressed by the determination of a HEC as described in the RfC methodology (U.S. EPA,
31 1994b). Therefore, a UF of 3 was applied to account for the remaining toxicodynamic
32 uncertainties in the extrapolation from rats and humans.

33 A UF_H of 10 was applied to account for variability in susceptibility among members of
34 the human population (i.e., interindividual variability). Insufficient information is available to
35 quantitatively estimate variability in human susceptibility to benzo(a)pyrene.

36 A UF_L of 10 was applied to account for the use of a LOAEL. A NOAEL was not
37 identified for decreased fetal survival observed by Archibong et al (2002). At the lowest dose,
38 benzo[a]pyrene treated dams gave birth to 15% fewer pups compared to dams treated with

1 vehicle alone (carbon black particles). Due to the lack of a NOAEL and the inability to model
2 the data set for decreased fetal survival, a UF of 10 was applied to extrapolate to a NOAEL.

3 A UF_S of 1 was applied to account for extrapolation from subchronic to chronic exposure
4 because developmental toxicity resulting from a narrow period of exposure was used as the
5 critical effect. The developmental period is recognized as a susceptible life stage when exposure
6 during a time window of development is more relevant to the induction of developmental effects
7 than lifetime exposure (U.S. EPA, 1991a).

8 A UF_D of 3 was applied to account for deficiencies in the benzo[a]pyrene toxicity
9 database. One developmental study exists for benzo[a]pyrene by the inhalation route. The
10 developmental study by Archibong et al., 2002, which was used as the basis for the RfC,
11 observed decreased fetal survival and decreased litter size following gestational treatment on
12 GDs 11-20. Limited observational studies in humans have suggested associations between
13 biomarkers of internal doses of benzo[a]pyrene and adverse birth outcomes (including reduced
14 birth weight and postnatal weight, decreased head circumference, and impaired
15 neurodevelopment) and decreased fertility (Neal et al., 2008; Tang et al., 2008, 2006; Perera et
16 al., 2005a, b). A multigenerational reproductive study examining these types of effects in
17 animals does not exist for the inhalation route. However, oral multigenerational studies indicate
18 that effects on fertility would be expected in male and female offspring exposed to
19 benzo[a]pyrene during development (Mackenzie and Angevine 1981; Kristensen et al., 1995). In
20 addition, the lack of a study examining functional neurological endpoints following in utero
21 exposure is also a data gap considering the available epidemiological evidence showing the
22 association of in utero PAH exposure and indicators of decreased neurological development
23 (Edwards et al., 2010, Perera et al., 2009, Tang et al., 2008). Therefore, a UF of 3 was applied
24 to the POD for the lack of a multigenerational reproductive toxicity study and a
25 neurodevelopmental study.

26
27
28 The RfC for benzo[a]pyrene was calculated as follows:

$$\begin{aligned} \text{RfC} &= \text{LOAEL}_{\text{ADJ}[\text{HEC}]} \div \text{UF} \\ &= 4.6 \mu\text{g}/\text{m}^3\text{-day} \div 1000 \\ &= 4.6 \times 10^{-3} \mu\text{g}/\text{m}^3\text{-day or } 5 \times 10^{-6} \text{ mg}/\text{m}^3 \end{aligned}$$

35 **5.2.4. Previous RfC Assessment**

36
37 An RfC was not derived in the previous IRIS assessment.
38
39

5.3. UNCERTAINTIES IN THE ORAL REFERENCE DOSE AND INHALATION REFERENCE CONCENTRATION

The following discussion identifies uncertainties associated with the RfD and RfC for benzo[a]pyrene. To derive the RfD, the UF approach (U.S. EPA, 2000, 1994b) was applied to a POD based on decreased ovary weight in female rats exposed to benzo[a]pyrene. To derive the RfC, this same approach was applied to a POD from a developmental study for the effect of decreased fetal survival. Uncertainty factors were applied to the POD to account for extrapolating from an animal bioassay to human exposure, the likely existence of a diverse population of varying susceptibilities, and for database deficiencies. These extrapolations are carried out with default approaches given the lack of data to inform individual steps.

The database for benzo[a]pyrene contains limited human data. The observation of effects associated with benzo[a]pyrene exposure in humans is complicated by several factors including the existence of benzo[a]pyrene in the environment as one component of complex mixtures of PAHs, exposure to benzo[a]pyrene by multiple routes of exposure, and the difficulty in obtaining accurate exposure information. Data on the effects of benzo[a]pyrene alone are derived from a large database of studies in animal models. The database for oral benzo[a]pyrene exposure includes two chronic bioassays in rats and mice, two developmental studies in mice, and several subchronic studies in rats.

Although the database is adequate for RfD derivation, there is uncertainty associated with the database, because a NOAEL was not identified in the reproductive and developmental oral toxicity studies, comprehensive two-generation reproductive/developmental toxicity studies are not available, and immune system endpoints affected in the subchronic-duration studies were not evaluated in the chronic-duration toxicity studies. Additionally, the only available chronic studies of oral exposure to benzo[a]pyrene focused primarily on neoplastic effects. These studies identify forestomach hyperplasia as one of the more sensitive histological effects following repeated oral exposure to benzo[a]pyrene. However, data from chronic cancer bioassays for benzo[a]pyrene show no increase in this endpoint at the high dose in rats. An increased incidence of forestomach tumors is observed at similar doses; suggesting that this effect may be pre-neoplastic in nature.

The only chronic inhalation study of benzo[a]pyrene was designed as a lifetime carcinogenicity study and did not examine noncancer endpoints (Thyssen et al., 1981). However subchronic and short term inhalation studies are available which examine developmental and reproductive endpoints in rats. Developmental studies by the inhalation route identified biologically significant reductions in the number of pups/litter and percent fetal survival and possible neurodevelopmental effects (e.g., diminished electrophysiological responses to stimuli in the hippocampus) following gestational exposures. Additionally, a 60 day oral study in male rats reported male reproductive effects (e.g., decreased testes weight and sperm production and motility), but provides limited information to characterize dose-response relationships with

1 chronic exposure scenarios. One area of uncertainty pertains to the lack of information regarding
2 fertility in animals exposed gestationally to benzo[a]pyrene, especially in light of developmental
3 studies by the oral route indicating reduced fertility in the F1 generation and decreased
4 reproductive organ weights. The database also lacks a multigenerational reproductive study via
5 the inhalation route. Areas of uncertainty include the lack of chronic inhalation studies focusing
6 on noncancer effects, limited data on dose-response relationships for impaired male or female
7 fertility with gestational exposure or across several generations, and limited data on immune
8 system endpoints with chronic exposure to benzo[a]pyrene.

9 The toxicokinetic and toxicodynamic differences for benzo[a]pyrene between the animal
10 species in which the POD was derived and humans are unknown. PBPK models can be useful
11 for the evaluation of interspecies toxicokinetics; however, the benzo[a]pyrene database lacks an
12 adequate model that would inform potential differences. There is some evidence from the oral
13 toxicity data that mice may be more susceptible than rats to some benzo[a]pyrene effects (such
14 as ovotoxicity [Borman et al., 2000]), though the underlying mechanistic basis of this apparent
15 difference is not understood. Most importantly, it is unknown which animal species may be
16 more comparable to humans.

19 **5.4. CANCER ASSESSMENT**

20 As discussed in Section 4.7, benzo[a]pyrene is “carcinogenic to humans” based on
21 evidence of carcinogenicity in humans exposed to different PAH mixtures containing
22 benzo[a]pyrene, extensive and consistent evidence of carcinogenicity in laboratory animals
23 exposed to benzo[a]pyrene via several routes of administration, and extensive and consistent
24 evidence that the mode of action of carcinogenesis in laboratory animals also occurs in humans
25 exposed to PAH mixtures containing benzo[a]pyrene.

27 **5.4.1. Oral Exposure—Oral Slope Factor**

28 **5.4.1.1. Choice of Study/Data—with Rationale and Justification—Oral Exposure**

29 Numerous cancer bioassays exist which identify tumors, primarily of the alimentary tract,
30 following oral exposure in rodents (see Table 4-8 for references). These studies provide support
31 for the carcinogenic hazard for benzo[a]pyrene, however, are not suitable for dose-response
32 analysis due to limitations in study design, methods, and/or reporting. Specifically, several of
33 these studies 1) lack a vehicle control group 2) use only one benzo[a]pyrene dose group or 3) use
34 a single one-time exposure to benzo[a]pyrene (Benjamin et al., 1988; Robinson et al., 1987; El
35 Bayoumy, 1985; Wattenberg, 1974; Roe et al., 1970; Biancifiori et al. 1967; Chouroulinkov et
36 al., 1967; Field and Roe, 1970; Berenblum and Haran 1955). Of the controlled, multiple dose-
37 group, repeat-dosing studies that remain, most treated animals for less than a year, which is less

1 optimal for extrapolating to a lifetime exposure (Weyand et al., 1995; Triolo et al., 1977;
2 Fedorenko et al., 1967; Neal and Rigdon 1967).

3 Three 2-year oral bioassays remain which associate lifetime benzo[a]pyrene exposure
4 with forestomach, liver, oral cavity, jejunum, kidney, auditory canal (Zymbal's gland) tumors,
5 and skin or mammary gland tumors in male and female Wistar rats (Kroese et al., 2001);
6 forestomach tumors in male and female Sprague-Dawley rats (Brune et al., 1981); and
7 forestomach, esophagus, tongue, and larynx tumors in female B6C3F₁ mice (male mice were not
8 tested; Beland and Culp, 1998; Culp et al., 1998). Brune et al. (1981) dosed rats (32/sex/group)
9 with several concentration of benzo[a]pyrene dissolved a 1.5% caffeine solution, sometimes as
10 infrequently as once every 9th day, for up to two years and observed increased forestomach
11 tumors. This study was not selected for quantitation due to the non-standard treatment protocol.
12 The rat bioassay by Kroese et al. (2001) and the mouse bioassay by Beland and Culp (1998)
13 were conducted in accordance to Good Laboratory Practice (GLP) principles as established by
14 OECD. These studies included histological examinations for tumors in many different tissues,
15 contained three exposure levels and controls, contained adequate numbers of animals per dose
16 group (~50/sex/group), treated animals for two years or until death, and included detailed
17 reporting of methods and results (including individual animal data).

18 Therefore, the Kroese et al. (2001) and Beland and Culp s(1998) tudies were selected as
19 the best available studies for dose-response analysis and extrapolation to lifetime cancer risk
20 following oral exposure to benzo[a]pyrene.

21 22 **5.4.1.2. Dose-response Data—Oral Exposure**

23 Details of the rat (Kroese et al., 2001) and female mouse (Beland and Culp, 1998) study
24 designs are provided in Section 4.2.1.2. Dose-related, statistically significant increasing trends
25 in tumors were noted at the following sites:

- 26 • Squamous cell carcinomas or papillomas of the forestomach or oral cavity in male and
27 female rats;
- 28 • Squamous cell carcinomas or papillomas of the forestomach, tongue, larynx, or
29 esophagus in female mice;
- 30 • Auditory canal carcinomas in male and female rats;
- 31 • Kidney urothelial carcinomas in male rats;
- 32 • Jejunum adenocarcinomas in female and male rats;
- 33 • Hepatocellular adenomas or carcinomas in male and female rats;
- 34 • Squamous cell carcinomas or basal cell tumors of the skin or mammary gland in male
35 rats.

36
37 These tumors were generally observed earlier during the study with increasing exposure
38 levels, and showed statistically significantly increasing trends in incidence with increasing

1 exposure level (Cochran-Armitage trend test, $p \leq 0.001$). These data are summarized in Tables 5-
2 4 (male and female rats) and 5-5 (female mice). As recommended by the NTP (McConnell et al.,
3 1986), etiologically similar tumor types, i.e., benign and malignant tumors of the same cell type,
4 were combined for these tabulations when it was judged that the benign tumors could progress to
5 the malignant form, as outlined in the *Cancer Guidelines* (U.S. EPA, 2005a). In addition, when
6 one tumor type occurred across several functionally related tissues, as with squamous cell tumors
7 in the tongue, esophagus, larynx and forestomach, or adenocarcinomas of the jejunum or
8 duodenum, these incidences were also aggregated as counts of tumor-bearing animals.

9 In the rat study (Kroese et al., 2001), the oral cavity and auditory canal were examined
10 histologically only if a lesion or tumor was observed grossly at necropsy. Consequently, dose-
11 response analysis for these sites was not straightforward. Use of the number of tissues examined
12 histologically as the number at risk would tend to overestimate the incidence, because the
13 unexamined animals were much less likely to have a tumor. On the other hand, use of all
14 animals in a group as the number at risk would tend to underestimate if any of the unexamined
15 animals had tumors which could only be detected microscopically. The oral cavity squamous
16 cell tumors were combined with those in the forestomach because both are part of the alimentary
17 tract, recognizing that there was some potential for underestimating this cancer risk.

18 The auditory canal tumors from the rat study were not considered for dose-response
19 separately or combined with another site. First, very few tissues were examined in the control
20 and lower dose groups (see Table 4-4). Also, the tumors were not clearly related to any other
21 site or incidence type, as they were described as a mixture of squamous and sebaceous cells
22 derived from pilosebaceous units. The tumors found were observed mainly in the high dose
23 groups and were highly coincident with the oral cavity and forestomach tumors. That is, only
24 one mid-dose male with an auditory canal tumor did not also have a forestomach or oral cavity
25 squamous cell tumor. No low-dose male or female rats were found with auditory canal tumors.
26 While the investigators did not suggest that these tumors were metastases from other sites (in
27 which the auditory canal tumors could be reflections of other tumor types), it is difficult to
28 conclude that they are independent on a purely statistical basis without sufficient low-dose data.
29 Therefore dose-response analysis was not pursued for this site.

30

Table 5-4. Incidence data for tumors in Wistar rats exposed to benzo[a]pyrene by gavage, 5 days/week for 104 weeks

Tumor site/type ^a	Administered dose (mg/kg-d)			
	0	3	10	30
	HED (mg/kg-d) ^b			
Male rats	0	0.54	1.81	5.17
Forestomach or oral cavity: squamous cell papilloma or carcinoma	0/51	8/51	45/49	52/52
Hepatocellular adenoma or carcinoma	0/51	4/50	38/49	49/50
Jejunum/duodenum: adenocarcinoma	0/50	0/48	1/48	9/39
Kidney: urothelial carcinoma	0/52	0/52	0/52	3/52
Skin or mammary gland:				
Basal cell adenoma or carcinoma	2/52	1/50	1/49	13/40
Squamous cell carcinoma	0/51	1/50	1/49	6/40
Female rats	0	0.49	1.62	4.85
Forestomach or oral cavity: squamous cell papilloma or carcinoma	1/52	6/50	30/47	50/51
Hepatocellular adenoma or carcinoma	0/52	1/50	39/47	51/51
Jejunum/duodenum: adenocarcinoma	0/50	0/46	0/45	4/42

^aFor each tissue site, the numerator of the tumor incidence value is the number of animals bearing the specified tumors. The denominators are the number of animals examined histologically, minus the number of animals who died before the earlier of the first occurrence of the tumor type in each group or Week 52.

^bHEDs for continuous exposure were calculated using the animal to human scaling factor for each dose group × the administered dose × 5 d/7 d. Scaling factors used the form $(TWA \text{ body weight}/70)^{0.25}$, with the U.S. EPA (1988) reference body weight for humans (70 kg), and the TWA body weight for each dose group. See Table D-4 for more information.

Source: Kroese et al. (2001).

1
2

Table 5-5. Incidence data for tumors in female B6C3F₁ mice exposed to benzo[a]pyrene in the diet for 104 weeks

Tumor site/type ^a	Administered dose (mg/kg-d) ^b			
	0	0.7	3.3	16.5
	HED (mg/kg-d) ^c			
	0	0.10	0.48	2.32
Forestomach, esophagus, tongue, larynx: squamous cell papilloma or carcinoma	1/48	3/48	38/46	46/47

^a The numerator of the tumor incidence value is the number of animals bearing any of the listed tumors (see Table 4-6 in Section 4.2.1.2). The denominators are the number of tissues examined histologically, minus the number of animals who died before the earlier of the first occurrence of the tumor type in each group or Week 52.

^bAdministered doses were calculated using TWA body weight for mice and reported food intakes.

^cHEDs were calculated using the animal to human scaling factor for each dose group × the administered dose. Scaling factors were calculated using U.S. EPA (1988) reference body weights for humans (70 kg), and the TWA body weight for each dose group: $(TWA \text{ body weight}/70)^{0.25} \times \text{dose} = \text{HED}$. See Table D-5 for more information.

Source: Beland and Culp (1998).

3
4

5.4.1.3. Dose Adjustments and Extrapolation Method(s)—Oral Exposure

1 The EPA *Guidelines for Carcinogen Risk Assessment* (U.S. EPA, 2005a) recommend
2 that the method used to characterize and quantify cancer risk from a chemical is determined by
3 what is known about the mode of action of the carcinogen and the shape of the cancer dose-
4 response curve. The dose response is assumed to be linear in the low dose range, when evidence
5 supports a mutagenic mode of action because of DNA reactivity, or if another mode of action
6 that is anticipated to be linear is applicable. In this assessment, EPA concluded that
7 benzo[a]pyrene causes cancer via a mutagenic MOA (as discussed in Section 4.7.3). Thus, a
8 linear approach to low-dose extrapolation was used.

9 The high-dose groups of both the rat and mouse studies were dead or moribund by week
10 79 for female mice, week 72 for female rats, and week 76 for male rats. Due to the occurrence of
11 multiple tumor types, earlier occurrence with increasing exposure, and early termination of the
12 high-dose group in each study, methods that can reflect the influence of competing risks and
13 intercurrent mortality on site-specific tumor incidence rates are preferred. EPA has generally
14 used a model which incorporates the time at which death-with-tumor occurred as well as the
15 dose; the multistage-Weibull model is multistage in dose and Weibull in time, and has the form:

$$P(d, t) = 1 - \exp[-(q_0 + q_1d + q_2d^2 + \dots + q_kd^k) \times (t \pm t_0)^z],$$

16
17
18 where $P(d, t)$ represents the lifetime risk (probability) of cancer at dose d (i.e., human equivalent
19 exposure in this case) and age t (in bioassay weeks); parameters $q_i \geq 0$, for $i = 0, 1, \dots, k$; t is the
20 time at which the tumor was observed; and z is a parameter which characterizes the change in
21 response with age. The parameter t_0 represents the time between when a potentially fatal tumor
22 becomes observable and when it causes death, and is generally set to 0 either when all tumors are
23 considered incidental or because of a lack of data to estimate the time reliably. The dose-
24 response analyses were conducted using the computer software program MultiStage-Weibull
25 (U.S. EPA, 2010), which is based on Weibull models drawn from Krewski et al. (1983).
26 Parameters were estimated using the method of maximum likelihood.

27
28 Two general characteristics of the observed tumor types were considered prior to
29 modeling; allowance for different, although unidentified modes of action, and allowance for
30 relative severity of tumor types. First, etiologically different tumor types were not combined
31 across sites prior to modeling (that is, overall counts of tumor-bearing animals were not
32 tabulated), in order to allow for the possibility that different tumor types could have different
33 dose-response relationships due to different underlying mechanisms or factors, such as latency.
34 Consequently, all of the tumor types listed separately in Tables 5-4 and 5-5 were also modeled
35 separately.

36 Additionally, the multistage-Weibull model can address relative severity of tumor types
37 by distinguishing between tumors as being either fatal or incidental to the death of an animal, in
38 order to adjust partially for competing risks. Incidental tumors are those tumors thought not to

1 have caused the death of an animal, while fatal tumors are thought to have resulted in animal
2 death. Cause of death information for most early animals deaths was provided by the
3 investigators of both of the bioassays. In the rat study, tumors of the forestomach or liver were
4 the principal cause of death for most animals dying or sacrificed (due to moribundity) before the
5 end of the study, while tumors of the forestomach were the most common cause of early deaths
6 in the mouse study.

7 Adjustments for approximating human equivalent slope factors applicable for continuous
8 exposure were applied prior to dose-response modeling. First, continuous daily exposure for the
9 gavage study in rats (Kroese et al, 2001) was estimated by multiplying each administered dose
10 by (5 days)/(7 days) = 0.71, under the assumption of equal cumulative exposure yielding
11 equivalent outcomes. Dosing was continuous in the mouse diet study (Beland and Culp, 1998),
12 so no continuous adjustment was necessary. Next, consistent with the *Guidelines for*
13 *Carcinogen Risk Assessment* (U.S. EPA, 2005a), an adjustment for cross-species scaling was
14 applied to address toxicological equivalence across species. Following EPA's cross-species
15 scaling methodology, the time-weighted daily average doses were converted to human equivalent
16 doses on the basis of (body weight)^{3/4} (U.S. EPA, 1992). This was accomplished by multiplying
17 administered doses by (animal body weight(kg)/70 kg)^{0.25} (U.S.EPA, 1992), where the animal
18 body weights were time-weighted averages from each group (see Tables D-4, D-5), and the U.S.
19 EPA (1988) reference body weight for humans is 70 kg. It was not necessary to adjust the
20 administered doses for lifetime equivalent exposure prior to modeling for the groups terminated
21 early, because the multistage-Weibull model characterizes the tumor incidence as a function of
22 time, from which it provides an extrapolation to lifetime exposure.

23 The multistage-Weibull model was applied to the datasets. Specific n-stage Weibull
24 models were selected for each tumor dataset based on the values of the log-likelihoods according
25 to the strategy used by EPA (U.S. EPA, 2002). If twice the difference in log-likelihoods was less
26 than a χ^2 with degrees of freedom equal to the difference in the number of stages included in the
27 models being compared, the models were considered comparable and the most parsimonious
28 model (i.e., the lowest-stage model) was selected. This method generally led to the same
29 conclusion as selecting the model fit with the lowest AIC. If a model with one more stage fitted
30 the low-dose data better than the most parsimonious model, then the model with one higher stage
31 was selected.

32 PODs for estimating low-dose risk were identified at doses at the lower end of the
33 observed data, generally corresponding to 10% extra risk, where extra risk is defined as $[P(d) -$
34 $P(0)]/[1 - P(0)]$. The lifetime oral cancer slope factor for humans is defined as the slope of the
35 line from the lower 95% bound on the exposure at the POD to the control response (slope factor
36 = 0.1/BMDL₁₀). This slope, a 95% upper confidence limit (UCL) represents a plausible upper
37 bound on the true risk.

38

1 **5.4.1.4. Oral Slope Factor Derivation**

2 The PODs estimated for each tumor site are summarized in Table 5-5. Details of the
 3 model selection process are provided in Table D-6. Using linear extrapolation from the
 4 BMDL₁₀, human equivalent oral slope factors were derived for each gender/tumor site
 5 combination and are listed in Table 5-6.

6
Table 5-6. Human equivalent PODs and oral slope factors derived from multistage-Weibull modeling of tumor incidence data at multiple tissue sites in Wistar rats and B6C3F₁ mice exposed to benzo[a]pyrene orally for 2 years

Species	Sex	Tumor	BMD ₁₀ (mg/kg-d)	BMDL ₁₀ (mg/kg-d)	Slope Factor ^a (mg/kg-d) ⁻¹
Rats	Male	Forestomach, oral cavity: squamous cell tumors	0.453	0.281	0.4
		Hepatocellular adenomas or carcinomas	0.651	0.449	0.2
		Jejunum/duodenum adenocarcinomas	3.03	2.38	0.04
		Kidney: urothelial carcinomas	4.65	2.50	0.04
		Skin, mammary: Basal cell tumors Squamous cell tumors	2.86 2.64	2.35 1.77	0.04 0.06
	Female	Forestomach, oral cavity: squamous cell tumors	0.539	0.328	0.3
		Hepatocellular adenomas or carcinomas	0.575	0.507	0.2
		Jejunum/duodenum adenocarcinomas	3.43	1.95	0.05
Mice	Female	Forestomach, esophagus, tongue, larynx: squamous cell tumors	0.127	0.071	1

^aHuman equivalent slope factor = 0.1/BMDL_{10HED}; see Appendix D for details of modeling results.

^b Estimates of risk of incurring at least one of the tumor types listed.

7
 8 Oral slope factors derived from rat bioassay data varied by gender and tumor site
 9 (Table 5-6). Values ranged from 0.04 per mg/kg-day, based on kidney tumors in males, to
 10 0.4 per mg/kg-day, based on alimentary tract tumors in males. Slope factors based on liver
 11 tumors in male and female rats (approximately 0.2 per mg/kg-day) were only slightly lower than
 12 slope factors based on alimentary tract tumors. The oral slope factor for female mice was
 13 highest, at 1 per mg/kg-day for alimentary tract tumors (Table 5-6), approximately fourfold
 14 higher than the oral slope factor derived from the alimentary tract tumors in male rats.

15 Although the time-to-tumor modeling helps account for competing risks associated with
 16 decreased survival times and other tumors, considering the tumor sites individually still does not
 17 convey the total amount of risk potentially arising from the sensitivity of multiple sites—that is,
 18 the risk of developing any combination of the increased tumor types, not just the risk of
 19 developing all simultaneously. One approach suggested in the *Guidelines for Carcinogen Risk*
 20 *Assessment* (U.S. EPA, 2005a) would be to estimate cancer risk from tumor-bearing animals.
 21 EPA traditionally used this approach until the National Resource Council (NRC) document
 22 *Science and Judgment* (NRC, 1994) made a case that this approach would tend to underestimate
 23 overall risk when tumor types occur in a statistically independent manner. In addition,

1 application of one model to a composite data set does not accommodate biologically relevant
2 information that may vary across sites or may only be available for a subset of sites. For
3 instance, the time courses of the multiple tumor types evaluated varied, as is suggested by the
4 variation in estimates of z (see Table 5-6), from 1.5 (e.g., male rat skin or mammary gland basal
5 cell tumors), indicating relatively little effect of age on tumor incidence, to 3.7 (e.g., male mouse
6 alimentary tract tumors), indicating a more rapidly increasing response with increasing age (in
7 addition to exposure level). The result of fitting a model with parameters which can reflect
8 underlying mechanisms, such as z in the multistage-Weibull model, would be difficult to
9 interpret with composite data (i.e., counts of tumor-bearing animals). A simpler model, such as
10 the multistage model, could be used for the composite data but relevant biological information
11 would then be ignored.

12 Following the recommendations of the NRC (1994) regarding combining risk estimates,
13 statistical methods which can accommodate the underlying distribution of slope factors are
14 optimal, such as through maximum likelihood estimation or through bootstrapping or Bayesian
15 analysis. However, these methods have not yet been extended to models such as the multistage-
16 Weibull model. A method involving the assumption that the variability in the slope factors could
17 be characterized by a normal distribution is detailed below (U.S. EPA, 2010). Using the results
18 in female rats to illustrate, the overall risk estimate involved the following steps:

- 19
20 1) It was assumed that the tumor groupings modeled above were statistically
21 independent—that is, that the occurrence of a liver tumor was not dependent
22 upon whether there was a forestomach tumor. This assumption cannot currently
23 be verified, and if not correct could lead to an overestimate of risk from
24 summing across tumor sites. However, NRC (1994) argued that a general
25 assumption of statistical independence of tumor-type occurrences within
26 animals was not likely to introduce substantial error in assessing carcinogenic
27 potency from rodent bioassay data.
28
- 29 2) The models previously fitted to estimate the BMDs and BMDLs were used to
30 extrapolate to a lower level of risk (R), in order to reach the region of each
31 estimated dose-response function where the slope was reasonably constant and
32 upper bound estimation was still numerically stable. For these data, a 10^{-3} risk
33 was generally the lowest risk necessary. The oral slope factor for each site was
34 then estimated by $R/BMDL_R$, as for the estimates for each tumor site above.
35
- 36 3) The maximum likelihood estimates (MLE) of unit potency (that is, risk per unit
37 of exposure) estimated by R/BMD_R , were summed across the alimentary tract,
38 liver, and jejunum/duodenum in female rats.
39
- 40 4) An estimate of the 95% (one-sided) upper bound on the summed oral slope
41 factor was calculated by assuming a normal distribution for the individual risk
42 estimates, and deriving the variance of the risk estimate for each tumor site from
43 its 95% upper confidence limit (UCL) according to the formula:

1
2
$$95\% \text{ UCL} = \text{MLE} + 1.645 \times \text{s.d.},$$

3 rearranged to:

4
$$\text{s.d.} = (\text{UCL} - \text{MLE}) / 1.645,$$

5
6 where 1.645 is the t-statistic corresponding to a one-sided 95% confidence
7 interval and >120 degrees of freedom, and the standard deviation (s.d.) is the
8 square root of the variance of the MLE. The variances (variance = s.d.²) for each
9 site-specific estimate were summed across tumor sites to obtain the variance of
10 the sum of the MLEs. The 95% UCL on the sum of MLEs was calculated from
11 the expression above for the UCL, using the variance of the sum of the MLE to
12 obtain the relevant s.d (s.d. = variance^{1/2}).

13
14 The resulting composite slope factor for all tumor types for male rats was 0.5 per mg/kg-
15 d, about 25% higher than the slope factor based on the most sensitive tumor site, oral cavity and
16 forestomach, while for female rats the composite slope factor was equivalent to that for the most
17 sensitive site (Table 5-6; see Table D-7 for details of the composite slope factor estimates).

18 The risk estimates from rats and mice spanned a nearly five-fold range. As there are no
19 data to support any one result as most relevant for extrapolating to humans, the most sensitive
20 result was used to derive the oral slope factor. The recommended slope factor for assessing
21 human cancer risk associated with chronic oral exposure to benzo[a]pyrene is **1 per mg/kg-day**,
22 based on the alimentary tract tumor response in female B6C3F₁ mice.

23 24 **5.4.2. Inhalation Exposure—Inhalation Unit Risk**

25 **5.4.2.1. Choice of Study/Data—with Rationale and Justification—Inhalation Exposure**

26 Inhalation exposure to benzo[a]pyrene was associated with nasal adenocarcinomas and
27 squamous cell tumors in the larynx, pharynx, trachea, esophagus, and forestomach, of male
28 Syrian golden hamsters exposed to benzo[a]pyrene:NaCl aerosols at concentrations of 10 or 50
29 mg/m³ until natural death (up to 133 weeks) for 3–4.5 hours/day, 5-7 days/week (Thyssen et al.,
30 1981). Supportive evidence for the carcinogenicity of inhaled benzo[a]pyrene comes from 10
31 additional studies with hamsters exposed to benzo[a]pyrene via intratracheal instillation (see
32 Section 4.2.2.2 for references). However, the use of intratracheal dosing alters the deposition,
33 clearance, and retention of substances and therefore studies utilizing this exposure technique are
34 not as useful for the quantitative extrapolation of cancer risk from the inhalation of
35 benzo[a]pyrene in the environment (Driscoll et al., 2000).

36 The Thyssen et al. (1981) bioassay represents the only lifetime inhalation cancer bioassay
37 available for describing dose-response relationships for cancer from inhaled benzo[a]pyrene.
38 Limitations of the study include the following: (1) only male animals were included; (2) particle
39 analysis of aerosols was not reported [i.e., MMAD and geometric SD were not reported], and
40 (3) benzo[a]pyrene exposure occurred through the inhalation of hygroscopic particles
41 [benzo[a]pyrene was adsorbed onto NaCl aerosols] which may have a different deposition than

benzo[a]pyrene adsorbed onto non-hygroscopic particles in the environment. Strengths of the study include exposure to hamsters for life, histological tumor examination of organs, use of multiple exposure groups, including approximately 30 male hamsters per group, and the availability of individual animal pathology reports with time of death and tumor detection data. Although the study has a few limitations, the strengths of the study support use of the data to derive an inhalation unit risk for benzo[a]pyrene.

5.4.2.2. Dose-response Data—Inhalation Exposure

Survival was decreased relative to control only in the high-dose exposure group; mean survival times in the 0, 2, and 10 mg/m³ concentration groups were 96.4, 95.2, and 96.4 weeks, respectively, and 59.5 weeks in the 50 mg/m³ group animals. Overall, tumors occurred earlier in the highest benzo[a]pyrene exposure group than in the mid-exposure group. Increased incidences of benign and malignant tumors of the larynx, trachea, pharynx, esophagus and forestomach were seen with increasing exposure concentration. Benign tumors—papillomas, polyps and papillary polyps—were considered by the study authors as early stages of the squamous cell carcinomas in these tissues.

Nasal cavity tumors were also observed in the mid- and high-dose groups. Consideration of early mortality (using the poly-3 approach; Bailer and Portier, 1988) suggested that an increasing dose-response was consistent with these data. However trend testing was not statistically significant (p=0.08), and the site was not considered further for unit risk derivation. Table E-1 in Appendix E summarizes the individual animal tumor data, noting the presence or absence of a tumor in these tissues, whether or not the tissue was available for examination by the pathologist, and the time of death. A summary of the incidence of these tumors is provided in Table 5-7.

Table 5-7. Incidence of tumors in male hamsters exposed by inhalation to benzo[a]pyrene for life

Average continuous benzo[a]pyrene concentration ^a (mg/m ³)	Number of hamsters in group ^b	Larynx	Pharynx	Trachea	Esophagus	Forestomach	Any Tumor ^c	Nasal Cavity Tumors
Control	27	0	0	0	0	0	0	0
0.25	27	0	0	0	0	0	0	0
1.01	26	11	9	2	0	1	18	4
4.29	34	12	18	3	2	1	18	1

^aCalculated from air monitoring data.

^bNumber of animals examined histologically, minus the number of animals who died before the earlier of the first occurrence of the tumor type in each group or Week 52.

^c Includes any animal with squamous cell carcinoma of the larynx, pharynx, trachea, esophagus, or forestomach.

Source: Thyssen et al. (1981) and a reanalysis of this data by Clement Associates (1990). See Appendix E for more detailed incidence data.

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5.4.2.3. Dose Adjustments and Extrapolation Method(s)—Inhalation Exposure

A toxicokinetic model to assist in cross species scaling of benzo[a]pyrene inhalation exposure was not available. In addition, default dosimetry adjustments utilized in the benzo[a]pyrene RfC calculation could not be applied because aerosol particle distribution data were not available for the hamster inhalation bioassay by Thyssen et al. (1981). The carrier particle used in Thyssen et al. (1981) was sodium chloride, a soluble hygroscopic particle, and the approaches presented in the RfC methodology guidelines (US EPA 1994b) were developed for insoluble and nonhygroscopic particles.

The availability of the raw chamber air monitoring data and individual times on study allowed the calculation of time-weighted average (TWA) continuous exposure rates for each hamster. Group averages of individual TWA continuous exposure concentrations were 0, 0.25, 1.01, and 4.29 mg/m³, respectively, for the 0, 2, 10, and 50 mg/m³ study concentrations.

A time-to-tumor dose-response model was fit to the time-weighted average exposure concentrations and the individual animal occurrence data for tumors in the larynx, pharynx, trachea, esophagus, and forestomach (Table E-1 in Appendix E) using the computer software program multistage-Weibull (U.S. EPA, 2010) as described in Section 5.4.1.3. The investigators did not determine cause of death for any of the animals. Since in the available oral bioassays the investigators considered these same tumors to be fatal at least some of the time, bounding estimates for the Thyssen et al. data were developed by treating the tumors alternately as either all incidental or all fatal. In either case, therefore, an estimate of t₀ (the time between a tumor first becoming observable and causing death) could not be estimated.

Because benzo[a]pyrene is expected to cause cancer via a mutagenic MOA, a linear approach to low dose extrapolation from the BMCL₁₀ was used (U.S. EPA, 2005a).

5.4.2.4. Inhalation Unit Risk Derivation

Modeling results are provided in Appendix E. The BMC (0.28 mg/m³) and BMCL (0.20 mg/m³) associated with an extra risk of 10% were calculated based on the occurrence of upper respiratory and upper digestive tract tumors in male hamsters exposed to aerosols of benzo[a]pyrene for 104 weeks using the multistage-Weibull model. Using linear extrapolation from the BMCL₁₀ of 0.20 mg/m³, an inhalation unit risk of 0.5 per mg/m³ or **5 x 10⁻⁴ per µg/m³** was calculated.

5.4.3. Dermal Exposure—Dermal Slope Factor

5.4.3.1. Choice of Study/Data—with Rationale and Justification—Dermal Exposure

Skin cancer in humans has been documented to result from occupational exposure to complex mixtures of PAHs including benzo[a]pyrene such as coal tar, coal tar pitches, non-refined mineral oils, shale oils and soot (IARC, 2010; Baan et al., 2009; Boffetta et al., 1997;

1 WHO, 1998; ATSDR, 1995), but no studies of human exposures to benzo[a]pyrene alone are
2 known to exist. In animal models, numerous dose response studies have demonstrated an
3 increased incidence of skin tumors with increasing dermal exposure to benzo[a]pyrene, in all
4 species tested (mice, rabbits, rats, and guinea pigs), though most benzo[a]pyrene chronic dermal
5 bioassays which provide quantitative information have been conducted in mice. In addition,
6 mice appear to be the most sensitive laboratory model of carcinogenesis following dermal
7 benzo[a]pyrene exposure. Therefore, this analysis focuses on chronic carcinogenicity bioassays
8 in several strains of mice demonstrating increasing incidence of benign and malignant skin
9 tumors, and earlier occurrence of tumors with increasing exposure, following repeated dermal
10 exposure to benzo[a]pyrene for the animals' lifetime. These studies involved 2- or 3-times/week
11 exposure protocols, at least two exposure levels plus controls, and included histopathological
12 examinations of the skin and other tissues (Sivak et al., 1997; Grimmer et al., 1984; 1983; Habs
13 et al., 1980; 1984; Schmähl et al., 1977; Schmidt et al., 1973; Roe et al., 1970; Poel, 1960; 1959).
14 These data sets are described in greater detail in Section 4.2.3.2.

15 Because of the availability of the lifetime studies listed above, other carcinogenicity
16 studies were not considered for this assessment. The other studies included: 1) early “skin
17 painting” studies of benzo[a]pyrene carcinogenicity in mouse skin which did not report sufficient
18 information to estimate the doses applied (e.g., Wynder and Hoffman 1959; Wynder et al, 1957);
19 2) initiation-promotion studies utilizing acute dosing of benzo[a]pyrene followed by repeated
20 exposure to a potent tumor promoter (sometimes benzo[a]pyrene at a lower dose than the
21 initiation step), because they are not as relevant for calculating risks from constant
22 benzo[a]pyrene exposure alone; 3) bioassays with one benzo[a]pyrene dose level or with only
23 dose levels inducing 90–100% incidence of mice with tumors, because they provide relatively
24 little information about the shape of the dose-response relationship (e.g., Wilson and Holland,
25 1988); 4) studies with shorter exposure and observation periods (i.e., less than one year; Levin et
26 al., 1977; Nesnow et al, 1983; Albert et al., 1991; Emmett et al, 1981; Higginbotham et al., 1993)
27 which are less relevant for characterizing lifetime risk; and 5) studies involving vehicles
28 expected to interact with or enhance benzo[a]pyrene carcinogenicity (e.g., Bingham and Falk,
29 1969) which precludes assessment of carcinogenic risks of benzo[a]pyrene alone.

31 **5.4.3.2. Dose-response Data—Dermal Exposure**

32 Several studies were considered for dose-response modeling for derivation of the dermal
33 slope factor for benzo[a]pyrene, reflecting a relatively large database. Study designs and the
34 extent of data reported varied across the studies, with no individual animal data available. All of
35 the studies identified in the previous section (Sivak et al., 1997; Grimmer et al., 1984; 1983;
36 Habs et al., 1980; 1984; Schmähl et al., 1977; Schmidt et al., 1973; Roe et al., 1970; Poel, 1960;
37 1959) were considered further in order to evaluate overall consistency of the available database.
38 These data sets are presented in Tables 5-8 through 5-12, and are grouped by study

1 characteristics such as mouse strain and vehicle in order to facilitate qualitative comparisons
2 where possible.

3 Each data set was examined for study design and both strengths and limitations that could
4 potentially impact the dose-response evaluation, including the potential of early mortality to
5 impact the number at risk for developing tumors, and the length of exposure. Although nearly all
6 studies reported lifetime exposures, this term most often indicated that exposure continued until
7 natural death, not a scheduled sacrifice at 104 weeks.

8 The studies by Poel (1959, 1960) were conducted in male mice and used toluene as the
9 vehicle (see Tables 4-11, 4-12, respectively). In addition to a control group, the 1959 study
10 included nine dose groups of one mouse strain (C57L) and the 1960 study included seven dose
11 groups of 3 other mouse strains. Both studies demonstrated high mortality and tumor incidence
12 at higher exposure levels. As noted in Table 4-11, all C57L mice in dose groups with >3.8
13 µg/application died by Week 44 of the study (Poel, 1959). Therefore, these five dose groups
14 were omitted prior to dose-response modeling because of the relatively large uncertainty in
15 characterizing cancer risk in relation to lifetime exposure. Four dose groups in addition to
16 control remained. Among these groups mice survived and were exposed until weeks 83–103.
17 According to the lifespan ranges provided, at least one mouse in each dose group died before the
18 first appearance of tumor, but insufficient information was available to determine how many;
19 consequently the incidence denominators were not adjusted. The dose-response data are
20 summarized in Table 5-7.

21 For the Poel (1960) studies, all tumors in the highest three dose groups for each of the
22 three mouse strains had occurred by Week 40 (see Table 4-12). While these observations
23 support concern for cancer risk, as noted above such results are relatively uncertain for
24 estimating lifetime cancer risk. In addition, there was no information indicating duration of
25 exposure for the mice without tumors; although exposure was for lifetime, it might have been as
26 short as for the mice with tumors. Overall, these datasets did not provide sufficient information
27 to estimate the extent of exposure associated with the observed tumor incidence. Consequently
28 the experiments reported by Poel (1960) were not used for dose-response modeling.

29 The studies listed in Table 5-9 all used acetone as the vehicle and either Swiss or NMRI,
30 female mice (Roe et al., 1970; Schmidt et al., 1973; Schmähl et al., 1977; Habs et al., 1980,
31 1984). Roe et al. (1970) applied benzo[a]pyrene dermally for 93 weeks or until natural death;
32 with the exception of the highest dose group, each group still had approximately 20 animals at 86
33 weeks (Table 4-14). The tumors were first observed in the lowest and highest dose groups
34 during the interval of weeks 29-43. Mice that died before week 29 were likely not at risk of
35 tumor development. However because tumor incidence and mortality were reported in 100-day
36 intervals, mice that had not been on study long enough to develop tumors were not easily
37 identifiable. Incidence denominators reflect the number of animals alive at Week 29, and thus

1 may tend to lead to underestimates of tumor risk if the number of animals at risk have been
2 overestimated.

3 Schmidt et al. (1973) did not report survival information, instead the authors provided
4 incidences based on the numbers of mice initially included in each dose group at the start of the
5 study. Overall latency was reported for the two high dose groups in each series, but these data
6 only describe the survival of mice with tumors (animals were removed from study when a tumor
7 appeared). It is not clear how long exposures lasted overall in each dose group, or whether some
8 mice may have died on study from other causes before tumors appeared. While it is possible that
9 no mice died during the study, all of the other studies considered here demonstrate mortality.
10 However, the data were modeled as reported, recognizing the possibility of underestimating risk
11 associated with incidences reported and lack of duration of exposure.

12 Schmähl et al. (1977) reported that reduced numbers of animals at risk (77–88 mice per
13 dose group compared with the initial group sizes of 100) resulted from varying rates of autolysis.
14 No other survival or latency information was provided, so all exposures were assumed to have
15 lasted for 104 weeks and were modeled as reported. Given the results of the other studies, it
16 seems possible that the numbers at risk in each group may be overestimated, which could lead to
17 an underestimate of lifetime risk.

18 Habs et al. (1980) reported age-standardized skin tumor incidence rates, indicating earlier
19 mortality in the two highest dose groups (2.8 and 4.6 µg/application). These rates were used to
20 estimate the number at risk in the dose-response modeling, by dividing the number of mice with
21 tumors by the age-standardized rates (see Table 5-9). Exposure lasted longer than 104 weeks in
22 the two lower exposure groups, at about 120 and 112 weeks, and until about 88 weeks in the
23 highest exposure group. Incidence in the two lower exposure groups may be higher than if the
24 exposure had lasted just 104 weeks. There was mortality in the first 52 weeks of exposure, about
25 10–15% in the three exposure groups, but because there was no information concerning when
26 tumors first appeared it is not possible to determine how much the early mortality may have
27 impacted the number of mice at risk in each group.

28 Habs et al. (1984) reported mean survival times (with 95% confidence intervals) for each
29 dose group. The confidence intervals supported the judgment that the control and lower dose
30 groups were treated for 104 weeks. The higher dose group (4 µg/application) was probably
31 treated for less than 104 weeks, because the upper 95% confidence limit for the mean survival
32 was approximately 79 weeks (Table 4-20). However, since it was not possible to estimate a
33 more realistic duration for this group, an estimate of 104 weeks was used.

34 Grimmer et al. (1983 and 1984), studied female CFLP mice, using acetone:DMSO (1:3)
35 as the vehicle (see Table 5-10). Mean or median latency times were reported (as well as
36 measures of variability), but no information concerning overall length of exposure or survival
37 was included in the results. The total of tumor-bearing mice and the reported percentages of
38 mice with any skin tumors was reported and varied at most one animal from the number of

1 animals initially placed on study. The decreasing latency and variability and increasing tumor
2 incidence with increasing benzo[a]pyrene exposure suggests that exposure probably did not last
3 for 104 weeks in at least the high dose group, but the available information did not provide
4 duration of exposure. The data reported were modeled under the assumption that at least some
5 animals in each group were treated and survived until Week 104.

6 The study listed in Table 5-11, Sivak et al. (1997) exposed male C3H /HeJ mice dermally
7 to benzo[a]pyrene in cyclohexanone/acetone (1:1) for 24 months, and reported mean survival
8 times for each group (see Table 4-21). All high dose mice died before the final sacrifice. From
9 the information provided it is apparent that the animals in the control and lower two dose groups
10 survived until study termination at Week 104. The study authors did not report how long
11 treatment in the highest dose group lasted, but estimation of the figure from the publication
12 suggest that exposure duration was 74 weeks. The tumor incidences and estimated duration of
13 exposure for each dose group are presented in Table 5-11.

14

Table 5-8. Skin tumor incidence, benign or malignant, in C57L male mice dermally exposed to benzo[a]pyrene

Study	Mouse strain	Dose (µg) ^a	Average daily dose (µg/d)	First appearance of tumor (weeks)	Length of Exposure (weeks)	Lifetime Average Daily Dose ^b	Skin tumor incidence (all types)
Poel (1959)	C57L	0 (toluene)	0	—	92	0.00	0/33 (0%)
		0.15	0.06	42	98	0.05	5/55 (9%)
		0.38	0.16	24	103	0.16	11/55 (20%)
		0.75	0.32	36	94	0.24	7/56 (13%)
		3.8	1.63	21–25	82	0.80	41/49 (84%)

^aDoses were applied to interscapular skin 3 times/wk for up to 103 weeks or until time of appearance of a grossly detected skin tumor. See Table 4-11 for data of five highest dose groups (19-752 µg) in which all mice died by Week 44. These groups were not considered for dose-response modeling.

^bSee Section 5.4.3.3. for discussion of extrapolation to lifetime average daily doses.

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Table 5-9. Skin tumor incidence, benign or malignant in female Swiss or NMRI mice dermally exposed to benzo[a]pyrene

Study	Mouse strain	Dose (µg)	Average daily dose (µg/d)	First appearance of tumor (weeks)	Length of exposure (weeks)	Lifetime average daily dose (µg/d)	Skin tumor incidence (all types)
Roe et al. (1970) ^{a,b}	Swiss	0 (acetone)	0	—	93	0.00	0/49 (0%)
		0.1	0.04	29-43	93	0.03	1/45 (2%)
		0.3	0.13	—	93	0.09	0/46 (0%)
		1	0.43	57-71	93	0.31	1/48 (2%)
		3	1.29	43-57	93	0.92	8/47 (20%)
		9	3.86	29-43	93	2.76	34/46 (74%)
Schmidt et al. (1973) ^c	NMRI	0 (acetone)	0	—	<i>104^d</i>	0	0/100 (0%)
		0.05	0.01	—	<i>104</i>	0.01	0/100 (0%)
		0.2	0.06	—	<i>104</i>	0.06	0/100 (0%)
		0.8	0.23	53 ^e	<i>104</i>	0.23	2/100 (2%)
		2	0.57	76 ^e	<i>104</i>	0.57	30/100 (30%)
	Swiss	0 (acetone)	0	—	<i>104</i>	0	0/80 (0%)
		0.05	0.01	—	<i>104</i>	0.01	0/80 (0%)
		0.2	0.06	—	<i>104</i>	0.06	0/80 (0%)
		0.8	0.23	58 ^e	<i>104</i>	0.23	5/80 (6%)
		2	0.57	61 ^e	<i>104</i>	0.57	45/80 (56%)
Schmähl et al. (1977) ^c	NMRI	0 (acetone)	0	—	<i>104</i>	0	1/81 (1%)
		1	0.29	NR	<i>104</i>	0.29	11/77 (14%)
		1.7	0.49	NR	<i>104</i>	0.49	25/88 (28%)
		3	0.86	NR	<i>104</i>	0.86	45/81 (56%)
Habs et al. (1980) ^c	NMRI	0 (acetone)	0	—	<i>128</i>	0	0/35 (0%) ^e
		1.7	0.49	NR	<i>120</i>	0.49	8/34 (24.8%)
		2.6	0.74	NR	<i>112</i>	0.74	24/27 (89.3%)
		4.6	1.31	NR	<i>88</i>	0.80	22/24 91.7%)
Habs et al. (1984) ^c	NMRI	0 (acetone)	0	—	104	0	0/20 (0%)
		2	0.57	NR	104	0.57	9/20 (45%)
		4	1.14	NR	104	1.14	17/20 (85%)

^aDoses were applied 3 times/week for up to 93 wks to shaved dorsal skin.

^bNumerator: number of mice detected with a skin tumor. Denominator: number of mice surviving to 29 weeks (200 days).

^cDoses were applied 2 times/week to shaved skin of the back. Mice were exposed until natural death or until they developed a carcinoma at the site of application.

^dExposure periods not reported were assumed to be 104 weeks; indicated in italics.

^eCentral tendency estimates; range or other variability measure not reported.

^fThe percentages were reported by the authors as age-standardized tumor incidences, derived using mortality data from the entire study population. The incidences reflect reported counts of tumor-bearing animals and denominators estimated from the reported age-standardized rates.

NR=not reported.

Table 5-10. Skin tumor incidence, benign or malignant, in female CFLP mice dermally exposed to benzo[a]pyrene

Study	Dose (µg) ^a	Average daily dose (µg/d)	Mean or median time of tumor appearance (weeks)	Length of exposure (weeks) ^d	Lifetime average daily dose (µg/d)	Skin tumor incidence (all types) ^e
Grimmer et al. (1983)	0 (1:3 acetone:DMSO)	0	—	<i>104</i>	0	0/80 (0%)
	3.9	1.1	74.6 ± 16.8 ^b	<i>104</i>	1.1	22/65 (34%)
	7.7	2.2	60.9 ± 13.9	<i>104</i>	2.2	39/64 (61%)
	15.4	4.4	44.1 ± 7.7	<i>104</i>	4.4	56/64 (88%)
Grimmer et al. (1984)	0 (1:3 acetone:DMSO)	0	—	<i>104</i>	0	0/80 (0%)
	3.4	0.97	61 (53–65) ^c	<i>104</i>	0.97	43/64 (67%)
	6.7	1.9	47 (43–50)	<i>104</i>	1.9	53/65 (82%)
	13.5	3.9	35 (32–36)	<i>104</i>	3.9	57/65 (88%)

^aIndicated doses were applied twice/week to shaved skin of the back for up to 104 weeks.

^bMean ± SD.

^cMedian and 95% confidence limit.

^dAssumed exposure period is indicated in italics.

^eIncidence denominators were calculated from reported tumor-bearing animals and reported percentages.

1

Table 5-11. Skin tumor incidence, benign or malignant, in male C3H/HeJ mice dermally exposed to benzo[a]pyrene (Sivak et al., 1997)

Dose (µg) ^a	Average daily dose (µg/d)	First appearance of tumor (weeks)	Length of exposure (weeks) ^b	Lifetime average daily dose (µg/d)	Skin tumor incidence (all types)
0 (1:1 cyclohexanone/acetone)	0	—	<i>104</i>	0.0	0/30 (0%)
0.05	0.01	—	<i>104</i>	0.01	0/30 (0%)
0.5	0.14	NR	<i>104</i>	0.14	5/30 (17%)
5.0	1.4	~43	<i>74</i>	0.51	27/30 (90%)

^aIndicated doses were applied twice/week to shaved dorsal skin.

^bAssumed exposure period is indicated in italics.

NR=not reported.

2

3

5.4.3.3. Dose Adjustments and Extrapolation Method(s)—Dermal Exposure

As with the oral and inhalation benzo[a]pyrene carcinogenicity data (see sections 5.4.1.3 and 5.4.2.3), benzo[a]pyrene’s dermal exposure carcinogenicity data were generally characterized by earlier occurrence of tumors and increased mortality with increasing exposure level. However, individual animal data were not available for any of the identified studies. Therefore, time to tumor modeling was not possible. Each of the dermal data sets was modeled using the multistage model, incorporating adjustments for early mortality, when data were available.

11

1
2 First, for all studies, administered doses were converted to average daily doses using the
3 equation:

$$4 \quad \text{Average dose/day} = (\mu\text{g/application}) \times (\text{number of exposures/week} \div 7 \text{ days/week}).$$

6

7 Next, lifetime equivalent doses were estimated for study groups that were reported to end
8 before 104 weeks by multiplying the relevant average daily doses by $(L_e/104)^3$, where L_e is the
9 length of exposure, based on observations that tumor incidence tends to increase with age (Doll,
10 1971). Note that exposure periods less than 52 weeks would lead to a relatively large adjustment
11 [i.e., $(52/104)^3 = 0.125$, or an eightfold lower dose than administered], reflecting considerable
12 uncertainty in lifetime equivalent dose estimates generated from relatively short studies.

13 The multistage-cancer model in the EPA BMDS (version 2.1) was fit to each data set in
14 Tables 5-8 through 5-11. The multistage model with the most parsimonious fit (fewest
15 parameters yielding an adequate fit) was selected to calculate the potential POD from each data
16 set (see Appendix F for details). Because the multistage model is preferred for cancer modeling,
17 the conventional α -level of 0.05 was used to judge goodness-of-fit. If there was no adequate fit
18 using the multistage-cancer model, then other dichotomous models were considered. If there
19 was still no adequate fit, high doses were dropped incrementally and the multistage-cancer model
20 was considered before attempting other models. BMDs and BMDLs associated with an extra
21 risk of 10% were calculated. Because benzo[a]pyrene is expected to cause cancer via a
22 mutagenic MOA, a linear approach to low dose extrapolation from the PODs (i.e., BMDL_{10}) was
23 used (U.S. EPA, 2005a) for candidate dermal slope factors.

24 25 **5.4.3.4. Dermal Slope Factor Derivation**

26 Adequate model fits were found using the multistage model for all but one of the mouse
27 skin tumor incidence data sets in Tables 5-8 to 5-11, as described in Appendix F. In one case,
28 the data from Grimmer et al. (1984) could not be adequately fit by the multistage model initially,
29 and the other dichotomous models available in BMDS were considered. Due to the supralinear
30 shape of the dose-response data, only the log-logistic and dichotomous Hill models provided
31 adequate fits. Also due to the supralinear dose-response shape, the point of departure for slope
32 factor derivation was identified near the lowest response of ~70%, in order to avoid excessive
33 extrapolation of the fitted model.

34 Dermal slope factors, calculated in units of risk per ($\mu\text{g/day}$) using linear extrapolation
35 from the BMDL_{10} values, ranged from 0.25 to 1.8 per $\mu\text{g/day}$, a roughly 7-fold range (see Table
36 5-12). A number of differences among studies contribute to this range, including solvent choice,
37 sex and strain of mice studied, dose ranges and the level of detail reported. Mouse strains were
38 not repeated across sexes among these studies, so it cannot be established whether male mice are

generally more or less sensitive than female mice to benzo[a]pyrene dermal carcinogenicity, or whether Swiss or NRM1 mice are more or less sensitive than other strains. In addition, different solvents were used in the various studies with varying strain and sex combinations tested. For example, toluene was used in one male study only and all of the female studies used acetone. Thus, any possible impact of the solvents used is not clear. The estimates derived from the two studies in males were at the higher end of the range of slope factors derived, but the available information is too limited to conclude that males are more sensitive than females. Also, as noted earlier, incomplete mortality information in several of the female mouse studies (Schmidt et al., 1973; Schmähl et al., 1977; Grimmer et al., 1983, 1984; and Habs et al., 1980, 1984) suggests that the derived dermal slope factors may underestimate cancer risk.

The BMDL₁₀ of 0.066 µg/animal-day, based on the tumor response in C3H/HeJ male mice (Sivak et al., 1997), is recommended for developing a human dermal slope factor because it is the lowest POD among studies with lower doses where intercurrent mortality was less likely to impact the number at risk and represented a chronic duration of exposure.

Table 5-12. PODs derived from skin tumor incidence data in mice exposed to benzo[a]pyrene by the dermal route of exposure^a

Reference	Mouse strain	Solvent	BMD ₁₀ (µg/animal-d)	BMDL ₁₀ (µg/animal-d)
Male mice				
Sivak et al., 1997	C3H/HeJ	Acetone/ cyclohexanone	0.12	0.066
Poel, 1959	C57L	Toluene	0.12	0.077
Female mice				
Habs et al., 1984	NMRI	Acetone	0.078	0.056
Grimmer et al., 1984	CFLP	Acetone/DMSO	1.07 ^b	0.48 ^b
Schmahl et al., 1977	NMRI	Acetone	0.23	0.15
Schmidt et al., 1973	Swiss	Acetone	0.28	0.22
Grimmer et al., 1983	CFLP	Acetone/DMSO	0.24	0.21
Habs et al., 1980	NMRI	Acetone	0.29	0.22
Schmidt et al., 1973	NMRI	Acetone	0.33	0.29
Roe et al., 1970	Swiss	Acetone	0.69	0.39

^aSee Appendix F for details of modeling results.

^bBMR=70% for this dataset, in order to avoid excessive extrapolation via the fitted model.

5.4.3.5. Dermal Slope Factor Cross Species Scaling

Different methodologies have been established for interspecies scaling of points of departure (PODs) used to derive oral slope factors and inhalation unit risks. Cross-species adjustment of oral doses is based on allometric scaling using the three-fourths power of body weight. This adjustment accounts for more rapid distribution, metabolism, and clearance in small animals (US EPA 2005). Cross-species extrapolation of inhalation exposures is based on standard dosimetry models that consider factors such as solubility, reactivity, and persistence

1 (US EPA 1994). However, no established methodology exists to adjust for interspecies
2 differences in dermal toxicity at the point of contact. Because there is no established
3 methodology for cross-species extrapolation of dermal toxicity, several alternative approaches
4 were evaluated (see Appendix H). Among the alternative described in Appendix H, cross-
5 species adjustment based on allometric scaling using body weight to the 3/4 power was selected.
6 Under this approach, rodents and humans exposed to the same daily dose of a carcinogen,
7 adjusted for $BW^{3/4}$, would be expected to have equal lifetime risks of cancer.

8
9 The POD_M derived from the mouse study by Sivak et al., (1997) is adjusted to a human
10 equivalent dose (HED) as follows:

$$11 \quad POD_{HED}(\mu\text{g/day}) = POD_M(\mu\text{g/day}) \times (BW_H / BW_M)^{3/4}$$

$$12 \quad POD_{HED}(\mu\text{g/day}) = 0.066 \mu\text{g/day} \times (70 \text{ kg} / 0.035 \text{ kg})^{3/4}$$
$$13 \quad = 19.7 \mu\text{g/day}$$

14
15
16
17
18 The resulting POD_{HED} is used to calculate the dermal slope factor for benzo[a]pyrene:

$$19 \quad DSF = 0.1 / POD_{HED}$$

$$20 \quad DSF = 0.1 / (19.7 \mu\text{g/day}) = \mathbf{0.005 (\mu\text{g/day})}^{-1}$$

21
22
23
24 Note that the DSF should only be used with lifetime human exposures $< 20 \mu\text{g/day}$, the
25 human equivalent of the bioassay POD, because above this level the dose-response relationship
26 may not be proportional to mass of the compound applied.

27 Several assumptions are made in the use of this scaling method. First, it is assumed that
28 the toxicokinetic processes in the skin will scale with interspecies differences in whole body
29 toxicokinetics. Secondly, it is assumed that the risk at low doses of benzo[a]pyrene is linear;
30 however, one study indicates that at high doses of benzo[a]pyrene, carcinogenic potency is
31 related to mass applied per unit skin and not to total mass (Davies 1967). However, this may be
32 due to promotional effects, such as inflammation, that are observed at high doses of
33 benzo[a]pyrene.

34 This slope factor has been developed for a local effect and it is not intended to estimate
35 systemic risk of cancer following dermal absorption of benzo[a]pyrene into the systemic
36 circulation. Although some information suggests that benzo[a]pyrene metabolites can enter
37 systemic circulation following dermal exposure (Godschalk et al 1998), lifetime skin cancer
38 bioassays which have included pathological examination of other organs, have not found

1 elevated incidences of tumors at distal sites (Poel 1959; Roe et al., 1970; Schmidt et al., 1973;
2 Schmahl et al., 1977; Habs et al., 1980; Higginbotham et al.,1993). In addition, benzo[a]pyrene
3 tends to bind to targets within the skin rather than enter the plasma receptor fluid (a surrogate
4 measure of systemic absorption) in in vitro human skin experiments. These data are consistent
5 with benzo[a]pyrene's metabolism to reactive metabolites within the viable layers of the skin
6 (Wester et al., 1990). Some studies indicate that the fraction of benzo[a]pyrene left within the
7 viable layers of the skin is a large portion of the applied dose (Moody et al., 2007; 1995). Taken
8 together, these data support the conclusion that the risk of skin cancer following dermal exposure
9 likely outweighs cancer risks at distal organs.

11 **5.4.4. Application of Age-Dependent Adjustment Factors**

12 Based on sufficient support in laboratory animals and relevance to humans (see Section
13 4.7.3) benzo[a]pyrene is determined to be carcinogenic by a mutagenic MOA. According to the
14 *Supplemental Guidance for Assessing Susceptibility from Early Life Exposure to Carcinogens*
15 (*"Supplemental Guidance"*) (U.S. EPA, 2005b), individuals exposed during early life to
16 carcinogens with a mutagenic MOA are assumed to have increased risk for cancer. The oral
17 slope factor of 1.4 per mg/kg-day, inhalation unit risk of 0.5 per mg/m³, and dermal slope factor
18 of 0.0051 per µg/day for benzo[a]pyrene, calculated from data applicable to adult exposures, do
19 not reflect presumed early life susceptibility to this chemical. Though some chemical specific
20 data exist for benzo[a]pyrene which demonstrate increased early life susceptibility to cancer
21 (Vesselinovitch et al. 1984), these data were not considered sufficient to develop separate risk
22 estimates for childhood exposure, as they used acute, i.p. exposures (U.S. EPA, 2005b). In the
23 absence of adequate chemical-specific data to evaluate differences in age-specific susceptibility,
24 the Supplemental Guidance (U.S. EPA, 2005b) recommends that age-dependent adjustment
25 factors (ADAFs) be applied in estimating cancer risk.

26 The *Supplemental Guidance* (U.S. EPA, 2005b) establishes ADAFs for three specific age
27 groups. These ADAFs and their corresponding age groupings are: 10 for individuals exposed
28 <2 years, 3 for exposed individuals 2 to <16 years, and 1 for exposed individuals ≥16 years. The
29 10- and 3-fold adjustments are combined with age specific exposure estimates when estimating
30 cancer risks from early life (<16 years age) exposures to benzo[a]pyrene To illustrate the use of
31 the ADAFs established in the *Supplemental Guidance* (U.S. EPA, 2005b), sample calculations
32 are presented for three exposure duration scenarios, including full lifetime, assuming a constant
33 benzo[a]pyrene exposure of 0.001 mg/kg-day (Table 5-13).

34 Calculations for the application of ADAFs to oral exposures are presented in Table 5-13;
35 calculations for exposures by the inhalation and dermal routes follow the same procedure (Table
36 5-13 and 5-14). Exposure duration scenarios include full lifetime exposure (assuming a 70-year
37 lifespan), and two 30-year exposures at ages 0–30 and ages 20–50. Table 5-13 lists the four
38 factors (ADAFs, cancer risk estimate, assumed exposure, and duration adjustment) that are

1 needed to calculate the partial cancer risk based on the early age-specific group. The cancer risk
 2 for each age group is the product of the four factors in columns 2–5. Therefore, the cancer risk
 3 following daily benzo[a]pyrene oral exposure in the age group 0 to <2 years is the product of the
 4 values in columns 2–5 or $10 \times 1 \times 0.001 \times 2/70 = 4 \times 10^{-4}$. The cancer risk for specific exposure
 5 duration scenarios that are listed in the last column are added together to get the total risk. Thus,
 6 a 70-year (lifetime) risk estimate for continuous exposure to 0.001 mg/kg-day benzo[a]pyrene is
 7 2×10^{-3} , which is adjusted for early-life susceptibility and assumes a 70-year lifetime and
 8 constant exposure across age groups.

9
 10 **Table 5.13. Application of ADAFs to benzo[a]pyrene cancer risk following a lifetime (70-year) oral exposure**

Age Group	ADAF	Unit risk	Exposure Concentration	Duration adjustment	Cancer Risk for Specific Exposure Duration Scenarios
		(per mg/kg-day)	(mg/kg-day)		
0-<2 yrs	10	1	0.001	2 yrs/70 yrs	0.0003
2-<16 yrs	3	1	0.001	14 yrs/70 yrs	0.0006
≥16 yrs	1	1	0.001	54 yrs/70 yrs	0.0007
Total Risk					0.002

11

12 In calculating the cancer risk for a 30-year constant exposure to benzo[a]pyrene at an
 13 exposure level of 0.001 mg/kg-day from ages 0–30, the duration adjustments would be 2/70,
 14 14/70, and 14/70, and the partial risks for the three age groups would be 3×10^{-4} , 6×10^{-4} , and
 15 2×10^{-4} , which would result in a total risk estimate of 1×10^{-3} .

16 In calculating the cancer risk for a 30-year constant exposure to benzo[a]pyrene at an
 17 exposure level of 0.001 mg/kg-day from ages 20–50, the duration adjustments would be 0/70,
 18 0/70, and 30/70. The partial risks for the three groups are 0, 0, and 4×10^{-4} , which would result
 19 in a total risk estimate of 4×10^{-4} .

20 Consistent with the approaches for the oral route of exposure, the ADAFs should also be
 21 applied when assessing cancer risks for subpopulations with early life exposures to
 22 benzo[a]pyrene via the inhalation and dermal routes are presented in Tables 5-14 and 5-15.

23

24 **Table 5-14. Application of ADAFs to benzo[a]pyrene cancer risk following a lifetime (70-year) inhalation exposure**

Age Group	ADAF	Unit risk	Exposure Concentration	Duration adjustment	Cancer Risk for Specific Exposure Duration Scenarios
		(per $\mu\text{g}/\text{m}^3$)	($\mu\text{g}/\text{m}^3$)		

0-<2 yrs	10	5×10^{-4}	1	2 yrs/70 yrs	0.0001
2-<16 yrs	3	5×10^{-4}	1	14 yrs/70 yrs	0.0003
≥16 yrs	1	5×10^{-4}	1	54 yrs/70 yrs	0.0004
Total Risk					0.0008

Table 5-15. Application of ADAFs to benzo[a]pyrene cancer risk following a lifetime (70-year) dermal exposure

Age Group	ADAF	Unit risk	Exposure Concentration	Duration adjustment	Cancer Risk for Specific Exposure Duration Scenarios
		(per $\mu\text{g}/\text{day}$)	($\mu\text{g}/\text{day}$)		
0-<2 yrs	10	0.005	0.001	2 yrs/70 yrs	1×10^{-6}
2-<16 yrs	3	0.005	0.001	14 yrs/70 yrs	3×10^{-6}
≥16 yrs	1	0.005	0.001	54 yrs/70 yrs	4×10^{-6}
Total Risk					8×10^{-5}

5.4.5. Uncertainties in Cancer Risk Values

5.4.5.1. Oral Slope Factor

Uncertainty in the recommended oral slope factor is reflected in the range of slope factors among tumors sites and species; the lowest and highest slope factors listed in Table 5-6 show about a 35-fold difference. While the highest risk estimates were derived from the incidence data for forestomach tumors in both rats and mice, the oral slope factor based on the mouse forestomach data was about threefold higher than the oral slope factor based on male rat data (Table 5-6). These comparisons show that the selection of target organ, animal species, and dosimetric extrapolation can impact the oral cancer risk estimate. However, all of the activation pathways implicated in benzo[a]pyrene carcinogenicity have been observed in human tissues and associations have been made between the spectra of mutations in tumor tissues from benzo[a]pyrene-exposed animals and humans exposed to complex PAH mixtures containing benzo[a]pyrene (see Section 4.7.3).

5.4.5.2. Inhalation Unit Risk

Only one animal cancer bioassay by the inhalation route is available which describes the dose-response relationship for respiratory tract tumors with chronic inhalation exposure to benzo[a]pyrene (Thyssen et al., 1981). Although corroborative information on dose-response relationships in other animal species is lacking, the findings for upper respiratory tract tumors are consistent with findings in other hamster studies with intratracheal administration of benzo[a]pyrene. This study is adequate for dose-response analysis and derivation of an inhalation unit risk estimate, but some associated uncertainty includes the inability to apply U.S.

1 EPA (1994b) dosimetry approaches to extrapolate inhaled doses from animals to humans, due to
2 the use of a soluble hygroscopic carrier particle (NaCl) for the delivery of benzo[a]pyrene. One
3 likely consequence of the use of hygroscopic carrier particles would be the growth of
4 benzo[a]pyrene-NaCl particles in the humid environment of the respiratory tract resulting in
5 increased particle diameter and resulting changes in particle deposition, specifically, increased
6 impaction in the upper respiratory tract (Xu and Yu 1985; Ferron 1994; Asgharian 2004;
7 Varghese and Gangamma 2009). Exposure to benzo[a]pyrene in the environment predominantly
8 occurs via non-soluble, non-hygroscopic particles. The potential impact of differences in carrier
9 particle on the magnitude of the inhalation unit risk is unknown.

11 **5.4.5.3 Dermal Slope Factor**

12 Uncertainty in the recommended dermal slope factor is partly reflected in the range of
13 slope factors derived from the modeled mouse skin tumor data sets: the lowest and highest
14 dermal slope factors listed in Table 5-12 show a 7-fold difference (0.25-1.8 ug/day) in
15 magnitude. There is some indication that the recommended dermal slope factor may
16 underestimate cancer risk, due to inadequate data to take the observed decreasing tumor latency
17 with increasing exposure level into account. Reliance on studies with the lowest exposure levels
18 where early mortality due to benzo[a]pyrene exposure was low and exposures continued for
19 approximately 104 weeks may minimize this source of uncertainty.

20 Human dermal exposure to benzo[a]pyrene in the environment likely occurs
21 predominantly through soil contact. The available mouse dermal bioassays of benzo[a]pyrene
22 relied on delivery of benzo[a]pyrene to the skin in a solvent solution (typically acetone or
23 toluene). The use of a volatile solvent likely results in a larger dose of benzo[a]pyrene available
24 for uptake into the skin (compared to soil). Reliance on these studies may overestimate the risk
25 of skin tumors from benzo[a]pyrene contact through soil; however, cancer bioassays delivering
26 benzo[a]pyrene through a soil matrix are not available.

27 There is uncertainty in extrapolating from the intermittent exposures in the mouse assays
28 to daily exposure scenarios. This assessment makes the assumption that risk is proportional to
29 total cumulative exposure. The extent to which this assumption under- or overestimates risk is
30 unknown.

31 The available data were not useful to determine which animal species may be the best
32 surrogate for human dermal response to benzo[a]pyrene. In extrapolation of the animal dermal
33 information to humans the inherent assumption is that equal area of skin from a mouse or human
34 would have equal probability of developing a tumor upon benzo[a]pyrene exposure.
35 Qualitatively, the toxicokinetics and toxicodynamics in mouse and human skin appear to be
36 similar (Knafla et al., 2010; Bickers et al., 1984). Specifically, all of the activation pathways
37 implicated in benzo[a]pyrene carcinogenicity have been observed in mouse and human skin and
38 associations have been made between the spectra of mutations in tumor tissues from

1 benzo[a]pyrene-exposed animals and humans exposed to complex PAH mixtures containing
2 benzo[a]pyrene (see Section 4.7.3).

3 This dermal slope factor for benzo[a]pyrene is based on skin cancer and it is not
4 developed to represent systemic cancer risk from dermal exposure. It is unclear whether dermal
5 exposure to benzo[a]pyrene would result in elevated risk of systemic tumors. Some studies in
6 humans suggest that although the skin may be responsible for a “first pass” metabolic effect,
7 benzo[a]pyrene-specific adduct levels have been detected in WBC following dermal exposure to
8 benzo[a]pyrene, indicating that dermally applied benzo[a]pyrene enters systemic circulation
9 (Godschalk et al., 1998). Although none of the lifetime dermal bioassays in mice, which
10 included macroscopic examination of internal organs, reported an elevation of systemic tumors
11 in benzo[a]pyrene-treated mice compared to controls (Poel 1959; Roe et al., 1970; Schmidt et al.,
12 1973; Schmahl et al., 1977; Habs et al., 1980; Higginbotham et al., 1993), most of these studies
13 attempted to remove animals with grossly observed tumors of the skin from the study before the
14 death of the animal, possibly minimizing the development of more distant tumors with longer
15 latency. The risk of benzo[a]pyrene-induced point of contact tumors in the skin likely competes
16 with systemic risk of tumors. Currently, the potential contribution of dermally absorbed
17 benzo[a]pyrene to systemic cancer risk is unclear.

19 **5.5.5. Previous Cancer Assessment**

20 The previous cancer assessment for benzo[a]pyrene was posted on the IRIS database in
21 1987. At that time, benzo[a]pyrene was classified as a probable human carcinogen (Group B2)
22 based on inadequate data in humans and sufficient data in animals via several routes of exposure.
23 An oral slope factor was derived from the geometric mean of four slope factor estimates based
24 on studies in Sprague-Dawley rats (Brune et al., 1981) and CFW-Swiss mice (Neal and Rigdon,
25 1967). Brune et al. (1981) administered 0.15 mg/kg benzo[a]pyrene in the diet every 9th day or
26 5 days/week in a 1.5% caffeine solution until rats were moribund or dead. A single slope factor
27 estimate of 11.7 per mg/kg-day, based on a linearized multistage model applied to the combined
28 incidence of forestomach, esophageal, and laryngeal tumors, was derived. In the Neal and
29 Rigdon (1967) bioassay, mice administered benzo[a]pyrene in the diet at concentrations ranging
30 from 1 to 250 ppm for up to 197 days developed significantly increased incidences of
31 forestomach tumors. This study utilized mixed sex dose groups with mice from 3 weeks to 6
32 months old at the start of dosing. This study did not include concurrent controls. This
33 necessitated the use of historical controls (from SWR/J mice) for the incidence of forestomach
34 tumors from a study by (Rabstein et al., 1973). Three modeling procedures were used to derive
35 risk estimates from these data. For one risk estimate, Clement Associates (1990) fit a two-stage
36 response model, based on exposure-dependent changes in both transition rates and growth rates
37 of preneoplastic cells, to derive a value of 5.9 per mg/kg-day. In a U.S. EPA report (1991b), a
38 value of 9.0 per mg/kg-day, derived by linear extrapolation from the 10% response point to the

1 background of an empirically fitted dose-response curve, was identified. Finally, using a
2 Weibull-type model to reflect less-than-lifetime exposure to benzo[a]pyrene, the same U.S. EPA
3 report (1991b) derived an upper-bound slope factor estimate of 4.5 per mg/kg-day. Since the
4 variance for the four slope factor estimates was low, and in order to consider all of the available
5 data, the geometric mean of these four estimates, 7.3 per mg/kg-day, was recommended as the
6 oral slope factor.

7 An inhalation unit risk and dermal slope factor were not previously available on IRIS.

8

9

6. MAJOR CONCLUSIONS IN THE CHARACTERIZATION OF HAZARD AND DOSE RESPONSE

6.1. HUMAN HAZARD POTENTIAL

benzo[a]pyrene is a five-ring nonsubstituted PAH, which is produced through natural and anthropogenic processes involving the incomplete combustion or pyrolysis of carbon-containing materials. Benzo[a]pyrene exists in the environment in complex mixtures, which may consist of numerous PAHs, including heterocyclic and nonheterocyclic forms as well as aza arenes and nitro-substituted PAHs. The magnitude of human exposure to benzo[a]pyrene and other PAHs depends on several factors related to lifestyle (e.g., diet, tobacco smoking), occupation, and living conditions (e.g., urban versus rural setting, domestic heating, and cooking methods).

There are limited data establishing associations between increased risk for noncancer health effects in humans and exposure to benzo[a]pyrene. Several epidemiology studies have reported associations between adverse birth outcomes including reduced birth weight, postnatal body weight, and head circumference with internal biomarkers of exposure to benzo[a]pyrene (BPDE-DNA adducts) via exposure to complex PAH mixtures (Tang et al., 2008, 2006; Perera et al., 2005a, b). However, extrapolations from these studies are complicated by the concomitant exposure to multiple PAHs and other components in the mixture.

There is evidence of human carcinogenicity for several PAH mixtures containing benzo[a]pyrene, such as soot, coal tars, coal-tar pitch, mineral oils, and shale oils (IARC, 2010; Baan et al., 2009; Straif et al., 2005). There is also evidence of carcinogenicity in occupations involving exposure to PAH mixtures containing benzo[a]pyrene, such as aluminum production, chimney sweeping, coal gasification, coal-tar distillation, coke production, iron and steel founding, and paving and roofing with coal tar pitch (IARC, 2010; Baan et al., 2009; Straif et al., 2005). Benzo[a]pyrene is also a notable constituent of tobacco smoke (IARC 2004). An increasing number of studies report exposure biomarkers such as benzo[a]pyrene- or PAH-DNA adducts, and several cohort studies demonstrate a positive exposure-response relationship with cumulative PAH exposure using benzo[a]pyrene as an indicator substance. Because benzo[a]pyrene is only one of many PAHs that could contribute to these observed increases in cancer, the epidemiologic studies provide credible but limited support for a causative role of benzo[a]pyrene in human cancer. Studies in multiple species of laboratory animals indicate that benzo[a]pyrene is carcinogenic by all routes of exposure.

6.2. DOSE RESPONSE

6.2.1. RfD

Limited human data are available to inform noncancer health effects following chronic oral exposure to benzo[a]pyrene. Animal studies reporting effects of benzo[a]pyrene include

1 several chronic cancer bioassays (which report limited noncancer endpoints; Kroese et al. 2001;
2 Beland and Culp, 1998; Culp et al., 1998), several subchronic studies (Knuckles et al., 2001; De
3 Jong et al., 1999), developmental toxicity studies (Kristensen et al., 1995; MacKenzie and
4 Angevine, 1981) and several reproductive toxicity studies (Mohamed et al., 2010; Xu et al.,
5 2010; Zheng et al., 2010).

6 In consideration of the available studies reporting low-dose effects of chronic and
7 subchronic oral exposure to benzo(a)pyrene in animals, the Xu et al., (2010) study was chosen as
8 the principal study. This study identified biologically and statistically significant decrements in
9 ovary weight, number of primordial follicles, estrogen levels, and estrus cyclicity. These
10 reductions in female reproductive endpoints observed in rats are supported by a large database of
11 animal studies indicating that benzo[a]pyrene, administered by multiple routes of exposure, is
12 ovotoxic with effects including decreased ovary weight, decreased primordial follicles, and
13 reduced fertility (Mattison et al., 1980; MacKenzie and Angevine, 1981; Swartz and Mattison
14 1985; Miller et al., 1992; Kristensen et al., 1995; Borman et al., 2000; Archibong et al., 2002).
15 Additionally, studies indicate that exposure to complex mixtures of PAHs, such as through
16 cigarette smoke, is associated with measures of decreased fertility in humans (El Nemr et al.,
17 1998; Neal et al., 2005). Specific associations have also been made between infertility and
18 increased levels of benzo[a]pyrene in follicular fluid in women undergoing in vitro fertilization
19 (Neal et al., 2008).

20 The RfD of 0.0005 mg/kg-day (0.5 µg/kg-day) was derived using a BMDL_{1SD} of
21 1.5 mg/kg-day for reduced ovary weight in SD rats exposed to benzo[a]pyrene via gavage for 60
22 days (Xu et al., 2010). To derive the RfD, this POD was divided by a total UF of 3000 (factors
23 of 10 for animal-to-human extrapolation, human interindividual variability in susceptibility, and
24 subchronic to chronic extrapolation, and 3 for database deficiencies). The default animal-to-
25 human extrapolation and human variability factors were applied because of the lack of
26 quantitative information to assess toxicokinetic or toxicodynamic differences between animals
27 and humans and the range of susceptibilities in human populations. A subchronic to chronic
28 extrapolation factor was applied because the POD was chosen from a study with a less than
29 lifetime exposure duration. In addition, a database uncertainty factor of 3 was applied to account
30 for deficiencies in the benzo[a]pyrene toxicity database, primarily the lack of a standard
31 multigenerational reproductive study and the lack of a neurodevelopmental study.

32 The overall confidence in the RfD is low-to-medium. Confidence in the principal study
33 (Xu et al., 2010) is medium. The design, conduct, and reporting of this subchronic toxicity study
34 were adequate; however, the number of dose groups and number of animals per group were low.
35 Confidence in the database is low-to-medium primarily due to the lack of a multigeneration
36 reproductive toxicity study (with exposure from pre-mating to sexual maturity) and the lack of a
37 neurodevelopmental study. Reflecting medium confidence in the principal study and low-to-
38 medium confidence in the database, confidence in the RfD is low-to-medium.

6.2.2. RfC

The only chronic inhalation study available for benzo[a]pyrene was designed as a cancer bioassay and did not report noncancer endpoints (Thyssen et al.1981). However, several repeated dose reproductive and developmental toxicity studies are available in which effects on fetal survival and the male reproductive system have been observed following inhalation exposure.

Archibong et al. (2002) was selected as the principal study as it observed biologically significant effects in F344 rats at the lowest dose tested by the inhalation route. This study indicates that the developing fetus is a sensitive target following inhalation exposure to benzo[a]pyrene. Exposure to benzo[a]pyrene at 25 $\mu\text{g}/\text{m}^3$ on GDs 11–20 caused biologically significant reductions in fetal survival and body weight decreases in the surviving pups. The observed decrease in pup weight and fetal survival were selected as critical effects as they are the most sensitive noncancer effects observed following inhalation exposure to benzo[a]pyrene. Additional support for this endpoint can be found from an oral study of benzo[a]pyrene in mice which observed decreased survival of litters, decreased pup weight, and decreased reproductive organ weight following in utero exposure to benzo[a]pyrene on GD 7-16 (MacKenzie and Angevine, 1981). Though only a few studies exist that evaluate benzo[a]pyrene by the inhalation route, the oral studies support the reproductive and developmental effects observed in the available inhalation studies.

The RfC of $5 \times 10^{-6} \text{ mg}/\text{m}^3\text{-day}$ was derived using a $\text{LOAEL}_{\text{ADJ}[\text{HEC}]}$ of $4.6 \mu\text{g}/\text{m}^3\text{-day}$ for decrease in pup weight and fetal survival in F344 rats exposed to benzo[a]pyrene aerosols on GDs 11-20 (Archibong et al., 2002). To derive the RfC, the POD was divided by a total UF of 1000 (factors of 3 for animal-to-human extrapolation, 10 for human interindividual variability in susceptibility and LOAEL-to-NOAEL extrapolation, and 3 for database deficiencies). The default animal-to-human extrapolation and human variability factors were applied because of the lack of quantitative information to assess toxicodynamic differences between animals and humans, whereas the toxicokinetic component is addressed by the determination of a HEC as described in the RfC methodology (U.S. EPA, 1994b). The default human variability factor was applied because of the lack of information regarding the range of susceptibilities in human populations. A LOAEL-to-NOAEL extrapolation factor was applied because a NOAEL was not identified for decreased fetal survival observed by Archibong et al (2002). In addition, a database uncertainty factor of 3 was applied to account for deficiencies in the benzo[a]pyrene toxicity database, primarily the lack of a standard multigenerational reproductive study.

The overall confidence in the RfC is low-to-medium. Confidence in the principal study (Archibong et al., 2002) is medium. The conduct, and reporting of this developmental dietary study were adequate, however, a NOAEL was not identified. Confidence in the database is low-to-medium due to the lack of a multigeneration reproductive toxicity study, the lack of studies on

1 immune endpoints, and the lack of information regarding subchronic and chronic inhalation
2 exposure. Reflecting medium confidence in the principal study and low-to-medium confidence
3 in the database, confidence in the RfC is low-to-medium.

4 5 **6.2.3. Cancer**

6 Under EPA's *Guidelines for Carcinogen Risk Assessment* (U.S. EPA, 2005a),
7 benzo[a]pyrene is "carcinogenic to humans" based on evidence of carcinogenicity in humans
8 exposed to different PAH mixtures containing benzo[a]pyrene, extensive and consistent evidence
9 of carcinogenicity in laboratory animals exposed to benzo[a]pyrene via several routes of
10 administration, and strong evidence that mechanisms of carcinogenesis in laboratory animals
11 also occur in humans exposed to PAH mixtures containing benzo[a]pyrene. Strong evidence
12 links the metabolism of benzo[a]pyrene to DNA-reactive agents with key mutational events in
13 genes that can lead to tumor initiation. Specifically, the metabolic activation of benzo[a]pyrene
14 occurs in human tissues, and associations have been made between spectra of mutations in the
15 p53 tumor suppressor gene or ras oncogenes induced by benzo[a]pyrene metabolites and the
16 spectra of mutations in these genes in tumor tissue from benzo[a]pyrene-exposed animals and
17 humans.

18 Several lines of evidence relating to mutagenicity and tumor initiation are available for
19 benzo[a]pyrene including: in vitro evidence of DNA adducts, mutations, cytogenetic damage,
20 and primary DNA damage; in vivo DNA adducts, gene mutations, cytogenetic damage, and other
21 measures of primary DNA damage; detection of DNA adducts in target tissue in vivo; and
22 detection of cancer-relevant oncogene/tumor suppressor gene mutations in target tissue in vivo.
23 Taken together, these data provide support for a mutagenic MOA for benzo[a]pyrene-induced
24 cancer. Because benzo[a]pyrene is expected to cause cancer via a mutagenic MOA, a linear
25 approach to low-dose extrapolation was used in the derivation of the cancer risk estimates.

26 In the absence of appropriate benzo[a]pyrene-specific data to adjust cancer risk values for
27 early life exposure, ADAFs combined with age-specific exposure estimates should be applied to
28 the cancer risk values (oral slope factor, inhalation unit risk, and dermal slope factor) when
29 assessing cancer risks for individuals exposed during early life periods, as per U.S. EPA (2005b)
30 *Supplemental Guidance for Assessing Susceptibility from Early-Life Exposure to Carcinogens*.

31 32 **6.2.3.1. Cancer—Oral**

33 Lifetime oral exposure to benzo[a]pyrene has been associated with forestomach, liver,
34 oral cavity, jejunum or duodenum, and auditory canal tumors in male and female Wistar rats
35 (Kroese et al., 2001), with forestomach tumors in male and female Sprague-Dawley rats (Brune
36 et al., 1981), and with forestomach, esophagus, tongue, and larynx tumors in female B6C3F1
37 mice (male mice were not tested); (Beland and Culp, 1998; Culp et al., 1998). Less-than-lifetime
38 oral exposure to benzo[a]pyrene is also associated with forestomach tumors in more than 10

1 additional bioassays with several strains of mice (see Section 4.2.1.2.). Both the rat bioassay by
2 Kroese et al. (2001) and the mouse bioassay by Beland and Culp (1998) included histological
3 examinations for tumors, three exposure levels and controls, and 50 animals per dose group. The
4 chronic studies by Kroese et al. and Beland and Culp studies were therefore selected for dose-
5 response analysis.

6 EPA used the multistage-Weibull model in the derivation of the oral slope factor because
7 it incorporates the time at which death-with-tumor occurred and can account for differences in
8 mortality observed between the exposure groups in the rat bioassay. Using linear extrapolation
9 from the BMDL₁₀, human equivalent oral slope factors were derived for each gender/tumor site
10 combination (slope factor = 0.1/BMDL₁₀). The oral slope factor of **1 per mg/kg-day** is based on
11 the tumor response in the alimentary tract (forestomach, esophagus, tongue, larynx) of female
12 B6C3F₁ mice exposed to benzo[a]pyrene in the diet for 2 years (Beland and Culp, 1998). The
13 slope factor was derived by linear extrapolation from a human equivalent BMDL₁₀ of 0.07
14 mg/kg-day for forestomach, esophagus, tongue, and larynx papillomas or carcinomas. The
15 recommended slope factor was selected as the factor with the highest value among a range of
16 slope factors derived from tumor responses at several sites in the 2-year male and female Wistar
17 rat bioassay by Kroese et al. (2001) and the 2-year female B6C3F₁ mouse bioassay by Beland
18 and Culp (1998).

19 20 **6.2.3.2. Cancer—Inhalation**

21 Inhalation exposure to benzo[a]pyrene was associated with squamous cell neoplasia in
22 the larynx, pharynx, trachea, esophagus, and forestomach, of male Syrian golden hamsters
23 exposed to benzo[a]pyrene condensed onto NaCl particles (Thyssen et al., 1981). Supportive
24 evidence for the carcinogenicity of inhaled benzo[a]pyrene comes from 10 additional studies
25 with hamsters exposed to benzo[a]pyrene via intratracheal instillation (see Section 4.2.2.2 for
26 references). The Thyssen et al. (1981) bioassay represents the best available data for describing
27 dose-response relationships for cancer from inhaled benzo[a]pyrene.

28 A time-to-tumor dose-response model was fit to the time-weighted average exposure
29 concentrations and the individual animal occurrence data for tumors in the larynx, pharynx,
30 trachea, esophagus, and forestomach. The inhalation unit risk of **5 x 10⁻⁴ per µg/m³** was
31 calculated by linear extrapolation (slope factor = 0.1/BMDL₁₀) from a BMDL₁₀ of 0.20 mg/m³
32 for the occurrence of upper respiratory and upper digestive tract tumors in male hamsters
33 chronically exposed by inhalation to benzo[a]pyrene (Thyssen et al., 1981).

34 35 **6.2.3.3. Cancer—Dermal**

36 Skin cancer in humans has been documented to result from occupational exposure to
37 complex mixtures of PAHs including benzo[a]pyrene such as coal tar, coal tar pitches, non-
38 refined mineral oils, shale oils and soot (Boffetta et al., 1997; WHO, 1998; ATSDR, 1995). No

1 human studies of exposure to benzo[a]pyrene alone are known to exist. In animal models,
2 numerous dose response studies have demonstrated the increased incidence of skin tumors with
3 increasing dermal exposure of benzo[a]pyrene, in all species tested (mice, rabbits, rats, and
4 guinea pigs), though most benzo[a]pyrene chronic dermal bioassays have been conducted in
5 mice. This analysis focuses on chronic carcinogenicity bioassays in several strains of mice
6 demonstrating increasing incidence of benign and malignant skin tumors following repeated
7 dermal exposure to benzo[a]pyrene for the animals' lifetime.

8 As with the oral and inhalation benzo[a]pyrene carcinogenicity data (see Sections 5.4.1.3
9 and 5.4.2.3), benzo[a]pyrene's dermal exposure carcinogenicity data were generally
10 characterized by earlier occurrence of tumors with increasing exposure and increased mortality
11 with increasing exposure level. Each of the dermal data sets was modeled using the multistage
12 model, incorporating adjustments for early mortality, when data were available, prior to
13 modeling.

14 The POD of 0.0066 $\mu\text{g/day}$, based on the tumor response in C3H/HeJ male mice (Sivak
15 et al., 1997), is recommended for developing a human dermal slope factor because it is the
16 highest POD among studies with low observed tumor response to benzo[a]pyrene (20%).
17 Following the modeling, this POD from the Sivak et al. (1997) dataset in male C3H/HeJ mice
18 was adjusted by allometric scaling. The dermal slope factor of **0.005 per $\mu\text{g/day}$** was calculated
19 by linear extrapolation (slope factor = $0.1/\text{BMDL}_{10\text{-HED}}$) from the human equivalent POD (19.7
20 $\mu\text{g/day}$) for the occurrence of skin tumors in male mice chronically exposed dermally to
21 benzo[a]pyrene (Sivak et al., 1997).

22 This dermal slope factor has been calculated based on the risk of skin tumors in mice
23 following dermal exposure to benzo[a]pyrene. As this slope factor has been developed for a
24 local effect, it is not intended to estimate systemic risk of cancer following dermal absorption of
25 benzo[a]pyrene into the systemic circulation.

26

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**APPENDIX A. SUMMARY OF EXTERNAL PEER REVIEW AND PUBLIC
COMMENTS AND DISPOSITION**

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1 **APPENDIX B. BENCHMARK DOSE MODELING RESULTS FOR NONCANCER**

2
3 *Increased liver weight (Kroese et al, 2001) male*

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6 **Table B-1. Liver weight (\pm SD)^a in male F344 rats administered**
7 **benzo[a]pyrene by gavage for 90 days**
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Organ	Dose (mg/kg-d)			
	0	3	10	30
Liver weight (g) Males	7.49 \pm 0.97	8.00 \pm 0.85	8.62 \pm 1.30 ^b	9.67 \pm 1.17 ^b

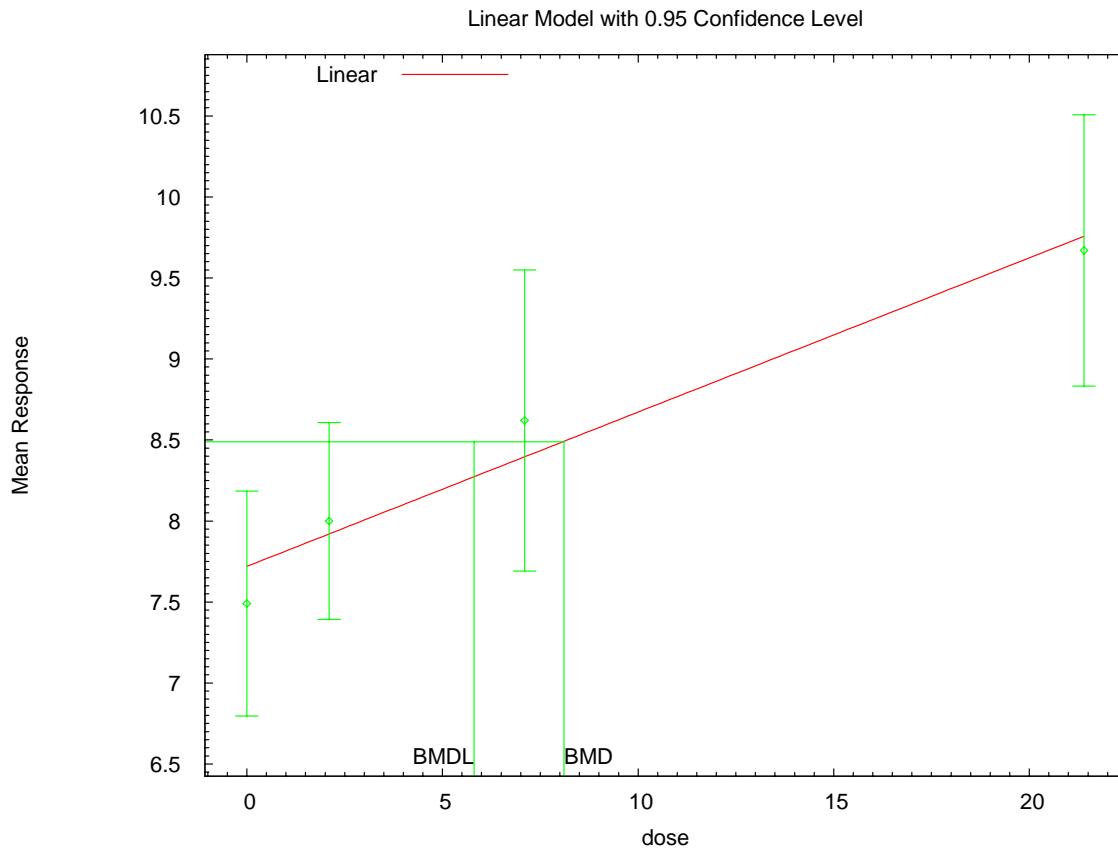
^a Reported as SE, but judged to be SD (and confirmed by study authors).

^bSignificantly ($p < 0.05$) different from control mean; student t-test (unpaired, two-tailed); n = 10/sex/group.

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13 **Table B-2. BMD modeling results for increased liver weight in male rats,**
14 **with BMR=10%**
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Study	Endpoint	Model	AIC	Goodness-of-fit p value	BMD	BMDL
Kroese et al., 2001	Liver weight	Linear (1° polynomial), Power	49.51	0.58	8.11	5.8
		Polynomial (2°) ^a	50.53	0.74	4.53	2.29
		Hill	50.48	0.82	4.1	1.24

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17 ^a In order to consider apparent curvature in the dose-response data, the polynomial coefficients were allowed to be
18 negative; a satisfactory fit was achieved, with monotonically increasing predictions within the observed data range.
19 Since the AIC was higher than for the linear model, the 2-degree polynomial was not selected as the best fit.
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Figure B-1. Fit of polynomial model to data on increased liver weight in male Wistar rats—90 days.

Model output:

```

=====
Polynomial Model. (Version: 2.13; Date: 04/08/2008)
Input Data File: C:\USEPA\BMDS21\Data\linLiverwtKroeseLinearDefault.(d)
Gnuplot Plotting File: C:\USEPA\BMDS21\Data\linLiverwtKroeseLinearDefault.plt
Tue Jan 12 13:09:58 2010
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```

BMDS Model Run

The form of the response function is:

$$Y[\text{dose}] = \text{beta}_0 + \text{beta}_1 \cdot \text{dose} + \text{beta}_2 \cdot \text{dose}^2 + \dots$$

Dependent variable = Liver_wt
 Independent variable = Dose
 rho is set to 0
 Signs of the polynomial coefficients are not restricted
 A constant variance model is fit

Total number of dose groups = 4
 Total number of records with missing values = 0
 Maximum number of iterations = 250
 Relative Function Convergence has been set to: 1e-008
 Parameter Convergence has been set to: 1e-008

Default Initial Parameter Values
 alpha = 1.18058

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      rho =          0   Specified
beta_0 =      7.71695
beta_1 =      0.0951703

```

Asymptotic Correlation Matrix of Parameter Estimates

(*** The model parameter(s) -rho have been estimated at a boundary point, or have been specified by the user, and do not appear in the correlation matrix)

	alpha	beta_0	beta_1
alpha	1	-3.6e-011	1e-010
beta_0	-3.6e-011	1	-0.68
beta_1	1e-010	-0.68	1

Parameter Estimates

Variable	Estimate	Std. Err.	95.0% Wald Confidence Interval	
			Lower Conf. Limit	Upper Conf. Limit
alpha	1.09179	0.244132	0.613302	1.57028
beta_0	7.71695	0.224102	7.27772	8.15618
beta_1	0.0951703	0.0197929	0.0563769	0.133964

Table of Data and Estimated Values of Interest

Dose	N	Obs Mean	Est Mean	Obs Std Dev	Est Std Dev	Scaled Res.
0	10	7.49	7.72	0.97	1.04	-0.687
2.1	10	8	7.92	0.85	1.04	0.252
7.1	10	8.62	8.39	1.3	1.04	0.688
21.4	10	9.67	9.75	1.17	1.04	-0.253

Model Descriptions for likelihoods calculated

- Model A1: $Y_{ij} = \mu(i) + e(ij)$
 $\text{Var}\{e(ij)\} = \sigma^2$
- Model A2: $Y_{ij} = \mu(i) + e(ij)$
 $\text{Var}\{e(ij)\} = \sigma(i)^2$
- Model A3: $Y_{ij} = \mu(i) + e(ij)$
 $\text{Var}\{e(ij)\} = \sigma^2$
Model A3 uses any fixed variance parameters that were specified by the user
- Model R: $Y_i = \mu + e(i)$
 $\text{Var}\{e(i)\} = \sigma^2$

Likelihoods of Interest

Model	Log(likelihood)	# Param's	AIC
A1	-21.212822	5	52.425644
A2	-20.156688	8	56.313377
A3	-21.212822	5	52.425644
fitted	-21.756413	3	49.512826
R	-30.879511	2	65.759022

Explanation of Tests

- Test 1: Do responses and/or variances differ among Dose levels? (A2 vs. R)
- Test 2: Are Variances Homogeneous? (A1 vs A2)
- Test 3: Are variances adequately modeled? (A2 vs. A3)
- Test 4: Does the Model for the Mean Fit? (A3 vs. fitted)
- (Note: When rho=0 the results of Test 3 and Test 2 will be the same.)

Tests of Interest

Test	-2*log(Likelihood Ratio)	Test df	p-value
------	--------------------------	---------	---------

1	Test 1	21.4456	6	0.001525
2	Test 2	2.11227	3	0.5494
3	Test 3	2.11227	3	0.5494
4	Test 4	1.08718	2	0.5807

5
6 The p-value for Test 1 is less than .05. There appears to be a
7 difference between response and/or variances among the dose levels
8 It seems appropriate to model the data
9

10 The p-value for Test 2 is greater than .1. A homogeneous variance
11 model appears to be appropriate here
12

13
14 The p-value for Test 3 is greater than .1. The modeled variance appears
15 to be appropriate here
16

17 The p-value for Test 4 is greater than .1. The model chosen seems
18 to adequately describe the data
19
20

21 Benchmark Dose Computation

22
23 Specified effect = 0.1
24
25 Risk Type = Relative risk
26
27 Confidence level = 0.95
28
29 BMD = 8.10857
30
31
32 BMDL = 5.80436
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Decreased thymus weight (males) Kroese et al., 2001

Table B-3. Means \pm SD^a for thymus weight in male Wistar rats exposed to benzo[a]pyrene by gavage 5 days/week for 90 days

Organ	Dose (mg/kg-d)			
	0	3	10	30
<i>Thymus weight (mg)</i> Males	380 \pm 60	380 \pm 110	330 \pm 60	270 \pm 40 ^b

^a Reported as SE, but judged to be SD (and confirmed by study authors).

^b Significantly ($p < 0.05$) different from control mean; student t-test (unpaired, two-tailed); $n = 10/\text{sex}/\text{group}$.

Source: Kroese et al. (2001).

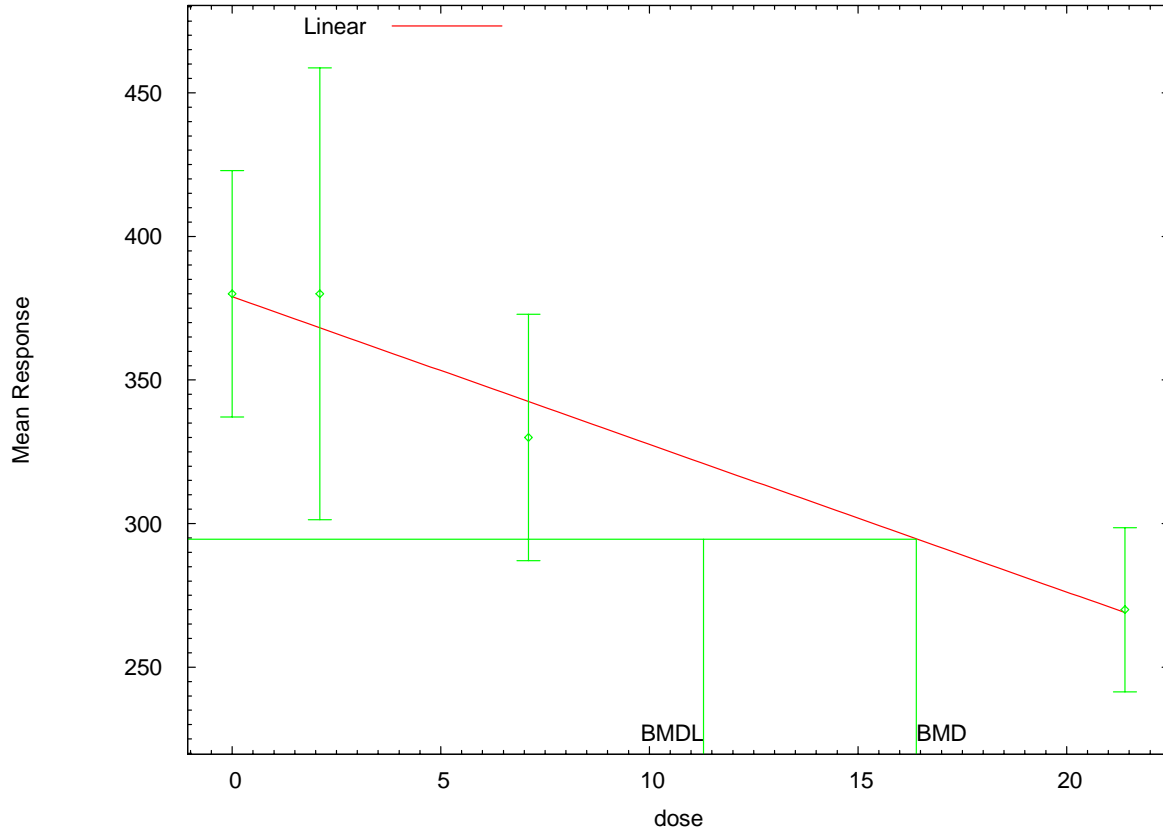
Table B-4. Model predictions for decreased thymus weight in male Wistar rats—90 days

Model	Variance p -value ^a	Goodness-of-fit p -value	AIC	BMD _{1SD} (mg/kg-d)	BMDL _{1SD} (mg/kg-d)
Constant variance					
Linear	0.01	0.74	384.84	12.97	8.97
Nonconstant variance					
Hill ^c	NA				
Linear, Polynomial (2-degree), Power^c	0.30	0.23	380.71	16.40	11.30

NA = not applicable, model failed;

5

Linear Model with 0.95 Confidence Level



15:33 10/15 2009

BMDs and BMDLs indicated are associated with a change of 1 SD from the control, and are in units of mg/kg-day.

Source: Kroese et al. (2001).

Figure B-2. Fit of linear model (nonconstant variance) to data on decreased thymus weight in male Wistar rats—90 days.

Linear (nonconstant variance)

```
=====  
Polynomial Model. (Version: 2.13; Date: 04/08/2008)  
Input Data File:  
C:\USEPA\IRIS\benzo[a]pyrene\RfD\Kroese2001\90day\thymusweight\male\durationadjusted\2  
Linkrolin.(d)  
Gnuplot Plotting File:  
C:\USEPA\IRIS\benzo[a]pyrene\RfD\Kroese2001\90day\thymusweight\male\durationadjusted\2  
Linkrolin.plt
```

Thu Oct 15 15:33:37 2009

```
=====  
BMDs Model Run  
~~~~~
```

The form of the response function is:

$$Y[\text{dose}] = \text{beta}_0 + \text{beta}_1 * \text{dose} + \text{beta}_2 * \text{dose}^2 + \dots$$

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Dependent variable = mean
 Independent variable = dose
 The polynomial coefficients are restricted to be negative
 The variance is to be modeled as $\text{Var}(i) = \exp(\text{lalpha} + \log(\text{mean}(i))) * \text{rho}$
 Total number of dose groups = 4
 Total number of records with missing values = 0
 Maximum number of iterations = 250
 Relative Function Convergence has been set to: 1e-008
 Parameter Convergence has been set to: 1e-008

Default Initial Parameter Values

lalpha = 8.56121
 rho = 0
 beta_0 = 380.763
 beta_1 = -5.3285

Asymptotic Correlation Matrix of Parameter Estimates

	lalpha	rho	beta_0	beta_1
lalpha	1	-1	0.048	-0.061
rho	-1	1	-0.048	0.061
beta_0	0.048	-0.048	1	-0.84
beta_1	-0.061	0.061	-0.84	1

Parameter Estimates

Interval		95.0% Wald Confidence			
Variable	Estimate	Std. Err.	Lower Conf. Limit	Upper Conf. Limit	
lalpha	-18.8293	9.75429	-37.9473		
rho	4.66515	1.67581	1.38062		
beta_0	378.954	16.5291	346.558		
beta_1	-5.14219	1.00497	-7.11189		-

Table of Data and Estimated Values of Interest

Dose	N	Obs Mean	Est Mean	Obs Std Dev	Est Std Dev	Scaled Res.
0	10	380	379	60	84.3	0.0392
2.1	10	380	368	110	78.8	0.475
7.1	10	330	342	60	66.6	-0.591
21.4	10	270	269	40	37.9	0.0908

Model Descriptions for likelihoods calculated

1
2
3 Model A1: $Y_{ij} = \mu(i) + e_{ij}$
4 $\text{Var}\{e_{ij}\} = \sigma^2$
5
6 Model A2: $Y_{ij} = \mu(i) + e_{ij}$
7 $\text{Var}\{e_{ij}\} = \sigma(i)^2$
8
9 Model A3: $Y_{ij} = \mu(i) + e_{ij}$
10 $\text{Var}\{e_{ij}\} = \exp(\alpha + \rho \ln(\mu(i)))$
11 Model A3 uses any fixed variance parameters that
12 were specified by the user
13
14 Model R: $Y_i = \mu + e(i)$
15 $\text{Var}\{e(i)\} = \sigma^2$
16
17

18 Likelihoods of Interest

19 Model	20 Log(likelihood)	21 # Param's	22 AIC
23 A1	-189.116991	5	388.233982
24 A2	-183.673279	8	383.346558
25 A3	-184.883626	6	381.767253
26 fitted	-186.353541	4	380.707081
27 R	-196.353362	2	396.706723

28 Explanation of Tests

29
30 Test 1: Do responses and/or variances differ among Dose levels?
31 (A2 vs. R)
32 Test 2: Are Variances Homogeneous? (A1 vs A2)
33 Test 3: Are variances adequately modeled? (A2 vs. A3)
34 Test 4: Does the Model for the Mean Fit? (A3 vs. fitted)
35 (Note: When $\rho=0$ the results of Test 3 and Test 2 will be the same.)
36

37 Tests of Interest

38 Test	39 $-2 \cdot \log(\text{Likelihood Ratio})$	40 Test df	41 p-value
42 Test 1	25.3602	6	0.0002928
43 Test 2	10.8874	3	0.01235
44 Test 3	2.42069	2	0.2981
45 Test 4	2.93983	2	0.2299

46 The p-value for Test 1 is less than .05. There appears to be a
47 difference between response and/or variances among the dose levels
48 It seems appropriate to model the data
49

50 The p-value for Test 2 is less than .1. A non-homogeneous variance
51 model appears to be appropriate
52

53 The p-value for Test 3 is greater than .1. The modeled variance appears
54 to be appropriate here
55

56 The p-value for Test 4 is greater than .1. The model chosen seems
57 to adequately describe the data
58
59

60 Benchmark Dose Computation

61 Specified effect = 1
62 Risk Type = Estimated standard deviations from the control mean
63
64 Confidence level = 0.95
65
66

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BMD = 16.4008

BMDL = 11.2965

1 *Decreased thymus weight females (Kroese et al., 2001)*

2

3

Table B-5. Means \pm SD^a for thymus weight in female Wistar rats exposed to benzo[a]pyrene by gavage 5 days/week for 90 days

Organ	Dose (mg/kg-d)			
	0	3	10	30
Thymus weight (mg) Females	320 \pm 60	310 \pm 50	300 \pm 40	230 \pm 30 ^b

^a Reported as SE, but judged to be SD (and confirmed by study authors).

^b Significantly ($p < 0.05$) different from control mean; student t-test (unpaired, two-tailed); $n = 10/\text{sex}/\text{group}$.

Source: Kroese et al. (2001).

4

5

Table B-6. Model predictions for decreased thymus weight in female Wistar rats—90 days

Model (constant variance)	Variance p -value ^a	Means p -value ^a	AIC	BMD _{1SD} (mg/kg-d)	BMDL _{1SD} (mg/kg-d)
Hill ^b	NA				
Linear ^c	0.17	0.81	349.12	10.52	7.64
Polynomial (2-degree) ^{c,d}	0.17	0.77	350.80	13.29	7.77
Power ^b	NA				

^a Values < 0.10 fail to meet conventional goodness-of-fit criteria.

^b Power restricted to ≥ 1 .

^c Coefficients restricted to be negative.

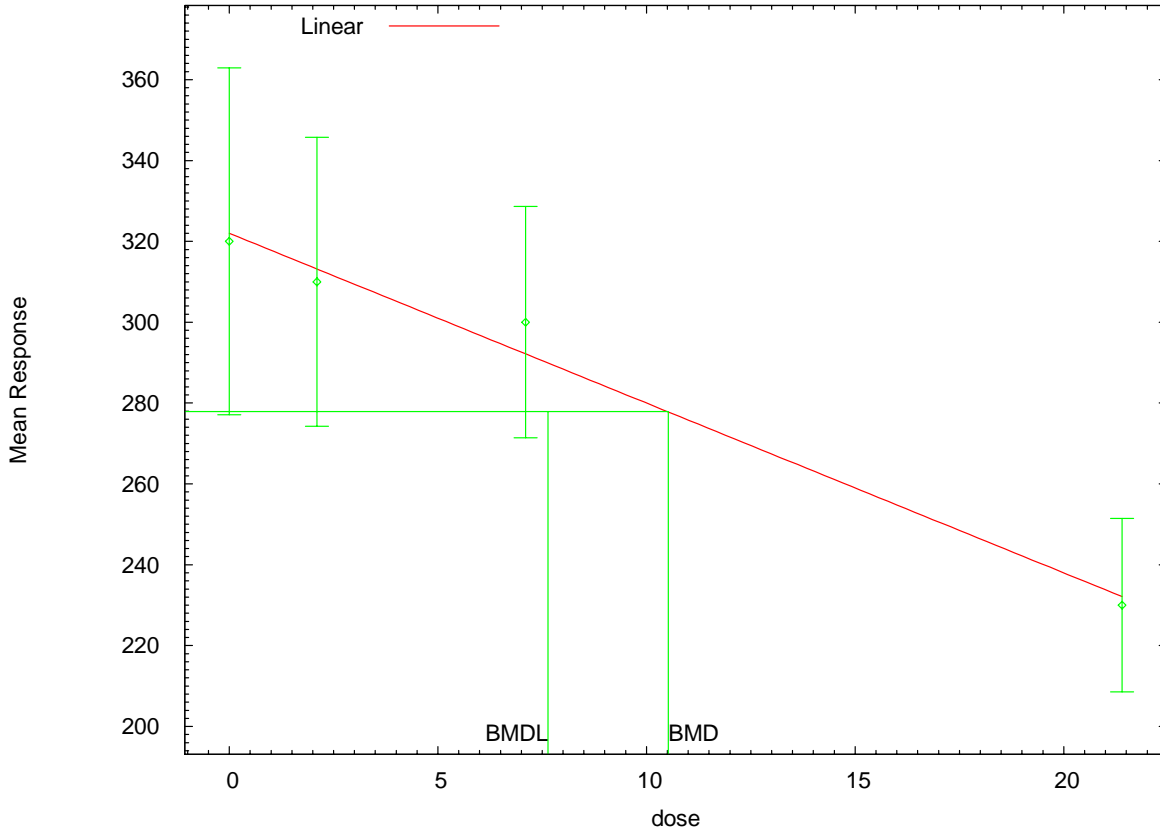
^d Lowest degree polynomial with an adequate fit is reported.

BMD/BMC = maximum likelihood estimate of the dose/concentration associated with the selected BMR; NA = not applicable; model failed to generate

Source: Kroese et al. (2001).

6

Linear Model with 0.95 Confidence Level



1 16:27 10/15 2009

2 BMDs and BMDLs indicated are associated with a change of 1 SD from the control, and are in
3 units of mg/kg-day.

4
5 Source: Kroese et al. (2001).

6
7 **Figure B-3. Fit of linear model (constant variance) to data on decreased**
8 **thymus weight in female Wistar rats—90 days.**

9
10
11
12 =====
13 Polynomial Model. (Version: 2.13; Date: 04/08/2008)
14 Input Data File:
15 C:\USEPA\IRIS\benzo[a]pyrene\RfD\Kroese2001\90day\thymusweight\female\durationadjusted
16 \2Linkrolin.(d)
17 Gnuplot Plotting File:
18 C:\USEPA\IRIS\benzo[a]pyrene\RfD\Kroese2001\90day\thymusweight\female\durationadjusted
19 \2Linkrolin.plt

20 Thu Oct 15 16:27:44 2009

21 =====
22
23 BMDS Model Run

24 ~~~~~
25
26 The form of the response function is:

27
28 $Y[\text{dose}] = \text{beta}_0 + \text{beta}_1 \cdot \text{dose} + \text{beta}_2 \cdot \text{dose}^2 + \dots$

29
30
31 Dependent variable = mean

1 Independent variable = dose
 2 rho is set to 0
 3 The polynomial coefficients are restricted to be negative
 4 A constant variance model is fit
 5
 6 Total number of dose groups = 4
 7 Total number of records with missing values = 0
 8 Maximum number of iterations = 250
 9 Relative Function Convergence has been set to: 1e-008
 10 Parameter Convergence has been set to: 1e-008
 11
 12
 13

14 Default Initial Parameter Values
 15 alpha = 1
 16 rho = 0 Specified
 17 beta_0 = 322.144
 18 beta_1 = -4.2018
 19
 20

21 Asymptotic Correlation Matrix of Parameter Estimates

22
 23 (*** The model parameter(s) -rho
 24 have been estimated at a boundary point, or have been specified by
 25 the user,
 26 and do not appear in the correlation matrix)
 27

	alpha	beta_0	beta_1
alpha	1	2.4e-008	-2.3e-008
beta_0	2.4e-008	1	-0.68
beta_1	-2.3e-008	-0.68	1

38 Parameter Estimates

		95.0% Wald Confidence			
Interval	Variable	Estimate	Std. Err.	Lower Conf. Limit	Upper Conf. Limit
2811.69	alpha	1954.92	437.134	1098.16	
340.73	beta_0	322.144	9.48287	303.558	
2.56026	beta_1	-4.2018	0.837537	-5.84334	-

53 Table of Data and Estimated Values of Interest

Dose	N	Obs Mean	Est Mean	Obs Std Dev	Est Std Dev	Scaled Res.
0	10	320	322	60	44.2	-0.153
2.1	10	310	313	50	44.2	-0.237
7.1	10	300	292	40	44.2	0.55
21.4	10	230	232	30	44.2	-0.159

65 Model Descriptions for likelihoods calculated
 66

1
2 Model A1: $Y_{ij} = \mu(i) + e(ij)$
3 $\text{Var}\{e(ij)\} = \sigma^2$
4
5 Model A2: $Y_{ij} = \mu(i) + e(ij)$
6 $\text{Var}\{e(ij)\} = \sigma(i)^2$
7
8 Model A3: $Y_{ij} = \mu(i) + e(ij)$
9 $\text{Var}\{e(ij)\} = \sigma^2$
10 Model A3 uses any fixed variance parameters that
11 were specified by the user
12
13 Model R: $Y_i = \mu + e(i)$
14 $\text{Var}\{e(i)\} = \sigma^2$
15

16
17 Likelihoods of Interest

18	19 Model	20 Log(likelihood)	21 # Param's	22 AIC
23	A1	-171.357252	5	352.714504
24	A2	-168.857234	8	353.714467
25	A3	-171.357252	5	352.714504
26	fitted	-171.562118	3	349.124237
27	R	-181.324151	2	366.648303

28
29 Explanation of Tests

30 Test 1: Do responses and/or variances differ among Dose levels?
31 (A2 vs. R)
32 Test 2: Are Variances Homogeneous? (A1 vs A2)
33 Test 3: Are variances adequately modeled? (A2 vs. A3)
34 Test 4: Does the Model for the Mean Fit? (A3 vs. fitted)
35 (Note: When $\rho=0$ the results of Test 3 and Test 2 will be the same.)

36
37 Tests of Interest

38 Test	39 $-2 \cdot \log(\text{Likelihood Ratio})$	40 Test df	41 p-value
42 Test 1	24.9338	6	0.0003512
43 Test 2	5.00004	3	0.1718
44 Test 3	5.00004	3	0.1718
45 Test 4	0.409733	2	0.8148

46 The p-value for Test 1 is less than .05. There appears to be a
47 difference between response and/or variances among the dose levels
48 It seems appropriate to model the data

49 The p-value for Test 2 is greater than .1. A homogeneous variance
50 model appears to be appropriate here

51
52 The p-value for Test 3 is greater than .1. The modeled variance appears
53 to be appropriate here

54 The p-value for Test 4 is greater than .1. The model chosen seems
55 to adequately describe the data

56
57 Benchmark Dose Computation

58 Specified effect = 1
59 Risk Type = Estimated standard deviations from the control mean
60 Confidence level = 0.95

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BMD = 10.5228

BMDL = 7.64037

1 *Decreased thymus weight males (DeJong et al., 1999)*

2
3

Table B-7. Means ± SD for thymus weight in male Wistar rats exposed to benzo[a]pyrene by gavage 5 days/week for 35 days

4

Organ	Dose (mg/kg-d)				
	0	3	10	30	90
Thymus weight (mg) (means; n = 7–8)	517 ± 47	472 ± 90	438 ± 64 ^a	388 ± 71 ^a	198 ± 65 ^a

5
6

Table B-8. Model predictions for decreased thymus weight in male Wistar rats—35 days

Model (constant variance)	Variance <i>p</i> -value ^b	Means <i>p</i> -value ^b	AIC	BMD _{1SD} (mg/kg-d)	BMDL _{1SD} (mg/kg-d)
Hill ^c	0.50	0.42	382.91	11.15	6.19
Linear ^d , Polynomial (2-degree) ^{d,e}	0.50	0.52	381.41	14.41	11.58
Power ^d	NA				

^aNumber of animals was reported to be 7–8 per dose group, and was not specified for each group; for BMD modeling purposes, n = 8 was used.

^bValues <0.10 fail to meet conventional goodness-of-fit criteria.

^cPower restricted to ≥1.

^dCoefficients restricted to be negative.

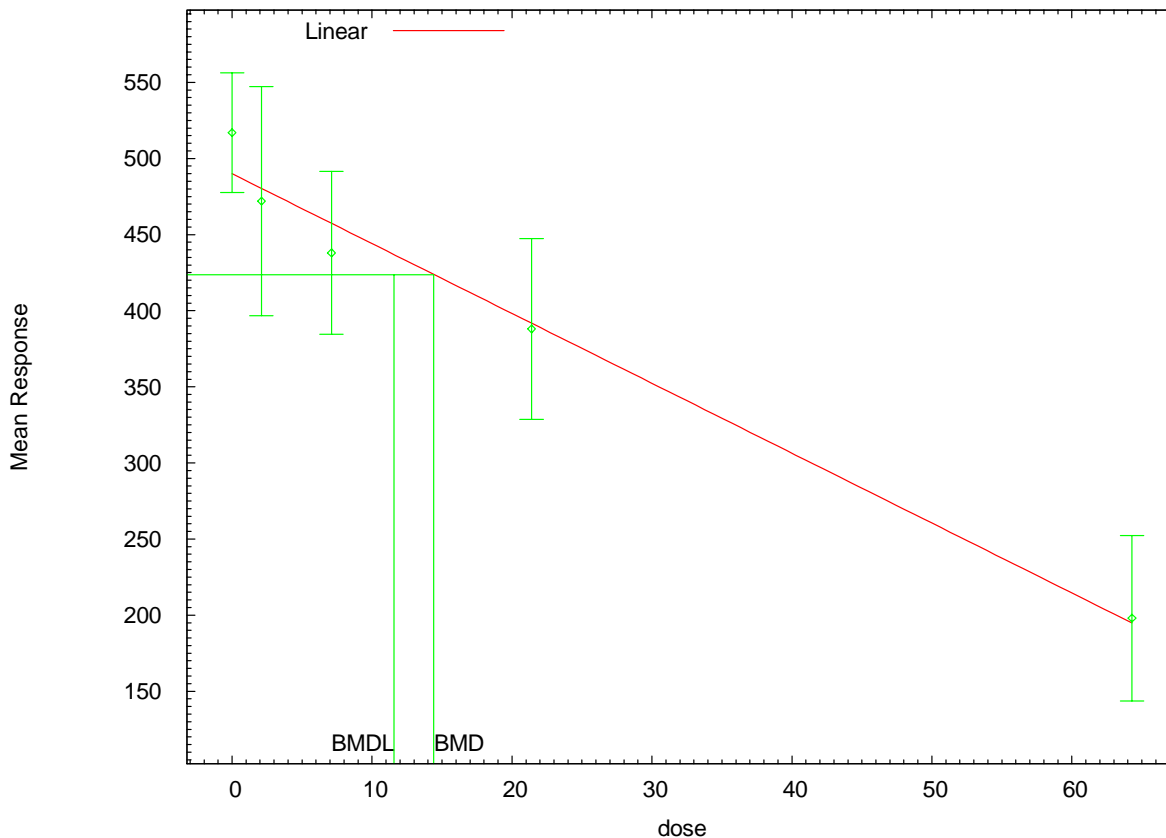
^eLowest degree polynomial with an adequate fit is reported.

BMD/BMC = maximum likelihood estimate of the dose/concentration associated with the selected BMR; NA = not applicable; model failed to generate

Source: De Jong et al. (1999).

7
8

Linear Model with 0.95 Confidence Level



04:31 10/19 2009

BMDs and BMDLs indicated are associated with a change of 1 SD from the control, and are in units of mg/kg-day.

Source: De Jong et al. (1999).

Figure B-4. Fit of linear model (constant variance) to data on decreased thymus weight in male Wistar rats—35 days.

Model Output:

```
=====  
Polynomial Model. (Version: 2.13; Date: 04/08/2008)  
Input Data File:  
C:\USEPA\IRIS\benzo[a]pyrene\RfD\dejong1999\35day\thymusweightmale\durationadjusted\2L  
indejlin.(d)  
Gnuplot Plotting File:  
C:\USEPA\IRIS\benzo[a]pyrene\RfD\dejong1999\35day\thymusweightmale\durationadjusted\2L  
indejlin.plt  
Mon Oct 19 04:31:24 2009  
=====  
BMDS Model Run  
~~~~~  
The form of the response function is:  
Y[dose] = beta_0 + beta_1*dose + beta_2*dose^2 + ...
```

1
2 Dependent variable = mean
3 Independent variable = dose
4 rho is set to 0
5 The polynomial coefficients are restricted to be negative
6 A constant variance model is fit
7
8 Total number of dose groups = 5
9 Total number of records with missing values = 0
10 Maximum number of iterations = 250
11 Relative Function Convergence has been set to: 1e-008
12 Parameter Convergence has been set to: 1e-008
13
14
15

16 Default Initial Parameter Values
17 alpha = 1
18 rho = 0 Specified
19 beta_0 = 489.769
20 beta_1 = -4.5927
21
22

23 Asymptotic Correlation Matrix of Parameter Estimates

24
25 (*** The model parameter(s) -rho
26 have been estimated at a boundary point, or have been specified by
27 the user,
28 and do not appear in the correlation matrix)
29

	alpha	beta_0	beta_1
alpha	1	-3.1e-009	-3.2e-009
beta_0	-3.1e-009	1	-0.62
beta_1	-3.2e-009	-0.62	1

30
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38
39
40 Parameter Estimates

Interval		95.0% Wald Confidence			
Variable	Estimate	Std. Err.	Lower Conf. Limit	Upper Conf. Limit	
alpha	4382.3	979.911	2461.71		
beta_0	489.769	13.3751	463.555		
beta_1	-4.5927	0.438716	-5.45257		-

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54
55 Table of Data and Estimated Values of Interest

Dose	N	Obs Mean	Est Mean	Obs Std Dev	Est Std Dev	Scaled Res.
0	8	517	490	47	66.2	1.16
2.1	8	472	480	90	66.2	-0.347
7.1	8	438	457	64	66.2	-0.819
21.4	8	388	391	71	66.2	-0.149
64.3	8	198	194	65	66.2	0.151

1
2 Model Descriptions for likelihoods calculated
3
4
5 Model A1: $Y_{ij} = \mu(i) + e(ij)$
6 $\text{Var}\{e(ij)\} = \sigma^2$
7
8 Model A2: $Y_{ij} = \mu(i) + e(ij)$
9 $\text{Var}\{e(ij)\} = \sigma(i)^2$
10
11 Model A3: $Y_{ij} = \mu(i) + e(ij)$
12 $\text{Var}\{e(ij)\} = \sigma^2$
13 Model A3 uses any fixed variance parameters that
14 were specified by the user
15
16 Model R: $Y_i = \mu + e(i)$
17 $\text{Var}\{e(i)\} = \sigma^2$
18
19

20 Likelihoods of Interest

Model	Log(likelihood)	# Param's	AIC
A1	-186.580733	6	385.161466
A2	-184.896632	10	389.793264
A3	-186.580733	6	385.161466
fitted	-187.706565	3	381.413130
R	-214.086904	2	432.173809

29 Explanation of Tests

31
32 Test 1: Do responses and/or variances differ among Dose levels?
33 (A2 vs. R)
34 Test 2: Are Variances Homogeneous? (A1 vs A2)
35 Test 3: Are variances adequately modeled? (A2 vs. A3)
36 Test 4: Does the Model for the Mean Fit? (A3 vs. fitted)
37 (Note: When $\rho=0$ the results of Test 3 and Test 2 will be the same.)
38

39 Tests of Interest

Test	$-2 \cdot \log(\text{Likelihood Ratio})$	Test df	p-value
Test 1	58.3805	8	<.0001
Test 2	3.3682	4	0.4982
Test 3	3.3682	4	0.4982
Test 4	2.25166	3	0.5218

48 The p-value for Test 1 is less than .05. There appears to be a
49 difference between response and/or variances among the dose levels
50 It seems appropriate to model the data
51

52 The p-value for Test 2 is greater than .1. A homogeneous variance
53 model appears to be appropriate here
54

56 The p-value for Test 3 is greater than .1. The modeled variance appears
57 to be appropriate here
58

59 The p-value for Test 4 is greater than .1. The model chosen seems
60 to adequately describe the data
61

62 Benchmark Dose Computation

63 Specified effect = 1
64
65
66

1 Risk Type = Estimated standard deviations from the control mean
2
3 Confidence level = 0.95
4
5 BMD = 14.4139
6
7
8 BMDL = 11.577
9

1 *Decreased Splenic B cells*

2

3

Table B-9. Exposure-related effects in male Wistar rats exposed to benzo[a]pyrene by gavage 5 days/week for 5 weeks

Effect	Dose (mg/kg-d)				
	0	3	10	30	90
<i>Spleen cell distribution (%)</i>					
B cells	39± 4	36 ± 2	34 ± 3 ^a	32 ± 4 ^a	23 ± 4 ^a
T cells	40 ± 9	48 ± 12	40 ± 9	36 ± 2	44 ± 6
Th cells	23 ± 7	26 ± 7	24 ± 5	22 ± 4	26 ± 4
Ts cells	24 ± 5	26 ± 6	24 ± 7	19 ± 2	27 ± 5

4 ^aSignificantly ($p < 0.05$) different from control mean.

5

6 Source: De Jong et al. (1999).

7

8

9

Table B-10. Model predictions for decreased spleen B-cells in male Wistar rats—35 days

Model	Variance p -value ^a	Means p -value ^a	AIC	BMD _{1SD} (mg/kg-d)	BMDL _{1SD} (mg/kg-d)
Constant variance					
Hill ^b	0.30	0.18	146.18	10.24	5.31
Linear^c, Polynomial (2-degree)^{c,d}, Power^b	0.30	0.21	145.28	15.58	12.43

^aValues <0.10 fail to meet conventional goodness-of-fit criteria.

^bPower restricted to ≥ 1 .

^cCoefficients restricted to be negative.

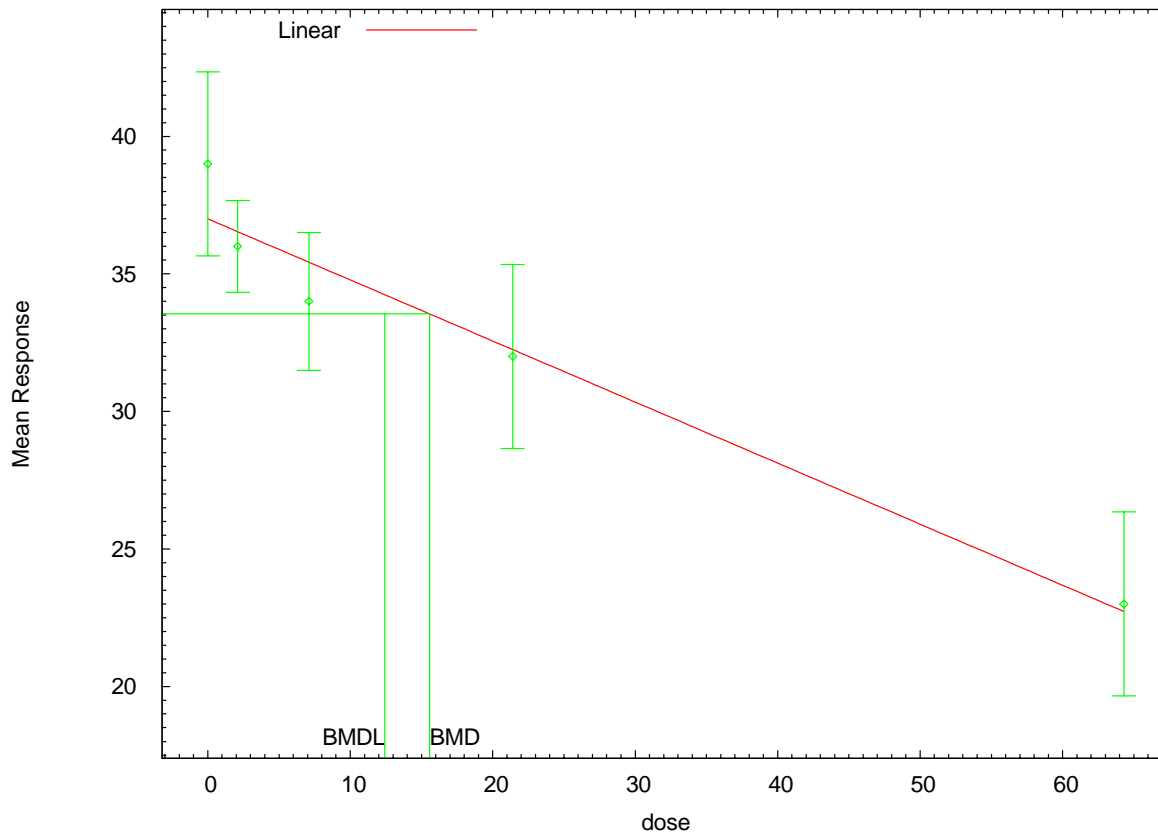
^dLowest degree polynomial with an adequate fit is reported.

BMD/BMC = maximum likelihood estimate of the dose/concentration associated with the selected BMR; NA = not applicable; model failed to generate these values

Source: De Jong et al. (1999).

10

Linear Model with 0.95 Confidence Level



1 05:06 10/19 2009
2 BMDs and BMDLs indicated are associated with a change of 1 SD from the control, and are in
3 units of mg/kg-day.

4
5 Source: De Jong et al. (1999).

6
7 **Figure B-5. Fit of linear model to data on decreased spleen B-cells in male**
8 **Wistar rats—35 days.**

9
10 **Model Output:**

```
11  
12 =====  
13 Polynomial Model. (Version: 2.13; Date: 04/08/2008)  
14 Input Data File:  
15 C:\USEPA\IRIS\benzo[a]pyrene\RfD\dejong1999\35day\spleenBcell\durationadjusted\2Lindej  
16 lin.(d)  
17 Gnuplot Plotting File:  
18 C:\USEPA\IRIS\benzo[a]pyrene\RfD\dejong1999\35day\spleenBcell\durationadjusted\2Lindej  
19 lin.plt
```

20 Mon Oct 19 05:06:33 2009

```
21 =====  
22  
23 BMDs Model Run  
24 ~~~~~
```

25
26 The form of the response function is:

27
28 $Y[\text{dose}] = \text{beta}_0 + \text{beta}_1 \cdot \text{dose} + \text{beta}_2 \cdot \text{dose}^2 + \dots$
29
30

1 Dependent variable = mean
 2 Independent variable = dose
 3 rho is set to 0
 4 The polynomial coefficients are restricted to be negative
 5 A constant variance model is fit
 6
 7 Total number of dose groups = 5
 8 Total number of records with missing values = 0
 9 Maximum number of iterations = 250
 10 Relative Function Convergence has been set to: 1e-008
 11 Parameter Convergence has been set to: 1e-008
 12
 13
 14

15 Default Initial Parameter Values
 16 alpha = 12.2
 17 rho = 0 Specified
 18 beta_0 = 37.0148
 19 beta_1 = -0.222068
 20

21 Asymptotic Correlation Matrix of Parameter Estimates

22 (*** The model parameter(s) -rho
 23 have been estimated at a boundary point, or have been specified by
 24 the user,
 25 and do not appear in the correlation matrix)
 26

	alpha	beta_0	beta_1
alpha	1	-2.4e-009	3.8e-009
beta_0	-2.4e-009	1	-0.62
beta_1	3.8e-009	-0.62	1

27 Parameter Estimates

Interval Limit	Variable	Estimate	Std. Err.	95.0% Wald Confidence	
				Lower Conf. Limit	Upper Conf.
17.2084	alpha	11.9647	2.6754	6.72106	
38.3846	beta_0	37.0148	0.698873	35.6451	
0.177138	beta_1	-0.222068	0.0229237	-0.266997	-

28 Table of Data and Estimated Values of Interest

Dose	N	Obs Mean	Est Mean	Obs Std Dev	Est Std Dev	Scaled Res.
0	8	39	37	4	3.46	1.62
2.1	8	36	36.5	2	3.46	-0.449
7.1	8	34	35.4	3	3.46	-1.18
21.4	8	32	32.3	4	3.46	-0.215
64.3	8	23	22.7	4	3.46	0.216

1 Model Descriptions for likelihoods calculated

2

3

4 Model A1: $Y_{ij} = \mu(i) + e(ij)$
5 $\text{Var}\{e(ij)\} = \sigma^2$

6

7 Model A2: $Y_{ij} = \mu(i) + e(ij)$
8 $\text{Var}\{e(ij)\} = \sigma(i)^2$

9

10 Model A3: $Y_{ij} = \mu(i) + e(ij)$
11 $\text{Var}\{e(ij)\} = \sigma^2$

12 Model A3 uses any fixed variance parameters that
13 were specified by the user

14

15 Model R: $Y_i = \mu + e(i)$
16 $\text{Var}\{e(i)\} = \sigma^2$

17

18

19 Likelihoods of Interest

20

Model	Log(likelihood)	# Param's	AIC
A1	-67.358091	6	146.716182
A2	-64.934513	10	149.869025
A3	-67.358091	6	146.716182
fitted	-69.639287	3	145.278575
R	-93.795081	2	191.590163

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Explanation of Tests

- Test 1: Do responses and/or variances differ among Dose levels? (A2 vs. R)
 - Test 2: Are Variances Homogeneous? (A1 vs A2)
 - Test 3: Are variances adequately modeled? (A2 vs. A3)
 - Test 4: Does the Model for the Mean Fit? (A3 vs. fitted)
- (Note: When rho=0 the results of Test 3 and Test 2 will be the same.)

Tests of Interest

Test	-2*log(Likelihood Ratio)	Test df	p-value
Test 1	57.7211	8	<.0001
Test 2	4.84716	4	0.3033
Test 3	4.84716	4	0.3033
Test 4	4.56239	3	0.2068

The p-value for Test 1 is less than .05. There appears to be a difference between response and/or variances among the dose levels. It seems appropriate to model the data.

The p-value for Test 2 is greater than .1. A homogeneous variance model appears to be appropriate here.

The p-value for Test 3 is greater than .1. The modeled variance appears to be appropriate here.

The p-value for Test 4 is greater than .1. The model chosen seems to adequately describe the data.

Benchmark Dose Computation

Specified effect = 1
Risk Type = Estimated standard deviations from the control mean

1
2 Confidence level = 0.95
3
4 BMD = 15.5764
5
6
7 BMDL = 12.4286
8
9

1 ***Forestomach hyperplasia***

2
3 All available dichotomous models in the EPA BMDS (version 2.1) were fit to the
4 incidence data shown in Table B-9 for forestomach hyperplasia in rats and mice orally exposed
5 to benzo[a]pyrene for 2 years (Kroese et al., 2001; Beland and Culp, 1998). In accordance with
6 U.S. EPA (2000) guidance, BMDs and BMDLs associated with an extra risk of 10% are
7 calculated for all models.

8 Adequate model fit was judged by three criteria: goodness-of-fit p -value ($p > 0.1$), visual
9 inspection of the dose-response curve, and scaled residual at the data point (except the control)
10 closest to the predefined benchmark response (BMR). Among all of the models providing
11 adequate fit to the data, the lowest BMDL is selected as the POD when the difference between
12 the BMDLs estimated from these models are more than threefold; otherwise, the BMDL from
13 the model with the lowest Akaike’s Information Criterion (AIC) is chosen. If an adequate fit to
14 the data was not achieved using the protocol above for the full dataset, doses were dropped
15 (starting with the highest dose) until an adequate fit was achieved.

16
Table B-11. Dose-response data for forestomach hyperplasia in Wistar rats and B6C3F₁ rats orally exposed to benzo[a]pyrene for 2 years

Species, sex (reference)	Administered dose (mg/kg-day)			
	0	3	10	30
	Duration-adjusted dose ($\times 5/7$)			
	0	2.1	7.14	21.4
Wistar rat, female (Kroese et al., 2001)	1/52	8/51	13/51	2/52
Wistar rat, male (Kroese et al., 2001)	2/50	8/52	8/52	0/52
	Administered dose (mg/kg-day)			
	0	0.7	3.3	16.5
B6C3F ₁ mice, female (Beland and Culp, 1998)	13/48	23/47	33/46	46/47

17
18
19 All data sets provided adequate descriptions of the dose-response relationship for
20 forestomach hyperplasia from chronic oral exposure to benzo[a]pyrene, but at the highest dose
21 level in rats, the incidence of hyperplasia was not increased. It is possible that the forestomach
22 hyperplasia observed following benzo[a]pyrene exposure may be a precursor to the development
23 of forestomach tumors, but specific data supporting this conclusion are unavailable. Regardless,
24 the male and female data sets in rats (Kroese et al., 2001) were modeled without the data from
25 the highest dose group due to the nonmonotonic increase in response to increasing dose (Kroese et
26 al., 2001).

Table B-12. Summary of BMDs and BMDLs from the best fitting model forestomach hyperplasia—oral exposure

Endpoint/data	Strain/species	Exposure duration	Best fitting model	BMD (mg/kg-d)	BMDL (mg/kg-d)	Reference
Forestomach hyperplasia (highest dose excluded) ^a	Wistar rat (male)	2 yrs	Log-logistic	5.31	2.39	Kroese et al., 2001
Forestomach hyperplasia (highest dose excluded) ^a	Wistar rat (female)	2 yrs	Log-logistic	2.15	1.35	Kroese et al., 2001
Forestomach hyperplasia	B6C3F ₁ mouse (female)	2 yrs	Log-logistic	0.33	0.12	Beland and Culp, 1998

^aData for the high-dose group were excluded in the modeled dataset due to the absence of an increase in incidence at the high dose.

Forestomach hyperplasia- Male Wistar Rats, 2 yrs (Kroese et al., 2001)

Table B-13. Model predictions for forestomach hyperplasia in male Wistar rats in a 2-year study

Model	Degrees of freedom	χ^2	χ^2 Goodness-of-fit <i>p</i> -value ^a	AIC	BMD ₁₀ (mg/kg-d)	BMDL ₁₀ (mg/kg-d)
Highest dose excluded^b						
Gamma ^c , Multistage ^d , Weibull ^c	1	2.39	0.12	112.37	5.63	2.67
Logistic	1	2.83	0.09	112.93	7.25	4.35
LogLogistic	1	2.28	0.13	112.27	5.31	2.39
LogProbit	1	3.64	0.06	113.88	8.36	4.52
Probit	1	2.78	0.10	112.87	7.09	4.13

^aValues <0.10 fail to meet conventional goodness-of-fit criteria.

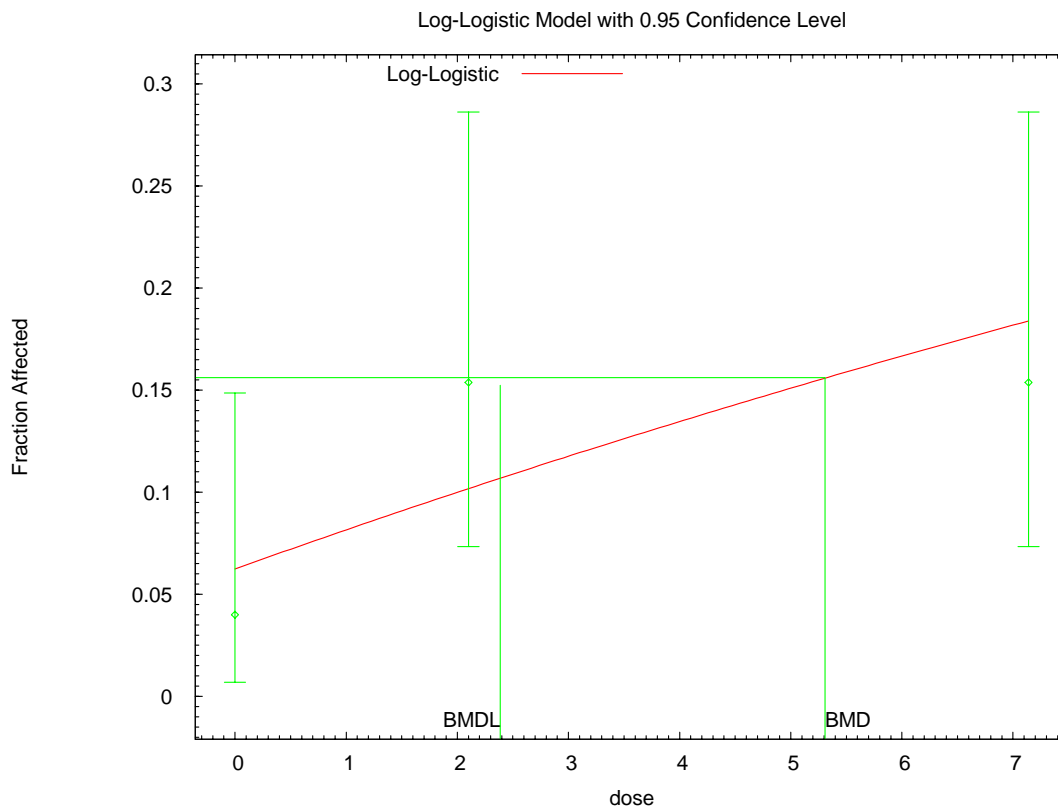
^bData for the high-dose group were excluded in the modeled dataset due to the absence of an increase in incidence at the high dose; likely related to the statistically significantly increased incidence of forestomach tumors in these animals.

^cPower restricted to ≥ 1 .

^dBetas restricted to ≥ 0 ; lowest degree polynomial with an adequate fit is reported (1-degree polynomial).

Source: Kroese et al. (2001).

10
11
12



08:57 10/14 2009

Figure B-6. Fit of log logistic model to data on forestomach hyperplasia in male Wistar rats in a 2-year study.

Model output:

```

=====
      Logistic Model. (Version: 2.12; Date: 05/16/2008)
      Input Data File:
14 C:\USEPA\IRIS\benzo[a]pyrene\RfD\Kroese2001\chronic\forestomachhyperplasia\male\durati
15 onadjusted\3Logkrolog.(d)
      Gnuplot Plotting File:
17 C:\USEPA\IRIS\benzo[a]pyrene\RfD\Kroese2001\chronic\forestomachhyperplasia\male\durati
18 onadjusted\3Logkrolog.plt

```

Tue Oct 13 21:42:15 2009

=====

BMSD Model Run

~~~~~

The form of the probability function is:

$$P[\text{response}] = \text{background} + (1 - \text{background}) / [1 + \text{EXP}(-\text{intercept} - \text{slope} * \text{Log}(\text{dose}))]$$

Dependent variable = incidence

Independent variable = dose

Slope parameter is restricted as slope >= 1

1 Total number of observations = 3  
 2 Total number of records with missing values = 0  
 3 Maximum number of iterations = 250  
 4 Relative Function Convergence has been set to: 1e-008  
 5 Parameter Convergence has been set to: 1e-008  
 6  
 7  
 8

9 User has chosen the log transformed model

11 Default Initial Parameter Values

12 background = 0.04  
 13 intercept = -3.27842  
 14 slope = 1  
 15  
 16  
 17

18 Asymptotic Correlation Matrix of Parameter Estimates

19  
 20 ( \*\*\* The model parameter(s) -slope  
 21 have been estimated at a boundary point, or have been specified by  
 22 the user,  
 23 and do not appear in the correlation matrix )  
 24

|            | background | intercept |
|------------|------------|-----------|
| background | 1          | -0.7      |
| intercept  | -0.7       | 1         |

33 Parameter Estimates

|          |            | 95.0% Wald Confidence |           |                   |                   |
|----------|------------|-----------------------|-----------|-------------------|-------------------|
| Interval | Variable   | Estimate              | Std. Err. | Lower Conf. Limit | Upper Conf. Limit |
| Limit    | background | 0.0623861             | *         | *                 | *                 |
|          | intercept  | -3.86644              | *         | *                 | *                 |
|          | slope      | 1                     | *         | *                 | *                 |

43 \* - Indicates that this value is not calculated.

47 Analysis of Deviance Table

| Model         | Log(likelihood) | # Param's | Deviance | Test d.f. | P-value |
|---------------|-----------------|-----------|----------|-----------|---------|
| Full model    | -53.0468        | 3         |          |           |         |
| Fitted model  | -54.1335        | 2         | 2.17337  | 1         | 0.1404  |
| Reduced model | -55.5429        | 1         | 4.99229  | 2         | 0.0824  |
| AIC:          | 112.267         |           |          |           |         |

57 Goodness of Fit

| Dose   | Est._Prob. | Expected | Observed | Size | Scaled Residual |
|--------|------------|----------|----------|------|-----------------|
| 0.0000 | 0.0624     | 3.119    | 2.000    | 50   | -0.654          |
| 2.1000 | 0.1019     | 5.297    | 8.000    | 52   | 1.239           |
| 7.1400 | 0.1843     | 9.584    | 8.000    | 52   | -0.566          |

65 Chi^2 = 2.28      d.f. = 1      P-value = 0.1306

1  
 2 Benchmark Dose Computation  
 3  
 4 Specified effect = 0.1  
 5  
 6 Risk Type = Extra risk  
 7  
 8 Confidence level = 0.95  
 9  
 10 BMD = 5.308  
 11  
 12 BMDL = 2.38692  
 13  
 14  
 15

16 *Forestomach hyperplasia- Female Wistar Rats, 2 yrs (Kroese et al., 2001)*  
 17

**Table B-14. Model predictions for forestomach hyperplasia in female Wistar rats in a 2-year study**

| Model                                                               | Degrees of freedom | $\chi^2$    | $\chi^2$ Goodness-of-fit <i>p</i> -value <sup>a</sup> | AIC           | BMD <sub>10</sub> (mg/kg-d) | BMDL <sub>10</sub> (mg/kg-d) |
|---------------------------------------------------------------------|--------------------|-------------|-------------------------------------------------------|---------------|-----------------------------|------------------------------|
| <b>Highest dose excluded<sup>b</sup></b>                            |                    |             |                                                       |               |                             |                              |
| Logistic                                                            | 1                  | 3.68        | 0.06                                                  | 120.02        | 4.23                        | 3.28                         |
| <b>LogLogistic</b>                                                  | <b>1</b>           | <b>0.98</b> | <b>0.32</b>                                           | <b>117.04</b> | <b>2.15</b>                 | <b>1.35</b>                  |
| LogProbit                                                           | 1                  | 5.09        | 0.02                                                  | 121.13        | 3.91                        | 2.57                         |
| Gamma <sup>c</sup> , Multistage <sup>d</sup> , Weibull <sup>c</sup> | 1                  | 1.40        | 0.24                                                  | 117.42        | 2.40                        | 1.59                         |
| Probit                                                              | 1                  | 3.47        | 0.06                                                  | 119.74        | 3.99                        | 3.06                         |

<sup>a</sup>Values <0.10 fail to meet conventional goodness-of-fit criteria.

<sup>b</sup>Data for the high-dose group were excluded in the modeled dataset due to the absence of an increase in incidence at the high dose; likely related to the statistically significantly increased incidence of forestomach tumors in these animals.

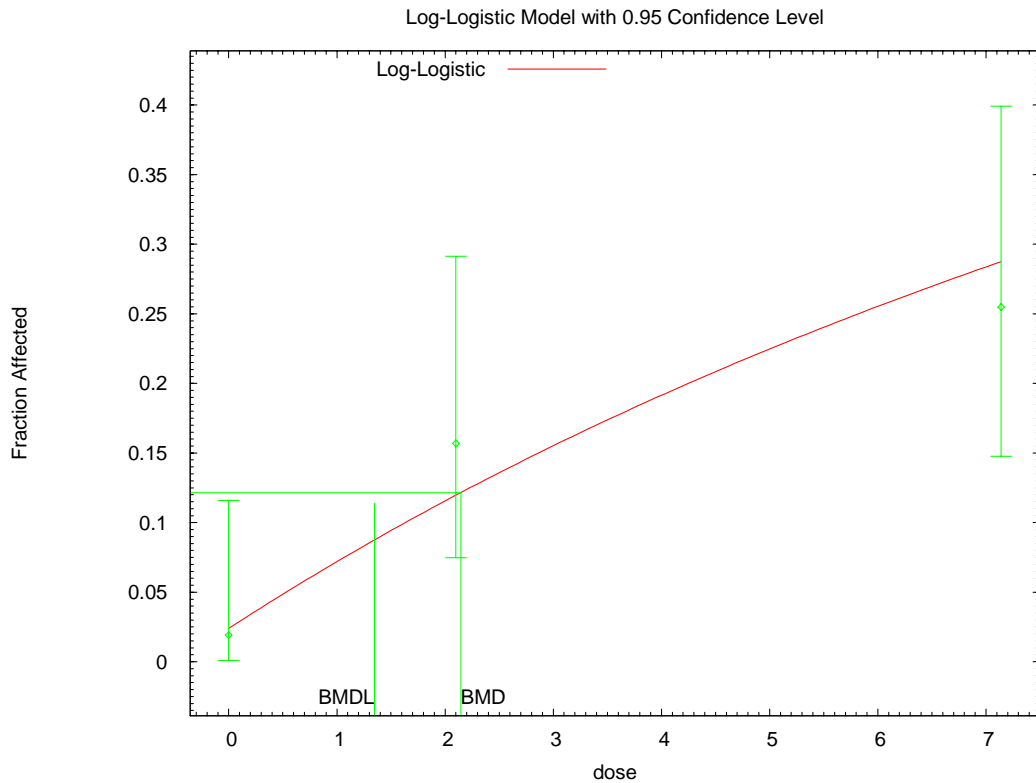
<sup>c</sup>Power restricted to  $\geq 1$ .

<sup>d</sup>Betas restricted to  $\geq 0$ ; lowest degree polynomial with an adequate fit is reported (1-degree polynomial).

BMD = maximum likelihood estimate of the dose/concentration associated with the selected BMR

Source: Kroese et al. (2001).

18



08:59 10/14 2009

**Figure B-7. Fit of log logistic model to data on forestomach hyperplasia in female Wistar rats in a 2-year study.**

**Model output:**

```

=====
Logistic Model. (Version: 2.12; Date: 05/16/2008)
Input Data File:
C:\USEPA\IRIS\benzo[a]pyrene\RfD\Kroese2001\chronic\forestomachhyperplasia\female\dura
tionadjusted\3Logkrolog.(d)
Gnuplot Plotting File:
C:\USEPA\IRIS\benzo[a]pyrene\RfD\Kroese2001\chronic\forestomachhyperplasia\female\dura
tionadjusted\3Logkrolog.plt

```

Wed Oct 14 08:59:23 2009

~~~~~  
BMDS Model Run
~~~~~

The form of the probability function is:

$$P[\text{response}] = \text{background} + (1 - \text{background}) / [1 + \text{EXP}(-\text{intercept} - \text{slope} * \text{Log}(\text{dose}))]$$

Dependent variable = incidence  
Independent variable = dose  
Slope parameter is restricted as slope >= 1

Total number of observations = 3  
Total number of records with missing values = 0  
Maximum number of iterations = 250  
Relative Function Convergence has been set to: 1e-008  
Parameter Convergence has been set to: 1e-008

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User has chosen the log transformed model

Default Initial Parameter Values

background = 0.0192308  
intercept = -2.7983  
slope = 1

Asymptotic Correlation Matrix of Parameter Estimates

( \*\*\* The model parameter(s) -slope  
have been estimated at a boundary point, or have been specified by  
the user,  
and do not appear in the correlation matrix )

|            | background | intercept |
|------------|------------|-----------|
| background | 1          | -0.46     |
| intercept  | -0.46      | 1         |

Parameter Estimates

95.0% Wald Confidence

| Interval | Variable   | Estimate  | Std. Err. | Lower Conf. Limit | Upper Conf. Limit |
|----------|------------|-----------|-----------|-------------------|-------------------|
| Limit    | background | 0.0238694 | *         | *                 | *                 |
|          | intercept  | -2.96044  | *         | *                 | *                 |
|          | slope      | 1         | *         | *                 | *                 |

\* - Indicates that this value is not calculated.

Analysis of Deviance Table

| Model         | Log(likelihood) | # Param's | Deviance | Test d.f. | P-value  |
|---------------|-----------------|-----------|----------|-----------|----------|
| Full model    | -56.048         | 3         |          |           |          |
| Fitted model  | -56.5181        | 2         | 0.940072 | 1         | 0.3323   |
| Reduced model | -63.1579        | 1         | 14.2198  | 2         | 0.000817 |
| AIC:          | 117.036         |           |          |           |          |

Goodness of Fit

| Dose   | Est._Prob. | Expected | Observed | Size | Scaled Residual |
|--------|------------|----------|----------|------|-----------------|
| 0.0000 | 0.0239     | 1.241    | 1.000    | 52   | -0.219          |
| 2.1000 | 0.1196     | 6.101    | 8.000    | 51   | 0.819           |
| 7.1400 | 0.2874     | 14.658   | 13.000   | 51   | -0.513          |

Chi^2 = 0.98      d.f. = 1      P-value = 0.3216

Benchmark Dose Computation

Specified effect = 0.1



1 Risk Type = Extra risk  
 2  
 3 Confidence level = 0.95  
 4  
 5 BMD = 2.14515  
 6  
 7 BMDL = 1.34776  
 8  
 9

10 *Forestomach hyperplasia- female mice, 2 yrs (Beland and Culp, 1998)*

**Table B-15. Model predictions for forestomach hyperplasia in female B6C3F1 mice in a 2-year study**

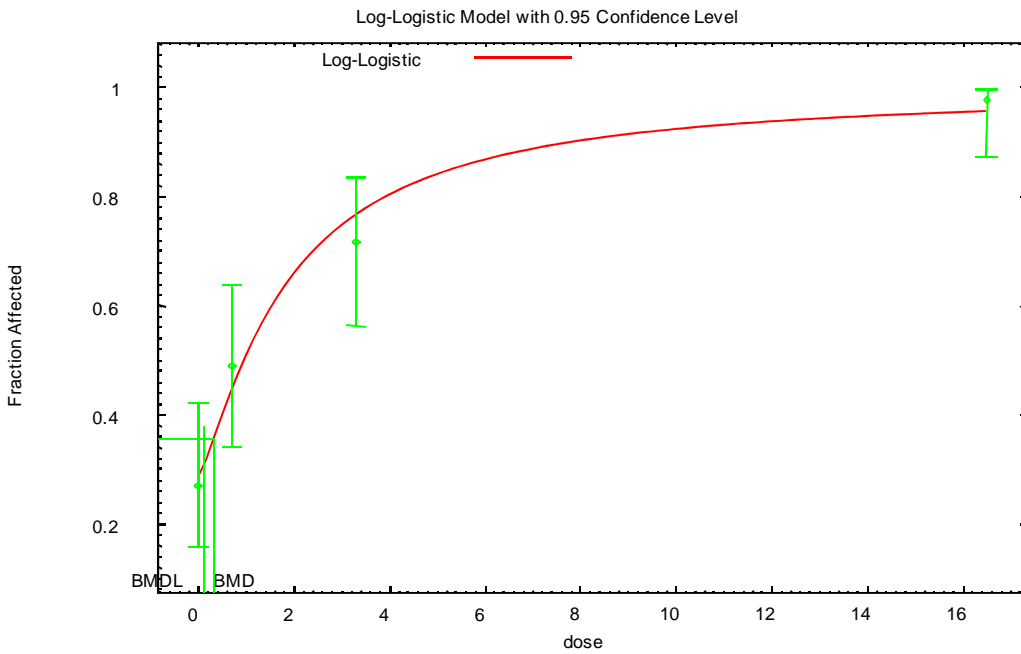
| Model                                                               | Degrees of freedom | $\chi^2$    | $\chi^2$ Goodness-of-fit <i>p</i> -value <sup>a</sup> | AIC          | BMD <sub>10</sub> (mg/kg-d) | BMDL <sub>10</sub> (mg/kg-d) |
|---------------------------------------------------------------------|--------------------|-------------|-------------------------------------------------------|--------------|-----------------------------|------------------------------|
| Logistic                                                            | 2                  | 5.71        | 0.06                                                  | 194.7        | 0.757                       | 0.545                        |
| <b>LogLogistic</b>                                                  | <b>2</b>           | <b>1.55</b> | <b>0.21</b>                                           | <b>193.3</b> | <b>0.329</b>                | <b>0.115</b>                 |
| LogProbit                                                           | 2                  | 2.49        | 0.29                                                  | 192.1        | 0.670                       | 0.448                        |
| Multistage <sup>c</sup> , Weibull <sup>b</sup> , Gamma <sup>b</sup> | 2                  | 1.74        | 0.42                                                  | 191.3        | 0.421                       | 0.295                        |
| Probit                                                              | 2                  | 7.04        | 0.03                                                  | 196.6        | 0.946                       | 0.711                        |

<sup>a</sup>Values <0.10 fail to meet conventional goodness-of-fit criteria.

<sup>b</sup>Power restricted to ≥1.

<sup>c</sup>Betas restricted to ≥0; lowest degree polynomial with an adequate fit is reported (1-degree polynomial).

BMD = maximum likelihood estimate of the dose/concentration associated with the selected BMR  
 Source: Beland and Culp (1998).



**Figure B-8. Fit of log logistic model to data on forestomach hyperplasia in female B6C3F<sub>1</sub> mice in a 2-year study.**

Model output:

```

=====
      Logistic Model. (Version: 2.12; Date: 05/16/2008)
      Input Data File:
11 C:\Usepa\BMDS21\Data\lnl_benzo[a]pyrene_BelandCulp_mice_4s_hyperplasia_generic_dich_10
12 .(d)
      Gnuplot Plotting File:
14 C:\Usepa\BMDS21\Data\lnl_benzo[a]pyrene_BelandCulp_mice_4s_hyperplasia_generic_dich_10
15 .plt
=====

```

BMDS Model Run

The form of the probability function is:

$$P[\text{response}] = \text{background} + (1 - \text{background}) / [1 + \text{EXP}(-\text{intercept} - \text{slope} * \text{Log}(\text{dose}))]$$

Dependent variable = NumAff

Independent variable = dose

Slope parameter is restricted as slope >= 1

Total number of observations = 4

Total number of records with missing values = 0

Maximum number of iterations = 250

Relative Function Convergence has been set to: 1e-008

Parameter Convergence has been set to: 1e-008

User has chosen the log transformed model

1  
2  
3 Default Initial Parameter Values

4 background = 0.270833  
5 intercept = -0.637972  
6 slope = 1.38091  
7

8  
9 Asymptotic Correlation Matrix of Parameter Estimates

|            | background | intercept | slope |
|------------|------------|-----------|-------|
| background | 1          | -0.66     | 0.46  |
| intercept  | -0.66      | 1         | -0.8  |
| slope      | 0.46       | -0.8      | 1     |

10  
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12  
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14  
15  
16  
17  
18  
19  
20  
21 Parameter Estimates

22  
23 95.0% Wald Confidence

| Interval | Variable   | Estimate  | Std. Err. | Lower Conf. Limit | Upper Conf. Limit |
|----------|------------|-----------|-----------|-------------------|-------------------|
| Limit    | background | 0.286381  | *         | *                 | *                 |
|          | intercept  | -0.789676 | *         | *                 | *                 |
|          | slope      | 1.26641   | *         | *                 | *                 |

24  
25  
26  
27  
28  
29  
30  
31 \* - Indicates that this value is not calculated.  
32  
33

34  
35 Analysis of Deviance Table

| Model         | Log(likelihood) | # Param's | Deviance | Test d.f. | P-value |
|---------------|-----------------|-----------|----------|-----------|---------|
| Full model    | -92.8312        | 4         |          |           |         |
| Fitted model  | -93.6497        | 3         | 1.63686  | 1         | 0.2008  |
| Reduced model | -125.58         | 1         | 65.4982  | 3         | <.0001  |
| AIC:          | 193.299         |           |          |           |         |

36  
37  
38  
39  
40  
41  
42  
43  
44  
45 Goodness of Fit

| Dose    | Est._Prob. | Expected | Observed | Size | Scaled Residual |
|---------|------------|----------|----------|------|-----------------|
| 0.0000  | 0.2864     | 13.746   | 13.000   | 48   | -0.238          |
| 0.7000  | 0.4464     | 20.979   | 23.000   | 47   | 0.593           |
| 3.3000  | 0.7667     | 35.270   | 33.000   | 46   | -0.791          |
| 16.5000 | 0.9575     | 45.005   | 46.000   | 47   | 0.720           |

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53  
54 Chi^2 = 1.55 d.f. = 1 P-value = 0.2127  
55  
56

57 Benchmark Dose Computation

58  
59 Specified effect = 0.1  
60  
61 Risk Type = Extra risk  
62  
63 Confidence level = 0.95  
64  
65 BMD = 0.329083  
66

1

BMDL = 0.115446

1 *Decreased ovary weight- female rats, 60 days (Xu et al., 2010)*

2

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**Table B-16. Means ± SDa for ovary weight in female SD-rats**

| Organ             | Dose (mg/kg-d) <sup>a</sup> |                             |                             |
|-------------------|-----------------------------|-----------------------------|-----------------------------|
|                   | 0                           | 2.5                         | 5                           |
| Ovary weight (mg) | 0.160 ± 0.0146              | 0.143 ± 0.0098 <sup>b</sup> | 0.136 ± 0.0098 <sup>b</sup> |

<sup>a</sup> TWA doses over the 60 day study period

<sup>b</sup> Statistically different ( $p < 0.05$ ) from controls using one-way ANOVA

**Table B-17. Model predictions for decreased ovary weight in female SD-rats—60 days**

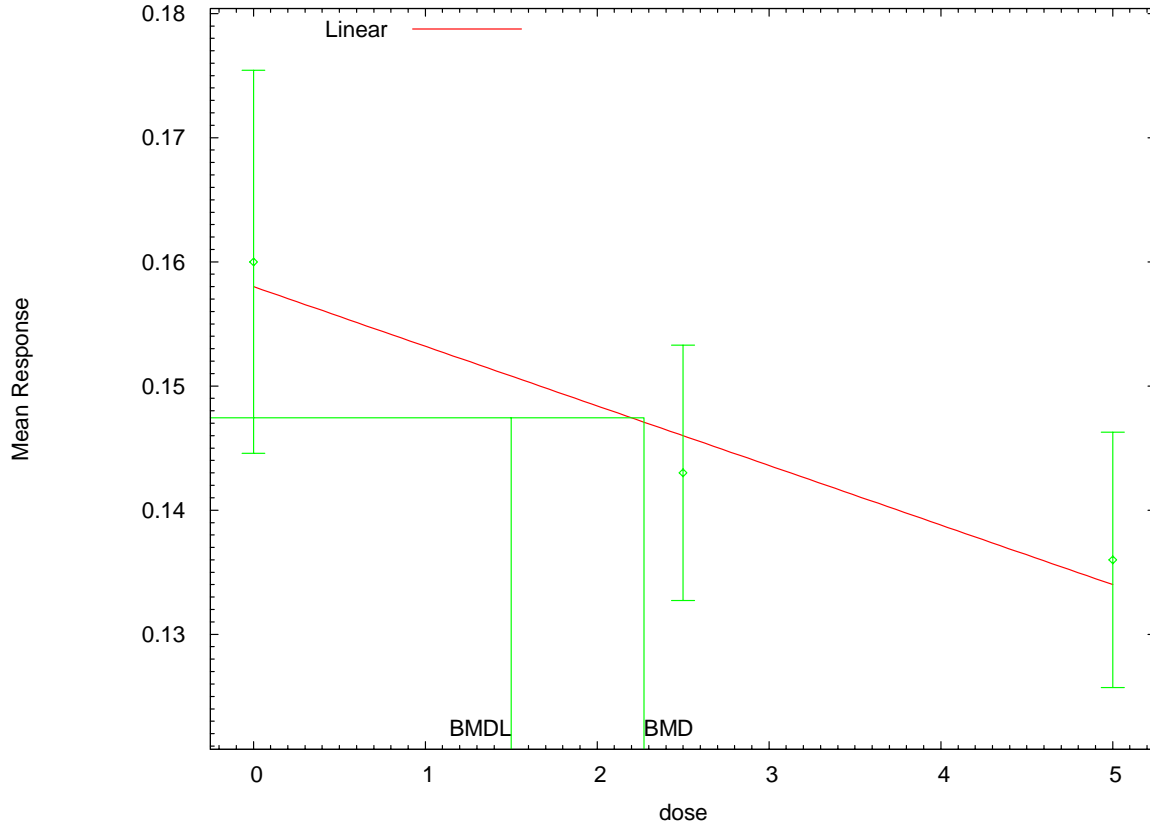
| Model                          | Goodness-of-fit<br><i>p</i> -value | AIC            | BMD <sub>1SD</sub><br>(mg/kg-d) | BMDL <sub>1SD</sub><br>(mg/kg-d) |
|--------------------------------|------------------------------------|----------------|---------------------------------|----------------------------------|
| Power                          | N/A                                |                |                                 |                                  |
| <b>Linear, Polynomial (1°)</b> | <b>0.39</b>                        | <b>-138.67</b> | <b>2.27</b>                     | <b>1.49</b>                      |

NA = not applicable, model failed;

4

5

Linear Model with 0.95 Confidence Level



16:03 12/14 2010

**Figure B-9. Fit of linear/polynomial (1°) model to data on decreased ovary weight**

Model Output:

```

=====
Polynomial Model. (Version: 2.16; Date: 05/26/2010)
Input Data File:
C:/USEPA/BMDS212/Data/benzo[a]pyrene/Bap_AbsOvaryWeight/Xu2010_AbsOvaryWeight_Linear_1
SD.(d)
Gnuplot Plotting File:
C:/USEPA/BMDS212/Data/benzo[a]pyrene/Bap_AbsOvaryWeight/Xu2010_AbsOvaryWeight_Linear_1
SD.plt
Tue Dec 14 13:51:32 2010
=====
~~~~~

```

The form of the response function is:

$$Y[\text{dose}] = \text{beta}_0 + \text{beta}_1 \cdot \text{dose} + \text{beta}_2 \cdot \text{dose}^2 + \dots$$

Dependent variable = Mean

Independent variable = Dose

rho is set to 0

Signs of the polynomial coefficients are not restricted

A constant variance model is fit

Total number of dose groups = 3

1 Total number of records with missing values = 0  
 2 Maximum number of iterations = 250  
 3 Relative Function Convergence has been set to: 1e-008  
 4 Parameter Convergence has been set to: 1e-008  
 5  
 6  
 7

8 Default Initial Parameter Values  
 9 alpha = 0.000136  
 10 rho = 0 Specified  
 11 beta\_0 = 0.158333  
 12 beta\_1 = -0.0048  
 13

14 Asymptotic Correlation Matrix of Parameter Estimates

15 ( \*\*\* The model parameter(s) -rho  
 16 have been estimated at a boundary point, or have been specified by  
 17 the user,  
 18 and do not appear in the correlation matrix )  
 19

|        | alpha     | beta_0 | beta_1    |
|--------|-----------|--------|-----------|
| alpha  | 1         | 4e-010 | -4.5e-010 |
| beta_0 | 4e-010    | 1      | -0.77     |
| beta_1 | -4.5e-010 | -0.77  | 1         |

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 32 Parameter Estimates

| Variable | Estimate    | Std. Err.    | 95.0% Wald Confidence Interval |                   |
|----------|-------------|--------------|--------------------------------|-------------------|
|          |             |              | Lower Conf. Limit              | Upper Conf. Limit |
| alpha    | 0.000118889 | 3.96296e-005 | 4.12162e-005                   | 0.000196562       |
| beta_0   | 0.158333    | 0.00406354   | 0.150369                       | 0.166298          |
| beta_1   | -0.0048     | 0.00125904   | -0.00726768                    | -0.00233232       |

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 42 Table of Data and Estimated Values of Interest

| Dose | N | Obs Mean | Est Mean | Obs Std Dev | Est Std Dev | Scaled Res. |
|------|---|----------|----------|-------------|-------------|-------------|
| 0    | 6 | 0.16     | 0.158    | 0.0147      | 0.0109      | 0.374       |
| 2.5  | 6 | 0.143    | 0.146    | 0.0098      | 0.0109      | -0.749      |
| 5    | 6 | 0.136    | 0.134    | 0.0098      | 0.0109      | 0.374       |

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 52  
 53 Model Descriptions for likelihoods calculated

54  
 55  
 56 Model A1:  $Y_{ij} = \mu(i) + e(ij)$   
 57  $\text{Var}\{e(ij)\} = \sigma^2$   
 58

59 Model A2:  $Y_{ij} = \mu(i) + e(ij)$   
 60  $\text{Var}\{e(ij)\} = \sigma(i)^2$   
 61

62 Model A3:  $Y_{ij} = \mu(i) + e(ij)$   
 63  $\text{Var}\{e(ij)\} = \sigma^2$   
 64 Model A3 uses any fixed variance parameters that  
 65 were specified by the user  
 66

67 Model R:  $Y_i = \mu + e(i)$   
 68  $\text{Var}\{e(i)\} = \sigma^2$

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Likelihoods of Interest

| Model  | Log(likelihood) | # Param's | AIC         |
|--------|-----------------|-----------|-------------|
| A1     | 72.766595       | 4         | -137.533190 |
| A2     | 73.468565       | 6         | -134.937129 |
| A3     | 72.766595       | 4         | -137.533190 |
| fitted | 72.335891       | 3         | -138.671782 |
| R      | 67.008505       | 2         | -130.017010 |

Explanation of Tests

Test 1: Do responses and/or variances differ among Dose levels?  
(A2 vs. R)  
Test 2: Are Variances Homogeneous? (A1 vs A2)  
Test 3: Are variances adequately modeled? (A2 vs. A3)  
Test 4: Does the Model for the Mean Fit? (A3 vs. fitted)  
(Note: When rho=0 the results of Test 3 and Test 2 will be the same.)

Tests of Interest

| Test   | -2*log(Likelihood Ratio) | Test df | p-value |
|--------|--------------------------|---------|---------|
| Test 1 | 12.9201                  | 4       | 0.01167 |
| Test 2 | 1.40394                  | 2       | 0.4956  |
| Test 3 | 1.40394                  | 2       | 0.4956  |
| Test 4 | 0.861408                 | 1       | 0.3533  |

The p-value for Test 1 is less than .05. There appears to be a difference between response and/or variances among the dose levels  
It seems appropriate to model the data

The p-value for Test 2 is greater than .1. A homogeneous variance model appears to be appropriate here

The p-value for Test 3 is greater than .1. The modeled variance appears to be appropriate here

The p-value for Test 4 is greater than .1. The model chosen seems to adequately describe the data

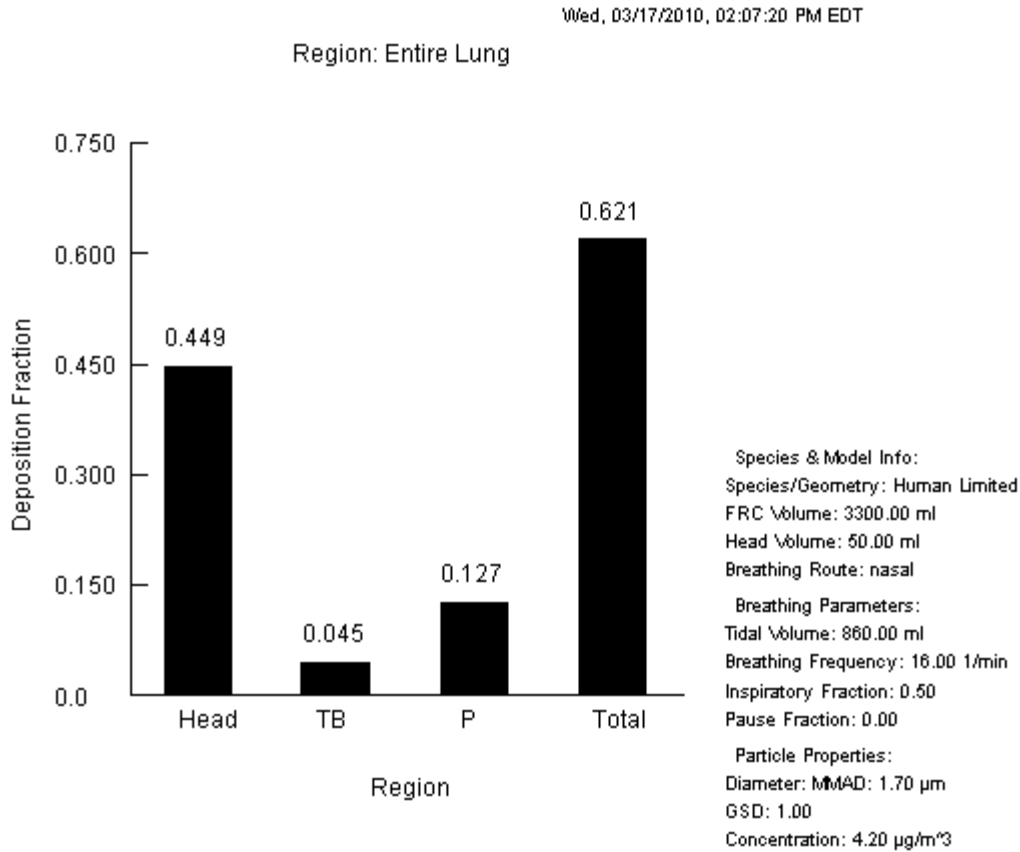
Benchmark Dose Computation

Specified effect = 1  
Risk Type = Estimated standard deviations from the control mean  
Confidence level = 0.95  
**BMD = 2.27159**  
**BMDL = 1.49968**



1 **APPENDIX C. ADDITIONAL CALCULATIONS FOR THE RfC**

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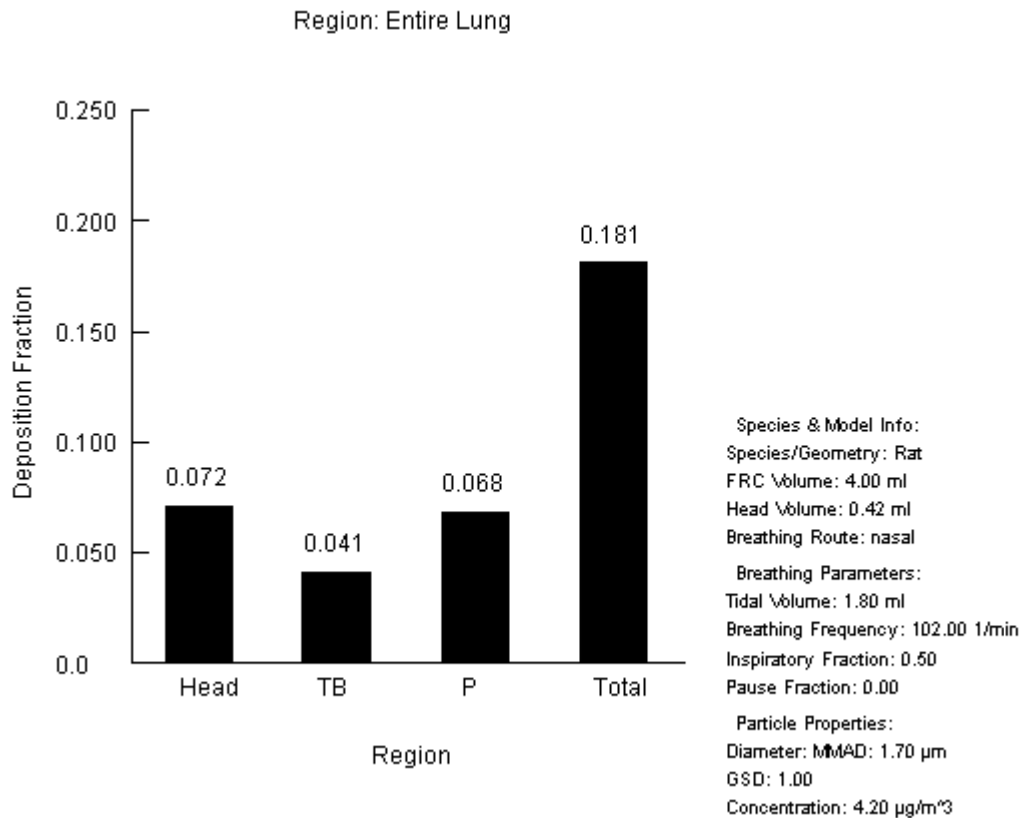
**Figure C-1. Human Fractional Deposition**

Species = humanlimited  
 FRC = 3300.0  
 Head volume = 50.0  
 Density = 1.0  
 Number of particles calculated = single  
 Diameter = 1.7000000000000002 µm MMAD  
 Inhalability = yes  
 GSD = 1.0  
 Breathing interval: One single breath  
 Concentration = 4.2  
 Breathing Frequency = 16.0  
 Tidal Volume = 860.0  
 Inspiratory Fraction = 0.5  
 Pause Fraction = 0.0  
 Breathing Route = nasal

Region: Entire Lung  
 Region: Entire Lung  
 Region Deposition Fraction

|   |              |              |
|---|--------------|--------------|
| 1 | --           | --           |
| 2 | <b>Head</b>  | <b>0.449</b> |
| 3 | <b>TB</b>    | <b>0.045</b> |
| 4 | <b>P</b>     | <b>0.127</b> |
| 5 | <b>Total</b> | <b>0.621</b> |
| 6 |              |              |

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**Figure C-2. Rat Fractional Deposition**

2  
 3  
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 6 Species = rat  
 7 FRC = 4.0  
 8 Head volume = 0.42  
 9 Density = 1.0  
 10 Number of particles calculated = single  
 11 Diameter = 1.7000000000000002  $\mu\text{m}$  MMAD  
 12 Inhalability = yes  
 13 GSD = 1.0  
 14 Breathing interval: One single breath  
 15 Concentration = 4.2  
 16 Breathing Frequency = 102.0  
 17 Tidal Volume = 1.8  
 18 Inspiratory Fraction = 0.5  
 19 Pause Fraction = 0.0  
 20 Breathing Route = nasal  
 21  
 22 Region: Entire Lung  
 23 Region: Entire Lung  
 24 Region      Deposition Fraction  
 25 --      --  
 26 **Head    0.072**  
 27 **TB      0.041**  
 28 **P       0.068**  
 29 **Total  0.181**

**APPENDIX D. TIME-TO-TUMOR MODELING FOR THE ORAL SLOPE FACTOR**

**Table D-1. Tumor incidence data, with time to death with tumor; male rats exposed by gavage to Benzo[a]pyrene (Kroese et al., 2001)**

| Dose Group<br>(mg/kg-day) | Week of<br>Death | Total<br>Examined | Numbers of Animals with              |                    |              |       |                                  |                          |                         |                                   |        |
|---------------------------|------------------|-------------------|--------------------------------------|--------------------|--------------|-------|----------------------------------|--------------------------|-------------------------|-----------------------------------|--------|
|                           |                  |                   | Oral Cavity or<br>Forestomach Tumors |                    | Liver Tumors |       | Duodenum<br>or Jejunum<br>Tumors | Skin or Mammary<br>Gland |                         | Kidney<br>Urothelial<br>Carcinoma |        |
|                           |                  |                   | Incid. <sup>a</sup>                  | Fatal <sup>a</sup> | Incid.       | Fatal |                                  | Basal Cell<br>Tumors     | Squamous<br>Cell Tumors |                                   | Incid. |
| 0                         | 44               | 1                 | 0                                    | 0                  | 0            | 0     | 0                                | 0                        | 1                       | 0                                 | 0      |
|                           | 80               | 1                 | 0                                    | 0                  | 0            | 0     | 0                                | 0                        | 0                       | 0                                 | 0      |
|                           | 82               | 1                 | 0                                    | 0                  | 0            | 0     | 0                                | 0                        | 0                       | 0                                 | 0      |
|                           | 84               | 1                 | 0                                    | 0                  | 0            | 0     | 0                                | 0                        | 0                       | 0                                 | 0      |
|                           | 89               | 1                 | 0                                    | 0                  | 0            | 0     | 0                                | 0                        | 0                       | 0                                 | 0      |
|                           | 90               | 3                 | 0                                    | 0                  | 0            | 0     | 0                                | 0                        | 0                       | 0                                 | 0      |
|                           | 91               | 1                 | 0                                    | 0                  | 0            | 0     | 0                                | 0                        | 0                       | 0                                 | 0      |
|                           | 92               | 1                 | 0                                    | 0                  | 0            | 0     | 0                                | 0                        | 0                       | 0                                 | 0      |
|                           | 93               | 1                 | 0                                    | 0                  | 0            | 0     | 0                                | 0                        | 0                       | 0                                 | 0      |
|                           | 94               | 1                 | 0                                    | 0                  | 0            | 0     | 0                                | 0                        | 0                       | 0                                 | 0      |
|                           | 95               | 2                 | 0                                    | 0                  | 0            | 0     | 0                                | 0                        | 0                       | 0                                 | 0      |
|                           | 96               | 2                 | 0                                    | 0                  | 0            | 0     | 0                                | 0                        | 0                       | 0                                 | 0      |
|                           | 97               | 1                 | 0                                    | 0                  | 0            | 0     | 0                                | 0                        | 0                       | 0                                 | 0      |
|                           | 98               | 1                 | 0                                    | 0                  | 0            | 0     | 0                                | 0                        | 0                       | 0                                 | 0      |
|                           | 100              | 3                 | 0                                    | 0                  | 0            | 0     | 0                                | 0                        | 1                       | 0                                 | 0      |
| 104                       | 1                | 0                 | 0                                    | 0                  | 0            | 0     | 0                                | 0                        | 0                       | 0                                 |        |
| 105                       | 1                | 0                 | 0                                    | 0                  | 0            | 0     | 0                                | 0                        | 0                       | 0                                 |        |
| 108                       | 7                | 0                 | 0                                    | 0                  | 0            | 0     | 0                                | 0                        | 0                       | 0                                 |        |
| 109                       | 22               | 0                 | 0                                    | 0                  | 0            | 0     | 0                                | 0                        | 0                       | 0                                 |        |
| 3                         | 29               | 1                 | 0                                    | 0                  | 0            | 0     | 0                                | 0                        | 0                       | 0                                 | 0      |
|                           | 40               | 1                 | 1                                    | 0                  | 0            | 0     | 0                                | 0                        | 0                       | 0                                 | 0      |
|                           | 74               | 1                 | 0                                    | 0                  | 0            | 0     | 0                                | 0                        | 0                       | 0                                 | 0      |
|                           | 76               | 1                 | 0                                    | 0                  | 0            | 0     | 0                                | 0                        | 0                       | 0                                 | 0      |
|                           | 79               | 1                 | 0                                    | 0                  | 0            | 0     | 0                                | 0                        | 0                       | 0                                 | 0      |
|                           | 82               | 1                 | 0                                    | 0                  | 0            | 0     | 0                                | 0                        | 0                       | 0                                 | 0      |
|                           | 92               | 2                 | 0                                    | 0                  | 0            | 0     | 0                                | 0                        | 0                       | 0                                 | 0      |
|                           | 93               | 1                 | 0                                    | 0                  | 0            | 0     | 0                                | 0                        | 0                       | 0                                 | 0      |
|                           | 94               | 1                 | 0                                    | 0                  | 0            | 0     | 0                                | 0                        | 0                       | 0                                 | 0      |
|                           | 95               | 2                 | 0                                    | 0                  | 0            | 0     | 0                                | 0                        | 0                       | 0                                 | 0      |
|                           | 98               | 1                 | 0                                    | 0                  | 0            | 0     | 0                                | 0                        | 0                       | 0                                 | 0      |
|                           | 107              | 10                | 4                                    | 0                  | 1            | 0     | 0                                | 0                        | 0                       | 0                                 | 0      |
| 108                       | 15               | 2                 | 0                                    | 3                  | 0            | 0     | 1                                | 1                        | 1                       | 0                                 |        |
| 109                       | 14               | 1                 | 0                                    | 0                  | 0            | 0     | 0                                | 0                        | 0                       | 0                                 |        |
| 10                        | 39               | 1                 | 0                                    | 0                  | 0            | 0     | 0                                | 0                        | 0                       | 0                                 | 0      |
|                           | 47               | 2                 | 0                                    | 0                  | 0            | 0     | 0                                | 0                        | 0                       | 0                                 | 0      |
|                           | 63               | 1                 | 1                                    | 0                  | 0            | 0     | 0                                | 0                        | 0                       | 0                                 | 0      |
|                           | 68               | 2                 | 2                                    | 0                  | 0            | 0     | 0                                | 0                        | 0                       | 0                                 | 0      |
|                           | 69               | 1                 | 1                                    | 0                  | 0            | 0     | 0                                | 0                        | 0                       | 0                                 | 0      |
|                           | 77               | 1                 | 0                                    | 0                  | 1            | 0     | 0                                | 0                        | 0                       | 0                                 | 0      |
|                           | 80               | 1                 | 0                                    | 0                  | 1            | 0     | 0                                | 0                        | 0                       | 0                                 | 0      |
|                           | 81               | 1                 | 1                                    | 0                  | 0            | 0     | 1                                | 0                        | 0                       | 0                                 | 0      |
|                           | 84               | 1                 | 1                                    | 0                  | 0            | 0     | 1                                | 0                        | 0                       | 0                                 | 0      |
|                           | 86               | 1                 | 0                                    | 0                  | 1            | 0     | 0                                | 0                        | 0                       | 0                                 | 0      |
|                           | 90               | 1                 | 1                                    | 0                  | 0            | 0     | 0                                | 0                        | 0                       | 0                                 | 0      |
|                           | 95               | 3                 | 3                                    | 0                  | 2            | 0     | 0                                | 0                        | 0                       | 0                                 | 0      |
|                           | 97               | 1                 | 1                                    | 0                  | 0            | 0     | 1                                | 0                        | 0                       | 0                                 | 0      |
|                           | 100              | 1                 | 1                                    | 0                  | 1            | 0     | 0                                | 0                        | 0                       | 0                                 | 0      |
|                           | 102              | 1                 | 1                                    | 0                  | 1            | 0     | 0                                | 0                        | 0                       | 0                                 | 0      |
|                           | 103              | 1                 | 1                                    | 0                  | 1            | 0     | 0                                | 0                        | 0                       | 0                                 | 0      |
|                           | 104              | 3                 | 3                                    | 0                  | 3            | 0     | 0                                | 0                        | 0                       | 0                                 | 0      |
| 107                       | 12               | 12                | 12                                   | 0                  | 11           | 0     | 0                                | 0                        | 1                       | 0                                 |        |
| 108                       | 11               | 11                | 11                                   | 0                  | 11           | 0     | 0                                | 1                        | 0                       | 0                                 |        |
| 109                       | 6                | 6                 | 5                                    | 0                  | 3            | 0     | 0                                | 0                        | 0                       | 0                                 |        |

**Table D-1. Tumor incidence data, with time to death with tumor; male rats exposed by gavage to Benzo[a]pyrene (Kroese et al., 2001)**

| Dose Group<br>(mg/kg-day) | Week of<br>Death | Total<br>Examined | Numbers of Animals with              |                    |              |       |                                  |                          |                         |                                   |   |
|---------------------------|------------------|-------------------|--------------------------------------|--------------------|--------------|-------|----------------------------------|--------------------------|-------------------------|-----------------------------------|---|
|                           |                  |                   | Oral Cavity or<br>Forestomach Tumors |                    | Liver Tumors |       | Duodenum<br>or Jejunum<br>Tumors | Skin or Mammary<br>Gland |                         | Kidney<br>Urothelial<br>Carcinoma |   |
|                           |                  |                   | Incid. <sup>a</sup>                  | Fatal <sup>a</sup> | Incid.       | Fatal |                                  | Basal Cell<br>Tumors     | Squamous<br>Cell Tumors |                                   |   |
|                           |                  |                   |                                      |                    |              |       | Incid.                           |                          |                         | Incid.                            |   |
| 30                        | 32               | 1                 | 1                                    | 0                  | 0            | 0     | 0                                | 0                        | 0                       | 0                                 |   |
|                           | 35               | 1                 | 1                                    | 0                  | 1            | 0     | 0                                | 0                        | 0                       | 0                                 |   |
|                           | 37               | 1                 | 1                                    | 0                  | 0            | 0     | 0                                | 0                        | 0                       | 0                                 |   |
|                           | 44               | 1                 | 0                                    | 1                  | 1            | 0     | 0                                | 0                        | 0                       | 0                                 |   |
|                           | 45               | 2                 | 2                                    | 0                  | 2            | 0     | 0                                | 0                        | 0                       | 0                                 |   |
|                           | 47               | 1                 | 1                                    | 0                  | 1            | 0     | 0                                | 0                        | 0                       | 0                                 |   |
|                           | 48               | 1                 | 1                                    | 0                  | 1            | 0     | 0                                | 0                        | 0                       | 0                                 |   |
|                           | 49               | 1                 | 1                                    | 0                  | 1            | 0     | 0                                | 0                        | 0                       | 0                                 |   |
|                           | 50               | 1                 | 1                                    | 0                  | 1            | 0     | 0                                | 0                        | 0                       | 0                                 |   |
|                           | 51               | 1                 | 1                                    | 0                  | 1            | 0     | 1                                | 0                        | 0                       | 0                                 |   |
|                           | 52               | 4                 | 3                                    | 3                  | 1            | 3     | 1                                | 0                        | 1                       | 1                                 | 0 |
|                           | 53               | 1                 | 1                                    | 1                  | 0            | 1     | 0                                | 0                        | 1                       | 0                                 | 0 |
|                           | 56               | 2                 | 2                                    | 1                  | 1            | 1     | 1                                | 0                        | 0                       | 0                                 | 0 |
|                           | 58               | 2                 | 2                                    | 2                  | 0            | 2     | 0                                | 0                        | 1                       | 0                                 | 0 |
|                           | 59               | 2                 | 2                                    | 2                  | 0            | 2     | 0                                | 0                        | 0                       | 0                                 | 0 |
|                           | 60               | 2                 | 2                                    | 1                  | 1            | 1     | 1                                | 1                        | 0                       | 0                                 | 0 |
|                           | 61               | 3                 | 3                                    | 2                  | 1            | 1     | 2                                | 1                        | 0                       | 0                                 | 0 |
|                           | 62               | 5                 | 5                                    | 5                  | 0            | 0     | 4                                | 3                        | 0                       | 0                                 | 0 |
|                           | 63               | 5                 | 5                                    | 5                  | 0            | 4     | 1                                | 1                        | 2                       | 1                                 | 2 |
|                           | 64               | 2                 | 2                                    | 2                  | 0            | 1     | 1                                | 0                        | 0                       | 0                                 | 1 |
| 65                        | 3                | 3                 | 2                                    | 1                  | 1            | 2     | 0                                | 3                        | 2                       | 0                                 |   |
| 66                        | 1                | 1                 | 1                                    | 0                  | 0            | 1     | 0                                | 0                        | 0                       | 0                                 |   |
| 67                        | 3                | 3                 | 1                                    | 2                  | 2            | 1     | 1                                | 1                        | 1                       | 0                                 |   |
| 68                        | 1                | 1                 | 1                                    | 0                  | 1            | 0     | 0                                | 0                        | 0                       | 0                                 |   |
| 70                        | 2                | 2                 | 2                                    | 0                  | 1            | 1     | 1                                | 1                        | 0                       | 0                                 |   |
| 71                        | 1                | 1                 | 1                                    | 0                  | 1            | 0     | 0                                | 1                        | 1                       | 0                                 |   |
| 73                        | 1                | 1                 | 0                                    | 1                  | 1            | 0     | 0                                | 1                        | 0                       | 0                                 |   |
| 76                        | 1                | 1                 | 1                                    | 0                  | 0            | 1     | 0                                | 1                        | 0                       | 0                                 |   |

<sup>a</sup> Incidental, denotes presence of tumors not known to have caused death of particular animals. "Fatal" denotes incidence of tumors reported by the study investigators to have caused death of particular animals.

**Table D-2. Tumor incidence data, with time to death with tumor; female rats exposed by gavage to Benzo[a]pyrene (Kroese et al., 2001)**

| Dose Group (mg/kg-day) | Week of Death | Total Examined | Numbers of Animals with           |                    |              |       |                            |
|------------------------|---------------|----------------|-----------------------------------|--------------------|--------------|-------|----------------------------|
|                        |               |                | Oral Cavity or Forestomach Tumors |                    | Liver Tumors |       | Duodenum or Jejunum Tumors |
|                        |               |                | Incidental <sup>a</sup>           | Fatal <sup>a</sup> | Incidental   | Fatal | Incidental                 |
| 0                      | 64            | 1              | 0                                 | 0                  | 0            | 0     | 0                          |
|                        | 69            | 1              | 0                                 | 0                  | 0            | 0     | 0                          |
|                        | 75            | 1              | 0                                 | 0                  | 0            | 0     | 0                          |
|                        | 104           | 1              | 0                                 | 0                  | 0            | 0     | 0                          |
|                        | 106           | 4              | 0                                 | 0                  | 0            | 0     | 0                          |
|                        | 107           | 7              | 0                                 | 0                  | 0            | 0     | 0                          |
|                        | 108           | 7              | 0                                 | 0                  | 0            | 0     | 0                          |
|                        | 109           | 30             | 1                                 | 0                  | 0            | 0     | 0                          |
| 3                      | 8             | 1              | 0                                 | 0                  | 0            | 0     | 0                          |
|                        | 47            | 1              | 0                                 | 0                  | 0            | 0     | 0                          |
|                        | 52            | 1              | 0                                 | 0                  | 0            | 0     | 0                          |
|                        | 60            | 1              | 0                                 | 0                  | 0            | 0     | 0                          |
|                        | 65            | 1              | 0                                 | 0                  | 0            | 0     | 0                          |
|                        | 76            | 1              | 0                                 | 0                  | 0            | 0     | 0                          |
|                        | 77            | 1              | 0                                 | 0                  | 0            | 0     | 0                          |
|                        | 83            | 2              | 0                                 | 0                  | 0            | 0     | 0                          |
|                        | 85            | 1              | 0                                 | 0                  | 0            | 0     | 0                          |
|                        | 86            | 1              | 0                                 | 0                  | 0            | 0     | 0                          |
|                        | 88            | 1              | 0                                 | 0                  | 0            | 0     | 0                          |
|                        | 93            | 2              | 0                                 | 0                  | 0            | 0     | 0                          |
|                        | 94            | 1              | 0                                 | 0                  | 0            | 0     | 0                          |
|                        | 97            | 1              | 1                                 | 0                  | 0            | 0     | 0                          |
|                        | 107           | 6              | 2                                 | 0                  | 1            | 0     | 0                          |
|                        | 108           | 9              | 2                                 | 0                  | 0            | 0     | 0                          |
| 109                    | 21            | 1              | 0                                 | 0                  | 0            | 0     |                            |
| 10                     | 42            | 1              | 0                                 | 0                  | 0            | 0     | 0                          |
|                        | 43            | 1              | 0                                 | 0                  | 0            | 0     | 0                          |
|                        | 44            | 1              | 0                                 | 0                  | 0            | 0     | 0                          |
|                        | 45            | 1              | 0                                 | 0                  | 0            | 0     | 0                          |
|                        | 48            | 1              | 0                                 | 0                  | 0            | 0     | 0                          |
|                        | 55            | 1              | 0                                 | 0                  | 1            | 0     | 0                          |
|                        | 59            | 1              | 0                                 | 0                  | 0            | 0     | 0                          |
|                        | 75            | 1              | 0                                 | 0                  | 1            | 0     | 0                          |
|                        | 76            | 2              | 0                                 | 0                  | 1            | 0     | 0                          |
|                        | 77            | 2              | 0                                 | 0                  | 0            | 0     | 0                          |
|                        | 80            | 1              | 1                                 | 0                  | 1            | 0     | 0                          |
|                        | 81            | 1              | 1                                 | 0                  | 0            | 1     | 0                          |
|                        | 82            | 1              | 1                                 | 0                  | 1            | 0     | 0                          |
|                        | 83            | 1              | 0                                 | 0                  | 1            | 0     | 0                          |
|                        | 85            | 2              | 1                                 | 0                  | 1            | 1     | 0                          |
|                        | 86            | 1              | 1                                 | 0                  | 0            | 1     | 0                          |
|                        | 87            | 1              | 0                                 | 0                  | 1            | 0     | 0                          |
|                        | 88            | 2              | 1                                 | 0                  | 1            | 1     | 0                          |
|                        | 89            | 1              | 1                                 | 0                  | 0            | 1     | 0                          |
|                        | 91            | 1              | 0                                 | 0                  | 0            | 1     | 0                          |
|                        | 95            | 1              | 0                                 | 0                  | 0            | 0     | 0                          |
|                        | 96            | 1              | 0                                 | 0                  | 0            | 0     | 0                          |
|                        | 98            | 2              | 2                                 | 0                  | 1            | 1     | 0                          |
| 99                     | 3             | 3              | 0                                 | 1                  | 2            | 0     |                            |
| 102                    | 1             | 1              | 0                                 | 0                  | 1            | 0     |                            |
| 104                    | 1             | 1              | 0                                 | 1                  | 0            | 0     |                            |
| 105                    | 2             | 1              | 0                                 | 1                  | 1            | 0     |                            |
| 106                    | 1             | 1              | 0                                 | 0                  | 1            | 0     |                            |
| 107                    | 5             | 5              | 0                                 | 5                  | 0            | 0     |                            |
| 108                    | 7             | 7              | 0                                 | 7                  | 0            | 0     |                            |
| 109                    | 4             | 4              | 2                                 | 0                  | 2            | 0     |                            |

**Table D-2. Tumor incidence data, with time to death with tumor; female rats exposed by gavage to Benzo[a]pyrene (Kroese et al., 2001)**

| Dose Group<br>(mg/kg-day) | Week<br>of<br>Death | Total Examined | Numbers of Animals with              |                    |              |       |                                  |
|---------------------------|---------------------|----------------|--------------------------------------|--------------------|--------------|-------|----------------------------------|
|                           |                     |                | Oral Cavity or Forestomach<br>Tumors |                    | Liver Tumors |       | Duodenum or<br>Jejunum<br>Tumors |
|                           |                     |                | Incidental <sup>a</sup>              | Fatal <sup>a</sup> | Incidental   | Fatal | Incidental                       |
| 30                        | 26                  | 1              | 0                                    | 0                  | 0            | 0     | 0                                |
|                           | 44                  | 4              | 4                                    | 0                  | 3            | 1     | 0                                |
|                           | 47                  | 3              | 3                                    | 0                  | 2            | 1     | 0                                |
|                           | 48                  | 1              | 1                                    | 0                  | 0            | 1     | 0                                |
|                           | 54                  | 1              | 0                                    | 0                  | 1            | 0     | 0                                |
|                           | 55                  | 3              | 3                                    | 0                  | 1            | 2     | 0                                |
|                           | 56                  | 2              | 2                                    | 0                  | 0            | 2     | 0                                |
|                           | 57                  | 2              | 2                                    | 0                  | 2            | 0     | 0                                |
|                           | 58                  | 4              | 3                                    | 1                  | 0            | 4     | 0                                |
|                           | 59                  | 2              | 1                                    | 1                  | 0            | 2     | 0                                |
|                           | 60                  | 1              | 0                                    | 1                  | 1            | 0     | 0                                |
|                           | 61                  | 2              | 2                                    | 2                  | 0            | 2     | 0                                |
|                           | 62                  | 2              | 2                                    | 2                  | 0            | 1     | 1                                |
|                           | 63                  | 3              | 3                                    | 3                  | 0            | 0     | 3                                |
|                           | 64                  | 5              | 5                                    | 5                  | 0            | 0     | 5                                |
|                           | 66                  | 3              | 3                                    | 3                  | 0            | 0     | 3                                |
|                           | 67                  | 2              | 2                                    | 1                  | 1            | 0     | 2                                |
| 68                        | 1                   | 1              | 1                                    | 0                  | 0            | 1     |                                  |
| 69                        | 4                   | 3              | 3                                    | 1                  | 1            | 3     |                                  |
| 71                        | 4                   | 4              | 3                                    | 1                  | 1            | 3     |                                  |
| 72                        | 2                   | 2              | 1                                    | 1                  | 0            | 2     |                                  |

<sup>a</sup> “Incidental” denotes presence of tumors not known to have caused death of particular animals. “Fatal” denotes incidence of tumors indicated by the study investigators to have caused death of particular animals.

**Table D-3. Tumor incidence data, with time to death with tumor; female mice exposed to Benzo[a]pyrene via diet (Beland and Culp, 1998)**

| Dose Group (ppm in diet) | Week of Death | Total Examined | Number of Animals With Alimentary Tract squamous cell tumors |            |
|--------------------------|---------------|----------------|--------------------------------------------------------------|------------|
|                          |               |                | Fatal <sup>a</sup>                                           | Incidental |
| 0                        | 31            | 1              | 0                                                            | 0          |
|                          | 74            | 1              | 0                                                            | 0          |
|                          | 89            | 2              | 0                                                            | 0          |
|                          | 91            | 1              | 0                                                            | 0          |
|                          | 93            | 2              | 0                                                            | 0          |
|                          | 94            | 2              | 0                                                            | 0          |
|                          | 97            | 2              | 0                                                            | 0          |
|                          | 98            | 2              | 0                                                            | 0          |
|                          | 99            | 1              | 0                                                            | 0          |
|                          | 100           | 2              | 0                                                            | 0          |
|                          | 101           | 2              | 0                                                            | 0          |
|                          | 104           | 1              | 0                                                            | 0          |
| 105                      | 29            | 0              | 1                                                            |            |
| 5                        | 25            | 1              | 0                                                            | 0          |
|                          | 55            | 1              | 0                                                            | 0          |
|                          | 83            | 1              | 0                                                            | 0          |
|                          | 86            | 1              | 0                                                            | 0          |
|                          | 87            | 2              | 0                                                            | 0          |
|                          | 88            | 2              | 0                                                            | 0          |
|                          | 90            | 1              | 0                                                            | 0          |
|                          | 94            | 1              | 0                                                            | 0          |
|                          | 95            | 2              | 0                                                            | 0          |
|                          | 96            | 1              | 0                                                            | 0          |
|                          | 97            | 2              | 0                                                            | 0          |
|                          | 98            | 2              | 0                                                            | 0          |
|                          | 101           | 2              | 0                                                            | 0          |
| 102                      | 2             | 0              | 0                                                            |            |
| 105                      | 27            | 0              | 3                                                            |            |
| 25                       | 44            | 1              | 1                                                            | 0          |
|                          | 47            | 1              | 0                                                            | 0          |
|                          | 64            | 1              | 0                                                            | 0          |
|                          | 70            | 1              | 1                                                            | 0          |
|                          | 77            | 1              | 1                                                            | 0          |
|                          | 80            | 1              | 0                                                            | 0          |
|                          | 81            | 1              | 1                                                            | 0          |
|                          | 84            | 2              | 1                                                            | 1          |
|                          | 85            | 1              | 1                                                            | 0          |
|                          | 86            | 1              | 1                                                            | 0          |
|                          | 88            | 1              | 1                                                            | 0          |
|                          | 89            | 1              | 0                                                            | 0          |
|                          | 90            | 4              | 4                                                            | 0          |
|                          | 93            | 3              | 2                                                            | 1          |
|                          | 94            | 2              | 2                                                            | 0          |
|                          | 96            | 3              | 0                                                            | 2          |
|                          | 97            | 1              | 1                                                            | 0          |
|                          | 98            | 1              | 1                                                            | 0          |
|                          | 99            | 2              | 1                                                            | 1          |
| 100                      | 1             | 1              | 0                                                            |            |
| 101                      | 1             | 0              | 0                                                            |            |
| 102                      | 2             | 2              | 0                                                            |            |
| 104                      | 1             | 1              | 0                                                            |            |
| 105                      | 13            | 0              | 10                                                           |            |



**Table D-3. Tumor incidence data, with time to death with tumor; female mice exposed to Benzo[a]pyrene via diet (Beland and Culp, 1998)**

| Dose Group (ppm in diet) | Week of Death | Total Examined | Number of Animals With Alimentary Tract squamous cell tumors |            |
|--------------------------|---------------|----------------|--------------------------------------------------------------|------------|
|                          |               |                | Fatal <sup>a</sup>                                           | Incidental |
| 100                      | 39            | 1              | 1                                                            | 0          |
|                          | 40            | 1              | 1                                                            | 0          |
|                          | 42            | 1              | 1                                                            | 0          |
|                          | 47            | 2              | 2                                                            | 0          |
|                          | 49            | 1              | 0                                                            | 0          |
|                          | 50            | 1              | 1                                                            | 0          |
|                          | 53            | 1              | 0                                                            | 0          |
|                          | 55            | 3              | 3                                                            | 0          |
|                          | 56            | 1              | 1                                                            | 0          |
|                          | 57            | 1              | 1                                                            | 0          |
|                          | 58            | 1              | 1                                                            | 0          |
|                          | 59            | 3              | 3                                                            | 0          |
|                          | 60            | 1              | 1                                                            | 0          |
|                          | 61            | 3              | 3                                                            | 0          |
|                          | 62            | 5              | 5                                                            | 0          |
|                          | 63            | 4              | 4                                                            | 0          |
|                          | 64            | 3              | 3                                                            | 0          |
|                          | 65            | 2              | 2                                                            | 0          |
|                          | 66            | 3              | 3                                                            | 0          |
|                          | 68            | 1              | 1                                                            | 0          |
| 69                       | 2             | 2              | 0                                                            |            |
| 70                       | 2             | 2              | 0                                                            |            |
| 71                       | 1             | 1              | 0                                                            |            |
| 72                       | 1             | 1              | 0                                                            |            |
| 73                       | 1             | 1              | 0                                                            |            |
| 74                       | 1             | 1              | 0                                                            |            |
| 79                       | 1             | 1              | 0                                                            |            |

<sup>a</sup> "Incidental" denotes presence of tumors not known to have caused death of particular animals. "Fatal" denotes incidence of tumors indicated by the study investigators to have caused death of particular animals.

**Table D-4. Derivation of HEDs to use for BMD modeling of Wistar rat tumor incidence data from Kroese et al. (2001)**

| benzo[a]pyrene dose (mg/kg-d) | TWA body weight (kg) | Interspecies Scaling factor <sup>a</sup> | HED <sup>b</sup> (mg/kg-d) |
|-------------------------------|----------------------|------------------------------------------|----------------------------|
| Male                          |                      |                                          |                            |
| 3                             | 0.349                | 0.27                                     | 0.54                       |
| 10                            | 0.349                | 0.27                                     | 1.81                       |
| 30                            | 0.288                | 0.25                                     | 5.17                       |
| Female                        |                      |                                          |                            |
| 3                             | 0.222                | 0.24                                     | 0.49                       |
| 10                            | 0.222                | 0.24                                     | 1.62                       |
| 30                            | 0.222                | 0.24                                     | 4.85                       |

<sup>a</sup> Scaling factors were calculated using U.S. EPA (1988) reference body weights for humans (70 kg), and the TWA body weights for each dose group: rat-to-human = (TWA body weight/70)<sup>0.25</sup> = scaling factor.

<sup>b</sup> HED = administered dose × scaling factor.

**Table D-5. Derivation of HEDs for BMD modeling of B6C3F1 female mouse tumor incidence data from Beland and Culp (1998)**

| benzo[a]pyrene dose in diet (ppm) | Intake (µg/d) | TWA body weight average (kg) | Administered Dose <sup>a</sup> (mg/kg-d) | Scaling factor <sup>b</sup> | HED <sup>c</sup> (mg/kg-d) |
|-----------------------------------|---------------|------------------------------|------------------------------------------|-----------------------------|----------------------------|
| 5                                 | 21            | 0.032                        | 0.7                                      | 0.15                        | 0.10                       |
| 25                                | 104           | 0.032                        | 3.3                                      | 0.15                        | 0.48                       |
| 100                               | 430           | 0.027                        | 16.5                                     | 0.14                        | 2.32                       |

<sup>a</sup> Administered doses in mg/kg/day were calculated from dietary concentrations of benzo[a]pyrene using the TWA body weight and reported food intakes for mice.

<sup>b</sup> Scaling factors were calculated using U.S. EPA (1988) reference body weights for humans (70 kg), and the TWA body weights for each dose group: mouse-to-human = (TWA body weight/70)<sup>0.25</sup> = scaling factor. HED = administered dose × scaling factor.

<sup>c</sup> HED = administered dose × scaling factor

**Table D-6. Summary of Model Selection and Modeling Results for best-fitting multistage-Weibull models, using time-to-tumor data for rats (Kroese et al., 2001)**

| Sex     | EndPoints                                       | Model stages | LL <sup>a</sup> | $\chi^2$ <sup>b</sup> | Number of param. | AIC          | BMD <sub>10</sub> | Responses at mg/kg-d levels <sup>c</sup> |            |             |             | Selected model parameter estimates |             | Model Selection Rationale             |
|---------|-------------------------------------------------|--------------|-----------------|-----------------------|------------------|--------------|-------------------|------------------------------------------|------------|-------------|-------------|------------------------------------|-------------|---------------------------------------|
|         |                                                 |              |                 |                       |                  |              |                   | 0                                        | 0.54       | 1.8         | 5.2         | c                                  | t0          |                                       |
|         |                                                 |              |                 |                       |                  |              |                   |                                          |            |             |             |                                    |             |                                       |
| Males   | Oral Cavity and Forestomach: Squam. Cell Tumors | 1            | -284.891        | NR                    | 4                | 577.8        | 0.104             | <i>0</i>                                 | <i>8</i>   | <i>45</i>   | <i>52</i>   | 2.2                                | 44          | Lowest AIC, best fit to low dose data |
|         |                                                 | 2            | -198.795        | 172.2                 | 5                | 407.6        | 0.678             | 0.0                                      | 21.1       | 39.8        | 38.7        | 1.3                                | 44          |                                       |
|         |                                                 | 3            | <b>-108.512</b> | <b>180.6</b>          | <b>6</b>         | <b>229.0</b> | <b>0.453</b>      | <b>0.0</b>                               | <b>6.8</b> | <b>41.7</b> | <b>50.0</b> | <b>3.7</b>                         | <b>41</b>   |                                       |
|         | Hepatocellular Tumors                           | 1            | -179.664        | NR                    | 4                | 367.3        | 0.181             | <i>0</i>                                 | <i>4</i>   | <i>38</i>   | <i>49</i>   | 1                                  | 52          | Lowest AIC, best fit to low dose data |
|         |                                                 | 2            | -145.749        | 67.8                  | 5                | 301.5        | 0.472             | 0.0                                      | 13.6       | 32.1        | 42          | 2.3                                | 48.4        |                                       |
|         |                                                 | 3            | <b>-138.544</b> | <b>14.4</b>           | <b>6</b>         | <b>289.1</b> | <b>0.651</b>      | <b>0.0</b>                               | <b>6.5</b> | <b>37.2</b> | <b>49</b>   | <b>3.5</b>                         | <b>40.2</b> |                                       |
|         | Duodenum and Jejunum Tumors                     | 1            | -31.781         | NR                    | 3                | 69.6         | 2.64              | <i>0</i>                                 | <i>0</i>   | <i>1</i>    | <i>9</i>    | 1                                  | NR          | Best fit to data                      |
|         |                                                 | 2            | -28.941         | 5.7                   | 4                | 65.9         | 3.04              | 0.0                                      | 1.1        | 3.4         | 5.7         | 1                                  | NR          |                                       |
|         |                                                 | 3            | <b>-28.439</b>  | <b>1.0</b>            | <b>5</b>         | <b>66.9</b>  | <b>3.03</b>       | <b>0.0</b>                               | <b>0.2</b> | <b>1.8</b>  | <b>8.2</b>  | <b>1.8</b>                         | <b>NR</b>   |                                       |
|         | Kidney: Urothelial Carcinoma                    | 1            | -12.956         | NR                    | 3                | 31.9         | 9.16              | <i>0</i>                                 | <i>0</i>   | <i>0</i>    | <i>3</i>    | 1                                  | NR          | Best fit to data                      |
|         |                                                 | 2            | -11.837         | 2.2                   | 4                | 31.7         | 5.71              | 0.0                                      | 0.3        | 1           | 1.7         | 1                                  | NR          |                                       |
|         |                                                 | 3            | <b>-11.398</b>  | <b>0.9</b>            | <b>5</b>         | <b>32.8</b>  | <b>4.65</b>       | <b>0.0</b>                               | <b>0.1</b> | <b>0.5</b>  | <b>2.5</b>  | <b>1.7</b>                         | <b>NR</b>   |                                       |
|         | Skin and Mammary Gland: Basal Cell Tumors       | 1            | -52.314         | NR                    | 3                | 110.6        | 1.88              | <i>2</i>                                 | <i>1</i>   | <i>1</i>    | <i>13</i>   | 1                                  | NR          | Lowest AIC, best fit to low dose data |
|         |                                                 | 2            | -48.570         | 7.5                   | 4                | 105.1        | 2.58              | 1.0                                      | 2.5        | 5.5         | 8.3         | 1                                  | NR          |                                       |
|         |                                                 | 3            | <b>-47.362</b>  | <b>2.4</b>            | <b>5</b>         | <b>104.7</b> | <b>2.86</b>       | <b>1.1</b>                               | <b>1.3</b> | <b>3.4</b>  | <b>11.4</b> | <b>1.4</b>                         | <b>NR</b>   |                                       |
|         | Skin and Mammary Gland: Squam. Cell Tumors      | 1            | -28.745         | NR                    | 3                | 63.5         | 3.36              | <i>0</i>                                 | <i>1</i>   | <i>1</i>    | <i>6</i>    | 1                                  | NR          | Lowest AIC, best fit to low dose data |
|         |                                                 | 2            | -28.145         | 1.2                   | 4                | 64.3         | 2.75              | 0.0                                      | 0.4        | 2.4         | 5.2         | 1.9                                | NR          |                                       |
|         |                                                 | 3            | <b>-27.652</b>  | <b>1.0</b>            | <b>5</b>         | <b>65.3</b>  | <b>2.64</b>       | <b>0.0</b>                               | <b>0.4</b> | <b>2.1</b>  | <b>5.5</b>  | <b>3.0</b>                         | <b>NR</b>   |                                       |
| Females | Oral Cavity and Forestomach: Squam. Cell Tumors | 1            | -134.532        | NR                    | 4                | 277.1        | 0.245             | <i>1</i>                                 | <i>6</i>   | <i>30</i>   | <i>50</i>   | 1                                  | 58          | Lowest AIC, best fit to low dose data |
|         |                                                 | 2            | -100.809        | 67.4                  | 5                | 211.6        | 0.428             | 1.0                                      | 10.1       | 23.7        | 35.1        | 2.5                                | 52          |                                       |
|         |                                                 | 3            | <b>-94.512</b>  | <b>12.6</b>           | <b>6</b>         | <b>201.0</b> | <b>0.539</b>      | <b>1.1</b>                               | <b>4.9</b> | <b>31.8</b> | <b>49.4</b> | <b>3.5</b>                         | <b>47</b>   |                                       |
|         | Hepatocellular Tumors                           | 1            | -293.771        | NR                    | 4                | 595.5        | 0.146             | <i>0</i>                                 | <i>1</i>   | <i>39</i>   | <i>51</i>   | 1                                  | 44          | Lowest AIC, best fit to low dose data |
|         |                                                 | 2            | -382.470        | 177.4                 | 5                | 774.9        | 0.370             | 0.0                                      | 14.6       | 32.6        | 43.8        | 2.2                                | 44          |                                       |
|         |                                                 | 3            | <b>-228.170</b> | <b>308.6</b>          | <b>6</b>         | <b>468.3</b> | <b>0.575</b>      | <b>0.0</b>                               | <b>8.1</b> | <b>38.5</b> | <b>50.1</b> | <b>3.1</b>                         | <b>39</b>   |                                       |
|         | Duodenum and Jejunum Tumors                     | 1            | -15.948         | NR                    | 3                | 37.9         | 6.00              | <i>0</i>                                 | <i>0</i>   | <i>0</i>    | <i>4</i>    | 1                                  | NR          | Best fit to low dose data             |
|         |                                                 | 2            | -14.518         | 2.9                   | 4                | 37.0         | 4.33              | 0.0                                      | 0.4        | 1.3         | 2.4         | 1.1                                | NR          |                                       |
|         |                                                 | 3            | <b>-13.878</b>  | <b>1.3</b>            | <b>5</b>         | <b>37.8</b>  | <b>3.43</b>       | <b>0.0</b>                               | <b>0.0</b> | <b>0.7</b>  | <b>3.3</b>  | <b>2.3</b>                         | <b>NR</b>   |                                       |

<sup>a</sup> LL=log-likelihood.

<sup>b</sup>  $\chi^2$  = chi-squared statistic for testing the difference between 2 model fits, from  $2 \times |(LL_i - LL_j)|$  evaluated for i-j degrees of freedom. In all cases the difference was evaluated for consecutive numbers of stages; i-j = 1, and  $\chi^2$  at  $\alpha = 0.05$  is 3.84.

<sup>c</sup> "Responses" describes the number of animals with each tumor type; observed responses are in italics, and expected responses (predicted by each model fit) are given to one decimal place for comparison with the observed data.

**Table D-6. Summary of Model Selection and Modeling Results for best-fitting multistage-Weibull models, using time-to-tumor data for rats (Kroese et al., 2001)**

| Sex                                        | EndPoints                                       | Model stages   | LL <sup>a</sup> | $\chi^2$ <sup>b</sup> | Number of param. | AIC          | BMD <sub>10</sub> | Responses at mg/kg-d levels <sup>c</sup> |            |             |             | Selected model parameter estimates |                                       | Model Selection Rationale             |
|--------------------------------------------|-------------------------------------------------|----------------|-----------------|-----------------------|------------------|--------------|-------------------|------------------------------------------|------------|-------------|-------------|------------------------------------|---------------------------------------|---------------------------------------|
|                                            |                                                 |                |                 |                       |                  |              |                   | 0                                        | 0.54       | 1.8         | 5.2         | c                                  | t0                                    |                                       |
| Males                                      | Oral Cavity and Forestomach: Squam. Cell Tumors | 1              | -284.891        | NR                    | 4                | 577.8        | 0.104             | 0                                        | 8          | 45          | 52          | 2.2                                | 44                                    | Lowest AIC, best fit to low dose data |
|                                            |                                                 | 2              | -198.795        | 172.2                 | 5                | 407.6        | 0.678             | 0.0                                      | 21.1       | 39.8        | 38.7        | 1.3                                | 44                                    |                                       |
|                                            |                                                 | 3              | <b>-108.512</b> | <b>180.6</b>          | <b>6</b>         | <b>229.0</b> | <b>0.453</b>      | <b>0.0</b>                               | <b>6.8</b> | <b>41.7</b> | <b>50.0</b> | <b>3.7</b>                         | <b>41</b>                             |                                       |
|                                            | Hepatocellular Tumors                           | 1              | -179.664        | NR                    | 4                | 367.3        | 0.181             | 0                                        | 4          | 38          | 49          | 1                                  | 52                                    | Lowest AIC, best fit to low dose data |
|                                            |                                                 | 2              | -145.749        | 67.8                  | 5                | 301.5        | 0.472             | 0.0                                      | 13.6       | 32.1        | 42          | 2.3                                | 48.4                                  |                                       |
|                                            |                                                 | 3              | <b>-138.544</b> | <b>14.4</b>           | <b>6</b>         | <b>289.1</b> | <b>0.651</b>      | <b>0.0</b>                               | <b>3.4</b> | <b>36.8</b> | <b>49.6</b> | <b>3.5</b>                         | <b>40.2</b>                           |                                       |
|                                            | Duodenum and Jejunum Tumors                     | 1              | -31.781         | NR                    | 3                | 69.6         | 2.64              | 0                                        | 0          | 1           | 9           | 1                                  | NR                                    | Best fit to data                      |
|                                            |                                                 | 2              | -28.941         | 5.7                   | 4                | 65.9         | 3.04              | 0.0                                      | 1.1        | 3.4         | 5.7         | 1                                  | NR                                    |                                       |
|                                            |                                                 | 3              | <b>-28.439</b>  | <b>1.0</b>            | <b>5</b>         | <b>66.9</b>  | <b>3.03</b>       | <b>0.0</b>                               | <b>0.0</b> | <b>1.0</b>  | <b>9.0</b>  | <b>1.8</b>                         | <b>NR</b>                             |                                       |
|                                            | Kidney: Urothelial Carcinoma                    | 1              | -12.956         | NR                    | 3                | 31.9         | 9.16              | 0                                        | 0          | 0           | 3           | 1                                  | NR                                    | Best fit to data                      |
|                                            |                                                 | 2              | -11.837         | 2.2                   | 4                | 31.7         | 5.71              | 0.0                                      | 0.3        | 1           | 1.7         | 1                                  | NR                                    |                                       |
|                                            |                                                 | 3              | <b>-11.398</b>  | <b>0.9</b>            | <b>5</b>         | <b>32.8</b>  | <b>4.65</b>       | <b>0.0</b>                               | <b>0.0</b> | <b>0.3</b>  | <b>2.7</b>  | <b>1.7</b>                         | <b>NR</b>                             |                                       |
| Skin and Mammary Gland: Basal Cell Tumors  | 1                                               | -52.314        | NR              | 3                     | 110.6            | 1.88         | 2                 | 1                                        | 1          | 13          | 1           | NR                                 | Lowest AIC, best fit to low dose data |                                       |
|                                            | 2                                               | -48.570        | 7.5             | 4                     | 105.1            | 2.58         | 1.0               | 2.5                                      | 5.5        | 8.3         | 1           | NR                                 |                                       |                                       |
|                                            | 3                                               | <b>-47.362</b> | <b>2.4</b>      | <b>5</b>              | <b>104.7</b>     | <b>2.86</b>  | <b>1.2</b>        | <b>1.2</b>                               | <b>2.3</b> | <b>12.5</b> | <b>1.4</b>  | <b>NR</b>                          |                                       |                                       |
| Skin and Mammary Gland: Squam. Cell Tumors | 1                                               | -28.745        | NR              | 3                     | 63.5             | 3.36         | 0                 | 1                                        | 1          | 6           | 1           | NR                                 | Lowest AIC, best fit to low dose data |                                       |
|                                            | 2                                               | -28.145        | 1.2             | 4                     | 64.3             | 2.75         | 0.0               | 0.9                                      | 2.7        | 4.5         | 1.9         | NR                                 |                                       |                                       |
|                                            | 3                                               | -27.652        | 1.0             | 5                     | 65.3             | 2.64         | 0.0               | 0.4                                      | 2.4        | 5.2         | 3.0         | NR                                 |                                       |                                       |
| Females                                    | Oral Cavity and Forestomach: Squam. Cell Tumors | 1              | -134.532        | NR                    | 4                | 277.1        | 0.245             | 1                                        | 6          | 30          | 50          | 1                                  | 58                                    | Lowest AIC, best fit to low dose data |
|                                            |                                                 | 2              | -100.809        | 67.4                  | 5                | 211.6        | 0.428             | 1.0                                      | 10.1       | 23.7        | 35.1        | 2.5                                | 52                                    |                                       |
|                                            |                                                 | 3              | <b>-94.512</b>  | <b>12.6</b>           | <b>6</b>         | <b>201.0</b> | <b>0.539</b>      | <b>1.1</b>                               | <b>4.9</b> | <b>31.8</b> | <b>49.4</b> | <b>3.5</b>                         | <b>47</b>                             |                                       |
|                                            | Hepatocellular Tumors                           | 1              | -293.771        | NR                    | 4                | 595.5        | 0.146             | 0                                        | 1          | 39          | 51          | 1                                  | 44                                    | Lowest AIC, best fit to low dose data |
|                                            |                                                 | 2              | -382.470        | 177.4                 | 5                | 774.9        | 0.370             | 0.0                                      | 14.6       | 32.6        | 43.8        | 2.2                                | 44                                    |                                       |
|                                            |                                                 | 3              | <b>-228.170</b> | <b>308.6</b>          | <b>6</b>         | <b>468.3</b> | <b>0.575</b>      | <b>0.0</b>                               | <b>3.0</b> | <b>38.4</b> | <b>51.4</b> | <b>3.1</b>                         | <b>39</b>                             |                                       |
|                                            | Duodenum and Jejunum Tumors                     | 1              | -15.948         | NR                    | 3                | 37.9         | 6.00              | 0                                        | 0          | 0           | 4           | 1                                  | NR                                    | Best fit to low dose data             |
|                                            |                                                 | 2              | -14.518         | 2.9                   | 4                | 37.0         | 4.33              | 0.0                                      | 0.4        | 1.3         | 2.4         | 1.1                                | NR                                    |                                       |
|                                            |                                                 | 3              | <b>-13.878</b>  | <b>1.3</b>            | <b>5</b>         | <b>37.8</b>  | <b>3.43</b>       | <b>0.0</b>                               | <b>0.0</b> | <b>0.4</b>  | <b>3.6</b>  | <b>2.3</b>                         | <b>NR</b>                             |                                       |

NR = not relevant.

1 **Male Rat (Kroese et al., 2001): Squamous Cell Papilloma or Carcinoma in Oral Cavity or**  
 2 **Forestomach**

3  
 4 =====  
 5 Multistage Weibull Model. (Version: 1.6.1; Date: 11/24/2009)  
 6 Solutions are obtained using donlp2-intv, (c) by P. Spellucci  
 7 Input Data File: OralForstKroeseM3.(d)  
 8 =====

9 The form of the probability function is:  
 10  $P[\text{response}] = 1 - \text{EXP}\{-(t - t_0)^c * (\text{beta}_0 + \text{beta}_1 * \text{dose}^1 + \text{beta}_2 * \text{dose}^2 + \text{beta}_3 * \text{dose}^3)\}$   
 11

12 The parameter betas are restricted to be positive

13  
 14 Dependent variable = CONTEXT  
 15 Independent variables = DOSE, TIME

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 17  
 18 Total number of observations = 208  
 19 Total number of records with missing values = 0  
 20 Total number of parameters in model = 6  
 21 Total number of specified parameters = 0  
 22 Degree of polynomial = 3

23  
 24 Maximum number of iterations = 64  
 25 Relative Function Convergence has been set to: 2.22045e-016  
 26 Parameter Convergence has been set to: 1.49012e-008

27  
 28  
 29 Default Initial Parameter Values  
 30 c = 3.6  
 31 t\_0 = 39.1111  
 32 beta\_0 = 0  
 33 beta\_1 = 8.8911e-009  
 34 beta\_2 = 1.60475e-031  
 35 beta\_3 = 1.95818e-008

36  
 37  
 38 Asymptotic Correlation Matrix of Parameter Estimates  
 39 ( \*\*\* The model parameter(s) -beta\_0 -beta\_2  
 40 have been estimated at a boundary point, or have been specified by the user,  
 41 and do not appear in the correlation matrix )

|        | c     | t_0   | beta_1 | beta_3 |
|--------|-------|-------|--------|--------|
| c      | 1     | -0.53 | -0.93  | -0.99  |
| t_0    | -0.53 | 1     | 0.47   | 0.57   |
| beta_1 | -0.93 | 0.47  | 1      | 0.9    |
| beta_3 | -0.99 | 0.57  | 0.9    | 1      |

52  
 53  
 54 Parameter Estimates

| Variable | Estimate     | Std. Err.    | 95.0% Wald Confidence Interval |                   |
|----------|--------------|--------------|--------------------------------|-------------------|
|          |              |              | Lower Conf. Limit              | Upper Conf. Limit |
| c        | 3.74559      | 0.447309     | 2.86888                        | 4.6223            |
| t_0      | 41.4581      | 2.14975      | 37.2447                        | 45.6716           |
| beta_0   | 0            | NA           |                                |                   |
| beta_1   | 4.37816e-009 | 1.07528e-008 | -1.6697e-008                   | 2.54533e-008      |
| beta_2   | 0            | NA           |                                |                   |
| beta_3   | 1.01904e-008 | 1.94164e-008 | -2.78651e-008                  | 4.82458e-008      |

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 64 NA - Indicates that this parameter has hit a bound implied by some inequality constraint  
 65 and thus has no standard error.

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 67  
 68 Log(likelihood) # Param AIC  
 69 Fitted Model -108.512 6 229.024

70  
 71  
 72 Data Summary

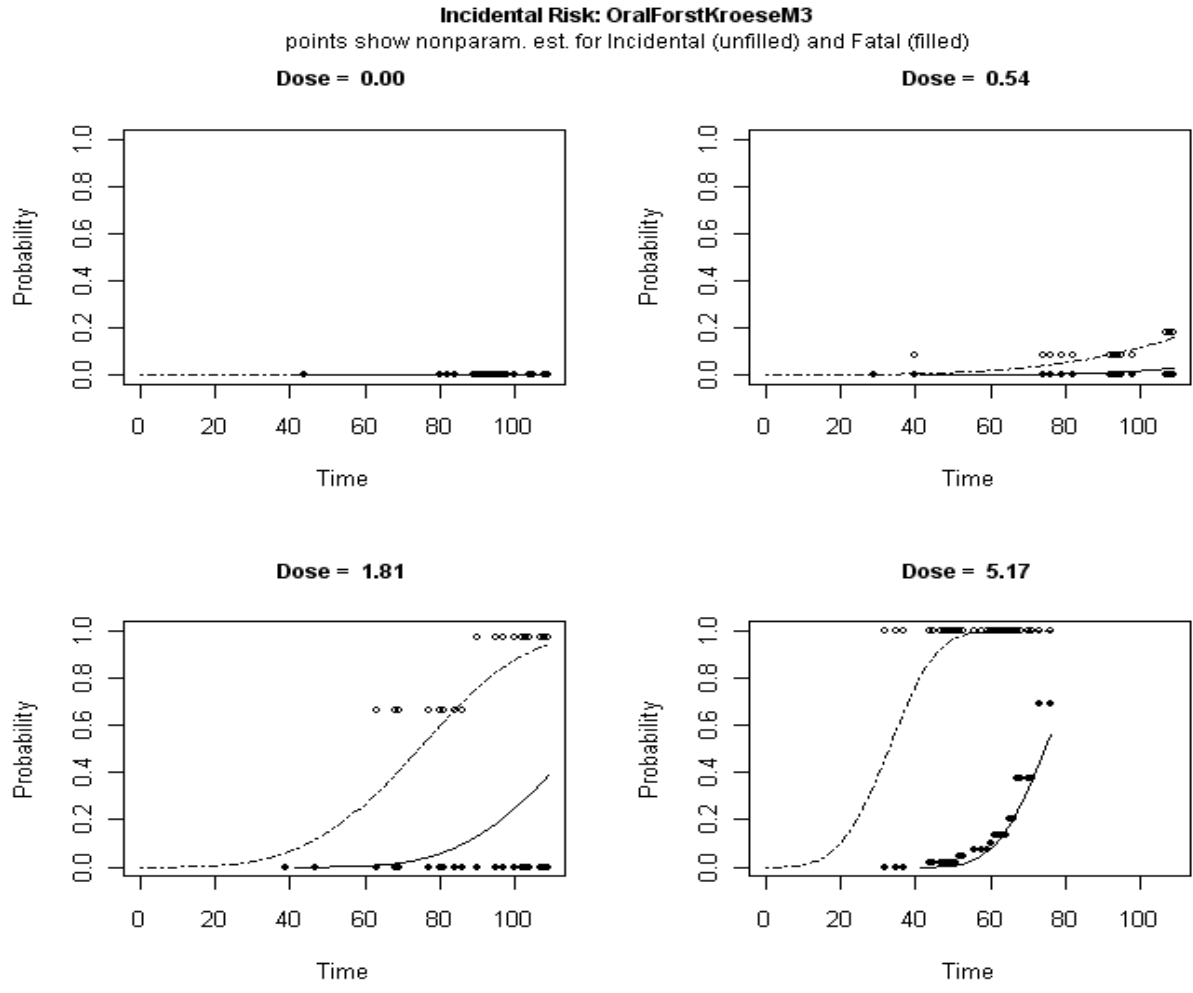
|      | CONTEXT |   |    |   | U  | Total | Expected Response |
|------|---------|---|----|---|----|-------|-------------------|
|      | C       | F | I  |   |    |       |                   |
| DOSE |         |   |    |   |    |       |                   |
| 0    | 52      | 0 | 0  | 0 | 52 | 0.00  |                   |
| 0.54 | 44      | 0 | 8  | 0 | 52 | 6.77  |                   |
| 1.8  | 7       | 0 | 45 | 0 | 52 | 41.69 |                   |
| 5.2  | 0       | 9 | 43 | 0 | 52 | 49.97 |                   |

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 80  
 81 Minimum observation time for F tumor context = 44

1 Benchmark Dose Computation  
 2 Risk Response = Incidental  
 3 Risk Type = Extra  
 4 Confidence level = 0.9  
 5 Time = 104  
 6  
 7

|                    |          |           |             |
|--------------------|----------|-----------|-------------|
| Specified effect = | 0.1      | 0.01      | 0.001       |
| BMD =              | 0.453471 | 0.0633681 | 0.00636659  |
| BMDL =             | 0.281044 | 0.0286649 | 0.00285563  |
| BMDU =             | 0.612462 | 0.248377  | > 0.0509326 |

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# Male Rat (Kroese et al., 2001): Hepatocellular Adenoma or Carcinoma

```

=====
Multistage Weibull Model. (Version: 1.6.1; Date: 11/24/2009)
Solutions are obtained using donlp2-intv, (c) by P. Spellucci
Input Data File: LiverKroeseM3.(d)
=====

```

```

The form of the probability function is:
P[response] = 1-EXP(-(t - t_0)^c *
 (beta_0+beta_1*dose^1+beta_2*dose^2+beta_3*dose^3)}

```

The parameter betas are restricted to be positive

```

Dependent variable = CONTEXT
Independent variables = DOSE, TIME

```

```

Total number of observations = 208
Total number of records with missing values = 0
Total number of parameters in model = 6
Total number of specified parameters = 0
Degree of polynomial = 3

```

```

Maximum number of iterations = 64
Relative Function Convergence has been set to: 2.22045e-016
Parameter Convergence has been set to: 1.49012e-008

```

```

Default Initial Parameter Values
c = 3.6
t_0 = 34.6667
beta_0 = 0
beta_1 = 2.73535e-009
beta_2 = 8.116e-028
beta_3 = 1.43532e-008

```

```

Asymptotic Correlation Matrix of Parameter Estimates
(*** The model parameter(s) -beta_0 -beta_2
 have been estimated at a boundary point, or have been specified by the user,
 and do not appear in the correlation matrix)

```

|        | c     | t_0   | beta_1 | beta_3 |
|--------|-------|-------|--------|--------|
| c      | 1     | -0.84 | -0.88  | -1     |
| t_0    | -0.84 | 1     | 0.71   | 0.86   |
| beta_1 | -0.88 | 0.71  | 1      | 0.86   |
| beta_3 | -1    | 0.86  | 0.86   | 1      |

### Parameter Estimates

| Variable | Estimate     | Std. Err.    | 95.0% Wald Confidence Interval |                   |
|----------|--------------|--------------|--------------------------------|-------------------|
|          |              |              | Lower Conf. Limit              | Upper Conf. Limit |
| c        | 3.49582      | 0.629257     | 2.26249                        | 4.72914           |
| t_0      | 40.2211      | 5.65421      | 29.1391                        | 51.3032           |
| beta_0   | 0            | NA           |                                |                   |
| beta_1   | 4.43906e-009 | 1.76051e-008 | -3.00664e-008                  | 3.89445e-008      |
| beta_2   | 0            | NA           |                                |                   |
| beta_3   | 2.35065e-008 | 6.47999e-008 | -1.03499e-007                  | 1.50512e-007      |

NA - Indicates that this parameter has hit a bound implied by some inequality constraint and thus has no standard error.

```

Log(likelihood) # Param AIC
Fitted Model -138.544 6 289.088

```

### Data Summary

| DOSE | CONTEXT |    |    |   | U  | Total | Expected Response |
|------|---------|----|----|---|----|-------|-------------------|
|      | C       | F  | I  |   |    |       |                   |
| 0    | 52      | 0  | 0  | 0 | 52 | 0.00  |                   |
| 0.54 | 48      | 0  | 4  | 0 | 52 | 3.38  |                   |
| 1.8  | 14      | 2  | 36 | 0 | 52 | 36.81 |                   |
| 5.2  | 3       | 17 | 32 | 0 | 52 | 49.55 |                   |

Minimum observation time for F tumor context = 52

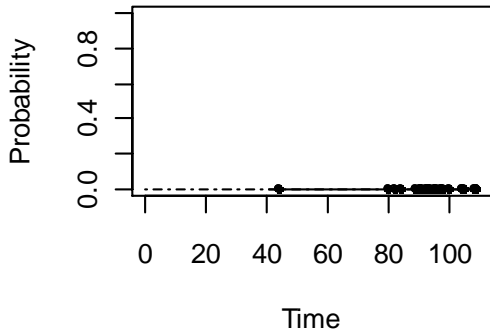
1 Benchmark Dose Computation  
 2 Risk Response = Incidental  
 3 Risk Type = Extra  
 4 Confidence level = 0.9  
 5 Time = 104  
 6  
 7

|                    |          |           |            |
|--------------------|----------|-----------|------------|
| Specified effect = | 0.1      | 0.01      | 0.001      |
| BMD =              | 0.6507   | 0.173556  | 0.0199908  |
| BMDL =             | 0.44868  | 0.0530469 | 0.00530386 |
| BMDU =             | 0.772467 | 0.352684  | > 0.159927 |

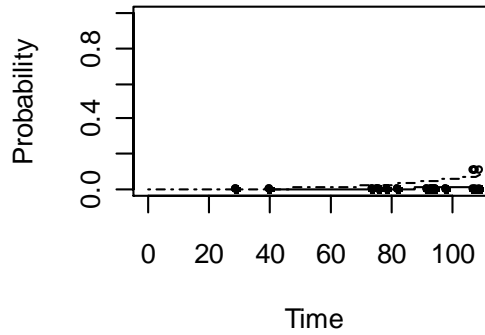
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**Incidental Risk: Hepatocellular\_Kroese\_M3**  
 points show nonparam. est. for Incidental (unfilled) and Fatal (filled)

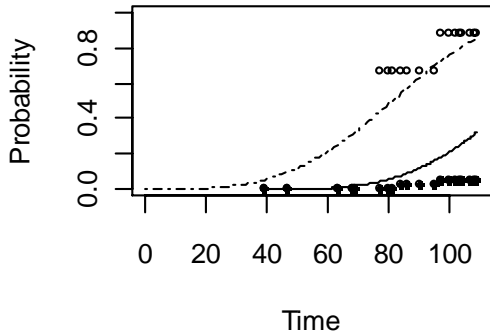
**Dose = 0.00**



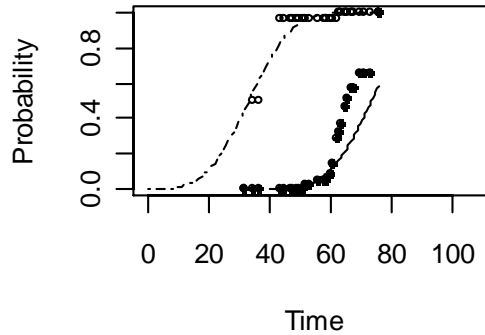
**Dose = 0.54**



**Dose = 1.81**



**Dose = 5.17**



14  
 15



# Male Rat (Kroese et al., 2001): Duodenum or Jejunum Adenocarcinoma

```

=====
Multistage Weibull Model. (Version: 1.6.1; Date: 11/24/2009)
Solutions are obtained using donlp2-intv, (c) by P. Spellucci
Input Data File: DuoJeyJKroeseM3.(d)
=====

```

```

The form of the probability function is:
P[response] = 1-EXP{-(t - t_0)^c *
 (beta_0+beta_1*dose^1+beta_2*dose^2+beta_3*dose^3)}

```

The parameter betas are restricted to be positive

```

Dependent variable = CONTEXT
Independent variables = DOSE, TIME

```

```

Total number of observations = 208
Total number of records with missing values = 0
Total number of parameters in model = 6
Total number of specified parameters = 1
Degree of polynomial = 3

```

```

User specifies the following parameters:
t_0 = 0

```

```

Maximum number of iterations = 64
Relative Function Convergence has been set to: 2.22045e-016
Parameter Convergence has been set to: 1.49012e-008

```

```

Default Initial Parameter Values
c = 1.63636
t_0 = 0 Specified
beta_0 = 4.31119e-027
beta_1 = 2.96347e-025
beta_2 = 0
beta_3 = 1.76198e-006

```

```

Asymptotic Correlation Matrix of Parameter Estimates
(*** The model parameter(s) -t_0 -beta_0 -beta_1 -beta_2
have been estimated at a boundary point, or have been specified by the user,
and do not appear in the correlation matrix)

```

|        | c  | beta_3 |
|--------|----|--------|
| c      | 1  | -1     |
| beta_3 | -1 | 1      |

| Variable | Estimate     | Std. Err.    | 95.0% Wald Confidence Interval |                   |
|----------|--------------|--------------|--------------------------------|-------------------|
|          |              |              | Lower Conf. Limit              | Upper Conf. Limit |
| c        | 1.77722      | 2.03042      | -2.20233                       | 5.75677           |
| beta_0   | 0            | NA           |                                |                   |
| beta_1   | 0            | NA           |                                |                   |
| beta_2   | 0            | NA           |                                |                   |
| beta_3   | 9.82635e-007 | 8.29355e-006 | -1.52724e-005                  | 1.72377e-005      |

NA - Indicates that this parameter has hit a bound implied by some inequality constraint and thus has no standard error.

```

Log(likelihood) # Param AIC
Fitted Model -28.4387 5 66.8773

```

| DOSE | Data Summary |   |   |   | Total | Expected Response |
|------|--------------|---|---|---|-------|-------------------|
|      | C            | F | I | U |       |                   |
| 0    | 52           | 0 | 0 | 0 | 52    | 0.00              |
| 0.54 | 52           | 0 | 0 | 0 | 52    | 0.03              |
| 1.8  | 51           | 0 | 1 | 0 | 52    | 1.04              |
| 5.2  | 43           | 0 | 9 | 0 | 52    | 8.96              |

```

Benchmark Dose Computation
Risk Response = Incidental

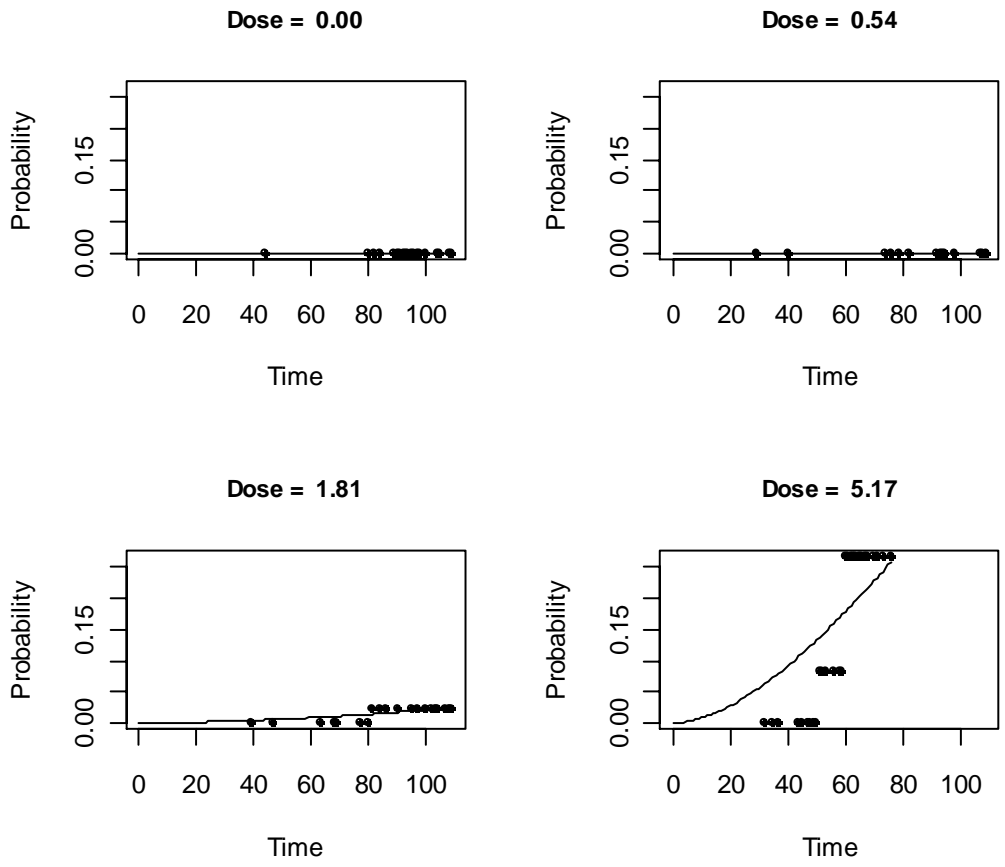
```

1 Risk Type = Extra  
 2 Specified effect = 0.1  
 3 Confidence level = 0.9  
 4 Time = 104  
 5  
 6

|                    |         |          |           |
|--------------------|---------|----------|-----------|
| Specified effect = | 0.1     | 0.01     | 0.001     |
| BMD =              | 3.03291 | 1.38578  | 0.642252  |
| BMDL =             | 2.37782 | 0.418285 | 0.0420835 |
| BMDU =             | 3.87183 | 1.76166  | 0.811476  |

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**Incidental Risk: DuoJej\_Kroese\_M3**



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# Male Rat (Kroese et al., 2001): Skin or Mammary Gland Basal Cell Tumors

```

=====
Multistage Weibull Model. (Version: 1.6.1; Date: 11/24/2009)
Solutions are obtained using donlp2-intv, (c) by P. Spellucci
Input Data File: SKinMamBasalKroeseM3.(d)
=====

```

The form of the probability function is:  

$$P[\text{response}] = 1 - \text{EXP}\{-(t - t_0)^c * (\beta_0 + \beta_1 * \text{dose}^1 + \beta_2 * \text{dose}^2 + \beta_3 * \text{dose}^3)\}$$

The parameter betas are restricted to be positive

Dependent variable = CONTEXT  
 Independent variables = DOSE, TIME

Total number of observations = 208  
 Total number of records with missing values = 0  
 Total number of parameters in model = 6  
 Total number of specified parameters = 1  
 Degree of polynomial = 3

User specifies the following parameters:  
 $t_0 = 0$

Maximum number of iterations = 64  
 Relative Function Convergence has been set to: 2.22045e-016  
 Parameter Convergence has been set to: 1.49012e-008

Default Initial Parameter Values

|        |   |              |           |
|--------|---|--------------|-----------|
| c      | = | 1.38462      |           |
| t_0    | = | 0            | Specified |
| beta_0 | = | 3.84298e-005 |           |
| beta_1 | = | 1.06194e-028 |           |
| beta_2 | = | 0            |           |
| beta_3 | = | 6.84718e-006 |           |

Asymptotic Correlation Matrix of Parameter Estimates  
 ( \*\*\* The model parameter(s) -t\_0 -beta\_1 -beta\_2 have been estimated at a boundary point, or have been specified by the user, and do not appear in the correlation matrix )

|        |    |        |        |
|--------|----|--------|--------|
|        | c  | beta_0 | beta_3 |
| c      | 1  | -1     | -1     |
| beta_0 | -1 | 1      | 0.99   |
| beta_3 | -1 | 0.99   | 1      |

Parameter Estimates

| Variable | Estimate     | Std. Err.   | 95.0% Wald Confidence Interval |                   |
|----------|--------------|-------------|--------------------------------|-------------------|
|          |              |             | Lower Conf. Limit              | Upper Conf. Limit |
| c        | 1.47227      | 1.76686     | -1.9907                        | 4.93525           |
| beta_0   | 2.54786e-005 | 0.000211261 | -0.000388585                   | 0.000439542       |
| beta_1   | 0            | NA          |                                |                   |
| beta_2   | 0            | NA          |                                |                   |
| beta_3   | 4.81611e-006 | 3.49e-005   | -6.35866e-005                  | 7.32188e-005      |

NA - Indicates that this parameter has hit a bound implied by some inequality constraint and thus has no standard error.

|              |                 |         |         |
|--------------|-----------------|---------|---------|
|              | Log(likelihood) | # Param | AIC     |
| Fitted Model | -47.3623        | 5       | 104.725 |

Data Summary

|      |      | CONTEXT |   |    |   |       |                   |
|------|------|---------|---|----|---|-------|-------------------|
|      |      | C       | F | I  | U | Total | Expected Response |
| DOSE | 0    | 50      | 0 | 2  | 0 | 52    | 1.18              |
|      | 0.54 | 51      | 0 | 1  | 0 | 52    | 1.22              |
|      | 1.8  | 51      | 0 | 1  | 0 | 52    | 2.32              |
|      | 5.2  | 39      | 0 | 13 | 0 | 52    | 12.54             |

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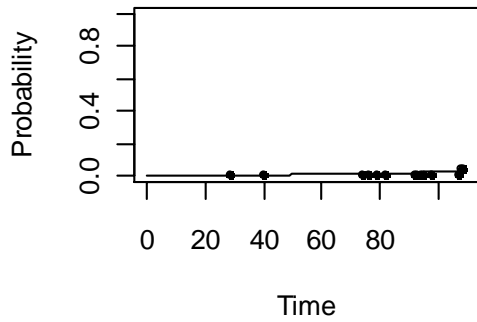
Benchmark Dose Computation  
Risk Response = Incidental  
Risk Type = Extra  
Confidence level = 0.9  
Time = 104

|                    |         |          |           |
|--------------------|---------|----------|-----------|
| Specified effect = | 0.1     | 0.01     | 0.001     |
| BMD =              | 2.86276 | 1.30804  | 0.606222  |
| BMDL =             | 2.35118 | 0.415897 | 0.0424277 |
| BMDU =             | 3.62258 | 1.69571  | 0.761447  |

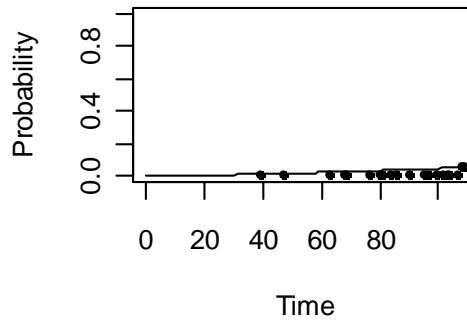
9  
10  
11  
12  
13

### Incidental Risk: Skin\_Mam\_Basal\_Kroese\_M3

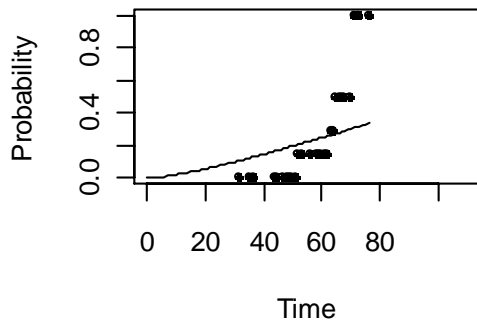
**Dose = 0.54**



**Dose = 1.81**



**Dose = 5.17**



14  
15

# Male Rat (Kroese et al., 2001): Skin or Mammary Gland Squamous Cell Tumors

=====  
 Multistage Weibull Model. (Version: 1.6.1; Date: 11/24/2009)  
 Solutions are obtained using donlp2-intv, (c) by P. Spellucci  
 Input Data File: SKinMamSCCKroeseM3.(d)  
 =====

The form of the probability function is:  
 $P[\text{response}] = 1 - \text{EXP}\{- (t - t_0)^c * (\text{beta}_0 + \text{beta}_1 * \text{dose}^1 + \text{beta}_2 * \text{dose}^2 + \text{beta}_3 * \text{dose}^3)\}$

The parameter betas are restricted to be positive

Dependent variable = CONTEXT  
 Independent variables = DOSE, TIME

Total number of observations = 208  
 Total number of records with missing values = 0  
 Total number of parameters in model = 6  
 Total number of specified parameters = 1  
 Degree of polynomial = 3

User specifies the following parameters:  
 $t_0 = 0$

Maximum number of iterations = 64  
 Relative Function Convergence has been set to: 2.22045e-016  
 Parameter Convergence has been set to: 1.49012e-008

Default Initial Parameter Values

|        |   |              |           |
|--------|---|--------------|-----------|
| c      | = | 3            |           |
| t_0    | = | 0            | Specified |
| beta_0 | = | 0            |           |
| beta_1 | = | 1.25256e-008 |           |
| beta_2 | = | 1.25627e-030 |           |
| beta_3 | = | 3.34696e-009 |           |

Asymptotic Correlation Matrix of Parameter Estimates  
 ( \*\*\* The model parameter(s) -t\_0 -beta\_0 -beta\_2  
 have been estimated at a boundary point, or have been specified by the user,  
 and do not appear in the correlation matrix )

|        |       |        |        |
|--------|-------|--------|--------|
|        | c     | beta_1 | beta_3 |
| c      | 1     | -0.99  | -1     |
| beta_1 | -0.99 | 1      | 0.99   |
| beta_3 | -1    | 0.99   | 1      |

Parameter Estimates

| Variable | Estimate     | Std. Err.    | 95.0% Wald Confidence Interval |                   |
|----------|--------------|--------------|--------------------------------|-------------------|
|          |              |              | Lower Conf. Limit              | Upper Conf. Limit |
| c        | 2.96213      | 2.591        | -2.11613                       | 8.04039           |
| beta_0   | 0            | NA           |                                |                   |
| beta_1   | 1.50104e-008 | 1.86972e-007 | -3.51447e-007                  | 3.81468e-007      |
| beta_2   | 0            | NA           |                                |                   |
| beta_3   | 3.9084e-009  | 4.15374e-008 | -7.75033e-008                  | 8.53201e-008      |

NA - Indicates that this parameter has hit a bound implied by some inequality constraint and thus has no standard error.

Fitted Model      Log(likelihood)      # Param      AIC  
 -27.652                              5                              65.304

Data Summary

| DOSE | CONTEXT |   |   |   | U  | Total | Expected Response |
|------|---------|---|---|---|----|-------|-------------------|
|      | C       | F | I | U |    |       |                   |
| 0    | 52      | 0 | 0 | 0 | 52 | 0.00  |                   |
| 0.54 | 51      | 0 | 1 | 0 | 52 | 0.42  |                   |
| 1.8  | 51      | 0 | 1 | 0 | 52 | 2.12  |                   |
| 5.2  | 46      | 0 | 6 | 0 | 52 | 5.51  |                   |

Benchmark Dose Computation  
 Risk Response = Incidental

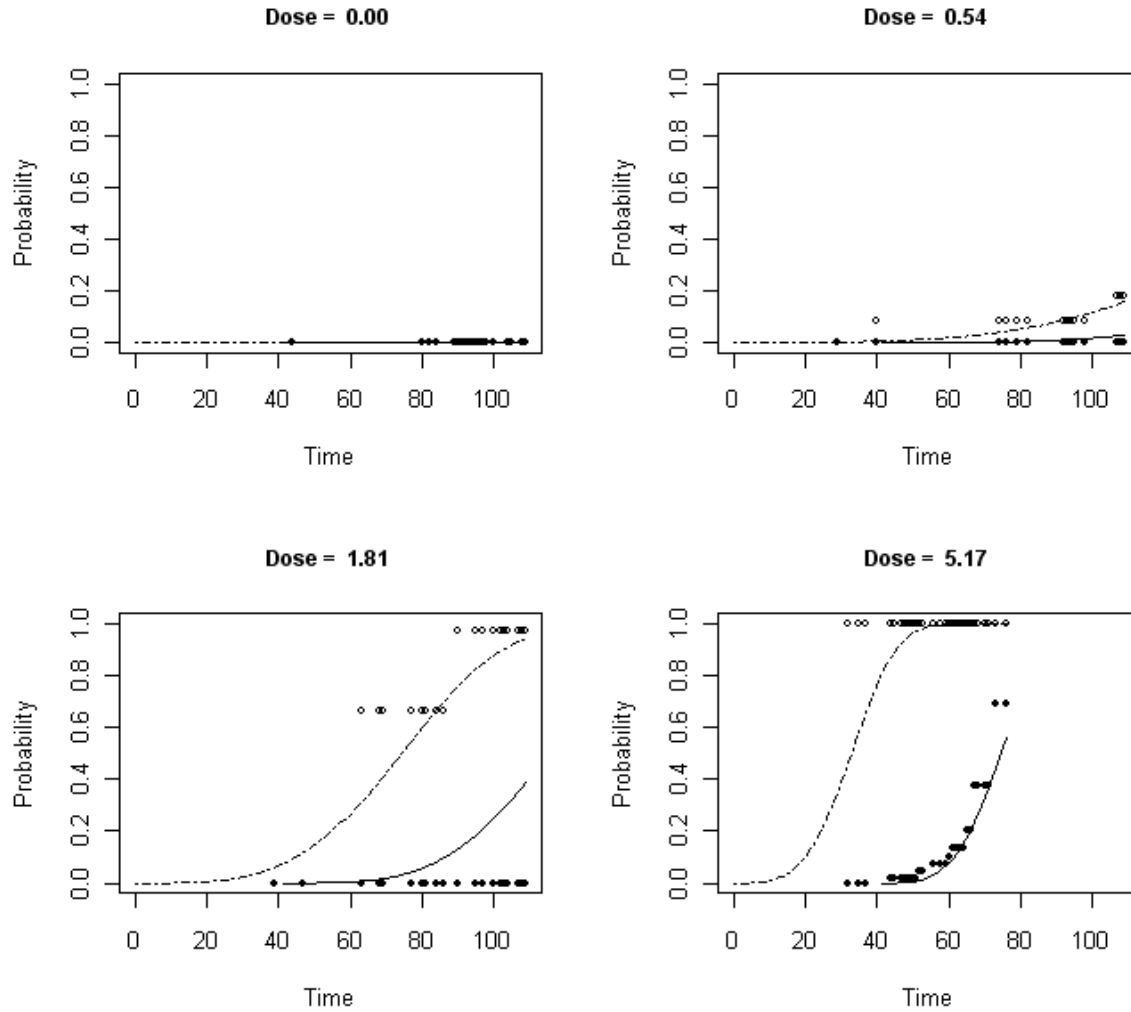
1 Risk Type = Extra  
 2 Confidence level = 0.9  
 3 Time = 104  
 4  
 5

|                    |         |          |            |
|--------------------|---------|----------|------------|
| Specified effect = | 0.1     | 0.01     | 0.001      |
| BMD =              | 2.6414  | 0.64109  | 0.070558   |
| BMDL =             | 1.76931 | 0.211043 | 0.0210552  |
| BMDU =             | 4.42145 | 2.03605  | > 0.564463 |

6  
7

**Incidental Risk: OralForstKroeseM3**

points show nonparam. est. for Incidental (unfilled) and Fatal (filled)



8  
9 Dose Response plot  
10  
11

# Male Rat (Kroese et al., 2001): Kidney Urothelial Carcinomas

```

=====
Multistage Weibull Model. (Version: 1.6.1; Date: 11/24/2009)
Solutions are obtained using donlp2-intv, (c) by P. Spellucci
Input Data File: KidneyUrothelialCarKroeseM3.(d)
=====

```

The form of the probability function is:  
 $P[\text{response}] = 1 - \text{EXP}\{-(t - t_0)^c * (\text{beta}_0 + \text{beta}_1 * \text{dose}^1 + \text{beta}_2 * \text{dose}^2 + \text{beta}_3 * \text{dose}^3)\}$

The parameter betas are restricted to be positive

Dependent variable = CONTEXT  
Independent variables = DOSE, TIME

Total number of observations = 208  
Total number of records with missing values = 0  
Total number of parameters in model = 6  
Total number of specified parameters = 1  
Degree of polynomial = 3

User specifies the following parameters:  
 $t_0 = 0$

Maximum number of iterations = 64  
Relative Function Convergence has been set to: 2.22045e-016  
Parameter Convergence has been set to: 1.49012e-008

```

Default Initial Parameter Values
c = 1.63636
t_0 = 0 Specified
beta_0 = 3.78734e-027
beta_1 = 1.59278e-027
beta_2 = 2.718e-024
beta_3 = 4.96063e-007

```

Asymptotic Correlation Matrix of Parameter Estimates  
( \*\*\* The model parameter(s) -t\_0 -beta\_0 -beta\_1 -beta\_2  
have been estimated at a boundary point, or have been specified by the user,  
and do not appear in the correlation matrix )

|        | c  | beta_3 |
|--------|----|--------|
| c      | 1  | -1     |
| beta_3 | -1 | 1      |

| Variable | Estimate     | Std. Err.    | 95.0% Wald Confidence Interval |                   |
|----------|--------------|--------------|--------------------------------|-------------------|
|          |              |              | Lower Conf. Limit              | Upper Conf. Limit |
| c        | 1.74897      | 3.79403      | -5.68719                       | 9.18512           |
| beta_0   | 0            | NA           |                                |                   |
| beta_1   | 0            | NA           |                                |                   |
| beta_2   | 0            | NA           |                                |                   |
| beta_3   | 3.11107e-007 | 4.90313e-006 | -9.29885e-006                  | 9.92107e-006      |

NA - Indicates that this parameter has hit a bound implied by some inequality constraint and thus has no standard error.

```

Log(likelihood) # Param AIC
Fitted Model -11.3978 5 32.7956

```

| DOSE | Data Summary |   |   |   | U  | Total | Expected Response |
|------|--------------|---|---|---|----|-------|-------------------|
|      | C            | F | I | U |    |       |                   |
| 0    | 52           | 0 | 0 | 0 | 52 | 0.00  |                   |
| 0.54 | 52           | 0 | 0 | 0 | 52 | 0.01  |                   |
| 1.8  | 52           | 0 | 0 | 0 | 52 | 0.29  |                   |
| 5.2  | 49           | 0 | 3 | 0 | 52 | 2.71  |                   |

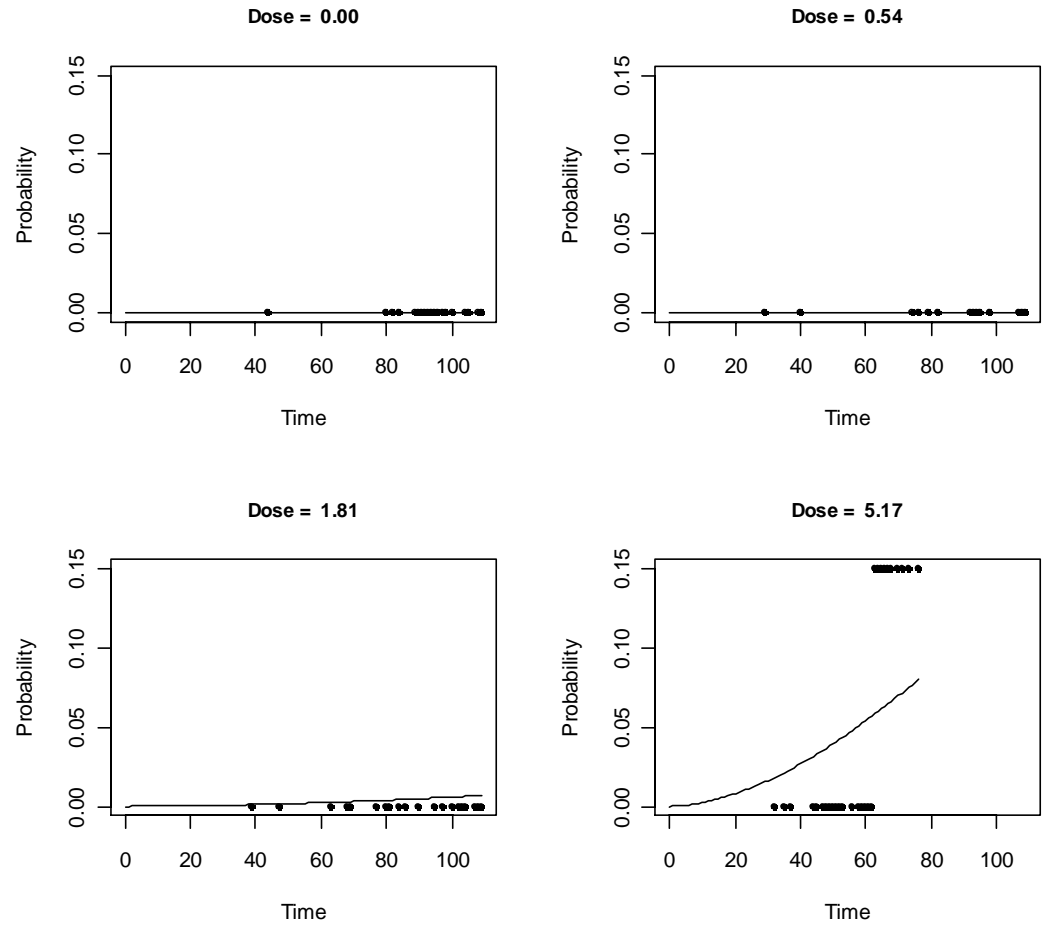
Benchmark Dose Computation

1 Risk Response = Incidental  
 2 Risk Type = Extra  
 3 Confidence level = 0.9  
 4 Time = 104  
 5  
 6

|                    |         |          |           |
|--------------------|---------|----------|-----------|
| Specified effect = | 0.1     | 0.01     | 0.001     |
| BMD =              | 4.64886 | 2.12413  | 0.984449  |
| BMDL =             | 2.49972 | 0.734665 | 0.0748097 |
| BMDU =             | 9.01023 | 3.49311  | 1.61892   |

7  
8

**Incidental Risk: Kidney\_Kroese\_M3**



9  
10



# Female Rat (Kroese et al., 2001): Oral Cavity or Forestomach, Squamous Cell Papilloma or Carcinoma

=====  
 Multistage Weibull Model. (Version: 1.6.1; Date: 11/24/2009)  
 Solutions are obtained using donlp2-intv, (c) by P. Spellucci  
 Input Data File: OralForstKroeseF3.(d)  
 =====

The form of the probability function is:  
 $P[\text{response}] = 1 - \text{EXP}\{-(t - t_0)^c * (\text{beta}_0 + \text{beta}_1 * \text{dose}^1 + \text{beta}_2 * \text{dose}^2 + \text{beta}_3 * \text{dose}^3)\}$

The parameter betas are restricted to be positive

Dependent variable = CONTEXT  
 Independent variables = DOSE, TIME

Total number of observations = 208  
 Total number of records with missing values = 0  
 Total number of parameters in model = 6  
 Total number of specified parameters = 0  
 Degree of polynomial = 3

Maximum number of iterations = 64  
 Relative Function Convergence has been set to: 2.22045e-016  
 Parameter Convergence has been set to: 1.49012e-008

### Default Initial Parameter Values

c = 3.6  
 t\_0 = 45.1111  
 beta\_0 = 1.11645e-009  
 beta\_1 = 4.85388e-009  
 beta\_2 = 0  
 beta\_3 = 1.95655e-008

### Asymptotic Correlation Matrix of Parameter Estimates

( \*\*\* The model parameter(s) -beta\_2 have been estimated at a boundary point, or have been specified by the user, and do not appear in the correlation matrix )

|        | c     | t_0   | beta_0 | beta_1 | beta_3 |
|--------|-------|-------|--------|--------|--------|
| c      | 1     | -0.79 | -0.92  | -0.93  | -1     |
| t_0    | -0.79 | 1     | 0.73   | 0.72   | 0.8    |
| beta_0 | -0.92 | 0.73  | 1      | 0.79   | 0.92   |
| beta_1 | -0.93 | 0.72  | 0.79   | 1      | 0.91   |
| beta_3 | -1    | 0.8   | 0.92   | 0.91   | 1      |

### Parameter Estimates

| Variable | Estimate     | Std. Err.    | 95.0% Wald Confidence Interval |                   |
|----------|--------------|--------------|--------------------------------|-------------------|
|          |              |              | Lower Conf. Limit              | Upper Conf. Limit |
| c        | 3.52871      | 0.701117     | 2.15454                        | 4.90287           |
| t_0      | 46.553       | 5.93306      | 34.9244                        | 58.1816           |
| beta_0   | 1.53589e-009 | 5.40523e-009 | -9.05817e-009                  | 1.21299e-008      |
| beta_1   | 7.57004e-009 | 2.9647e-008  | -5.05369e-008                  | 6.5677e-008       |
| beta_2   | 0            | NA           |                                |                   |
| beta_3   | 2.53126e-008 | 7.66404e-008 | -1.249e-007                    | 1.75525e-007      |

NA - Indicates that this parameter has hit a bound implied by some inequality constraint and thus has no standard error.

Fitted Model      Log(likelihood)      # Param      AIC  
                          -94.5119                    6                    201.024

### Data Summary

| DOSE | CONTEXT |   |    |   | U  | Total | Expected Response |
|------|---------|---|----|---|----|-------|-------------------|
|      | C       | F | I  |   |    |       |                   |
| 0    | 51      | 0 | 1  | 0 | 52 | 1.14  |                   |
| 0.49 | 46      | 0 | 6  | 0 | 52 | 4.90  |                   |
| 1.6  | 22      | 0 | 30 | 0 | 52 | 31.81 |                   |
| 4.6  | 2       | 7 | 43 | 0 | 52 | 49.43 |                   |

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Minimum observation time for F tumor context = 58

Benchmark Dose Computation  
Risk Response = Incidental  
Risk Type = Extra  
Confidence level = 0.9  
Time = 104

|                    |          |           |             |
|--------------------|----------|-----------|-------------|
| Specified effect = | 0.1      | 0.01      | 0.001       |
| BMD =              | 0.538801 | 0.0981283 | 0.0100797   |
| BMDL =             | 0.328135 | 0.0345104 | 0.00344714  |
| BMDU =             | 0.717127 | 0.325909  | > 0.0806373 |

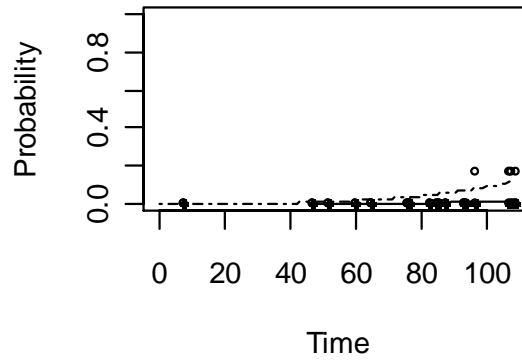
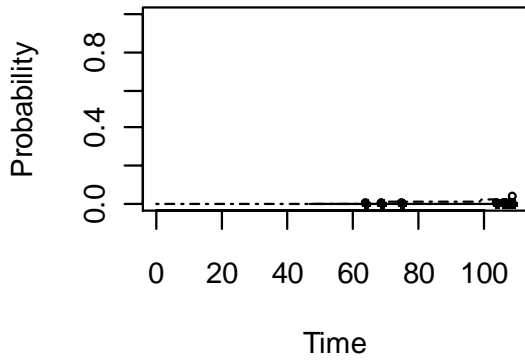
10  
11  
12

### Incidental Risk: OralForstKroeseF3

points show nonparam. est. for Incidental (unfilled) and Fatal (filled)

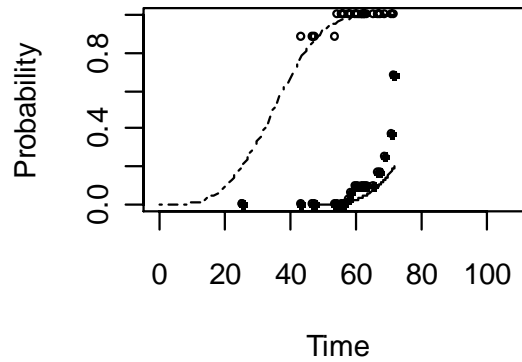
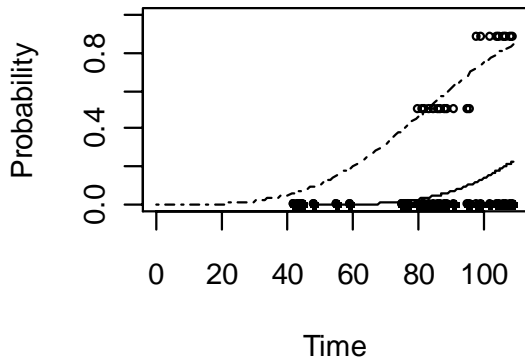
Dose = 0.00

Dose = 0.49



Dose = 1.62

Dose = 4.58



13  
14

# Female Rat (Kroese et al., 2001): Hepatocellular Adenoma or Carcinoma

```

=====
Multistage Weibull Model. (Version: 1.6.1; Date: 11/24/2009)
Solutions are obtained using donlp2-intv, (c) by P. Spellucci
Input Data File: LiverKroeseF3.(d)
Fri Apr 16 09:08:03 2010
=====

```

Timer to Tumor Model, Liver Hepatocellular Tumors, Kroese et al, Female

```

The form of the probability function is:
P[response] = 1-EXP{-(t - t_0)^c *
(beta_0+beta_1*dose^1+beta_2*dose^2+beta_3*dose^3)}

```

The parameter betas are restricted to be positive

```

Dependent variable = CONTEXT
Independent variables = DOSE, TIME

```

```

Total number of observations = 208
Total number of records with missing values = 0
Total number of parameters in model = 6
Total number of specified parameters = 0
Degree of polynomial = 3

```

```

Maximum number of iterations = 64
Relative Function Convergence has been set to: 2.22045e-016
Parameter Convergence has been set to: 1.49012e-008

```

### Default Initial Parameter Values

```

c = 3.6
t_0 = 31.7778
beta_0 = 0
beta_1 = 4.9104e-031
beta_2 = 5.45766e-030
beta_3 = 3.44704e-008

```

### Asymptotic Correlation Matrix of Parameter Estimates

```

(*** The model parameter(s) -beta_0 -beta_1 -beta_2
have been estimated at a boundary point, or have been specified by the user,
and do not appear in the correlation matrix)

```

|        | c    | t_0  | beta_3 |
|--------|------|------|--------|
| c      | 1    | -0.9 | -1     |
| t_0    | -0.9 | 1    | 0.92   |
| beta_3 | -1   | 0.92 | 1      |

### Parameter Estimates

| Variable | Estimate     | Std. Err.    | 95.0% Wald Confidence Interval |                   |
|----------|--------------|--------------|--------------------------------|-------------------|
|          |              |              | Lower Conf. Limit              | Upper Conf. Limit |
| c        | 3.11076      | 0.549208     | 2.03434                        | 4.18719           |
| t_0      | 38.6965      | 5.21028      | 28.4846                        | 48.9085           |
| beta_0   | 0            | NA           |                                |                   |
| beta_1   | 0            | NA           |                                |                   |
| beta_2   | 0            | NA           |                                |                   |
| beta_3   | 2.94354e-007 | 7.19418e-007 | -1.11568e-006                  | 1.70439e-006      |

NA - Indicates that this parameter has hit a bound implied by some inequality constraint and thus has no standard error.

```

Log(likelihood) # Param AIC
Fitted Model -228.17 6 468.34

```

### Data Summary

| DOSE | CONTEXT |    |    |   | U  | Total | Expected Response |
|------|---------|----|----|---|----|-------|-------------------|
|      | C       | F  | I  |   |    |       |                   |
| 0    | 52      | 0  | 0  | 0 | 52 | 0.00  |                   |
| 0.49 | 51      | 0  | 1  | 0 | 52 | 3.02  |                   |
| 1.6  | 13      | 12 | 27 | 0 | 52 | 38.36 |                   |
| 4.6  | 1       | 38 | 13 | 0 | 52 | 51.36 |                   |

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8  
9  
10

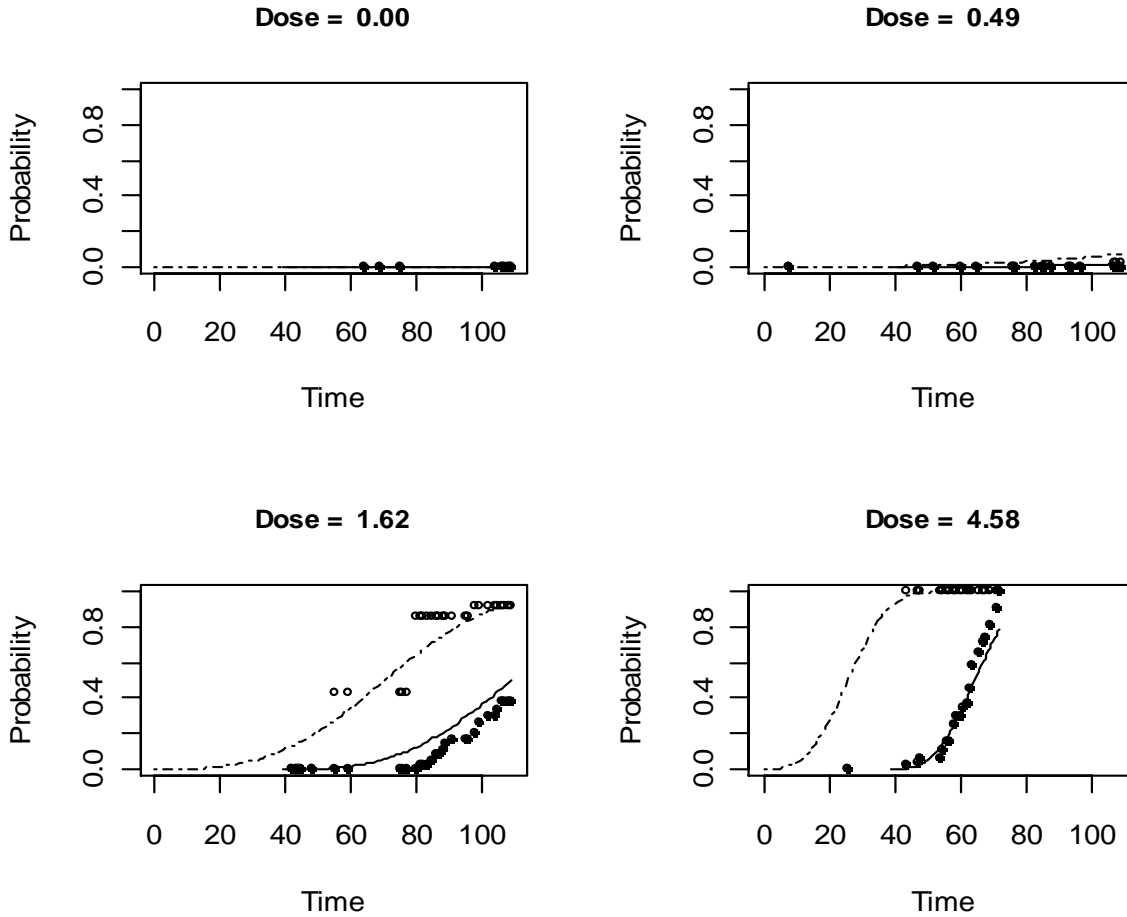
Minimum observation time for F tumor context = 44

Benchmark Dose Computation  
Risk Response = Incidental  
Risk Type = Extra  
Confidence level = 0.9  
Time = 104

|                    |          |          |           |
|--------------------|----------|----------|-----------|
| Specified effect = | 0.1      | 0.01     | 0.001     |
| BMD =              | 0.575127 | 0.262783 | 0.12179   |
| BMDL =             | 0.506633 | 0.134213 | 0.0152934 |
| BMDU =             | 0.629806 | 0.287232 | 0.133064  |

11  
12

**Incidental Risk: Hepatocellular\_Kroese\_F3**  
points show nonparam. est. for Incidental (unfilled) and Fatal (filled)



13  
14

# Female Rat (Kroese et al., 2001): Duodenum or Jejunum Adenocarcinoma

=====  
 Multistage Weibull Model. (Version: 1.6.1; Date: 11/24/2009)  
 Solutions are obtained using donlp2-intv, (c) by P. Spellucci  
 Input Data File: DuoJejKroeseF3.(d)  
 =====

The form of the probability function is:  
 $P[\text{response}] = 1 - \text{EXP}\{-(t - t_0)^c * (\text{beta}_0 + \text{beta}_1 * \text{dose}^1 + \text{beta}_2 * \text{dose}^2 + \text{beta}_3 * \text{dose}^3)\}$

The parameter betas are restricted to be positive

Dependent variable = CONTEXT  
 Independent variables = DOSE, TIME

Total number of observations = 208  
 Total number of records with missing values = 0  
 Total number of parameters in model = 6  
 Total number of specified parameters = 1  
 Degree of polynomial = 3

User specifies the following parameters:  
 $t_0 = 0$

Maximum number of iterations = 64  
 Relative Function Convergence has been set to: 2.22045e-016  
 Parameter Convergence has been set to: 1.49012e-008

Default Initial Parameter Values

|        |   |            |           |
|--------|---|------------|-----------|
| c      | = | 2.25       |           |
| t_0    | = | 0          | Specified |
| beta_0 | = | 0          |           |
| beta_1 | = | 0          |           |
| beta_2 | = | 0          |           |
| beta_3 | = | 7.289e-008 |           |

Asymptotic Correlation Matrix of Parameter Estimates  
 ( \*\*\* The model parameter(s) -t\_0 -beta\_0 -beta\_1 -beta\_2  
 have been estimated at a boundary point, or have been specified by the user,  
 and do not appear in the correlation matrix )

|        |    |        |
|--------|----|--------|
|        | c  | beta_3 |
| c      | 1  | -1     |
| beta_3 | -1 | 1      |

Parameter Estimates

| Variable | Estimate     | Std. Err.    | 95.0% Wald Confidence Interval |                   |
|----------|--------------|--------------|--------------------------------|-------------------|
|          |              |              | Lower Conf. Limit              | Upper Conf. Limit |
| c        | 2.32531      | 3.58729      | -4.70565                       | 9.35626           |
| beta_0   | 0            | NA           |                                |                   |
| beta_1   | 0            | NA           |                                |                   |
| beta_2   | 0            | NA           |                                |                   |
| beta_3   | 5.32209e-008 | 7.98487e-007 | -1.51178e-006                  | 1.61823e-006      |

NA - Indicates that this parameter has hit a bound implied by some inequality constraint and thus has no standard error.

|              |                 |         |         |
|--------------|-----------------|---------|---------|
|              | Log(likelihood) | # Param | AIC     |
| Fitted Model | -13.8784        | 5       | 37.7569 |

Data Summary

| DOSE | CONTEXT |   |   |   | U  | Total | Expected Response |
|------|---------|---|---|---|----|-------|-------------------|
|      | C       | F | I |   |    |       |                   |
| 0    | 52      | 0 | 0 | 0 | 52 | 0.00  |                   |
| 0.49 | 52      | 0 | 0 | 0 | 52 | 0.01  |                   |
| 1.6  | 52      | 0 | 0 | 0 | 52 | 0.44  |                   |
| 4.6  | 48      | 0 | 4 | 0 | 52 | 3.57  |                   |

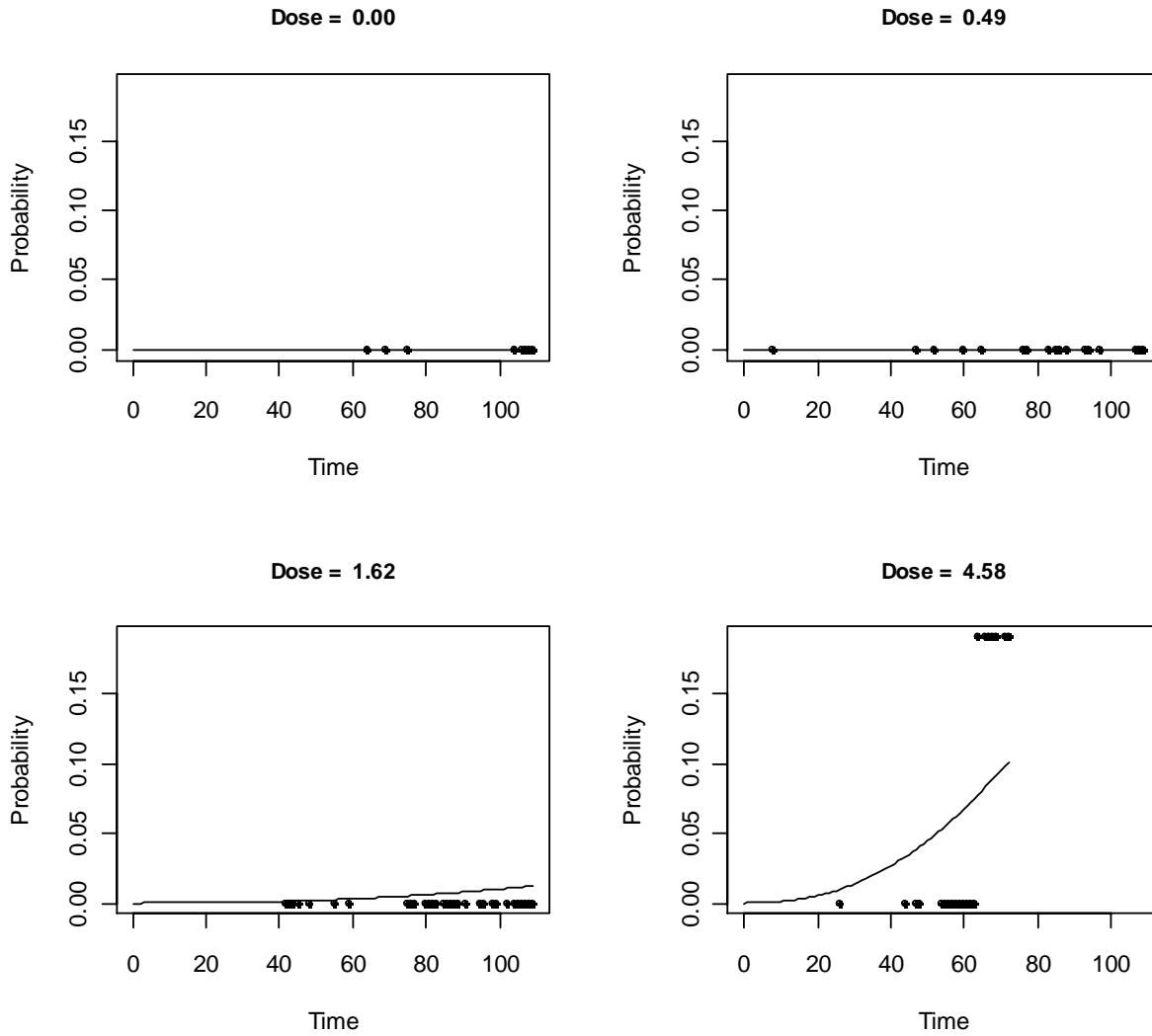
Benchmark Dose Computation  
 Risk Response = Incidental  
 Risk Type = Extra  
 Confidence level = 0.9

1 Time = 104  
2

|                    |         |          |           |
|--------------------|---------|----------|-----------|
| Specified effect = | 0.1     | 0.01     | 0.001     |
| BMD =              | 3.43129 | 1.56781  | 0.726615  |
| BMDL =             | 1.94745 | 0.560867 | 0.0584891 |
| BMDU =             | 5.70108 | 2.61447  | 1.21046   |

3  
4

### Incidental Risk: DuoJej\_Kroese\_F3



5  
6

**Table D-7. Summary of human equivalent overall cancer risk values, based on male and female rat tumor incidence (Kroese et al., 2001)**

| Data set                                            | Tumor Site                               | BMD <sub>001</sub> | BMDL <sub>001</sub> | Risk value <sup>a</sup> at |                     | SD                     | SD <sup>2</sup> | Prop. of total variance |  |
|-----------------------------------------------------|------------------------------------------|--------------------|---------------------|----------------------------|---------------------|------------------------|-----------------|-------------------------|--|
|                                                     |                                          |                    |                     | BMD <sub>001</sub>         | BMDL <sub>001</sub> |                        |                 |                         |  |
| Males                                               | Oral cavity/forestomach                  | 6.37E-03           | 2.86E-03            | 1.57E-01                   | 3.50E-01            | 1.17E-01               | 1.38E-02        | 0.64                    |  |
|                                                     | Liver                                    | 2.00E-02           | 5.30E-03            | 5.00E-02                   | 1.89E-01            | 8.42E-02               | 7.09E-03        | 0.33                    |  |
|                                                     | Duodenum/ jejunum                        | 6.42E-01           | 4.21E-02            | 1.56E-03                   | 2.38E-02            | 1.35E-02               | 1.82E-04        | 0.01                    |  |
|                                                     | Skin/mammary gland: basal cell           | 6.06E-01           | 4.24E-02            | 1.65E-03                   | 2.36E-02            | 1.33E-02               | 1.78E-04        | 0.01                    |  |
|                                                     | Skin/mammary gland: squam. cell          | 7.06E-02           | 2.11E-02            | 1.42E-02                   | 4.75E-02            | 2.03E-02               | 4.10E-04        | 0.02                    |  |
|                                                     | Kidney                                   | 9.84E-01           | 7.48E-02            | 1.02E-03                   | 1.34E-02            | 7.51E-03               | 5.64E-05        | 0.00                    |  |
|                                                     | Sum, risk values at BMD <sub>001</sub> : |                    |                     |                            | 2.25E-01            | Sum, SD <sup>2</sup> : |                 | 2.17E-02                |  |
|                                                     | Overall SD <sup>b</sup> :                |                    |                     |                            |                     |                        |                 | 1.47E-01                |  |
| Upper bound on sum of risk estimates <sup>c</sup> : |                                          |                    |                     |                            | 4.68E-01            |                        |                 |                         |  |
| Females                                             | Oral cavity/forestomach                  | 3.45E-03           | 1.01E-02            | 2.90E-01                   | 9.92E-02            | 1.16E-01               | 1.35E-02        | 0.91                    |  |
|                                                     | Liver                                    | 1.53E-02           | 1.22E-01            | 6.54E-02                   | 8.21E-03            | 3.48E-02               | 1.21E-03        | 0.08                    |  |
|                                                     | Duodenum/ jejunum                        | 5.85E-02           | 7.27E-01            | 1.71E-02                   | 1.38E-03            | 9.56E-03               | 9.13E-05        | 0.01                    |  |
|                                                     | Sum, risk values at BMD <sub>001</sub> : |                    |                     |                            | 1.09E-01            | Sum, SD <sup>2</sup> : |                 | 1.48E-02                |  |
|                                                     | Overall SD:                              |                    |                     |                            |                     |                        |                 | 1.22E-01                |  |
| Upper bound on sum of risk estimates <sup>c</sup> : |                                          |                    |                     |                            | 3.09E-01            |                        |                 |                         |  |

<sup>a</sup> Risk value=0.001/BMDL<sub>001</sub>

<sup>b</sup> Overall SD = (Sum, SD<sup>2</sup>)<sup>0.5</sup>

<sup>c</sup> Upper bound on the overall risk estimate = Sum of BMD<sub>001</sub> risk values + 1.645 × Overall SD.

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**Table D-8. Summary of model selection among multistage-Weibull models fit to alimentary tract tumor data for female mice (Beland and Culp, 1998)**

| Model stages | Number of param. |                             |          |                   |              | Responses @ mg/kg-d levels <sup>c</sup> |            |             |             | Selected model parameter estimates |           | Model Selection Rationale |
|--------------|------------------|-----------------------------|----------|-------------------|--------------|-----------------------------------------|------------|-------------|-------------|------------------------------------|-----------|---------------------------|
|              | LL <sup>a</sup>  | χ <sup>2</sup> <sup>b</sup> | AIC      | BMD <sub>10</sub> | 0            | 0.1                                     | 0.48       | 2.3         | c           | t0                                 |           |                           |
|              | 1                | -340.271                    | NR       | 4                 | 688.5        | 0.104                                   | <i>1</i>   | <i>3</i>    | <i>38</i>   | <i>46</i>                          | 3.4       |                           |
| 2            | -309.620         | 61.3                        | 5        | 629.2             | 0.102        | 0.6                                     | 14.6       | 34.1        | 36.3        | 5.5                                | 16        |                           |
| 3            | -306.265         | <b>6.7</b>                  | <b>6</b> | 624.5             | <b>0.127</b> | <b>0.9</b>                              | <b>3.2</b> | <b>30.8</b> | <b>41.9</b> | <b>6.9</b>                         | <b>14</b> |                           |

<sup>a</sup> LL=log-likelihood.  
<sup>b</sup> χ<sup>2</sup> = chi-squared statistic for testing the difference between 2 model fits, from 2 × |(LL<sub>i</sub> - LL<sub>j</sub>)| evaluated for i-j degrees of freedom. In all cases the difference was evaluated for consecutive numbers of stages; i-j = 1, and χ<sup>2</sup> at α = 0.05 is 3.84.  
<sup>c</sup> "Responses" describes the number of animals with each tumor type; observed responses are in italics, and expected responses (predicted by each model fit) are given to one decimal place for comparison with the observed data.  
 NR = not relevant.

5

# Female Mice (Beland and Culp, 1998): Alimentary Tract Squamous Cell Tumors

=====  
 Multistage Weibull Model. (Version: 1.6.1; Date: 11/24/2009)  
 Solutions are obtained using donlp2-intv, (c) by P. Spellucci  
 Input Data File: C:\msw10-09\benzo[a]pyrene\_FemaleSquamF3i.(d)  
 =====

The form of the probability function is:  
 $P[\text{response}] = 1 - \text{EXP}\{-(t - t_0)^c * (\text{beta}_0 + \text{beta}_1 * \text{dose}^1 + \text{beta}_2 * \text{dose}^2 + \text{beta}_3 * \text{dose}^3)\}$

The parameter betas are restricted to be positive

Dependent variable = Class  
 Independent variables = Dose, time

Total number of observations = 191  
 Total number of records with missing values = 0  
 Total number of parameters in model = 6  
 Total number of specified parameters = 0  
 Degree of polynomial = 3

Maximum number of iterations = 64  
 Relative Function Convergence has been set to: 2.22045e-016  
 Parameter Convergence has been set to: 1.49012e-008

User Inputs Initial Parameter Values

|        |   |          |
|--------|---|----------|
| c      | = | 2        |
| t_0    | = | 15       |
| beta_0 | = | 1.6e-014 |
| beta_1 | = | 0        |
| beta_2 | = | 5.5e-012 |
| beta_3 | = | 4.4e-012 |

Asymptotic Correlation Matrix of Parameter Estimates

|        | c     | t_0   | beta_0 | beta_1 | beta_2 | beta_3 |
|--------|-------|-------|--------|--------|--------|--------|
| c      | 1     | -0.78 | -0.97  | -0.42  | -0.99  | -0.99  |
| t_0    | -0.78 | 1     | 0.76   | 0.39   | 0.74   | 0.84   |
| beta_0 | -0.97 | 0.76  | 1      | 0.33   | 0.97   | 0.96   |
| beta_1 | -0.42 | 0.39  | 0.33   | 1      | 0.31   | 0.46   |
| beta_2 | -0.99 | 0.74  | 0.97   | 0.31   | 1      | 0.97   |
| beta_3 | -0.99 | 0.84  | 0.96   | 0.46   | 0.97   | 1      |

Parameter Estimates

| Variable | Estimate     | Std. Err.    | 95.0% Wald Confidence Interval |                   |
|----------|--------------|--------------|--------------------------------|-------------------|
|          |              |              | Lower Conf. Limit              | Upper Conf. Limit |
| c        | 6.92317      | 1.33874      | 4.29929                        | 9.54705           |
| t_0      | 13.9429      | 4.96646      | 4.20881                        | 23.677            |
| beta_0   | 2.46916e-016 | 1.47619e-015 | -2.64636e-015                  | 3.14019e-015      |
| beta_1   | 0            | 1.30525e-014 | -2.55825e-014                  | 2.55825e-014      |
| beta_2   | 5.85452e-014 | 3.75144e-013 | -6.76723e-013                  | 7.93813e-013      |
| beta_3   | 9.76542e-014 | 5.62017e-013 | -1.00388e-012                  | 1.19919e-012      |

Fitted Model      Log(likelihood)      # Param      AIC  
 -306.265                              6                              624.53

Data Summary

| Dose | Class |    |    |   | Total | Expected Response |
|------|-------|----|----|---|-------|-------------------|
|      | C     | F  | I  | U |       |                   |
| 0    | 47    | 0  | 1  | 0 | 48    | 0.93              |
| 0.1  | 45    | 0  | 3  | 0 | 48    | 3.21              |
| 0.48 | 8     | 23 | 15 | 1 | 47    | 30.82             |
| 2.3  | 1     | 46 | 0  | 1 | 48    | 41.91             |

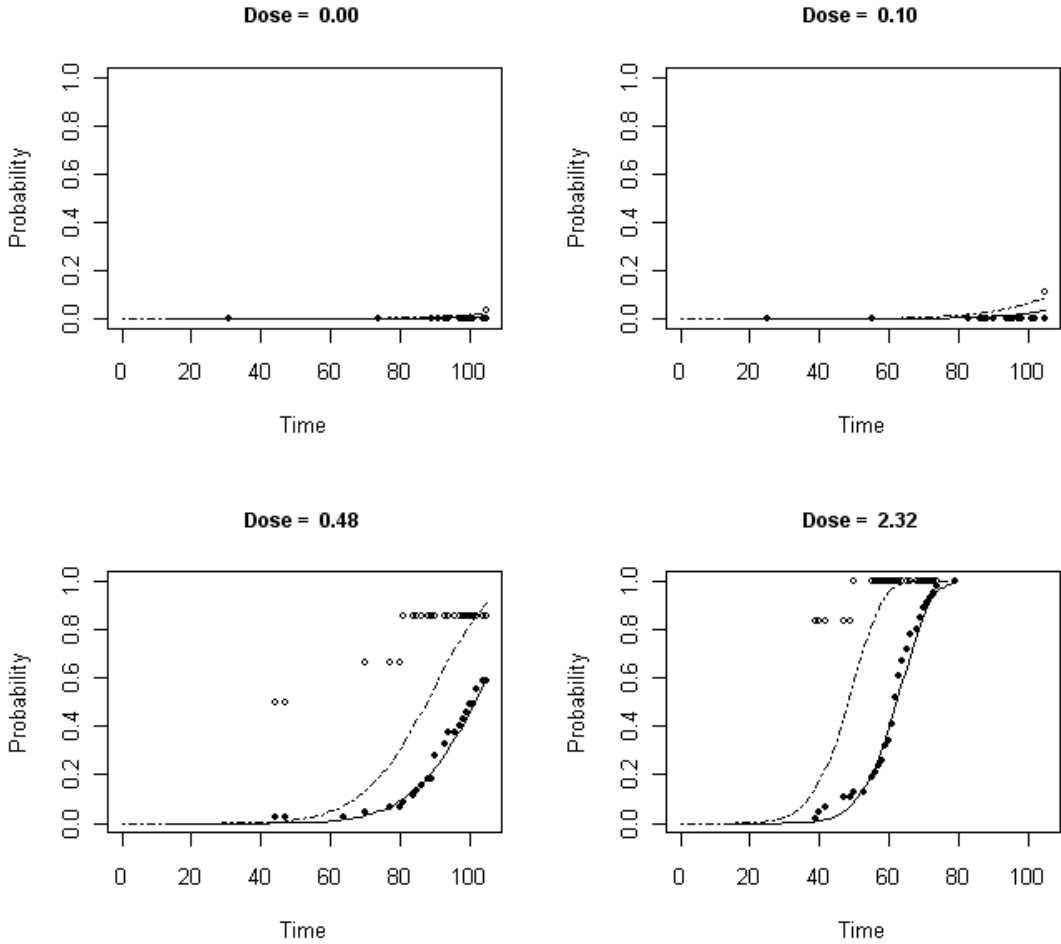
Minimum observation time for F tumor context = 39

Benchmark Dose Computation  
 Risk Response = Incidental



1 Risk Type = Extra  
 2 Specified effect = 0.1  
 3 Confidence level = 0.9  
 4  
 5 Time = 104  
 6  
 7 BMD = 0.126983  
 8 BMDL = 0.0706103  
 9 BMDU = 0.179419  
 10  
 11  
 12  
 13  
 14

**Incidental Risk: BaP\_FemaleSquamF3i**  
 points show nonparam. est. for Incidental (unfilled) and Fatal (filled)



15

1 APPENDIX E. TIME-TO-TUMOR MODELING FOR THE INHALATION UNIT RISK

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| Table E-1. Individual pathology and tumor occurrence data for male Syrian hamsters exposed to benzo[a]pyrene via inhalation for lifetime (Thyssen et al., 1981) |               |                 |                |                |                |           |             |              |
|-----------------------------------------------------------------------------------------------------------------------------------------------------------------|---------------|-----------------|----------------|----------------|----------------|-----------|-------------|--------------|
| Admin. Exposure Conc. (mg/m <sup>3</sup> )                                                                                                                      | Time on Study | Number Examined | Larynx         | Pharynx        | Trachea        | Esophagus | Forestomach | Nasal Cavity |
| 0                                                                                                                                                               | 17            | 1               | 0              | 0 <sup>a</sup> | 0              | 0         | 0           | 0            |
|                                                                                                                                                                 | 39            | 1               | 0              | 0              | 0              | 0         | 0           | 0            |
|                                                                                                                                                                 | 45            | 1               | 0              | 0              | 0              | 0         | 0           | 0            |
|                                                                                                                                                                 | 79            | 1               | 0              | 0              | 0              | 0         | 0           | 0            |
|                                                                                                                                                                 | 83            | 1               | 0              | 0              | 0              | 0         | 0           | 0            |
|                                                                                                                                                                 | 85            | 1               | 0              | 0              | 0 <sup>a</sup> | 0         | 0           | 0            |
|                                                                                                                                                                 | 86            | 1               | 0              | 0              | 0              | 0         | 0           | 0            |
|                                                                                                                                                                 | 88            | 2               | 0              | 0              | 0              | 0         | 0           | 0            |
|                                                                                                                                                                 | 89            | 2               | 0              | 0              | 0              | 0         | 0           | 0            |
|                                                                                                                                                                 | 90            | 1               | 0              | 0              | 0              | 0         | 0           | 0            |
|                                                                                                                                                                 | 101           | 1               | 0              | 0              | 0              | 0         | 0           | 0            |
|                                                                                                                                                                 | 102           | 1               | 0              | 0              | 0              | 0         | 0           | 0            |
|                                                                                                                                                                 | 103           | 1               | 0              | 0              | 0              | 0         | 0           | 0            |
|                                                                                                                                                                 | 106           | 1               | 0              | 0              | 0              | 0         | 0           | 0            |
|                                                                                                                                                                 | 108           | 1               | 0              | 0              | 0              | 0         | 0           | 0            |
|                                                                                                                                                                 | 109           | 1               | 0              | 0              | 0              | 0         | 0           | 0            |
|                                                                                                                                                                 | 112           | 1               | 0              | 0              | 0              | 0         | 0           | 0            |
|                                                                                                                                                                 | 115           | 1               | 0              | 0              | 0              | 0         | 0           | 0            |
|                                                                                                                                                                 | 116           | 1               | 0              | 0              | 0 <sup>a</sup> | 0         | 0           | 0            |
|                                                                                                                                                                 | 122           | 1               | 0              | 0              | 0              | 0         | 0           | 0            |
| 123                                                                                                                                                             | 1             | 0               | 0              | 0              | 0              | 0         | 0           |              |
| 124                                                                                                                                                             | 1             | 0 <sup>a</sup>  | 0              | 0              | 0              | 0         | 0           |              |
| 125                                                                                                                                                             | 1             | 0               | 0              | 0              | 0              | 0         | 0           |              |
| 127                                                                                                                                                             | 1             | 0               | 0              | 0 <sup>a</sup> | 0              | 0         | 0           |              |
| 132                                                                                                                                                             | 1             | 0               | 0              | 0              | 0              | 0         | 0           |              |
| 2                                                                                                                                                               | 14            | 1               | 0 <sup>a</sup> | 0 <sup>a</sup> | 0              | 0         | 0           | 0            |
|                                                                                                                                                                 | 35            | 1               | 0              | 0              | 0              | 0         | 0           | 0            |
|                                                                                                                                                                 | 53            | 1               | 0              | 0              | 0              | 0         | 0           | 0            |
|                                                                                                                                                                 | 59            | 1               | 0              | 0              | 0              | 0         | 0           | 0            |
|                                                                                                                                                                 | 71            | 1               | 0              | 0              | 0              | 0         | 0           | 0            |
|                                                                                                                                                                 | 78            | 1               | 0              | 0              | 0              | 0         | 0           | 0            |
|                                                                                                                                                                 | 80            | 1               | 0              | 0              | 0              | 0         | 0           | 0            |
|                                                                                                                                                                 | 85            | 1               | 0              | 0              | 0              | 0         | 0           | 0            |
|                                                                                                                                                                 | 87            | 1               | 0              | 0              | 0              | 0         | 0           | 0            |
|                                                                                                                                                                 | 88            | 1               | 0              | 0              | 0              | 0         | 0           | 0            |
|                                                                                                                                                                 | 93            | 1               | 0              | 0              | 0              | 0         | 0           | 0            |
|                                                                                                                                                                 | 98            | 1               | 0              | 0              | 0 <sup>a</sup> | 0         | 0           | 0            |
|                                                                                                                                                                 | 99            | 1               | 0              | 0              | 0              | 0         | 0           | 0            |
|                                                                                                                                                                 | 102           | 1               | 0              | 0              | 0              | 0         | 0           | 0            |
|                                                                                                                                                                 | 103           | 1               | 0              | 0              | 0              | 0         | 0           | 0            |
|                                                                                                                                                                 | 108           | 1               | 0              | 0              | 0              | 0         | 0           | 0            |
|                                                                                                                                                                 | 111           | 1               | 0              | 0              | 0              | 0         | 0           | 0            |
|                                                                                                                                                                 | 113           | 1               | 0              | 0              | 0              | 0         | 0           | 0            |
|                                                                                                                                                                 | 114           | 1               | 0              | 0              | 0              | 0         | 0           | 0            |
|                                                                                                                                                                 | 115           | 1               | 0              | 0              | 0              | 0         | 0           | 0            |
| 116                                                                                                                                                             | 1             | 0               | 0              | 0              | 0              | 0         | 0           |              |
| 117                                                                                                                                                             | 1             | 0               | 0              | 0              | 0              | 0         | 0           |              |
| 120                                                                                                                                                             | 1             | 0               | 0              | 0              | 0              | 0         | 0           |              |
| 122                                                                                                                                                             | 2             | 0 <sup>a</sup>  | 0 <sup>a</sup> | 0              | 0              | 0         | 0           |              |
| 133                                                                                                                                                             | 2             | 0               | 0              | 0              | 0              | 0         | 0           |              |

**Table E-1. Individual pathology and tumor occurrence data for male Syrian hamsters exposed to benzo[a]pyrene via inhalation for lifetime (Thyssen et al., 1981)**

| Admin. Exposure Conc. (mg/m <sup>3</sup> ) | Time on Study | Number Examined | Larynx         | Pharynx        | Trachea        | Esophagus | Forestomach | Nasal Cavity |   |
|--------------------------------------------|---------------|-----------------|----------------|----------------|----------------|-----------|-------------|--------------|---|
| 10                                         | 31            | 1               | 0              | 0              | 0              | 0         | 0           | 0            |   |
|                                            | 32            | 1               | 0              | 0              | 0              | 0         | 0           | 0            |   |
|                                            | 52            | 1               | 0              | 0              | 0              | 0         | 0           | 0            |   |
|                                            | 67            | 1               | 0              | 0              | 0              | 0         | 0           | 0            |   |
|                                            | 73            | 1               | 0              | 0              | 0              | 0         | 0           | 0            |   |
|                                            | 76            | 2               | 0              | 2              | 0              | 0         | 0           | 0            |   |
|                                            | 80            | 1               | 1              | 0              | 0              | 0         | 0           | 0            |   |
|                                            | 85            | 1               | 0              | 0              | 0              | 0         | 0           | 0            |   |
|                                            | 94            | 1               | 1              | 0              | 0              | 0         | 0           | 0            |   |
|                                            | 100           | 1               | 0              | 0              | 0              | 0         | 0           | 0            |   |
|                                            | 102           | 1               | 0              | 1              | 0              | 0         | 0           | 0            |   |
|                                            | 105           | 1               | 1              | 1              | 1              | 0         | 0           | 0            |   |
|                                            | 111           | 1               | 0              | 1              | 0              | 0         | 0           | 0            |   |
|                                            | 113           | 1               | 0              | 1              | 0              | 0         | 0           | 0            |   |
|                                            | 114           | 1               | 1              | 1              | 1              | 0         | 0           | 0            |   |
|                                            | 115           | 1               | 1              | 1              | 0 <sup>a</sup> | 1         | 0           | 0            | 1 |
|                                            | 116           | 1               | 0              | 0              | 0              | 1         | 0           | 0            | 1 |
| 117                                        | 1             | 1               | 1              | 0              | 0              | 0         | 0           | 0            |   |
| 118                                        | 4             | 3               | 3              | 1 <sup>b</sup> | 0              | 0         | 1           | 1            |   |
| 122                                        | 1             | 1               | 1              | 0              | 0              | 0         | 0           | 0            |   |
| 124                                        | 1             | 1               | 1              | 1              | 0              | 0         | 0           | 0            |   |
| 125                                        | 1             | 1               | 0              | 0              | 0              | 0         | 0           | 1            |   |
| 50                                         | 20            | 1               | 0 <sup>a</sup> | 0 <sup>a</sup> | 0 <sup>a</sup> | 0         | 0           | 0            |   |
|                                            | 21            | 1               | 0 <sup>a</sup> | 0 <sup>a</sup> | 0 <sup>a</sup> | 0         | 0           | 0            |   |
|                                            | 25            | 2               | 0 <sup>a</sup> | 0 <sup>a</sup> | 0 <sup>a</sup> | 0         | 0           | 0            |   |
|                                            | 29            | 1               | 0 <sup>a</sup> | 0 <sup>a</sup> | 0 <sup>a</sup> | 0         | 0           | 0            |   |
|                                            | 30            | 1               | 0 <sup>a</sup> | 0 <sup>a</sup> | 0 <sup>a</sup> | 0         | 0           | 0            |   |
|                                            | 34            | 1               | 0 <sup>a</sup> | 0 <sup>a</sup> | 0 <sup>a</sup> | 0         | 0           | 0            |   |
|                                            | 36            | 2               | 0 <sup>a</sup> | 0 <sup>a</sup> | 0 <sup>a</sup> | 0         | 0           | 0            |   |
|                                            | 37            | 1               | 0 <sup>a</sup> | 0 <sup>a</sup> | 0 <sup>a</sup> | 0         | 0           | 0            |   |
|                                            | 40            | 2               | 1 <sup>a</sup> | 1 <sup>a</sup> | 1 <sup>a</sup> | 0         | 0           | 0            |   |
|                                            | 41            | 1               | 0              | 0              | 0              | 0         | 0           | 0            |   |
|                                            | 43            | 1               | 0              | 0              | 0              | 0         | 0           | 0            |   |
|                                            | 47            | 1               | 1              | 1              | 1              | 0         | 0           | 0            |   |
|                                            | 48            | 1               | 0              | 0              | 1              | 0         | 0           | 0            |   |
|                                            | 51            | 1               | 0              | 0              | 0 <sup>a</sup> | 0         | 0           | 0            |   |
|                                            | 56            | 1               | 1              | 1              | 1              | 0         | 0           | 0            |   |
|                                            | 57            | 1               | 0              | 0              | 1              | 0         | 0           | 0            |   |
|                                            | 60            | 1               | 0              | 0              | 1              | 0         | 0           | 0            |   |
|                                            | 63            | 1               | 0              | 0              | 0              | 0         | 0           | 0            |   |
|                                            | 64            | 1               | 0              | 0              | 1              | 0         | 0           | 1            | 0 |
|                                            | 66            | 1               | 1              | 1              | 1              | 0         | 0           | 0            | 0 |
| 68                                         | 1             | 0               | 0              | 1              | 0              | 0         | 0           | 0            |   |
| 70                                         | 1             | 1               | 1              | 1              | 0              | 1         | 0           | 0            |   |
| 71                                         | 1             | 1               | 1              | 1              | 1              | 0         | 0           | 0            |   |
| 72                                         | 1             | 1               | 1              | 1              | 0              | 0         | 0           | 0            |   |
| 73                                         | 2             | 2               | 2              | 2              | 0              | 0         | 0           | 0            |   |
| 79                                         | 4             | 4               | 3              | 4              | 1              | 1         | 0           | 1            |   |

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3

<sup>a</sup> Tissue was not examined for one animal of total examined.

<sup>b</sup> Tissue was not examined for two animals of total examined.

**Table E-2. Summary of model selection among multistage-Weibull models fit to tumor data for male hamsters<sup>a</sup> (Thyssen et al., 1981)**

| Model stages                                                                 | Number of param. |                       |          |                |                   | Responses @ mg/kg-d levels <sup>d</sup> |            |             |             | Selected model parameter estimates |                | Model Selection Rationale                                                       |
|------------------------------------------------------------------------------|------------------|-----------------------|----------|----------------|-------------------|-----------------------------------------|------------|-------------|-------------|------------------------------------|----------------|---------------------------------------------------------------------------------|
|                                                                              | LL <sup>b</sup>  | $\chi^2$ <sup>c</sup> |          | AIC            | BMD <sub>10</sub> | 0                                       | 0.25       | 1.01        | 4.29        | c                                  | t <sub>0</sub> |                                                                                 |
| <b>Oral tract tumors: all tumors considered incidental to cause of death</b> |                  |                       |          |                |                   | <i>0</i>                                | <i>0</i>   | <i>18</i>   | <i>18</i>   |                                    |                |                                                                                 |
| 1                                                                            | -26              | NR                    | 3        | 58             | 0.090             | 0.0                                     | 5.6        | 15.9        | 17.2        | 2.2                                | NR             |                                                                                 |
| <b>2</b>                                                                     | <b>-19.967</b>   | <b>12.1</b>           | <b>4</b> | <b>47.9</b>    | <b>0.285</b>      | <b>0.0</b>                              | <b>1.9</b> | <b>16.0</b> | <b>18.2</b> | <b>4.2</b>                         | <b>NR</b>      | <b>Lowest AIC, best fit to data; maximum number of stages that could be fit</b> |
| <b>Oral tract tumors: all tumors considered to be cause of death</b>         |                  |                       |          |                |                   | <i>0</i>                                | <i>0</i>   | <i>18</i>   | <i>18</i>   |                                    |                |                                                                                 |
| 1                                                                            | -160.646         | NR                    | 3        | 327.292        | 0.136             | Not available                           |            |             |             | 4.9                                | NR             | <b>Lowest AIC; best fit to data (see graphs)</b>                                |
| 2                                                                            | -147.428         | 26.4                  | 4        | 302.857        | 0.421             | Not available                           |            |             |             | 6.7                                | NR             |                                                                                 |
| <b>3</b>                                                                     | <b>-144.522</b>  |                       | <b>5</b> | <b>299.043</b> | <b>0.648</b>      | Not available                           |            |             |             | <b>9.0</b>                         | <b>NR</b>      |                                                                                 |

<sup>a</sup> All animals with missing tissues were omitted.  
<sup>b</sup> LL=log-likelihood.  
<sup>c</sup>  $\chi^2$  = chi-squared statistic for testing the difference between 2 model fits:  $\chi^2 = 2 \times |(LL_i - LL_j)|$  evaluated for |i-j| degrees of freedom (df). In all cases the difference was evaluated for consecutive numbers of stages; i-j = 1, and  $\chi^2$  for 1 df at  $\alpha = 0.05$  is 3.84.  
<sup>d</sup> "Responses" describes the number of animals with each tumor type; observed responses are in italics, and expected responses (predicted by each model fit) are given to one decimal place for comparison with the observed data.  
NR = not relevant.

1

2

# Output for Oral tract tumors: all tumors considered incidental to cause of death

```

=====
Multistage Weibull Model. (Version: 1.6.1; Date: 11/24/2009)
Solutions are obtained using donlp2-intv, (c) by P. Spellucci
Input Data File: C:\msw\benzo[a]pyrene-Thyssen_inc2st.(d)
=====

```

```

The form of the probability function is:
P[response] = 1-EXP{-(t - t_0)^c *
 (beta_0+beta_1*dose^1+beta_2*dose^2)}

```

The parameter betas are restricted to be positive

```

Dependent variable = Class
Independent variables = Conc, Time

```

```

Total number of observations = 96
Total number of records with missing values = 0
Total number of parameters in model = 5
Total number of specified parameters = 1
Degree of polynomial = 2

```

```

User specifies the following parameters:
t_0 = 0

```

```

Maximum number of iterations = 32
Relative Function Convergence has been set to: 1e-008
Parameter Convergence has been set to: 1e-008

```

```

Default Initial Parameter Values
c = 3.6
t_0 = 0 Specified
beta_0 = 1.18657e-031
beta_1 = 1.49e-030
beta_2 = 6.10362e-008

```

```

Asymptotic Correlation Matrix of Parameter Estimates
(*** The model parameter(s) -t_0 -beta_0 -beta_1
have been estimated at a boundary point, or have been specified by the user,
and do not appear in the correlation matrix)

```

|        | c  | beta_2 |
|--------|----|--------|
| c      | 1  | -1     |
| beta_2 | -1 | 1      |

| Variable | Estimate     | Std. Err.  | 95.0% Wald Confidence Interval |                   |
|----------|--------------|------------|--------------------------------|-------------------|
|          |              |            | Lower Conf. Limit              | Upper Conf. Limit |
| c        | 4.21938      | 0.840997   | 2.57105                        | 5.8677            |
| beta_0   | 0            | NA         |                                |                   |
| beta_1   | 0            | NA         |                                |                   |
| beta_2   | 4.00402e-009 | 1.495e-008 | -2.52974e-008                  | 3.33054e-008      |

NA - Indicates that this parameter has hit a bound implied by some inequality constraint and thus has no standard error.

```

Log(likelihood) # Param AIC
Fitted Model -19.967 4 47.9339

```

| Conc | Data Summary Class |   |    |   | Total | Expected Response |
|------|--------------------|---|----|---|-------|-------------------|
|      | C                  | F | I  | U |       |                   |
| 0    | 23                 | 0 | 0  | 0 | 23    | 0.00              |
| 0.25 | 24                 | 0 | 0  | 0 | 24    | 1.92              |
| 1    | 8                  | 0 | 18 | 0 | 26    | 16.04             |
| 4.3  | 5                  | 0 | 18 | 0 | 23    | 18.22             |

```

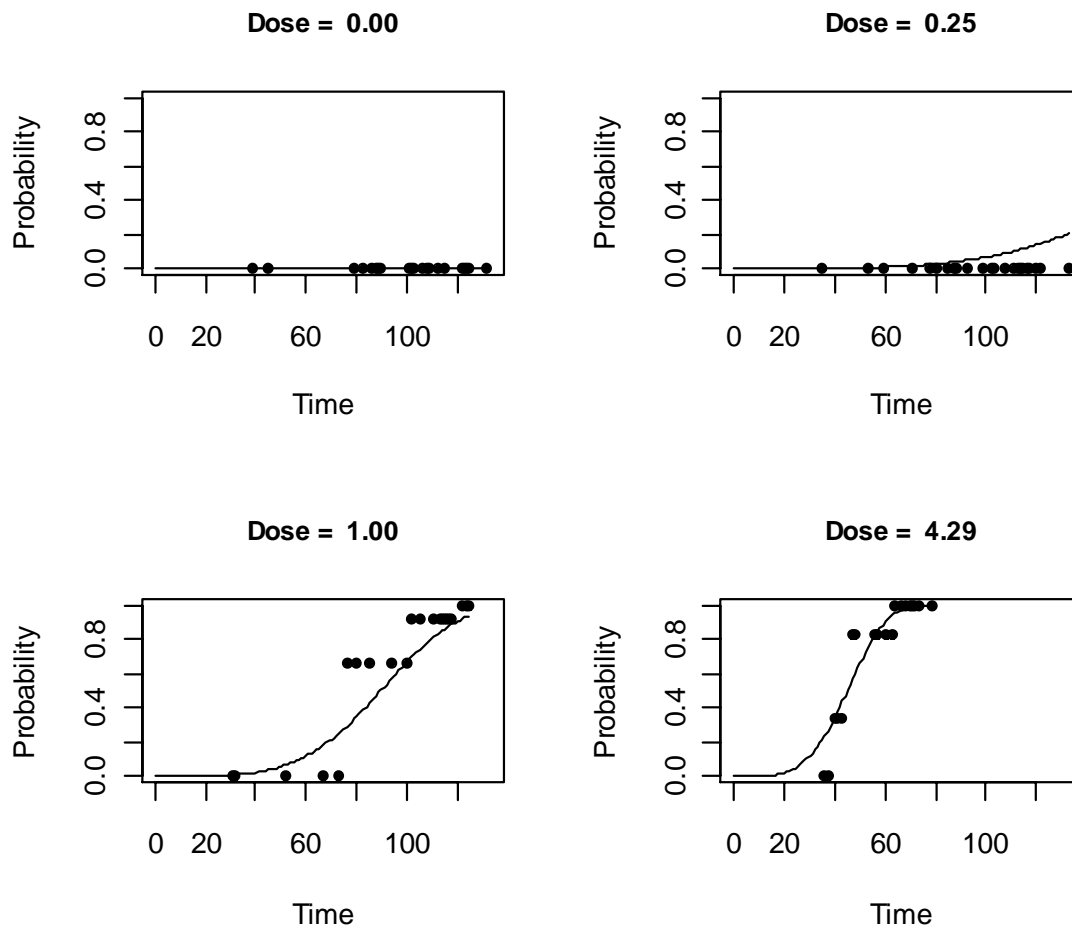
Benchmark Dose Computation
Risk Response = Incidental
Risk Type = Extra

```

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Specified effect = 0.1  
Confidence level = 0.9  
Time = 104  
BMD = 0.284958  
BMDL = 0.197807  
BMDU = 0.350247

### Incidental Risk: BaP-Thyssen\_inc2st



12

# Output for Oral tract tumors: all tumors considered to be cause of death

```

=====
Multistage Weibull Model. (Version: 1.6.1; Date: 11/24/2009)
Solutions are obtained using donlp2-intv, (c) by P. Spellucci
Input Data File: C:\msw\benzo[a]pyrene-Thyssen_allfatal_noU_3st.(d)
=====

```

```

The form of the probability function is:
P[response] = 1-EXP{-(t - t_0)^c *
(beta_0+beta_1*dose^1+beta_2*dose^2+beta_3*dose^3)}

```

The parameter betas are restricted to be positive

Dependent variable = Class  
Independent variables = Conc, Time

Total number of observations = 96  
Total number of records with missing values = 0  
Total number of parameters in model = 6  
Total number of specified parameters = 1  
Degree of polynomial = 3

User specifies the following parameters:

t\_0 = 0

Maximum number of iterations = 32  
Relative Function Convergence has been set to: 1e-008  
Parameter Convergence has been set to: 1e-008

```

Default Initial Parameter Values
c = 4.5
t_0 = 0 Specified
beta_0 = 0
beta_1 = 1.37501e-010
beta_2 = 2.84027e-010
beta_3 = 1.44668e-037

```

Asymptotic Correlation Matrix of Parameter Estimates  
( \*\*\* The model parameter(s) -t\_0 -beta\_0 -beta\_1 -beta\_2  
have been estimated at a boundary point, or have been specified by the user,  
and do not appear in the correlation matrix )

|        | c  | beta_3 |
|--------|----|--------|
| c      | 1  | -1     |
| beta_3 | -1 | 1      |

| Variable | Estimate     | Std. Err.    | 95.0% Wald Confidence Interval |                   |
|----------|--------------|--------------|--------------------------------|-------------------|
|          |              |              | Lower Conf. Limit              | Upper Conf. Limit |
| c        | 8.95016      | 0.896607     | 7.19284                        | 10.7075           |
| beta_0   | 0            | NA           |                                |                   |
| beta_1   | 0            | NA           |                                |                   |
| beta_2   | 0            | NA           |                                |                   |
| beta_3   | 3.43452e-019 | 1.39727e-018 | -2.39515e-018                  | 3.08205e-018      |

NA - Indicates that this parameter has hit a bound implied by some inequality constraint and thus has no standard error.

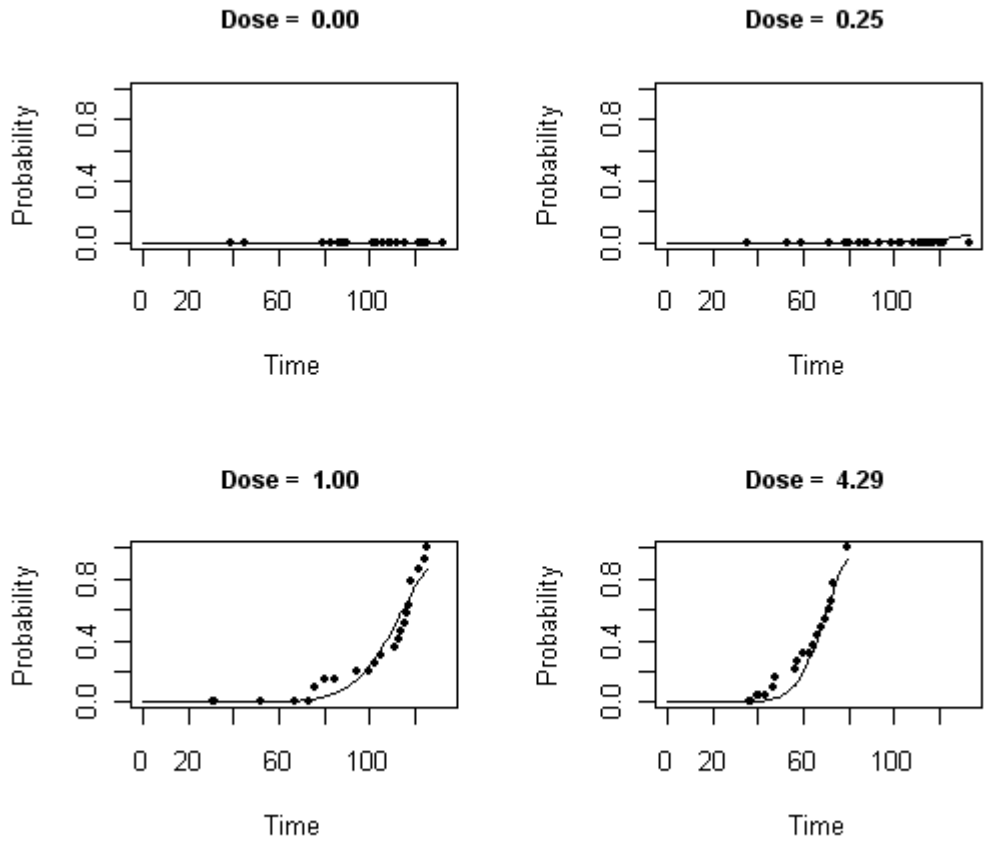
Fitted Model Log(likelihood) # Param AIC  
-144.522 5 299.043

| Conc | Data Summary |    |   |   | Total |
|------|--------------|----|---|---|-------|
|      | C            | F  | I | U |       |
| 0    | 23           | 0  | 0 | 0 | 23    |
| 0.25 | 24           | 0  | 0 | 0 | 24    |
| 1    | 8            | 18 | 0 | 0 | 26    |
| 4.3  | 5            | 18 | 0 | 0 | 23    |

Minimum observation time for F tumor context = 40

1  
 2 Benchmark Dose Computation  
 3 Risk Response = Fatal  
 4 Risk Type = Extra  
 5 Specified effect = 0.1  
 6 Confidence level = 0.9  
 7  
 8 Time = 104  
 9  
 10 BMD = 0.647659  
 11 BMDL = 0.461415  
 12 BMDU = 0.719325  
 13  
 14

**Fatal Risk: BaP-Thyssen\_allfatal\_noU\_3st**



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1 APPENDIX F. BENCHMARK MODELING FOR THE DERMAL SLOPE FACTOR

2

**Table F-1. Summary of model selection and modeling results for best-fitting multistage models, for multiple data sets of skin tumors in mice following dermal benzo[a]pyrene exposure**

| Data Set<br>(See Section 5.4.3.2.,<br>Tables 5-7 to 5-10) | Degree<br>of<br>Model     | df             | Goodness-<br>of-fit<br>p-value | LL <sup>b</sup> | $\chi^2$ <sup>c</sup> | BMD <sub>10</sub><br>( $\mu$ g/d) | BMDL <sub>10</sub><br>( $\mu$ g/d) | Model selection rationale, with best<br>fitting model in boldface <sup>a</sup>                    | Figure<br>number |                                  |
|-----------------------------------------------------------|---------------------------|----------------|--------------------------------|-----------------|-----------------------|-----------------------------------|------------------------------------|---------------------------------------------------------------------------------------------------|------------------|----------------------------------|
| Poel (1959)<br>male C57L                                  | 2                         | 2              | 0.027                          | -91.28          | NR                    | NA                                | NA                                 | (Inadequate fit for 1-2 stage models)                                                             | F-1              |                                  |
|                                                           | <b>3</b>                  | <b>2</b>       | <b>0.053</b>                   | <b>-90.43</b>   | 1.7                   | <b>0.127</b>                      | <b>0.078</b>                       | <b>Most parsimonious fit</b>                                                                      |                  |                                  |
|                                                           | 4                         | 2              | 0.068                          | -90.12          | 0.58                  | 0.122                             | 0.077                              | Improvement in fit not statistically significant over 2-stage fit                                 |                  |                                  |
| Roe et al. (1970)<br>female Swiss                         | 1                         | 5              | 0.110                          | -64.56          | NR                    | 0.299                             | 0.233                              | <b>Most parsimonious fit</b> ; significant improvement over 1-stage fit                           | F-2              |                                  |
|                                                           | <b>2-5</b>                | <b>3</b>       | <b>0.463</b>                   | -58.81          | 11.5                  | <b>0.689</b>                      | <b>0.394</b>                       |                                                                                                   |                  |                                  |
| Schmidt et al. (1973)<br>female NMRI                      | 1                         | 4              | 0.008                          | -80.34          | NR                    | 0.256                             | 0.194                              | <b>Most parsimonious fit</b><br>Improvement in fit not statistically significant over 2-stage fit | F-3              |                                  |
|                                                           | <b>2</b>                  | <b>4</b>       | <b>0.609</b>                   | <b>-72.68</b>   | 15.3                  | <b>0.329</b>                      | <b>0.287</b>                       |                                                                                                   |                  |                                  |
|                                                           | 3                         | 4              | 0.999                          | -70.95          | 3.5                   | 0.381                             | 0.326                              |                                                                                                   |                  |                                  |
| Schmidt et al. (1973)<br>female Swiss                     | 1                         | 4              | <0.01                          | -87.99          | NR                    | 0.116                             | 0.093                              | <b>Most parsimonious fit</b> ; significant improvement over 2-stage fit                           | F-4              |                                  |
|                                                           | 2                         | 4              | 0.514                          | -75.66          | 24.7                  | 0.216                             | 0.192                              |                                                                                                   |                  |                                  |
|                                                           | <b>3-4</b>                | <b>3</b>       | <b>0.983</b>                   | <b>-73.66</b>   | 4.0                   | <b>0.282</b>                      | <b>0.223</b>                       |                                                                                                   |                  |                                  |
| Schmähl et al. (1977)<br>female NMRI                      | 1                         | 2              | 0.136                          | -147.20         | NR                    | 0.140                             | 0.117                              | <b>Most parsimonious fit</b> ; significant improvement over 1-stage fit                           | F-5              |                                  |
|                                                           | <b>2</b>                  | <b>1</b>       | <b>0.939</b>                   | -145.13         | 4.14                  | <b>0.233</b>                      | <b>0.149</b>                       |                                                                                                   |                  |                                  |
| Habs et al. (1980)<br>female NMRI                         | 2                         | 3              | 0.009                          | -41.18          | NR                    | NA                                | NA                                 | (Inadequate fit for 1-2 stage models)                                                             | F-6              |                                  |
|                                                           | <b>3</b>                  | <b>3</b>       | <b>0.207</b>                   | <b>-37.34</b>   | 7.7                   | <b>0.294</b>                      | <b>0.215</b>                       | <b>Most parsimonious fit</b>                                                                      |                  |                                  |
| Habs et al. (1984)<br>female NMRI                         | <b>1</b>                  | <b>2</b>       | <b>0.577</b>                   | <b>-22.78</b>   | NR                    | <b>0.078</b>                      | <b>0.056</b>                       | <b>Most parsimonious fit</b>                                                                      | F-7              |                                  |
|                                                           | 2                         | 1              | 1.000                          | -22.22          | 1.1                   | 0.171                             | 0.060                              |                                                                                                   |                  |                                  |
| Grimmer et al. (1983)<br>female CFLP                      | <b>1</b>                  | <b>3</b>       | <b>0.850</b>                   | <b>-108.94</b>  | NR                    | <b>0.245</b>                      | <b>0.208</b>                       | <b>Most parsimonious fit</b><br>Improvement in fit not statistically significant over 1-stage fit | F-8              |                                  |
|                                                           | 2-3                       | 2              | 0.972                          | -108.56         | 0.76                  | 0.292                             | 0.213                              |                                                                                                   |                  |                                  |
| Grimmer et al. (1984),<br>female CFLP                     | Multistage                | 1-3            | 3                              | 0.003           | 205.3 <sup>b</sup>    | NR                                | NA                                 | NA                                                                                                | Inadequate fit   | F-9                              |
|                                                           | Other: <b>LogLogistic</b> | <b>2</b>       | <b>0.919</b>                   | <b>195.8</b>    | <b>NR</b>             | <b>1.07</b>                       | <b>0.479</b>                       | <b>Best fit</b> ; slope parameter unrestricted                                                    | F-10             |                                  |
|                                                           |                           | Dich.-Hill     | 1                              | 1.000           | 197.7                 | NR                                | 0.902                              | 0.533                                                                                             |                  | Slope parameter unrestricted     |
|                                                           |                           | LogProbit      | 3                              | 0.047           | 200.2                 | NR                                | NA                                 | NA                                                                                                |                  | Inadequate fit                   |
|                                                           |                           | Gamma, Weibull | —                              | —               | —                     | —                                 | —                                  | —                                                                                                 |                  | Same model as multistage (above) |
|                                                           |                           | Logistic       | 2                              | <0.01           | 250.5                 | NR                                | NA                                 | NA                                                                                                |                  | Inadequate fit                   |
|                                                           | Probit                    | 2              | <0.01                          | 255.4           | NR                    | NA                                | NA                                 | Inadequate fit                                                                                    |                  |                                  |
| Multistage, high dose dropped <sup>d</sup>                | 1-2                       | 2              | <b>0.499</b>                   | NR              | NR                    | <b>0.106</b>                      | <b>0.088</b>                       | <b>Best fit from multistage model</b>                                                             | F-11             |                                  |
| Sivak et al. (1997)<br>male CeH/HeJ                       | 1                         | 3              | 0.059                          | -27.92          |                       | 0.036                             | 0.026                              | <b>Most parsimonious fit</b>                                                                      | F-12             |                                  |
|                                                           | <b>2-3</b>                | <b>3</b>       | <b>0.998</b>                   | <b>-23.30</b>   | <b>9.2</b>            | <b>0.109</b>                      | <b>0.058</b>                       |                                                                                                   |                  |                                  |

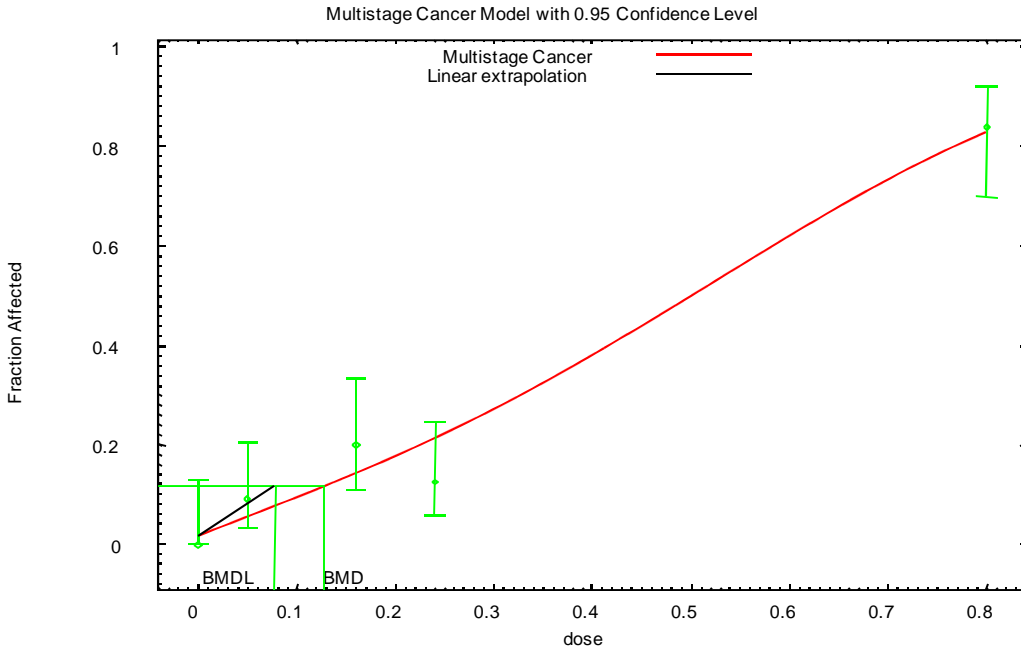
<sup>a</sup> Adequate fit: goodness-of-fit  $p > 0.05$ , scaled residuals  $< 2.0$ , good fit near BMR, lack of extreme curvature not reflected in the observed data.

<sup>b</sup> LL=Log-likelihood; values for Grimmer et al. (1984) are AICs, in order to compare across models.

<sup>c</sup>  $\chi^2 = 2 \times |(LL_i - LL_j)|$ , where i and j are consecutive numbers of stages. The test was evaluated for 1 degree of freedom (df).  $\chi^2$  for 1 df at  $\alpha = 0.05$  is 3.84.

<sup>d</sup> The preferred multistage model did not adequately fit the data for Grimmer et al. (1984), thus, the remaining suite of models were fit to the data. The POD for Grimmer et al. (1984) was based on the LogLogistic model. For comparison purposes, the multistage model was fit to these data for Grimmer et al. (1984) with the highest dose dropped.

1 **Figure F-1. Fit of multistage model to skin tumors in C57L mice exposed**  
 2 **dermally to benzo[a]pyrene (Poel, 1959); graph and model output.**  
 3



```
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5 =====
6 Multistage Cancer Model. (Version: 1.7; Date: 05/16/2008)
7 Input Data File: C:\Usepa\BMDS21\Data\msc_benzo[a]pyrene_Poel_1959_MultiCanc3_0.1.(d)
8 Gnuplot Plotting File:
9 C:\Usepa\BMDS21\Data\msc_benzo[a]pyrene_Poel_1959_MultiCanc3_0.1.plt
10
```

```
11 =====
12 [add notes here]
13 ~~~~~
```

16 The form of the probability function is:

$$17 P[\text{response}] = \text{background} + (1-\text{background}) * [1 - \text{EXP}(\text{-beta1*dose}^1 - \text{beta2*dose}^2 - \text{beta3*dose}^3)]$$

21 The parameter betas are restricted to be positive

24 Dependent variable = NumAff  
 25 Independent variable = LADD

```
26
27 Total number of observations = 5
28 Total number of records with missing values = 0
29 Total number of parameters in model = 4
30 Total number of specified parameters = 0
31 Degree of polynomial = 3
32
```

```
33
34 Maximum number of iterations = 250
35 Relative Function Convergence has been set to: 1e-008
36 Parameter Convergence has been set to: 1e-008
37
```

```
38
39
40 Default Initial Parameter Values
41 Background = 0.0449589
42 Beta(1) = 0.490451
43 Beta(2) = 0
44 Beta(3) = 2.68146
45
```

```
46
47 Asymptotic Correlation Matrix of Parameter Estimates
48
```

1 ( \*\*\* The model parameter(s) -Beta(2)  
 2 have been estimated at a boundary point, or have been specified by the user,  
 3 and do not appear in the correlation matrix )  
 4

5

|              | Background | Beta(1) | Beta(3) |
|--------------|------------|---------|---------|
| 6 Background | 1          | -0.87   | 0.74    |
| 7 Beta(1)    | -0.87      | 1       | -0.92   |
| 8 Beta(3)    | 0.74       | -0.92   | 1       |

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Parameter Estimates

| Variable   | Estimate  | Std. Err. | 95.0% Wald Confidence Interval |                   |
|------------|-----------|-----------|--------------------------------|-------------------|
|            |           |           | Lower Conf. Limit              | Upper Conf. Limit |
| Background | 0.0176699 | *         | *                              | *                 |
| Beta(1)    | 0.79766   | *         | *                              | *                 |
| Beta(2)    | 0         | *         | *                              | *                 |
| Beta(3)    | 2.17146   | *         | *                              | *                 |

\* - Indicates that this value is not calculated.

Analysis of Deviance Table

| Model         | Log(likelihood) | # Param's | Deviance | Test d.f. | P-value |
|---------------|-----------------|-----------|----------|-----------|---------|
| Full model    | -87.1835        | 5         |          |           |         |
| Fitted model  | -90.4265        | 3         | 6.48606  | 2         | 0.03905 |
| Reduced model | -141.614        | 1         | 108.86   | 4         | <.0001  |

AIC: 186.853

Goodness of Fit

| Dose   | Est._Prob. | Expected | Observed | Size | Scaled Residual |
|--------|------------|----------|----------|------|-----------------|
| 0.0000 | 0.0177     | 0.583    | 0.000    | 33   | -0.770          |
| 0.0500 | 0.0563     | 3.098    | 5.000    | 55   | 1.112           |
| 0.1600 | 0.1430     | 7.866    | 11.000   | 55   | 1.207           |
| 0.2400 | 0.2128     | 11.917   | 7.000    | 56   | -1.605          |
| 0.8000 | 0.8293     | 40.635   | 41.000   | 49   | 0.139           |

Chi^2 = 5.88      d.f. = 2      P-value = 0.0528

Benchmark Dose Computation

Specified effect = 0.1

Risk Type = Extra risk

Confidence level = 0.95

BMD = 0.126567

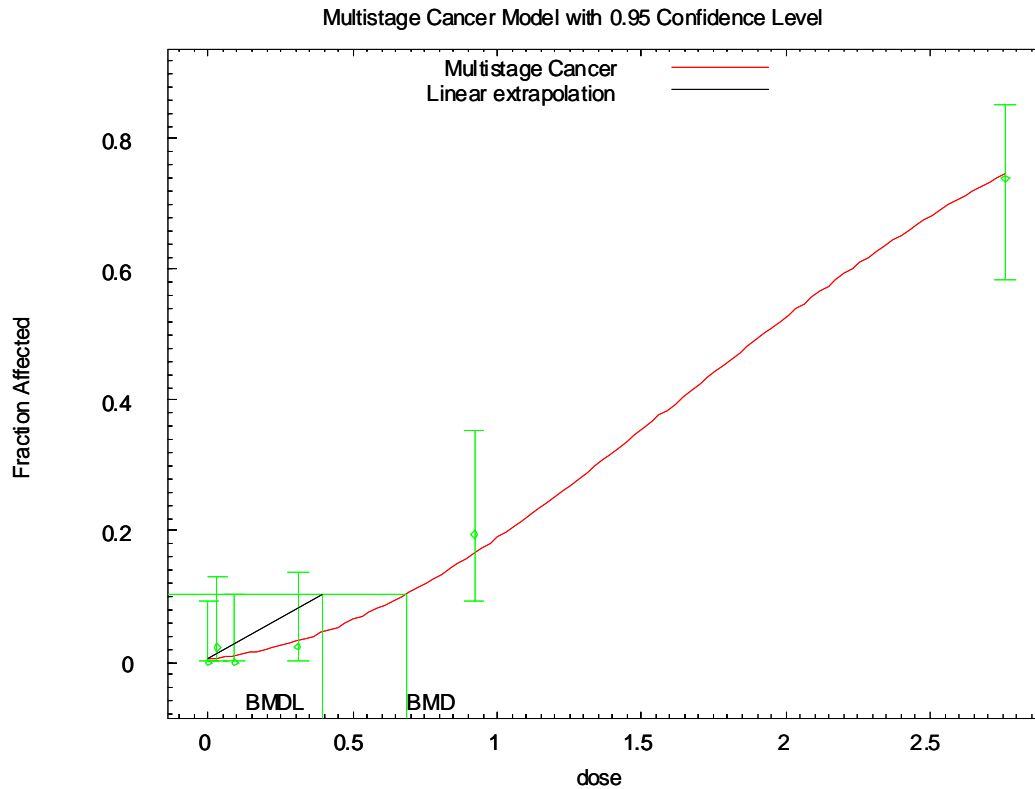
BMDL = 0.0777875

BMDU = 0.272961

Taken together, (0.0777875, 0.272961) is a 90 % two-sided confidence interval for the BMD

Multistage Cancer Slope Factor = 1.28555

1  
2 **Figure F-2. Fit of multistage model to skin tumors in female Swiss mice**  
3 **exposed dermally to benzo[a]pyrene (Roe et al., 1970); graph and model**  
4 **output.**  
5  
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29 =====  
30 Multistage Cancer Model. (Version: 1.7; Date: 05/16/2008)  
31 Input Data File: C:\Usepa\BMS21\Data\msc\_benzo[a]pyrene\_Roe\_1970\_Setting.(d)  
32 Gnuplot Plotting File: C:\Usepa\BMS21\Data\msc\_benzo[a]pyrene\_Roe\_1970\_Setting.plt  
33  
34 =====

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36 BMS2 Model Run

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38 ~~~~~  
39 The form of the probability function is:

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41 
$$P[\text{response}] = \text{background} + (1 - \text{background}) * [1 - \text{EXP}(-\text{beta1} * \text{dose}^1 - \text{beta2} * \text{dose}^2 - \text{beta3} * \text{dose}^3 - \text{beta4} * \text{dose}^4 - \text{beta5} * \text{dose}^5)]$$

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43  
44 The parameter betas are restricted to be positive

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46  
47 Dependent variable = tumors  
48 Independent variable = LADD

49  
50 Total number of observations = 6  
51 Total number of records with missing values = 0  
52 Total number of parameters in model = 6  
53 Total number of specified parameters = 0  
54 Degree of polynomial = 5

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57 Maximum number of iterations = 250  
58 Relative Function Convergence has been set to: 1e-008  
59 Parameter Convergence has been set to: 1e-008

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62 Default Initial Parameter Values  
63 Background = 0  
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Beta(1) = 0.0962491  
 Beta(2) = 0.141689  
 Beta(3) = 0  
 Beta(4) = 0  
 Beta(5) = 0

Asymptotic Correlation Matrix of Parameter Estimates

( \*\*\* The model parameter(s) -Beta(3) -Beta(4) -Beta(5)  
 have been estimated at a boundary point, or have been specified by the user,  
 and do not appear in the correlation matrix )

|            | Background | Beta(1) | Beta(2) |
|------------|------------|---------|---------|
| Background | 1          | -0.57   | 0.45    |
| Beta(1)    | -0.57      | 1       | -0.94   |
| Beta(2)    | 0.45       | -0.94   | 1       |

Parameter Estimates

| Variable   | Estimate   | Std. Err. | 95.0% Wald Confidence Interval |                   |
|------------|------------|-----------|--------------------------------|-------------------|
|            |            |           | Lower Conf. Limit              | Upper Conf. Limit |
| Background | 0.00584893 | *         | *                              | *                 |
| Beta(1)    | 0.0379152  | *         | *                              | *                 |
| Beta(2)    | 0.166839   | *         | *                              | *                 |
| Beta(3)    | 0          | *         | *                              | *                 |
| Beta(4)    | 0          | *         | *                              | *                 |
| Beta(5)    | 0          | *         | *                              | *                 |

\* - Indicates that this value is not calculated.

Analysis of Deviance Table

| Model         | Log(likelihood) | # Param's | Deviance | Test d.f. | P-value |
|---------------|-----------------|-----------|----------|-----------|---------|
| Full model    | -56.1835        | 6         |          |           |         |
| Fitted model  | -57.5694        | 3         | 2.77176  | 3         | 0.4282  |
| Reduced model | -118.948        | 1         | 125.529  | 5         | <.0001  |

AIC: 121.139

Goodness of Fit

| Dose   | Est._Prob. | Expected | Observed | Size | Scaled Residual |
|--------|------------|----------|----------|------|-----------------|
| 0.0000 | 0.0058     | 0.275    | 0.000    | 47   | -0.526          |
| 0.0300 | 0.0071     | 0.321    | 1.000    | 45   | 1.204           |
| 0.0900 | 0.0106     | 0.444    | 0.000    | 42   | -0.670          |
| 0.3100 | 0.0331     | 1.423    | 1.000    | 43   | -0.361          |
| 0.9200 | 0.1664     | 6.821    | 8.000    | 41   | 0.494           |
| 2.7600 | 0.7488     | 34.444   | 34.000   | 46   | -0.151          |

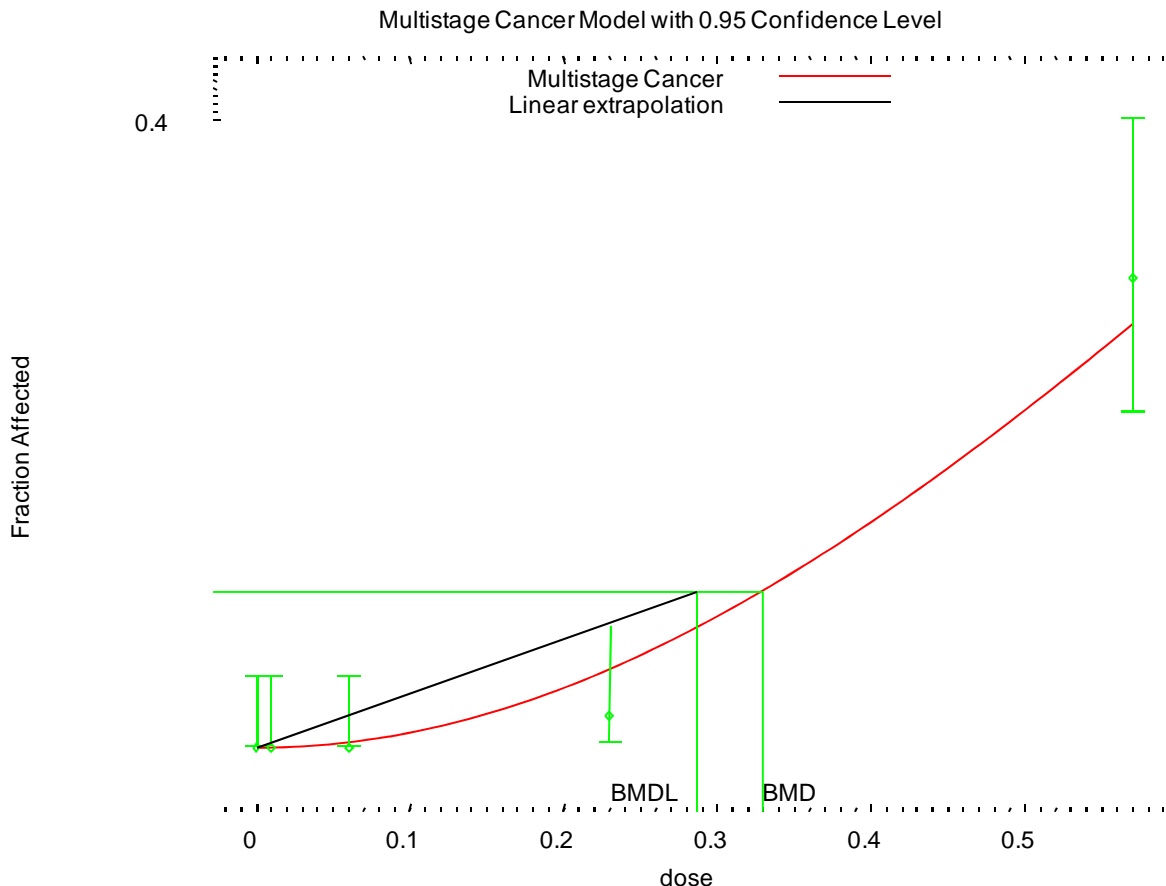
Chi^2 = 2.57      d.f. = 3      P-value = 0.4626

Benchmark Dose Computation

Specified effect = 0.1  
 Risk Type = Extra risk  
 Confidence level = 0.95  
 BMD = 0.689131  
 BMDL = 0.393806  
 BMDU = 0.952365  
 Taken together, (0.393806, 0.952365) is a 90 % two-sided confidence interval for the BMD  
 Multistage Cancer Slope Factor = 0.253932

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**Figure F-3. Fit of multistage model to skin tumors in female NMRI mice exposed dermally to benzo[a]pyrene (Schmidt et al., 1973); graph and model output.**



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```

=====
Multistage Cancer Model. (Version: 1.7; Date: 05/16/2008)
Input Data File:
C:\USEPA\IRIS\benzo[a]pyrene\dermalslopefactor\Schmidt1973femaleNMRI\2MulSchMS_.(d)
Gnuplot Plotting File:
C:\USEPA\IRIS\benzo[a]pyrene\dermalslopefactor\Schmidt1973femaleNMRI\2MulSchMS_.plt
=====

BMSD Model Run
~~~~~

The form of the probability function is:
P[response] = background + (1-background)*[1-EXP(
-betal*dose^1-beta2*dose^2)]

The parameter betas are restricted to be positive

Dependent variable = incidence
Independent variable = dose

Total number of observations = 5
Total number of records with missing values = 0
Total number of parameters in model = 3
Total number of specified parameters = 0
Degree of polynomial = 2

Maximum number of iterations = 250
Relative Function Convergence has been set to: 2.22045e-016
Parameter Convergence has been set to: 1.49012e-008

```

\*\*\*\* We are sorry but Relative Function and Parameter Convergence \*\*\*\*  
 \*\*\*\* are currently unavailable in this model. Please keep checking \*\*\*\*  
 \*\*\*\* the web sight for model updates which will eventually \*\*\*\*  
 \*\*\*\* incorporate these convergence criterion. Default values used. \*\*\*\*

Default Initial Parameter Values

Background = 0  
 Beta(1) = 0  
 Beta(2) = 1.11271

Asymptotic Correlation Matrix of Parameter Estimates

( \*\*\* The model parameter(s) -Background -Beta(1)  
 have been estimated at a boundary point, or have been specified by the user,  
 and do not appear in the correlation matrix )

Beta(2)  
 Beta(2) 1

Parameter Estimates

| Variable   | Estimate | Std. Err. | 95.0% Wald Confidence Interval |                   |
|------------|----------|-----------|--------------------------------|-------------------|
|            |          |           | Lower Conf. Limit              | Upper Conf. Limit |
| Background | 0        | *         | *                              | *                 |
| Beta(1)    | 0        | *         | *                              | *                 |
| Beta(2)    | 0.970648 | *         | *                              | *                 |

\* - Indicates that this value is not calculated.

Analysis of Deviance Table

| Model         | Log(likelihood) | # Param's | Deviance | Test d.f. | P-value |
|---------------|-----------------|-----------|----------|-----------|---------|
| Full model    | -70.8903        | 5         |          |           |         |
| Fitted model  | -72.6831        | 1         | 3.58562  | 4         | 0.465   |
| Reduced model | -118.917        | 1         | 96.054   | 4         | <.0001  |

AIC: 147.366

Goodness of Fit

| Dose   | Est._Prob. | Expected | Observed | Size | Scaled Residual |
|--------|------------|----------|----------|------|-----------------|
| 0.0000 | 0.0000     | 0.000    | 0.000    | 100  | 0.000           |
| 0.0100 | 0.0001     | 0.010    | 0.000    | 100  | -0.099          |
| 0.0600 | 0.0035     | 0.349    | 0.000    | 100  | -0.592          |
| 0.2300 | 0.0501     | 5.005    | 2.000    | 100  | -1.378          |
| 0.5700 | 0.2705     | 27.048   | 30.000   | 100  | 0.665           |

Chi^2 = 2.70 d.f. = 4 P-value = 0.6091

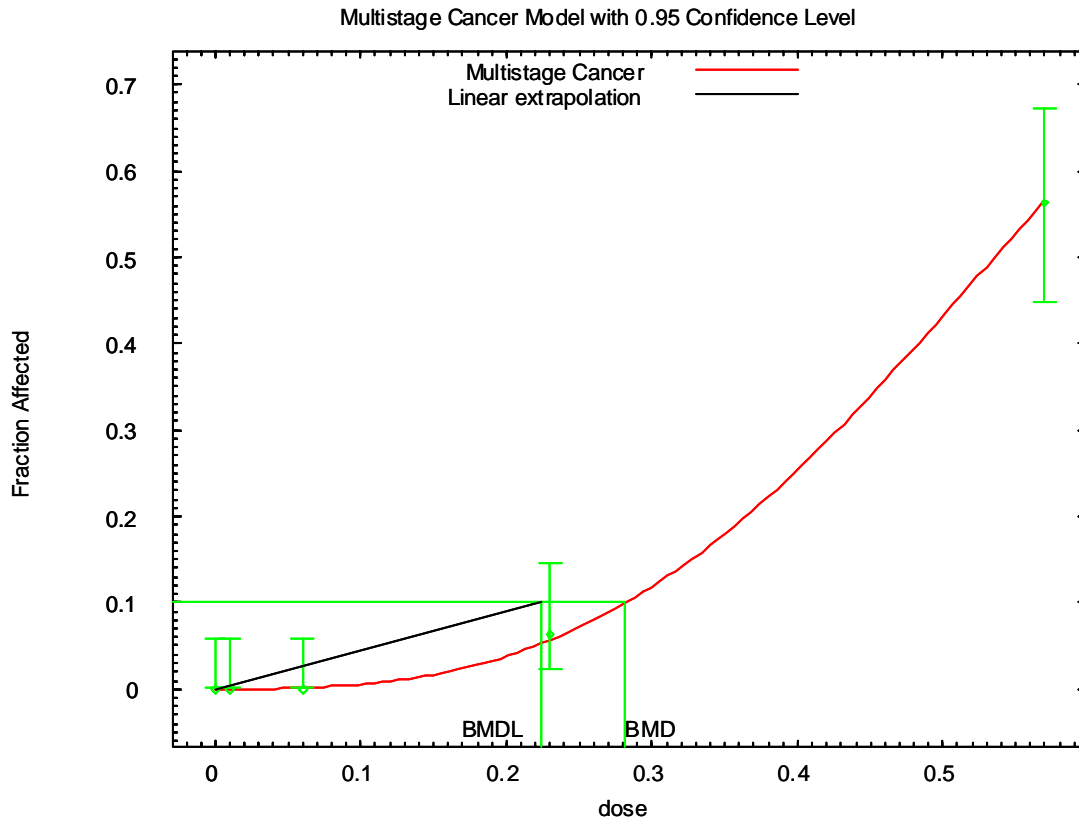
Benchmark Dose Computation

Specified effect = 0.1  
 Risk Type = Extra risk  
 Confidence level = 0.95  
 BMD = 0.329464  
 BMDL = 0.286624  
 BMDU = 0.384046

Taken together, (0.286624, 0.384046) is a 90 % two-sided confidence interval for the BMD

Multistage Cancer Slope Factor = 0.348889

1 **Figure F-4. Fit of multistage model to skin tumors in female Swiss mice**  
 2 **exposed dermally to benzo[a]pyrene (Schmidt et al., 1973); graph and model**  
 3 **output.**  
 4  
 5



```

  34 =====
  35      Multistage Cancer Model. (Version: 1.7; Date: 05/16/2008)
  36      Input Data File:
  37      C:\USEPA\IRIS\benzo[a]pyrene\dermalslopefactor\Schmidt1973swissmice\3MulSchMS_.(d)
  38      Gnuplot Plotting File:
  39      C:\USEPA\IRIS\benzo[a]pyrene\dermalslopefactor\Schmidt1973swissmice\3MulSchMS_.plt
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BMSD Model Run

The form of the probability function is:

$$P[\text{response}] = \text{background} + (1-\text{background}) * [1 - \text{EXP}(-\text{beta1} * \text{dose}^1 - \text{beta2} * \text{dose}^2 - \text{beta3} * \text{dose}^3)]$$

The parameter betas are restricted to be positive

Dependent variable = incidence  
 Independent variable = dose

Total number of observations = 5  
 Total number of records with missing values = 0  
 Total number of parameters in model = 4  
 Total number of specified parameters = 0  
 Degree of polynomial = 3

Maximum number of iterations = 250  
 Relative Function Convergence has been set to: 2.22045e-016  
 Parameter Convergence has been set to: 1.49012e-008

```

  67 **** We are sorry but Relative Function and Parameter Convergence ****
  68 **** are currently unavailable in this model. Please keep checking ****
  69 **** the web sight for model updates which will eventually ****
  
```



\*\*\*\* incorporate these convergence criterion. Default values used. \*\*\*\*

Default Initial Parameter Values

Background = 0  
Beta(1) = 0  
Beta(2) = 0.338951  
Beta(3) = 3.8728

Asymptotic Correlation Matrix of Parameter Estimates

( \*\*\* The model parameter(s) -Background -Beta(1)  
have been estimated at a boundary point, or have been specified by the user,  
and do not appear in the correlation matrix )

|         | Beta(2) | Beta(3) |
|---------|---------|---------|
| Beta(2) | 1       | -0.99   |
| Beta(3) | -0.99   | 1       |

Parameter Estimates

| Variable   | Estimate | Std. Err. | 95.0% Wald Confidence Interval |                   |
|------------|----------|-----------|--------------------------------|-------------------|
|            |          |           | Lower Conf. Limit              | Upper Conf. Limit |
| Background | 0        | *         | *                              | *                 |
| Beta(1)    | 0        | *         | *                              | *                 |
| Beta(2)    | 0.108125 | *         | *                              | *                 |
| Beta(3)    | 4.31441  | *         | *                              | *                 |

\* - Indicates that this value is not calculated.

Analysis of Deviance Table

| Model         | Log(likelihood) | # Param's | Deviance | Test d.f. | P-value |
|---------------|-----------------|-----------|----------|-----------|---------|
| Full model    | -73.5285        | 5         |          |           |         |
| Fitted model  | -73.6628        | 2         | 0.268637 | 3         | 0.9658  |
| Reduced model | -150.708        | 1         | 154.359  | 4         | <.0001  |

AIC: 151.326

Goodness of Fit

| Dose   | Est._Prob. | Expected | Observed | Size | Scaled Residual |
|--------|------------|----------|----------|------|-----------------|
| 0.0000 | 0.0000     | 0.000    | 0.000    | 80   | 0.000           |
| 0.0100 | 0.0000     | 0.001    | 0.000    | 80   | -0.035          |
| 0.0600 | 0.0013     | 0.106    | 0.000    | 80   | -0.325          |
| 0.2300 | 0.0566     | 4.524    | 5.000    | 80   | 0.230           |
| 0.5700 | 0.5657     | 45.260   | 45.000   | 80   | -0.059          |

Chi^2 = 0.16      d.f. = 3      P-value = 0.9833

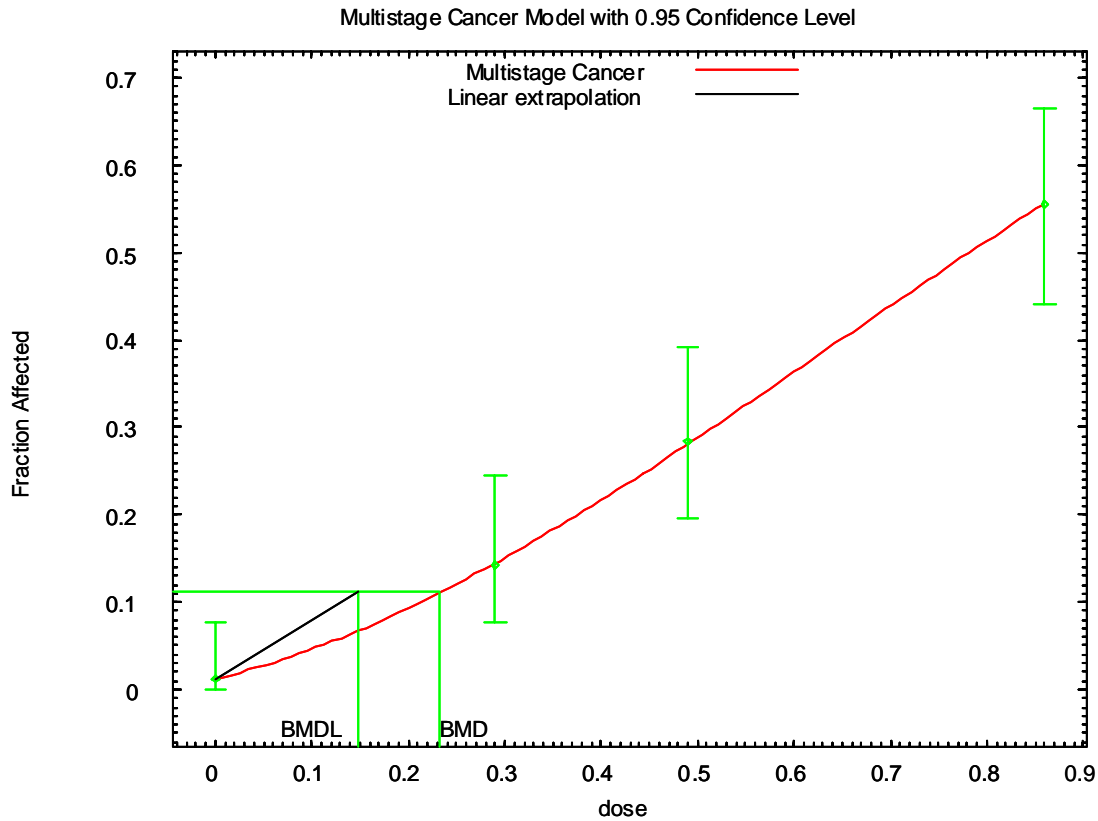
Benchmark Dose Computation

Specified effect = 0.1  
Risk Type = Extra risk  
Confidence level = 0.95  
BMD = 0.282007  
BMDL = 0.223401  
BMDU = 0.309888

Taken together, (0.223401, 0.309888) is a 90 % two-sided confidence interval for the BMD

Multistage Cancer Slope Factor = 0.447626

1 **Figure F-5. Fit of multistage model to skin tumors in female NMRI mice**  
 2 **exposed dermally to benzo[a]pyrene (Schmähl et al., 1977); graph and model**  
 3 **output.**  
 4  
 5



```

35 =====
36 Multistage Cancer Model. (Version: 1.7; Date: 05/16/2008)
37 Input Data File:
38 C:\USEPA\IRIS\benzo[a]pyrene\dermalslopefactor\Schmah1977femaleNMRI\2MulschMS_.(d)
39 Gnuplot Plotting File:
40 C:\USEPA\IRIS\benzo[a]pyrene\dermalslopefactor\Schmah1977femaleNMRI\2MulschMS_.plt
41 =====
42
43 BMDS Model Run
44 ~~~~~
45
46 The form of the probability function is:
47
48 P[response] = background + (1-background)*[1-EXP(
49 -beta1*dose^1-beta2*dose^2)]
50
51 The parameter betas are restricted to be positive
52
53
54 Dependent variable = incidence
55 Independent variable = dose
56
57 Total number of observations = 4
58 Total number of records with missing values = 0
59 Total number of parameters in model = 3
60 Total number of specified parameters = 0
61 Degree of polynomial = 2
62
63
64 Maximum number of iterations = 250
65 Relative Function Convergence has been set to: 2.22045e-016
66 Parameter Convergence has been set to: 1.49012e-008
67
68 **** We are sorry but Relative Function and Parameter Convergence ****
69 **** are currently unavailable in this model. Please keep checking ****
70 **** the web sight for model updates which will eventually ****
  
```

\*\*\*\* incorporate these convergence criterion. Default values used. \*\*\*\*

Default Initial Parameter Values

Background = 0.0115034  
Beta(1) = 0.284955  
Beta(2) = 0.750235

Asymptotic Correlation Matrix of Parameter Estimates

|            | Background | Beta(1) | Beta(2) |
|------------|------------|---------|---------|
| Background | 1          | -0.67   | 0.47    |
| Beta(1)    | -0.67      | 1       | -0.94   |
| Beta(2)    | 0.47       | -0.94   | 1       |

Parameter Estimates

| Variable   | Estimate  | Std. Err. | 95.0% Wald Confidence Interval |                   |
|------------|-----------|-----------|--------------------------------|-------------------|
|            |           |           | Lower Conf. Limit              | Upper Conf. Limit |
| Background | 0.0123066 | *         | *                              | *                 |
| Beta(1)    | 0.274413  | *         | *                              | *                 |
| Beta(2)    | 0.764244  | *         | *                              | *                 |

\* - Indicates that this value is not calculated.

Analysis of Deviance Table

| Model         | Log(likelihood) | # Param's | Deviance   | Test d.f. | P-value |
|---------------|-----------------|-----------|------------|-----------|---------|
| Full model    | -145.127        | 4         |            |           |         |
| Fitted model  | -145.13         | 3         | 0.00579898 | 1         | 0.9393  |
| Reduced model | -184.158        | 1         | 78.0608    | 3         | <.0001  |

AIC: 296.261

Goodness of Fit

| Dose   | Est._Prob. | Expected | Observed | Size | Scaled Residual |
|--------|------------|----------|----------|------|-----------------|
| 0.0000 | 0.0123     | 0.997    | 1.000    | 81   | 0.003           |
| 0.2900 | 0.1446     | 11.137   | 11.000   | 77   | -0.045          |
| 0.4900 | 0.2813     | 24.756   | 25.000   | 88   | 0.058           |
| 0.8600 | 0.5567     | 45.096   | 45.000   | 81   | -0.022          |

Chi^2 = 0.01      d.f. = 1      P-value = 0.9393

Benchmark Dose Computation

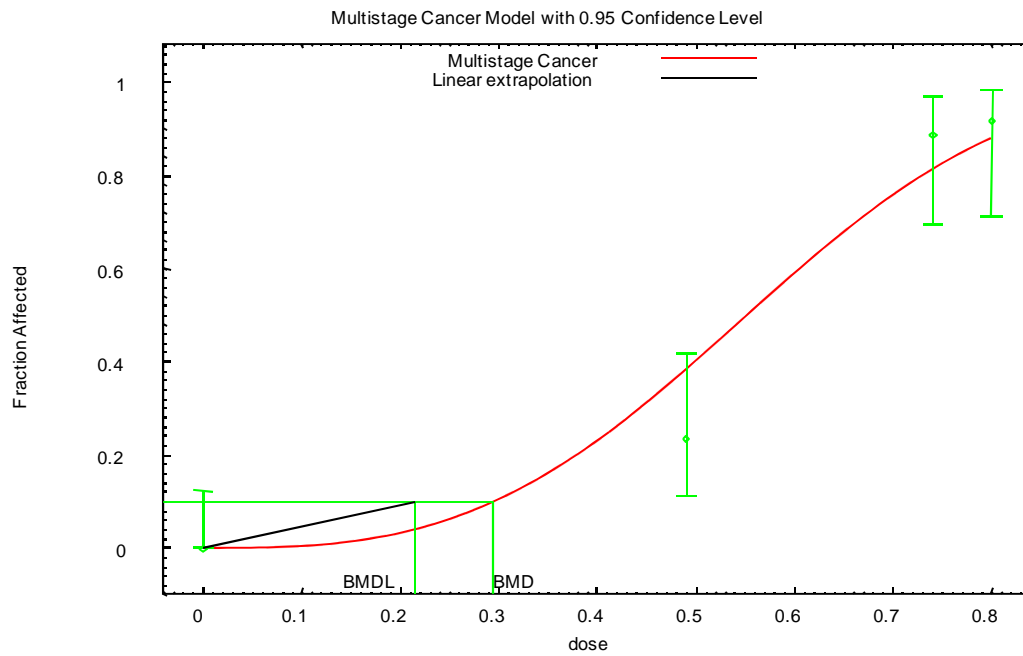
Specified effect = 0.1  
Risk Type = Extra risk  
Confidence level = 0.95  
BMD = 0.232893  
BMDL = 0.148895  
BMDU = 0.320396

Taken together, (0.148895, 0.320396) is a 90 % two-sided confidence interval for the BMD

Multistage Cancer Slope Factor = 0.671616

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**Figure F-6. Fit of multistage model to skin tumors in female NMRI mice exposed dermally to benzo[a]pyrene (Habs et al., 1980); graph and model output.**



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Multistage Cancer Model. (Version: 1.7; Date: 05/16/2008)
Input Data File: M:\_BMDS\msc_BAP_HABS1980_MultiCanc3_0.1.(d)
Gnuplot Plotting File: M:\_BMDS\msc_BAP_HABS1980_MultiCanc3_0.1.plt
=====
```

BMDS Model Run

The form of the probability function is:

$$P[\text{response}] = \text{background} + (1-\text{background}) * [1 - \text{EXP}(-\text{beta1} * \text{dose}^1 - \text{beta2} * \text{dose}^2 - \text{beta3} * \text{dose}^3)]$$

The parameter betas are restricted to be positive

Dependent variable = NumAff  
Independent variable = LADD

Total number of observations = 4  
Total number of records with missing values = 0  
Total number of parameters in model = 4  
Total number of specified parameters = 0  
Degree of polynomial = 3

Maximum number of iterations = 250  
Relative Function Convergence has been set to: 1e-008  
Parameter Convergence has been set to: 1e-008

Default Initial Parameter Values

Background = 0  
Beta(1) = 0  
Beta(2) = 4.23649  
Beta(3) = 0

Asymptotic Correlation Matrix of Parameter Estimates

( \*\*\* The model parameter(s) -Background -Beta(1) -Beta(2)  
have been estimated at a boundary point, or have been specified  
by the user, and do not appear in the correlation matrix )

Beta(3)

|         |   |
|---------|---|
| Beta(3) | 1 |
|---------|---|

Parameter Estimates

| Interval    | Variable   | Estimate | Std. Err. | 95.0% Wald Confidence |       |
|-------------|------------|----------|-----------|-----------------------|-------|
|             |            |          |           | Lower Conf. Limit     | Upper |
| Conf. Limit | Background | 0        | *         | *                     | *     |
|             | Beta(1)    | 0        | *         | *                     | *     |
|             | Beta(2)    | 0        | *         | *                     | *     |
|             | Beta(3)    | 4.1289   | *         | *                     | *     |

\* - Indicates that this value is not calculated.

Analysis of Deviance Table

| Model         | Log(likelihood) | # Param's | Deviance | Test d.f. | P-value |
|---------------|-----------------|-----------|----------|-----------|---------|
| Full model    | -34.8527        | 4         |          |           |         |
| Fitted model  | -37.3373        | 1         | 4.96903  | 3         | 0.1741  |
| Reduced model | -82.5767        | 1         | 95.4478  | 3         | <.0001  |
| AIC:          | 76.6745         |           |          |           |         |

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Goodness of Fit

| Dose   | Est._Prob. | Expected | Observed | Size | Scaled Residual |
|--------|------------|----------|----------|------|-----------------|
| 0.0000 | 0.0000     | 0.000    | 0.000    | 35   | 0.000           |
| 0.4900 | 0.3848     | 13.082   | 8.000    | 34   | -1.791          |
| 0.7400 | 0.8123     | 21.933   | 24.000   | 27   | 1.019           |
| 0.8000 | 0.8792     | 21.102   | 22.000   | 24   | 0.563           |

Chi^2 = 4.56      d.f. = 3      P-value = 0.2067

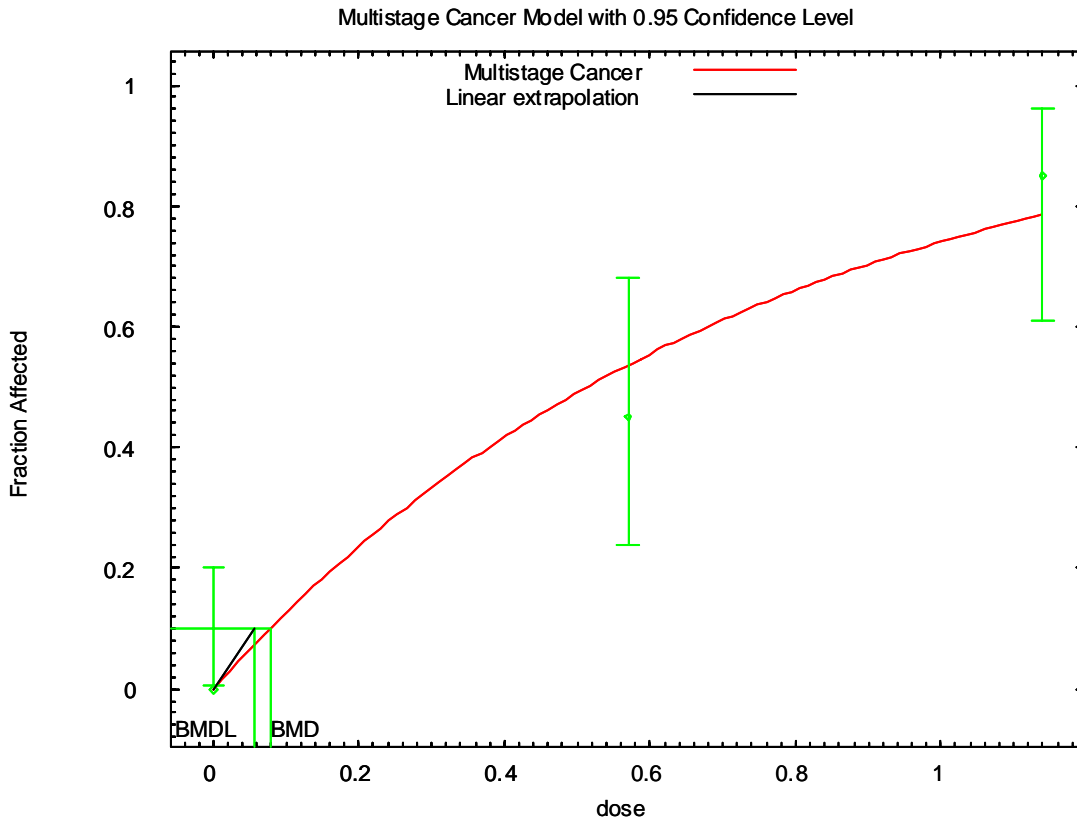
Benchmark Dose Computation

Specified effect =            0.1  
Risk Type            =        Extra risk  
Confidence level =            0.95  
                          BMD =        0.294407  
                          BMDL =       0.215151  
                          BMDU =       0.320955

Taken together, (0.215151, 0.320955) is a 90      % two-sided confidence interval for the BMD

Multistage Cancer Slope Factor =            0.46479

1 **Figure F-7. Fit of multistage model to skin tumors in female NMRI mice**  
 2 **exposed dermally to benzo[a]pyrene (Habs et al., 1984); graph and model**  
 3 **output.**  
 4



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31 =====
32 Multistage Cancer Model. (Version: 1.7; Date: 05/16/2008)
33 Input Data File: C:\Usepa\BMDS21\mscDax_Setting.(d)
34 Gnuplot Plotting File: C:\Usepa\BMDS21\mscDax_Setting.plt
35 =====
36

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37  
38 **BMDS Model Run**  
39

40  
41 The form of the probability function is:

$$42 \quad P[\text{response}] = \text{background} + (1-\text{background}) * [1 - \text{EXP}(-\text{beta}1 * \text{dose}^1)]$$

43  
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45 The parameter betas are restricted to be positive

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49 Dependent variable = tumors  
50 Independent variable = LADD

51  
52 Total number of observations = 3  
53 Total number of records with missing values = 0  
54 Total number of parameters in model = 2  
55 Total number of specified parameters = 0  
56 Degree of polynomial = 1

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59 Maximum number of iterations = 250  
60 Relative Function Convergence has been set to: 1e-008  
61 Parameter Convergence has been set to: 1e-008  
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Default Initial Parameter Values

Background = 0  
Beta(1) = 1.66414

Asymptotic Correlation Matrix of Parameter Estimates

( \*\*\* The model parameter(s) -Background have been estimated at a boundary point, or have been specified by the user, and do not appear in the correlation matrix )

Beta(1)

Beta(1) 1

Parameter Estimates

| Variable   | Estimate | Std. Err. | 95.0% Wald Confidence Interval |                   |
|------------|----------|-----------|--------------------------------|-------------------|
|            |          |           | Lower Conf. Limit              | Upper Conf. Limit |
| Background | 0        | *         | *                              | *                 |
| Beta(1)    | 1.35264  | *         | *                              | *                 |

\* - Indicates that this value is not calculated.

Analysis of Deviance Table

| Model         | Log(likelihood) | # Param's | Deviance | Test d.f. | P-value |
|---------------|-----------------|-----------|----------|-----------|---------|
| Full model    | -22.217         | 3         |          |           |         |
| Fitted model  | -22.7878        | 1         | 1.14175  | 2         | 0.565   |
| Reduced model | -41.0539        | 1         | 37.6739  | 2         | <.0001  |
| AIC:          | 47.5757         |           |          |           |         |

Goodness of Fit

| Dose   | Est._Prob. | Expected | Observed | Size | Scaled Residual |
|--------|------------|----------|----------|------|-----------------|
| 0.0000 | 0.0000     | 0.000    | 0.000    | 20   | 0.000           |
| 0.5700 | 0.5375     | 10.749   | 9.000    | 20   | -0.784          |
| 1.1400 | 0.7860     | 15.721   | 17.000   | 20   | 0.697           |

Chi^2 = 1.10      d.f. = 2      P-value = 0.5765

Benchmark Dose Computation

Specified effect = 0.1

Risk Type = Extra risk

Confidence level = 0.95

BMD = 0.0778926

BMDL = 0.0558466

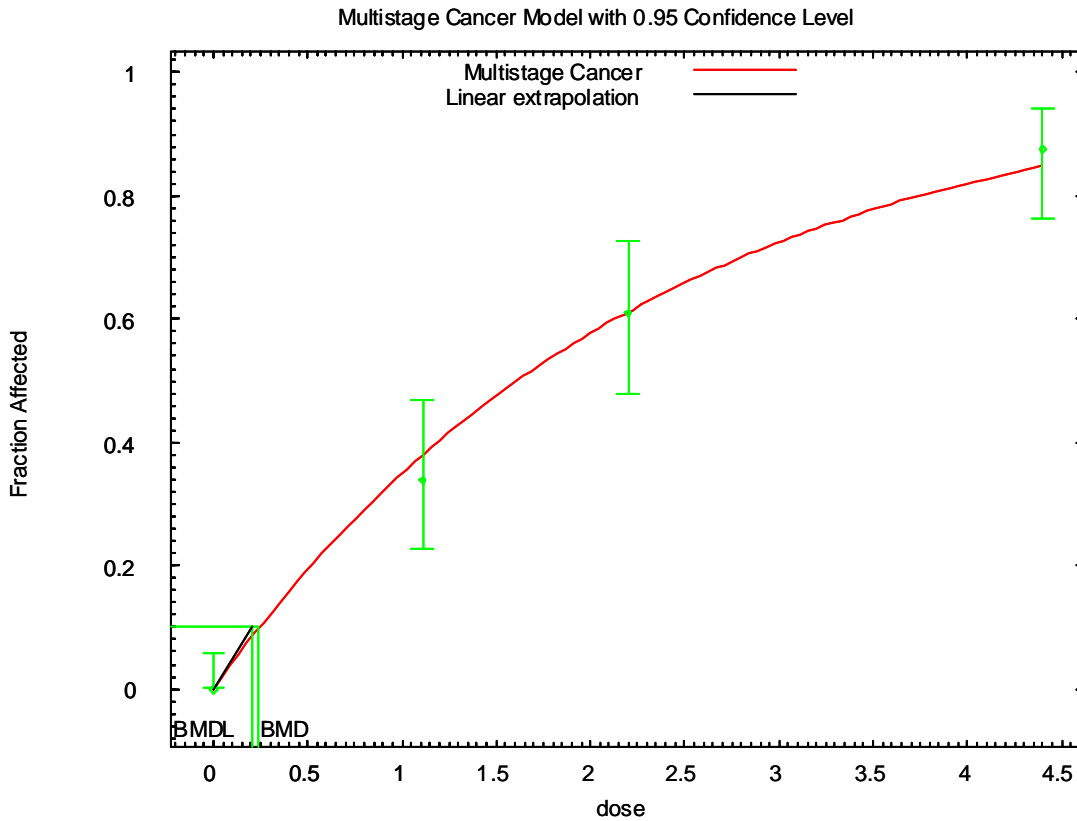
BMDU = 0.111853

Taken together, (0.0558466, 0.111853) is a 90 % two-sided confidence interval for the BMD

Multistage Cancer Slope Factor = 1.79062



1 **Figure F-8. Fit of multistage model to skin tumors in female CFLP mice**  
 2 **exposed dermally to benzo[a]pyrene (Grimmer et al, 1983); graph and model**  
 3 **output.**



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35 =====
36 Multistage Cancer Model. (Version: 1.7; Date: 05/16/2008)
37 Input Data File:
38 C:\USEPA\IRIS\benzo[a]pyrene\dermalslopefactor\Grimmer1983CFLPmice\1MulGrIMS_.d)
39 Gnuplot Plotting File:
40 C:\USEPA\IRIS\benzo[a]pyrene\dermalslopefactor\Grimmer1983CFLPmice\1MulGrIMS_.plt
41
42 =====
```

43  
44 **BMSD Model Run**

45  
46 ~~~~~  
47 The form of the probability function is:

$$48 \quad P[\text{response}] = \text{background} + (1-\text{background}) * [1 - \text{EXP}(-\text{beta}1 * \text{dose}^1)]$$

49  
50  
51 The parameter betas are restricted to be positive

52  
53  
54  
55 Dependent variable = incidence  
56 Independent variable = dose

57  
58 Total number of observations = 4  
59 Total number of records with missing values = 0  
60 Total number of parameters in model = 2  
61 Total number of specified parameters = 0  
62 Degree of polynomial = 1

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65 Maximum number of iterations = 250  
66 Relative Function Convergence has been set to: 2.22045e-016  
67 Parameter Convergence has been set to: 1.49012e-008  
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\*\*\*\* We are sorry but Relative Function and Parameter Convergence \*\*\*\*  
 \*\*\*\* are currently unavailable in this model. Please keep checking \*\*\*\*  
 \*\*\*\* the web sight for model updates which will eventually \*\*\*\*  
 \*\*\*\* incorporate these convergence criterion. Default values used. \*\*\*\*

Default Initial Parameter Values

Background = 0  
 Beta(1) = 0.478645

Asymptotic Correlation Matrix of Parameter Estimates

( \*\*\* The model parameter(s) -Background  
 have been estimated at a boundary point, or have been specified by the user,  
 and do not appear in the correlation matrix )

Beta(1)  
 Beta(1) 1

Parameter Estimates

| Variable   | Estimate | Std. Err. | 95.0% Wald Confidence Interval |                   |
|------------|----------|-----------|--------------------------------|-------------------|
|            |          |           | Lower Conf. Limit              | Upper Conf. Limit |
| Background | 0        | *         | *                              | *                 |
| Beta(1)    | 0.430366 | *         | *                              | *                 |

\* - Indicates that this value is not calculated.

Analysis of Deviance Table

| Model         | Log(likelihood) | # Param's | Deviance | Test d.f. | P-value |
|---------------|-----------------|-----------|----------|-----------|---------|
| Full model    | -108.532        | 4         |          |           |         |
| Fitted model  | -108.943        | 1         | 0.823537 | 3         | 0.8438  |
| Reduced model | -186.434        | 1         | 155.805  | 3         | <.0001  |

AIC: 219.887

Goodness of Fit

| Dose   | Est._Prob. | Expected | Observed | Size | Scaled Residual |
|--------|------------|----------|----------|------|-----------------|
| 0.0000 | 0.0000     | 0.000    | 0.000    | 80   | -0.000          |
| 1.1100 | 0.3798     | 24.687   | 22.000   | 65   | -0.687          |
| 2.2000 | 0.6120     | 39.169   | 39.000   | 64   | -0.043          |
| 4.4000 | 0.8495     | 54.366   | 56.000   | 64   | 0.571           |

Chi^2 = 0.80      d.f. = 3      P-value = 0.8496

Benchmark Dose Computation

Specified effect = 0.1

Risk Type = Extra risk

Confidence level = 0.95

BMD = 0.244816

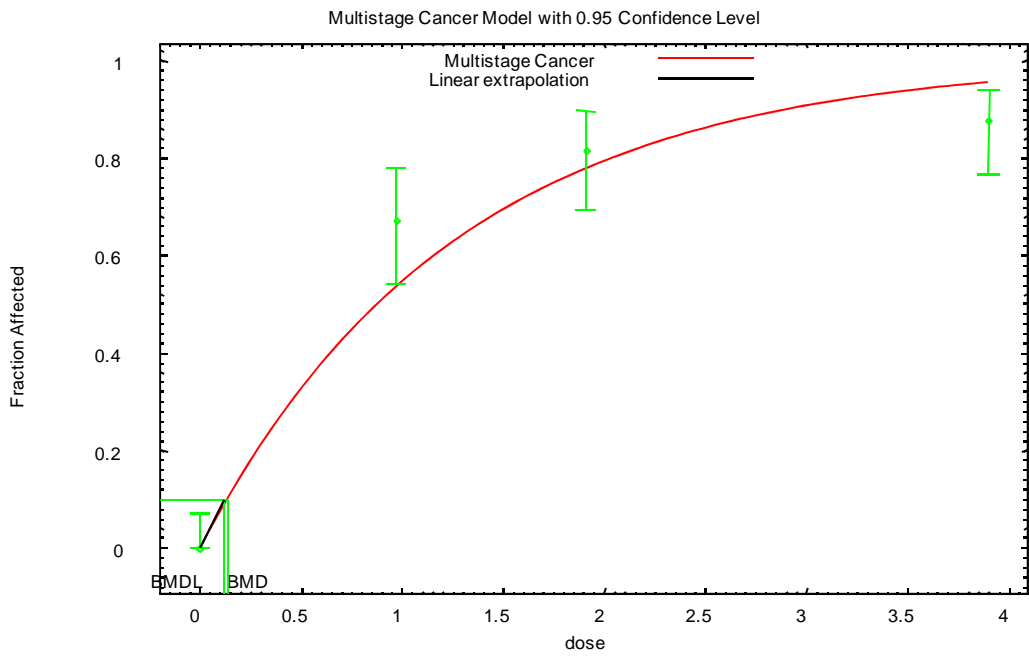
BMDL = 0.208269

BMDU = 0.289606

Taken together, (0.208269, 0.289606) is a 90 % two-sided confidence interval for the BMD

Multistage Cancer Slope Factor = 0.480148

1 **Figure F-9. Fit of multistage model to skin tumors in female CFLP mice**  
2 **exposed dermally to benzo[a]pyrene (Grimmer et al., 1984); graph and**  
3 **model output.**



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Multistage Cancer Model. (Version: 1.7; Date: 05/16/2008)
Input Data File:
C:\Usepa\BMDS21\Data\msc_benzo[a]pyrene_Grimmer1984_MultiCanc1_0.1.(d)
Gnuplot Plotting File:
C:\Usepa\BMDS21\Data\msc_benzo[a]pyrene_Grimmer1984_MultiCanc1_0.1.plt
Wed Apr 27 17:11:28 2011

```

[add notes here]

The form of the probability function is:

$$P[\text{response}] = \text{background} + (1 - \text{background}) * [1 - \text{EXP}(-\text{beta}1 * \text{dose}^1)]$$

The parameter betas are restricted to be positive

Dependent variable = NumAff  
Independent variable = LADD

Total number of observations = 4  
Total number of records with missing values = 0  
Total number of parameters in model = 2  
Total number of specified parameters = 0  
Degree of polynomial = 1

Maximum number of iterations = 250  
Relative Function Convergence has been set to: 1e-008  
Parameter Convergence has been set to: 1e-008

Default Initial Parameter Values

Background = 0.311241  
Beta(1) = 0.502556

Asymptotic Correlation Matrix of Parameter Estimates

( \*\*\* The model parameter(s) -Background  
have been estimated at a boundary point, or have been specified  
by the user,  
and do not appear in the correlation matrix )

Beta(1)

Beta(1) 1

Parameter Estimates

| Interval    | Variable   | Estimate | Std. Err. | 95.0% Wald Confidence |       |
|-------------|------------|----------|-----------|-----------------------|-------|
|             |            |          |           | Lower Conf. Limit     | Upper |
| Conf. Limit | Background | 0        | *         | *                     | *     |
|             | Beta(1)    | 0.796546 | *         | *                     | *     |

\* - Indicates that this value is not calculated.

Analysis of Deviance Table

| Model         | Log(likelihood) | # Param's | Deviance | Test d.f. | P-value  |
|---------------|-----------------|-----------|----------|-----------|----------|
| Full model    | -95.8385        | 4         |          |           |          |
| Fitted model  | -101.643        | 1         | 11.61    | 3         | 0.008846 |
| Reduced model | -175.237        | 1         | 158.797  | 3         | <.0001   |

AIC: 205.287

Goodness of Fit

| Dose   | Est._Prob. | Expected | Observed | Size | Scaled Residual |
|--------|------------|----------|----------|------|-----------------|
| 0.0000 | 0.0000     | 0.000    | 0.000    | 65   | 0.000           |

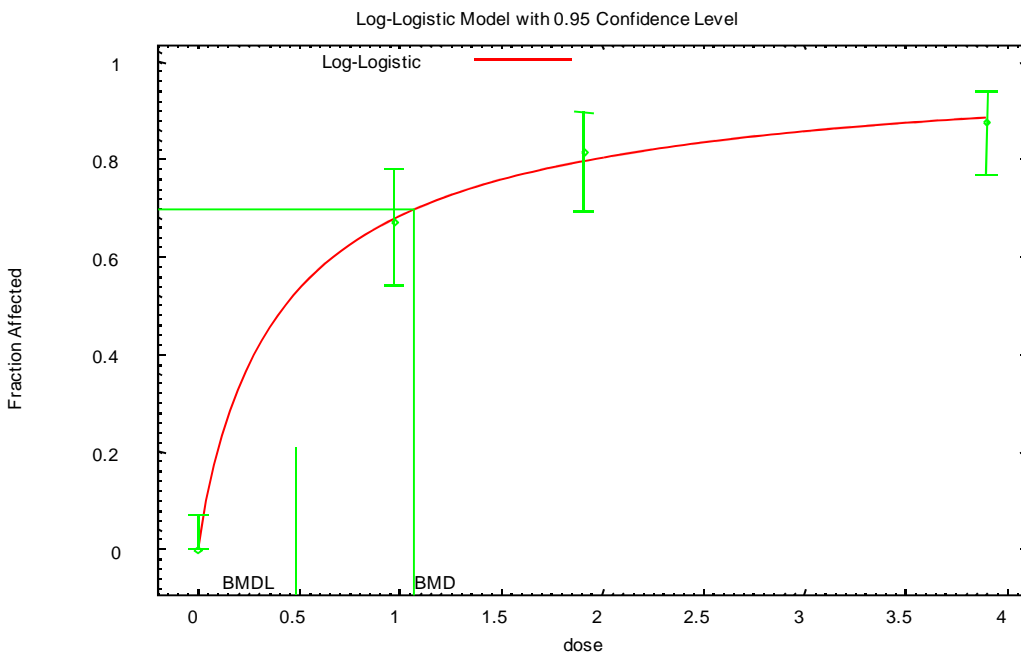
```

1      0.9700    0.5382    34.446    43.000    64      2.145
2      1.9100    0.7816    50.804    53.000    65      0.659
3      3.9000    0.9552    62.091    57.000    65      -3.054
4
5      Chi^2 = 14.36    d.f. = 3    P-value = 0.0025
6
7      Benchmark Dose Computation
8
9      Specified effect =          0.1
10
11     Risk Type      =      Extra risk
12
13     Confidence level =          0.95
14
15           BMD =          0.132272
16
17           BMDL =          0.113427
18
19           BMDU =          0.154848
20
21     Taken together, (0.113427, 0.154848) is a 90    % two-sided confidence
22     interval for the BMD
23
24     Multistage Cancer Slope Factor =          0.881621
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**Figure F-9. Fit of log-logistic model to skin tumors in female CFLP mice exposed dermally to benzo[a]pyrene (Grimmer et al., 1984); graph and model output.**



```

=====
      Logistic Model. (Version: 2.12; Date: 05/16/2008)
      Input Data File:
      C:\Usepa\BMDS21\Data\lnl_benzo[a]pyrene_Grimmer1984_Grimmer1984_0.70u.(d)
      Gnuplot Plotting File:
      C:\Usepa\BMDS21\Data\lnl_benzo[a]pyrene_Grimmer1984_Grimmer1984_0.70u.plt
=====

BMDS Model Run
-----

The form of the probability function is:

P[response] = background+(1-background)/[1+EXP(-intercept-slope*Log(dose))]

Dependent variable = NumAff
Independent variable = LADD
Slope parameter is not restricted

Total number of observations = 4
Total number of records with missing values = 0
Maximum number of iterations = 250
Relative Function Convergence has been set to: 1e-008
Parameter Convergence has been set to: 1e-008

User has chosen the log transformed model

      Default Initial Parameter Values
      background =          0
      intercept =    0.799142
      slope =          0.894129

Asymptotic Correlation Matrix of Parameter Estimates

( *** The model parameter(s) -background
      have been estimated at a boundary point, or have been specified
by the user,

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and do not appear in the correlation matrix )

|           | intercept | slope |
|-----------|-----------|-------|
| intercept | 1         | -0.68 |
| slope     | -0.68     | 1     |

Parameter Estimates

| Interval    | Variable   | Estimate | Std. Err. | 95.0% Wald Confidence |       |
|-------------|------------|----------|-----------|-----------------------|-------|
|             |            |          |           | Lower Conf. Limit     | Upper |
| Conf. Limit | background | 0        | *         | *                     | *     |
|             | intercept  | 0.783559 | *         | *                     | *     |
|             | slope      | 0.922655 | *         | *                     | *     |

\* - Indicates that this value is not calculated.

Analysis of Deviance Table

| Model         | Log(likelihood) | # Param's | Deviance | Test d.f. | P-value |
|---------------|-----------------|-----------|----------|-----------|---------|
| Full model    | -95.8385        | 4         |          |           |         |
| Fitted model  | -95.9236        | 2         | 0.17031  | 2         | 0.9184  |
| Reduced model | -175.237        | 1         | 158.797  | 3         | <.0001  |

AIC: 195.847

Goodness of Fit

| Dose   | Est._Prob. | Expected | Observed | Size | Scaled Residual |
|--------|------------|----------|----------|------|-----------------|
| 0.0000 | 0.0000     | 0.000    | 0.000    | 65   | 0.000           |
| 0.9700 | 0.6804     | 43.543   | 43.000   | 64   | -0.146          |
| 1.9100 | 0.7991     | 51.941   | 53.000   | 65   | 0.328           |
| 3.9000 | 0.8849     | 57.516   | 57.000   | 65   | -0.200          |

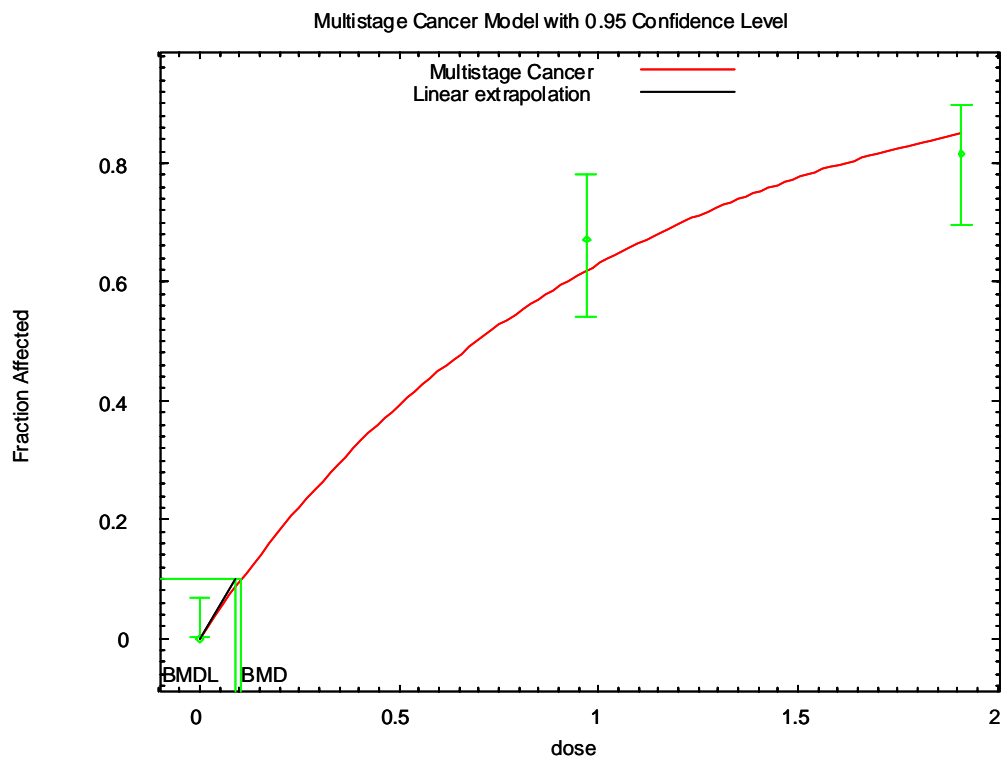
Chi^2 = 0.17      d.f. = 2      P-value = 0.9190

Benchmark Dose Computation

Specified effect = 0.7  
 Risk Type = Extra risk  
 Confidence level = 0.95  
 BMD = 1.07152  
 BMDL = 0.478669

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**Figure F-11. Fit of multistage model to skin tumors in female CFLP mice exposed dermally to benzo[a]pyrene (Grimmer et al., 1984), highest dose dropped; graph and model output.**





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Multistage Cancer Model. (Version: 1.7; Date: 05/16/2008)
Input Data File:
C:\Usepa\BMDS21\Data\msc_benzo[a]pyrene_Grimmer1984_drophidose_Setting.(d)
Gnuplot Plotting File:
C:\Usepa\BMDS21\Data\msc_benzo[a]pyrene_Grimmer1984_drophidose_Setting.plt
=====
```

BMDS Model Run

The form of the probability function is:

$$P[\text{response}] = \text{background} + (1-\text{background}) * [1 - \text{EXP}(-\text{beta1} * \text{dose}^{\text{beta2}})]$$

The parameter betas are restricted to be positive

Dependent variable = tumors  
Independent variable = LADD

Total number of observations = 3  
Total number of records with missing values = 0  
Total number of parameters in model = 3  
Total number of specified parameters = 0  
Degree of polynomial = 2

Maximum number of iterations = 250  
Relative Function Convergence has been set to: 1e-008  
Parameter Convergence has been set to: 1e-008

Default Initial Parameter Values

Background = 0.0806622  
Beta(1) = 0.88595  
Beta(2) = 0

Asymptotic Correlation Matrix of Parameter Estimates

( \*\*\* The model parameter(s) -Background -Beta(2)  
have been estimated at a boundary point, or have been specified  
by the user,  
and do not appear in the correlation matrix )

Beta(1)

Beta(1) 1

Parameter Estimates

| Interval    | Variable   | Estimate | Std. Err. | 95.0% Wald Confidence |       |
|-------------|------------|----------|-----------|-----------------------|-------|
|             |            |          |           | Lower Conf. Limit     | Upper |
| Conf. Limit | Background | 0        | *         | *                     | *     |
|             | Beta(1)    | 0.997118 | *         | *                     | *     |
|             | Beta(2)    | 0        | *         | *                     | *     |

\* - Indicates that this value is not calculated.

Analysis of Deviance Table

| Model         | Log(likelihood) | # Param's | Deviance | Test d.f. | P-value |
|---------------|-----------------|-----------|----------|-----------|---------|
| Full model    | -71.5928        | 3         |          |           |         |
| Fitted model  | -72.2756        | 1         | 1.36568  | 2         | 0.5052  |
| Reduced model | -134.46         | 1         | 125.735  | 2         | <.0001  |

AIC: 146.551

Goodness of Fit

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| Dose   | Est._Prob. | Expected | Observed | Size | Residual |
|--------|------------|----------|----------|------|----------|
| 0.0000 | 0.0000     | 0.000    | 0.000    | 65   | 0.000    |
| 0.9700 | 0.6199     | 39.671   | 43.000   | 64   | 0.857    |
| 1.9100 | 0.8511     | 55.322   | 53.000   | 65   | -0.809   |

Chi^2 = 1.39      d.f. = 2      P-value = 0.4992

Benchmark Dose Computation

Specified effect = 0.1

Risk Type = Extra risk

Confidence level = 0.95

BMD = 0.105665

BMDL = 0.0881529

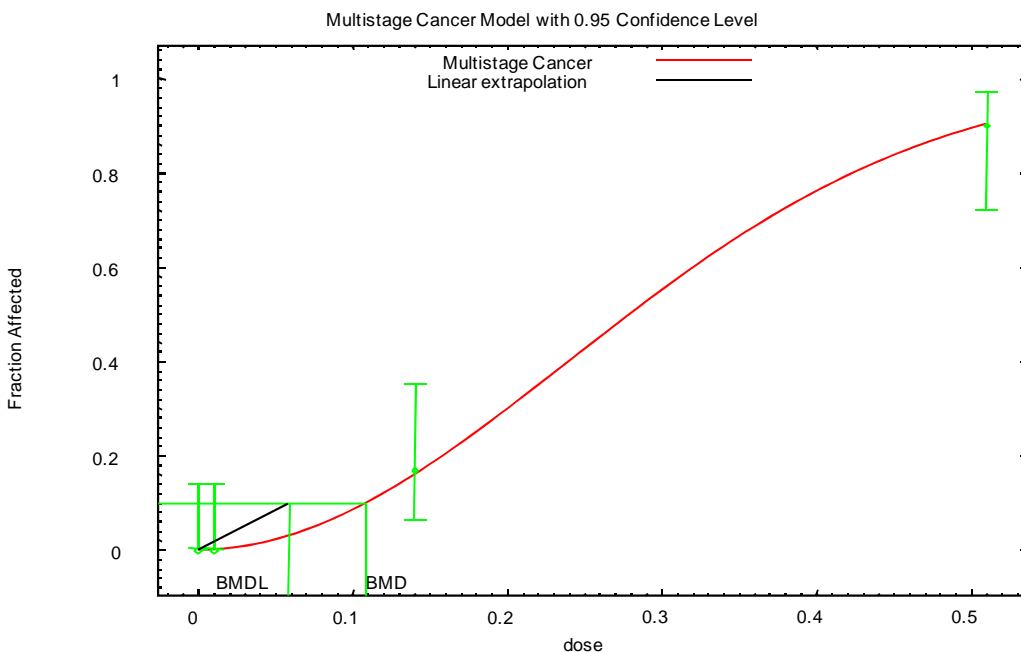
BMDU = 0.149328

Taken together, (0.0881529, 0.149328) is a 90 % two-sided confidence interval for the BMD

Multistage Cancer Slope Factor = 1.13439

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**Figure F-12. Fit of multistage model to skin tumors in female CFLP mice exposed dermally to benzo[a]pyrene (Sivak et al., 1997); graph and model output.**



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=====
Multistage Cancer Model. (Version: 1.7; Date: 05/16/2008)
Input Data File: C:\Usepa\BMDS21\Data\msc_benzo[a]pyrene_Sivak1993_MultiCanc2_0.1.(d)
Gnuplot Plotting File:
C:\Usepa\BMDS21\Data\msc_benzo[a]pyrene_Sivak1993_MultiCanc2_0.1.plt
=====

```

[add notes here]

The form of the probability function is:

$$P[\text{response}] = \text{background} + (1-\text{background}) * [1 - \text{EXP}(-\text{beta1} * \text{dose}^1 - \text{beta2} * \text{dose}^2)]$$

The parameter betas are restricted to be positive

Dependent variable = NumAff  
Independent variable = LADD

Total number of observations = 4  
Total number of records with missing values = 0  
Total number of parameters in model = 3  
Total number of specified parameters = 0  
Degree of polynomial = 2

Maximum number of iterations = 250  
Relative Function Convergence has been set to: 1e-008  
Parameter Convergence has been set to: 1e-008

```

Default Initial Parameter Values
Background = 0
Beta(1) = 0.0936505
Beta(2) = 8.67239

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Asymptotic Correlation Matrix of Parameter Estimates

( \*\*\* The model parameter(s) -Background -Beta(1)  
have been estimated at a boundary point, or have been specified by the user,  
and do not appear in the correlation matrix )

Beta(2)

Beta(2) 1

Parameter Estimates

| Variable   | Estimate | Std. Err. | 95.0% Wald Confidence Interval |                   |
|------------|----------|-----------|--------------------------------|-------------------|
|            |          |           | Lower Conf. Limit              | Upper Conf. Limit |
| Background | 0        | *         | *                              | *                 |
| Beta(1)    | 0        | *         | *                              | *                 |
| Beta(2)    | 8.9375   | *         | *                              | *                 |

\* - Indicates that this value is not calculated.

Analysis of Deviance Table

| Model         | Log(likelihood) | # Param's | Deviance  | Test d.f. | P-value |
|---------------|-----------------|-----------|-----------|-----------|---------|
| Full model    | -23.2693        | 4         |           |           |         |
| Fitted model  | -23.3009        | 1         | 0.0631003 | 3         | 0.9959  |
| Reduced model | -69.5898        | 1         | 92.641    | 3         | <.0001  |

AIC: 48.6018

Goodness of Fit

| Dose   | Est._Prob. | Expected | Observed | Size | Scaled Residual |
|--------|------------|----------|----------|------|-----------------|
| 0.0000 | 0.0000     | 0.000    | 0.000    | 30   | 0.000           |
| 0.0100 | 0.0009     | 0.027    | 0.000    | 30   | -0.164          |
| 0.1400 | 0.1607     | 4.821    | 5.000    | 30   | 0.089           |
| 0.5100 | 0.9022     | 27.065   | 27.000   | 30   | -0.040          |

Chi^2 = 0.04 d.f. = 3 P-value = 0.9982

Benchmark Dose Computation

Specified effect = 0.1  
Risk Type = Extra risk  
Confidence level = 0.95  
BMD = 0.108575  
BMDL = 0.058484  
BMDU = 0.129641

Taken together, (0.058484, 0.129641) is a 90 % two-sided confidence interval for the BMD

Multistage Cancer Slope Factor = 1.70987

1           **APPENDIX G. Additional Information in Support of the Dermal Slope factor**

2  
3    *Mouse Dermal Carcinogenesis Exposure Methods*

4            Studies which macroscopically examined systemic organs for tumors include Roe et al.,  
5    1970; Schmidt et al., 1973; Schmahl et al., 1977; Habs et al., 1980, 1984; Grimmer et al., 1983,  
6    1984. The studies by Roe et al. 1970 and Habs et al. 1984 observed systemic tumors which the  
7    authors did not consider to be treatment related. The other studies which conducted post mortem  
8    macroscopic examinations of abnormal tissues, did not report any treatment related systemic  
9    effects.

**Table G-1: Exposure methods for selected lifetime dermal exposure mouse cancer bioassays for benzo[a]pyrene-induced skin tumors**

| <b>Mouse strain sex n</b> | <b>Applied dose of benzo[a]pyrene, times per week, vehicle</b>                        | <b>Application method, volume</b>                                                         | <b>Application region</b> | <b>Duration (weeks)</b>      | <b>Comments</b>                                                                       | <b>Reference</b>   |
|---------------------------|---------------------------------------------------------------------------------------|-------------------------------------------------------------------------------------------|---------------------------|------------------------------|---------------------------------------------------------------------------------------|--------------------|
| CeH/HeJ male 30           | 0, 0.05, 0.5, or 5 µg<br>2x/wk<br>cyclohexane/acetone (1:1)                           | not specified<br>0.050 ml                                                                 | shaved dorsal skin        | 104                          |                                                                                       | Sivak et al., 1997 |
| C57L male 13-55           | 0, 0.15, 0.38, 0.75, 3.8, 19, 94, 188, 376, or 752 µg<br>3x/wk<br>toluene             | calibrated needle dropper<br>0.0075 ml<br>(solvent let dry between drops to limit spread) | shaved interscapular skin | 103                          | mice were 18-20 weeks of age at the start of study<br><br>“principal organs” examined | Poel, 1959         |
| SWR male 14-25            | 0, 0.15, 0.38, 0.75, 3.8, 19.0, 94.0, or 470 µg<br>3x/wk<br>toluene                   | Calibrated needle pipette<br>0.0075 ml                                                    | Shaved interscapular skin | lifetime <sup>1</sup>        | exposed until tumor development or death                                              | Poel, 1960         |
| C3HeB male 14-25          | 0, 0.15, 0.38, 0.75, 3.8, 19.0, 94.0, or 470 µg<br>benzo[a]pyrene<br>3x/wk<br>toluene | Calibrated needle pipette<br>0.0075ml                                                     | Shaved interscapular skin | 104<br>lifetime <sup>1</sup> | exposed until tumor development or death                                              | Poel, 1960         |
| A/He male 14-25           | 0, 0.15, 0.38, 0.75, 3.8, 19.0, 94.0, or 470 µg<br>benzo[a]pyrene<br>3x/wk<br>toluene | Calibrated needle pipette<br>0.0075 ml                                                    | Shaved interscapular skin | 104<br>lifetime <sup>1</sup> | exposed until tumor development or death                                              | Poel, 1960         |
| Swiss SPF female 50       | 0, 0.1, 0.3, 1, 3, or 9 µg<br>3x/wk<br>acetone                                        | Calibrated pipette<br>0.25 ml                                                             | Entire shaved dorsal area | 93                           | systemic post-mortem exam                                                             | Roe et al., 1970   |

|                     |                                                         |                                                                |                                   |                              |                                            |                      |
|---------------------|---------------------------------------------------------|----------------------------------------------------------------|-----------------------------------|------------------------------|--------------------------------------------|----------------------|
| NMRI female 100     | 0, 0.05, 0.2, 0.8, or 2 µg<br>2x/wk<br>acetone          | Drop burette (dose delivered in single drop; volume not given) | Shaved back                       | 104<br>lifetime <sup>1</sup> | macroscopic examination of internal organs | Schmidt et al., 1973 |
| Swiss SPF female 80 | 0, 0.05, 0.2, 0.8, or 2 µg<br>2x/wk<br>acetone          | Drop burette (dose delivered in single drop; volume not given) | Shaved back                       | 104<br>lifetime <sup>1</sup> | macroscopic examination of internal organs | Schmidt et al., 1973 |
| NMRI female 100     | 0, 1, 1.7, or 3 µg<br>2x/wk<br>acetone                  | Drop application with syringe<br><br>0.02 ml                   | Shaved back                       | 104<br>lifetime <sup>1</sup> | macroscopic examination of internal organs | Schmahl et al., 1977 |
| NMRI female 65      | 0, 1.7, 2.8, and 4.6 ug<br>2x/wk                        | Drop application by calibrated syringe,<br>0.02 ml             | dorsal skin in interscapular area | 104<br>lifetime <sup>1</sup> | macroscopic examination of internal organs | Habs et al., 1980    |
| CFLP female 65      | 0, 3.9, 7.7 and 15.4 µg<br>acetone/DMSO (1:3)<br>2x/wk  | Drop<br>0.1 ml                                                 | Dorsal skin, interscapular area   | 104                          | macroscopic examination of internal organs | Grimmer et al., 1983 |
| CFLP female 65      | 0, 3.4, 6.7, and 13.5 µg<br>acetone:DMSO (1:3)<br>2x/wk | Drop<br>0.1 ml                                                 | Dorsal skin, interscapular area   | 104                          | macroscopic examination of internal organs | Grimmer et al., 1984 |
| NMRI female 20      | 0, 2 or 4 µg<br>acetone<br>2x/wk                        | drop application by calibrated syringe<br>0.01 ml              | dorsal skin in interscapular area | lifetime <sup>1</sup>        | macroscopic examination of internal organs | Habs et al., 1984    |

1 <sup>1</sup> Treated until natural death or sacrifice following tumor formation

2

## APPENDIX H. Alternative Approaches for Cross-Species Scaling of the Dermal Slope Factor

Several publications which develop a dermal slope factor for benzo[a]pyrene are available in the peer reviewed literature (Knafla et al., 2010; 2006; Hussain et al., 1998; LaGoy and Quirk 1994; Sullivan et al., 1991). With the exception of the 2010 Knafla et al. publication, none of these approaches applied quantitative adjustments to account for interspecies differences, though the proposed slope factors were developed to account for human risk. Knafla et al. (2010) qualitatively discuss processes which could affect the extrapolation between mice and humans including skin metabolic activity adduct formation, stratum corneum thickness, epidermal thickness, etc. Ultimately, the authors apply an adjustment based on the increased epidermal thickness of human skin on the arms and hands compared to mouse interscapular epidermal thickness. They hypothesize that the carcinogenic potential of benzo[a]pyrene may be related to the thickness of the epidermal layer.

Because there is no established methodology for cross-species extrapolation of dermal toxicity, several alternative approaches were evaluated. Each approach begins with the POD of 0.066  $\mu\text{g}/\text{day}$  that was based on a 10% extra risk for skin tumors in male mice (see Section 5.4.3). Based on the assumptions of each approach, a dermal slope factor for humans is calculated. The discussion of these approaches uses the following abbreviations:

DSF = dermal slope factor

POD<sub>M</sub> = point of departure (for 10% extra risk) from mouse bioassay, in  $\mu\text{g}/\text{day}$

BW<sub>M</sub> = mouse body weight = 0.035 kg (assumed)

BW<sub>H</sub> = human body weight = 70 kg (assumed)

SA<sub>H</sub> = total human surface area = 19,000  $\text{cm}^2$  (assumed)

SA<sub>M</sub> = total mouse surface area = 100  $\text{cm}^2$  (assumed)

### Approach 1. No interspecies adjustment to daily applied dose (POD) in mouse model

Under this approach, a given mass of benzo[a]pyrene, applied daily, would pose the same risk in an animal or in humans, regardless of whether it is applied to a small surface area or to a larger surface area at a proportionately lower concentration.

$$\text{DSF} = 0.1 / \text{POD}_M$$

$$\text{DSF} = 0.1 / 0.066 \mu\text{g}/\text{day} = 2 (\mu\text{g}/\text{day})^{-1}$$

*Assumptions:* The same mass of benzo[a]pyrene, applied daily, would have same potency in mice as in human skin regardless of treatment area.

### Approach 2. Cross-species adjustment based on whole body surface-area scaling

Under this approach, animals and humans are assumed to have equal lifetime cancer risk with equal average whole body exposures in loading units ( $\mu\text{g}/\text{cm}^2\text{-day}$ ). As long as doses are low enough that risk is proportional to the mass of applied compound, the daily dermal dose of benzo[a]pyrene can be normalized over the total surface area.

$$\text{POD} (\mu\text{g}/\text{cm}^2\text{-day}) = \text{POD}_{M/SA} (\mu\text{g}/\text{cm}^2\text{-day}) = \text{POD}_M (\mu\text{g}/\text{day}) / \text{SA}_M (\text{cm}^2)$$

$$\text{POD} = (0.066 \mu\text{g}/\text{day}) / 100 \text{cm}^2$$



1 = 0.00066  $\mu\text{g}/\text{cm}^2\text{-day}$

2  
3  $\text{DSF} = 0.1/(0.00066 \mu\text{g}/\text{cm}^2\text{-day}) \approx \mathbf{152 (\mu\text{g}/\text{cm}^2\text{-day})}^{-1}$

4  
5 *Assumptions:* Mouse and human slope factors are equipotent if total dermal dose is averaged  
6 over equal fractions of the entire surface area. Tumor potency of benzo[a]pyrene is assumed to  
7 be related to overall dose and not dose per unit area. For example, someone exposed to 0.01  
8  $\mu\text{g}/\text{day}$  on 10  $\text{cm}^2$  would be assumed to have the same potential to form a skin tumor as someone  
9 treated with 0.01  $\mu\text{g}/\text{day}$  over 10,000  $\text{cm}^2$ .

10  
11  
12 **Approach 3. Cross-species adjustment based on body weight**

13 Under this approach, a given mass of benzo[a]pyrene is normalized relative to the body  
14 weight of the animal or human. This approach has been used for oral doses for noncancer  
15 effects.

16  
17  $\text{POD}_M / \text{BW}_M = 0.066 \mu\text{g}/0.035 \text{ kg-day} = 1.9 \mu\text{g}/\text{kg-day}$

18  
19  $\text{DSF} = 0.1/1.9 \mu\text{g}/\text{kg-day} = \mathbf{0.05 (\mu\text{g}/\text{kg-day})}^{-1}$

20  
21 *Assumptions:* The potency of point of contact skin tumors is related to bodyweight and humans  
22 and mice would have an equal likelihood of developing skin tumors based on a dermal dose per  
23 kg basis.

24  
25 *Issues:* Skin cancer following benzo[a]pyrene exposure is a local effect and not likely dependent  
26 on body weight.

27  
28  
29 **Approach 4. Cross-species adjustment based on allometric scaling using body weight to  
30 the 3/4 power**

31 Under this approach, rodents and humans exposed to the same daily dose of a carcinogen,  
32 adjusted for  $\text{BW}^{3/4}$ , would be expected to have equal lifetime risks of cancer. That is, a lifetime  
33 dose expressed as  $\mu\text{g}/\text{kg}^{3/4}\text{-day}$  would lead to an equal risk in rodents and humans. This scaling  
34 reflects the empirically observed phenomena of more rapid distribution, metabolism, and  
35 clearance in smaller animals. The metabolism of benzo[a]pyrene to reactive intermediates is a  
36 critical step in the carcinogenicity of benzo[a]pyrene, and this metabolism occurs in the skin.

37  
38  $\text{POD} (\mu\text{g}/\text{day}) = \text{POD}_M (\mu\text{g}/\text{day}) \times (\text{BW}_H / \text{BW}_M)^{3/4}$

39  
40  $\text{POD} (\mu\text{g}/\text{day}) = 0.066 \mu\text{g}/\text{day} \times (70 \text{ kg} / 0.035 \text{ kg})^{3/4}$   
41  $= 19.7 \mu\text{g}/\text{day}$

42  
43  $\text{DSF} = 0.1/(19.7 \mu\text{g}/\text{day}) \approx \mathbf{0.005 (\mu\text{g}/\text{day})}^{-1}$

44  
45 *Assumptions:* Risk at low doses of benzo[a]pyrene is dependent on absolute dermal dose and not  
46 dose per unit of skin, meaning a higher exposure concentration of benzo[a]pyrene contacting a  
47 smaller area of exposed skin could carry the same risk of skin tumors as a lower exposure  
48 concentration of benzo[a]pyrene that contacts a larger area of skin.

1 *Issues:* It is unclear if scaling of doses based on bodyweight ratios will correspond to differences  
2 in metabolic processes in the skin of mice and humans.  
3  
4

### 5 **Synthesis of the alternative approaches to cross-species scaling**

6 A comparison of the above approaches is provided in Table H-1 below. The lifetime risk  
7 from a nominal human dermal exposure to benzo[a]pyrene over a 5% area of exposed skin  
8 (approximately 950 cm<sup>2</sup>), estimated at  $1 \times 10^{-4}$  μg/day\*, is calculated for each of the approaches  
9 in order to judge whether the method yields risk estimates that are unrealistically high.  
10

### 11 **Other potential interspecies adjustments**

12 The above discussion presents several mathematical approaches that result from varying  
13 assumptions about what is the relevant dose metric for determining equivalence across species.  
14 Biological information (that is not presently comprehensive or detailed enough to develop robust  
15 models) that could be used in future biologically based models for cross-species extrapolation  
16 include:  
17

- 18 a. Quantitative information on interspecies differences in partitioning from exposure  
19 medium to the skin and absorption through the skin
- 20 b. Thickness of the stratum corneum between anatomical sites and between species
- 21 c. Thickness of epidermal layer
- 22 d. Skin permeability
- 23 e. Metabolic activity of skin
- 24 f. Formation of DNA adducts in skin  
25

1  
2 **Table H-1. Alternative approaches to cross-species scaling**

| Approach                                   | Assumptions                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                | Dose metric             | DSF                             | Risk at nominal exposure (0.0001 µg/day)* |
|--------------------------------------------|----------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|-------------------------|---------------------------------|-------------------------------------------|
| 1. Mass-per-day scaling                    | Equal mass per day (µg /d), if applied to <u>equal areas</u> of skin (cm <sup>2</sup> ), will affect similar numbers of cells across species. Cancer risk is proportional to the area (cm <sup>2</sup> ) exposed if the loading rate (µg /cm <sup>2</sup> -d) is the same. This approach assumes that risk is proportional to dose expressed as mass per day. This approach implies that any combination of loading rate (µg /cm <sup>2</sup> -day) and skin area exposed (cm <sup>2</sup> ) that have the same product when multiplied, will result in the same risk.                                                                                                                                                                                                     | µg/day                  | 1 per µg/day                    | 2 x 10 <sup>-4</sup>                      |
| 2. Surface-area scaling                    | Equal mass per day (µg /d), if applied to <u>equal fractions</u> of total skin surface (cm <sup>2</sup> ) will have similar cancer risks. That is, whole-body lifetime exposure [e.g., 5%-of-the-body lifetime exposure] at the same loading rate (µg /cm <sup>2</sup> -d) gives similar cancer risks across species. This approach assumes that risk is proportional to dose expressed as mass per area per day. This approach implies that risk does not increase with area exposed as long as dose per area remains constant.                                                                                                                                                                                                                                           | µg/cm <sup>2</sup> -day | 152 per µg/cm <sup>2</sup> -day | 8 x 10 <sup>-7</sup>                      |
| 3. Body-weight scaling                     | The skin is an organ with thickness and volume; benzo[a]pyrene is distributed within this volume of skin. Cancer risk is proportional to the concentration of benzo[a]pyrene in the exposed volume of skin. Equal mass per day (µg /d), if distributed within equal fractions of total body skin will have similar cancer risks. That is, whole-body lifetime exposure [e.g., 5%-of-the-body lifetime exposure] at the same loading rate (µg /cm <sup>2</sup> -d) gives similar cancer risks across species. This approach assumes that risk is proportional to dose expressed as mass per kg body weight per day. This approach implies that any combination of dose (µg /day) and body weight (kg) that have the same result when divided, will result in the same risk. | µg/kg-day               | 0.05 per µg/kg-day              | 8 x 10 <sup>-8</sup>                      |
| 4. Allometric scaling (BW <sup>3/4</sup> ) | Same as for body-weight scaling, except that benzo[a]pyrene distribution and <u>metabolism</u> takes place within this volume of skin. Allometric scaling is generally regarded as describing the relative rate of toxicokinetic processes across species. This approach also is used by EPA to scale oral exposures.                                                                                                                                                                                                                                                                                                                                                                                                                                                      | µg/day                  | 0.005 per µg /day               | 5 x 10 <sup>-7</sup>                      |

3 \* Nominal exposure calculated as a geometric mean of average daily doses (µg/day) calculated from a range of benzo[a]pyrene soil concentrations (1- 1000 ppb) reported  
4 from non-contaminated rural/agricultural soils (ATSDR, 1995) and a range of standard exposure assumptions.