

## Toxicological Review of Perfluorohexanoic Acid [CASRN 307244] and Related Salts

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Integrated Risk Information System Center for Public Health and Environmental Assessment Office of Research and Development U.S. Environmental Protection Agency Washington, DC

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## **ABBREVIATIONS AND ACRONYMS**

ADME	absorption, distribution, metabolism,	IUF
	and excretion	i.v.
AFFF	aqueous film-forming foam	LDI
A:G	albumin:globulin ratio	LO
AIC	Akaike's information criterion	LOA
ALP	alkaline phosphatase	LOI
ALT	alanine aminotransferase	LOI
APTT	activated partial thromboplastin time	МС
AST	aspartate aminotransferase	МС
atm	atmosphere	MC
ATSDR	Agency for Toxic Substances and	MO
	Disease Registry	MW
AUC	area under the curve	NC
BMD	benchmark dose	
BMDL	benchmark dose lower confidence limit	NO
BMDS	Benchmark Dose Software	NP
BMR	benchmark response	NT
BUN	blood urea nitrogen	OR
BW	body weight	OE
Cmax	maximum concentration	
CAR	constitutive androstane receptor	OSI
CASRN	Chemical Abstracts Service registry	osR
01101111	number	001
CBC	complete blood count	PRI
CI	confidence interval	PC
CL	clearance	PF(
CLA	clearance in animals	I L
	clearance in humans	PFA
	Center for Public Health and	DE/
CITLI	Environmental Assessment	DEL
CDN	chronic prograssive nonbronathy	DEI
	dosimetric adjustment factor	
	doowribonucloic acid	
	DSSToy substance identifier	
O L V J V J V J V J V J V J V J V J V J V	astimated glomerular filtration rate	
	Estimated giomerular mulation rate	
	entro rick	
EK	exu a lisk flueretelemen elechel	
GD CCTT	gestation day	PK
	γ-glutamyl transferase	PN
HAWC	Health Assessment workplace	PO
11.00	Collaborative	PO
HCT	hematocrit	PPA
HED	human equivalent dose	
HERO	Health and Environmental Research	PQA
	Online	
HGB	hemoglobin	PT
HSA	human serum albumin	QA
IQR	interquartile range	QA
IRIS	Integrated Risk Information System	QM
ISI	Influential Scientific Information	RB

IUR	inhalation unit risk
i.v.	intravenous
LDH	lactate dehydrogenase
LOQ	limit of quantitation
LOAEL	lowest-observed-adverse-effect level
LOD	limit of detection
LOEC	lowest observed effect concentration
MCH	mean cell hemoglobin
MCHC	mean cell hemoglobin concentration
MCV	mean cell volume
MOA	mode of action
MW	molecular weight
NCTR	National Center for Toxicological
	Research
NOAEL	no-observed-adverse-effect level
NPL	National Priorities List
NTP	National Toxicology Program
ORD	Office of Research and Development
OECD	Organisation for Economic
	Co-operation and Development
OSF	oral slope factor
osRfD	organ/system-specific oral reference
	dose
РВРК	physiologically based pharmacokinetic
РС	partition coefficient
PECO	populations, exposures, comparators,
	and outcomes
PFAA	perfluoroalkyl acids
PFAS	per- and polyfluoroalkyl substances
PFBA	perfluorobutanoic acid
PFBS	perfluorobutane sulfonate
PFCA	perfluorinated carboxylic acid
PFDA	perfluorodecanoic acid
PFHxA	perfluorohexanoic acid
PFHxS	perfluorohexane sulfonate
PFNA	perfluorononanoic acid
PFOA	perfluorooctanoic acid
PFOS	perfluorooctane sulfonate
PK	nharmacokinetic
PND	nostnatal day
	point of departure
PODHED	human equivalent dose POD
PPAR	neroxisome proliferated activated
11111	recentor
ρωαρρ	programmatic quality assurance
I Q/II I	project nlan
РТ	project plan prothromhin time
0A	quality assurance
0APP	quality assurance project plan
OMP	quality management plan
RBC	red blood cells

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RD	relative deviation
RfC	reference concentration
RfD	oral reference dose
RNA	ribonucleic acid
ROS	reactive oxygen species
RXR	retinoid X receptor
SD	standard deviation
ТР	total protein
TRI	Toxics Release Inventory
TSCATS	Toxic Substances Control Act Test
	Submissions
TSH	thyroid stimulating hormone
UF	uncertainty factor
UFA	interspecies uncertainty factor
UFc	composite uncertainty factor
UF <sub>D</sub>	evidence base deficiencies uncertainty
	factor
UFh	human variation uncertainty factor
UFl	LOAEL to NOAEL uncertainty factor
UFs	subchronic to chronic uncertainty
	factor
$V_2$	volume of distribution of peripheral
	compartment (two-compartment PK
	model)
$V_{\rm d}$	volume of distribution

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## **EXECUTIVE SUMMARY**

#### 1 Summary of Occurrence and Health Effects

2 Perfluorohexanoic acid (PFHxA, CASRN 307-24-4) and its related salts are members of the group per- and polyfluoroalkyl substances (PFAS). This assessment applies to PFHxA as well as 3 salts of PFHxA, including ammonium perfluorohexanoate (PFHxA-NH4, CASRN 21615-47-4), and 4 5 sodium perfluorohexanoate (PFHxA-NA, CASRN 2923-26-4), and other nonmetal and alkali metal 6 salts of PFHxA, that would be expected to fully dissociate in aqueous solutions of pH ranging from 7 4–9 (e.g., in the human body). Notably, due to the possibility of PFHxA-independent contributions 8 of toxicity, this assessment would not necessarily apply to nonalkali metal salts of PFHxA (e.g., 9 silver undecafluorohexanoate; CASRN 336-02-7). The synthesis of evidence and toxicity value 10 derivation presented in this assessment focuses on the free acid of PFHxA and related ammonium 11 and sodium salts given the currently available toxicity data. 12 Concerns about PFHxA and other PFAS stem from the resistance of these compounds to 13 hydrolysis, photolysis, and biodegradation, which leads to their persistence in the environment. 14 PFAS are not naturally occurring in the environment; they are manmade compounds that have been 15 used widely over the past several decades in industrial applications and consumer products 16 because of their resistance to heat, oil, stains, grease, and water. PFAS in the environment are 17 linked to industrial sites, military fire training areas, wastewater treatment plants, and commercial 18 products (Appendix A, Section 2.1.2) 19 The Integrated Risk Information System (IRIS) Program is developing a series of five PFAS 20 assessments (i.e., perfluorobutanoic acid [PFBA], perfluorohexanoic acid [PFHxA], perfluorohexane 21 sulfonate [PFHxS], perfluorononanoic acid [PFNA], perfluorodecanoic acid [PFDA], and their 22 associated salts) at the request of EPA National Programs and Regions. The systematic review 23 protocol (see Appendix A) for these five PFAS assessments outlines the related scoping and 24 problem formulation efforts, including a summary of other federal and state assessments of PFHxA. 25 The protocol also lays out the systematic review and dose-response methods used to conduct this 26 review (see also Section 1.2). The systematic review protocol was released for public comment in 27 November 2019 and was updated on the basis of those public comments. Appendix A links to the 28 updated version of the protocol and summary of revisions. 29 Human epidemiological studies have examined possible associations between PFHxA

- 30 exposure and health outcomes, such as liver enzymes, thyroid hormones, blood lipids, blood
- 31 pressure, insulin resistance, body mass index, semen parameters, reproductive hormones, and
- 32 asthma. The ability to draw conclusions regarding these associations is limited by the overall
- 33 conduct of the studies (studies were generally *low* confidence); the few studies per health outcome;

and, in some studies, the lack of a quantifiable measure of exposure. No studies were identified that
 evaluated the association between PFHxA exposure and carcinogenicity in humans.

Animal studies of PFHxA exposure exclusively examined the oral exposure route, and therefore no inhalation assessment was conducted nor was an RfC derived (see Section 5.2.2). The available animal studies of oral PFHxA exposure examined a variety of noncancer and cancer

- 6 endpoints, including those relevant to hepatic, developmental, renal, hematopoietic, endocrine,
- 7 reproductive, immune, and nervous system effects.

8 Overall, the available evidence indicates that PFHxA exposure is likely to cause hepatic, 9 developmental, and hematopoietic effects in humans, given relevant exposure circumstances. 10 Specifically, for hepatic effects, the primary support for this hazard conclusion included evidence of 11 increased relative liver weights and increased incidence of hepatocellular hypertrophy in adult rats. 12 These hepatic findings correlated with changes in clinical chemistry (e.g., serum enzymes, blood 13 proteins) and necrosis. For hematopoietic effects, the primary supporting evidence included 14 decreased red blood cell counts, decreased hematocrit values, and increased reticulocyte counts in 15 adult rats. Developmental effects were identified as a hazard based on evidence of decreased 16 offspring body weight and increased perinatal mortality in exposed rats and mice. Selected 17 quantitative data from these identified hazards were used to derive toxicity values (see Table ES-1). 18 In addition, evidence suggests the potential for PFHxA exposure to affect endocrine (i.e., thyroid) responses, based on studies in rats. However, due to limitations in the currently 19 20 available studies, these data were not considered for use in deriving toxicity values. Although some 21 human and animal evidence was also identified for cardiometabolic, renal, male and female 22 reproductive, immune, and nervous system effects, the currently available *evidence is inadequate* 23 to assess whether PFHxA may cause these health effects in humans under relevant exposure

24 circumstances and were not used to derive toxicity values

Organ/ System	Integration judgment	Toxicity value	Value for PFHxA (mg/kg- d)	Value for PFHxA- NA <sup>a</sup> (mg/kg- d)	Confid- ence in osRfD	UFA	UF <sub>H</sub>	UFs	UF∟	UF₀	UFc	Basis
Hepatic	Evidence indicates (likely)	osRfD	4 × 10 <sup>-4</sup>	4 × 10 <sup>-4</sup>	Medium	3	10	3	1	3	300	Increased hepatocellular hypertrophy in adult rats ( <u>Loveless et al.,</u> <u>2009</u> )
		Subchronic osRfD	1 × 10 <sup>-3</sup>	1 × 10 <sup>-3</sup>	Medium	3	10	1	1	3	100	Increased hepatocellular hypertrophy in adult rats (Loveless et al., 2009)
Hemato- poietic	Evidence indicates (likely)	osRfD	5 × 10 <sup>-3</sup>	6 × 10 <sup>-3</sup>	High	3	10	1	1	3	100	Decreased red blood cells in adult rats ( <u>Klaunig et al.,</u> <u>2015</u> )
		Subchronic osRfD	8 × 10 <sup>-4</sup>	8 × 10 <sup>-4</sup>	High	3	10	1	1	3	100	Decreased red blood cells in adult rats ( <u>Chengelis et</u> <u>al., 2009b</u> )
Develop- mental	Evidence indicates (likely)	osRfD	5 × 10 <sup>-4</sup>	5 × 10 <sup>-4</sup>	Medium	3	10	1	1	3	100	Decreased F <sub>1</sub> body weight at PND 0 ( <u>Loveless</u> <u>et al., 2009</u> )

Table ES-1. Health effects with evidence available to synthesize and draw summary judgments and derived toxicity values

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Organ/ System	Integration judgment	Toxicity value	Value for PFHxA (mg/kg- d)	Value for PFHxA- NA <sup>a</sup> (mg/kg- d)	Confid- ence in osRfD	UFA	UF <sub>H</sub>	UFs	UFL	UF₀	UFc	Basis
		Subchronic osRfD	5 × 10 <sup>-4</sup>	5 × 10 <sup>-4</sup>	Medium	3	10	1	1	3	100	Decreased F <sub>1</sub> body weight at PND 0 ( <u>Loveless</u> <u>et al., 2009</u> )
Overall RfD			5 × 10 <sup>-4</sup>	5 × 10 <sup>-4</sup>	Medium	3	10	1	1	3	100	Decreased F <sub>1</sub> body weight at PND 0 ( <u>Loveless</u> <u>et al., 2009</u> )
Overall Subchronic RfD			5 × 10 <sup>-4</sup>	5 × 10 <sup>-4</sup>	Medium	3	10	1	1	3	100	Decreased F <sub>1</sub> body weight at PND 0 ( <u>Loveless</u> <u>et al., 2009</u> )

RfD = reference dose (in mg/kg-d) for lifetime exposure; subchronic RfD = reference dose (in mg/kg-d) for less-than-lifetime exposure; osRfD = organ- or system-specific reference dose (in mg/kg-d); UF<sub>A</sub> = animal to human uncertainty factor; UFC = composite uncertainty factor; UF<sub>D</sub> = evidence base deficiencies uncertainty factor; UF<sub>H</sub> = human variation uncertainty factor; UF<sub>L</sub> = LOAEL to NOAEL uncertainty factor; UF<sub>S</sub> = subchronic to chronic uncertainty factor. <sup>a</sup>To calculate candidate values for salts of PFHxA, multiply the candidate value of interest by the ratio of molecular weights of the free acid and the salt. For example, for the sodium salt of PFHxA, the candidate value would be calculated by multiplying the free acid candidate value by 1.070 (MW free acid/MW sodium salt = 336/314 = 1.070). This same conversion can be applied to other salts of PFHxA, such as the ammonium salt.

#### 1 Chronic Oral Reference Dose (RfD) for Noncancer Effects

- 2 From the identified hazards of potential concern (i.e., hepatic, hematopoietic, and
- 3 developmental toxicity), decreased offspring body weight in neonatal mice (Loveless et al., 2009)
- 4 was selected as the basis for the RfD of  $5 \times 10^{-4}$  mg/kg-day. A BMDL<sub>5RD</sub> of 10.62 mg/kg-day was
- 5 identified for this endpoint and was used as the point of departure (POD). The human equivalent
- 6 dose POD (POD<sub>HED</sub>) of 0.048 mg/kg-day was derived by applying the ratio of the clearance between
- 7 female rats and humans and a normalization from the sodium salt to the free acid using a molecular
- 8 weight conversion. The overall RfD for PFHxA was calculated by dividing the POD<sub>HED</sub> by a
- 9 composite uncertainty factor of 100 to account for pharmacodynamic uncertainty in the
- extrapolation from rats to humans ( $UF_A = 3$ ), interindividual differences in human susceptibility
- 11 (UF<sub>H</sub> = 10), and deficiencies in the toxicity evidence base (UF<sub>D</sub> = 3).

#### 12 Confidence in the Oral Reference Dose (RfD)

13 The study conducted by <u>Loveless et al. (2009)</u> reported developmental effects following

- 14 administration of PFHxA sodium salt to pregnant Sprague-Dawley rats dosed by gavage for
- approximately 70 days prior to cohabitation through gestation and lactation, for a total of 126 days
- 16 daily gavage with 0, 20, 100, or 500 mg/kg-day sodium PFHxA. The overall confidence in the osRfD is
- 17 *medium* and is primarily driven by *medium* confidence in the overall evidence base for developmental
- 18 effects, *high* confidence in the study (click the <u>HAWC link</u> for full study evaluation details), and
- 19 *medium* confidence in quantitation of the POD (see Table 5-8). *High* confidence in the study was not
- 20 interpreted to warrant changing the overall confidence from *medium*.

#### 21 Subchronic Oral Reference Dose (RfD) for Noncancer Effects

- 22 In addition to providing RfDs for chronic oral exposures in multiple systems, a less-than-
- 23 lifetime subchronic RfD was derived for PFHxA. The same study and endpoint (Loveless et al.,
- 24 <u>2009</u>) and decreased F<sub>1</sub> body weight) and value was selected as the basis for the subchronic RfD of
- 25  $5 \times 10^{-4}$  mg/kg-day (see Table ES-1). Details are provided in Section 5.2.1

1

#### 2 Noncancer Effects Following Inhalation Exposure

No studies that examine toxicity in humans or experimental animals following inhalation
 exposure and no physiologically based pharmacokinetic (PBPK) models are available to support

5 route-to-route extrapolation; therefore, no RfC was derived.

#### 6 Evidence for Carcinogenicity

7 Under EPA's *Guidelines for Carcinogen Risk Assessment* (U.S. EPA, 2005), EPA concluded

8 there is *inadequate information to assess carcinogenic potential* for PFHxA by either oral or

- 9 inhalation routes of exposure. The lack of data on the carcinogenicity of PFHxA precludes the
- 10 derivation of quantitative estimates for either oral (oral slope factor [OSF]) or inhalation
- 11 (inhalation unit risk [IUR]) exposure.

# 1.OVERVIEW OF BACKGROUND INFORMATION AND ASSESSMENT METHODS

1	A series of five PFAS assessments (perfluorobutanoic acid [PFBA], perfluorohexanoic acid
2	[PFHxA], perfluorohexane sulfonate [PFHxS], perfluorononanoic acid [PFNA], perfluorodecanoic
3	acid [PFDA], and their associated salts) are being developed by the Integrated Risk Information
4	System (IRIS) Program at the request of the U.S. Environmental Protection Agency (EPA) National
5	Programs and Regions. Appendix A is the systematic review protocol for these five PFAS
6	assessments. The protocol outlines the scoping and problem formulation efforts relating to these
7	assessments, including a summary of other federal and state reference values for PFHxA. The
8	protocol also lays out the systematic review and dose-response methods used to conduct this
9	review (see also Section 1.2). This systematic review protocol was released for public comment in
10	November 2019 and was subsequently updated on the basis of those public comments. Appendix A
11	includes the updated version of the protocol, including a summary of the updates in the protocol
12	history section (see Appendix A, Section 12).

### 1.1. BACKGROUND INFORMATION ON PFHxA AND RELATED AMMONIUM AND SODIUM SALTS

13 This section provides a brief overview of aspects of the physiochemical properties, human

14 exposure, and environmental fate characteristics of perfluorohexanoic acid (PFHxA, CASRN

15 307-24-4), ammonium perfluorohexanoate (PFHxA-NH<sub>4</sub>, CASRN 21615-47-4), and sodium

16 perfluorohexanoate (PFHxA-Na, CASRN 2923-26-4).n This overview is not intended to provide a

17 comprehensive description of the available information on these topics. The reader is encouraged

18 to refer to source materials cited below, more recent publications on these topics, and the

19 assessment systematic review protocol (see Appendix A).

#### 1.1.1. Physical and Chemical Properties

20 PFHxA and related sodium and ammonium PFHxA salts covered in this assessment are

21 members of the group of per- and polyfluoroalkyl substances (PFAS). Concerns about PFHxA and

- 22 other PFAS stem from the resistance of these compounds to hydrolysis, photolysis, and
- biodegradation, which leads to their persistence in the environment (<u>NLM, 2017, 2016, 2013</u>).
- 24 PFHxA and related salts are classified as a perfluorinated carboxylic acids (PFCAs) (<u>OECD, 2015</u>).
- 25 PFHxA and its associated salts are considered short-chain PFAS (<u>ATSDR, 2018</u>). The linear

- 1 chemical structures<sup>1</sup> of these chemicals are presented in Figure 1-1, and select physiochemical
- 2 properties are provided in Table 1-1.

<sup>&</sup>lt;sup>1</sup> The assessment applies to other non-linear isomers of PFHxA and related salts.



PFHxA		PFHxA	PFHxA	
		ammonium salt	sodium salt	
CASRN	307-24-4	21615-47-4	2923-26-4	
DTXSID	3031862	90880232	3052856	

Figure 1-1. Linear chemical structures of (from left to right) perfluorohexanoic acid (PFHxA), ammonium perfluorohexanoate (PFHxA-NH<sub>4</sub>), and sodium perfluorohexanoate (PFHxA-Na).

Source: EPA CompTox Chemicals Dashboard.

Property (unit)	PFHxA value	PFHxA-NH₄ value	PFHxA-Na value	
Formula	CF <sub>3</sub> (CF <sub>2</sub> ) <sub>4</sub> COOH	$C_6H_4F_{11}NO_2$	$C_6F_{11}NaO_2$	
Molecular weight (g/mol)	314	331	336	
Melting point (°C)	12.2ª	39.2 <sup>b</sup>	70.2 <sup>b</sup>	
Boiling point (°C)	157ª	156 <sup>b</sup>	216 <sup>b</sup>	
Density (g/cm <sup>3</sup> )	1.69 <sup>b</sup>	1.72 <sup>b</sup>	1.69 <sup>b</sup>	
Vapor pressure (mm Hg)	0.908ª	2.00 <sup>b</sup>	1.63 <sup>b</sup>	
Henry's law constant (atm-m <sup>3</sup> /mole)	$2.35 \times 10^{-10}$ (b)	$2.35 \times 10^{-10}$ (b)	$2.35 \times 10^{-10}$ (b)	
Water solubility (mol/L)	9.34 × 10 <sup>-5 (a)</sup>	1.10 <sup>b</sup>	8.78 × 10 <sup>-5 (a)</sup>	
РКа	-0.16 <sup>c</sup>			
LogP <sub>Octanol-Water</sub>	2.85ª	3.97 <sup>b</sup>	0.70ª	
Soil adsorption coefficient (L/kg)	1,070 <sup>b</sup>	1,070 <sup>b</sup>	1,070 <sup>b</sup>	
Bioconcentration factor	49.3 <sup>b</sup>	5.47 <sup>b</sup>	49.3 <sup>b</sup>	

#### Table 1-1. Physicochemical properties of PFHxA

<sup>a</sup>U.S. EPA (2018a). CompTox Chemicals Dashboard; access date 2/18/2021. Median or average experimental values.

<sup>b</sup>Average or median predicted values; -- indicates data not available.

<sup>c</sup>Reported by <u>NLM (2016)</u>; access date 05/06/2019.

#### 1.1.2. Sources, Production, and Use

1 PFAS are not naturally occurring in the environment (U.S. EPA, 2020, 2019c; ATSDR, 2018; 2 U.S. EPA, 2013, 2007, 2002b). They are manmade compounds that have been used widely over the 3 past several decades in consumer products and industrial applications because of their resistance 4 to heat, oil, stains, grease, and water. This class of chemicals has been used in consumer products 5 including stain-resistant fabrics for clothing, carpets, and furniture; nonstick cookware; ski wax; 6 certain leather products; and personal care products (e.g., dental floss, cosmetics, and sunscreen) 7 (<u>U.S. EPA, 2020</u>, <u>2019c</u>; <u>ATSDR, 2018</u>; <u>U.S. EPA, 2013</u>, <u>2007</u>, <u>2002b</u>). PFAS also have been detected 8 from foam used in firefighting and in industrial surfactants, emulsifiers, wetting agents, additives, 9 and coatings; they are also used in aerospace, automotive, building, and construction industries to reduce friction (U.S. EPA, 2020, 2019c; ATSDR, 2018; U.S. EPA, 2013, 2007, 2002b). In addition, 10 11 PFAS have been found at private and federal facilities associated with various material or processes 12 involving aqueous film-forming foam (AFFF), chrome plating, and PFAS production and are 13 associated with other industries using PFAS (e.g., textiles, carpets) (U.S. EPA, 2020, 2019c; ATSDR, 14 2018; U.S. EPA, 2013, 2007, 2002b). In AFFF, PFHxA has been detected at concentrations ranging from 0.1 to 0.3 g/L (Baduel et al., 2015; Houtz et al., 2013). 15 16 No quantitative PFHxA information on production volume is available (U.S. EPA, 2019a), 17 and EPA's Toxics Release Inventory (TRI) contains no information on releases to the environment 18 from facilities manufacturing, processing, or otherwise using PFHxA (ATSDR, 2018; U.S. EPA, 19 <u>2018c</u>). 20 Wang et al. (2014) estimates global emissions of 39 to 1,691 tons of PFHxA from direct and

indirect (i.e., degradation of precursors) sources between 1951 and 2030. The lower estimate
assumes manufacturers cease production and use of long-chain PFCAs and that their precursors
stay consistent with global transition trends. The higher estimate assumes the 2015 emission

24 scenario remains constant until 2030.

#### 1.1.3. Environmental Fate and Transport

PFAS are highly stable and persistent worldwide, and many are found in environmental
 media (e.g., soils, water, the atmosphere, foods, wildlife, and humans) (U.S. EPA, 2019c)

27 (Appendix A).

28 Uptake of soil PFAS to plants can occur (ATSDR, 2018), and estimates are available of PFAS 29 accumulation in vegetation when plants are grown in PFAS-contaminated soil. <u>Yoo et al. (2011)</u> 30 estimated grass-soil accumulation factors of 3.4 (grass concentration divided by soil concentration) 31 for PFHxA using samples collected from a site with biosolids-amended soil. Venkatesan and Halden 32 (2014) analyzed archived samples from outdoor mesocosms to investigate the fate over 3 years of 33 PFAS in agricultural soils amended with biosolids. The mean half-life for PFHxA was estimated to 34 be 417 days. Volatilization of PFHxA from moist soil is not expected to be an important fate process 35 (NLM, 2016). PFHxA bioaccumulates in foods grown on PFAS-containing soils. Blaine et al. (2013)

- 1 conducted a series of greenhouse and field experiments to investigate the potential for PFAS uptake
- 2 by lettuce, tomatoes, and corn when grown in industrially impacted and biosolids-amended soils.
- 3 <u>Blaine et al. (2013)</u> calculated PFHxA bioaccumulation factors of 9.9–11.7 for lettuce and 2.9–6.8 for
- 4 tomatoes (no bioaccumulation factor was reported for corn).

#### 1.1.4. Potential for Human Exposure and Populations with Potentially Greater Exposure

- 5 The general population can be exposed to PFAS via inhalation of air or dust, ingestion of 6 drinking water and food, and dermal contact with PFAS-containing products and during susceptible 7 lifestages (see Appendix A). The oral route of exposure is considered the dominant exposure 8 pathway for the general population (<u>Klaunig et al., 2015</u>), for which contaminated drinking water is 9 likely a significant source of exposure. Due to the high water solubility and mobility of PFAS in 10 groundwater (and potential lack of remediation at some water treatment facilities), populations 11 consuming drinking water from any contaminated watershed could be exposed to PFAS (<u>Shao et al.,</u> 12 2016)
- 12 <u>2016</u>).

Infants potentially have higher exposure due to greater ingestion of food per body weight.
 Further, although studies of human breast milk in the U.S. population have not

15 observed PFHxA, it has been detected in human breast milk from French Korean, and Spanish

- 16 populations (summarized in Table 5 of <u>Anderson et al. (2019)</u>). Exposure can also occur through
- 17 hand-to-mouth transfer of materials containing these compounds (<u>ATSDR, 2018</u>) or in infants
- 18 through ingestion of formula reconstituted with contaminated drinking water.

#### 19 Air and Dust

- 20 PFHxA has not been evaluated under the National Air Toxics Assessment program and no 21 additional information on atmospheric concentration was identified. PFAS, including PFHxA, have 22 been measured in indoor air and dust and might be associated with the indoor use of consumer 23 products such as PFAS-treated carpets or other textiles (ATSDR, 2018). For example, Kato et al. 24 (2009) detected PFHxA in 46.2% of the dust samples collected from 39 homes in the United States, 25 United Kingdom, Germany, and Australia. Karásková et al. (2016) detected PFHxA in all 56 dust 26 samples collected from 41 homes in the Czech Republic, Canada, and the United States at mean 27 concentrations of 12.8, 14.5, and 20.9 ng/g, respectively. <u>Strynar and Lindstrom (2008)</u> analyzed 28 dust samples from 110 homes and 10 daycare centers in North Carolina and Ohio, and detected 29 PFHxA in 92.9% of the samples. Knobeloch et al. (2012) detected PFHxA in 20% of samples of 30 vacuum cleaner dust collected from 39 homes in Wisconsin. PFHxA concentrations ranged from 31 below the reporting limit (1 ng/g) to 180 ng/g. Fraser et al. (2013) analyzed dust samples collected 32 from offices (n = 31), homes (n = 30), and vehicles (n = 13) in Boston, Massachusetts. PFHxA was 33 detected in 68% of the office samples at concentrations ranging from 5.1 to 102 ng/g, 57% of the
- home samples at concentrations ranging from 4.9 to 1,380 ng/g, and 54% of the vehicle samples at
- 35 concentrations ranging from 5.0 to 18.2 ng/g.

#### 1 Water

2 EPA conducted monitoring for several PFAS in drinking water as part of the third and fifth 3 Unregulated Contaminant Monitoring Rules (UCMR3 and UCMR5) (U.S. EPA, 2019b, 2016b). PFHxA 4 was recently added to UCMR5 for public water system monitoring and applies to 2022–2026, with 5 sample collection proposed between 2023 and 2025. Some drinking water PFHxA data are 6 available from other publications. For example, samples from seven municipal wells in Oakdale, 7 Minnesota were analyzed for PFHxA where the concentrations ranged from <0.025 to  $0.235 \mu g/L$ 8 (U.S. EPA, 2016b). PFHxA also was detected in 23% of raw water samples collected from public 9 water systems in New Jersey at concentrations ranging from nondetectable to 0.017  $\mu$ g/L (Post et 10 al., 2012). In a more recent study of surface waters sampled from 11 waterways in New Jersey, 11 PFHxA was detected in 10 samples, ranging from 0.0015 to 0.026 µg/L (Goodrow et al., 2020).

#### 12 AFFF Training Sites

13 PFHxA was detected at an Australian training ground where AFFFs had been used. <u>Baduel et</u>

14 <u>al. (2015)</u> and <u>Bräunig et al. (2017)</u> observed mean concentrations of PFHxA of 0.6 μg/L in water,

15  $8.4 \,\mu\text{g/kg}$  dry weight in soil, and  $3.0 \,\mu\text{g/kg}$  wet weight in grass at an Australian town where the

16 groundwater had been impacted by PFAS from a nearby firefighting training facility. <u>Houtz et al.</u>

17 (2013) analyzed samples of groundwater, soil, and aquifer solids collected at an Air Force

18 firefighting training facility in South Dakota where AFFF had been used. PFAS concentrations in

19 groundwater decreased with increased distance from the burn pit, and PFHxA was detected at a

20 median concentration of 36 µg/L. PFHxA was detected in surficial soil at a median concentration of

21  $11 \mu g/kg$  and in aquifer solids at a median concentration of 45  $\mu g/kg$ .

#### 22 Military and National Priorities List (NPL) Sites

23 PFHxA levels in environmental samples collected in 2014 have been measured at military

24 and National Priorities List (NPL) sites in the United States. Table 1-2 provides the concentrations

25 at these sites (<u>ATSDR, 2018</u>; <u>Anderson et al., 2016</u>).

# Table 1-2. PFHxA levels at 10 military installations and National PriorityList sites

Media	PFHxA value	Site	Source
Surface soil		Military <sup>a</sup>	Anderson et al. (2016)
Frequency of detection (%)	70.33		
Median (ppb)	1.75		
Maximum (ppb)	51.0		
Subsurface soil		Military <sup>a</sup>	Anderson et al. (2016)
Frequency of detection (%)	65.38		
Median (ppb)	1.04		
Maximum (ppb)	140		

Media	PFHxA value	Site	Source
Sediment Frequency of detection (%) Median (ppb) Maximum (ppb)	63.64 1.70 710	Military <sup>a</sup>	<u>Anderson et al. (2016)</u>
Surface Water Frequency of detection (%) Median (ppb) Maximum (ppb)	96.00 0.320 292	Military <sup>a</sup>	Anderson et al. (2016)
Groundwater Frequency of detection (%) Median (ppb) Maximum (ppb)	94.20 0.820 120	Military <sup>a</sup>	Anderson et al. (2016)
Water (ppb) Median Geometric mean	0.25 0.10	NPL <sup>b</sup>	<u>ATSDR (2018)</u>
Soil (ppb) Median Geometric mean	1,175 1,175	NPL <sup>b</sup>	<u>ATSDR (2018)</u>
Air (ppbv) Median Geometric mean	ND ND	NPL <sup>b</sup>	ATSDR (2018)

<sup>a</sup>Samples collected between March and September 2014 from 10 active U.S. Air Force installations located throughout the United States, including Alaska, with a historic use of AFFFs; data originally reported as μg/kg. <sup>b</sup>Concentrations found in ATSDR site documents; water and soil values represent data from two NPL sites.

#### 1 Other Exposures

2 Schecter et al. (2012) collected 31 food samples from 5 grocery stores in Texas and 3 analyzed them for persistent organic pollutants, including PFHxA. PFHxA was not detected in the 4 samples. Chen et al. (2018) analyzed PFAS in a wide range of foods in Taiwan and detected PFHxA 5 at geometric mean concentrations ranging from 0.03 ng/mL in milk to 1.58 ng/g in liver. Heo et al. 6 (2014) analyzed a variety of foods and beverages in Korea for PFAS. PFHxA was detected in 8.1% 7 of the fish and shellfish samples at a mean concentration of 0.037 ng/g; 8.1% of the dairy samples 8 at a mean concentration of 0.051 ng/g; 9.5% of the beverage samples at a concentration of 0.187 9 ng/L; 20.5% of the fruit and vegetable samples at a mean concentration of 0.039 ng/g; and 51.3% of the meat samples at a mean concentration of 0.515 ng/g. Heo et al. (2014) also detected PFHxA in 10 11 tap water in Korea at a mean concentration of 11.7 ng/L; PFHxA was not detected in bottled water. 12 Pérez et al. (2014) analyzed PFAS in 283 food items (38 from Brazil, 35 from Saudi Arabia, 36 from 13 Serbia, and 174 from Spain). PFHxA was detected in 6.0, 21.3, and 13.3% of the samples from 14 Brazil, Saudi Arabia, and Spain, respectively. The mean concentrations of PFHxA were 270, 931, 15 and 418 pg/g, respectively. The study did not find PFHxA in any of the Serbian samples. PFHxA was

- 1 detected in microwave popcorn packaging materials at a range of 3.4 to 497 ng/g, but was not
- 2 detected in the corn or popcorn (<u>Moreta and Tena, 2014</u>).
- 3 <u>Stahl et al. (2014)</u> characterized PFAS in freshwater fish from 164 U.S. urban river sites and
- 4 157 near-shore Great Lakes sites. PFHxA was not detected in the fish from U.S. urban rivers but
- 5 was detected in fish from 15% of the Great Lakes sites at a maximum concentration of 0.80 ng/g.

### **1.2. SUMMARY OF ASSESSMENT METHODS**

- 6 This section summarizes the methods used for developing this assessment. A detailed
- 7 description of these methods is provided in the PFAS Systematic Review Protocol for the PFDA,
- 8 PFNA, PFHxA, PFHxS, and PFBA IRIS Assessments (see Appendix A and <u>online</u>). The protocol
- 9 includes additional problem formulation details, including the specific aims and key science issues
- 10 identified for this assessment.

### 1.2.1. Literature Search and Screening

The detailed search approach, including the query strings and populations, exposures, comparators, and outcomes (PECO) criteria, are provided in Appendix A, Table 3-1. The results of the current literature search and screening efforts are documented in Section 2.1. Briefly, a literature search was first conducted in 2017 and regular yearly updates have been performed (the literature fully considered in the assessment was until April 2021. The literature search queries the following databases (no literature was restricted by language):

- 17 PubMed (<u>National Library of Medicine</u>)
- 18 Web of Science (<u>Thomson Reuters</u>)
- 19 Toxline (moved to PubMed December 2019)
- 20 TSCATS (<u>Toxic Substances Control Act Test Submissions</u>)
- 21 In addition, relevant literature not found through evidence base searching was identified
- 22 by:
- Review of studies cited in U.S. state, U.S. federal, and international assessments, including
   parallel assessment efforts in progress (e.g., the draft Agency for Toxic Substances and
   Disease Registry [ATSDR] assessment released publicly in 2018).
- Review of studies submitted to federal regulatory agencies and brought to EPA's attention.
- Identification of studies during screening for other PFAS. For example, searches focused on
   one of the other four PFAS currently being assessed by the IRIS Program sometimes
   identified epidemiological studies relevant to PFHxA.

- Other gray literature (i.e., primary studies not indexed in typical evidence bases, such as
   technical reports from government agencies or scientific research groups; unpublished
   laboratory studies conducted by industry; or working reports/white papers from research
   groups or committees) brought to EPA's attention.
- 5 All literature, including literature search updates, is tracked in the <u>EPA Health and</u>

6 <u>Environmental Research Online (HERO) database.</u><sup>2</sup> The PECO criteria identify the evidence that

7 addresses the specific aims of the assessment and focuses the literature screening, including study

- 8 inclusion/exclusion. In addition to those studies meeting the PECO criteria, studies containing
- 9 supplemental material potentially relevant to the specific aims of the assessment were inventoried
- during the literature screening process. Although these studies did not meet PECO criteria, they
   were not excluded. Rather, they were considered for use in addressing the identified key science

12 issues (see Appendix A, Section 2.4) and other major scientific uncertainties identified during

13 assessment development but unanticipated at the time of protocol posting. Studies categorized as

14 "potentially relevant supplemental material" included the following:

- In vivo mechanistic or mode-of-action studies, including non-PECO routes of exposure
   (e.g., intraperitoneal injection) and non-PECO populations (e.g., nonmammalian models);
- In vitro and in silico models;
- Absorption, distribution, metabolism, and excretion (ADME) and pharmacokinetic (PK) studies (excluding models)<sup>3</sup>;
- Exposure assessment or characterization (no health outcome) studies;
- Human case reports or case-series studies; and
- Studies of other PFAS (e.g., perfluorooctanoic acid [PFOA] and perfluorooctane sulfonate
   [PFOS]).

24 The literature was screened by two independent reviewers with a process for conflict

25 resolution, first at the title and abstract level and subsequently the full-text level, using structured

26 forms in DistillerSR (<u>Evidence Partners</u>). Literature inventories for studies meeting PECO criteria

27 and studies tagged as "potentially relevant supplemental material" during screening were created

to facilitate subsequent review of individual studies or sets of studies by topic-specific experts.

<sup>&</sup>lt;sup>2</sup>EPA's Health and Environmental Research Online (HERO) database provides access to the scientific literature behind EPA science assessments. The database includes more than 3,000,000 scientific references and data from the peer-reviewed literature EPA uses to develop its risk assessments and related regulatory decisions.

<sup>&</sup>lt;sup>3</sup>Given the known importance of ADME data, this supplemental tagging was used as the starting point for a separate screening and review of PK data (see Appendix A, Section9.2 for details).

#### 1.2.2. Evaluation of Individual Studies

The detailed approaches used for the evaluation of epidemiological and animal toxicological
studies used in the PFHxA assessment are provided in the systematic review protocol (See
Appendix A, Section 6). The general approach for evaluating health effect studies meeting PECO
criteria is the same for epidemiological and animal toxicological studies although the specifics of
applying the approach differ; thus, they are described in detail in Appendix A (see Sections 6.2 and
6.3, respectively).

- 7 The key concerns during the review of epidemiological and animal toxicological studies are 8 potential bias (factors that affect the magnitude or direction of an effect in either direction) 9 and insensitivity (factors that limit the ability of a study to detect a true effect; low 10 sensitivity is a bias toward the null when an effect exists). In terms of the process for 11 evaluating individual studies, two or more reviewers independently arrived at judgments 12 about the reliability of the study results (reflected as study confidence determinations; see 13 below) with regard to each outcome or outcome grouping of interest; thus, different judgments were possible for different outcomes within the same study. The results of these 14 15 reviews were tracked within EPA's version of the Health Assessment Workplace 16 Collaborative (HAWC). To develop these judgments, each reviewer assigned a rating of good, adequate, deficient (or not reported, which generally carried the same functional 17 18 interpretation as *deficient*), or *critically deficient* (listed from best to worst methodological conduct; see Appendix A, Section 6.1 for definitions) to each evaluation domain 19 20 representing the different characteristics of the study methods that were evaluated on the basis of the criteria outlined in HAWC. 21
- Once all domains were evaluated, the identified strengths and limitations were consideredas a whole by the reviewers to reach a final study confidence classification:
- *High* confidence: No notable deficiencies or concerns were identified; the potential for bias is unlikely or minimal, and the study used sensitive methodology.
- *Medium* confidence: Possible deficiencies or concerns were noted, but the limitations are unlikely have a significant impact on the results.
- Low confidence: Deficiencies or concerns were noted, and the potential for bias or
   inadequate sensitivity could have a significant impact on the study results or their
   interpretation. Low confidence results were given less weight compared to high or medium
   confidence results during evidence synthesis and integration (see Section 1.2.4).
- *Uninformative*: Serious flaw(s) were identified that make the study results unusable.
   *Uninformative* studies were not considered further, except to highlight possible research
   gaps.
- 35 Using the <u>HAWC</u> platform (and conflict resolution by an additional reviewer, as needed), the
- 36 reviewers reached a consensus judgment regarding each evaluation domain and overall
- 37 (confidence) determination. The specific limitations identified during study evaluation were

1 carried forward to inform the synthesis (see Section 1.2.4) within each body of evidence for a given

2 health effect (i.e., study confidence determinations were not used to inform judgments in isolation).

#### 1.2.3. Data Extraction

3 The detailed data extraction approach is provided in Appendix A, Section 8, and data 4 extraction and content management is carried out using <u>HAWC</u> (see Appendix C). Data extraction 5 elements that may be collected from epidemiological, controlled human exposure, animal 6 toxicological, and in vitro studies are available in <u>HAWC</u>. As described in the systematic review 7 protocol (see Appendix A), not all studies that meet the PECO criteria go through data extraction: 8 For example, studies evaluated as being *uninformative* are not considered further and therefore do 9 not undergo data extraction. All findings are considered for extraction, regardless of statistical 10 significance. The level of extraction for specific outcomes within a study might differ (e.g., ranging 11 from a qualitative description to full extraction of dose-response effect size information). For 12 quality control, data extraction is performed by one member of the evaluation team and 13 independently verified by at least one other member. Discrepancies in data extraction are resolved by discussion or consultation with a third member of the evaluation team. 14

#### 1.2.4. Evidence Synthesis and Integration

15 For the purposes of this assessment, evidence synthesis and integration are considered 16 distinct but related processes (see Appendices A, Sections 9 and 10 for full details). For each 17 assessed health effect, the evidence syntheses provides a summary discussion of each body of 18 evidence considered in the review that directly informed the integration across evidence that was 19 used to draw an overall judgment for each health effect. The available human and animal evidence 20 pertaining to the potential health effects were synthesized separately, with each synthesis resulting 21 in a summary discussion of the available evidence that addresses considerations regarding 22 causation adapted from Hill (1965). 23 The syntheses focus on describing aspects of the evidence that best inform causal 24 interpretations, including the exposure context examined in the sets of available studies. Syntheses 25 of the evidence for human and animal health effects are based primarily on studies of *high* and 26 *medium* confidence. Mechanistic evidence and other supplemental information was also 27 synthesized to address key science issues or to help inform key decisions regarding the human and 28 animal evidence. In certain instances (i.e. few or no studies with higher confidence are available)

- 29 *low* confidence studies might be used to help evaluate consistency, or if the study designs of the *low*
- 30 confidence studies address notable uncertainties in the set of *high* or *medium* confidence studies on
- 31 a given health effect. However, no *low* confidence studies were used in the evidence syntheses for
- 32 PFHxA included in the narrative. Inclusion in the syntheses of mechanistic evidence and other
- 33 supplemental information was intended to inform the integration of health effects evidence for
- 34 hazard identification (i.e., biological plausibility of the available human or animal evidence,

- 1 inferences regarding human relevance, adaptive versus adverse responses, etc.) and for
- 2 dose-response evaluation.
- For each assessed health effect, following the evidence syntheses, integrated judgments
  were drawn across all lines of evidence. During evidence integration, a structured and documented
  process was used, as follows:
- Building from the separate syntheses of the human and animal evidence, the strength of the evidence from the available human and animal health effect studies was summarized in parallel, but separately, using a structured evaluation of an adapted set of considerations first introduced by Bradford Hill (<u>Hill, 1965</u>). These summaries incorporate the relevant mechanistic evidence (or mode of action [MOA] understanding) that informs the biological plausibility and coherence within the available human or animal health effect studies.
- The strength of the animal and human evidence was considered together in light of inferences across evidence streams. Specifically, the inferences considered during this integration include the human relevance of the animal and mechanistic evidence, coherence across the separate bodies of evidence, and other important information (e.g., judgments regarding susceptibility). Note that without evidence to the contrary, the human relevance of animal findings is assumed.
- A summary judgment is drawn as to whether the available evidence base for each potential human health effect as a whole: "evidence demonstrates," "evidence indicates (likely),"
   "evidence suggests," "evidence is inadequate," or "evidence strongly supports no effect" that PFHxA exposure has the potential to cause the health effect in humans.
- The decision points within the structured evidence integration process are summarized inan evidence profile table for each assessed health effect.

#### 1.2.5. Dose-Response Analysis

24 The details for the dose-response analysis completed for this assessment are in Appendix A, Section 11. Briefly, although procedures for dose-response assessments were developed for both 25 26 noncancer and cancer health hazards, and for the oral route of exposure following exposure to 27 PFHxA, the existing data for PFHxA only supported derivation of an oral reference dose (RfD) for 28 noncancer hazards (see Appendix A, Section 11 for the health hazard conclusions necessary for 29 deriving other values). An RfD is an estimate, with uncertainty spanning perhaps an order of 30 magnitude, of an exposure to the human population (including susceptible subgroups) that is likely 31 without an appreciable risk of deleterious health effects over a lifetime (U.S. EPA, 2002c). 32 Specifically, for noncancer outcomes this assessment includes dose-response assessments when the 33 evidence integration judgments indicate evidence demonstrates and evidence indicates (likely). 34 Consistent with EPA practice, the PFHxA assessment applied a two-step approach for 35 dose-response assessment that distinguishes analysis of the dose-response data in the range of 36 observation from any inferences about responses at lower, environmentally relevant exposure 37 levels (U.S. EPA, 2012a, 2005). Within the observed dose range, the preferred approach is to use

- 1 dose-response modeling to incorporate as much of the data set as possible into the analysis, and
- 2 considering guidance on modeling dose-response data, assessing model fit, selecting suitable
- 3 models, and reporting modeling results [see the EPA Benchmark Dose Technical Guidance (<u>U.S.</u>
- 4 <u>EPA, 2012a</u>] as elaborated in Appendix A, Section 11. Thus, modeling to derive a POD attempted to
- 5 include an exposure level near the lower end of the range of observation, without significant
- 6 extrapolation to lower exposure levels. Extrapolations to exposures lower than the POD involved
- 7 the application of five uncertainty factors to estimate candidate noncancer toxicity values, as
- 8 described in Appendix A, Section 11.
- 9 Evaluation of these candidate values grouped within a given organ/system were used to
- 10 derive a single organ/system-specific RfD (osRfD) for each organ/system under consideration.
- 11 Next, evaluation of these osRfDs, including confidence in the evidence base supporting each
- 12 potential hazard and other factors (see Appendix A, Section 11), resulted in the selection of a single
- 13 RfD to cover all health outcomes across all organs/systems. Although this overall RfD represents
- 14 the focus of the dose-response assessment, the osRfDs can be useful for subsequent cumulative risk
- assessments. In addition, a less-than-lifetime, "subchronic" RfD was similarly estimated.
- 16 Uncertainties in these toxicity values are transparently characterized and discussed.

# 2.SUMMARY OF LITERATURE IDENTIFICATION AND STUDY EVALUATION RESULTS

## 2.1. LITERATURE SEARCH AND SCREENING RESULTS

1 The evidence base searches yielded 339 unique records, with 18 records identified from 2 posted National Toxicology Program (NTP) study tables and review of reference lists from other 3 authoritative sources (ATSDR, 2018) (see Figure 2-1). Of the 339 studies identified, 194 were 4 excluded at the title and abstract level and 77 were reviewed at the full-text level. Of the 77 5 screened at the full-text level, 26 were considered to meet the PECO criteria (see Appendix A, 6 Section 4.2.2). The studies meeting PECO at the full-text level included 14 human health effect 7 studies, 6 in vivo animal studies, 3 in vitro genotoxicity studies, and 3 PK studies. In addition, high-8 throughput screening data on PFHxA were available from EPA's CompTox Chemicals Dashboard 9 (U.S. EPA, 2018a). A literature inventory of the included animal toxicological studies is available in

10 an interactive literature inventory heatmap accessible via <u>PFHxA Tableau Link</u>.



\*Some studies were assigned multiple tags



### 2.2. STUDY EVALUATION RESULTS

1 Human and animal studies evaluated potential hepatic, developmental, hematopoietic, 2 endocrine, cardiometabolic, renal, reproductive, immune, and nervous system effects following 3 exposure to PFHxA. The evidence informing these potential health effects is presented and 4 assessed in Sections 3.2.1–3.2.9. Fourteen epidemiological studies were identified that report on 5 the potential association between PFHxA and human health effects. Of these, four were considered 6 *uninformative* due to critical deficiencies in one or more domains, including participant selection, 7 exposure measurement, confounding, or analysis (Zhang et al., 2019; Seo et al., 2018; Kim et al., 8 2016a; Jiang et al., 2014). The remaining nine studies were rated medium (Nian et al., 2019; Bao et 9 al., 2017; Zeng et al., 2015; Dong et al., 2013) or low confidence (Wang et al., 2019; Song et al., 2018; 10 Li et al., 2017; Zhou et al., 2016; Fu et al., 2014). 11 Of the six unique reports of animal studies meeting PECO criteria, five were considered for 12 dose-response. The remaining study, Kirkpatrick (2005), was considered uninformative due to 13 reporting deficiencies (i.e., all summary data [pages 110–1,334] were missing). The available evidence base of animal toxicity studies on PFHxA and the related ammonium and sodium salts 14 15 consists of five reports in rats and mice including short-term (NTP, 2018), subchronic (Chengelis et al., 2009b; Loveless et al., 2009), chronic (Klaunig et al., 2015), and reproductive/developmental 16 17 (Iwai and Hoberman, 2014; Loveless et al., 2009) experiments. These studies were generally well 18 conducted and judged *high* or *medium* confidence. In cases where a study was rated *low* confidence 19 for one or more of the evaluated outcomes, the specific limitations identified during evaluation are 20 discussed in the applicable synthesis section(s). 21 Detailed rationales for each domain and overall confidence rating are available in <u>HAWC</u>. 22 Results for human studies are available here and animal studies are available here. Graphical 23 representations of the outcome-specific ratings are presented in the organ/system-specific 24 integration sections (in Section 3.2). All outcomes rated *low* confidence or higher were used for

evidence synthesis and integration.

# **3. PHARMACOKINETICS, EVIDENCE SYNTHESIS, AND EVIDENCE INTEGRATION**

## **3.1. PHARMACOKINETICS**

1 Only a few human PK studies on PFHxA are available, but the studies provide sufficient data 2 to estimate PFHxA half-life, a dependent variable for the estimation of clearance (along with volume 3 of distribution). Several studies such as Ericson et al. (2007) reported PFHxA in blood or serum of 4 human populations (e.g., in relation to age and sex) but, because exposure levels are not known for 5 the subjects and the concentrations are not measured over time in specific subjects for whom the 6 exposure level is known to be zero, such observations cannot be used to obtain ADME information. 7 Several other studies that investigate specific aspects of PFHxA ADME in humans are discussed 8 briefly below but were not used in the derivation of toxicity values. One analysis provides an 9 estimate of PFHxA elimination in humans (Russell et al., 2013) using data from an observational 10 study by Nilsson et al. (2013). Luz et al. (2019) describes a reanalysis of these data but based only 11 on the three participants with the most rapid clearance. While EPA considers the data reported by 12 Nilsson et al. (2013) to be sufficient for the estimation of a half-life in humans, the approaches used 13 by (Russell et al., 2013) and Luz et al. (2019) were not considered adequate. Therefore, the data of 14 Nilsson et al. (2013) have been re-analyzed as described in Approach for Animal-Human 15 Extrapolation of PFHxA Dosimetry (See Section 5.2.1). 16 Animal experiments in rats, mice, and monkeys have provided valuable information on PK 17 processes of PFHxA. In brief, PFHxA and other perfluoroalkyl acids (PFAA) have similar PK aspects: 18 They are well absorbed following oral exposure and quickly distribute throughout the body 19 (Iwabuchi et al., 2017), particularly to blood, liver, skin, and kidney (Gannon et al., 2011). 20 Dzierlenga et al. (2019) noted that following intravenous (i.v.) administration of 40 mg/kg PFHxA, 21 the PK profiles were generally similar between sexes, but a lower dose-normalized area under the 22 curve (AUC, 3.05 mM·h/mmol/kg), a faster clearance (CL, 327 mL/h-kg), and a lower volume of 23 distribution of peripheral compartment ( $V_2 = 59.6 \text{ mL/kg}$ ) was observed in female Sprague-Dawley 24 rats, as compared to their male counterparts (dose-normalized AUC =  $7.38 \text{ mM}\cdot\text{h}/(\text{mmol/kg})$ , 25 CL = 136 mL/h-kg, and  $V_2 = 271 \text{ mL/kg}$ , respectively). Likewise, kinetic parameters (e.g., the 26 maximum concentration  $[C_{max}]$  were comparable between sexes following an oral dose of 27 40 mg/kg, except that females exhibited a lower dose-adjusted AUC/dose and a faster CL. A PK 28 study in mice similarly showed an AUC/dose in male animals 2-3 times higher than in females, 29 indicating slower elimination in males (Gannon et al., 2011). Thus, apparent sex-related 30 quantitative differences in PFHxA PK occur in rats and mice. On the other hand, the AUC in

- 1 monkeys given a 10 mg/kg i.v. dose of PFHxA was only slightly lower in females than in males (75
- 2 vs. 84 mg-h/L), suggesting no significant sex difference in nonhuman primates.
- **3** PFHxA is resistant to metabolic transformation, and urinary excretion is the main
- 4 elimination route, followed by feces (<u>Gannon et al., 2011</u>; <u>Iwai, 2011</u>; <u>Chengelis et al., 2009a</u>).

#### 3.1.1. Absorption

- 5 Absorption is rapid in rodents and monkeys (<u>Iwabuchi et al., 2017</u>; <u>Gannon et al., 2011</u>;
- 6 <u>Chengelis et al., 2009a</u>). PFHxA was extensively absorbed with an average time to reach maximum
- 7 concentration  $(T_{max})$  of 1 hour in Sprague-Dawley rats given 26-day repeated gavage doses of 50,
- 8 150 or 300 mg PFHxA/kg (<u>Chengelis et al., 2009a</u>). After gavage at 2 or 100 mg [1-<sup>14</sup>C]PFHxA/kg
- 9 using a single dose or 14 daily consecutive doses, <u>Gannon et al. (2011)</u> also observed a short  $T_{max}$  of
- 10 30 and 15 minutes, respectively, in male and female Sprague-Dawley rats. Similarly, rapid
- absorption was also observed in CD-1 mice (<u>Gannon et al., 2011</u>). For female rats and male and
- 12 female mice, PFHxA absorption does not appear to be saturated between 2 and 100 mg/kg as
- 13 suggested by dose-normalized AUC<sub> $0\rightarrow168$ </sub> hour, but the data in male rats indicate either a 25%
- 14 reduction in absorption or a corresponding increase in clearance between these two dose levels
- 15 (Gannon et al., 2011; Chengelis et al., 2009a).
- 16 In a recent PK study by <u>Dzierlenga et al. (2019)</u>, Sprague-Dawley rats were given PFHxA, by
- 17 i.v. injection (40 mg/kg) or gavage (40, 80, and 160 mg/kg). Besides collection of blood samples to
- 18 evaluate the time course of plasma PFHxA for each dose and route, liver, kidney, and brain samples
- 19 were collected to determine the distributions of PFHxA in tissues following 80 mg/kg gavage dose.
- 20 A two-compartmental model was used to evaluate the PK profiles. The estimated oral
- 21 bioavailability for PFHxA was >100% (<u>Dzierlenga et al., 2019</u>); this result simply could reflect
- 22 experimental and analytical uncertainty in estimating the serum concentration AUC from
- 23 intravenous vs. oral exposure, but also might be due to increased reabsorption from the intestinal
- $24 \qquad lumen \ by \ intestinal \ transporters \ of \ material \ excreted \ in \ the \ bile. \ The \ data \ indicate \ that \ T_{max}$
- 25 increased slightly but not significantly with increasing oral PFHxA dose levels for both sexes. For
- 26 instance,  $T_{max}$  increased from 0.668 ± 0.154 to 0.890 ± 0.134 hour (mean ± standard error) and
- from 0.529 ± 0.184 to 0.695 ± 0.14 hour with increased gavage doses of PFHxA for male and female
- 28 rats, respectively (<u>Dzierlenga et al., 2019</u>).

### 3.1.2. Distribution

PFHxA has an aqueous solubility of 15.7 g/L (Zhou et al., 2010). Computational chemistry predictions conclude that PFHxA and its salts have a p $K_a \le 0$  (Rayne and Forest, 2010), so it likely exists exclusively in anionic form at physiological pH (Russell et al., 2013). Therefore, it is relatively water soluble, but limited data are available to examine its distribution to various organs and tissues upon exposure in mammalian systems (Russell et al., 2013; Gannon et al., 2011). The largest concentrations were found in liver, skin, heart, lung, and kidney and concentrations peaked within hours (Iwabuchi et al., 2017; Gannon et al., 2011). For example, Gannon et al. (2011) reported
- 1 heart, kidneys, liver, and lungs had detectable but not quantifiable concentrations of PFHxA at 24
- 2 hours in rats dosed with 100 mg/kg (<u>Gannon et al., 2011</u>). Similarly, the highest uptake
- 3 concentrations occurred in the liver and femur ( $10 \pm 2$  and  $5 \pm 1\%$  of the injected dose,
- 4 respectively), in male CD-1 mice (<u>Burkemper et al., 2017</u>). As described in detail below, the volume
- 5 of distribution (*V*<sub>d</sub>) was generally similar (within a factor of three) among male and female mice,
- 6 rats, and monkeys (<u>Russell et al., 2013</u>).

#### 7 Distribution in Animal (Rats, Mice, and Monkeys) and In Vitro Studies

8 Chengelis et al. (2009a) gave both Sprague-Dawley rats and cynomolgus monkeys (3/sex) 9 PFHxA (10 mg/kg) via a single i.v. injection to determine PFHxA PK using noncompartmental 10 analysis. In monkeys they observed a distribution phase of 8 hours and an apparent  $V_{\rm d}$  of 0.77 and 11 0.35 L/kg in males and females, respectively. In male and female rats,  $V_d$  was reported as 0.18 and 0.47 L/kg, respectively, and the distribution phase after gavage dosing was about 1–2 hours in both 12 13 sexes. Serum concentrations of PFHxA were up to 17-fold higher for male than female rats after i.v. 14 dosing. In a separate experiment male and female Sprague-Dawley rats were given oral gavage 15 doses of 50, 150, or 300 mg/kg/d PFHxA for 25 days (6 rats/sex/dose) and the PK evaluated on the 16 first and last day of dosing. The AUC after oral dosing was approximately 4-fold higher in males 17 than females given a 50 mg/kg gavage dose on both day 1 and day 25. The half-life in males, 18 however, was only 2.5 times greater than females after i.v. dosing and was similar to that in females 19 after oral dosing. Together these lead to the conclusion of higher  $V_{\rm d}$  for females than for males. 20 Using a one-compartment model, Iwabuchi et al. (2017) evaluated the distribution of PFHxA 21 and other PFAAs (PFOA, PFOS and perfluorononanoic acid, [PFNA]) in multiple tissues (brain, 22 heart, liver, spleen, kidney, whole blood, and serum) in 6 week old male Wistar rats. The rats were 23 given a single oral dose or 1- and 3-month exposures in drinking water. For the single oral dose, 24 rats were given drinking water containing a mixture of PFAAs by gavage (PFHxA, PFOA, PFOS: 25 100  $\mu$ g/kg body weight [BW], PFNA: 50  $\mu$ g/kg BW). Although the estimated T<sub>max</sub> for PFHxA was 1 26 hour for all tissues, the  $T_{max}$  for other PFAAs was 12 hours in the tissues except the brain (72 h) and 27 whole blood (24 h), indicating PFHxA was distributed rapidly throughout the body. Peak 28 concentrations occurred between 15 minutes and 1 hour after dosing, depending on the tissue. Of

- 29 examined tissues, the highest concentrations of PFHxA were found in the serum and kidney,
- 30 equivalent to 7.9% and 7.1% of the administered PFHxA, respectively. Note that the peak
- 31 concentrations measured in liver and brain were roughly 40% (at 15 minutes) and 1.5% (at 1 hour)
- 32 of the corresponding peak serum levels (4.6% and 0.027% of administered PFHxA dose),
- 33 respectively. The earlier peak in liver concentration is likely due to initial delivery there from oral
- 34 absorption, although the results show low delivery to the brain.

Dzierlenga et al. (2019) measured levels of PFHxA in rat liver, kidney and brain over 12
hours following an 80 mg/kg oral gavage dose. In general tissue distribution was rapid, with peak
concentration occuring at 0.5 hours (first time-point) in male rat liver and kidney or 1 hour (second
time-point) in male rat brain and in female rat liver, kidney and brain. The concentrations declined

- 1 exponentially after the peak, with tissue: plasma ratios mostly remaining in a limited range. For
- 2 example, in male and female rat kidney and female rat liver the tissue: plasma ratio only varied
- 3 between 0.5 and 0.75, though the liver: plasma ratio varied between 1 and 0.5 in male rats, though
- 4 without a clear pattern. However, the kidney: plasma ratio in female rats showed a steady increase
- 5 from around 0.8 at 0.5 hours to around 1.7 at 3 hours, after which it slowly declined to around 1.4
- 6 at 12 hours (<u>Dzierlenga et al., 2019</u>). Since tissue: plasma ratios are generally less than 1, this result
- 7 in the female rat kidney indicates a mechanism that wasn't active in the liver or male rats, perhaps
- 8 involving active transport into the tissue.
- 9 For the 1- or 3-month exposures, rats were given a mixture of four PFAA dose levels: 0, 1, 5
- 10 and 25  $\mu$ g/L in drinking water with similar intake rate across dose groups
- 11 (0.072–0.077 L/kg BW-day) (<u>Iwabuchi et al., 2017</u>). In general, the long-term tissue
- 12 concentrations of PFHxA predicted on the basis of the data from the single-exposure studies were
- 13 comparable to that measured after the 1- and 3-month exposures, suggesting that steady-state
- 14 tissue levels were achieved rather quickly and the tissue distribution of PFHxA remained relatively
- 15 constant over time (<u>Iwabuchi et al., 2017</u>).
- An in vitro study using lung epithelial cells (NCI-H292) and adipocytes (3T3-L1K) made
   similar observations of no appreciable cellular accumulation and retention of PFHxA (Sanchez
- 18 <u>Garcia et al., 2018</u>).

#### 19 Distribution in Humans

20 The tissue distribution of PFHxA and other PFAAs were analyzed in 99 human autopsy 21 samples (brain, liver, lung, bone, and kidney) (<u>Pérez et al., 2013</u>). <u>Pérez et al. (2013)</u> used the term 22 "accumulation," which in PK terminology describes a steady increase in the amount of a substance 23 in the body tissues over an extended time while exposure continues at a relatively constant level. 24 So, to demonstrate accumulation, one must have repeated measures of the blood or tissue 25 concentration in an individual over a significant period of time. If the body quickly reaches a 26 constant level (with ongoing exposure), that would not be called "accumulation." Because the study 27 data were collected from cadavers, they show only the tissue levels in the individuals at time of 28 death, and thus do not actually demonstrate accumulation but simply that exposure, absorption, 29 and distribution have occurred. These tissue concentrations could represent approximate steady-30 state concentrations that were achieved quickly after the start of exposure, without accumulation. 31 More generally, these data cannot inform the specific exposure scenarios that might have occurred 32 before the time of death, in particular the duration of exposure that was required to reach the 33 observed concentrations. 34 Pérez et al. (2013) found PFHxA to be the main PFAA compound in the brain

- 35 (mean = 180 ng/g tissue weight, median = 141 ng/g). PFHxA was detected in all collected tissue
- types at levels ranging from below the detection limit to an observed concentration of 569 ng/g in
- 37 the lung. These observations generally demonstrate the *distribution* of short-chain PFAAs like
- **38** PFHxA, for which the mean (or median) concentration ranged from 5.6 ng/g (2.7 ng/g) tissue in the

kidney to 180 ng/g (141 ng/g) in the brain. The liver and lung had tissue levels somewhat below
that in the brain but within the same range, with mean (or median) levels of 115 ng/g (68.3 ng/g)

3 and 50.1 ng/g (207 ng/g), respectively.

4 Because blood plasma concentrations could not be evaluated in the cadavers, the data of 5 Pérez et al. (2013) lack this component of total PFHxA body burden. Plasma is a small fraction of 6 total body mass ( $\sim 4\%$  in humans), but due to PFHxA's substantial binding to serum proteins it will 7 carry a disproportionate amount of the PFHxA. For example, if the overall volume of distribution in 8 humans is 0.5 L/kg, plasma will then contain about 8% of the PFHxA. 9 Fabrega et al. (2015) attempted to estimate tissue:blood partition coefficients (PCs) for 10 PFHxA using the data of Pérez et al. (2013). Because Pérez et al. (2013) did not measure or report 11 blood concentrations, Fàbrega et al. (2015) used the mean blood concentration reported 4 years 12 earlier for residents of the same county (Ericson et al., 2007). The resulting set of PCs ranged from 13 6 (unitless ratio) in the kidney to 202 in the brain, indicating a  $V_d$  in the human body around 40 14 L/kg or higher. 15 Zhang et al. (2013a) evaluated the distribution of several PFAS including PFHxA in matched 16 samples of maternal blood, cord blood, placenta, and amniotic fluid among Chinese women. Only 17 45% of maternal blood samples were above the limit of quantitation (LOQ), with a mean 18 concentration of 0.07 ng/mL, although 87% of cord blood samples were above the LOQ, with a 19 mean of 0.21 ng/mL PFHxA. Only 17% of placenta samples were above the LOQ (mean 20 concentration 0.04 ng/mL) and 45% of amniotic fluid samples (mean concentration 0.19 ng/mL). 21 The authors urge caution in interpreting their results because recovery of PFHxA from test samples 22 was more variable than for most other PFAAs. These data do show, however, that PFHxA 23 distributes into the fetus during pregnancy.

24 The partitioning of PFHxA and 15 perfluoroalkyl substances (C6–C11) between plasma and 25 blood cells was investigated using blood samples collected from human subjects (n = 60) (lin et al., 26 <u>2016</u>). The results showed that although the estimated mass fraction in plasma generally increased 27 with the carbon chain length, PFHxA appeared to have lowest mass fraction in plasma (0.24) as 28 compared with other PFAA chemicals (0.49 to 0.95). In a study population of 61 adults in Norway, 29 Poothong et al. (2017) also found that although PFHxA was detected in 100% of the whole blood 30 samples, it was not detected in serum or plasma. Given the strong partitioning to whole blood 31 (perhaps due to partitioning into blood cells), the whole blood, rather than serum or plasma, was 32 suggested as a better blood matrix for assessing PFHxA exposure (Poothong et al., 2017).

33 Synthesis of Distribution Across Species

In contrast to the estimated PCs of <u>Fàbrega et al. (2015)</u>, <u>Chengelis et al. (2009a</u>) estimated
 *V*<sub>d</sub> of 0.18 and 0.47 L/kg, respectively, in male and in female rats. For monkeys, the individual

36 estimates of  $V_{\rm d}$  Chengelis et al. (2009a) reported varied widely for each sex; for example, the

37 coefficient of variation among the three females was 74%. Therefore, EPA recalculated male and

1 female values for this analysis from the mean values of  $AUC_{0-\infty}$  and the beta-phase elimination

2 constant, *K*<sub>el</sub>:

3

$$V_{\rm d} = \rm{dose}/[\rm{mean}(AUC_{0-\infty}) \times \rm{mean}(K_{\rm{el}})]. \tag{3-1}$$

4 The resulting values of  $V_{\rm d}$  were 0.77 L/kg and 0.35 L/kg for male and female monkeys, respectively. 5 Although the reported values for rats and these re-estimated values for monkeys were within 6 similar ranges, spanning less than a factor of five, the difference between males and females of each 7 species is larger than expected. The underlying data indicate significant PK differences between 8 males and females of each species. 9 The average  $V_d$  for rats (0.33 L/kg) is only 40% lower than the average for monkeys 10 (0.56 L/kg), a modest species difference that could occur due to differences in the relative concentration of binding proteins and phospholipids in blood (e.g., albumin) vs. the rest of body 11 12 (Sanchez Garcia et al., 2018). Partitioning or distribution is primarily a function of the 13 physicochemical properties of a tissue vs. blood (binding site content and phospholipid concentration being significant components for PFAS) and are typically similar across mammalian 14 15 species, not differing by orders of magnitude as suggested by the difference between the results of 16 Fabrega et al. (2015) for humans and the animal PC data. This raises a significant question about 17 reasons for the apparent disparity. EPA is unaware of a specific mechanism that could explain this 18 discrepancy, particularly one that differs between monkeys and humans to such a large extent but 19 not between monkeys and rats. 20 Therefore, the most likely explanation for the differences in the PCs estimated by Fabrega et 21 al. (2015) are an artifact of combining data from nonmatched human samples Pérez et al. (2013) 22 whereas <u>Ericson et al. (2007)</u> collected data over several years (e.g., due to a change in PFHxA 23 exposure in that population across those times). Thus, these results are considered too uncertain 24 for further analysis of human pharmacokinetics. Instead, the  $V_d$  estimated for male and female 25 monkeys by Chengelis et al. (2009a) is assumed to provide the best estimates for men and women, 26 respectively, given the biochemical properties of tissues that determine the relative affinity for 27 PFHxA in tissue vs. blood are more similar between humans and a nonhuman primate than 28 between humans and rats or mice. Because the  $V_d$  in monkeys is similar to that in rats (see details 29 above, Distribution in Animals) and an assumption of similar partitioning in humans versus other 30 mammals has been successfully used for many PBPK models, this assumption is considered modest 31 with minimal associated uncertainty. 32 A generally accepted assumption in pharmacokinetics is that renal clearance (via 33 glomerular filtration) is limited to the fraction unbound in plasma (Janků, 1993). PFAS 34 accumulation in tissues appears to correlate with phospholipid binding and content and like lipids 35 the relative distribution of phospholipids, albumin, and other binding sites is not expected to differ 36 by orders of magnitude between humans and other animals (Sanchez Garcia et al., 2018). Some

37 evidence suggests plasma protein binding (e.g., serum albumin) could also play a role in PFHxA

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1 toxicokinetics. A study by <u>D'eon et al. (2010)</u> evaluated the molecular interactions of PFHxA and

2 PFOA with human serum albumin (HSA) using nuclear magnetic resonance spectroscopy. They

- 3 found the interaction of both PFHxA and PFOA with HSA—assessed on the basis of data for selected
- 4 HSA ligands including oleic acid, phenylbutazone, and ibuprofen—could affect its
- 5 pharmacokinetics.
- 6 Organic anion transporters, a family of transmembrane proteins, had been suggested to
- 7 play a role in the renal reabsorption of PFAAs (Kudo, 2015; Weaver et al., 2010) (see further
- 8 discussion below for rat studies. <u>Weaver et al. (2010)</u> found that renal transport of PFAAs with
- 9 different chain lengths (C2–C18) could occur via specific transporters (Oat1, Oat2, Oat3, Urat1, and
- 10 Oatp1a1) that were differentially located in the basolateral membrane and apical membrane in rats
- 11 (Chinese hamster ovary cell line and kidney RNA from Sprague-Dawley rats). Although PFHxA was
- 12 capable of inhibiting Oat1-mediated transport of *p*-aminohippurate, the model substrate used for
- 13 PFAA transport tests, the quantitative role of organic anion transporters in PFHxA PK remains
- uncertain due to the rapid elimination kinetics of PFHxA (<u>Weaver et al., 2010</u>). The role of Oatp1a1
- 15 and its regulation by sex hormones is discussed at further length below (Rat Studies).
- On the other hand, although <u>Bischel et al. (2011)</u> measured the binding of PFHxA to bovine
   serum albumin (BSA) in vitro, the measured fraction bound is 99%, which appears quantitatively
- 18 inconsistent with the empirical observation that the elimination half-life is on the order of 2–3
- 19 hours in rats, for example. In particular, renal elimination is generally predicted to be proportional
- 20 to the fraction of a compound unbound in plasma (e.g., (Janků and Zvára, 1993, pp. author-
- 21 <u>yearauthor-year</u>)). Transporter-mediated renal resorption would only reduce elimination to a
- 22 greater extent. If the binding of PFHxA to BSA is indicative of its overall fraction bound in serum
- and glomerular filtration could remove only 1% (i.e., the free faction) of PFHxA carried in the
- 24 corresponding serum flow, the elimination half-life should be much longer than is observed. Thus,
- 25 although plasma protein binding could play some role in PFHxA distribution and elimination, one
- 26 must be careful in quantitatively interpreting such results. Because it is reversible, protein binding
- could have a limited impact on distribution and elimination, despite a relatively high fraction of
- 28 plasma protein binding at equilibrium. Therefore, the empirically determined distribution and
- 29 elimination rates for PFHxA in various species and sexes are used rather than the rate one might
- 30 predict on the basis of albumin binding.

### 3.1.3. Metabolism

Similar to other PFAA compounds, PFHxA is not readily metabolized as evidenced by the
 findings that no metabolites were recovered from either the liver or urine following oral dosing of
 mice or rats (Gannon et al., 2011; Chengelis et al., 2009a). Although PFHxA is resistant to
 metabolism, fluorotelomer-alcohols and sulfonates can undergo biotransformation to form PFHxA
 or its glucuronide and sulfate conjugates in rodents and humans (Kabadi et al., 2018; Russell et al., 2015).

#### 3.1.4. Elimination

1 Existing evidence has consistently suggested PFHxA has a shorter half-life than those of 2 other longer chained PFAAs (e.g., PFOA or PFOS). For instance, approximately 80% of the 3 administered dose of PFHxA appeared in the urine of rats during 24 hours post-dosing regardless 4 of sex following i.v. injection (Chengelis et al., 2009a). Daikin Industries recovered approximately 5 90% of an oral dose of 50 mg/kg PFHxA, either as a single dose or on the 14th day of dosing by 24 6 hours after the single or last dose in male and female rats and mice (Daikin Industries, 2009a, b). 7 Likewise <u>Dzierlenga et al. (2019)</u> reported that liver and kidney concentrations peaked by 30 min 8 in male rats and by 1 hour in female rats after gavage and decreased steadily thereafter 9 (observations at 0.5, 1, 3, 6, 9 and 12 hours). The tissues concentrations of PFHxA tended to be very 10 low or not quantifiable 24 hours after dosing in both sexes of mice and rats (Iwabuchi et al., 2017; 11 Gannon et al., 2011). 12 The comparable weight-normalized blood elimination half-life of PFHxA across mammalian 13 species further implies the lack of species-specific roles for renal tissue transporters, either in 14 facilitating elimination or impeding elimination through renal resorption for PFHxA, unlike the situation for some long-chain PFAAs. Gomis et al. (2018) concluded PFHxA had a relatively short 15 16 elimination half-life and the lowest bioaccumulation among the six PFAAs they evaluated on the 17 basis of applying a one-compartment PK model combined with PK data compiled from previous

- 18 studies on male rats. In particular, the beta- or elimination-phase half-life  $(t_{\frac{1}{2},\beta})$  values estimated
- 19 were: PFHxA = 2.4 hours, perfluorobutane sulfonate (PFBS) = 4.7 hours, pentafluorobenzoic acid
- 20 (PFBA) = 9.2 hours, ammonium 2,3,3,3-tetrafluoro-2-(heptafluoropropoxy)-propanoate
- 21 (GenX) = 72 hours, PFOA = 136 hours, and PFOS = 644 hours(<u>Gomis et al., 2018</u>). PK model
- simulations from a 10-day oral experiment with a dose of 1 mg/kg-day predicted that, as compared
- to other PFAAs, PFHxA had the lowest serum and liver AUC levels. Likewise, <u>Chengelis et al.</u>
- 24 (2009a) compared PFHxA dosimetry in naïve male and female rats to results after 25 days of dosing
- 25 (50–300 mg/kg-day) and found no significant difference in the parameters evaluated, with the
- serum half-life remaining in the range of 2–3 hours.

### 27 Rat Studies

28 Iwai (2011) evaluated PFHxA excretion in Sprague-Dawley rats and CD-1 mice treated with 29 single and multiple (4 days) oral dose(s) at 50 mg/kg of  $[^{14}C]$  ammonium perfluorohexanoate 30 (APFHx). Urine and feces samples were collected for 0–6 hours (urine only) and 6–24 hours and 31 then followed 24-hour intervals until 72 hours after dosing. Expired air was collected over 0–24 32 and 24–48 hours following oral exposure. For the single dose administration in rats, 97–100% of 33 administered PFHxA dose was recovered within 24 hours with urine as the major route of 34 elimination (73.0-90.2%), followed by feces (7.0-15.5%) of the administered dose). No appreciable 35 PFHxA was found in expired air. Two percent of the dose remained in the gastrointestinal tract and carcass. Comparable findings were observed with the multiple oral dose administration (14 daily
 doses) scenarios (<u>Iwai, 2011</u>).

- 3 <u>Chengelis et al. (2009a)</u> reported the terminal half-life of PFHxA in serum was about 4 2.4-fold shorter for female Sprague-Dawley rats than for male rats (0.42 hours compared to 5 1.0 hour) with a single dose of 10 mg/kg i.v. injection. Likewise, Gannon et al. (2011) reported 6 elimination half-lives for PFHxA of 1.7 and 1.5 hours in male rats and 0.5 and 0.7 hours in female 7 rats for doses of 2 and 100 mg/kg, respectively. On the other hand, after repeated oral 8 administration (50–300 mg/kg-day) of PFHxA, <u>Chengelis et al. (2009a)</u> found the serum terminal 9 half-life of PFHxA was generally in the range of 2–3 hours regardless of sex. Comparable urinary 10 elimination half-lives following single 10 mg/kg i.v. were also observed (males: 2.1 hours; females 11 2.5 hours) (<u>Chengelis et al., 2009a</u>). It is unclear why <u>Chengelis et al. (2009a</u>) obtained different 12 half-lives for males versus females from some of their results, but not in others. Evaluation of the 13 half-life from any PK data set depends on the study design, especially the number and spacing of 14 data points relative to the half-life, the type of PK analysis done, and analytic sensitivity. EPA 15 analyzed PFHxA half-lives that combined data across studies to obtain sex-specific values, 16 described in Section 5.2.1 (Approach for Animal-Human Extrapolation of PFHxA Dosimetry). 17 As noted above, Daikin Industries evaluated urinary and fecal excretion in Sprague-Dawley 18 rats after 50 mg/kg oral doses for 1 or 14 days (Daikin Industries, 2009a, b). The elimination 19 pattern is consistent with other studies described here, with approximately 90% of the dose 20 recovered in feces and urine by 24 hours. Because excretion was only evaluated at 6 hours (urine 21 only), 24 hours, and multiple days thereafter, these specific studies are not considered 22 quantitatively informative for evaluation of half-life or clearance. 23 Russell et al. (2015) conducted PK modeling analysis of 3,3,4,4,5,5,6,6,7,7,8,8,8-24 Tridecafluorooctanol (6:2 FTOH) inhalation (0.5 or 5 ppm) in rats, including its metabolite PFHxA, 25 as described above. The estimated PFHxA half-lives were 1.3 and 0.5 hours in male and female rats, 26 respectively, from single-day exposures, with the estimated yield of PFHxA ranging from 0.5 to 1.9 27 mol%. The model assumes, however, that the yield of PFHxA from 6:2 FTOH is independent of time. 28 This apparent time-dependence in the half-life could be an artifact of that assumption if induction 29 of metabolism during the dosing period leads to a higher yield with later times. A more 30 comprehensive multiday PK analysis would be needed to demonstrate time-dependent PFHxA 31 clearance unequivocally. Using a noncompartmental PK analysis <u>Kabadi et al. (2018)</u> reanalyzed 32 the 1-day data of Russell et al. (2015) and obtained the same half-life values (1.3 and 0.5 hours in 33 males and females). 34 A recent study by <u>Dzierlenga et al. (2019)</u> and <u>NTP (2017)</u> showed no apparent pattern in
- 35  $t_{\frac{1}{2},\beta}$  among the i.v. (40 mg/kg) and two lower oral doses (40 and 80 mg/kg) for each sex (ranges 36 5.74–9.3 hours for male rats and 2.3–7.3 hours for female rats), which likely reflects experimental
- 37 variability. The  $t_{\frac{1}{2},\beta}$  for the 160 mg/kg oral dose appeared higher than the other three
- 38 measurements (13.7 ± 14.2 and 12.2 ± 23.6 hours [mean ± standard error of the mean] for males

- 1 and females, respectively), but a loss of dose-concordance occurred among the PK data starting at 2 6 hours (i.e., the serum concentrations were similar for all dose levels at 6 hours and beyond). Also, 3 the data at the last time point (24 hours) varied considerably, resulting in large uncertainty in the 4 estimated terminal half-lives (Dzierlenga et al., 2019).
- 5 Similar to the elimination half-life in male Sprague-Dawley rats, the estimated serum 6 elimination half-life of PFHxA in male Wistar rats (6 weeks old) was about 2.6 hours for a single 7 dose of 100 µg/kg BW or 2.9 hours for exposures in drinking water of 1 or 3 months (Iwabuchi et 8 al., 2017). Using a single-compartment PK model with an elimination constant defined as 9  $k_e = \ln(2)/t_{1/2}$  and obtained from a single-day exposure, the predicted serum concentration after 1 10 and 3 months of exposure was only 10% higher and 15% lower than the measured concentrations 11 at these time points, respectively. Thus, a systematic change in the half-life or clearance with
- 12 repeated dosing is not apparent.
- 13 In support of the empirical estimates of half-lives described above indicating sex-specific 14 differences in the elimination of PFHxA, the differences can be explained (at least in part) on the 15 basis of available mechanistic information. Specifically, sex hormone-dependent differences occur 16 in expression of transporter proteins in the rat kidney. In rats, kidney Oatp1a1 is expressed at the 17 apical membrane of the proximal tubule (Bergwerk et al., 1996) and mediates sodium-independent 18 transport of thyroid hormones, cholesterol-derived molecules (Hata et al., 2003; Shitara et al., 19 2002), and PFAS (Han et al., 2012; Yang et al., 2010). In male rats, Oatp1a1 mRNA expression was 20 2.5-fold greater than in females, undetectable in castrated rats, and inducible in male rats by
- 21 treatment with estradiol (Kudo et al., 2002).
- 22 A separate study (Lu et al., 1996) reported the same sex hormone-dependent effect on 23 Oatp1a1 mRNA expression in castrated males or ovariectomized females treated with testosterone 24 or estradiol. Further, Gotoh et al. (2002) confirmed that Oatp1a1 protein levels were undetectable 25 from female rat kidney and highly expressed in male rat kidney. Because these hormone-26 dependent transporters are predicted to increase renal resorption of PFHxA in male rats, the
- 27 implication is that PFAS elimination in female rats should be more rapid compared with male rats.
- 28 Not all the results above match this expectation, which could reflect a limited activity of the renal
- 29 transporters toward PFHxA, or simply aspects of experimental design and sampling that measure
- 30 the PK parameters better in some studies than others. The empirical results of Chengelis et al.
- 31 (2009a) and Dzierlenga et al. (2019), however, are consistent with this prediction: higher clearance
- 32 and shorter half-lives in female rats compared to male rats.
- 33 Some evidence also suggests the affinity for Oatp1a1 depends on PFAS chain length.
- 34 Specifically, <u>Yang et al. (2009)</u> examined the role of PFAS (C4–C12) in inhibiting the uptake of
- 35 estrone-3-sulfate (ES3) using Oatp1a1-expressing Chinese hamster ovary cells. They showed the
- 36 level of inhibition of E3S uptake increased as the chain length increased; for example, PFHxA
- 37 inhibited ES3 uptake with an inhibition constant ( $K_i$ ) of 1,858  $\mu$ M, as compared with 84  $\mu$ M for
- 38 PFOA. This high K<sub>i</sub> for PFHxA (i.e., the concentration required to inhibit one-half the Oatp1a1

- 1 activity, 584  $\mu$ g/mL) indicates a low affinity of PFHxA for the transporter and thus leads to
- 2 predictions of a low impact of Oatp1a1 expression on PFHxA elimination kinetics, contrary to the
- 3 empirical PK data discussed above. <u>Chengelis et al. (2009a)</u> clearly showed more rapid elimination
- 4 in female rats vs. male rats at *serum* concentrations below 40 µg/mL, that is, an order of magnitude
- 5 or more below the K<sub>i</sub>. As most of the water is resorbed from the renal filtrate, however, the
- 6 concentration of PFHxA in the remaining fluid will increase proportionately. Thus, the PFHxA
- 7 concentrations in the proximal tubule of these rats (where Oatp1a1 is expressed) could be high
- 8 enough for significant transporter activity, but below the level of saturation.
- 9 Collectively, the evidence provides a biologically plausible explanation for the observed sex-
- 10 specific PFHxA elimination in rats (i.e., the two- to three-fold longer half-life in male versus female
- 11 rats), although uncertainties remain (<u>Han et al., 2012</u>; <u>Gannon et al., 2011</u>; <u>Chengelis et al., 2009b</u>).
- 12 Most notably, whether this apparent sex difference in re-uptake exists in humans or in species
- 13 other than rats is unclear. Organic-anion transporters are known to be under hormonal regulation
- 14 in rat and mouse kidney, with gender-specific differences in their expression likely regulated by
- 15 sex-hormone receptors. Some evidence suggests similar sex-related differences in humans (<u>Sabolić</u>
- 16 <u>et al., 2007</u>). <u>Kudo et al. (2001</u>) demonstrated that the sex-related difference in PFOA elimination in
- 17 rats was abolished when male rats were castrated, increasing to match that in females, and that its
- 18 elimination was reduced in both females and castrated males treated with testosterone. This
- 19 demonstration of hormone-related elimination for PFOA and observations of sex differences in the
- 20 elimination of other PFAS such as PFNA, PFOA, and PFBS (<u>Chengelis et al., 2009a; Kudo et al., 2001</u>)
- 21 suggest this is a common underlying mechanism for PFAS elimination.

### 22 Mouse Studies

- As stated above (Elimination, Rat Studies), Iwai (2011) evaluated PFHxA excretion in CD-1 mice after single and 14-day oral exposures. Results were similar for single and multiple dose administrations. After multiple doses, >95% of the administered PFHxA was recovered within 24 hours with urine as the major route of elimination (77.8–83.4%), followed by feces (9.6–12.9% of the administered dose). Only 0.6–0.9% remained in the gastrointestinal tract and carcass. Gannon et al. (2011) also evaluated PFHxA PK in mice but state they did not report half-lives in mice because the data showed a biphasic clearance pattern that precluded use of the standard
- 30 noncompartmental modeling.
- As noted above, Daikin Industries evaluated urinary and fecal excretion in CD-1 mice after 50 mg/kg oral doses for 1 or 14 days (<u>Daikin Industries, 2009a</u>, <u>b</u>). The elimination pattern is consistent with <u>Iwai (2011)</u>, with approximately 90% of the dose recovered in the urine and feces (total) after 24 hours. Because excretion was only evaluated at 6 hours (urine only), 24 hours, and multiple days after the PFHxA dosing ended, however, the studies cited are not considered quantitatively informative for evaluation of half-life or clearance.
- 37 Daikin Industries (2010) evaluated the time-course of PFHxA in female Crl:CD(1CR) mouse
   38 plasma after single oral gavage doses of 35, 175, and 350 mg/kg, with concentrations measured at

- 1 0.5, 2, 4, 6, 8, and 24 hours. The estimated half-life was between 0.9 and 1.2 hours for the three
- $2 \qquad \text{dose groups but lacked a dose-dependent pattern. However, the $C_{max}$/dose was 2.76, 1.88, and 1.30$}$
- 3 kg/L for the 35, 175, and 350 mg/kg doses, respectively, indicating saturation of absorption with
- 4 higher doses. The AUC $_{0-\infty}$ /dose was not dose-dependent, although it varied between 5.1 and 6.5 kg-
- 5 h/L, indicating that clearance was not dose-dependent.
- 6 The plasma time-course data from <u>Gannon et al. (2011)</u> and <u>Daikin Industries (2010)</u> were
- 7 reevaluated by EPA as described with the derivation of the HED in Section 5.2.1 (Approach for
- 8 Animal-Human Extrapolation of PFHxA Dosimetry) and Appendix C to obtain overall
- 9 pharmacokinetic parameters.

#### 10 Monkey Studies

- 11 In the study on cynomolgus monkeys by <u>Chengelis et al. (2009a</u>), three males and three
- 12 females received 10 mg/kg PFHxA by i.v. injection. The mean clearance was nearly the same in
- 13 both sexes (0.122 L/h-kg in males and 0.136 L/h-kg in females), but the estimated half-life
- 14 appeared longer in males  $(5.3 \pm 2.5 \text{ hours})$  than in females  $(2.4 \pm 1.7 \text{ hours})$  with a corresponding
- apparent difference in  $V_d$  (0.989 L/kg in males and 0.474 L/kg in females). The similarity of the
- 16 clearance values and the nearly identical serum values for males and females after the first 4 hours
- 17 suggest no striking sex differences in the pharmacokinetics of PFHxA in monkeys.

### 18 Human Studies

- 19 No controlled exposure PK studies of PFHxA elimination in humans are available but
- 20 <u>Russell et al. (2013)</u> applied PK analysis to biomonitoring data from <u>Nilsson et al. (2013)</u> to
- estimate the half-life of PFHxA in humans. Specifically, <u>Russell et al. (2013)</u> estimated the apparent
- 22 half-life of PFHxA in humans by analyzing biomonitoring data collected from professional ski wax
- 23 technicians and then compared the human estimates of PFHxA elimination to that for mice, rats,
- 24 and monkeys. For the human monitoring study, blood samples (n = 94) were collected from male
- 25 professional ski wax technicians (*n* = 11) and analyzed for PFHxA in plasma and serum. (Individual
- 26 data for eight of the technicians are shown in Appendix C.2; complete data are available as the
- 27 supplemental information for <u>Nilsson et al. (2013)</u>). Personal and area air concentration
- 28 monitoring of the ski wax subjects and facilities demonstrated both the metabolic precursor, 6:2
- 29 FTOH, and PFHxA were present in all locations, but the arithmetic mean concentration of 6:2 FTOH
- 30 ranged from over 100 times higher than PFHxA to almost 100 times lower, across the monitoring
- 31 locations. A one-compartment model with first-order kinetics was used for PK analyses. The
- 32 estimated geometric mean half-life of PFHxA was 32 days with a range of 14–49 days in the studied
- 33 population (<u>Russell et al., 2013</u>). PFHxA plasma concentrations declined below the plasma
- 34 detection limit of 0.05 ng/mL within a period of 2–4 months after exposure ceased, reflecting the
- relatively rapid elimination rate of PFHxA. In contrast, the half-life of PFHxS in humans was
- 36 estimated to range from 5 to 9 years (<u>Olsen et al., 2007</u>).

1 Analysis by Luz et al. (2019) found no significant species- or sex-related differences in the

- 2 elimination kinetics of PFHxA. The PK analysis, however, is attributed to a meeting abstract (<u>Buck</u>
- 3 <u>and Gannon, 2017</u>) and provides no details of the methods the authors used. The text of <u>Luz et al.</u>
- 4 (2019) indicates the analysis of <u>Buck and Gannon (2017)</u> used data from only 3 of the 11 subjects of
- 5 <u>Nilsson et al. (2013)</u>, specifically the 3 with the most rapid elimination, reducing the extent to which
- 6 the conclusion can be reliably extrapolated to the population as a whole. <u>Luz et al. (2019)</u> state
- 7 slower *apparent* elimination could occur in some subjects because of ongoing exposure. Although
- 8 ongoing exposure could cause this effect, it is also possible that elimination in some individuals is
- 9 slower than others due to interindividual variability. In the absence of independent evidence that
- 10 ongoing exposure occurred in other human subjects of <u>Nilsson et al. (2013)</u> who were excluded in
- 11 this later analysis, EPA does not consider basing conclusions on human elimination on only the
- 12 three individuals who had the most rapid elimination appropriate.

13 EPA examined the data of <u>Nilsson et al. (2013)</u>, and the observed seasonal variation appears 14 to show a longer systemic period of exposure (when blood levels are elevated vs. declining) for 15 some individuals than others. Also, the data set includes samples with concentrations below the 16 limit of detection (LOD) that should be treated with an appropriate statistical model to account for 17 the censoring of these data. Finally, only the data collected encompassing the 2007–2008 ski 18 season, during which only 8 of the 11 technicians were sampled, includes post-exposure samples 19 needed to quantify elimination. A detailed description of EPA's analysis of the 8 technicians 20 sampled during the 2007–2008 season is provided in Appendix C.2. Briefly, each ski-wax 21 technician in the study was presumed to have a constant rate of exposure up to a date that is 22 different for each individual when exposure stopped and elimination began. Specifically, we used a 23 one-compartment i.v.-infusion model to fit the data:

24

$$C(t) = \begin{cases} \frac{A}{tinf \cdot ke} \cdot (1 - e^{-ke \cdot t}), & \text{if } t \le tinf \\ \frac{A}{tinf \cdot ke} \cdot (1 - e^{-ke \cdot tinf}) \cdot e^{-ke \cdot (t - tinf)}, & \text{if } t > tinf \end{cases}$$
(3-2)

25 Where  $A = dose/V_d$ , tinf is the time period of exposure (treated as an infusion), and ke is the 26 elimination rate. The model is analyzed through hierarchical Bayesian analysis, with A and *tinf* 27 estimated independently for each individual technician although the technician-level ke is drawn 28 from a population-level distribution. Note blood concentrations were measured only once a month 29 and no other data on exposure is available. Thus, although the model clearly simplifies the 30 exposure estimation, estimating a larger number of parameters reliably would not be possible. As 31 such, the model allows for estimating variation among individuals without subjectively selecting a 32 subset of the technicians for analysis. The resulting distribution of ke had a mean (90% confidence 33 interval, CI) of 0.00252 (0.00136–0.00477) h<sup>-1</sup>. Using an average  $V_d$  of 0.7315 L/kg (731.5 mL/kg) 34 for male and female monkeys from <u>Chengelis et al. (2009a</u>), the resulting mean for human clearance 35 is  $CL = V_d$  ke = 1.84 mL/kg-h. Given the expected similarity of  $V_d$  across mammalian species, EPA

- 1 considers the average value estimated for rats (0.33 L/kg) to be a reasonable lower bound for
- 2 humans and the highest value reported by <u>Chengelis et al. (2009a)</u> for an individual (male) monkey
- 3 (1.54 L/kg) to be a reasonable upper bound. Combining these with the 90% CI for *ke* (0.00136–
- 4 0.00477 h<sup>-1</sup>) yields a possible range for human clearance of 0.45-7.35 mL/kg-h, a range of 16-fold
- 5 from 4-fold above to 4-fold below the estimated mean.
- 6 <u>Xiao et al. (2011)</u> measured the serum concentrations of 10 PFAA chemicals in 227
- 7 nonoccupationally exposed individuals aged 0.3–90 years (133 males and 94 females) in China.
- 8 Significant positive correlations were observed between age and serum levels of PFAA chemicals
- 9 except for PFBS, PFHpA, and PFHxA. Spearman correlation coefficients between age and serum
- 10 PFHxA were 0.20, -0.02, and 0.08 for males, females, and the combined data, respectively.
- 11 Collectively, the findings indicated no age-related accumulation of PFHxA in human bodies, which is
- 12 consistent with the relatively short half-life.

#### 3.1.5. PBPK Models

13 No PBPK model is available for PFHxA in rats, mice, or monkeys. Fabrega et al. (2015) 14 described a PBPK model for multiple PFAS in humans, including PFHxA. However, Fàbrega et al. 15 (2015) state two key parameters that determine the rate of resorption from glomerular filtrate in 16 the kidney were identified using the data from the Ericson et al. (2007) epidemiological survey of 17 PFAS exposure in residents of Catalonia, Spain. Because PFHxA was not detected in any individuals 18 sampled by Ericson et al. (2007), EPA does not consider it possible to reliably identify elimination 19 parameters from that data set. Further, the individual exposure or elimination data needed to 20 associate the blood concentrations of Ericson et al. (2007) with urinary clearance rates are not 21 reported in either paper. Thus, uniquely identifying two parameters with a single combination of 22 average PFHxA exposure and average blood concentration is impossible. Finally, as described 23 above (Distribution, Distribution in Humans), the tissue: blood partition coefficients Fabrega et al. 24 (2015) estimated are not considered suitable for the purposes of this assessment due to the 25 4+-year lag in measurements between collection of the blood samples and the tissue samples and 26 because they are inconsistent with data on PFHxA distribution in other species, including monkeys. 27 Thus, the PBPK model of Fabrega et al. (2015) is not considered sufficiently suitable for use in this 28 assessment.

### 3.1.6. Summary

The PFHxA elimination half-lives and clearance values reported in studies are important for interpreting and quantifying health outcomes potentially associated with PFHxA exposure. The most notable finding was the apparent sex-specific PK differences between male and female mice and rats where female rodents eliminate PFHxA 2–3 times faster than males (see Table 3-1). Although monkeys have half-lives and clearance values in the same range as mice and rats, the clearance in female monkeys is only 11% faster than in males. This indicates that the significant sex differences observed in rodents does not appear to apply to primates. Humans have a much

- 1 longer serum elimination half-life (EPA estimate: 275 hours) than rodents and monkeys (2–7
- 2 hours). The difference could be a consequence of species differences in the expression or activity of
- 3 the renal transporters that reabsorb PFAS, but this has not been demonstrated. All available PK
- 4 evidence is summarized below in Table 3-1.
- 5 According to EPA's BW<sup>0.75</sup> guidelines (U.S. EPA, 2011), use of chemical-specific data for
- 6 dosimetric extrapolation such as the PFHxA-specific data described above is preferable to the
- 7 default method of BW<sup>0.75</sup> scaling. That is the case here. For example, using the standard species
- 8 BWs of 0.25 kg in rats and 80 kg in humans, the half-life in humans is predicted to be 4.2 times
- 9 greater than rats. Given half-lives in the range of 0.4–14 hours among male and female rats (Table
- 10 3-1), one would then predict half-lives of 1.6–57 hours in humans, 20–200 times lower than the
- 11 range estimated by <u>Russell et al. (2013)</u> and 10–100 times lower than the range estimated by EPA
- 12 (Table 3-1). Thus, based on the PFHxA-specific PK data, use of BW<sup>0.75</sup> for dosimetric extrapolation
- 13 could lead to an underprediction of human elimination by 1–2 orders of magnitude. Therefore, use
- 14 of BW<sup>0.75</sup> as an alternative means of extrapolation is not considered further for PFHxA, and the
- 15 preferred, data-driven approach will be used for the dosimetric extrapolation.

Table 3-1.	Summary of PK evidence for PFHxA
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Species/Sex	Study design (dose)	Elimination half- life (beta) (h)	AUC/dose (kg-h/L)	Clearance (mL/h-kg)	Volume of distribution (mL/kg)	Reference
Rats		•				
Male	Single i.v. dose (10 mg/kg)	1.0	8.7	116	175	Chengelis et al. (2009a)
	Single oral dose (50 mg/kg)	2.2	10.0	NR	NR	
	Single oral dose (150 mg/kg)	2.4	6.1	NR	NR	
	Single oral dose (300 mg/kg)	2.5	8.4	NR	NR	
Female	Single i.v. dose (10 mg/kg)	0.42	1.3	775	466	
	Single oral dose (50 mg/kg)	2.6	2.4	NR	NR	
	Single oral dose (150 mg/kg)	2.2	2.2	NR	NR	
	Single oral dose (300 mg/kg)	2.1	3.5	NR	NR	
Male	Single i.v. dose (40 mg/kg)	8.0 ± 2.2	7.4 ± 0.7	136 ± 13	430 ± 112	Dzierlenga et al. (2019)
	Single oral dose (40 mg/kg)	9.3 ± 20.8	9.7 ± 1.3	103 ± 13	601 ± 470	<u>NTP (2017)</u>
	Single oral dose (80 mg/kg)	5.7 ± 4.6	6.6 ± 0.5	153 ± 11	496 ± 81	
	Single oral dose (160 mg/kg)	14 ± 14	6.8 ± 0.6	147 ± 14	615 ± 367	
Female	Single i.v. dose (40 mg/kg)	7.3 ± 2.0	3.1 ± 0.3	327 ± 33	223 ± 45	
	Single oral dose (40 mg/kg)	2.3 ± 213	6.1 ± 1.1	164 ± 29	327± 149	
	Single oral dose (80 mg/kg)	5.5 ± 2.6	3.2 ± 0.4	314 ± 39	560 ± 113	
	Single oral dose (160 mg/kg)	12 ± 24	3.7 ± 0.5	274 ± 37	473 ± 158	
Male	Single oral dose (2 mg/kg)	1.7 ± 0.6	8 ± 1.5	NR	NR	<u>Gannon et al. (2011)</u>
	Single oral dose (100 mg/kg)	1.5 ± 0.2	6.5 ± 1.4	NR	NR	
Female	Single oral dose (2 mg/kg)	0.5 ± 0.1	2.5 ± 0.5	NR	NR	
	Single oral dose (100 mg/kg)	0.7 ± 0.3	2.5 ± 0.7	NR	NR	

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Species/Sex	Study design (dose)	Elimination half- life (beta) (h)	AUC/dose (kg-h/L)	Clearance (mL/h-kg)	Volume of distribution (mL/kg)	Reference
Male	Single i.v. dose (0.1 mg/kg)	2.7	9.8	NR	400	Iwabuchi et al. (2017)
Male	Single inhalation <sup>a</sup> (0.5 ppm)	1.3	ND <sup>b</sup>	107	NR	<u>Kabadi et al. (2018)</u>
	Single inhalation <sup>a</sup> (5.0 ppm)	1.3	ND <sup>b</sup>	277	NR	
Female	Single inhalation <sup>a</sup> (0.5 ppm)	0.5	ND <sup>b</sup>	107	NR	
	Single inhalation <sup>a</sup> (5.0 ppm)	0.5	ND <sup>b</sup>	277	NR	
Mice						
Male	Single oral dose (2 mg/kg)	ND	12	NR	NR	<u>Gannon et al. (2011)</u>
	Single oral dose (100 mg/kg)	ND	12	NR	NR	
Female	Single oral dose (2 mg/kg)	ND	4	NR	NR	
	Single oral dose (100 mg/kg)	ND	6.4	NR	NR	
Monkeys						
Male	Single i.v. dose (10 mg/kg)	5.3 ± 2.5	8.4 ± 1.8	122 ± 24	989 ± 579	<u>Chengelis et al. (2009a)</u>
Female	Single i.v. dose (10 mg/kg)	2.4 ± 1.7	7.5 ± 1.3	136 ± 22	474 ± 349	
Humans						
Males and females	Post-exposure observation	768 (336–1,176) 275 (145–509)	ND	ND 1.84 (0.45–7.35)	ND	Russell et al. (2013) Current analysis

1 i.v. = intravenous; ND = not determined; NR = not reported.

2 <sup>a</sup>6-hour inhalation exposure to 6:2 FTOH.

3 <sup>b</sup>Dose of PFHxA unknown.

### **3.2. NONCANCER EVIDENCE SYNTHESIS AND INTEGRATION**

1 For each potential health effect discussed below, the synthesis describes the evidence base 2 of available human and animal studies. The PFHxA animal literature inventory summarizes the 3 evidence base on potential health effects (organized by organ or system) from the available *high* 4 and *medium* confidence short-term, developmental, subchronic, and chronic studies in mice and 5 rats (NTP, 2018; Klaunig et al., 2015; Iwai and Hoberman, 2014; Chengelis et al., 2009b; Loveless et 6 al., 2009). Some organs/systems for which data were available (i.e., dermal, 7 musculoskeletal/connective tissue, sensory, ocular) had no evidence of an effect even at the highest 8 administered dose, and others (i.e., respiratory, gastrointestinal system, cardiovascular, and 9 metabolic effects) were limited findings of unclear toxicological relevance (e.g., outcome not 10 necessarily adverse or considered nonspecific). Thus, these data are not synthesized in detail 11 below, but are summarized in the animal literature inventory. Similarly, other effects, including 12 body weights and survival, which had no effect or lowest effect levels at the highest administered 13 dose were not the drivers for hazard identification but were used to aid interpretation of other 14 potential health effects. They are summarized in the animal literature inventory under the 15 appropriate systemic/whole body system. Studies considered suitable for dose-response were 16 given a more detailed summarization of study methods and findings using HAWC. For hepatic 17 changes some individual or constellation of liver endpoints might be considered adaptive in nature. 18 Therefore, to draw inferences regarding the adversity of this type of liver effect, the panel 19 recommendations outlined by Hall et al. (2012) were used to develop conclusions around adversity 20 while also considering that <u>Hall et al. (2012)</u> developed adaptive/adversity criteria in the context 21 liver tumor formation.

### 3.2.1. Hepatic Effects

#### 22 Human

23 Two epidemiological studies report on the relationship between PFHxA exposure and liver 24 enzymes. Of these, one (<u>liang et al., 2014</u>), a cross-sectional study of pregnant women in China, was 25 critically deficient in the confounding domain and was considered overall *uninformative*. There was 26 no consideration of potential confounding in the study design and analysis, including potential 27 confounding by age, alcohol consumption, medical history, and socioeconomic status. Based on 28 these deficiencies, the study was excluded from further analysis (see Figure 3-1). The remaining 29 study (Nian et al., 2019) was cross-sectional and was classified as medium confidence (see Figure 3-30 1). Exposure levels for PFHxA, however, were low and contrast across the study population was 31 narrow (detected in 70% of the study population, adult residents of Shenyang, China, median 32 [interquartile range, IQR] = 0.2 [0.01–0.5]), which would reduce the study's ability to detect an 33 association if present. The study did not observe an association between PFHxA levels and serum

- 1 alanine aminotransferase (ALT), aspartate aminotransferase (AST), total protein, alkaline
- 2 phosphatase (ALP), γ-glutamyl transferase (GGT), total bilirubin, or cholinesterase.



**Figure 3-1. Study evaluation for human epidemiological studies reporting hepatic system findings from PFHxA exposures (full details available by clicking the <u>HAWC link</u>). Note that for N/A, critical deficiencies in confounding domains were identified and the study was judged as** *uninformative***; thus, the remaining domains were not evaluated.** 

#### 3 Animal

- 4 Hepatic outcomes were evaluated in multiple short-term, subchronic, or chronic studies in
- 5 rats and mice (<u>NTP, 2018; Klaunig et al., 2015; Iwai and Hoberman, 2014; Chengelis et al., 2009b;</u>
- 6 <u>Loveless et al., 2009</u>). Generally, studies were rated as *medium or high* confidence for the hepatic
- 7 outcomes, but some outcome-specific considerations for study evaluation were influential on the
- 8 overall confidence ratings for hepatic effects. Histopathology for <u>Chengelis et al. (2009b)</u> was rated
- 9 *low* confidence because of issues related to observational bias, endpoint sensitivity and specificity,
- 10 and results presentation. Results of the outcome-specific confidence evaluations are presented in
- 11 Table 3-2 below, and details are available by clicking the <u>HAWC link</u>.

Author (year)	Species, strain (sex)	Exposure design	Exposure route and dose range	Organ weight	Histopathology	Clinical chemistry	Peroxisomal beta oxidation
<u>NTP (2018)</u>	Rat, Harlan Sprague-Dawley (male and female)	Short term (28 d)	Gavage <sup>a</sup> Male and female: 0, 62.5, 125, 250, 500, 1,000 mg/kg-d	++	++	++	NM
<u>Chengelis et al.</u> (2009b)	Rat, Crl:CD(SD) Sprague-Dawley (male and female)	Subchronic (90 d)	Gavage <sup>a</sup> Male and female: 0, 10, 50, 200 mg/kg-d	++	-	++	-
<u>Loveless et al.</u> (2009)	Rat, Crl:CD(SD) Sprague-Dawley (male and female)	Subchronic (90 d)	Gavage <sup>b</sup> Male and female: 0, 20, 100, 500 mg/kg-d	++	++	++	++
<u>Klaunig et al.</u> (2015)	Rat, Crl:CD(SD) Sprague-Dawley (male and female)	2-yr cancer bioassay	Gavage <sup>a</sup> Male: 0, 2.5, 15, 100 mg/kg-d Female: 0, 5, 30, 200 mg/kg-d	NM	++	++	NM

## Table 3-2. Evaluation results for animal studies assessing effects of PFHxAexposure on the hepatic system

Study evaluation for animal toxicological hepatic endpoints reported from studies with male and female rats receiving by gavage PFHxA<sup>a</sup> or PFHxA sodium salt<sup>b</sup>. Study evaluation details for all outcomes are available by clicking the <u>HAWC link</u>.

++ Outcome rating of *high* confidence; + outcome rating of *medium* confidence; - outcome rating of *low* confidence; -- outcome rating of *uninformative*; NR, outcome not reported; NM, outcome not measured.

#### 1 <u>Organ Weight</u>

2

- Overall, findings of increased liver weights after oral PFHxA or PFHxA sodium salt
- 3 exposures in rats were consistent (see Figure 3-2; <u>exposure response array link</u>). Relative liver
- 4 weights (see Table 3-3), are generally considered more reliable than absolute liver weights because
- 5 they take into account large variations in body weight that could skew organ weight interpretation
- 6 (<u>Hall et al., 2012</u>). Large variations in body weights were not observed after PFHxA exposures in
- 7 male and female adult rats, and changes in both relative and absolute liver weights were similarly
- 8 increased and dose responsive. Increases in relative and absolute liver weights were dose-
- 9 dependently increased in all three short-term and subchronic studies. Statistically significant
- 10 increases in male rat relative liver weights were observed with oral doses of  $\geq 200-250$  mg/kg-day,
- 11 whereas statistically significant increases in female rats were observed only at  $\geq$  500 mg/kg-day.
- 12 Specifically, in the 28-day study, relative liver weight was increased (14%) in male rats at
- 13 250 mg/kg-day, where a similar increase (15%) was observed in female rats at 500 mg/kg-day
- 14 (<u>NTP, 2018</u>). In the subchronic studies, relative liver weights were increased (22%) at

- 1 200 mg/kg-day in males (with no change in females) in one study (<u>Chengelis et al., 2009b</u>), and the
- 2 other study observed increases of 63% and 37% at 500 mg/kg-day in males and females,
- 3 respectively (Loveless et al., 2009). Note that PFHxA effects on relative liver weights had resolved
- 4 by 30 days in the recovery group (<u>Chengelis et al., 2009b</u>). Liver weights were not evaluated in the
- 5 chronic study (<u>Klaunig et al., 2015</u>).



Figure 3-2. Liver weights (absolute and relative) after short-term and subchronic PFHxA exposures (full details available by clicking the <u>HAWC link</u>).

Table 3-3. Percent increase in relative liver weight due to PFHxA exposure in
short-term and subchronic oral toxicity studies

	Dose (mg/kg-d)															
Study Design and Reference	2.5	5	10	15	20	30	35	50	62.5	100	125	175	200	250	500	1,000
28-d female rat ( <u>NTP, 2018</u> )									1		2			7	15	47
28-d male rat ( <u>NTP, 2018</u> )									8		7			14	32	64
90-d female rat ( <u>Chengelis et al., 2009b</u> )			4					6					5			
90-y male rat ( <u>Chengelis</u> <u>et al., 2009b</u> )			1					1					22			
90-d female rat ( <u>Loveless</u> <u>et al., 2009</u> )					-1					5					37	
90-d male rat ( <u>Loveless</u> et al., 2009)					0					11					63	

Bold values indicate instances where statistical significance (p < 0.05) compared to controls was reported by study authors; shaded cells represent doses not included in the individual studies.

#### 1 <u>Histopathology</u>

- 2 Treatment-related increases in liver weight can result from various changes in hepatic
- 3 morphology including hyperplasia of any resident liver cell type, cellular hypertrophy,
- 4 inflammation, fibrosis, increase in hepatocyte size, neoplasia, congestion, or metabolic enzyme
- 5 induction (Hall et al., 2012; Thoolen et al., 2010; U.S. EPA, 2002a). As shown in Table 3-4 and
- 6 summarized in the <u>HAWC link</u>, four studies evaluated liver histopathology in rats. One observed
- 7 effect of PFHxA exposure was hepatocellular hypertrophy that was consistent across the short term
- 8 and subchronic studies. Hepatic hypertrophy can refer to an increase in liver weight and size; an
- 9 increase in hepatocyte size caused by abnormal storage of water, glycogen, lipids, or organelle
- 10 proliferation; and an increase in hepatic enzyme induction (<u>Hall et al., 2012</u>; <u>Thoolen et al., 2010</u>;
- 11 <u>U.S. EPA, 2002a</u>). Coherent with findings on liver weight, the observations of hepatocellular
- 12 hypertrophy were dose-dependent and male rats were more sensitive than females. Specifically,
- 13 increased hepatocellular hypertrophy was observed in adult male and female rats in the *high*
- 14 confidence short-term (NTP, 2018) and *high* confidence subchronic (Loveless et al., 2009) studies
- 15 at doses  $\geq 100-500$  mg/kg-day. In the subchronic study, hypertrophy persisted 30 and 90 days
- 16 after recovery in males, and 30 days after recovery in females (Loveless et al., 2009). In the *low*
- 17 confidence (for histopathology outcomes) subchronic study, centrilobular hepatocellular
- 18 hypertrophy was observed in male rats only (incidence 7/10, 200 mg/kg-day) and resolved after
- 19 28-day recovery (Chengelis et al., 2009b). In the chronic study (Klaunig et al., 2015), hepatocellular
- 20 hypertrophy findings were null consistent with null observations at similar doses in the short-term
- 21 and subchronic studies.

					Dose (n	ng/kg-d)	)			
Study Design and Reference	10	20	50	62.5	100	125	200	250	500	1,000
28-d, female rat ( <u>NTP, 2018</u> )				0/10		0/10		0/10	0/10	9/10
28-d, male rat ( <u>NTP, 2018</u> )				0/10		0/10		0/10	9/10	10/10
90-d, female rat ( <u>Chengelis et al., 2009b</u> )	0/10		0/10				0/10			
90-d, male rat ( <u>Chengelis et al., 2009b</u> )	0/10		0/10				7/10			
90-d, female rat ( <u>Loveless et al., 2009</u> )		0/10			0/10				5/10	
90-d, male rat ( <u>Loveless et al., 2009</u> )		0/10			4/10				10/10	

# Table 3-4. Incidence of hepatocellular hypertrophy findings in adult rats dueto PFHxA exposure in short-term and subchronic oral toxicity studies

					Dose (n	ng/kg-d	)			
Study Design and Reference	10	20	50	62.5	100	125	200	250	500	1,000
90-d, female rat, 30-day recovery ( <u>Loveless et al., 2009</u> )									4/10	
90-d, female rat, 90-day recovery ( <u>Loveless et al., 2009</u> )									0/10	
90-d, male rat, 30-day recovery ( <u>Loveless et al., 2009</u> )									9/10	
90-d, male rat, 90-day recovery ( <u>Loveless et al., 2009</u> )									6/10	

Bold values indicate instances where statistical significance (p < 0.05) compared to controls was reported by study authors; shaded cells represent doses not included in the individual studies.

Other pathological findings of PFHxA-mediated hepatic effects included increased

2 hepatocellular necrosis in rats, with a slight increase in male rats (n = 1/10 reported in a short term

3 study at 1,000 mg/kg-day PFHxA (<u>NTP, 2018</u>) and a subchronic study (200 mg/kg-day (<u>Chengelis</u>

4 <u>et al., 2009b</u>)). In the *high* confidence chronic study, <u>Klaunig et al. (2015)</u> reported hepatocellular

- 5 necrosis in females that was characterized as hepatocellular necrosis (n = 12/70 vs. 2/60 in
- 6 controls, p < 0.05) or hepatocellular, centrilobular necrosis (n = 4/70 vs. 1/60 in controls) in the
- 7 200 mg/kg-day dose group (the highest dose tested). The authors noted most necrosis findings
- 8 were in animals that died or were euthanized prior to scheduled necropsy and the increased
- 9 mortality was not treatment related, but was due to mechanical injury, gavage trauma, reflux injury,
- 10 or spontaneous disease processes (<u>Klaunig et al., 2015</u>). The authors reported no treatment-related
- 11 increases in hepatocellular necrosis (n = 6/70 vs. 4/60 in controls) or necrosis in the centrilobular
- 12 regions of the liver lobule (n = 1/46 vs. 0/42 in controls) in male rats up to the highest dose for that
- 13 sex, 100 mg/kg-day. Other findings included nonsignificant congestion in males (n = 23/70 vs.
- 14 15/60 in controls) and females (n = 8/70 vs. 11/60 in controls) (<u>Klaunig et al., 2015</u>). Incidence of
- 15 necrosis were not observed in the short-term study (<u>NTP, 2018</u>), and the subchronic study by
- 16 Loveless et al. (2009) did not report histological findings other than hepatocellular hypertrophy (no
- 17 data on necrosis were available).
- Other histopathological findings included observations of hepatocellular cytoplasmic
   alterations (*p* < 0.05) in adult male and female rats at the highest dose [1,000 mg/kg-day in the</li>
- 20 short-term study (<u>NTP, 2018</u>)]. All results reported above can be viewed using the <u>HAWC link</u>.
- 21 <u>Clinical Chemistry</u>

1

A clinical chemistry panel measures the proteins, enzymes, chemicals, and waste products
 in the blood. These measures, when evaluated together and with other biomarkers are informative

1 diagnostic tests of organ function and when interpreted together with histopathology are useful for

2 the assessment of adverse liver effects.

#### 3 Serum Enzymes

4 Alanine aminotransferase (ALT) and aspartate aminotransferase (AST) are often useful 5 indicators of hepatic enzyme induction or hepatocellular damage as increased serum levels are 6 thought to be due to hepatocyte damage resulting in release into the blood, whereas ALP is 7 localized to the bile canalicular membrane and more indicative of hepatobiliary damage (Hall et al., 8 2012; Amacher et al., 1998). PFHxA effects on the serum enzymes ALT, AST, and ALP included 9 <2-fold increases in serum enzyme across the three short-term and subchronic studies, except for 10 one 2.4-fold increase in male rats at 200 mg/kg-day in the *high* confidence subchronic study 11 (Chengelis et al., 2009b). No clear pattern of effects on the serum enzymes were reported in the 12 chronic study (<u>Klaunig et al., 2015</u>), but the highest dose was 100 or 200 mg/kg-day PFHxA in male 13 or female rats, respectively. Full study details are available in Figure 3-3 and by clicking the HAWC 14 link. Percent changes in treated relative to controls are provided in Table 3-5, Table 3-6, and 15 Table 3-7. 16 Specifically, in the short-term study, ALT, AST, and ALP were increased in a dose-response 17 gradient in adult male rats at doses as low as 500 mg/kg-day (<u>NTP, 2018</u>). In female rats, ALT and 18 AST measures were increased in a dose-response gradient at doses as low as 500 mg/kg-day, 19 whereas ALP was increased only in the highest (1,000 mg/kg-day) dose group (NTP, 2018). 20 ALT increases were observed only in male rats at PFHxA sodium salt exposures as low as 20 21 mg/kg-day in one subchronic study (Loveless et al., 2009) and in the highest PFHxA dose group 22 (200 mg/kg-day) in the other subchronic study (<u>Chengelis et al., 2009b</u>). AST was increased in only 23 one subchronic study in males at  $\geq 20 \text{ mg/kg-day}$  (Loveless et al., 2009). Chengelis et al. (2009b) 24 reported increased AST in males only in the 200 mg/kg-day dose group that resolved after the 25 30-day recovery (see Table 3-6). 26 ALP was increased in both subchronic studies with significant increases observed in the 27 highest exposure groups [200 (Loveless et al., 2009) and 500 mg/kg-day (Chengelis et al., 2009b)]

that resolved by the 30-day recovery (see Table 3-7). The chronic study did not include a 13-week

endpoint that would have been useful for group mean comparisons with the test measures in the

30 subchronic studies (as clinical pathology test values often change with animal age) (<u>AACC, 1992</u>).



Figure 3-3. Clinical chemistry findings (serum enzymes) after short term, subchronic, and chronic PFHxA exposures (full details available by clicking the <u>HAWC link</u>).

 Table 3-5. Percent change in alanine aminotransferase (ALT) due to PFHxA

 exposure in short-term, subchronic, and chronic oral toxicity studies

		Dose (mg/kg-d)													
Study Design and Reference	2.5	5	10	15	20	30	50	62.5	100	125	200	250	500	1,000	
28-d, female rat ( <u>NTP, 2018</u> )								11		15		10	35	44	
28-d, male rat ( <u>NTP, 2018</u> )								4		4		8	26	64	
90-d, female rat ( <u>Chengelis et al., 2009b</u> )			60				29				3				
90-d, male rat ( <u>Chengelis et al., 2009b</u> )			12				22				237				
90-d, female rat ( <u>Loveless et al., 2009</u> )					-46				-25				-4		

		Dose (mg/kg-d)													
Study Design and Reference	2.5	ъ	10	15	20	30	50	62.5	100	125	200	250	500	1,000	
90-d, male rat ( <u>Loveless et al., 2009</u> )					33				44				56		
Wk 26, female rat ( <u>Klaunig et al., 2015</u> )		44				-62					-57				
Wk 26, male rat ( <u>Klaunig et al., 2015</u> )	10			12					117						
Wk 52, female rat ( <u>Klaunig et al., 2015</u> )		7				-15					-10				
Wk 52, male rat ( <u>Klaunig et al., 2015</u> )	194			2					27						

Bold values indicate instances where statistical significance (p < 0.05) compared to controls was reported by study authors; shaded cells represent doses not included in the individual studies.

## Table 3-6. Percent change in aspartate aminotransferase (AST) due to PFHxAexposure in short-term, subchronic, and chronic oral toxicity studies

		Dose (mg/kg-d)												
Study Design and Reference	2.5	ъ	10	15	20	30	50	62.5	100	125	200	250	500	1,000
28-d, female rat ( <u>NTP, 2018</u> )								-1		0		0	11	18
28-d, male rat ( <u>NTP, 2018</u> )								3		1		6	16	36
90-d, female rat ( <u>Chengelis</u> <u>et al., 2009b</u> )			38				18				5			
90-d, male rat ( <u>Chengelis et al., 2009b</u> )			-3				16				9			
90-d, female rat ( <u>Loveless et al., 2009</u> )					-58				-44				-36	
90-d, male rat ( <u>Loveless et al., 2009</u> )					74				25				39	
Wk 26, female rat ( <u>Klaunig</u> <u>et al., 2015</u> )		-10				-64					-65			
Wk 26, male rat ( <u>Klaunig et al., 2015</u> )	-4			-2					-63					
Wk 52, female rat ( <u>Klaunig</u> <u>et al., 2015</u> )		11				-11					-15			

						D	ose (n	ng/kg-	d)					
Study Design and Reference	2.5	ß	10	15	20	30	50	62.5	100	125	200	250	500	1,000
Wk 52, male rat ( <u>Klaunig et al., 2015</u> )	32			-1					13					

Bold values indicate instances where statistical significance (p < 0.05) compared to controls was reported by study authors; shaded cells represent doses not included in the individual studies.

						Do	se (mg	g/kg-d)	)					
Study Design and Reference	2.5	ъ	10	15	20	30	50	62.5	100	125	200	250	500	1,000
28-d, female rat ( <u>NTP, 2018</u> )								8		19		2	7	38
28-d, male rat ( <u>NTP, 2018</u> )								-4		-2		2	22	51
90-d, female rat ( <u>Chengelis et al., 2009b</u> )			-5				-22				4			
90-d, male rat ( <u>Chengelis</u> <u>et al., 2009b</u> )			-2				15				34			
90-d, female rat ( <u>Loveless et al., 2009</u> )					-16				24				-18	
90-d, male rat ( <u>Loveless</u> <u>et al., 2009</u> )					17				20				60	
Wk 26, female rat ( <u>Klaunig et al., 2015</u> )		16				27					7			
Wk 26, male rat ( <u>Klaunig</u> <u>et al., 2015</u> )	-4			1					-1					
Wk 52, female rat ( <u>Klaunig et al., 2015</u> )		-18				4					-12			
Wk 52, male rat ( <u>Klaunig</u> <u>et al., 2015</u> )	-15			-5					-2					

# Table 3-7. Percent change in alkaline phosphatase (ALP) due to PFHxAexposure in short-term, subchronic, and chronic oral toxicity studies

Bold values indicate instances where statistical significance (p < 0.05) compared to controls was reported by study authors; shaded cells represent doses not included in the individual studies.

### 1 Blood Proteins

2

- The two major classes of proteins in the blood stream, albumin and globulin, are made by
- 3 the liver (with some globulins also made by the immune system) (Boron and Boulpaep, 2017).
- 4 Blood proteins are routinely measured in diagnostic panels because changes in blood protein levels,

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- 1 particularly a decrease, can be indicators of protein loss due to kidney disease or impeded
- 2 production in the liver, such as in liver disease (Boron and Boulpaep, 2017). Blood protein
- 3 measures (total protein and globulin) were, in general, decreased across short-term (<u>NTP, 2018</u>),
- 4 and subchronic (<u>Chengelis et al., 2009b</u>; <u>Loveless et al., 2009</u>) studies, with consistent and coherent
- 5 dose-dependent findings across study designs. No PFHxA-related treatment effects on blood
- 6 proteins were found in the chronic study at doses up to 100 or 200 mg/kg-day PFHxA (the highest
- 7 doses administered) in male or female rats, respectively. The pattern of findings suggests a
- 8 primary effect on blood globulins (decreased) in response to PFHxA exposure that was driving
- 9 decreases in total protein and increases in the albumin:globulin ratio (A:G). These findings are
- 10 discussed below and detailed information can be viewed in Figure 3-4 or by clicking on the <u>HAWC</u>
- 11 <u>link</u>.

#### Toxicological Review of PFHxA and Related Salts

Endpoint	Study	Experiment	Animal Description	Observation Time	PFHxA Hepatic Effects: Serum Proteins
Albumin (A)	NTP, 2018, 4309149	28-Day Oral	Rat, Harlan Sprague-Dawley (්)	Day 29	♦ ● ● ● ● ▼ ● ▼
			Rat, Harlan Sprague-Dawley (♀)	Day 29	
	Chengelis, 2009, 2850404	90-Day Oral	Rat, Crl:CD(SD) (♂)	Day 90	•••
			Rat, Crl:CD(SD) (♀)	Day 90	•• •
	Loveless, 2009, 2850369	90-Day Oral	Rat, Crl:CD(SD) (♂)	Day 92	••••
			Rat, Crl:CD(SD) (♀)	Day 93	•••
	Klaunig, 2015, 2850075	2-Year Cancer Bioassay	Rat, Crl:CD(SD) (♂)	Week 26	•-•
			Rat, Crl:CD(SD) (♀)	Week 26	•••
			Rat, Crl:CD(SD) (♂)	Week 52	•-•
			Rat, Crl:CD(SD) (♀)	Week 52	•••
Albumin (A), Recovery	Chengelis, 2009, 2850404	90-Day Oral	Rat, CrI:CD(SD) (♂)	Day 118	• •
			Rat, Crl:CD(SD) (♀)	Day 118	• • •
Ibumin/Globulin (A/G) Ratio	NTP, 2018, 4309149	28-Day Oral	Rat, Harlan Sprague-Dawley (්)	Day 29	
			Rat, Harlan Sprague-Dawley (♀)	Day 29	<b>•••</b> •••
	Chengelis, 2009, 2850404	90-Day Oral	Rat, Crl:CD(SD) (♂)	Day 90	•• •
			Rat, Crl:CD(SD) (♀)	Day 90	•• •
	Klaunig, 2015, 2850075	2-Year Cancer Bioassay	Rat, Crl:CD(SD) (♂)	Week 26	• •
			Rat, Crl:CD(SD) (♀)	Week 26	•••
			Rat, Crl:CD(SD) (♂)	Week 52	•••
			Rat, Crl:CD(SD) (♀)	Week 52	•• •
Ibumin/Globulin (A/G) Ratio, Recovery	Chengelis, 2009, 2850404	90-Day Oral	Rat, Crl:CD(SD) (්)	Day 118	•
			Rat, Crl:CD(SD) (♀)	Day 118	
Globulin (G)	NTP, 2018, 4309149	28-Day Oral	Rat, Harlan Sprague-Dawley (♂)	Day 29	
			Rat, Harlan Sprague-Dawley (♀)	Day 29	· • • • • • • •
	Chengelis, 2009, 2850404	90-Day Oral	Rat, Crl:CD(SD) (♂)	Day 90	••
			Rat, Crl:CD(SD) (♀)	Day 90	••
	Loveless, 2009, 2850369	90-Day Oral	Rat, Crl:CD(SD) (♂)	Day 92	• • •
			Rat, Crl:CD(SD) (♀)	Day 93	•••
	Klaunig, 2015, 2850075	2-Year Cancer Bioassay	Rat, Crl:CD(SD) (♂)	Week 26	• •
			Rat, Crl:CD(SD) (♀)	Week 26	•• •
			Rat, Crl:CD(SD) (♂)	Week 52	•-•
			Rat, Crl:CD(SD) (♀)	Week 52	•••
Globulin (G), Recovery	Chengelis, 2009, 2850404	90-Day Oral	Rat, Crl:CD(SD) (♂)	Day 118	•
			Rat, Crl:CD(SD) (♀)	Day 118	• • • • • • • • • • • • • • • • • • • •
Total Protein (TP)	NTP, 2018, 4309149	28-Day Oral	Rat, Harlan Sprague-Dawley (♂)	Day 29	
			Rat, Harlan Sprague-Dawley(우)	Day 29	♦ ● ● ● ● <sup>1</sup>
	Chengelis, 2009, 2850404	90-Day Oral	Rat, Crl:CD(SD) (♂)	Day 90	•• •
			Rat, CrI:CD(SD) (♀)	Day 90	•• •
	Loveless, 2009, 2850369	90-Day Oral	Rat, CrI:CD(SD) (්)	Day 92	
			Rat, Crl:CD(SD) (♀)	Day 93	•• • • • •
	Klaunig, 2015, 2850075	2-Year Cancer Bioassay	Rat, Crl:CD(SD) (♂)	Week 26	• •
			Rat, Crl:CD(SD) (♀)	Week 26	•• •
			Rat, Crl:CD(SD) (්)	Week 52	•-•
			Rat, Crl:CD(SD) (♀)	Week 52	•• •
otal Protein (TP). Recovery	Chengelis, 2009, 2850404	90-Day Oral	Rat. Crl:CD(SD) (3)	Day 118	• •
	3, 2500101			,	

## Figure 3-4. Blood protein findings after short term, subchronic, and chronic PFHxA exposures (full details available by clicking the <u>HAWC link</u>).

1 Effects on total protein (TP; see Table 3-8)—the total amount of albumin and globulin found

2 in blood, is associated with chronic liver disease (<u>Whalan, 2015</u>)—was decreased up to 20% in

3 male rats receiving a dose  $\geq$  125 mg/kg-day in the 28-day study (with a significant trend) (<u>NTP</u>,

- 4 <u>2018</u>). A dose-responsive decrease (6–14%,  $\geq$ 100 mg/kg-day) in TP also was observed in male rats
- 5 (<u>Chengelis et al., 2009b</u>; <u>Loveless et al., 2009</u>) with decreased levels observed in males (-6%, 200
- 6 mg/kg-day) at the 30-day recovery (<u>Chengelis et al., 2009b</u>). Albumin is a major blood protein that
- 7 binds fatty acids, cations, bilirubin, thyroxine (T4), and other compounds. Decreased albumin
- 8 levels are associated with decreased synthesis in the liver, increased catabolism, severe diffuse liver

- 1 disease, subacute hepatitis, hepatocellular damage, ascites, cirrhosis, and chronic alcoholism
- 2 (Whalan, 2015). Slight decreases (p < 0.05) in albumin were reported only in males exposed for
- 3 28 days to 125 mg/kg-day (6% decrease) and 1,000 mg/kg-day (7% decrease) PFHxA (<u>NTP, 2018</u>).
- 4 The biological significance of this magnitude of change is unclear. No effects on albumin were
- 5 identified in the subchronic or chronic studies.
- 6 Globulin, a collection of blood proteins larger than albumin made by both the liver and
- 7 immune system, were decreased in all but the chronic study (see Table 3-9). Globulin decreases
- 8 were observed in both male and female rats treated with PFHxA in the short-term study at
- 9 ≥125 mg/kg-day and 1,000 mg/kg-day, respectively (<u>NTP, 2018</u>). Consistent with the short-term
- 10 study, decreases were also observed in both males and females in the highest dose groups
- 11 [200 (<u>Chengelis et al., 2009b</u>) and 100 mg/kg-day (<u>Loveless et al., 2009</u>)]. Notably, globulin
- 12 decreases (10%) persisted at the 30-day recovery in males (200 mg/kg-day) and returned to
- 13 normal in females (<u>Chengelis et al., 2009b</u>).
- 14 The decrease in globulin was consistent with increases in A:G, a routine blood test used to
- 15 screen for liver, kidney, immune, and gastrointestinal function. The A:G was increased in males and
- 16 females (113–160% at ≥250 mg/kg-day and 142% at 1,000 mg/kg-day) with significant trends in
- both sexes (<u>NTP, 2018</u>). <u>Chengelis et al. (2009b</u>) observed an increase (10%) at the 30-day
- 18 recovery in rats receiving an oral dose of 200 mg/kg-day.

		Dose (mg/kg-d)												
Study Design and Reference	2.5	S	10	15	20	30	50	62.5	100	125	200	250	500	1,000
28-d, female rat ( <u>NTP, 2018</u> )								0		1		-1	-1	-7
28-d, male rat ( <u>NTP, 2018</u> )								-4		-7		-7	-10	-20
90-d, female rat ( <u>Chengelis et al., 2009b</u> )			4				3				-4			
90-d, male rat ( <u>Chengelis</u> <u>et al., 2009b</u> )			-3				-4				-6			
90-d, female rat 30-d recovery ( <u>Chengelis et al., 2009b</u> )											-3			
90-d, male rat 30-d recovery ( <u>Chengelis et al., 2009b</u> )											-6			
90-d, female rat ( <u>Loveless</u> <u>et al., 2009</u> )					-1				-1				-3	

Table 3-8. Percent change in total protein (TP) due to PFHxA exposure in short-term, subchronic, and chronic oral toxicity studies

		Dose (mg/kg-d)												
Study Design and Reference	2.5	5	10	15	20	30	50	62.5	100	125	200	250	500	1,000
90-d, male rat ( <u>Loveless</u> <u>et al., 2009</u> )					0				-6				-14	
2-yr, female rat ( <u>Klaunig</u> <u>et al., 2015</u> )		-1				1					0			
2-yr, male rat ( <u>Klaunig et al., 2015</u> )	-1			0					-3					

Bold values indicate instances where statistical significance (p < 0.05) compared to controls was reported by study authors; shaded cells represent doses not included in the individual studies.

# Table 3-9. Percent change in globulins (G) due to PFHxA exposure in short term, subchronic, and chronic oral toxicity studies

		Dose (mg/kg-d)												
Study Design and Reference	2.5	S	10	15	20	30	50	62.5	100	125	200	250	500	1,000
28-d, female rat ( <u>NTP, 2018</u> )								-6		-4		-9	-7	-28
28-d, male rat ( <u>NTP, 2018</u> )								-7		-9		-14	-24	-40
90-d, female rat ( <u>Chengelis et al., 2009b</u> )			0				0				-15			
90-d, male rat ( <u>Chengelis</u> <u>et al., 2009b</u> )			-7				-11				-11			
90-d, female rat 30-d recovery ( <u>Chengelis et al., 2009b</u> )											0			
90-d, male rat 30-d recovery ( <u>Chengelis et al., 2009b</u> )											-10			
90-d, female rat ( <u>Loveless</u> <u>et al., 2009</u> )					0				-3				-11	
90-d, male rat ( <u>Loveless</u> <u>et al., 2009</u> )					0				-13				-28	
2-yr, female rat ( <u>Klaunig et al., 2015</u> )		-4				4					-4			
2-yr, male rat ( <u>Klaunig et al., 2015</u> )	-4			4					-4					

Bold values indicate instances where statistical significance (p < 0.05) compared to controls was reported by study authors; shaded cells represent doses not included in the individual studies.

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#### 1 <u>Hepatobiliary Components</u>

- 2 Other indicators of potential liver dysfunction or injury included impacts on bile
- 3 components essential for normal lipid metabolism and red blood cell breakdown. ALP (discussed
- 4 with serum enzymes and in Table 3-7) is an indicator of bile duct obstruction and was consistently
- 5 increased in male and female rats in the short-term study (<u>NTP, 2018</u>) and subchronic studies
- 6 (<u>Chengelis et al., 2009b; Loveless et al., 2009</u>). In the short-term study (<u>NTP, 2018</u>), bile acids were
- 7 increased at the highest dose (1,000 mg/kg-day) with a significant trend (a possible indication of
- 8 cholestatic liver injury), and bilirubin was decreased in a dose-response gradient across both the
- 9 short-term and subchronic (Loveless et al., 2009) studies (see Figure 3-5). Lower than normal
- 10 bilirubin levels are usually not a concern and can be reduced in response to increased conjugation
- 11 rates after hepatic enzyme induction and excretion into bile (<u>Hall et al., 2012</u>).



# Figure 3-5. Hepatobiliary findings in rats exposed by gavage to PFHxA or PFHxA sodium salt (full details available by clicking the <u>HAWC link</u>).

### 12 Mechanistic Evidence and Supplemental Information

- The available evidence base reports increased liver weight, hepatocellular hypertrophy,
   hepatocellular necrosis, increased (1.5–2.5-fold) serum enzymes, increased peroxisomal beta
- 15 oxidation, decreased total protein (driven by decreased globulin), and decreased bilirubin levels in
- 16 rats exposed to PFHxA. Key considerations regarding the potential human relevance and adversity
- 17 of these effects are described below.
- 18 Peroxisomal beta oxidation
- 19 Peroxisomal proliferation can be induced within the peroxisomes to perform beta oxidation
- 20 of lipids into acetyl CoA and hydrogen peroxide (H<sub>2</sub>O<sub>2</sub>) (<u>Reddy, 2004</u>). Hydrogen peroxide is a
- 21 reactive metabolite and can cause oxidative damage to the surrounding tissue. Two subchronic
- 22 studies measured PFHxA induction of peroxisomal beta oxidation activity in male and female rats
- 23 (<u>Chengelis et al., 2009b</u>; <u>Loveless et al., 2009</u>) (see Figure 3-6) and both were considered *medium* or
- 24 *high* confidence for this outcome. <u>Chengelis et al. (2009b)</u> reported an increase (*p* < 0.05, 1.37-fold)
- in males treated with 200 mg/kg-day at 13 weeks. <u>Loveless et al. (2009)</u> found increased
- 26 peroxisomal beta oxidation activity in both sexes gavaged with 500 mg/kg-day for 10 and 90 days

1 (males, 3.1- and 4.36-fold, respectively; females, 1.45- and 2.67-fold, respectively). Notably,

- 2 increased activity persisted after the 30-day recovery and male rats were more sensitive than
- 3 females, with males in the 100 mg/kg-day group also showing increased peroxisomal beta
- 4 oxidation (Loveless et al., 2009).
- 5

Endpoint	Study	Experiment	Animal Description	Observation Time	Peroxisomal Beta Oxidation
Perosixomal Beta Oxidation	Loveless, 2009, 2850369	90-Day Oral	$Rat,Crl:CD(SD)({\it O}^{\!\!*})$	Day 10	
			Rat, Crl:CD(SD) ( $Q$ )	Day 10	
			$Rat,Crl:CD(SD)({\tt O}^{\!$	Day 92	
			Rat, Crl:CD(SD) ( $Q$ )	Day 93	
Peroxisomal Beta Oxidation	Chengelis, 2009, 2850404	90-Day Oral	$Rat,Crl:CD(SD)({\bf C}^{\!\!\!*})$	Day 90	• <b>A</b>
			Rat, Crl:CD(SD) $(Q)$	Day 90	• • •
Peroxisomal Beta Oxidation (30-Day Recovery)	Loveless, 2009, 2850369	90-Day Oral	Rat, Crl:CD(SD) $(\sigma^{*})$	Day 30 PE	• • •
			Rat, Crl:CD(SD) $(Q)$	Day 30 PE	
<ul> <li>No significa</li> </ul>	ant change 🔺 Significant inc	rease 🔻 Sig	nificant decrease 🛛 🔶 S	Significant Trend	-100 0 100 200 300 400 500 600 700 800 900 1,0001,100 Dose (mg/kg-day)

# Figure 3-6. Peroxisomal beta oxidation activity in rats exposed by gavage to PFHxA or PFHxA sodium salt (full details available by clicking the HAWC link).

#### 6 <u>Considerations related to human relevance</u>

7 The induction of both PPAR $\alpha$  and CAR target gene expression were observed after PFHxA 8 exposure in both the short-term and subchronic rodent studies (<u>NTP, 2018; Chengelis et al., 2009b;</u> 9 Loveless et al., 2009). Specifically, in the short-term study, NTP (2018), in vivo PFHxA exposure 10 elicited significant and dose-related increases in the liver expression of the PPAR $\alpha$  target genes acyl-CoA oxidase(Acox1, up to 2-fold increase) and cytochrome P450 4a1 (Cyp4a1, up to 12.5-fold 11 12 increase). In the same short-term study, constitutive androstane receptor (CAR) target genes cytochrome P450 2b1 (Cyp2b1, up to 7-fold increase) and cytochrome P450 2b2 (Cyp2b2, up to 3-13 14 fold increase) were also induced after PFHxA exposure. Functional evidence of PPARα activation by 15 PFHxA exposure was provided by the NTP (2018) short-term study where increases (up to 16-fold) 16 in Acyl-CoA oxidase activity in male rats receiving >250 mg/kg-day PFHxA (not measured in 17 females). 18 The hepatic effects of PFHxA exposure observed in rodents (including increased liver 19 weight, hepatocellular hypertrophy, and peroxisomal beta oxidation) could reflect species-specific 20 responses to chemical-induced liver toxicity. There was some evidence in vitro as to whether the 21 PFHxA similarly activated human PPARα. Wolf et al. (2008) examined, in vitro, PPARα activation by 22 PFHxA in COS1 cells transfected with reporter gene constructs containing either the mouse or 23 human PPARα ligand binding domain fused to a Gal4 DNA binding domain under control of an SV-24 40 promoter in a luciferase reporter plasmid. These assays indicated that both mouse and human 25 PPAR $\alpha$  are activated by PFHxA in a treatment-related manner with PFHxA being a more potent 26 activator of the human (lowest observed effect concentration, LOEC =  $10 \mu$ M) than the mouse 27 (LOEC = 20  $\mu$ M) receptor (Wolf et al., 2008). While the transactivation studies of Wolf et al. (2008) 28 indicated PFHxA activation of both the mouse and human PPARα, significant effects were reported

- 1 only for treated vs control within a species. The mean and variance were not reported by study
- 2 authors and it is not clear if there are significant differences in the degree of activation between the
- 3 examined species (mouse and human plasmids) in response to PFHxA treatment.
- 4 Further in vitro high throughput screening evidence for PFHxA effects in human cell lines
- 5 (including HepG2 and HepaRG cells) are available from <u>EPA's CompTox Chemicals Dashboard</u> (U.S.
- 6 <u>EPA, 2018a</u>) by clicking the following
- 7 ("https://comptox.epa.gov/dashboard/dsstoxdb/results?search=DTXSID3031862" \l "invitrodb").
- 8 After filtering out results flagged to be uncertain (e.g., high degree of variability) or occuring at high
- 9 concentrations associated with cytotoxicity (U.S. EPA, 2018b; Filer et al., 2016; Filer, 2015), 19
- 10 assay targets remained from human liver cell-based assays (at up to 200  $\mu$ M PFHxA).
- 11 Transactivation assays in HepG2 cells indicated PFHxA treatment effects that included activation of
- 12 the transcription factors PPARα and hypoxia inducible factor 1 subunit alpha (HIF1α, a
- 13 transcriptional regulator of genes involved in the hypoxia response). Gene expression assays in
- 14 HepaRG cells identified the induction of 16 genes including several cytochrome P450 family
- 15 members, transporters, kinases, and oxidase/oxidoreductase related activities that are primarily
- 16 involved in detoxification and / or lipid metabolism (see Table 3-10). Bioactivity data were not
- 17 available for <u>PFHxA sodium salt</u> or <u>PFHxA ammonium salt</u>. Several of these genes were PPARα
- 18 targets, suggesting PFHxA activates human PPARα in vitro.

# Table 3-10. Genes Targets Identified from EPA Chemicals Dashboard After PFHxA Treatment in Human Liver Cell Lines

GENE_SYMBOL	GENE_NAME	AC50 <sup>a</sup>	LOGAC50	BMAD <sup>b</sup>
	ATP-binding cassette, sub-family G (WHITE), member 2 (Junior			
ABCG2*	blood group)	9.49	0.977	0.201
ACOX1*	acyl-CoA oxidase 1, palmitoyl	9.47	0.976	0.135
ADK	adenosine kinase	2.88	0.459	0.166
CYP2B6*	cytochrome P450, family 2, subfamily B, polypeptide 6	19.1	1.28	0.251
CYP2C19	cytochrome P450, family 2, subfamily C, polypeptide 19	5.21	0.717	0.187
CYP2C8*	cytochrome P450, family 2, subfamily C, polypeptide 8	7.88	0.896	0.216
CYP2C9	cytochrome P450, family 2, subfamily C, polypeptide 9	6.53	0.815	0.314
CYP3A7	cytochrome P450, family 3, subfamily A, polypeptide 7	10.3	1.01	0.259
CYP4A11	cytochrome P450, family 4, subfamily A, polypeptide 11	45.3	1.66	0.213
CYP4A22	cytochrome P450, family 4, subfamily A, polypeptide 22	57.6	1.76	0.269
FABP1*	fatty acid binding protein 1, liver	22.3	1.35	0.161
FMO3	flavin containing monooxygenase 3	13.3	1.12	0.145
HMGCS2*	3-hydroxy-3-methylglutaryl-CoA synthase 2 (mitochondrial)	4.13	0.616	0.175
PDK4*	pyruvate dehydrogenase kinase, isozyme 4	20.9	1.32	0.161
SLCO1B1	solute carrier organic anion transporter family, member 1B1	9.82	0.992	0.181
UGT1A1	UDP glucuronosyltransferase 1 family, polypeptide A1	15.1	1.18	0.221

<sup>a</sup>AC50 – active concentration that elicited half maximal response.

\*PPARα target gene (<u>http://www.ppargene.org/index.php</u>).

<sup>&</sup>lt;sup>b</sup>BMAD – baseline median absolute deviation.

1 Collectively, the available in vivo and in vitro evidence for PFHxA include potential for

 $2 \qquad \mbox{rodent responses to be relevant to human exposure. The data also suggest similar PPAR \alpha \\$ 

3 activation occurs in both rodents and humans (at least in vitro). Potential pathways such as PPAR $\alpha$ 

4 and CAR activation can contribute to some of the hepatic changes caused by PFHxA exposure,

- 5 including hypertrophy. Studies of the prototypical PPAR $\alpha$  agonist, WY-14643, indicate an increased
- 6 sensitivity of rodents as compared to humans; however, the PFHxA-specific data do not
- 7 demonstrate such clear differences with this structurally different compound. PFHxA-specific data

8 informing possible biological pathways leading to the observed hepatic effects are sparse and many

9 uncertainties remain.

#### 10 Evidence from other PFAS

Although no direct in vivo evidence is available for PFHxA effects in PPARα null rodent
models, PFAS exposures in PPARα null and humanized mouse models are available and useful for
considering human relevance. In <u>Rosen et al. (2017)</u>, transcript profiling in male wild-type and null
mice identified PFNA, PFOA, PFOS, and PFHxS exposure induced hepatic gene expression profiles
similar to agonists for CAR, PPARα, PPARγ, estrogen receptor alpha (ERα), while suppressing signal
transducer and activator of transcription 5 B (STAT5B). In the same study, <u>Rosen et al. (2017)</u> also

17 compared transcript profiles between vehicle and PFAS-exposed wild-type and null mice and

18 identified that 11–24% of the genes differentially regulated by PFAS exposure were PPARα

19 independent. In a separate study, <u>Das et al. (2017)</u> reported findings of increased hepatocyte area

20 and decreased DNA content along with increased hepatic triglyceride content and increased

21 hepatocellular lipid content (except for PFNA) indicating hepatocyte hypertrophy and steatosis in

22 adult male SV129 wild-type SV and PPARα null and mice exposed to 10 mg/kg-day PFOA, PFNA, or

23 PFHxS for 7 days. Further, <u>Foreman et al. (2009)</u> also observed increased liver weight, hepatic lipid

24 accumulation, ALT increases >2-fold, and pathologically similar (severity and incidence)

25 hepatocellular hypertrophy in male SV129 wild-type SV and humanized PPARα mice exposed to

26 PFBA. Collectively, these findings suggest pathways in addition to PPARα can mediate the hepatic

effects (including increased liver weight and hepatocellular hypertrophy) for those PFAS tested.

28 Based on structural similarity between PFHxA and PFOA, PFNA, and PFBA it is inferred that PFHxA

29 exposure in these genetic mouse model systems would elicit similar effects.

### 30 <u>Considerations for Potentially Adaptive Versus Adverse Responses</u>

31 Considering that the hepatic effects of PFHxA exposure (increased liver weight and

- 32 hepatocyte hypertrophy) observed in rodents could have been adaptive responses to chemical-
- 33 induced hepatotoxicity, the potential adversity of these effects was a key consideration and
- 34 analyzed. I n the absence of a known mechanism leading to increased liver weight, hepatocellular
- 35 hypertrophy, and necrosis, the evidence for PFHxA-mediated hepatotoxicity was evaluated to
- 36 inform interpretations regarding adversity utilizing guidance from <u>Hall et al. (2012)</u>. Specifically,
- 37 <u>Hall et al. (2012)</u> states that, "when assessing a histological change caused by an increase in liver

1	weight, in order to conclude whether the adverse or not, a number of steps must be carefully
2	considered:
3	1. Is there histological evidence of structural degenerative or necrotic changes:
4	Hepatocyte necrosis, fibrosis, inflammation, and steatotic vacuolar degeneration
5	Biliary/oval cell proligeration, degeneration, fibrosis, and cholestasis
6	<ul> <li>Necrosis and degeneration of other resident cells within the liver</li> </ul>
7	2. In the absence of histological changes, using a weight-of-evidence approach, is there clinical
8	pathology evidence of hepatocyte damage characterized by a dose dependent and
9	biologically significant and consistent increase in at least <i>two</i> liver parameters:
10	• At least ×2 to ×3 increase in ALT
11	• A biologically significant change in other biomarkers of hepatobiliary change (ALP, AST,
12	γGT, GLDH, etc.)
13	• A biologically significant change in another clinical pathology marker indicating liver
14	dysfunction (albumin, bilirubin, bile acids, coagulation factors, cholesterol, triglycerides,
15	etc.)"
16	
17	With regard to Step 1, above, histological evidence of structural change included necrosis in
18	females rats only (incidence of 12/70) receiving 200 mg/kg-day in the chronic study (note the
19	highest dose in male rats was half the female dose) (Klaunig et al. 2015). No proliferative indices
20	were noted and as discussed above uncertainties remain regarding notential biological nathways
20	(including $PDAPa$ ) leading to the $PEHyA_{r}$ modiated observed findings. Incidence of necrosis were
21	not observed in rate (male or female) from the short term study (NTP, 2019) and the subshronic
22	atudios by (Changelia et al. 2000b; Levelage et al. 2000). Histological findings did include
25	studies by ( <u>Cheligens et al., 2009b</u> ; <u>Loveless et al., 2009</u> ). Histological initialitys did include
24	increased incidence of nepatocential hypertrophy from the short term and both subchromic
25	studies. Notably, hypertrophy findings persisted in both male and female rats 90-day after
26	recovery ( <u>Loveless et al., 2009</u> ). With regard to Step 2 above, other liver parameter effects were
27	observed after PFHxA exposure and included increased peroxisomal beta oxidation in both
28	subchronic studies ( <u>Chengelis et al., 2009b; Loveless et al., 2009</u> ) that persisted at 30 days recovery
29	in both male and female rats ( <u>Loveless et al., 2009</u> ). The serum enzymes ALT, AST, and ALP were
30	increased in a dose-responsive manner at the same or lower doses than the observed increases in
31	hepatocellular hypertrophy. Other parameters characterized by a dose-dependent PFHxA-
32	mediated effect included decreased globulin, decreased total protein, and decreased bilirubin.
33	Considering the <u>Hall et al. (2012)</u> criteria above, the observed increase in relative liver
34	weight and hepatocellular hypertrophy in rats exposed to PFHxA are interpreted as adverse, human
35	relevant, and potentially leading to increasingly severe outcomes such as necrosis.
36	

#### 1 Evidence Integration

2 The human evidence base is limited to a single *medium* confidence study reporting null
3 associations between serum biomarker levels and PFHxA exposure. Based on these data, there is
4 *indeterminate* human evidence of hepatic effects.

5 The hepatic findings in rodents exposed to PFHxA included increased relative liver weight 6 observed with increased hepatocellular hypertrophy at doses as low as 100 mg/kg-day (Loveless et 7 al., 2009) and 200 mg/kg-day (Chengelis et al., 2009b) in male rats that persisted after 30 and 90 8 day recovery. Corroborative evidence for adverse hepatotoxicity included increased serum 9 enzymes, (e.g., ALT increased >2-fold) in the subchronic studies, although a dose-responsive 10 relationship was observed in the short term, but not the subchronic, studies. Serum enzyme 11 changes were not observed in the chronic study (Klaunig et al., 2015). Hepatocellular necrosis was 12 observed in male rats in a high confidence short term study (NTP, 2018) at 1,000 mg/kd-day, low 13 confidence subchronic study (<u>Chengelis et al., 2009b</u>) and in the *high* confidence chronic study 14 (female rats) (Klaunig et al., 2015) at 200 mg/kg-day (note that the highest dose tested in males 15 was 100 mg/kg-day, 2-fold less than in females). Other clinical findings altered by PFHxA exposure 16 included decreased bilirubin and decreased total protein mainly driven by decreases in 17 immunoglobulins (see Clinical Chemistry section above). These findings (i.e., increased liver weight 18 with concurrent hepatocellular hypertrophy, increases in ALT, and decreased protein levels) were 19 considered adverse as they might lead to the necrosis observed in females at 100 mg/kg-day in the 20 chronic study. In general, the pattern of findings suggests a generally increased sensitivity in males 21 as compared to females. Overall, there is *robust* animal evidence of hepatic effects. This judgment 22 is based on four studies in Sprague-Dawley rats that were generally rated *high* confidence on the 23 outcome-specific evaluations. 24 Although multiple biological pathways could lead to the histopathological findings 25 mentioned above, the PFHxA database for molecular evidence was predominantly limited to PPAR $\alpha$ 26 pathways and included in vitro assays measuring PFHxA induction of PPAR $\alpha$  activity (Wolf et al., 27 2014; Wolf et al., 2008), peroxisomal beta oxidation activity (NTP, 2018; Chengelis et al., 2009b; 28 <u>Loveless et al., 2009</u>), changes in gene expression for CAR and PPAR $\alpha$  cytochrome P450 gene 29 expression (NTP, 2018), and in vivo PPAR $\alpha$  knockout and humanized genetic mouse models 30 exposed to PFAS structurally similar to PFHxA (Das et al., 2017; Rosen et al., 2017; Foreman et al., 31 2009). Wolf et al. (2008) and Wolf et al. (2014) found evidence for PFHxA activation of 32 human>rodent PPAR $\alpha$  receptor activity. Dose-responsive increases in peroxisomal beta oxidation 33 activity were observed in two subchronic studies (Chengelis et al., 2009b; Loveless et al., 2009) at a 34 dose as low as 100 mg/kg-day and this effect persisted after the 30-day recovery (Loveless et al., 35 2009). Evidence for pathways other than PPAR $\alpha$  and CAR were available from genetic PPAR $\alpha$ 

- 36 knockout mouse studies evaluating the effects of PFAS exposure (<u>Das et al., 2017</u>; <u>Rosen et al.</u>,
- 37 <u>2017</u>; Foreman et al., 2009) that found similar levels of increased liver weight and incidence of

- hepatocellular hypertrophy when comparing between PPARα knockout, humanized, and wild-type
   mouse models.
- 3 Overall, the currently available *evidence indicates* that PFHxA likely causes hepatic effects
- 4 in humans under relevant exposure circumstances. This conclusion is based on studies of animals
- 5 showing increased liver weight, hepatocellular hypertrophy, increased serum enzymes (>2-fold
- 6 ALT), and decreased serum globulins generally occurring at  $\geq 100 \text{ mg/kg-day}$  within the evidence
- 7 base of four primarily *high* confidence studies of short-term, subchronic, and chronic PFHxA
- 8 exposure in (primarily male) rats. The findings in rats were determined to be adverse and relevant
- **9** to humans, with the likely involvement of both PPARα-dependent and -independent pathways.
|   | Evidence integration summary<br>judgment   |  |   |  |  |
|---|--|--|---|--|--|
| Evidence from stu   | ΦΦΟ  |  |   |  |  |
| Studies and<br>confidence   | Factors that increase certainty  | Factors that decrease certainty                                    | Summary and key<br>findings   | Evidence stream<br>judgment  | Evidence indicates (likely)  |
| Serum<br>Biomarkers<br>1 low confidence<br>study  | No factors noted   | <ul> <li>Low confidence<br/>study (low<br/>sensitivity)</li> </ul> | <ul> <li>No association<br/>of PFHxA with<br/>serum<br/>biomarkers</li> </ul>   | ⊙⊙⊙ Indeterminate  | Primary basis:<br>Four generally high confidence<br>studies in rats ranging from short-<br>term to chronic exposure,<br>generally in males at ≥100 mg/kg-  |
| Evidence from ani   | mal studies  |  |   |  | d PFHxA  |
| Studies and confidence  | Factors that increase certainty  | Factors that decrease certainty                                    | Summary and key findings  | Evidence stream<br>judgment  | Human relevance:<br>Given the induction of human   |
| Organ Weight<br>3 <u>high</u><br>confidence:<br>28-d<br>90-d (2 studies)                        | <ul> <li>Consistent increases, all studies and sexes</li> <li>Dose-response in all studies</li> <li>Coherence with histopathology</li> <li>Magnitude of effect, up to 63%</li> <li>High confidence studies</li> </ul>              | No factors noted   | <ul> <li>Increased liver<br/>weight at<br/>≥200 mg/kg-d;<br/>stronger in<br/>males</li> </ul>   | ⊕⊕⊙<br>Moderate Findings considered<br>adverse based on<br>potential for progression<br>to more severe<br>phenotypes, including<br>necrosis with longer-term | PPARα by PFHxA, as well as<br>support for involvement of both<br>PPARα-dependent and<br>independent pathways, effects in<br>rats are considered relevant to<br>humans<br><i>Cross-stream coherence:</i><br>N/A (human evidence<br>indetarminate) |
| Histopathology<br>3 <u>high</u> confidence<br>studies in adult<br>rats:<br>28-d<br>90-d<br>2-yr | <ul> <li>Consistent cellular<br/>hypertrophy across<br/>studies and sexes</li> <li>Coherence with liver<br/>weight</li> <li>Dose-response for<br/>hypertrophy</li> <li>Concerning severity of<br/>effect—necrosis (with</li> </ul> | No factors noted   | <ul> <li>Cellular<br/>hypertrophy at<br/>≥100 mg/kg-d;<br/>stronger in<br/>males</li> <li>Necrosis in<br/>males at 200<br/>and 1,000<br/>mg/kg-d and</li> </ul> | exposure, and strong<br>support for liver injury<br>from serum biomarkers  | Susceptible populations and<br>lifestages:<br>No evidence to inform  |

#### Table 3-11. Evidence profile table for hepatic effects

This document is a draft for review purposes only and does not constitute Agency policy.

	Evidence integration summary judgment				
1 <u>low</u> confidence study in adult rats: 90-d	<ul><li>short term, subchronic, and chronic exposure)</li><li><i>High</i> confidence studies</li></ul>		females at 200 mg/kg-d		
Serum Biomarkers of Hepatic Injury 4 <u>high</u> confidence studies in adult rats: 28-d 90-d (2 studies) 2-yr	<ul> <li>Consistent increases in ALT, AST, and ALP, and decreases in bilirubin, across studies</li> <li>Magnitude of effect, &gt;2-fold ALT</li> <li>Dose-response for total protein</li> <li>Coherence of ALP and bilirubin</li> <li>High confidence studies</li> </ul>	• No factors noted	<ul> <li>Increased ALT, AST, ALP, and bile salts/acids at ≥20, ≥100, ≥200, and 500 mg/kg-d, respectively; stronger in males</li> <li>Decreased total protein and bilirubin at ≥100 mg/kg-day; stronger in males</li> </ul>		
Mechanistic evide	nce and supplemental inform	ation		-	
Biological events or pathways	Primary evidence evaluated Key findings, interpretation,	and limitations		Evidence stream judgment	
Molecular Initiating Events—PPARα	<ul> <li>Key findings and interpretation</li> <li>In vitro induction of PPARC gene activation at lower efforts and the second s</li></ul>	on: α activity in transfection ffective concentrations i ociation with hepatic effe ase investigating PPARα	<ul> <li>Biologically plausible support for PPARα- dependent and independent pathways contributing to hepatic effects of PFHxA</li> </ul>		

	Evidence stream summary and interpretation	
Molecular Initiating Events—Other Pathways	<ul> <li>Key findings and interpretation:</li> <li>Indirect evidence supporting activation of PPARα-independent pathways contributing to hepatic effects similar to those observed for PFHxA in PPARα knockout and humanized mice after short-term oral exposure to PFAS other than PFHxA.</li> <li>In a short-term oral exposure study, PFHxA activated CAR, PPARα, PPARq, and ERα and suppressed STAT5B. CAR-responsive genes were increased in association with hepatic effects.</li> </ul>	
	<i>Limitations</i> : Small evidence base with no experiments specifically challenging the role of PPAR $\alpha$ in PFHxA-induced hepatic injury.	
Organ Level Effects	<ul> <li>Key findings and interpretation:</li> <li>Increased peroxisomal beta oxidation activity that persisted 30 days post-exposure (likely not a transient, adaptive response) in short-term and subchronic rat studies of oral PFHxA exposure.</li> <li>Indirect evidence of fatty liver, hepatocellular hypertrophy, and hepatomegaly in PPARα KO mice after short-term oral exposure to PFAS other than PFHxA.</li> </ul>	
	<i>Limitations</i> : Small evidence base and the most compelling in vivo evidence for PPARα-independent pathways with hepatic effects did not specifically test PFHxA.	

### 3.2.2. Developmental Effects

#### 1 Human

2 No studies were identified that evaluated potential developmental effects of PFHxA
3 exposure in humans.

#### 4 Animal

5 Three studies described in two publications examined developmental outcomes, including 6 offspring viability, body weight, and developmental milestones. Rats were exposed to PFHxA 7 sodium salt during gestation (gestation day [GD] 6–20; developmental study) or continuously 8 exposed throughout gestation and lactation (reproductive study) (Loveless et al., 2009). Mice were 9 exposed to PFHxA ammonium salt from GD 6–18 (Iwai and Hoberman, 2014). These studies were 10 rated *high* confidence. The results from outcome-specific, confidence evaluations for all individual reproductive outcomes are presented in Table 3-12, and details are available by clicking the HAWC 11 12 link. Effects on male and female reproductive system development following developmental 13 exposure are discussed in the male and female reproductive effects sections, respectively (see 14 Sections 3.2.6 and 3.2.7).

# Table 3-12. Study design characteristics and outcome-specific study confidence for developmental endpoints

Study	Species, strain (sex)	Exposure design	Exposure route and dose	Offspring viability	Offspring body weight	Developmental milestones
Loveless et al. (2009)	Rat, Crl:CD(SD) Sprague–Dawley (male and female)	Reproductive study: P0 females dosed 70 d prior to cohabitation, through gestation and lactation (126 d); P0 males dosed for 110 d Developmental study: GD 6–20	Gavage <sup>a</sup> Female: 0, 20, 100, 500 mg/kg-d	++	+	+
<u>Iwai and</u> <u>Hoberman</u> (2014) <sup>c</sup>	Mouse, Crl: CD1(ICR); Charles River Laboratories, Inc.	Developmental study: GD 6–18	Gavage <sup>b</sup> Phase 1: 0, 100, 350, 500 mg/kg-d Phase 2: 0, 7, 35, 175 mg/kg-d	++	++	++

Study evaluation for animal toxicological developmental endpoints reported from studies with rats receiving PFHxA sodium salt<sup>a</sup> or PFHxA ammonium salt<sup>b</sup> by gavage. Study evaluation details for all outcomes are available by clicking the HAWC link.

<sup>c</sup>Phase 1 was a range finding study used to determine the appropriate dose range for identification of a NOAEL in Phase 2.

++ Outcome rating of high confidence

#### 1 <u>Offspring Mortality</u>

- 2 Potential effects of PFHxA exposure on offspring viability were evaluated in a
- 3 developmental study (Iwai and Hoberman, 2014) and a one-generation reproductive study
- 4 (Loveless et al., 2009). Mice exposed to PFHxA ammonium salts during gestation (GD 6–18)
- 5 showed a dose-dependent increase in the incidence of offspring mortalities occurring both pre- and
- 6 postnatally (<u>Iwai and Hoberman, 2014</u>). Most deaths occurred between postnatal day (PND) 0–7,
- 7 with a statistically significant increase observed in the 350 and 500 mg/kg-day dose groups on PND
- 8 1–4. Early postnatal losses are reflected in treatment-related effects on several measures of
- 9 offspring viability for the 500 mg/kg-day dose group. Specifically, statistically significant changes
- 10 were observed in the following related outcomes: decreased viability index for PND 0–4 and PND
- 11 0–7, fewer surviving pups per litter on PND 20, and increased incidence of total litter loss between
- 12 PND 0–3 (5 of 17 for the 500 mg/kg-day group compared to 1 of 19 dams for concurrent controls).
- 13 A dose-dependent increase in the number of stillbirths, a measure of prenatal mortality, was also
- 14 reported across the two phases of the experiment (incidence of 3/241, 5/245, and 19/177 for the
- 15 175, 350, and 500 mg/kg-d dose groups, respectively). Results are summarized in Figure 3-7 and
- 16 Table 3-13

#### Toxicological Review of PFHxA and Related Salts



# Figure 3-7. Developmental effects on offspring viability in mice exposed to PFHxA ammonium salt (HAWC: <u>PFHxA – Animal Toxicity Developmental</u> <u>Effects link</u>).

The <u>Iwai and Hoberman (2014)</u> study was conducted in two phases. Phase 1 was a range-finding study (100, 350, or 500 mg/kg-d) used to determine the appropriate doses (7, 35, 175 mg/kg-d) to identify a NOAEL in Phase 2.

# Table 3-13. Incidence of perinatal mortality following PFHxA ammonium saltexposure in a developmental oral toxicity study

Study Design and Reference		Dose (mg/kg-d)								
	0 (Phase 1)	0 (Phase 2)	۲	35	100	175	350	500		
Stillbirths, male and female (combined) mice ( <u>Iwai</u> and Hoberman, 2014)	4	0	0 <sup>a</sup>	0	0	3	5ª	<b>19</b> ª		

Study Design and Reference	Dose (mg/kg-d)							
	0 (Phase 1)	0 (Phase 2)	7	35	100	175	350	500
Mortalities, PND 0, male and female (combined) mice ( <u>lwai and Hoberman, 2014</u> )	0	0	0	0	0	4	<b>3</b> ª	<b>21</b> ª
Mortalities, PNDs 1–4, male and female (combined) mice ( <u>Iwai and Hoberman, 2014</u> )	2	1 <sup>a</sup>	3ª	2	2ª	0 <sup>a</sup>	<b>13</b> ª	15ª
Mortalities, PNDs 5–7, male and female (combined) mice ( <u>Iwai and Hoberman, 2014</u> )		1	0 <sup>a</sup>	0	0 <sup>b</sup>	<b>3</b> ª	2 <sup>a</sup>	0ª
Mortalities, PNDs 8–14, male and female (combined) mice ( <u>Iwai and Hoberman, 2014</u> )	0	0	0	0	0 <sup>a,b</sup>	0ª	0 <sup>a</sup>	0ª
Mortalities, PNDs 15–20, male and female (combined) mice ( <u>Iwai and Hoberman, 2014</u> )	0	0	0	0	2 <sup>b</sup>	1	0	0
Total pups delivered, male and female (combined) mice ( <u>lwai and Hoberman, 2014</u> )	221	249	211	232	250	241	245	177
Cumulative perinatal mortality/total pups delivered, male and female (combined) mice ( <u>lwai</u> and Hoberman, 2014)	6/ 221	2/ 249	3/ 211	2/ 232	4/ 250	11/ 241	23/ 245	55/ 177

The <u>Iwai and Hoberman (2014)</u> study was conducted in two phases. Phase 1 was a range-finding study (100, 350, or 500 mg/kg-d) used to determine the appropriate doses (7, 35, 175 mg/kg-d) to identify a NOAEL in Phase 2. Bold values indicate instances where statistical significance (p < 0.05) compared to controls was reported by study authors.

<sup>a</sup>Excludes animals that were missing and presumed cannibalized or where vital status at birth was uncertain due to maternal cannibalization or autolysis.

<sup>b</sup>Excludes offspring mortalities that occurred following death of the dam; deaths assumed not treatment related.

#### 1 Offspring Body Weight

2

- Offspring body weights were available from two developmental studies (Iwai and
- 3 <u>Hoberman, 2014</u>; <u>Loveless et al., 2009</u>) and a one-generation reproductive study (<u>Loveless et al.,</u>
- 4 <u>2009</u>). In mice, offspring body weights were statistically significantly decreased at PND 0–7 in
- 5 animals exposed gestationally (GD 6–18) to  $\geq$ 100 mg/kg-day PFHxA ammonium salt. These effects
- 6 were observed across two experimental cohorts with different dose ranges. In addition, although at
- 7 some timepoints not statistically significant, consistent body weight deficits  $\geq$ 5% relative to control,
- 8 a level of change that may be biologically significant during early development (U.S. EPA, 2012a,
- 9 <u>1991</u>), generally persisted to the end of lactation (Table 3-14). After weaning, some body weight
- 10 deficits persisted, with females with the 350 mg/kg-day dose group showing a statistically
- significant reduction through the end of the experiment (PND 41) (<u>Iwai and Hoberman, 2014</u>).
- 12 Similar results were reported in two experiments with rats exposed to PFHxA sodium salt
- 13 (Loveless et al., 2009). In the developmental study, fetal body weights (GD 21) of animals exposed
- 14 gestationally (GD 6–20) to 500 mg/kg-day were decreased by 9% relative to controls, although this
- 15 change was not statistically significant, but no effects were observed at the lower doses. In the

- 1 one-generation reproductive study, a dose-related decrease (4, 11, and 18% decrease relative to
- 2 controls for 20, 100, and 500 mg/kg-day, respectively, reaching statistical significance at the
- 3 highest dose) was found in pup body weights across all dose groups at PND 0. Similar to results in
- 4 the mouse study (Iwai and Hoberman, 2014), body weight deficits  $\geq$ 5% relative to control were
- 5 observed through the end of lactation (PND 21) in the 100 and 500 mg/kg-d dose groups, but
- 6 resolved after weaning (<u>Loveless et al., 2009</u>).
- 7 Neither study reported treatment-related effects on body weight change (i.e., gains or
- 8 losses) between weaning and the end of testing (PND 21–41 for mice; PND 21-60 for rats) (Iwai and
- 9 <u>Hoberman, 2014</u>; <u>Loveless et al., 2009</u>). Results are presented in Figure 3-8 and Table 3-14.





Table 3-14. Percent change relative to control in offspring body weight due to
PFHxA sodium or ammonium salt exposure in developmental oral toxicity
studies

	Dose (mg/kg-d)						
Postnatal Date (GD 6–18) and Sex ( <u>Iwai and Hoberman,</u> <u>2014</u> )	7	20	35	100	175	350	200
PND 0, male and female (combined) mice	0		0	-6	-13	-13	-13
PND 4, male and female (combined) mice	0		7	-7	-4	-27	-20
PND 7, male and female (combined) mice	0		5	-7	0	-18	-11
PND 14, male and female (combined) mice	-1		3	-8	0	-14	-8
PND 20, male and female (combined) mice	-2		6	-11	2	-20	-12
PND 21, male mice	3		4	-15	-1	-18	-14
PND 28, male mice	2		3	-10	0	-10	-8
PND 35, male mice	1		1	-4	-1	-3	-5
PND 41, male mice	1		-1	-2	-3	-3	-4
PND 21, female mice	0		6	-14	1	-17	-8
PND 28, female mice	0		4	-9	-1	-16	-7
PND 35, female mice	-1		2	-4	-1	-10	-7
PND 41, female mice	-3		-1	-4	-3	-8	-4
Fetal Body Weight, Developmental Exposu	re (GD 6	–20) ( <u>Lo</u>	oveless	et al., 2	<u>009</u> )		
GD 21, male and female (combined) rats		-2		0			-9
Postnatal Body Wight, One-Generation Reproc	luctive E	xposure	e ( <u>Lovel</u>	<u>ess et a</u>	l., 2009	)	
PND 0, male and female (combined) rats		-4		-11			-18
PND 7, male and female (combined) rats		0		-6			-17
PND 14, male and female (combined) rats		3		-6			-17
PND 21, male and female (combined) rats		3		-5			-18
PND 28, male rats		2		-1			-5
PND 35, male rats		1		-1			-3
PND 39, male rats		2		-1			-3
PND 60, male rats		2		-1			-3
PND 28, female rats		1		-5			-4
PND 35, female rats		1		-4			-1
PND 39, female rats		-1		-5			-3
PND 60, female rats		-1		-5			-3

#### 1 Eye Opening

2 Potential effects of PFHxA exposure on eve opening were evaluated in a developmental 3 study in mice (Iwai and Hoberman, 2014). On PND 14, Iwai and Hoberman (2014) reported a 4 statistically significant delay in eye opening, with less than 50% of pups in the 350 and 500 mg/kg-5 day PFHxA ammonium salt exposure groups having reached this milestone compared to 85% 6 among vehicle controls (see Figure 3-9). Although pup body weight changes were not statistically 7 significantly at this timepoint, they were decrements of a magnitude considered to be potentially 8 biologically significant (8–14%) and some developmental landmarks are correlated with postnatal 9 body weight gain (U.S. EPA, 2016a). Delays in eye opening persisted in the 350 and 500 mg/kg-day 10 dose groups on PND 15 but were not statistically significant. Eye opening in mice typically occurs 11 between PND 11 and PND 14, with full functionality a few days later (Brust et al., 2015). Delays in 12 eye opening can have long-term impacts on vision by interfering with sensory input during the 13 critical window of visual cortex development (Espinosa and Stryker, 2012; Wiesel, 1982). The 14 results are summarized in Figure 3-9 and Table 3-15. PFHxA Developmental Effects: Developmental Milestone Endpoint Study Name Experiment Animal Description Observation Time Eve Opening Iwai, 2014, 2821611 1-generation reproductive (GD 6-18) F1 Mouse, CD-1 (22) **PND 10** • PND 11 ٠ . PND 12 . PND 13 . PND 14 .



Figure 3-9. Developmental effects on eye opening (percent change relative to control) in mice exposed to PFHxA ammonium salt (HAWC: <u>PFHxA – Animal</u> <u>Toxicity Developmental Eye Effects link</u>).

	Dose (mg/kg-d)					
Study Design and Reference	۲	35	100	175	350	500
PND 13, male and female (combined) mice (Iwai and Hoberman, 2014)	-6	34	-56	-21	-58	-55
PND 14, male and female (combined) mice (Iwai and Hoberman, 2014)	2	4	-17	-8	-49	-39
PND 15, male and female (combined) mice (Iwai and Hoberman, 2014)	0	0	-10	-5	-23	-25
PND 16, male and female (combined) mice (Iwai and Hoberman, 2014)	0	0	-1	0	-9	-1

Table 3-15. Percent change relative to control in eye opening due to PFHxAammonium salt exposure in a developmental oral toxicity study

Bold values indicate instances where statistical significance (p < 0.05) compared to controls was reported by study authors.

#### 1 <u>Malformations and Variations</u>

Potential effects of PFHxA exposure on fetal malformations and variations were evaluated
in a single developmental study (Loveless et al., 2009). No treatment-related effects were found on
fetal malformations or variations in rats following gestational (GD 6–20) exposure to up to 500
mg/kg-day PFHxA sodium salt.

#### 6 Evidence Integration

No human studies were identified to inform the potential developmental effects of PFHxA;
therefore, there is *indeterminate* human evidence of developmental effects.

9 In animals, three *high* confidence studies reported in two publications examined

10 developmental effects following maternal perinatal exposure to PFHxA salts (Iwai and Hoberman,

11 <u>2014</u>; Loveless et al., 2009). Treatment-related effects, including decreased offspring body weight,

12 increased mortality, and delayed eye opening, were observed in mice following exposure to PFHxA

13 ammonium salt at doses as low as 100 mg/kg-day (<u>Iwai and Hoberman, 2014</u>). Reductions in

- 14 offspring body weight were also found in the one-generation reproductive and developmental
- 15 studies in rats, although effects were less pronounced than those observed in mice. Animals in the
- 16 reproductive cohort exposed throughout gestation and lactation showed body weight reductions
- 17 that may be biologically significant ( $\geq$ 5%) at exposure to  $\geq$ 100 mg/kg-day and statistically
- 18 significant at 500 mg/kg-day that persisted to PND 21, whereas the developmental cohort was
- 19 reduced (9%) only at the high dose (500 mg/kg-day).

20 In general, effects on development were greatest in magnitude from PND 0 to PND 7,

- 21 suggesting that the early postnatal period might be a sensitive window for developmental effects
- 22 associated with PFHxA exposure. Although the evidence base is small, the data are strengthened by
- 23 coherent evidence across outcomes, consistency of effects on body weight across two
- 24 species/studies, and the severity of some of the outcomes (e.g., increased offspring mortality). In
- 25 addition, a similar pattern of effects on development (i.e., offspring body weight reductions and

delays in developmental milestones) has been observed with other PFAS, including PFBS and PFBA,
 providing additional support for these specific findings.

- 3 The potential for systemic and maternal to act as a driver for the observed developmental 4 effects was considered. In Iwai and Hoberman (2014), delays in eye opening were observed only at 5 doses that were associated with decreased body weights (8–14%) and overt toxicity (i.e., increased 6 perinatal mortality) in the pups. Additionally, reductions in maternal body weight were noted in 7 the developmental Loveless et al. (2009). Dams exposed to 500 mg/kg-day from GD 6–20 showed a 8 slight but statistically significant 5% decrease in total net body weight (i.e., terminal body weight 9 minus the gravid uterine weight) and body weight gain on GD 21 (Loveless et al., 2009). In the one-10 generation reproductive study, Loveless et al. (2009) reported a statistically significant reduction in 11 maternal weight *gain* in the highest dose group (500 mg/kg-day), however this effect was limited to 12 early gestation (GD 0–7). Importantly, there was no effect on maternal body weight gain over the 13 entire gestational window (GD 0-21), nor was there any observed effects on total or net maternal 14 body weights. Thus, the effects on offspring body weight in this study are not expected to be driven 15 by maternal toxicity. Given this interpretation of an effect on development and based on the multiple adverse changes in pups, there is *moderate* animal evidence of developmental effects. 16 17 Overall, the currently available *evidence indicates* that PFHxA likely causes developmental 18 effects in humans under relevant exposure circumstances. This judgment is based primarily on 19 gestational exposure experiments in mice (and supportive findings in rats), with effects occurring 20 after oral PFHxA exposure at  $\geq$  100 mg/kg-day. These findings are interpreted as relevant to 21 humans based on similarities in the anatomy and physiology of the developmental system across
- 22 rodents and humans.

Table 3-16.	<b>Evidence profile</b>	table for develop	omental effects
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	Evidence integration summary judgment						
Evidence from studies of	$\oplus \oplus \odot$						
Studies and confidence	Factors that increase certainty	Factors that decrease certainty	Summary and key findings	Evidence stream judgment	Evidence indicates (likely)		
• There were no human s	studies available from the P	FHxA evidence base.		⊙⊙⊙ Indeterminate	Primary basis: Three high confidence		
Evidence from animal stu	dies			·	studies in rats and mice		
Studies and confidence	Factors that increase certainty	Factors that decrease certainty	Summary and key findings	Evidence stream judgment	and mice) and continuous one-generational		
Offspring Mortality 2 high confidence studies in rats and mice: • GD 6–18 (mice) • 1-generation reproductive (rats)	<ul> <li><i>High</i> confidence studies</li> <li>Concerning <i>severity of</i> <i>effect</i> – increased mortality</li> </ul>	<ul> <li>Unexplained inconsistency across species</li> </ul>	<ul> <li>Increased perinatal mortality at ≥350 mg/kg-d in mice</li> </ul>	⊕⊕⊙ Moderate Developmental effects observed in multiple high	reproductive (rats) exposures, generally observing effects at ≥ 100 mg/kg-d PFHxA ammonium or sodium salt.		
Body Weight 3 high confidence studies in rats and mice: • GD 6–18 (mice) • GD 6–20 (rats) • 1-generation reproductive (rats)	<ul> <li>High confidence studies</li> <li>Consistency across studies and species</li> <li>Dose-response observed in mouse study</li> </ul>	No factors noted	<ul> <li>Postnatal body weight decreased at ≥100 mg/kg-d in rats and mice</li> <li>Fetal body weight decreased at 500 mg/kg-d in rats</li> </ul>	confidence studies conducted in two species exposed to different PFHxA salts under different exposure scenarios. Effects on body weight were	Human relevance: Without evidence to the contrary, effects in rats and mice are considered relevant to humans. Cross stream coherence:		
Eye Opening 1 high confidence study in mice: • GD 6–18	• <i>High</i> confidence study	No factors noted	<ul> <li>Eye opening was delayed in mice prenatally exposed to PFHxA ammonium salt at ≥350 mg/kg-d</li> </ul>	observed at doses that were not associated with frank effects or maternal toxicity.	indeterminate). Susceptible populations and lifestages:		

	Evidence integration summary judgment				
Malformations and variations 1 high confidence study in rats: • GD 6–20	• High confidence study.	• No factors noted.	<ul> <li>No fetal malformations or variations observed at ≤500 mg/kg-d</li> </ul>		The available evidence indicates that development may be a susceptible lifestage for exposure to PFHxA.
Mechanistic evidence and	supplemental information	1			
Biological events or pathways	Summary of key findings, limitations, and interpretation Evidence judgme			Evidence stream judgment	
• There were no informa					

### 3.2.3. Renal Effects

#### 1 Human

2 Three epidemiological studies investigated the relationship between PFHxA exposure and 3 effects on the renal system. Two cross-sectional studies of adults in Korea and older adults in China 4 (Zhang et al., 2019; Seo et al., 2018) were considered *uninformative* due to lack of consideration of 5 confounding, including age, sex, socioeconomic status, and other risk factors for renal disease. The 6 remaining study was a cross-sectional study of primarily government employees in China (Wang et 7 al., 2019) and was classified as *low* confidence primarily due to significant concerns for reverse 8 causality that could result if there is decreased elimination of PFAS with reduced renal function and 9 poor sensitivity because the exposure contrast for PFHxA was narrow. They observed a significant 10 decrease in estimated glomerular filtration rate (eGFR) with higher serum PFHxA levels ( $\beta$ : -0.3 11 change in eGFR as mL/min/1.73 m<sup>2</sup> per 1 ln-unit PFHxA [95% CI: -0.6, -0.01]). No association was 12 observed with chronic kidney disease. Due to the potential for reverse causality and the poor 13 sensitivity, there is substantial uncertainty in the results of this study. A summary of the study 14 evaluations is presented in Figure 3-10, and additional details can be obtained by clicking the

15 <u>HAWC link</u>.



# Figure 3-10. Study evaluation for human epidemiological studies reporting findings from PFHxA exposures (full details available by clicking <u>HAWC link</u>).

#### 1 Animal

2 Four short-term (28-day), subchronic, or chronic animal studies evaluated potential renal 3 effects of PFHxA or PFHxA sodium salt in rats. Most of the outcome-specific study ratings were 4 rated *high* confidence. For Chengelis et al. (2009b), limitations were identified that influenced 5 some outcome-specific ratings. Specifically, histopathology was rated *low* confidence because of 6 issues related to observational bias, endpoint sensitivity and specificity, and results presentation. 7 Urinary biomarker outcomes in the same study were rated *medium* confidence because of results 8 presentation (only qualitative results were reported). The results of the outcome-specific 9 confidence judgments are summarized in Table 3-17, and full study evaluation details can be

10 viewed by clicking the <u>HAWC link</u>.

Author (year)	Species, strain (sex)	Exposure design	Exposure route	Blood biomarkers	Urinary biomarkers	Histopathology	Organ weight
<u>NTP (2018)</u>	Rat, Harlan Sprague-Dawley (male and female)	Short term (28 days)	Gavage <sup>a</sup> Male and female: 0, 62.5, 125, 250, 500, 1,000 mg/kg-d	++	NM	++	++
<u>Chengelis et al.</u> (2009b)	Rat, Crl:CD(SD) Sprague-Dawley (male and female)	Subchronic (90 days)	Gavage <sup>a</sup> Male and female: 0, 10, 50, 200 mg/kg-d	NR	+	-	++
<u>Loveless et al.</u> (2009)	Rat, Crl:CD(SD) Sprague-Dawley (male and female)	Subchronic (90 days)	Gavage <sup>b</sup> Male and female: 0, 20, 100, 500 mg/kg-d	++	++	++	++
<u>Klaunig et al.</u> (2015)	Rat, Crl:CD(SD) Sprague-Dawley (male and female)	2-year cancer bioassay	Gavage <sup>a</sup> Male: 0, 2.5, 15, 100 mg/kg-d Female: 0, 5, 30, 200 mg/kg-d	++	++	++	NM

# Table 3-17. Renal endpoints for PFHxA and associated confidence scores from repeated-dose animal toxicity studies

Study evaluation for animal toxicological renal endpoints reported from studies with male and female rats receiving PFHxA<sup>a</sup> or PFHxA sodium salt<sup>b</sup> by gavage. Study evaluation details for all outcomes are available by clicking the <u>HAWC link</u>.

++ Outcome rating of *high* confidence; + outcome rating of *medium* confidence; - outcome rating of *low* confidence; NR, outcome not reported; NM, outcome not measured.

#### 1 <u>Organ Weight</u>

- 2 Increases in relative kidney weight were observed in both sexes in all three studies that
- 3 reported this endpoint (<u>NTP, 2018; Chengelis et al., 2009b; Loveless et al., 2009</u>). There were
- 4 statistically significant findings in male rat dose groups at PFHxA doses as low as 10 mg/kg-day in
- 5 the subchronic study (<u>Chengelis et al., 2009b</u>). With the exception of the results from <u>Chengelis et</u>
- 6 <u>al. (2009b</u>), effects on relative kidney weights generally showed a weak or no dose-response
- 7 gradient (see Table 3-18). <u>Craig et al. (2015)</u> analyzed oral chemical exposure data extracted from
- 8 subchronic and chronic rat studies and found a statistically significant correlation between
- 9 absolute, but not relative, kidney weight, and kidney histopathology (even at doses where terminal
- 10 body weights were decreased) for most chemicals (32/35) examined. Absolute kidney weight was
- 11 increased, but only in one of the three studies reporting on this endpoint (NTP, 2018), and only in
- 12 female rats at the highest dose group (1,000 mg/kg-day). The decrease in relative, but not absolute,
- 13 kidney weight could be explained by body weight gain decreases in the affected dose groups:
- 14 1,000 mg/kg-day male dose group (13% decrease) (<u>NTP, 2018</u>), 50 and 200 mg/kg-day male dose

- 1 group [8–11% decrease with similar trends in females (<u>Chengelis et al., 2009b</u>)], and
- 2 500 mg/kg-day male dose group (19% decrease, no change in females) (Loveless et al., 2009).
- 3 Findings and full details of PFHxA effects on kidney weights can be viewed by clicking the <u>HAWC</u>
- 4 <u>link</u>.

Table 3-18. Percent increase in relative and absolute kidney weight due toPFHxA exposure in short-term, subchronic, and chronic oral toxicity studies

	Dose (mg/kg-d)											
Endpoint and reference	10	20	50	62.5	100	125	200	250	500	1,000		
Relative kidney weight 28-d, female rat ( <u>NTP, 2018</u> )				-2		0		0	3	12		
Relative kidney weight 28-d, male rat ( <u>NTP, 2018</u> )				0		2		2	12	19		
Relative kidney weight 90-d, female rat ( <u>Chengelis et al., 2009b</u> )	1		12				7					
Relative kidney weight 90-d, male rat ( <u>Chengelis et al., 2009b</u> )	8		7				9					
Relative kidney weight 90-d, female rat ( <u>Loveless et al., 2009</u> )		-3			5				16			
Relative kidney weight 90-d, male rat ( <u>Loveless et al., 2009</u> )		0			11				17			
Absolute kidney weight, right 28-d, female rat ( <u>NTP, 2018</u> )				-1		1		1	1	9		
Absolute kidney weight, right 28-d, male rat ( <u>NTP, 2018</u> )				2		0		1	8	3		
Absolute kidney weight 90-d, female rat ( <u>Chengelis et al., 2009b</u> )	0		7				4					
Absolute kidney weight 90-d, male rat ( <u>Chengelis et al., 2009b</u> )	-1		-6				2					
Absolute kidney weight 90-d, female rat ( <u>Loveless et al., 2009</u> )		0			1				14			
Absolute kidney weight 90-d, male rat ( <u>Loveless et al., 2009</u> )		0			8				4			

- 5 <u>Histopathology</u>
- 6 Renal histopathological subchronic findings were qualitatively reported as null (<u>Chengelis</u>
- 7 <u>et al., 2009b; Loveless et al., 2009</u>). The short-term study findings included increases in minimal
- 8 chronic progressive nephropathy (CPN) that were significant (incidence 8/10) in the
- 9 1,000 mg/kg-day female dose group (see Figure 3-11) (<u>NTP, 2018</u>), consistent with increased

- 1 absolute kidney weight. Male renal histopathological findings from the chronic study were also
- 2 null, whereas findings for female rats included increased papillary necrosis (17/70 vs. 0/60 in
- 3 controls) and tubular degeneration (7/70 vs. 1/60 in controls) in the highest dose group
- 4 200 mg/kg-day (<u>Klaunig et al., 2015</u>). Full details are available by clicking the <u>HAWC link.</u>



**Figure 3-11.** Animal toxicological renal histopatholoy after PFHxA exposure (full details available by clicking the <u>HAWC link</u>). Findings from the subchronic studies were reported as null and not included in the above visualization.

- 5 <u>Blood and Urinary Biomarkers</u>
- 6 Blood biomarkers of renal function were inconsistent across study designs and exposure
- 7 durations. Both creatinine and blood urea nitrogen (BUN) are removed from the blood by the
- 8 kidneys and often used as indicators of kidney function. Creatinine is a waste product of creatine
- 9 metabolism (primarily in muscle) and BUN is a waste product of protein metabolism in the liver.
- 10 No observations of changes in urea nitrogen or creatinine were reported from <u>Chengelis et al.</u>
- 11 (2009b) and <u>Klaunig et al. (2015</u>). In the short-term study (<u>NTP, 2018</u>), BUN was unchanged in
- 12 both sexes in all dose groups. Changes in creatinine were inconsistent across sexes with null
- 13 findings in females, whereas a 13% decrease (p < 0.05) was found in the male 500 mg/kg-day dose
- 14 group (<u>NTP, 2018</u>). In a subchronic study, <u>Loveless et al. (2009</u>) reported a sex-specific increase in
- 15 BUN in the male 200 mg/kg-day dose group, whereas creatinine was decreased in both male and
- 16 female rats dosed with 200 mg/kg-day PFHxA sodium.

1 Urinalysis findings included total urine volume and other measures of urine concentrating 2 ability (e.g., specific gravity, urobiloginen). The urinalysis findings were more consistent than the 3 blood biomarkers, but still difficult to interpret as adverse or nonadverse. Urinalysis findings were 4 not measured in the short-term study (NTP. 2018) and were reported as null in a subchronic study 5 (<u>Chengelis et al., 2009b</u>). Findings from the other subchronic study (<u>Loveless et al., 2009</u>) identified 6 changes in urine concentration reflected as decreased (50–88%) urine protein combined with 7 increased (207–300%) total urine volume in males and females in the 500 mg/kg-day dose groups. 8 Coherent with increased urine volume, osmolality was decreased (47%, p < 0.05), but only in the 9 male 500 mg/kg-day dose group (Loveless et al., 2009). Urobilinogen and pH findings were null in 10 both male and females in the subchronic study (Loveless et al., 2009). Findings from the chronic 11 study lacked consistency between sexes and did not exhibit a clear dose-response relationship 12 (Klaunig et al., 2015). Specifically, total urine volume was increased in the female 200 mg/kg-day 13 dose group and null in all male dose groups. Urine specific gravity was increased (p < 0.05) in the 14 male 15 mg/kg-day dose group and similar to controls in the 100 mg/kg-day dose group, although 15 specific gravity was increased (p < 0.05) in the female 200 mg/kg-day dose group. Urine pH was 16 low in males (compared to controls) only in the 100 mg/kg-day dose groups at 26 and 52 weeks 17 (Klaunig et al., 2015). Total urine volume findings were null in males, whereas an increase was 18 found in female rats from the 200 mg/kg-day dose group at 26 weeks that returned to control 19 levels at 52 weeks study duration (Klaunig et al., 2015). Findings are summarized in Figure 3-12, 20 and full details are available in the HAWC link.

Endpoint	Study	Experiment	Animal Description	Observation Time	PFHxA Renal Effects: Blood and Urine Biomarkers
Blood Urea Nitrogen (BUN)	NTP, 2018, 4309149	28-Day Oral	Rat, Harlan Sprague-Dawley (්)	Day 29	•••••
			Rat, Harlan Sprague-Dawley (♀)	Day 29	•••••
	Loveless, 2009, 2850369	90-Day Oral	Rat, CrI:CD(SD) (♂)	Day 92	▲ • • • • • • • • • • • • • • • • • • •
			Rat, Crl:CD(SD) (♀)	Day 93	• • • • •
Creatine Kinase (CK)	NTP, 2018, 4309149	28-Day Oral	Rat, Harlan Sprague-Dawley (්)	Day 29	•••••
			Rat, Harlan Sprague-Dawley (♀)	Day 29	•••••
Creatinine (CREAT)	NTP, 2018, 4309149	28-Day Oral	Rat, Harlan Sprague-Dawley (්)	Day 29	
			Rat, Harlan Sprague-Dawley (♀)	Day 29	•••••
	Loveless, 2009, 2850369	90-Day Oral	Rat, CrI:CD(SD) (♂)	Day 92	▼
			Rat, Crl:CD(SD) (♀)	Day 93	▼
Osmolality	Loveless, 2009, 2850369	90-Day Oral	Rat, Crl:CD(SD) (♂)	Day 92	▼ • • • • • • • • • • • • • • • • • • •
			Rat, Crl:CD(SD) (♀)	Day 93	•••
Urine Specific Gravity	Klaunig, 2015, 2850075	2-Year Cancer Bioassay	Rat, Crl:CD(SD) (♂)	Week 26	
				Week 52	▲→
			Rat, Crl:CD(SD) (♀)	Week 26	•• ••
				Week 52	•••
Urine Total Protein (TP)	Loveless, 2009, 2850369	90-Day Oral	Rat, Crl:CD(SD) (♂)	Day 92	▼
			Rat, Crl:CD(SD) (♀)	Day 93	▼
Urine Volume (UVOL)	Loveless, 2009, 2850369	90-Day Oral	Rat, Crl:CD(SD) (♂)	Day 92	••••
			Rat, Crl:CD(SD) (♀)	Day 93	••• • <b>(</b>
	Klaunig, 2015, 2850075	2-Year Cancer Bioassay	Rat, Crl:CD(SD) (♂)	Week 26	•••
				Week 52	•••
			Rat, Crl:CD(SD) (♀)	Week 26	••- <u> </u>
				Week 52	•••
Urine pH	Loveless, 2009, 2850369	90-Day Oral	Rat, Crl:CD(SD) (♂)	Day 92	•••
			Rat, Crl:CD(SD) (♀)	Day 93	••••
	Klaunig, 2015, 2850075	2-Year Cancer Bioassay	Rat, Crl:CD(SD) (♂)	Week 26	
				Week 52	● <b>▼</b>
			Rat, Crl:CD(SD) (♀)	Week 26	•••
				Week 52	•••
Urobilinogen	Loveless, 2009, 2850369	90-Day Oral	Rat, Crl:CD(SD) (♂)	Day 92	••••
			Rat, Crl:CD(SD) (♀)	Day 93	• •
	Klaunig, 2015, 2850075	2-Year Cancer Bioassay	Rat, Crl:CD(SD) (♂)	Week 26	
				Week 52	▲→
			Rat, Crl:CD(SD) (♀)	Week 26	•••
				Week 52	•••••

**Figure 3-12. PFHxA Effects on blood and urine biomarkers of renal function (full details available by clicking the <u>HAWC link</u>).** The dashed blue line divides blood (top) from urinary biomarkers. Note that urea nitrogen (BUN) and creatinine were described as null, but findings were not quantitatively reported.

#### 1 Evidence Integration

The human evidence was limited to a single *low* confidence study reporting an inverse
association between PFHxA exposure and eGFR, although notable uncertainty in this result exists
due to potential for reverse causality. Based on these data, there is *indeterminate* human evidence
for renal effects.

- 6 The evidence base for renal effects in experimental animals was drawn from generally *high*7 confidence studies including one short-term, two subchronic studies, and one chronic studies
  8 Findings were, in general, null except for histopathology and some urinary biomarkers. Kidney
  9 histopathology was the most significant finding in the short term and chronic studies. In the short-term study, increased incidence of CPN was observed in female rats at the highest dose (1,000
  11 mg/kg-day PFHxA) and consistent with increased absolute kidney weight (females only).
- 12 Histopathological findings were null in both subchronic studies at doses up to 500 mg/kg-day. In
- 13 the chronic study, the incidence of papillary necrosis and tubular degeneration were increased in

- 1 females compared to controls at the highest dose (200 mg/kg-day, twice the highest male dose).
- 2 Some changes occurred in urinary biomarkers (decreased urine pH, increased urine volume) and
- 3 potentially correlated changes were observed in female histopathology in the chronic study.
- 4 However, inconsistencies across studies at similar observation times and doses were notable.
- 5 Based on these results, there is *slight* animal evidence of renal effects.
- 6 Overall, the currently available *evidence is inadequate* to assess whether PFHxA may
- 7 causes renal effects in humans under relevant exposure circumstances (see Table 3-19).

#### Table 3-19. Evidence profile table for renal effects

	Evidence integration summary judgment				
Evidence from studies of	f exposed humans				000
Studies and confidence	Factors that increase certainty	Factors that decrease certainty	Summary and key findings	Evidence stream judgment	<b>Evidence inadequate</b> Primarv basis:
<i>Low</i> Confidence 1 <u>low</u> confidence study	No factors noted	<ul> <li>Low sensitivity</li> </ul>	<ul> <li>Weak association of PFHxA with decrease in estimated eGFR</li> </ul>	⊙⊙⊙ Indeterminate	Indeterminate evidence in humans and animal evidence is largely null or lacking
Evidence from animal st	udies				biological coherence.
Studies and confidence	Factors that increase certainty	Factors that decrease certainty	Summary and key findings	Evidence stream judgement	<i>Human relevance:</i> Without evidence to
Organ Weight 3 high confidence studies in adult rats: • 28-d • 90-d (2 studies)	• <i>Consistent</i> increases, all studies	<ul> <li>No factors noted</li> </ul>	<ul> <li>Increased relative kidney weight at ≥10 mg/kg-d.</li> <li>Increase absolute kidney weight at 1,000 mg/kg-d; 28-d study, females only</li> </ul>	⊕⊙⊙ Slight Findings of adversity were considered uncertain based on lack	the contrary, effects in rats are considered relevant to humans <i>Cross-stream</i> <i>coherence:</i>
Histopathology 3 <u>high</u> confidence studies in adult rats: • 28-d • 90-d • 2-yr 1 <u>low</u> confidence study in adult rats: 90-d	• Large magnitude of effect, up to 24.3% for papillary necrosis; up to 80% for chronic progressive nephropathy	No factors noted	<ul> <li>Increased incidence papillary necrosis, tubular degeneration, chronic progressive nephropathy at ≥200 mg/kg-d; female rats only, 28-d and chronic studies</li> </ul>	of coherence between effects (organ weight, histopathology, blood and urine biomarkers) inconsistency between sexes, and lack of coherence across exposure designs	N/A (human evidence indeterminate) Susceptible lifestages: No evidence to inform

	Evidence integration summary judgment			
Blood Biomarkers 4 <u>high</u> confidence studies in adult rats: • 28-d • 90-d (2 studies) • 2-yr	• No factors noted	<ul> <li>Lack of coherence with other histopathological findings; chronic study</li> </ul>	<ul> <li>Increased BUN at 500 mg/kg-d; males only, 90-d study.</li> <li>Decreased creatinine at ≥500 mg/kg-d), both sexes, 1 subchronic study</li> <li>Decreased creatine at 1,000 mg/kg-d; males only, 28-d study</li> <li>No treatment related creatinine kinase findings; both sexes, 28-d study</li> </ul>	
Urinary Biomarkers 3 <u>high</u> confidence studies in adult rats: • 28-d • 90-d • 2-yr 1 <u>medium</u> confidence study in adult rats: • 90-d	<ul> <li>Coherence of urine protein, urine volume, urine specific gravity, and decreased osmolality</li> </ul>	<ul> <li>Lack of coherence with histopathological findings.</li> </ul>	<ul> <li>Decreased osmolality 500 mg/kg-d; males only, 1 subchronic study</li> <li>Decreased urine protein and increased urine volume in at 500 kg/kg-d; both sexes, 1 subchronic study</li> <li>Increased total urine volume at ≥200 mg/kg-day; both sexes 1 subchronic study, females only, 1 2-yr study</li> <li>Decreased urine pH at 100 mg/kg-d; males only, 1 2-yr study</li> <li>No treatment related findings for urobilinogen; both sexes, 1 subchronic study and 1 2-yr study</li> </ul>	

Evidence stream summary and interpretation										
Mechanistic evidence and supplemental information										
Biological events or pathways	Primary evidence evaluated Key findings, interpretation, and limitations	Evidence stream judgement								
Molecular Initiating Events—Oatp1a1	Key findings and interpretation: Sex hormone-dependent regulation of Oatp1a1 mRNA and protein level (see Section 3.1.4).	Sex-specific Oatp1a1 expression leading to sex- specific PFHxA elimination								

1

#### 3.2.4. Hematopoietic Effects

1 Hematology is a subgroup of clinical pathological parameters concerned with morphology, 2 physiology, and pathology of blood and blood-forming tissues. Hematological parameters are 3 measured using blood tests such as complete blood counts (CBC) and a clinical chemistry panel. 4 The CBC measures three primary types of blood cells (red blood cells, white blood cells, and 5 erythrocytes), whereas the clinical chemistry panel measures the proteins, enzymes, chemicals, and 6 waste products in the blood. Hematological measures, when evaluated together and with 7 information on other biomarkers, are informative diagnostic tests for blood-forming tissues 8 (i.e., bone marrow, spleen, liver) and organ function. In animals, blood tests are influenced by the 9 feeding protocol, blood collection site, animal age, and other factors.

### 10 Human Studies

- 11 One human study (<u>Jiang et al., 2014</u>) evaluated blood counts in samples drawn from a
- 12 population of 141 pregnant women living in Tianjin, China. The study was considered
- 13 *uninformative*, however, due to lack of consideration of confounding, including age, socioeconomic
- 14 status, and medical history, which is expected to substantially bias the results. Full study
- 15 evaluation for <u>Jiang et al. (2014)</u> is available by clicking the <u>HAWC link</u>.

### 16 Animal Studies

- 17 Several animal toxicological studies were available that assessed hematopoietic parameters
- 18 including a *high* confidence short-term study (<u>NTP, 2018</u>), *high* confidence (<u>Chengelis et al., 2009b</u>)
- and *high* confidence (Loveless et al., 2009) subchronic studies, and a *high* confidence chronic study
- 20 (<u>Klaunig et al., 2015</u>). Cell counts for the blood components associated with immune system
- 21 responses are summarized under in Immune Effects, see Section 3.2.8. Study findings are discussed
- below and summarized in Table 3-20 (full details are available by clicking the <u>HAWC link</u>), and
- 23 summary details are available in <u>PFHxA Tableau</u> visualization.

Author (year)	Species, strain (sex)	Exposure design	Exposure route and dose range	Hematology and hemostasis
<u>NTP (2018)</u>	Rat, Harlan Sprague-Dawley (male and female)	Short term (28 d)	Gavage <sup>a</sup> Male and female: 0, 62.5, 125, 250, 500, 1,000 mg/kg-d	++
<u>Chengelis et al.</u> (2009b)	Rat, Crl:CD(SD) Sprague- Dawley (male and female)	Subchronic (90 d)	Gavage <sup>a</sup> Male and female: 0, 10, 50, 200 mg/kg-d	++
<u>Loveless et al.</u> (2009)	Rat, Crl:CD(SD) Sprague- Dawley (male and female)	Subchronic (90 d)	Gavage <sup>b</sup> Male and female: 0, 20, 100, 500 mg/kg-d	++
<u>Klaunig et al.</u> (2015)	Rat, Crl:CD(SD) Sprague- Dawley (male and female)	2-yr cancer bioassay	Gavage <sup>a</sup> Male: 0, 2.5, 15, 100 mg/kg-d Female: 0, 5, 30, 200 mg/kg-d	++

Table 3-20. Hematopoietic endpoints for PFHxA and associated confidence scores from repeated-dose animal toxicity studies

Study evaluation for animal toxicological hematopoietic endpoints reported from studies with male and female rats receiving PFHxA<sup>a</sup> or PFHxA sodium salt<sup>b</sup> by gavage. Study evaluation details for all outcomes are available by clicking the <u>HAWC link</u>.

++ Outcome rating of *high* confidence.

#### 1 Hematology

2 Several findings were consistent (i.e., decreased red blood cells [RBCs], hematocrit, and 3 hemoglobin) across studies and study designs that, when interpreted together, suggest PFHxA-4 related adverse hematological effects such as anemia (see Figure 3-13). Indications were also 5 present that red blood cells were swollen and made up a larger proportion of the blood volume 6 (increased mean cell volume [MCV, a measure of the average red blood cell size]). These changes 7 were correlated with potential secondary erythrogenic responses to PFHxA exposure including 8 increased reticulocyte (immature RBCs) counts that were consistently increased >10% across 9 study designs and exposure durations, including the chronic study <u>Klaunig et al. (2015)</u> where the 10 highest dose levels were 2–5 times lower than those tested in the subchronic studies. Specifically, a 11 dose-responsive decrease occurred in red blood cells (see Table 3-21), hematocrit (see Table 3-22), 12 and hemoglobin (see Table 3-23) in the short-term study with decreases at doses ranging from 62.5 mg/kg-day in male rats to 250 mg/kg-day in female rats (NTP, 2018). These findings also were 13 14 observed in both subchronic studies in the highest dose groups [200 mg/kg-day in males only 15 (<u>Chengelis et al., 2009b</u>) and 500 mg/kg-day in both sexes (<u>Loveless et al., 2009</u>)]. Of note, 16 decreases in both hemoglobin and hematocrit were 1.5–2-fold greater in the subchronic study 17 (Loveless et al., 2009) than in the short term study (NTP, 2018) for both males and females at the

18 same dose (500 mg/kg-day).

1 Findings from the chronic study (<u>Klaunig et al., 2015</u>) were generally null or observed at

- 2 dose levels  $\geq 100 \text{ mg/kg-day}$  (100 mg/kg-day in males and 200 mg/kg-day in females) at 25 and 51
- 3 weeks. Measures of hematology beyond 52 weeks in the chronic study might be complicated due to
- 4 natural diseases occurring in rodents and test variability leading to decreased sensitivity and
- 5 increasing variability with the results (<u>AACC, 1992</u>). <u>Klaunig et al. (2015)</u> did, however,
- 6 qualitatively evaluate blood and reported no PFHxA treatment effects on blood smear morphology.
- 7 Loveless et al. (2009) also evaluated blood smears up to test day 92 with PFHxA sodium salt
- 8 exposure and noted nucleated blood cells in smears indicative of bone marrow damage or stress,

9 but only for 1 female and 1 male.

Endpoint	Study	Experiment	Animal Description	Observation Tim	ne PFI	IxA Hemat	opoietic Effects: Red Blo	ood Cells
Hematocrit (HCT)	NTP, 2018, 4309149	28 Day Oral	Rat, Harlan Sprague-Dawley (♀)	Day 29	<b>•••</b>		▼	<b>—</b>
			Rat, Harlan Sprague-Dawley (♂)	Day 29	♦ ♥ ♥			<b>—</b>
	Loveless, 2009, 2850369	90-Day Oral	Rat, Crl:CD(SD) (♂)	Day 92	+		<b>—</b>	
			Rat, Crl:CD(SD) (♀)	Day 93	+	_	<b>—</b>	
	Chengelis, 2009, 2850404	90 Day Oral	Rat, Crl:CD(SD) (♂)	Day 90		▼		
			Rat, Crl:CD(SD) (♀)	Day 90		•		
	Klaunig, 2015, 2850075	2-Year Cancer Bioassay	Rat, Crl:CD(SD) (♂)	Week 25	•••			
				Week 51	<b>•</b> ••			
				Week 104	••••			
			Rat, Crl:CD(SD) (♀)	Week 25	••	•		
				Week 51	••	•		
				Week 104	••	•		
Hemoglobin (HGB)	NTP, 2018, 4309149	28 Day Oral	Rat, Harlan Sprague-Dawley (우)	Day 29	<b>♦</b> ●●			<b>—</b>
			Rat, Harlan Sprague-Dawley (♂)	Day 29	$\diamond \nabla \nabla$			<b>—</b>
	Loveless, 2009, 2850369	90-Day Oral	Rat, Crl:CD(SD) (♂)	Day 92	+		<b>—</b>	
			Rat, Crl:CD(SD) (♀)	Day 93	+		▼	
	Chengelis, 2009, 2850404	90 Day Oral	Rat, Crl:CD(SD) (♂)	Day 90		-▼		
			Rat, Crl:CD(SD) (♀)	Day 90		▼		
	Klaunig, 2015, 2850075	2-Year Cancer Bioassay	Rat, Crl:CD(SD) (♂)	Week 25	•••			
				Week 51	• •			
				Week 104	•••			
			Rat, Crl:CD(SD) (♀)	Week 25	••	•		
				Week 51	••	-▼		
				Week 104	••	•		
Red Blood Cell (RBC)	NTP, 2018, 4309149	28 Day Oral	Rat, Harlan Sprague-Dawley ( $\bigcirc$ )	Day 29	• • •		<b>—</b>	<b>—</b>
			Rat, Harlan Sprague-Dawley (♂)	Day 29	$\diamond \nabla \nabla$			<b>—</b>
	Loveless, 2009, 2850369	90-Day Oral	Rat, Crl:CD(SD) (♂)	Day 92	••••		<b>—</b>	
			Rat, Crl:CD(SD) (♀)	Day 93	+		▼	
	Chengelis, 2009, 2850404	90 Day Oral	Rat, Crl:CD(SD) (♂)	Day 90		-▼		
			Rat, Crl:CD(SD) (♀)	Day 90	••	$\overline{\mathbf{v}}$		
	Klaunig, 2015, 2850075	2-Year Cancer Bioassay	Rat, Crl:CD(SD) (♂)	Week 25	•••			
				Week 51	••			
				Week 104	•••			
			Rat, Crl:CD(SD) (♀)	Week 25	••	•		
				Week 51	••	▼		
				Week 104	••	•		
	No significant chan	ge Significant increase	V Significant decrease 🔶 Sign	ificant Trend	-100 0 100	200 300	400 500 600 700 Dose (mg/kg-day)	800 900 1,000 1,100

# Figure 3-13. Hematological findings (hematocrit [HCT], hemoglobin [HGB], and red blood cells [RBC]) in rats exposed by gavage to PFHxA or PFHxA sodium salt (full details available by clicking the <u>HAWC link</u>).

						Do	ose (	mg/l	kg-d)					
Study Design and Reference	2.5	5	10	15	20	30	50	62.5	100	125	200	250	500	1,000
28-d, female rat ( <u>NTP, 2018</u> )								-1		2		-7	-10	-26
28-d, male rat ( <u>NTP, 2018</u> )								-5		-5		-9	-23	-48
90-d, female rat ( <u>Chengelis et al., 2009b</u> )			-1				-3				-8			
90-d, male rat ( <u>Chengelis et al., 2009b</u> )			-1				0				-8			
90-d, female rat ( <u>Loveless et al., 2009</u> )					2				0				-18	
90-d, male rat ( <u>Loveless et al., 2009</u> )					1				-5				-31	
2-yr, female rat ( <u>Klaunig et al., 2015</u> ), Wek 25		4				-2					-1			
2-yr, male rat ( <u>Klaunig et al., 2015</u> ), Week 25	-3			-3					-4					
2-yr, female rat ( <u>Klaunig et al., 2015</u> ), Week 51		1				0					-8			
2-yr, male rat ( <u>Klaunig et al., 2015</u> ), Week 51	-4			-6					-4					
2-yr, female rat ( <u>Klaunig et al., 2015</u> ), Week 104		-1				-2					1			
2-yr, male rat ( <u>Klaunig et al., 2015</u> ), Week 104	-7			-1					-8					

Table 3-21. Percent change in red blood cells due to PFHxA exposure in short-term, subchronic, and chronic oral toxicity studies

1 The red blood cell mass parameter (MCHC, the average weight of hemoglobin in a specified

- 2 volume of red blood cells) was decreased in both sexes in the short-term (<u>NTP, 2018</u>) and
- 3 subchronic studies (Loveless et al., 2009) (see Figure 3-14). Null findings for MCHC were observed
- 4 in the other subchronic study (<u>Chengelis et al., 2009b</u>) and the chronic study (<u>Klaunig et al., 2015</u>),
- 5 consistent with MCHC findings at similar dose levels in the short-term (<u>NTP, 2018</u>) and subchronic
- 6 studies (Loveless et al., 2009). MCV, a measure of average blood volume of RBCs was increased in
- 7 both a short-term and a subchronic study (<u>NTP, 2018</u>; <u>Loveless et al., 2009</u>).



Figure 3-14. Hematological findings (mean cell hemoglobin [MCH], mean cell hemoglobin concentration [MCHC], and mean cell volume [MCV]) in rats exposed by gavage to PFHxA or PFHxA sodium salt (full details available by clicking the <u>HAWC link</u>).

	Dose (mg/kg-d)													
Study Design and Reference	2.5	5	10	15	20	30	50	62.5	100	125	200	250	500	1,000
28-d, female rat ( <u>NTP, 2018</u> )								-1		0		-7	-8	-17
28-d, male rat ( <u>NTP, 2018</u> )								-4		-6		-6	-17	-30
90-d, female rat ( <u>Chengelis et al., 2009b</u> )			0				-5				-6			
90-d, male rat ( <u>Chengelis et al., 2009b</u> )			-3				-3				-8			
90-d, female rat ( <u>Loveless et al., 2009</u> )					1				0				-13	
90-d, male rat ( <u>Loveless et al., 2009</u> )					0				-6				-31	
2-yr, female rat ( <u>Klaunig et al., 2015</u> ), Week 25		3				0					0			
2-yr, male rat ( <u>Klaunig et al., 2015</u> ), Week 25	-1			-3					-3					
2-yr, female rat ( <u>Klaunig et al., 2015</u> ), Week 51		1				0					-4			
2-yr, male rat ( <u>Klaunig et al., 2015</u> ), Week 51	-5			-4					-3					
2-yr, female rat ( <u>Klaunig et al., 2015</u> ), Week 104		0				-1					1			
2-yr, male rat ( <u>Klaunig et al., 2015</u> ), Week 104	-9			-5					-8					

Table 3-22. Percent change in hematocrit due to PFHxA exposure in short-term, subchronic, and chronic oral toxicity studies

Table 3-23. Percent change in hemoglobin due to PFHxA exposure in short-term, subchronic, and chronic oral toxicity studies

	Dose (mg/kg-d)													
Study Design and Reference	2.5	S	10	15	20	30	50	62.5	100	125	200	250	500	1,000
28-d, female rat ( <u>NTP, 2018</u> )								0		-1		-6	-8	-19
28-d, male rat ( <u>NTP, 2018</u> )								-3		-5		-6	-19	-40
90-d, female rat ( <u>Chengelis et al., 2009b</u> )			1				-3				-6			
90-d, male rat ( <u>Chengelis et al., 2009b</u> )			-1				-1				-8			
90-d, female rat ( <u>Loveless et al., 2009</u> )					1				0				-15	
90-d, male rat ( <u>Loveless et al., 2009</u> )					1				-71				-36	
2-yr, female rat ( <u>Klaunig et al., 2015</u> ), Week 25		3				1					-1			
2-yr, male rat ( <u>Klaunig et al., 2015</u> ), Week 25	-1			-2					-3					
2-yr, female rat ( <u>Klaunig et al., 2015</u> ), Week 51		1				0					-5			
2-yr, male rat ( <u>Klaunig et al., 2015</u> ), Week 51	-6			-5					-3					

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	Dose (mg/kg-d)													
Study Design and Reference	2.5	2	10	15	20	30	50	62.5	100	125	200	250	500	1,000
2-yr, female rat ( <u>Klaunig et al., 2015</u> ), Week 104		0				0					-1			
2-yr, male rat ( <u>Klaunig et al., 2015</u> ), Week 104	-9			-4					-9					

1 Increased reticulocyte (immature RBCs formed during the erythroid regenerative process)

- 2 counts were consistently found across all four animal toxicological studies (see Table 3-24 and
- **3** Figure 3-15) and correlated with decreases in RBCs. PFHxA treatment-related increases in
- 4 reticulocyte counts were potentially a compensatory biological response to the PFHxA anemia
- 5 effect. Reticulocytes were increased (>10%) across all study designs and exposure durations at
- 6 200 mg/kg-day (<u>Klaunig et al., 2015</u>; <u>Chengelis et al., 2009b</u>), 250 mg/kg-day (<u>NTP, 2018</u>), or
- 7 500 mg-kg/day (Loveless et al., 2009). Reticulocyte levels also were measured by Klaunig et al.
- 8 (2015), but only decreased in female rats that received double the dose of males. The observation
- 9 of increased reticulocytes was correlated with histological findings of increased splenic
- 10 extramedullary hematopoiesis and bone marrow erythroid hyperplasia incidence in both the males
- and females dosed with 500 mg/kg-day (<u>NTP, 2018</u>; <u>Loveless et al., 2009</u>) (summary details are
- 12 available in <u>PFHxA Tableau</u> visualization). Collectively, the histological findings considered
- 13 together with red blood cell parameters suggest PFHxA interacts with the erythropoietic pathways
- 14 including outcomes such as anemia that can lead to compensatory erythrogenic responses in the
- 15 bone marrow and spleen.

Endpoint	Study	Experiment	Animal Description	Observation Time	PFHxA Hemaotopoietic Effects: Reticulocytes
Reticulocytes	NTP, 2018, 4309149	28-Day Oral	Rat, Harlan Sprague-Dawley (Q)	Day 29	
			Rat, Harlan Sprague-Dawley $({\bf \vec{o}})$	Day 29	
	Chengelis, 2009, 2850404	90-Day Oral	Rat, Crl:CD(SD) (Q)	Day 90	••• •
			Rat, Crl:CD(SD) (0)	Day 90	••- <u></u>
	Loveless, 2009, 2850369	90-Day Oral	Rat, Crl:CD(SD) (0)	Day 92	•• • <b>(</b>
			Rat, Crl:CD(SD) (Q)	Day 93	•• • <u> </u>
	Klaunig, 2015, 2850075	2-Year Cancer Bioassay	Rat, Crl:CD(SD) (Q)	Week 25	••— <u>A</u>
			Rat, Crl:CD(SD) (0 <sup>*</sup> )	Week 25	<b>←</b> •
			Rat, Crl:CD(SD) (Q)	Week 51	•• <u> </u>
			Rat, Crl:CD(SD) (0*)	Week 51	<b>←</b> •
			Rat, Crl:CD(SD) (Q)	Week 104	<b>♦</b> ●
			Rat, Crl:CD(SD) (0")	Week 104	•••
No signifi	cant change 🔺 Significant ii	ncrease 🔻 Significant dec	rease 🔶 Significant Trend		-100 0 100 200 300 400 500 600 700 800 900 1,0001,100 Dose (mg/kg-day)

Figure 3-15. Hematological findings (reticulocytes) in rats exposed by gavage to PFHxA or PFHxA sodium salt (full details available by clicking the <u>HAWC</u> <u>link</u>).

	Dose (mg/kg-d)													
Study Design and Reference	2.5	ъ	10	15	20	30	50	62.5	100	125	200	250	500	1,000
28-d, female rat ( <u>NTP, 2018</u> )								-5		-15		15	152	356
28-d, male rat ( <u>NTP, 2018</u> )								0		-2		20	89	223
90-d, female rat ( <u>Chengelis et al., 2009b</u> )			-7				-13				80			
90-d, male rat ( <u>Chengelis et al., 2009b</u> )			-5				-13				59			
90-d, female rat ( <u>Loveless et al., 2009</u> )					7				13				181	
90-d, male rat ( <u>Loveless et al., 2009</u> )					-14				-4				210	
2-yr, female rat ( <u>Klaunig et al., 2015</u> ), Week 25		-5				11					26			
2-yr, male rat ( <u>Klaunig et al., 2015</u> ), Week 25	-5			0					15					
2-yr, female rat ( <u>Klaunig et al., 2015</u> ), Week 51		-25				-6					56			
2-yr, male rat ( <u>Klaunig et al., 2015</u> ), Week 51	21			71					43					
2-yr, female rat ( <u>Klaunig et al., 2015</u> ), Week 104		6				19					26			
2-yr, male rat ( <u>Klaunig et al., 2015</u> ), Week 104	21			-6					29					

Table 3-24. Percent change in reticulocytes due to PFHxA exposure inshort-term, subchronic, and chronic oral toxicity studies

## 1 Hemostasis

2 Hemostasis findings included platelet counts, prothrombin time, and activated partial 3 thromboplastin time. Clotting times measured by <u>Chengelis et al. (2009b)</u> and <u>Klaunig et al. (2015)</u> 4 could be complicated because blood samples were collected from the retro-orbital sinus, a 5 technique not recommended because it leads to prolonged clotting times that might not be reliable; 6 thus, these particular endpoints were considered uninformative and are not discussed further. 7 Findings of statistically significant increased (p < 0.05) platelets were observed in the short-term 8 (NTP, 2018) and subchronic (Chengelis et al., 2009b; Loveless et al., 2009) studies in males and 9 females dosed with 500 mg/kg-day dose (see Figure 3-16). Other hemostasis measures that 10 included activated partial thromboplastin time (APTT) and prothrombin time (PT, a functional measure of a subset of clotting factors that contribute to APTT) were decreased inconsistently 11 12 across sexes in one subchronic study (Loveless et al., 2009). PT was decreased in male dose groups 13 receiving  $\geq 20 \text{ mg/kg-day}$ , whereas APTT was decreased in the 500 mg/kg-day female rat dose 14 group. The observed increase in platelets and decreased clotting time (along with increased 15 reticulocytes) were coherent changes indicative of an erythropoietic response in the bone marrow,

16 suggesting bone marrow was not adversely affected by PFHxA exposure.



## Figure 3-16. Hemostasis findings in rats exposed by gavage to PFHxA or PFHxA sodium salt (full details available by clicking the <u>HAWC link</u>).

#### 1 Evidence Integration

2 The only available human study examining potential hematopoietic effects was considered 3 *uninformative*; therefore, there is *indeterminate* human evidence of hematopoietic effects. 4 Collectively, the animal toxicological information provided coherent evidence indicative of 5 macrocytic anemia (characterized by low hemoglobin and large red blood cells) that is consistent 6 across multiple laboratories and experimental designs. Findings informing the overall judgment 7 included consistent observations of decreased red blood cells, hematocrit, and hemoglobin at doses 8 as low as 200 mg/kg-day generally in both sexes (summary level details are available in the 9 Tableau link). This finding was considered an adverse response to PFHxA exposure and correlated 10 with a compensatory increase in reticulocytes, an indicator of erythroid cell regeneration supported by histological findings of splenic extramedullary hematopoiesis and bone marrow 11 erythroid hyperplasia. The responses across hematologic parameters in the chronic study (Klaunig 12 et al., 2015) were only observed at the highest dose (200 mg/kg-day) in females. However, the dose 13 range in (Klaunig et al., 2015) was low compared with other studies. Further, the null responses at 14 lower doses (2.5, 15, and 100 mg/kg-day in male rats; 5 and 30 mg/kg-day in female rats) are 15 16 consistent with null responses in hematologic endpoints at similar dose levels in the short term and 17 subchronic studies. Overall, these collective erythroid responses provide evidence for PFHxA 18 treatment-related effects on erythropoiesis. 19 Based on these data, there is *moderate* animal evidence of hematopoietic effects. Effects on 20 red blood cell parameters including decreased hemoglobin and red blood cells, and decreased 21 reticulocytes are consistent across both subchronic and chronic studies in the 200 mg/kg-day dose 22 groups. Overall, the currently available *evidence indicates* that PFHxA likely causes hematopoietic 23 effects in humans under relevant exposure circumstances. This conclusion is based on four high 24 confidence studies in rats showing consistent (across durations and study types) and coherent

- 1 effects (across various outcome measures of hematopoietic function), generally at ≥200 mg/kg-day
- 2 following short-term (28-day), subchronic (90-day), or chronic (2-year) exposures.

	Evidence integration summary judgment									
Evidence from studi										
Studies and confidence	Factors that increase certainty	Factors that decrease certainty	Summary and key findings	Evidence stream judgment	Primary basis:					
• There were no inf	⊙⊙⊙ Indeterminate	Four high confidence studies in rats ranging from short term to chronic exposure durations, in both sexes, generally at ≥200								
Evidence from anim										
Studies and confidence	Factors that increase certainty	Factors that decrease certainty	Summary and key findings	Evidence stream judgment	mg/kg-d Human relevance:					
Hematology 4 <u>high</u> confidence studies in adult rats: • 28-d • 90-d (2 studies) • 2-yr	<ul> <li>Consistent changes (decreases in hematocrit, hemoglobin, red blood cells, and MCHC and increases in reticulocytes, MCV, and MCH) across studies</li> <li>Coherence of red blood cells, HCT, and HGB and reticulocytes</li> <li>Large magnitude of effect as high as 356% for reticulocytes</li> <li>High confidence studies</li> </ul>	• No factors noted	<ul> <li>Decreased red blood cells, hematocrit, and hemoglobin at ≥62.5 mg/kg-d; both sexes</li> <li>Increased MCH and MCV at ≥250; males more sensitive</li> <li>Increased reticulocytes at ≥200 mg/kg-d; both sexes, all studies</li> <li>Coherence of red blood cells and reticulocytes with splenic extramedullary hematopoiesis and bone marrow erythroid hyperplasia</li> </ul>	⊕⊕⊙ Moderate       Findings considered adverse based on coherent evidence that was consistent across multiple laboratories and experimental designs. Consistent findings of decreased red blood cells, hematocrit, and hemoglobin at ≥200 mg/kg-day correlated with a	Without evidence to the contrary, effects in rats are considered relevant to humans <i>Cross-stream coherence:</i> N/A (human evidence <i>indeterminate</i> ) <i>Susceptible populations and</i> <i>lifestages:</i> No evidence to inform					

### Table 3-25. Evidence profile table for hematopoietic effects
	Evidence	e stream summary and i	interpretation		Evidence integration summary judgment
Hemostasis 4 <u>high</u> confidence studies in adult rats: • 28-d • 90-d (2 studies) • 2-yr	<ul> <li><i>Consistent</i> treatment related effect on platelet levels</li> <li><i>Consistency</i> across study designs</li> <li><i>High</i> confidence studies</li> </ul>	No factors noted	<ul> <li>Increased platelet levels ≥10 mg/kg-d; both sexes, 1 28-d, 2 90-d studies</li> <li>Decreased activated partial thromboplastin (APTT) at ≥20 mg/kg-d; males only, 1 90-d study</li> <li>Decreased prothrombin (PT) time at 500 mg/kg-day; males only, 1 90-d study</li> </ul>	compensatory findings of erythroid cell regeneration	
Mechanistic eviden	ce and supplemental inforr	nation			
Biological events or pathways	Species or model systems	Key findings, limitation	Key findings, limitations, and interpretation		
No informative st					

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# 3.2.5. Endocrine Effects

### 1 Human

## 2 <u>Thyroid Hormones</u>

3 Two studies examined the association between PFHxA exposure and thyroid hormones in 4 humans (see Figure 3-17). One was considered *uninformative* due to lack of consideration of 5 confounding, including age, sex, medical history, and socio-economic status which is expected to 6 substantially impact the results (Seo et al., 2018). The other study was a cross-sectional study of 7 the general population in China and was considered *low* confidence (Li et al., 2017) due to concerns 8 around participant selection, outcome measures, consideration of confounding, and decreased 9 sensitivity. Regarding the latter concern, the exposure levels were low and contrast narrow in Li et 10 al. (2017) (median [range]: 0.01 [<LOD-1.1]) and 47% of samples were below the LOD. Among 11 participants without thyroid disease, inverse associations with free T3 and thyroid stimulating 12 hormone (TSH) were reported, with TSH being statistically significant (Pearson correlation

- 13 coefficient =-0.27, p < 0.01). No association was observed with free T4. Total T4 and T3 were not
- 14 measured in this study.



# Figure 3-17. Study evaluation for human epidemiologic studies reporting toxicity findings from PFHxA exposures (HAWC: <u>PFHxA – Human Toxicity</u> <u>Endocrine Effects link)</u>.

### 1 Animal

Four short-term (28-day), subchronic, and chronic animal studies evaluated potential
endocrine effects of PFHxA or PFHxA sodium salt in rats. Most of the outcome-specific study
ratings were rated *high* confidence. Histopathology for <u>Chengelis et al. (2009b)</u> was rated *low*confidence because of issues related to observational bias, concerns about endpoint sensitivity and
specificity, and results presentation. A summary of the studies and the interpretations of
confidence in the results for the different outcomes based on the individual study evaluations is
presented in Table 3-26, and details are available by clicking the <u>HAWC link</u>.

Author (year)	Species, strain (sex)	Exposure design	Exposure route and dose range	Organ weight	Histopathology	Thyroid hormones
<u>NTP (2018)</u>	Rat, Harlan Sprague-Dawley (male and female)	Short term (28 d)	Gavage <sup>a</sup> Male and female: 0, 62.5, 125, 250, 500, 1,000 mg/kg-d	++	++	++
<u>Chengelis et al.</u> (2009b)	Rat, Crl:CD(SD) Sprague-Dawley (male and female)	Subchronic (90 d)	Gavage <sup>a</sup> Male and female: 0, 10, 50, 200 mg/kg-d	++	-	NM
Loveless et al. (2009)	Rat, Crl:CD(SD) Sprague-Dawley (male and female)	Subchronic (90 d)	Gavage <sup>b</sup> Male and female: 0, 20, 100, 500 mg/kg-d	++	++	NM
<u>Klaunig et al.</u> (2015)	Rat, Crl:CD(SD) Sprague-Dawley (male and female)	2-yr cancer bioassay	Gavage <sup>a</sup> Male: 0, 2.5, 15, 100 mg/kg-d Female: 0, 5, 30, 200 mg/kg-d	NM	++	NM

# Table 3-26. Endocrine endpoints for PFHxA and associated confidence scores from repeated-dose animal toxicity studies

Study evaluation for animal toxicological endocrine endpoints reported from studies with male and female rats receiving PFHxA<sup>a</sup> or PFHxA sodium salt<sup>b</sup> by gavage. Study evaluation details for all outcomes are available by clicking the <u>HAWC link</u>.

++ Outcome rating of *high* confidence; - outcome rating of *low* confidence; NM, outcome not measured.

### 9 <u>Thyroid Hormones</u>

10

A single study evaluated potential PFHxA effects on endocrine function specific to thyroid

- 11 hormones in rats exposed for 28 days (<u>NTP, 2018</u>). Specifically, males showed statistically
- 12 significant, dose-dependent decreases in thyroid hormones. These outcomes showed a clear dose-
- 13 dependent pattern of effect with treated animals showing reductions of 25–73% or 20–58% for
- 14 free or total T4, respectively. Smaller decreases in T3 in males also were observed (18–29%),
- 15 although the dose-dependence of this effect was less clear. No treatment-related changes were

- 1 observed for T3 or T4 in females or for TSH in either sex (<u>NTP, 2018</u>). Results are summarized in
- 2 Figure 3-18 and Table 3-27.

Endpoint	Study	Experiment	Animal Description	Observation Time	PFHxA Endocrine Effects: Hormones
Thyroid Stimulating Hormone (TS	H) NTP, 2018, 4309149	28 Day Oral	Rat, Harlan Sprague-Dawley (♀)	Day 29	••••• • • • • •
			Rat, Harlan Sprague-Dawley (ି)	Day 29	•••• • • • •
Thyroxine (T4), Free	NTP, 2018, 4309149	28 Day Oral	Rat, Harlan Sprague-Dawley (♀)	Day 29	•••• • • • •
			Rat, Harlan Sprague-Dawley (ି)	Day 29	$\diamond \nabla \nabla$
Total Thyroxine (T4)	NTP, 2018, 4309149	28 Day Oral	Rat, Harlan Sprague-Dawley (♀)	Day 29	•••• • • • •
			Rat, Harlan Sprague-Dawley (ੇ)	Day 29	$\diamond \nabla \nabla$
Triiodothyronine (T3)	NTP, 2018, 4309149	28 Day Oral	Rat, Harlan Sprague-Dawley (♀)	Day 29	•••••
			Rat, Harlan Sprague-Dawley (ੇ)	Day 29	$\diamond \nabla \nabla$
No signification	ant change Significan	t increase 🔻	Significant decrease 🔶 Significa	nt Trend	100 0 100 200 300 400 500 600 700 800 900 1,000 1,10
			•		Dose (mg/kg-day)

Figure 3-18. Thyroid hormone measures from the serum of rats exposed by gavage to PFHxA or PFHxA sodium salt (full details available by clicking the <u>HAWC link</u>).

Table 3-27. Percent change in thyroid hormone levels following PFHxAexposure in a 28-day oral toxicity study

		Dose (mg/kg-d)				
Study Design and Reference	Hormone	62.5	125	250	500	1,000
28-d, female rat ( <u>NTP, 2018</u> )	Free T4	-1	-4	9	-4	-19
28-d, male rat ( <u>NTP, 2018</u> )		-25	-38	-39	-55	-73
28-d, female rat ( <u>NTP, 2018</u> )	Total T4	-7	-11	-5	-9	-19
28-d, male rat ( <u>NTP, 2018</u> )		-20	-31	-32	-44	-58
28-d, female rat ( <u>NTP, 2018</u> )	Т3	-1	-6	3	14	-3
28-d, male rat ( <u>NTP, 2018</u> )		-18	-26	-15	-16	-29
28-d, female rat ( <u>NTP, 2018</u> )	TSH	-15	-8	-9	40	-9
28-d, male rat ( <u>NTP, 2018</u> )		9	5	6	9	-21

Bold values indicate instances where statistical significance (p < 0.05) compared to controls was reported by study authors.

3 <u>Histopathology</u>

4 Four studies evaluated histopathological changes in endocrine tissues, including the

- 5 thyroid, pituitary, and pancreas, in rodents exposed to PFHxA (<u>NTP, 2018</u>; <u>Klaunig et al., 2015</u>;
- 6 <u>Chengelis et al., 2009b</u>) or PFHxA sodium salt (<u>Loveless et al., 2009</u>). Of these, <u>Loveless et al. (2009</u>)
- 7 reported thyroid follicular cell hypertrophy in both male and female rats exposed to PFHxA sodium
- 8 salt for 90 days. The hypertrophy persisted after the exposure ceased, with females showing an
- 9 increase at the 30-day (but not 90-day) recovery whereas, in males this effect was observed at the
- 10 90-day recovery time point. <u>NTP (2018)</u> reported this outcome was not affected by PFHxA
- 11 following a 28-day exposure at doses as high as 1,000 mg/kg-day. The remaining two studies

- 1 reported no treatment-related effects on thyroid histopathology at doses as high as 200 mg/kg-d
- 2 following subchronic (90-day) or chronic (2-year) exposure to PFHxA. Notably, <u>Chengelis et al.</u>
- 3 (2009b) did not specify what outcomes were evaluated. Therefore, whether thyroid follicular cell
- 4 hypertrophy was measured is unclear. No other treatment-related histopathological effects were
- 5 noted in the PFHxA evidence base. Results are summarized in Table 3-28.

Table 3-28. Incidence of thyroid follicular epithelial cell hypertrophy following PFHxA ammonium salt exposure in a 90-day oral toxicity study

		Dose (mg/kg-d)			
Sex and Reference	Time point	0	20	100	500
90-d, female rat (Loveless et al., 2009)	Exposure, Day 90	0/10	0/10	0/11	4/10
90-d, male rat (Loveless et al., 2009)		0/10	0/10	1/10	2/10
90-d, female rat (Loveless et al., 2009)	Recovery Day 30	0/10			6/10
90-d, male rat (Loveless et al., 2009)		0/10			3/10
90-d, female rat (Loveless et al., 2009)	Recovery, Day 90	0/10	0/10	0/9	0/10
90-d, male rat ( <u>Loveless et al., 2009</u> )		0/10	0/10	0/10	2/10

Bold values indicate instances where statistical significance (p < 0.05) compared to controls was reported by study authors; shaded cells represent doses not included in the individual studies.

### 6 Organ Weights

7 Three studies evaluated effects on thyroid and adrenal weights (NTP, 2018; Chengelis et al., 8 2009b; Loveless et al., 2009). Although no effects on relative thyroid weight were observed at the 9 end of the 90-day exposure period in either subchronic study, Loveless et al. (2009) qualitatively 10 reported a statistically significant increase in relative thyroid weight for female rats at the highest 11 tested dose (500 mg/kg-day) of PFHxA sodium salt at the 30-day recovery. <u>NTP (2018)</u> observed a 12 trend (p < 0.05) for decreased absolute adrenal gland weight in male rats exposed to 500 mg/kg-13 day. No other treatment-related effects on endocrine organ weights were observed (<u>NTP, 2018</u>; 14 Chengelis et al., 2009b; Loveless et al., 2009).

## 15 Evidence Integration

A single *low* confidence study provided some evidence of an association between PFHxA
exposure and decreased T3 and TSH in humans, although methodological concerns reduce the
reliability of these findings. Based on these results, there is *indeterminate* human evidence of
endocrine effects.

Evidence supporting potential endocrine effects of PFHxA exposure is largely based on two *high* confidence rat studies showing decreases in serum thyroid hormone levels and increased
thyroid epithelial cell hypertrophy in rats, but interpretation of these results is complex. The only
available animal study that evaluated thyroid hormone levels showed a large magnitude of change
in T4 (up to 73% decrease) and T3 (up to 20% decrease) with a clear dose-response for T4 (free

- 1 and total), but these effects were observed only in males (<u>NTP, 2018</u>). A second study found
- 2 increased incidence of thyroid epithelial cell hypertrophy following a 90-day exposure to PFHxA
- 3 sodium salt (Loveless et al., 2009). For the histopathological findings, treatment-related changes
- 4 were reported for both males and females administered 500 mg/kg-day PFHxA sodium salt. The
- 5 incidence of thyroid hypertrophy was higher in females than in males, although effects in males
- 6 persisted longer after exposures had ceased. Also, no clear dose-response was found, with effects
- 7 generally observed only at the highest dose tested. Three other studies evaluated thyroid
- 8 histopathology but found no effects in either sex following a wide range of PFHxA exposure
- 9 durations (28 days to 2 years) and doses (up to 1,000 mg/kg-day) (<u>NTP, 2018</u>; <u>Klaunig et al., 2015</u>;
- <u>Chengelis et al., 2009b</u>). No clear pattern of treatment-related effects were reported for endocrine
   organ weights.
- 12 Although the only available study examining thyroid hormones showed strong effects on T4 13 and T3 after short-term exposure, no effects were observed on TSH; however, a pattern of 14 decreased T4 without changes in TSH is consistent with hypothyroxinemia and has been observed 15 for other PFAS with more detailed studies of endocrine function, including PFBA and PFBS. During 16 pregnancy and early development, perturbations in thyroid function can have impacts on normal 17 growth and neurodevelopment in the offspring. Given the potential consistency of these findings 18 with those observed for other PFAS, the availability of only one short-term study of thyroid 19 hormones represents a significant data gap for PFHxA. The small number of studies and 20 inconsistent findings for endpoints reported across study designs reduces the strength of the 21 available evidence; however, some of these inconsistencies could be explained by differences in the 22 test article (i.e., PFHxA vs. PFHxA salts), dose levels examined (i.e., high dose ranged from 100 to 23 1,000 mg/kg-day), and exposure duration (i.e., short-term, subchronic, and chronic exposures). 24 Evidence suggests sex-specific differences in the pharmacokinetics of PFHxA, with plasma 25 concentrations measured 2–3 times higher in male rats when compared to females (Chang et al., 26 2008; Lau et al., 2006; Lau et al., 2004). Differences in pharmacokinetics might explain why effects 27 on thyroid hormones were observed only in male rats, but why a similar sex-specific pattern was 28 not observed for the reported thyroid histopathological effects is unclear. There are many 29 mechanisms by which chemicals have been shown to disrupt thyroid homeostasis. Although there 30 is evidence that some PFAS may alter thyroid function via interaction with thyroid hormone 31 receptors and transport proteins, the current data show only weak binding for PFHxA (Borghoff et 32 al., 2018; Ren et al., 2016; Ren et al., 2015). It is possible that the observed changes in thyroid 33 histopathology are secondary to hepatic effects. In rats, increases in thyroid epithelial cell 34 hypertrophy are associated with induction of microsomal liver enzymes and hepatocellular 35 hypertrophy (<u>Cesta et al., 2014</u>). Based on the results, there is *slight* animal evidence of endocrine 36 effects. 37 Overall, the currently available *evidence suggests*, but is not sufficient to infer, that PFHxA
- 37 overall, the currently available evidence suggests, but is not sufficient to filler, that PTTXA
   38 could cause endocrine effects in humans under relevant exposure circumstances. This conclusion is

- 1 based on four animal studies generally rated as *high* confidence that reported treatment-related
- 2 changes in thyroid hormone levels and thyroid histopathology after exposure to PFHxA at
- 3 ≥62.5 mg/kg-day (Table 3-27).

	Evidence integration summary judgement					
Evidence from stu	$\oplus \odot \odot$					
Studies and confidence	Factors that increase certainty	Factors that decrease certainty	Summary and key findings	Evidence stream judgments	Evidence suggests but is not sufficient to infer	
Thyroid Hormones 1 low confidence study	No factors noted	<ul> <li>Lack of coherence across related thyroid hormone measures</li> <li>Low confidence study</li> </ul>	<ul> <li>Inverse associations between free T3 and TSH and PFHxA in a single <i>low</i> confidence study</li> </ul>	⊙⊙⊙ Indeterminate	Primary basis: Four animal studies generally rated as high confidence that reported treatment related changes in thyroid hormone levels,	
Evidence from an	imal studies				thyroid histopathology after exposure to PFHxA at $\geq$ 63.5	
Studies and confidence	Factors that increase certainty	Factors that decrease certainty	Summary and key findings	Evidence stream judgments	mg/kg-d.	
Thyroid Hormones 1 <u>high</u> confidence study in adult rats: • 28-d	<ul> <li>High confidence study.</li> <li>Dose-response gradient observed for free and total T4</li> <li>Large effect magnitude; up to 73%</li> </ul>	• No factors noted	<ul> <li>Decreased T4 (free and total) and T3 observed in males only at ≥ 62.5 mg/kg-d</li> </ul>	⊕⊙⊙ <i>Slight</i> Some evidence of thyroid effects based on hormone and histopathological changes in two rat studies;	Human relevance: Without evidence to the contrary, effects in rats are considered relevant to humans. Cross-stream coherence:	
Histopathology 3 <u>high</u> confidence studies in adult rats: • 28-d • 90-d • 2-yr	• <i>High</i> confidence studies	Unexplained inconsistency across studies	<ul> <li>Increased incidence of thyroid epithelial cell hypertrophy at ≥100 mg/kg-d for 90 d; persisted up to 90 d after exposure</li> <li>No effects observed in 28 d study at up to 1,000 mg/kg-d</li> </ul>	changes in two rat studies; however, the data is limited, lacking consistency across the four available studies, and histopathological changes may be explained by non- thyroid related effects	Cross-stream coherence: Decreases in T3 were observed in both animal and human studies, although results in humans were of low confidence. Susceptible populations and lifestages: No evidence to inform	

### Table 3-29. Evidence profile table for endocrine effects

This document is a draft for review purposes only and does not constitute Agency policy.

	Evidence integration summary judgement				
1 <u>low</u> confidence study in adult rats: • 90-d					Other inferences: No mechanistic data or supplemental information
Organ Weight High confidence: 3 <u>high</u> confidence studies in adult rats: • 28-d • 90-d (2 studies)	<ul> <li>High confidence studies</li> </ul>	<ul> <li>Unexplained inconsistency across studies</li> </ul>	<ul> <li>Relative thyroid weights were increased only in females 30 d after exposure</li> <li>Right adrenal weights decreased but no other adrenal effects were reported</li> </ul>		identified to inform a potential MOA for the observed effects, although the pattern of the limited findings for PFHxA are consistent with hypothyroxinemia seen for other PFAS
Mechanistic evide	ence and supplemental info	ormation			
Species or model systems	Key findings, limitations, and interpretation	Evidence stream summa	Evidence stream summary		
No informative	studies identified	•		•	

## 3.2.6. Male Reproductive Effects

### 1 Human

2 <u>Sperm Parameters</u>

3 One low confidence study (Song et al., 2018) examined the association between PFHxA 4 exposure and semen parameters and reported no decrease in concentration or motility with higher 5 levels of PFHxA in serum (see Figure 3-19). A significant negative correlation between PFHxA levels in semen and sperm motility was found in this study (correlation coefficient = -0.35, 6 7 p < 0.01), but analytical measurement of PFAS in semen is less established than in blood and the 8 relevance to exposure is unclear. Still, exposure levels in the study based on serum measurements 9 were fairly high (median: 29 ng/mL, 5th–95th percentile: 11–70 ng/mL), so the study had 10 reasonable sensitivity to detect an effect.

# 11 <u>Reproductive Hormones</u>

12 A single study rated *low* confidence due to low sensitivity and high potential for

13 confounding (see Figure 3-19) found some support for associations between PFHxA and

14 reproductive hormones in a population of adolescent (13–15 years old) males in Taiwan (<u>Zhou et</u>

- 15 <u>al., 2016</u>). Overall, authors reported no significant associations between PFHxA and serum
- 16 testosterone and estradiol; however, when the data were stratified by sex, a significant negative
- association between testosterone and PFHxA exposure level ( $\beta = -0.31, 95\%$  CI: -0.59, -0.02) was
- 18 found in boys. Based on serum measurements, the exposure levels in this study were low and the
- 19 range narrow (median: 0.2 ng/mL, IQR 0.1–0.3 ng/mL), which might have reduced study
- 20 sensitivity. The presence of an association despite reduced sensitivity could be due to either high
- 21 potency of the exposure to cause these effects or potential confounding by other correlated PFAS,
- 22 including PFOS, PFDA, and PFNA.





### 1 Animal

2 Several short-term (28-day), subchronic, and chronic animal studies evaluated sperm 3 parameters, reproductive organ weights, and other reproductive male outcomes in rats receiving 4 oral exposures of PFHxA and PFHxA sodium salt. Most outcome-specific study ratings were rated 5 high confidence; however, some specific concerns were identified that resulted in *low* confidence 6 ratings. Although generally a well-conducted study, NTP (2018) was rated low confidence for 7 sperm parameters due to issues related to exposure duration and concerns for potential 8 insensitivity. Histopathological results for <u>Chengelis et al. (2009b)</u> were rated *low* confidence 9 because of issues related to observational bias, concerns about endpoint sensitivity and specificity, 10 and results presentation. The results of the outcome-specific study evaluations are presented in 11 Table 3-30, and details are available by clicking the HAWC link.

Study	Species, strain (sex)	Exposure design	Exposure route and dose	Sperm parameters	Organ weight	Histopathology	Hormone levels	Reproductive system development
<u>NTP</u> (2018)	Rat, Harlan Sprague-Dawley (male and female)	Short term (28 d)	Gavage <sup>a</sup> Male and female: 0, 62.5, 125, 250, 500, 1,000 mg/kg-d	-	++	++	++	NM
<u>Chengelis</u> <u>et al.</u> (2009b)	Rat, Crl:CD(SD) Sprague-Dawley (male and female)	Subchronic (90 d)	Gavage <sup>a</sup> Male and female: 0, 10, 50, 200 mg/kg-d	NM	++	-	NM	NM
Loveless et al. (2009)	Rat, Crl:CD(SD) Sprague-Dawley (male and female)	Subchronic (90 d) One-generation reproductive: P0 females dosed 70 d prior to cohabitation, through gestation and lactation (126 d); P0 males dosed for 110 d Developmental: Gestation Days 6–20	Gavage <sup>b</sup> Male and female: 0, 20, 100, 500 mg/kg-d	++	++	++	NM	+
<u>Klaunig et</u> al. (2015)	Rat, Crl:CD(SD) Sprague-Dawley (male and female)	2-yr cancer bioassay	Gavage <sup>a</sup> Male: 0, 2.5, 15, 100 mg/kg-d Female: 0, 5, 30, 200 mg/kg-d	NM	NM	++	++	NM
<u>Iwai and</u> <u>Hoberman</u> (2014) <sup>c</sup>	Mouse, Crl: CD1(ICR); Charles River Laboratories, Inc.	Gestation Days 6–18	Gavage <sup>d</sup> Phase 1: 0, 100, 350, 500 mg/kg-d Phase 2: 0, 7, 35, 175 mg/kg-d	NM	NM	NM	NM	++

Table 3-30. Study design, exposure characteristics, and individual outcome ratings

Study evaluation for animal toxicological endpoints reported from male reproductive studies with rats receiving PFHxA,<sup>a</sup> PFHxA sodium salt,<sup>b</sup> or PFHxA ammonium salt<sup>d</sup> by gavage. Study evaluation details for all outcomes are available by clicking the <u>HAWC link</u>.

<sup>c</sup>Phase 1 was a range-finding study used to determine the appropriate dose range for identification of a NOAEL in Phase 2.

++ Outcome rating of *high* confidence; - outcome rating of *low* confidence; NM, outcome not measured.

### 1 <u>Sperm Parameters</u>

- 2 Evidence from a 28-day (<u>NTP, 2018</u>) and one-generation reproductive study (<u>Loveless et al.</u>,
- 3 <u>2009</u>) included sperm parameters useful in evaluating potential male reproductive effects (see
- 4 Figure 3-20). In male rats receiving PFHxA daily by gavage for 28 days, a trend (p < 0.05) for
- 5 decreased sperm count in the cauda epididymis was identified with a significant (25% change from
- 6 control) decrease in the 1,000 mg/kg-day dose group. Animals in this dose group showed a
- 7 significant decrease in body weight (13% change from control) at the end of the study but no other
- 8 overt toxicity was indicated (e.g., mortalities or significant clinical observations) (<u>NTP, 2018</u>).
- 9 Notably, these effects were observed despite concerns about sensitivity due to the short exposure
- 10 duration of the study by <u>NTP (2018)</u> which does not encompass a full 6-week spermatogenic cycle
- 11 in rats. In the one-generation reproductive study, <u>Loveless et al. (2009)</u> found no treatment-related
- 12 effects for sperm parameters following a 10-week premating exposure in male rats to PFHxA
- 13 sodium salt at doses up to 500 mg/kg-day. Results are summarized in Figure 3-20.



# Figure 3-20. Male reproductive effects on sperm parameters in male rats exposed to PFHxA or sodium salt for 28 or 90 days (HAWC: PFHxA – <u>Animal Toxicity Male Reproductive Effects link</u>).

### 14 <u>Reproductive Organ Weights</u>

- 15 Reproductive studies commonly report both absolute and relative organ weights; however,
- 16 for the testes, absolute weights are considered most informative for hazard evaluation (<u>Bailey et al.</u>,
- 17 <u>2004</u>). Three studies (28- or 90-day exposure durations) reported data on the effects of PFHxA or
- 18 PFHxA sodium salt exposure on male reproductive organ weights (i.e., testes, epididymis) in rats
- 19 (see Figure 3-21) (<u>NTP, 2018; Chengelis et al., 2009b; Loveless et al., 2009</u>). Two studies reported a
- 20 modest, but statistically significant (p < 0.05; 13–16% change from control), increase in relative, but
- 21 not absolute, testis weight in rats exposed to 1,000 mg/kg-day for 28 days (<u>NTP, 2018</u>) or
- 22 500 mg/kg-day for 90 days (Loveless et al., 2009). No treatment-related effects on male
- 23 reproductive organ weights were reported by <u>Chengelis et al. (2009b)</u>.





#### 1 <u>Reproductive Hormones</u>

2 Two studies measured hormone levels (i.e., testosterone, estradiol, and luteinizing

3 hormone) following exposure to PFHxA (<u>NTP, 2018</u>; <u>Klaunig et al., 2015</u>). <u>Klaunig et al. (2015</u>)

4 reported a small, transient decrease in testosterone and luteinizing hormone in males at the

5 26-week time point. Effects were not dose dependent and were not significantly different from

6 controls at doses up to 100 mg/kg-day PFHxA. This pattern was not observed at the 52-week time

7 point. A short-term study found no effects on testosterone following exposure of up to

8 1,000 mg/kg-day for 28 days (<u>NTP, 2018</u>). <u>Klaunig et al. (2015</u>) also measured estradiol but found

9 no treatment-related changes.

### 10 <u>Histopathology</u>

11 Four studies evaluated effects of PFHxA or PFHxA sodium salt on histopathology of the

12 testes and epididymites and reported no treatment-related changes (<u>NTP, 2018</u>; <u>Klaunig et al.</u>,

13 2015; Chengelis et al., 2009b; Loveless et al., 2009). One study was rated *low* confidence for this

- 14 outcome (<u>Chengelis et al., 2009b</u>).
- 15 <u>Male Reproductive System Development</u>

16 Two studies examined outcomes related to male reproductive system development

17 following developmental exposure to PFHxA ammonium or sodium salts (Iwai and Hoberman,

18 <u>2014</u>; <u>Loveless et al., 2009</u>). No treatment-related effects were reported on the age at preputial

19 separation, a marker of puberty onset.

### 20 Evidence Integration

21 The available evidence informing the potential for an effect of PFHxA exposure on male

- 22 reproduction in humans was limited to two *low* confidence studies that provided some indication of
- 23 an association between PFHxA exposure and sperm motility (<u>Song et al., 2018</u>) and reproductive
- hormone levels (<u>Zhou et al., 2016</u>). These results are difficult to interpret, however, based on the

availability of a single study for each outcome and the high risk for bias in these evaluations. Based
 on these results, there is *indeterminate* human evidence of male reproductive effects.

3 In animals, the evidence supporting potential effects of PFHxA exposure on male

4 reproduction was primarily limited to decreased sperm count (<u>NTP, 2018</u>) and increased relative

5 testis weights (<u>NTP, 2018</u>; <u>Loveless et al., 2009</u>) at the highest tested doses in these studies (1,000

6 and 500 mg/kg-day, respectively). Decreased sperm count reported by <u>NTP (2018)</u> was considered

7 *low* confidence due to the 28-day exposure duration and concerns that such short exposures would

8 not capture the full spermatogenic cycle. Although finding effects in the presence of an insensitive

9 exposure duration could indicate a sensitive window for chemical-specific perturbations, similar

10 results were not observed in a *high* confidence subchronic study performed in the same rat strain

11 (Loveless et al., 2009), albeit the highest tested dose was 500 as compared to 1,000 mg/kg-day in

12 the short term study. In addition, evidence of overt toxicity (i.e., 13% reduction in terminal body

13 weight relative to controls) was found in the male rats dosed 1,000 mg/kg-day in the <u>NTP (2018)</u>

14 study.

15 Two studies reported increased relative testis weight; however, the preferred metric of 16 absolute testis weight did not change in either study and no changes in organ weight were observed 17 in a second subchronic study (<u>Chengelis et al., 2009b</u>). Reproductive hormone (i.e., testosterone 18 and luteinizing hormone) levels were reduced in the only chronic study; however, the effect was 19 small in magnitude, was not dose-dependent, and was observed only at the 26-week time point 20 (Klaunig et al., 2015). Similar results on testosterone were not reported in the short-term *high* 21 confidence study (NTP, 2018). No other coherent findings (i.e., reproductive histopathology and 22 male reproductive system development) supporting reproductive toxicity were identified in the 23 animal evidence base. Based on these results there is *indeterminate* animal evidence of male 24 reproductive effects. 25

Overall, the currently available *evidence is inadequate* to assess whether PFHxA might
 cause male reproductive effects in humans under relevant exposure circumstances (see Table 3 31).

Table 3-31.	<b>Evidence profile</b>	table for male	reproductive effects
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	Evidence integration summary judgment					
Evidence from studies o	000					
Studies and confidence	Factors that increase certainty	Factors that decrease certainty	Summary and key findings	Evidence stream judgment	Evidence inadequate Primary Basis:	
Sperm Parameters 1 low confidence study	<ul> <li>No factors noted</li> </ul>	• <i>Low</i> confidence study.	<ul> <li>Association between PFHxA levels in semen and decreased sperm motility</li> </ul>	⊙⊙⊙ Indeterminate	Evidence is <i>low</i> confidence or largely null <i>Human relevance:</i>	
Reproductive Hormones 1 low confidence study	<ul> <li>No factors noted</li> </ul>	• <i>Low</i> confidence study	<ul> <li>Significant inverse association between PFHxA exposure and testosterone despite poor sensitivity</li> </ul>		Without evidence to the contrary, effects in rats are considered relevant to humans	
Evidence from animal st	tudies			1	N/A (human evidence	
Studies and confidence	Factors that increase certainty	Factors that decrease certainty	Summary and key findings	⊙⊙⊙ Indeterminate	⊙⊙⊙ Indeterminate Susa	indeterminate) Susceptible population and
Sperm Parameters 1 high confidence study in adult rats: • 90-d 1 low confidence in adult rats • 28-d	<ul> <li>No factors noted</li> </ul>	<ul> <li>Unexplained inconsistency across studies</li> </ul>	<ul> <li>Decreased sperm count in the cauda epididymis at 1,000 mg/kg-d</li> </ul>	The data are largely null. Some evidence of reproductive effects but interpretation limited by unexplained inconsistency at effects observed only at the	<i>lifestages:</i> No evidence to inform	
Organ Weights 3 high confidence studies in adult rats: • 28-d • 90-d (2 studies)	<ul> <li><i>High</i> confidence studies</li> <li><i>Dose-response</i> with longer exposure duration</li> </ul>	No factors noted	<ul> <li>Increased relative testis weight at ≥500 mg/kg-d; no change in absolute testis weights (preferred metric)</li> </ul>	high dose that elicited high overt toxicity (i.e., 13% decrease in body weight).		

Evidence stream summary and interpretation					Evidence integra summary judgr
Reproductive Hormones 2 high confidence studies in adult rats: • 28-d • 2-yr	• <i>High</i> confidence studies	No factors noted	<ul> <li>Transient decrease of small magnitude in luteinizing hormone and testosterone</li> </ul>		
Histopathology and Male Reproductive System Development 4 high confidence studies in rats and mice: • 28-d (rat) • 90-d (rat) • GD 6–18 (mouse) • 2-yr (rat) 1 low confidence study in adult rats: • 90-d	• <i>High</i> confidence studies	No factors noted	<ul> <li>No treatment related effects reported at ≤1,000 mg/kg-d</li> </ul>		
Mechanistic evidence a	nd supplemental inform	mation			
Biological events of pathways	Biological events of pathways	Biological events of p	athways	Biological events of pathways	
No studies identified	·				

## 3.2.7. Female Reproductive Effects

### 1 Human

2 <u>Reproductive Hormones</u>

3 A single *low* confidence study (see Figure 3-22) evaluated associations between PFHxA and

4 reproductive hormones in a population of Taiwanese adolescents (13–15 years old) (Zhou et al.,

- 5 <u>2016</u>). Overall, the authors reported nonsignificant inverse associations between PFHxA and
- 6 serum testosterone and estradiol in females when the data were stratified by sex. Exposure levels
- 7 to PFHxA were low, which might have reduced study sensitivity, as described above in Section
- 8 3.2.6. Male Reproductive Effects.





## 9 Animal

- 10 Five animal studies evaluated outcomes related to female reproduction in rats and mice
- 11 receiving PFHxA via gavage, PFHxA sodium salt, or PFHxA ammonium salt. Study designs included
- 12 short-term (28-day), subchronic (90-day), and chronic (2-year) one-generation reproductive and
- 13 developmental exposures. In general, the outcome-specific study ratings were *high* confidence.
- 14 One study was rated *low* confidence for histopathology due to concerns about observational bias,
- 15 endpoint sensitivity and specificity, and results presentation (<u>Chengelis et al., 2009b</u>). The results

- 1 of study evaluation for female reproductive outcomes are presented in Table 3-32 and details are
- 2 available by clicking the <u>HAWC link</u>.

Table 3-32.	Study design characteristics	i
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Study	Species, strain (sex)	Exposure design	Exposure route and dose	Fertility and pregnancy	Organ weight	Histopathology	Reproductive hormones	Reproductive system development
<u>NTP (2018)</u>	Rat, Harlan Sprague-Dawley (male and female)	Short term (28 d)	Gavage <sup>a</sup> Male and female: 0, 62.5, 125, 250, 500, 1,000 mg/kg-d	++	++	++	++	NM
<u>Chengelis et</u> <u>al. (2009b)</u>	Rat, Crl:CD(SD) Sprague-Dawley (male and female)	Subchronic (90 d)	Gavage <sup>a</sup> Male and female: 0, 10, 50, 200 mg/kg-d	NM	++	-	NM	NM
<u>Loveless et</u> <u>al. (2009)</u>	Rat, Crl:CD(SD) Sprague-Dawley (male and female)	Subchronic (90 days) One-generation reproductive: P0 females dosed 70 d prior to cohabitation, through gestation and lactation (126 d); P0 males dosed for 110 d Developmental: Gestation Days 6–20	Gavage <sup>b</sup> Male and female: 0, 20, 100, 500 mg/kg-d	++	++	++	NM	++
<u>Klaunig et</u> al. (2015)	Rat, Crl:CD(SD) Sprague-Dawley (male and female)	2-yr cancer bioassay	Gavage <sup>a</sup> Male: 0, 2.5, 15, 100 mg/kg-d Female: 0, 5, 30, 200 mg/kg-d	NM	NM	++	++	NM
<u>Iwai and</u> <u>Hoberman</u> (2014) <sup>c</sup>	Mouse, Crl: CD1(ICR; Charles River Laboratories, Inc.	Gestation Days 6–18	Gavage <sup>d</sup> Phase 1: 0, 100, 350, 500 mg/kg-d Phase 2: 0, 7, 35, 175 mg/kg-d	++	NM	++	NM	++

Study evaluation for animal toxicological endpoints reported from female reproductive studies with rats receiving PFHxA,<sup>a</sup> PFHxA sodium salt,<sup>b</sup> or PFHxA ammonium salt<sup>d</sup> by gavage. Study evaluation details for all outcomes are available by clicking the <u>HAWC link</u>.

<sup>c</sup>Phase 1 was a range-finding study used to determine the appropriate dose range for identification of a NOAEL in Phase 2.

++ Outcome rating of *high* confidence; - outcome rating of *low* confidence; NM, outcome not measured.

### 3 <u>Fertility and Pregnancy Outcomes</u>

4

- Three studies published in two reports evaluated outcomes related to fertility and
- 5 pregnancy following exposure by gavage with PFHxA or PFHxA salts in rats or mice (Iwai and

1 Hoberman, 2014; Loveless et al., 2009). Some effects on maternal body weight change (i.e., gain or 2 loss) were noted. In both the developmental and one-generation reproductive rat studies (Loveless 3 et al., 2009), statistically significant reductions in maternal body weight change were observed 4 during gestation in the high dose group (500 mg/kg-day). In the developmental study (Loveless et 5 al., 2009), there was a statistically significant decrease in total maternal body weight gain (19% 6 relative to control) and when correcting for gravid uterine weight (26% relative to control) from 7 GD 6–21 in the 500 mg/kg-day dose group. In the one-generation reproductive study, similar 8 effects were observed but were limited to early gestation (Loveless et al., 2009). From GD 0–7, 9 body weight gain in dams exposed to 500 mg/kg-day was reduced by 31% relative to controls. 10 There was no treatment-related effect on maternal weight gain over the entire gestational period 11 (GD 0-21) and the high dose (500 mg/kg-day) showed a statistically significant increase in body 12 weight change relative to controls during lactation (PND 0–21) (Loveless et al., 2009). No changes 13 in maternal body weight gain were identified in mice (Iwai and Hoberman, 2014). 14 Only one of the three available studies reported effects on absolute maternal body weight. 15 In the developmental rat study, dams exposed to 500 mg/kg-day (GD 6–20) showed a statistically 16 significant decrease in terminal body weight (7% relative to control) (Loveless et al., 2009). 17 Deficits remained when correcting for gravid uterine weight (5% relative to control), indicating the 18 effects on body weight were driven by maternal body weight rather than reductions in fetal body 19 weight or number of fetuses. However, this level of change may not be biologically significant (U.S. 20 EPA, 1991). There was no effect on absolute maternal body weight in the one-generation 21 reproductive rat or mouse study (Iwai and Hoberman, 2014; Loveless et al., 2009). These results 22 are presented in Figure 3-23. 23 No treatment-related effects on mating, pregnancy incidence, gestation length, number of 24 implantations, or litter size were reported in either study that evaluated these outcomes (Iwai and 25 Hoberman, 2014; Loveless et al., 2009). Estrous cyclicity in rats exposed as adults or during

26 gestation was also unaffected in two studies (<u>NTP, 2018</u>; <u>Loveless et al., 2009</u>).



# Figure 3-23. Effects on body weight in female rats and mice exposed to PFHxA or PFHxA ammonium salt in reproductive studies (HAWC: <u>PFHxA – Animal</u> <u>Toxicity Female Reproductive Supporting Table</u>).

1 <u>Histopathology</u>

2 Four studies evaluated effects on histopathology of reproductive organs (i.e., uterus and

- 3 ovaries) in rodents following exposure to PFHxA (<u>NTP, 2018</u>; <u>Klaunig et al., 2015</u>; <u>Chengelis et al.</u>,
- 4 2009b) or PFHxA sodium salt (Loveless et al., 2009). Only NTP (2018) reported an effect of
- 5 exposure, with females showing a statistically significant increase in the incidence of bilateral
- 6 uterine horn dilation in all but the vehicle controls and highest dose group (see Figure 3-24).
- 7 Whereas the control and high-dose group had 10 animals per group, however, groups showing a
- 8 statistically significant increase had only 1–3 animals per group, complicating interpretation of
- 9 these findings. The total incidence ranges from 1 to 3 animals/treatment group, regardless of
- 10 sample size or PFHxA dose (see Figure 3-24). The biological significance of these results is unclear.
- 11 Uterine horn dilation can indicate an <u>estrogenic effect</u>, but no coherent changes in serum estradiol
- 12 or estrous cyclicity were observed in this study. Similarly, no other treatment-related effects on
- 13 female reproductive histopathology were reported (<u>NTP, 2018; Klaunig et al., 2015; Chengelis et al.</u>
- 14 <u>2009b; Loveless et al., 2009</u>).



# Figure 3-24. Female reproductive effects on uterine horn dilation in rats exposed to PFHxA for 28 days (HAWC: <u>PFHxA – Animal Toxicity Female</u> <u>Reproductive link</u>).

- 1 Organ Weights
- 2 Three studies evaluated effects of PFHxA exposure on uterine and ovarian weights (<u>NTP</u>,
- 3 <u>2018; Chengelis et al., 2009b; Loveless et al., 2009</u>). Authors reported no treatment-related effects
- 4 for these outcomes.
- 5 <u>Reproductive Hormones</u>
- 6 Two studies measured effects of PFHxA or PFHxA ammonium salt on testosterone (<u>NTP</u>,
- 7 <u>2018</u>; <u>Klaunig et al., 2015</u>), estradiol, and luteinizing hormone (<u>Klaunig et al., 2015</u>). No
- 8 treatment-related effects were reported in either study.

### 9 <u>Female Reproductive System Development</u>

- 10 Two studies evaluated the potential for reproductive development effects following
- 11 developmental exposure to PFHxA ammonium or sodium salts. <u>Iwai and Hoberman (2014)</u> and
- 12 <u>Loveless et al. (2009)</u> found no effects on age at vaginal opening, a measure of puberty onset.
- 13 Evidence Integration
- 14 A single *low* confidence human study reported a weak inverse association between PFHxA
- 15 exposure measures and serum levels of reproductive hormone levels in adolescents (<u>Zhou et al.</u>,
- 16 <u>2016</u>). Based on these results, there is *indeterminate* human evidence of female reproductive
- 17 effects.

In animals, evidence supporting effects of PFHxA exposure female reproduction was largely 18 19 limited to effects on maternal weight gain during gestation in rats exposed to 500 mg/kg-day 20 (Loveless et al., 2009). These effects corresponded with a small but statistically significant absolute 21 body weight in the *high* confidence developmental rat study only (Loveless et al., 2009), however 22 the level of the decrease (5-7%) may not be biologically significant. There were no effects on 23 maternal weight or weight gain in the in the mouse study. The reported effects on uterine horn 24 dilation appears to be influenced by differences in sample sizes, as the total incidence of the finding 25 is similar across controls and all dosing groups. Furthermore, this finding is generally associated 26 with estrogenic effects, but no coherent changes were observed that would be indicative of

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- 1 estrogenic changes in females. No treatment-related changes were reported for other female
- 2 reproductive outcomes (<u>NTP, 2018</u>; <u>Klaunig et al., 2015</u>; <u>Iwai and Hoberman, 2014</u>; <u>Chengelis et al.</u>,
- 3 <u>2009b; Loveless et al., 2009</u>). Based on these results, there is *indeterminate* animal evidence of
- 4 female reproductive effects.
- 5 Overall, the currently available *evidence is inadequate* to assess whether PFHxA might
- 6 cause female reproductive effects in humans under relevant exposure circumstances (see
- 7 Table 3-33).

### Table 3-33. Evidence profile table for female reproductive effects

		Evidence stream sum	nmary and interpretation		Evidence integration summary judgment	
Evidence from studie	es of exposed hu	mans			$\odot \odot \odot$	
Studies and confidenceFactors that increase strengthFactors that 		Evidence stream judgment	Evidence inadequate			
Reproductive Hormones 1 low confidence study	• No factors noted	• <i>Low</i> confidence study	<ul> <li>Nonsignificant inverse association between PFHxA exposure and testosterone and estradiol</li> </ul>	⊙⊙⊙ Indeterminate		
Evidence from anima	al studies					
Studies and confidence	Factors that increase strength	Factors that decrease certainty	Summary and key findings	Evidence stream judgment	<i>Primary Basis:</i> Evidence is <i>low</i> confidence or largely null.	
Fertility and Pregnancy Outcomes 3 <u>high</u> confidence studies in rats and mice: • 28-d (rat) • 90-d (rat) • GD 6–18 (mouse)	• <i>High</i> confidence studies	Unexplained     inconsistency     across studies	Decreases in maternal weight gain during gestation in rats exposed to 500 mg/kg-d	⊙⊙⊙ Indeterminate The animal evidence is largely null. Some evidence of female reproductive effects but body weight effects lacked consistency across studies. Histopathology effects were not dose-dependent and lacked	<ul> <li>Human relevance:</li> <li>In the absence of evidence to the contrary, the evidence in rodents is presumed to be relevant to humans based on similarities in the anatomy and physiology of the reproductive systems across these two species.</li> </ul>	
Histopathology 4 <u>high</u> confidence studies in rats and mice: • 28-d (rat)	High     confidence     studies	<ul> <li>Unexplained inconsistency across studies</li> <li>Lack of expected coherence with</li> </ul>	Increase in bilateral uterus dilation reported for all groups except the highest dose	coherent evidence to support the biological significance of the findings	<ul> <li>Cross stream coherence:</li> <li>The strength of the evidence is neither increased nor decreased due to a lack of</li> </ul>	

		Evidence stream sum	mary and interpretation		Evidence integration summary judgment
<ul> <li>90-d (rat)</li> <li>2-yr (rat)</li> <li>GD 6–18 (mouse)</li> <li>1 low confidence study in adult rats:</li> <li>90-d</li> </ul>		other estrogen related outcomes			<ul><li>coherence across evidence streams.</li><li>Susceptible populations:</li><li>None identified</li></ul>
Organ Weights, Reproductive Hormones, Reproductive System Development 6 <u>high</u> confidence studies in rats and mice: • 28-d (rat) • 90-d (rat, 2 studies) • 2-yr (rat) • GD 6–18 (mouse) • GD 6–20 (rat)	• <i>High</i> confidence studies	No factors noted	<ul> <li>No treatment- related effects were reported at ≤1,000 mg/kg-d</li> </ul>		
Mechanistic evidenc	e and supplement	ntal information			
Biological events of pathways	Biological events of pathways	Biological events of p	bathways	Biological events of pathways	
No studies Identifi	ed				

### 3.2.8. Immune Effects

### 1 Human

2 <u>Asthma</u>

One *medium* confidence case-control study in Taiwan was reported in three publications
(Qin et al., 2017; Zhou et al., 2017; Dong et al., 2013). Dong et al. (2013) includes results from all
three studies that examined the potential association between PFHxA exposure and asthma, asthma
symptoms, pulmonary function, and related immune markers (see Figure 3-25). The only finding of
note was a nonmonotonic positive association between incident asthma (i.e., diagnosis in the

- 8 previous year) and PFHxA exposure (odds ratio [95% CI] for Q2: 1.2 [0.7, 2.1], Q3: 0.9 [0.5, 1.6], Q4:
- 9 1.6 [0.9, 2.9]) that was not statistically significant. No clear association was found with asthma
- 10 severity or control of asthma symptoms (<u>Dong et al., 2013</u>), pulmonary function measured with
- spirometry (<u>Qin et al., 2017</u>), or immune markers (<u>Dong et al., 2013</u>) among children with asthma.
- 12 The exposure levels in this study were low and contrast narrow (median [IQR]: 0.2 ng/mL [0.1–0.3
- 13 ng/mL]), which may have reduced study sensitivity.



# Figure 3-25. Study evaluation for human epidemiological studies reporting findings from PFHxA exposures (HAWC: <u>PFHxA – Human Toxicity Immune</u> <u>Effects link</u>).

The evaluation of <u>Dong et al. (2013)</u> encompasses all publications related to this study.

### 1 Animal

Several short-term (28-day), subchronic, and chronic animal studies evaluated toxicological
findings of immune effects in rats receiving oral exposures of PFHxA and PFHxA sodium salt. Most
of the outcome-specific study ratings were considered *high* confidence; however, some specific
concerns were identified that resulted in a *low* confidence rating. Histopathology for <u>Chengelis et</u>
al. (2009b) was rated *low* confidence because of issues related to observational bias, concerns

- 7 about endpoint sensitivity and specificity, and results presentation. The results of the outcome-
- 8 specific study evaluations are presented in Table 3-34 and details are available by clicking the
- 9 <u>HAWC link</u>.

# Table 3-34. Study design characteristics and individual outcome ratings for immune endpoints

Study	Species, strain (sex)	Exposure design	Exposure route and dose	Organ weight	Histopathology	Immune cell counts
<u>NTP (2018)</u>	Rat, Harlan Sprague-Dawley (male and female)	Short term (28 d)	Gavage <sup>a</sup> Male and female: 0, 62.5, 125, 250, 500, 1,000 mg/kg-d	++	++	++
<u>Chengelis et</u> al. (2009b)	Rat, Crl:CD(SD) Sprague-Dawley (male and female)	Subchronic (90 d)	Gavage <sup>a</sup> Male and female: 0, 10, 50, 200 mg/kg-d	++	-	++
<u>Loveless et al.</u> (2009)	Rat, Crl:CD(SD) Sprague-Dawley (male and female)	Subchronic (90 d)	Gavage <sup>b</sup> Male and female: 0, 20, 100, 500 mg/kg-d	++	++	++
<u>Klaunig et al.</u> (2015)	Rat, Crl:CD(SD) Sprague-Dawley (male and female)	2-yr cancer bioassay	Gavage <sup>a</sup> Male: 0, 2.5, 15, 100 mg/kg-d Female: 0, 5, 30, 200 mg/kg-d	NM	++	++

Study evaluation for animal toxicological immune endpoints reported from studies with male and female rats receiving PFHxA<sup>a</sup> or PFHxA sodium salt<sup>b</sup> by gavage. Study evaluation details for all outcomes are available by clicking the <u>HAWC link</u>.

++ Outcome rating of *high* confidence; - outcome rating of *low* confidence; NM, outcome not measured.

- 10 <u>Organ Weights</u>
- 11 Three studies evaluated effects on spleen and thymus weights in response to PFHxA (<u>NTP</u>,
- 12 <u>2018; Chengelis et al., 2009b</u>) or PFHxA sodium salt (<u>Loveless et al., 2009</u>) exposure.
- 13 The available evidence identified, in general, decreased absolute or relative thymus weights.
- 14 Statistically significant decreases in absolute weights were found in males exposed to
- 15 500 mg/kg-day PFHxA sodium salt for 90 days (Loveless et al., 2009), and downward trends in both
- 16 relative and absolute organ weights were reported in males and females receiving PFHxA in the
- 17 short term (<u>NTP, 2018</u>).

- 1 Spleen weights did not show a clear pattern of effect across studies. In the short term study,
- 2 a trend of increased weights in males and females receiving PFHxA (<u>NTP, 2018</u>) was observed,
- 3 whereas spleen weights were decreased in males receiving PFHxA sodium salt in the 90-day study
- 4 by <u>Loveless et al. (2009</u>). <u>Chengelis et al. (2009b)</u> qualitatively reported no treatment-related
- 5 effects on spleen or thymus weights after exposure to ≤200 mg/kg-day PFHxA for 90 days. Results
- 6 are summarized in Figure 3-26.



# Figure 3-26. Immune organ weights in rats exposed by gavage to PFHxA or PFHxA sodium salt (HAWC: <u>PFHxA – Animal Toxicity Immune Effects link</u>).

- 7 <u>Histopathology</u>
- 8 Four studies examined spleen, thymus, lymph nodes, or bone marrow for histopathological 9 changes (NTP, 2018; Klaunig et al., 2015; Chengelis et al., 2009b; Loveless et al., 2009). Some 10 evidence of effects in the spleen from two of these studies was found. NTP (2018) reported an increased incidence of extramedullary hematopoiesis in the spleens of males and females at 11 12 1,000 mg/kg-day after a 28-day exposure. Minimal to mild extramedullary hematopoiesis also was 13 found in the spleens of male rats receiving 500 mg/kg-day PFHxA sodium salt (Loveless et al., 14 2009). This effect was coincident with erythroid hyperplasia of the bone marrow of males and females and might be related to the effects on red blood cells (discussed in "Hemostasis" of 15 16 Section 3.2.4) rather than an immune-specific effect. These changes did not persist after the 30-day 17 recovery and specific incidence data were not reported (Loveless et al., 2009). Spleen 18 histopathological findings were null in the 90-day PFHxA subchronic study that tested doses up to 19 200 mg/kg-day (Chengelis et al., 2009b). All studies reported null results for histopathological 20 examinations of the thymus, lymph node, and bone marrow (<u>NTP, 2018</u>; <u>Klaunig et al., 2015</u>;
- 21 <u>Chengelis et al., 2009b</u>).

### 1 Immune Cell Counts

- 2 Four animal studies had evidence of hematological indicators of immunotoxicity (<u>NTP</u>,
- 3 <u>2018; Klaunig et al., 2015; Chengelis et al., 2009b; Loveless et al., 2009</u>). Of these studies, <u>NTP</u>
- 4 (2018) and Loveless et al. (2009) reported increased neutrophils at doses as low as 20 mg/kg-day
- 5 and decreased basophils in males receiving  $\geq$  250 and 500 mg/kg-day PFHxA or PFHxA sodium salt,
- 6 respectively. No effects were observed on basophils or neutrophils in the other two subchronic and
- 7 rat studies (90 days and 2 years) at exposures to PFHxA as high as 200 mg/kg-day (<u>Klaunig et al.</u>,
- 8 <u>2015</u>; <u>Chengelis et al., 2009b</u>). Eosinophils were decreased only in males exposed to PFHxA sodium
- 9 salt for 90 days (Loveless et al., 2009). No other treatment-related effects were reported for
- 10 specific white blood cell populations or total white blood cell counts following PFHxA or PFHxA
- sodium salt exposures in rats (<u>NTP, 2018</u>; <u>Klaunig et al., 2015</u>; <u>Chengelis et al., 2009b</u>; <u>Loveless et</u>
- 12 <u>al., 2009</u>). Results are summarized in Figure 3-27.

Endpoint	Study	Experiment	Animal Description	Observation Time	In	nmune Effect	s: Immune Cell Cou	ints	
Basophils	NTP, 2018, 4309149	28-Day Oral	Rat, Harlan Sprague-Dawley (♂)	Day 29	🔶 🔶 🔶				
			Rat, Harlan Sprague-Dawley (우)	Day 29		•	•		•
	Loveless, 2009, 2850369	90-Day Oral	Rat, Crl:CD(SD) (♂)	Day 92	•• •		<b>—V</b>		
			Rat, Crl:CD(SD) (우)	Day 93	•• •		-•		
Eosinophils	NTP, 2018, 4309149	28-Day Oral	Rat, Harlan Sprague-Dawley (්)	Day 29	• • •	•	•		•
			Rat, Harlan Sprague-Dawley (우)	Day 29		•	•		•
	Loveless, 2009, 2850369	90-Day Oral	Rat, Crl:CD(SD) (♂)	Day 92	•• •		<b>—V</b>		
			Rat, Crl:CD(SD) (우)	Day 93	•• •		-•		
Lymphocyte	NTP, 2018, 4309149	28-Day Oral	Rat, Harlan Sprague-Dawley (්)	Day 29	• • •	•	•		•
			Rat, Harlan Sprague-Dawley (우)	Day 29		•	•		•
	Loveless, 2009, 2850369	90-Day Oral	Rat, Crl:CD(SD) (♂)	Day 92	•• •		-•		
			Rat, Crl:CD(SD) (♀)	Day 93	•• •		-•		
Lymphocyte, Total	NTP, 2018, 4309149	28-Day Oral	Rat, Harlan Sprague-Dawley (♂)	Day 29		•	•		•
			Rat, Harlan Sprague-Dawley (우)	Day 29		•	•		•
Monocytes	NTP, 2018, 4309149	28-Day Oral	Rat, Harlan Sprague-Dawley (♂)	Day 29		•	•		•
			Rat, Harlan Sprague-Dawley (우)	Day 29		•	•		•
	Loveless, 2009, 2850369	90-Day Oral	Rat, Crl:CD(SD) (♂)	Day 92			-•		
			Rat, Crl:CD(SD) (♀)	Day 93	•• •		+		
Neutrophils	NTP, 2018, 4309149	28-Day Oral	Rat, Harlan Sprague-Dawley (♂)	Day 29	• • •	•	+		•
			Rat, Harlan Sprague-Dawley (♀)	Day 29	♦ ● ●	•	•		
	Loveless, 2009, 2850369	90-Day Oral	Rat, Crl:CD(SD) (♂)	Day 92			<b>_</b>		
			Rat, Crl:CD(SD) (♀)	Day 93	•• •		-•		
White Blood Cell (WBC)	Klaunig, 2015, 2850075	2-Year Cancer Bioassay	Rat, Crl:CD(SD) (ථ)	Week 25	••				
				Week 51	••				
				Week 104	••				
			Rat, Crl:CD(SD) (♀)	Week 25	•• •				
				Week 51	•• •				
				Week 104	•• •				
	NTP, 2018, 4309149	28-Day Oral	Rat, Harlan Sprague-Dawley (♂)	Day 29	• • •	•	+		•
			Rat, Harlan Sprague-Dawley (우)	Day 29	• • •	•	•		•
	Chengelis, 2009, 2850404	90-Day Oral	Rat, Crl:CD(SD) (♂)	Day 90	++-++				
			Rat, Crl:CD(SD) (♀)	Day 90	+• •				
	Loveless, 2009, 2850369	90-Day Oral	Rat, Crl:CD(SD) (්)	Day 92	••		-•		
			Rat, Crl:CD(SD) (♀)	Day 93	•• •		•		
White Blood Cell (WBC), Recovery	Chengelis, 2009, 2850404	90-Day Oral	Rat, Crl:CD(SD) (♂)	Day 118	• •				
			Rat, Crl:CD(SD) (♀)	Day 118	• •				
	No significant c	hang 🛆 Significant increa	ase 🛡 Significant decrease 🔶 S	-100	0 100 20	0 300 400 Dose (i	500 600 700 mg/kg-bw/day)	800 900 1	,000 1,100

Figure 3-27. Immune cell counts in rats exposed by gavage to PFHxA or PFHxA sodium salt (HAWC: <u>PFHxA – Animal Toxicity Immune Effects link</u>).

### 1 Evidence Integration

2 The human evidence was limited to one *medium* confidence study that showed no clear 3 association between PFHxA exposure and immune-related health outcomes, although the authors 4 did observe a nonsignificant trend toward an association with asthma diagnosis in the previous 5 year. Based on these results, there is *indeterminate* human evidence of immune effects. 6 With the exception of changes in thymus weight, the available animal toxicological evidence 7 did not show a clear pattern of effect across studies. Specifically, two studies reported 8 treatment-related changes in thymus and spleen weights in rats, but the direction of effect on 9 spleen weights was not consistent across studies. Extramedullary hematopoiesis was the only 10 histopathological finding of note, but this is interpreted as possibly secondary to the effects on red 11 blood cells rather than an immune-specific effect and is discussed in that context in Section 3.2.4. 12 Increases in neutrophils and decreases in basophils showed a consistent direction of effect across 13 two studies (of the four available). Eosinophils also were decreased, but only in males in a single 14 study. No other treatment-related changes were observed for immune cell counts (i.e., specific cell 15 populations or total white blood cells), and discerning the biological significance of this pattern is 16 difficult in isolation. 17 The evidence supporting the potential immunotoxicity to humans is limited by several 18 factors, including the lack of consistency across studies for several of the affected outcomes. 19 Furthermore, the evaluated outcomes are limited to changes in the structural components of the 20 immune system, which are less predictive indicators of immunotoxicity (<u>IPCS, 2012</u>). Notably, 21 there is evidence indicating that other PFAS, including PFOS and PFOA, may affect immune system 22 function through suppression of antibody response and induction of hypersensitivity (Dewitt et al., 23 2019). Additional studies, particularly those that evaluate changes in immune function would be 24 beneficial for understanding the potential for adverse effects of PFHxA exposure on the immune 25 system. Based on these results, there is *indeterminate* animal evidence of immune effects. 26 Overall, the currently available evidence is *inadequate* to determine whether PFHxA 27 exposure might cause immune system effects in humans under relevant exposure conditions (see 28 Table 3-35).

Table 3-35. Evidence prome table for immune effects
Evidence stream summary and interpretation

### Table 2.25 Evidence profile table for immune offects

Evidence stream summary and interpretation					
Evidence from studies of exposed humans					
Studies and confidence	Factors that increase certainty	Factors that decrease certainty	Summary and key findings	Evidence stream judgment	Inadequate Primary basis: Evidence is low
Asthma 1 medium confidence study	No factors noted	<ul> <li>Imprecision</li> <li>Lack of coherence - no associations with other measures of pulmonary function</li> </ul>	<ul> <li>Nonsignificant association with asthma diagnosis, but other asthma-related outcomes were not affected.</li> </ul>	⊙⊙⊙ Indeterminate	confidence or limited <i>Human relevance:</i> Without evidence to the contrary, effects in
Evidence from anima	al studies				rats are considered
Studies and confidence	Factors that increase certainty	Factors that decrease certainty	Summary and key findings	Evidence stream judgment	relevant to humans Cross-stream
Histopathology 3 <u>high</u> confidence studies in adult rats • 28-d • 90-d • 2-yr 1 low confidence study in rats: • 90-d	<ul> <li>High confidence studies</li> <li>Consistency across studies for extramedullary hematopoiesis</li> </ul>	No factors noted	<ul> <li>Increased splenic extramedullary hematopoiesis was observed male and female rats at 500 mg/kg-d; coincident with minimal erythroid hyperplasia of the bone marrow</li> </ul>	⊙⊙⊙ Indeterminate Some evidence of immune system but limited by unexplained inconsistency, lack of coherence, and potential for non- immune related causes	N/A (human evidence indeterminate) Susceptible populations and lifestages: • No evidence to inform
Immune Cell Counts 4 high confidence studies in rats: • 28-d • 90-d (2 studies) • 2-yr	<ul> <li><i>High</i> confidence studies</li> <li>Consistency–studies for neutrophils and basophils</li> </ul>	• Lack of coherence with other immune markers	<ul> <li>Decreased basophil counts and increased neutrophil cell counts at ≥20 mg/kg-d</li> </ul>	[see Section 3.2.4 for additional discussion]. Available evidence was consisted of observational outcomes that are less	

Evidence stream summary and interpretation						
Organ Weight 3 <u>high</u> confidence studies in rats: • 28-d • 90-d (2 studies)	• <i>High</i> confidence studies	<ul> <li>Unexplained inconsistency across studies for spleen weights</li> </ul>	<ul> <li>Thymus weights decreased at 500 mg/kg-d in short-term and subchronic studies</li> <li>Changes in spleen weight were inconsistent in the direction of effect across studies</li> </ul>	predictive of immune system toxicity.		
Mechanistic evidence	e and supplemental information	on				
Biological events of pathways	Biological events of pathways	Biological events of pathwa	ys	Biological events of pathways		
• No studies Identifi	ed	•			1	

1

### 3.2.9. Nervous System Effects

### 1 Human

2 No studies were identified that evaluated the effects of PFHxA on the nervous system in3 humans.

### 4 Animal

5 Four short-term (28-day), subchronic, and chronic animal studies evaluated the effects of

6 PFHxA or PFHxA sodium salt in rats. Most outcome-specific study ratings were *high* or *medium* 

7 confidence. One study was rated *low* confidence for histopathology due to concerns about

8 observational bias, endpoint sensitivity and specificity, and data presentation (<u>Chengelis et al.</u>,

- 9 <u>2009b</u>). A summary of the studies and the interpretations of confidence in the results for the
- 10 different outcomes based on the individual study evaluations is presented in Table 3-36, and details
- 11 are available by clicking the <u>HAWC link</u>.

# Table 3-36. Nervous system endpoints for PFHxA and associated confidence scores from repeated-dose animal toxicity studies

Author (year)	Species, strain (sex)	Exposure design	Exposure route and dose range	Brain weight	Histopathology	Behavior
<u>NTP (2018)</u>	Rat, Harlan Sprague-Dawley (male and female)	Short term (28 d)	Gavage <sup>a</sup> Male and female: 0, 62.5, 125, 250, 500, 1,000 mg/kg-d	++	++	NM
<u>Chengelis et al.</u> (2009b)	Rat, Crl:CD(SD) Sprague-Dawley (male and female)	Subchronic (90 d)	Gavage <sup>a</sup> Male and female: 0, 10, 50, 200 mg/kg-d	++	-	+
Loveless et al. (2009)	Rat, Crl:CD(SD) Sprague-Dawley (male and female)	Subchronic (90 d)	Gavage <sup>b</sup> Male and female: 0, 20, 100, 500 mg/kg-d	++	++	++
<u>Klaunig et al. (2015)</u>	Rat, Crl:CD(SD) Sprague-Dawley (male and female)	2-yr cancer bioassay	Gavage <sup>a</sup> Male: 0, 2.5, 15, 100 mg/kg-d Female: 0, 5, 30, 200 mg/kg-d	NM	++	++

Study evaluation for animal toxicological nervous system endpoints reported from studies with male and female rats receiving PFHxA<sup>a</sup> or PFHxA sodium salt<sup>b</sup> by gavage. Study evaluation details for all outcomes are available by clicking the <u>HAWC link</u>.

++ Outcome rating of *high* confidence; + outcome rating of *medium* confidence; - outcome rating of *low* confidence; NM, outcome not measured.

### 1 <u>Brain Weight</u>

- 2 Three studies evaluated effects of PFHxA or PFHxA sodium salt on the nervous system in
- 3 animals (<u>NTP, 2018; Chengelis et al., 2009b; Loveless et al., 2009</u>). Two studies reported increases
- 4 in relative but not absolute brain weights after exposure to PFHxA or PFHxA sodium salt for 28 or
- 5 90 days, respectively (<u>Chengelis et al., 2009b; Loveless et al., 2009</u>). These effects were observed at
- 6 the highest dose tested (200 or 500 mg/kg-day) and affected only males in one study (Loveless et
- 7 <u>al., 2009</u>) and only females in the other (<u>Chengelis et al., 2009b</u>). Notably, relative weights are not
- 8 considered appropriate for brain weight measurements because this measure is not typically

9 affected by fluctuations in body weight (<u>U.S. EPA, 1998</u>); therefore, absolute brain weights are

10 preferred.

### 11 Other Nervous System Effects

No treatment-related effects were observed on other nervous system outcomes, including
 behavior (i.e., open field locomotor activity, functional observational battery) and histopathology

14 (<u>NTP, 2018; Klaunig et al., 2015; Chengelis et al., 2009b; Loveless et al., 2009</u>).

### 15 Evidence Integration

- No human studies were identified to inform the potential nervous system effects of PFHxA
  or PFHxA salts, therefore there is *indeterminate* human evidence of nervous system effects.
  In animals, the only available evidence to support an effect of PFHxA or PFHxA salts the
- nervous system stems from increase in relative brain weights, which is not considered a reliable
   measure of neurotoxicity (U.S. EPA, 1998). No treatment-related effects were reported for other
- 21 nervous system outcomes.
- Although the available animal toxicity data are largely null and derived from low risk of bias studies, some uncertainties and data gaps remain. The results are limited to a small number of
- 24 studies in adult animals, and the evidence base is lacking studies that could inform potential for
- 25 nervous system effects when exposure occurs during development. This lifestage is a known
- critical window of sensitivity for nervous system effects (U.S. EPA, 1998) and has been identified as
- 27 a research area of potential concern for other PFAS known to affect thyroid function. No
- 28 mechanistic data were identified to inform this potential health effect. Based on these results, there
- 29 is *indeterminate* animal evidence of nervous system effects.
- Overall, the currently available *evidence is inadequate* to assess whether PFHxA might
   cause nervous system effects in humans under relevant exposure circumstances.

<b>Table 3-37</b>	Evidence p	rofile table	for nervous s	ystem effects
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	Evidence integration summary judgment				
Evidence from studies of e	000				
Studies and confidence	Factors that increase certainty	Factors that decrease certainty	Summary and key findings	Strength of evidence	Inadequate Primary Basis: No evidence in humans and
• No studies identified				⊙⊙⊙ Indeterminate	animal evidence is largely null or lacking biological relevance.
Evidence from animal stud	lies				Human relevance:
Studies and confidence	Factors that increase certainty	Factors that decrease certainty	Summary and key findings	Strength of evidence summary	Without evidence to the contrary, effects in rats are
Brain Weight 3 high confidence studies in adult rats: 28-d 90-d (2 studies) Histopathology 3 <u>high</u> confidence studies in adult rats: 28-d 90-d 2-yr 1 <i>low</i> confidence study in adult rats: 90-d	<ul> <li><i>High</i> confidence studies</li> <li><i>High</i> confidence studies</li> </ul>	<ul> <li>No factors noted</li> <li>No factors noted</li> </ul>	<ul> <li>Increased relative brain weights in animals at ≥200 mg/kg-d; absolute brain weight unaffected</li> <li>No treatment-related effects reported</li> </ul>	⊙⊙⊙ Indeterminate Evidence is largely null. The only evidence of nervous system effects was relative brain weight increases, which is not considered to be appropriate for evaluating nervous system toxicity.	considered relevant to humans Cross stream coherence: N/A (human evidence indeterminate). Susceptible populations and lifestages: No evidence to inform.
Behavior 2 <u>high</u> confidence studies in adult rats: • 90-d • 2-yr	High and medium confidence studies	No factors noted	No treatment-related effects reported		

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	Evidence integration summary judgment				
1 <u>medium</u> confidence study in adult rats: • 90-d					
Mechanistic evidence and	supplemental inform	ation			
Biological events of pathways	Biological events of pathways	Biological events of pa	thways	Biological events of pathways	
No studies Identified					
# **3.3. CARCINOGENICITY**

#### 3.3.1. Cancer

#### 1 Human Studies

2

No human studies or studies of human cells were available.

#### 3 Animal Studies

4 A *high* confidence cancer bioassay conducted in rats evaluated neoplastic and non-

5 neoplastic lesions in the lungs, kidney, stomach, and liver of male rats dosed with 0, 2.5, 15, or 100

6 mg/kg-day and in female rats dosed with 0, 5, 30, or 200 mg/kg-day (<u>Klaunig et al., 2015</u>). Findings

7 for nonneoplastic and neoplastic lesions were reported as null and are summarized in <u>HAWC</u> and in

8 <u>PFHxA Tableau</u>.

## 9 Genotoxicity

10 Genotoxic, mutagenic, and clastogenic effects of PFHxA have been tested in several

11 mammalian and prokaryotic cell systems in vitro (see Table 3-38) (<u>Lau, 2015; Eriksen et al., 2010</u>;

12 <u>Nobels et al., 2010; Loveless et al., 2009</u>). Sodium perfluorohexanoate (NaPFHx) was negative for

13 mutagenicity in *Escherichia coli* strain WP2*uvr*A and *Salmonella typhimurium* strains TA98, TA100,

14 TA1535, and TA1537 in both the presence and absence of exogenous S9 metabolic activation

15 (Loveless et al., 2009). Nobels et al. (2010) examined the ability of PFHxA to induce the expression

of 14 prokaryotic stress response genes after exposure of the *E. coli* K-12 derivative SF<sub>1</sub> to 0.0156–1

17 mM PFHxA. The results of this study demonstrated that PFHxA did not significantly induce the

18 expression of regulatory elements critical for the prokaryotic gene expression response to oxidative

19 stress (KatG, Zwf, Soi28, and Nfo), membrane damage (MicF and OsmY), general cell lesions (UspA

20 and ClpB), heavy metal stress (MerR), and DNA damage (Nfo, RecA, UmuDC, Ada, SfiA, and DinD).

21 In mammalian cells in vitro, PFHxA did not generate reactive oxygen species (ROS) or oxidative

22 deoxyribonucleic acid damage in the human hepatoma cell line, HepG2 (Eriksen et al., 2010).

23 Lastly, NaPFHx failed to induce chromosomal aberrations in human peripheral blood lymphocytes

24 in the presence and absence of exogenous metabolic activation, suggesting a lack of clastogenic

25 activity (<u>Loveless et al., 2009</u>).

## 26 Evidence Integration

One study (<u>Klaunig et al., 2015</u>) evaluated the potential carcinogenicity of oral PFHxA
exposure via histological evaluation of the lung, kidney, stomach, and liver of male rats, and did not

29 observe significant treatment-related effects, and the few studies examining markers of potential

30 genotoxicity were largely null. No studies of potential carcinogenicity in exposed humans or via

- 31 other exposure routes were identified. As discussed above, given the sparse evidence base, and in
- 32 accordance with the *Guidelines for Carcinogen Risk Assessment* (U.S. EPA, 2005) EPA concluded

- 1 there is *inadequate information to assess carcinogenic potential* for PFHxA for any route of
- 2 exposure.

PFHxA genotoxicity							
			Resu	ılts <sup>a</sup>			
Endpoint	Test system	Doses/ Concentrations tested	Without exogenous activation	With exogenous activation	Comments	References	
Genotoxicity							
ROS production	HepG2 (human hepatoma cell line)	0.4, 4, 40, 200, 400, 1,000, 2,000 μΜ	-	NA	Intracellular reactive oxygen species (ROS) production was measured using 2',7'-dichlorofluorescein diacetate. ROS production was measured every 15 min for 3 hr. No clear concentration-response relationship was observed for PFHxA, whereas exposure to $H_2O_2$ (positive control) generated ROS in a concentration dependent manner.	<u>Eriksen et al.</u> (2010)	
DNA damage	HepG2 (human hepatoma cell line)	100, 400 μM	-	NA	Comet assay to detect the formation of DNA strand breaks (including alkali-labile sites) and formamidopyrimidine-DNA- glycosylase sensitive sites after 24-hr exposure. Cytotoxicity was monitored by measuring lactate dehydrogenase (LDH) activity to ensure observed DNA damage was not secondary to cytotoxicity.	<u>Eriksen et al.</u> (2010)	
Cell stress- dependent gene expression	Escherichia coli K-12 derivative SF <sub>1</sub>	0.0156, 0.03125, 0.0625, 0.125, 0.25, 0.5, 1 mM	_	NA	Promoters of 14 prokaryotic DNA-damage responsive genes were fused to <i>lacZ</i> cassettes and expressed in <i>E. coli</i> . Activation of gene expression was measured after 90 min of exposure by $\beta$ -galactosidase reduction capacity and spectrophotometrically at 420 nm. Genes involved in prokaryotic DNA damage and repair ( <i>UmuDc</i> and <i>Ada</i> ) were upregulated at approximately $\geq$ 1.4-fold but did not reach statistical significance at any dose. Study authors did not provide complete data for analysis.	<u>Nobels et al.</u> (2010)	

## Table 3-38. Summary of PFHxA genotoxicity studies

	PFHxA genotoxicity						
			Resi	ults <sup>a</sup>			
Endpoint	Test system	Doses/ Concentrations tested	Without exogenous activation	With exogenous activation	Comments	References	
Mutation (Ames assay)	Salmonella typhimurium strains TA98, TA100, TA1535, and TA1537	333, 667, 1,000, 3,333, 5,000 μg/plate sodium perfluorohexanoate (NaPFHx)	_	_	Assay performed according to OECD Guideline 471. No positive mutagenic responses were observed at any dose level or with any tester strain in the presence or absence of S9 metabolic activation.	<u>Loveless et al.</u> (2009)	
Mutation	<i>E. coli</i> WP2uvrA	333, 667, 1,000, 3,333, 5,000 μg/plate sodium perfluorohexanoate (NaPFHx)	_	_	Assay performed according to OECD Guideline 471. No positive mutagenic responses were observed at any dose level or with any tester strain in the presence or absence of S9 metabolic activation.	<u>Loveless et al.</u> (2009)	
Chromosomal aberration	Human peripheral blood lymphocytes	4h (nonactivated): 2,000, 3,000, 3,860 μg/mL sodium perfluorohexanoate (NaPFHx) 4 hr (activated) and 22 hr (nonactivated): 250, 500, 1,000 μg/mL sodium perfluorohexanoate (NaPFHx)		_	Assay performed according to OECD Guideline 473. Percentage of cells with structural or numerical aberrations was not significantly increased above that of the vehicle control at any concentration. Aroclor-induced rat liver S9 was used for exogenous metabolic activation. Mitomycin C and cyclophosphamide were used as positive controls. Substantial toxicity (defined as a reduction in the mitotic index of >50% in the NaPFHx treated cell culture as compared to vehicle control) was observed in all test conditions.	Loveless et al. (2009)	

 $a_{-}$  = negative; NA = not applicable.

# **4.SUMMARY OF HAZARD IDENTIFICATION CONCLUSIONS**

# **4.1. SUMMARY OF CONCLUSIONS FOR NONCANCER HEALTH EFFECTS**

1 For all noncancer health effects, limited or no human epidemiological evidence was 2 available. Therefore, conclusions were based primarily on animal toxicological studies. The animal 3 evidence base consists of short-term (NTP, 2018), subchronic (Chengelis et al., 2009b; Loveless et 4 al., 2009), and chronic (<u>Klaunig et al., 2015</u>) studies in adult male and female Sprague-Dawley rats 5 with exposure durations spanning 28 days to 2 years and with oral doses of 2.5–1,000 mg/kg-day 6 PFHxA, PFHxA sodium salt, or PFHxA ammonium salt. Two developmental, gestational exposure, 7 studies (Iwai and Hoberman, 2014; Loveless et al., 2009) and a one-generation reproductive study 8 (Loveless et al., 2009) with maternal oral doses between 7–500 mg/kg-day also were available. 9 The outcome-specific ratings for these studies were generally *high* confidence. 10 As described in detail in Section 3, the available evidence indicates that PFHxA exposure is 11 likely to cause hepatic (Section 3.2.1), developmental (Section 3.2.2), and hematopoietic effects 12 (Section 3.2.4) in humans, given relevant exposure circumstances. 13 The evidence for PFHxA-mediated adverse hepatic effects was based primarily on a set of 14 consistent and coherent findings in animal studies, including hepatocellular hypertrophy and 15 increased relative liver weight. Both effects could be adaptive changes to PFHxA exposure; 16 however, these findings were considered adverse on the basis of their consistent effect between 17 sexes and across studies. The effects also persisted during the recovery period and correlate with 18 other endpoints (increased ALT and decreased serum globulins) collectively considered adverse. 19 Available mechanistic evidence suggests increased peroxisomal beta oxidation and the involvement 20 of both PPAR $\alpha$ -dependent and -independent pathways in response to PFHxA exposure. 21 The data from the animal toxicological studies that supported identifying developmental 22 effects as a potential human hazard included effects from three studies that reported consistent, 23 dose-responsive, and substantial effects of PFHxA exposure on offspring body weights and 24 mortality. Delayed eye opening was also reported, but only at doses associated with frank effects in 25 the offspring (i.e., mortality). Effects on offspring body weight were observed in two species (rats 26 and mice) exposed to different PFHxA salts (sodium and ammonium) using different exposure 27 scenarios, although effects on mortality were observed only in the mouse study. 28 The primary support for hematopoietic effects included consistent decreases in red blood 29 cells, hematocrit, and hemoglobin across study designs and exposure durations in male and female 30 adult rats (NTP, 2018; Chengelis et al., 2009b; Loveless et al., 2009). These hematological findings 31 correlate with increases in reticulocytes, an indicator of erythroid cell regeneration supported by

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- 1 pathological findings in the spleen and bone marrow (<u>Loveless et al., 2009</u>). The decreases in
- 2 hemoglobin were consistent with the decreased mean corpuscular hemoglobin concentration
- 3 observed in both sexes (<u>NTP, 2018</u>; <u>Loveless et al., 2009</u>). When combined, increased mean
- 4 corpuscular hemoglobin concentration (MCHC) and mean corpuscular volume (MCV) are indicators
- 5 of anemia. Several of the hematological findings were significant at the highest dose tested in the
- 6 subchronic studies and returned to control levels after 30- or 90-day recovery periods (or both)
- 7 (<u>Chengelis et al., 2009b</u>; <u>Loveless et al., 2009</u>). Findings from females in the chronic study
- 8 (e.g., HGB, RBC, and reticulocytes) were significant at the highest administered dose
- 9 (200 mg/kg-day), whereas no effects were observed in males that received half (100 mg/kg-day)
- 10 the female dose. Together, the subchronic and chronic evidence from males and female rats
- 11 suggest PFHxA-mediated hematopoietic effects are dependent on both dose and duration.
- 12 The current *evidence suggests*, but is not sufficient to infer, that PFHxA exposure might
- 13 cause endocrine effects in humans. This judgment is based on evidence in animals showing
- 14 decreases in thyroid hormone levels in male (but not female) rats exposed for 28 days and
- 15 increased incidence of thyroid epithelial cell hypertrophy in male and female rats in one subchronic
- 16 study (see Section 3.2.5).
- 17 For all other health effects described in Section 3 (i.e., renal, male and female reproductive,
- 18 immune, and nervous system) the *evidence is inadequate* to assess whether PFHxA might cause
- 19 effects in human. The summary level findings from the animal toxicological studies that examined
- 20 exposure to PFHxA can be viewed by clicking the <u>PFHxA Tableau link</u>, selecting the "Study Findings"
- 21 tab, and filtering for the relevant health system.
- 22 The relevant exposure conditions that might lead to these health effects are further23 characterized in Section 5.

# 4.2. CONCLUSIONS REGARDING SUSCEPTIBLE POPULATIONS AND LIFESTAGES

No human studies were available to inform the potential for PFHxA exposure to affectsensitive subpopulations or lifestages.

26 In adult rats exposed to PFHxA for 28 days to 2 years, toxicological findings were either 27 consistently observed at lower dose levels in males than females or the findings were observed only 28 in males. The reason for this sex dependence is possibly due to sex-dependent PFHxA elimination 29 caused by sex-specific differences in the expression (mRNA and protein) of the renal organic anion 30 transporting polypeptide (Oatp) 1a1 (Kudo et al., 2001) as discussed in Section 3.1.4. Currently, 31 whether this sex-specific difference might also exist in humans is unclear. 32 Additionally, given the effects seen in the developing organism (i.e., perinatal mortality, 33 reduced body weights, and delays in time to eye opening), the prenatal and early postnatal window

34 represents a potentially sensitive lifestage for PFHxA exposure.

# 4.3. SUMMARY OF CONCLUSIONS FOR CARCINOGENICITY

1 The evidence is insufficient to make a judgment on whether PFHxA exposure might affect

- 2 the development of any specific cancers. Consistent with EPA guidance (U.S. EPA, 2005) to apply a
- 3 standard descriptor as part of the hazard narrative and to express a conclusion regarding the
- 4 weight of evidence for the carcinogenic hazard potential, a descriptor of *inadequate information*
- 5 *to assess carcinogenic potential* is applied for PFHxA.

# **5.DERIVATION OF TOXICITY VALUES**

# 5.1. HEALTH EFFECT CATEGORIES CONSIDERED (CANCER AND NONCANCER)

1 Multiple noncancer health effects were examined following oral PFHxA exposures in five 2 animal toxicological studies (NTP, 2018; Klaunig et al., 2015; Iwai and Hoberman, 2014; Chengelis 3 et al., 2009b; Loveless et al., 2009). These studies were generally rated high confidence in outcome-4 specific study evaluations. Based on these studies, it was determined that the evidence indicates 5 PFHxA likely causes hepatic, developmental, and hematopoietic effects in humans under relevant 6 exposure circumstances. These health effects were considered for derivation of toxicity values. 7 The dose levels associated with these hazards are further characterized in Section 5.2.1. 8 For endocrine effects, the currently available *evidence suggests, but is not sufficient to* 9 *infer* that PFHxA may cause effects in humans. Although there was some evidence of effects on 10 thyroid system function in rats (i.e., thyroid hormone levels and thyroid epithelial cell hypertrophy) 11 the results lacked consistency and some of the observed changes could be explained by 12 nonendocrine-related effects. Based on the limitations of the current evidence base, endocrine 13 effects were not considered for derivation of toxicity values. For all other health effects (i.e., renal, 14 male and female reproductive, immune, and nervous system), the *evidence is inadequate* to assess 15 potential health effects, thus these were not considered for derivation of toxicity values. 16 No studies of inhalation exposure were identified, thus an RfC was not estimated (see 17 Section 5.2.2). Similarly, the evidence base related to potential carcinogenicity was determined to 18 contain "*inadequate information to assess carcinogenic potential*"; therefore, no cancer toxicity 19 values were estimated for any exposure route (see Section 5.3).

# **5.2. NONCANCER TOXICITY VALUES**

20 A reference dose (RfD) is the daily oral exposure to the human population (including 21 sensitive subpopulations) that is likely without appreciable risk of deleterious effects during a 22 lifetime. In addition to developing an RfD designed to protect against lifetime exposure, a less-than-23 lifetime toxicity value (referred to as a "subchronic RfD") is estimated. These subchronic toxicity 24 values are presented as they might be useful for certain decision purposes (e.g., site-specific risk 25 assessments with less-than-lifetime exposures). Both RfD and subchronic RfD derivations include 26 organ/system-specific RfDs (osRfDs) associated with each health effect considered for point of 27 departure (POD) derivation. Subsequent decisions related to dosimetric extrapolation, application 28 of uncertainty factors, and confidence in toxicity values are discussed below. 29 As noted above, reference concentration (RfC) or subchronic RfC could not be developed.

#### 5.2.1. Oral Reference Dose (RfD) Derivation

#### 1 Study and Endpoint Selection

2 The following general considerations were used to identify studies for estimating points of 3 departure (PODs) for potential use in toxicity value derivation. As described in Sections 2 and 3, 4 the available epidemiological studies of PFHxA exposure are primarily *low* confidence and 5 therefore were not further considered for dose-response analyses of PFHxA exposure. Within the 6 available animal toxicological studies, preference was given to *medium* or *high* confidence 7 subchronic, chronic, or developmental studies testing multiple dose levels, including doses near the 8 lower end of the doses tested across the evidence base. These types of studies increase the 9 confidence in the resultant RfD because they represent data with lower risk of bias and minimize 10 the need for low-dose and exposure duration extrapolation (see Appendix A, Section 11.1). 11 A summary of endpoints and rationales considered for toxicity value derivation is presented

12 in Table 5-1.

Endpoint	Reference/ Confidence	Exposure duration	Strain/ Species	Sexes studied	POD derivation	Rationale			
Hepatic Effects	Hepatic Effects								
Relative liver weight	<u>Chengelis et al.</u> (2009b) High confidence	Subchronic	Crl:CD(S D) rat	Both	No	Hepatic hypertrophy was considered a more specific and			
	Loveless et al. (2009) High confidence	Subchronic	Crl:CD(S D) rat	Both	No	reliable measure than increases in relative liver weight			
Hepatocellula r hypertrophy	Chengelis et al. (2009b) Low confidence	Subchronic	Crl:CD(S D) rat	Female	No	Only male-specific effects were			
	Chengelis et al. (2009b) Low confidence	Subchronic	Crl:CD(S D) rat	Male	Yes	observed in <u>Chengelis</u> et al. (2009b), whereas both sexes were affected in			
	Loveless et al. (2009) High confidence	Subchronic	Crl:CD(S D) rat	Both	Yes	Loveless et al. (2009).			
Hepatocellula r necrosis	<u>Klaunig et al.</u> (2015) High confidence	Chronic	Crl:CD(S D) rat	Female	Νο	Significant effect only at highest dose in females, and largely in animals that died an unscheduled death.			

# Table 5-1. Endpoints considered for dose-response modeling and derivationof points of departure

Endpoint	Reference/ Confidence	Exposure duration	Strain/ Species	Sexes studied	POD derivation	Rationale
Blood proteins (total protein and	<u>Chengelis et al.</u> (2009b) High confidence	Subchronic	Crl:CD(S D) rat	Both	No	Increases in blood proteins are considered a non-
globulin)	Loveless et al. (2009) High confidence	Subchronic	Crl:CD(S D) rat	Both	No	specific indicator of hepatic toxicity and more specific measures are
	<u>Klaunig et al.</u> (2015) High confidence	Chronic	Crl:CD(S D) rat	Both	No	available.
Hematopoietic	Effects					
Hematocrit	<u>Chengelis et al.</u> (2009b) <i>High</i> confidence	Subchronic	Crl:CD(S D) rat	Both	No	More direct measurements of red blood cells and
	Loveless et al. (2009) High confidence	Subchronic	Crl:CD(S D) rat	Both	No	hemoglobin are available.
	<u>Klaunig et al.</u> (2015) High confidence	Chronic	Crl:CD(S D) rat	Both	No	
Hemoglobin	<u>Chengelis et al.</u> (2009b) High confidence	Subchronic	Crl:CD(S D) rat	Both	Yes	Decreases were considered similar in sensitivity to decreases in red blood cell counts and there was no reason to advance one endpoint over the other. Hemoglobin reflects the oxygen carrying capacity of red blood cells. In <u>Klaunig et al. (2015)</u> , the effects were specific to females.
	Loveless et al. (2009) High confidence	Subchronic	Crl:CD(S D) rat	Both	Yes	
	<u>Klaunig et al.</u> (2015) High confidence	Chronic	Crl:CD(S D) rat	Female	Yes	
	<u>Klaunig et al.</u> (2015) High confidence	Chronic	Crl:CD(S D) rat	Male	No	
Red blood cells	<u>Chengelis et al.</u> (2009b) High confidence	Subchronic	Crl:CD(S D) rat	Both	Yes	Finding was more sensitive and specific than other red blood
	Loveless et al. (2009) High confidence	Subchronic	Crl:CD(S D) rat	Both	Yes	cell parameters and there was no reason to advance one endpoint over the
	<u>Klaunig et al.</u> (2015) High confidence	Chronic	Crl:CD(S D) rat	Both	Yes	other.

Endpoint	Reference/ Confidence	Exposure duration	Strain/ Species	Sexes studied	POD derivation	Rationale
Reticulocytes	<u>Chengelis et al.</u> (2009b) High confidence	Subchronic	Crl:CD(S D) rat	Both	No	Increases were considered to reflect a compensatory
	Loveless et al. (2009) High confidence	Subchronic	Crl:CD(S D) rat	Both	No	(secondary) response to decreased red blood cell parameters.
	<u>Klaunig et al.</u> (2015) High confidence	Chronic	Crl:CD(S D) rat	Both	No	
Developmenta	l Effects					
Postnatal (F <sub>1</sub> ) pup body weight	<u>Loveless et al.</u> (2009) <i>High</i> confidence	One- generation repro- ductive; measured on PND 0, 4, 7, 14, 21	Crl:CD(S D) rat	Combine d	Yes, PND 0	Effects on body weight were strongest during the early postnatal period so these timepoints were prioritized.
	<u>Iwai and</u> <u>Hoberman</u> (2014) <i>High</i> confidence	Develop- mental (GD 6–18); measured on PND 0, 7, 14, 21	CD-1 mouse, F <sub>1</sub>	Combine d	Yes, PNDs 0 and 4	
F₁ fetal body weight	<u>Loveless et al.</u> (2009) High confidence	Develop- mental (GD 6–20); measured on GD 21	Crl:CD(S D) rat	Combine d	No	Statistically nonsignificant 9% decrease only at the highest dose.
Perinatal mortality	<u>Iwai and</u> <u>Hoberman</u> (2014) <i>High</i> confidence	Develop- mental (GD 6–18); measured on PND 0– 21, including stillbirths	CD-1 mouse, F <sub>1</sub>	Combine d	Yes (combined data across two cohorts)	Perinatal mortality (still birth and postnatal deaths from PND 0–21) showed a clear dose-response across two experimental cohorts with overlapping dose ranges. Data were pooled for dose- response analysis.
Eye opening	<u>Iwai and</u> <u>Hoberman</u> (2014) High confidence	Develop- mental (GD 6–18); measured	CD-1 mouse, F <sub>1</sub>	Combine d	No	Delays were observed at a dose that elicited body weight deficits and perinatal mortality.

Endpoint	Reference/ Confidence	Exposure duration	Strain/ Species	Sexes studied	POD derivation	Rationale
		on PND 10–17				

#### 1 Estimation or Selection of Points of Departure (PODs) for RfD Derivation

2 The outcomes determined most relevant to the identified noncancer hazards from the 3 animal studies advanced for dose-response (see Table 5-1) were modeled using approaches 4 consistent with EPA's Benchmark Dose (BMD) Technical Guidance document (U.S. EPA, 2012a). 5 Specifically, the BMD and 95% lower confidence limit on the BMD (BMDL) were estimated using a 6 benchmark response (BMR) to represent a minimal, biologically significant level of change. BMD 7 modeling of continuous data was conducted using EPA's Benchmark Dose Software (BMDS, Version 8 3.2). 9 Ideally, the selected BMR is based on data that support the biological relevance of the outcome being evaluated; however, in some cases there is no clear scientific understanding to 10 11 support a biologically based BMR. In these instances, the BMD guidance provides some BMRs that 12 can be applied to the data. For data drawn from toxicological studies, a suggested BMR of 1 13 standard deviation (SD) from the control mean for continuous data or a BMR of 10% extra risk (ER) 14 for dichotomous data can be used to estimate the BMD and BMDL. The selection of these BMRs, as 15 indicated in Table 5-2, is based on BMD guidance stating that in the absence of information 16 regarding the level of change considered biologically significant, these BMRs can be used (U.S. EPA, 17 2012a). For effects on offspring body weights, a BMR of 5% relative deviation (RD) from the 18 control mean is used for continuous data to account for effects occurring in a sensitive lifestage 19 (<u>U.S. EPA, 2012a</u>).

Table 5-2. Benchmark response levels selected for BMD modeling of PFH	xA
health outcomes	

Endpoint	BMR	Rationale						
Hepatic effects	Hepatic effects							
Hepatocellular hypertrophy	10% ER	For hepatic toxicity, 10% ER is considered a minimally biologically significant response level for this endpoint ( <u>U.S. EPA, 2012a</u> ).						
Developmental eff	Developmental effects							
Postnatal (F1) body weight	5% RD	A 5% RD in markers of growth/development in gestational studies (e.g., fetal weight) has generally been considered a minimally biologically significant response level and has been used as the BMR for benchmark dose modeling in prior IRIS assessments (U.S. EPA, 2012b, 2004, 2003).						
Offspring mortality	1% ER	Although 5% ER is generally supported for developmental and reproductive outcomes ( <u>U.S. EPA, 2012a</u> ), a lower BMR of 1% ER was						

Endpoint	BMR	Rationale				
		considered appropriate for modeling offspring mortality in light of the severity of the frank effect.				
Hematopoietic effe	Hematopoietic effects					
Red blood cells	1 SD	No biological information is readily available that allows for determining a minimally biological significant response for these				
Hemoglobin		outcomes. The BMD Technical Guidance ( <u>U.S. EPA, 2012a</u> ) recommends a BMR based on 1 SD in such a situation.				

1 An adequate fit is judged on the basis of  $\chi^2$  goodness-of-fit *p*-value (p > 0.1), magnitude of 2 the scaled residuals in the vicinity of the BMR, and visual inspection of the model fit. In addition to 3 these three criteria for judging adequacy of model fit, a determination is made as to whether the 4 variance across dose groups is homogeneous. If a homogeneous variance model is deemed 5 appropriate on the basis of the statistical test provided by BMDS (i.e., Test 2), the final BMD results 6 are estimated from a homogeneous variance model. If the test for homogeneity of variance is 7 rejected (i.e., Test 2; p < 0.05), the model is run again while modeling the variance as a power 8 function of the mean to account for this nonhomogeneous variance. If this nonhomogeneous 9 variance model does not adequately fit the data (i.e., Test 3; p < 0.05), the data set is considered 10 unsuitable for BMD modeling. Among all models providing adequate fit for a given endpoint, the 11 benchmark dose lower confidence limit (BMDL) from the model with the lowest Akaike's 12 information criterion (AIC) was selected as a potential POD when BMDL values were sufficiently 13 close (within 3-fold). Otherwise, the lowest BMDL was selected as a potential POD for each 14 endpoint. 15 Where modeling was feasible, the estimated BMDLs were used as PODs. Further details, 16 including the modeling output and graphical results for the model selected for each endpoint, can 17 be found in Supplemental Information, Appendix B. The benchmark dose approach involving 18 modeling to obtain the BMDL is preferred, but it involves modeling dose levels corresponding to 19 BMR levels near the low end of the observable range of the data and is not always feasible. When 20 data sets were not amenable to BMD modeling, no-observed-adverse-effect level (NOAEL) or 21 lowest-observed-adverse-effect level (LOAEL) values were selected and used as the POD on the 22 basis of expert judgment, considering the study design features (e.g., severity and rarity of the 23 outcome; biological significance, considering the magnitude of change at the NOAEL or LOAEL; 24 statistical significance and power; exposure and outcome ascertainment methods).

For the study by Iwai and Hoberman (2014), the experiment was conducted in two phases. With the exception of differences in the dose levels, the design and conduct were the same across the two phases. Specifically, in addition to concurrent control groups for each phase, animals were exposed to 100, 350, or 500 mg/kg-day in Phase 1 and 7, 35 or 175 mg/kg-day in Phase 2. When possible, the two phases were combined for modeling to provide a more robust dose range. If the 1 combined data set did not result in adequate model fit, the phases were modeled separately and the

- 2 results for the individual phases were presented.
- 3 Approach for Animal-Human Extrapolation of PFHxA Dosimetry
- 4 The IRIS PFAS protocol (Supplemental Information document, Appendix A) recommends 5 the use of physiologically based pharmacokinetic (PBPK) models as the preferred approach for 6 dosimetry extrapolation from animals to humans, while allowing for the consideration of data-7 informed extrapolations (such as the ratio of serum clearance values) for PFAS that lack a PBPK 8 model. If chemical-specific information is not available, the protocol then describes that doses be 9 scaled allometrically using body weight BW<sup>3/4</sup> methods. This hierarchy of approaches for cross-10 species dosimetry extrapolation is consistent with EPA's guidance on using allometric scaling for 11 the derivation of oral reference doses (U.S. EPA, 2011). It also prioritizes the order of relative 12 uncertainty associated with each approach as follows: 13 • A PBPK model that is well grounded in multiple data sets (including physiological data, in 14 vitro distribution data, and in vivo PK data) has the least uncertainty. 15 • A data-informed extrapolation, based on empirical PK data in the species of interest, has intermediate uncertainty because it is based on direct observation of the internal dose 16 17 (i.e., serum concentration) in experimental animals and humans, typically. BW<sup>3/4</sup> scaling has the greatest uncertainty, relative to the two above approaches, because it 18 • 19 is based on a general assumption about the relative rate of clearance in humans vs. animals and makes use of no chemical-specific data. Further, as described in Section 3.1, a 20 21 comparison of BW<sup>3/4</sup> scaling to the available PK data in rats and humans indicates that use of BW<sup>3/4</sup> would overpredict human clearance, and hence underpredict risk, by 1–2 orders of 22 23 magnitude. Thus, BW<sup>3/4</sup> scaling was not considered appropriate for this assessment. 24 As discussed in Section 3.1.5, no PBPK model is available for PFHxA in rats, mice, or 25 monkeys. Although a PBPK model for humans was described by Fabrega et al. (2015), it was not 26 considered sufficiently reliable for use in an IRIS Toxicological Review. 27 On the other hand, when PK data for PFHxA exist in relevant animal species (rats, mice, and 28 monkeys) or humans, a data-informed extrapolation approach for estimating the dosimetric 29 adjustment factor (DAF) can be used. Various PK analyses can be performed to extract meaningful 30 information from PK data. Because PK data for various PFAS are available, including for PFBA (Chang et al., 2008), PFBS (Olsen et al., 2009), PFHxA (Dzierlenga et al., 2019), PFHxS (Sundström et al., 2019), PFHxS (Sundström et al., 2009), PFHxA (Dzierlenga et al., 2019), PFHxS (Sundström et al., 2009), PFHxA (Dzierlenga et al., 2019), PFHxS (Sundström et al., 2009), PFHxA (Dzierlenga et al., 2019), PFHxS (Sundström et al., 2009), PFHxA (Dzierlenga et al., 2019), PFHxS (Sundström et al., 2009), PFHxA (Dzierlenga et al., 2019), PFHxS (Sundström et al., 2019), PFHxS (Sundström et al., 2019), PFHxA (Dzierlenga et al., 2019), PFHxS (Sundström et al., 2019), PFHxA (Dzierlenga et al., 2019), PFHxS (Sundström et al., 2019), PFHxA (Dzierlenga et al., 2019), PFHxS (Sundström et al., 2019), PFHxA (Dzierlenga et al., 20 31 32 al., 2012), PFNA (Tatum-Gibbs et al., 2011), and PFOA and PFOS (Kim et al., 2016b), that show a 33 clear biphasic elimination pattern indicative of distinct distribution and elimination phases, EPA 34 chose to use a two-compartment PK model, similar to the analysis of (Fujii et al., 2015). The EPA 35 model is characterized by equation 5-1:
- 36  $C(t) = A \cdot exp(-\alpha \cdot t) + B \cdot exp(-\beta \cdot t) flag_{oral} \cdot (A+B) \cdot exp(-k_a \cdot t), \qquad 5-1$

5-2

1 where  $\alpha$  and  $\beta$  are first-order rate constants (units of time<sup>-1</sup>) representing the rate of distribution 2 and elimination, respectively,  $k_a$  is a rate constant (units of time<sup>-1</sup>) for oral absorption, and flag<sub>oral</sub> is 3 set to zero when analyzing intravenous dose data or one for oral data. Details of the model fitting 4 are provided in Appendix B. The model assumes that oral bioavailability is 100%, consistent with 5 PK data from Dzierlenga et al. (2019) and other studies and that internal dosimetry and elimination 6 are linear with dose. This is implicitly a two-compartment PK model represented by the model, for 7 which the rate of elimination corresponds to  $\beta$ . It is presumed that the total concentration from 8 several consecutive doses would be obtained by simply adding the individual concentration curves, 9 given the distinct dose times. 10 This PK model assumes the parameters are independent of time and dose. As discussed in 11 the "Elimination" section, PK studies that measured tissue concentrations after multiple days of 12 exposure are consistent with simple PK models parameterized from one-day exposure and support

13 the assumption that the model parameters are independent of time. Although PK data at lower

doses do not show any trend consistent with dose-dependence, data for the highest dose indicate
that elimination can be reduced [Dzierlenga et al. (2019); the opposite of what is predicted based

16 on the hypothesis of saturable resorption]. A systematic deviation from this assumption has not

17 been observed in the other relevant data (<u>Iwabuchi et al., 2017; Gannon et al., 2011; Chengelis et al.</u>,

18 <u>2009a</u>). Further, because PFHxA is not metabolized, nonlinearity in its internal dose is not

19 expected due to that mechanism. Parameter estimation, however, was performed both including

20 and excluding the highest dose data. Had the resulting estimate of  $\beta$  been significantly different

21 when the high-dose data were included, this would have indicated a dose dependence. The results

of the alternative analyses did not indicate such a difference, however, leading to the conclusion

that PFHxA PK is not dose dependent and that the assumption of nonvarying parameters in the PK

- 24 model equation is appropriate. Further details are provided in Appendix C.
- 25 Given the fit of this model to a specific data set, the AUC from the time of exposure to infinity 26 is:

27

#### $AUC_{inf} = A/\alpha + B/\beta - flag_{oral} (A+B)/k_a$

AUC is the integral of the chemical concentration in blood or serum over time, with units of
mass × time / volume (e.g., mg-hr/L), and is considered an appropriate measure of internal dose
when the chemical has an accumulative effect over time.

By definition, the clearance (CL) of a compound is the effective volume of blood cleared of the compound per unit time (units of volume/time). Mathematically, given the PK model described above, CL = dose/AUC<sub>inf</sub>. If one assumes that risk increases in proportion to AUC, the ratio of clearance in animal to that in the human, CL<sub>A</sub>:CL<sub>H</sub>, can then be used to convert an oral dose-rate in animals (mg/kg-day) to a human equivalent dose (HED) rate. A similar approach using the ratio of the beta-phase half-lives can be used and is outlined in Appendix C, but that approach ignores differences in the absorption rate and alpha-phase distribution rate that impact AUC and is, therefore, considered to produce a more uncertain outcome. Effectively, using the half-life ratio
 assumes that another PK parameter, the volume of distribution, is the same between species (this is
 contrary to available data).

To avoid assuming the volume of distribution is equal between rats and humans, the HED
can be calculated using clearance:

$$6 \qquad \text{HED} = (CL_{H}/CL_{A[s]}) \times \text{POD} \qquad 5-3$$

Given the PK model and definition of clearance above, the resulting HED is the dose that results in
the same AUC in humans as is predicted in animals exposed at the POD, provided that one can
obtain a value of CL<sub>H</sub>.

10 In the term  $CL_{A[s]}$ , the [s] in the subscript refers to the sex-specific value available for animals but not humans in the case of PFHxA. Because there are sex-specific values (significant 11 12 differences between males and females) in clearance among mice and rats, the CL values for female 13 rodents would be used to extrapolate health effects in female rodents and the CL values for male 14 rodents would be used to extrapolate male rodent health effects. This choice simply ensures that 15 an observed effect in male rats, for example, is extrapolated using the expected internal dose for 16 male rats. When endpoints from both male and female animals are analyzed (i.e., separate dose-17 response analyses are conducted for results in males vs. females) resulting in sex-specific PODs, the 18 corresponding male and female human HEDs would be calculated, using (CL<sub>H</sub>/CL<sub>Afs</sub>).

19 The volume of distribution in the beta phase (i.e., after the chemical has distributed into the20 body as a whole) given the two-compartment model above is:

21 
$$V_{d,\beta} = CL/\beta = dose/[\beta \times (A/\alpha + B/\beta - flag_{oral} \times (A+B)/k_a)]$$
 5-4

22 With the exception of the i.v. dose data from <u>Dzierlenga et al. (2019</u>), the  $V_d$  for rats for all other 23 experiments and studies for male and female rats were between 0.9 and 1.7 L/kg and the averages 24 for males and females were virtually indistinguishable: 1.37 and 1.35 L/kg, respectively. For the i.v. 25 dose data from <u>Dzierlenga et al. (2019)</u>,  $V_{d,\beta}$  was 5.2 L/kg in male rats and 18.7 L/kg in female rats. 26 In contrast,  $V_{d,\beta}$  for the i.v. dose data from <u>Chengelis et al. (2009a)</u> was 0.93 L/kg for both male and 27 female rats. Thus, excluding those specific i.v. experiments,  $V_{d,\beta}$  in rats does not appear to be sex 28 specific and an overall average of 1.36 L/kg appears appropriate for that species. 29 For male and female mice, the corresponding  $V_d$  was 0.75 and 0.78 L/kg, respectively, based

30 on data from <u>Gannon et al. (2011)</u>, again not indicating a significant sex difference, although the
 31 value is somewhat lower than in rats.

For male and female monkeys, <u>Chengelis et al. (2009a)</u> reported  $V_d = 0.99 \pm 0.58$  L/kg and 0.47 ± 0.35 L/kg, respectively. Although these indicate a possible sex difference, only three animals of each sex were used and the estimated ranges (0.39–1.5 vs. 0.23–0.87 L/kg) significantly overlap. Hence, some caution in interpreting these data is required. The overall average  $V_d$  for monkeys, 0.73 L/kg, is similar to the value for mice, although also lower than the value in rats.

- 1 Because the volume of distribution ( $V_d$ ) has not been determined in humans, but an estimate for the human half-life  $(t_{1/2})$  is available, three options for estimating a clearance in
- 2
- 3 humans can be considered, although this might be viewed as extreme for the purpose of predicting
- 4 HED values. The observed  $t_{1/2}$  in humans is presumed to represent the beta or clearance phase,
- 5 given the PFHxA study participant evaluation occurred over months after primary exposure to
- 6 PFHxA had ended (Nilsson et al., 2010). Hence it is presumed that  $t_{1/2} = \ln(2)/\beta$ . Rearranging the
- 7 two equations,  $CL = V_{d,\beta} \times \beta = V_{d,\beta} \times \ln(2) / t_{1/2}$ . Three options were considered, as follows:
- 8 1) The  $V_{\rm d}$  for humans is equal to that determined in the next closest species biologically, 9 monkeys. This assumes the biological and biochemical factors that determine the 10 tissue:serum concentration ratio and the relative proportion (fraction of BW) for various tissues is similar in humans and monkeys. This assumption presumes the relative binding 11 12 of PFHxA in human serum relative to various other tissues in the body is like that in 13 monkeys but leads to a conclusion that renal clearance in humans is significantly slower 14 than in other species.
- 15 2) Use the clearance values estimated for mice, rats, and monkeys to estimate the clearance 16 in humans via allometric scaling. The results for mice, rats, and monkeys in Table 5-3 show 17 almost no trend with increasing species BW, but can be fitted with a power function to obtain CL = 0.152·BW<sup>-0.023</sup> (L/kg), assuming standard BW values of 0.03 and 0.25 kg for 18 19 mice and rats, respectively, and the reported BW of monkeys used by Chengelis et al. 20 (2009a). For a standard human BW of 80 kg, the resulting predicted clearance in humans is 0.137 L/hr-kg. If this is the actual clearance in humans, but  $t_{1/2}$  = 275 hr, human 21  $V_{d,\beta}$  = CL ×  $t_{1/2}/\ln(2)$  = 54 L/kg. Note that human participants were exposed to PFHxA for 22 23 months, which could have allowed them to accumulate a deep tissue dose, while the 24 monkey PK study involved only a single i.v. administration. Thus, a much higher  $V_d$  might 25 have been estimated in monkeys had they been subject to repeated doses.
- 26 3) The apparent human half-life estimated by EPA from the data of Nilsson et al. (2013) 27 might be an artifact of significant ongoing exposure to PFHxA during the period of 28 observation. Pérez et al. (2013) detected PFHxA levels in human tissues higher than other 29 PFAS and other observational studies regularly detect PFHxA in human serum 30 demonstrating widespread human exposure to the general population. Thus, there is no 31 reason to believe the subjects of Nilsson et al. (2013) did not also have some level of 32 ongoing exposure; the question is whether such exposure was significant relative to the 33 body burden accumulated from exposure as ski-wax technicians. If the value of CL 34 estimated in (2) (0.137 L/hr-kg) is an accurate prediction for humans and the  $V_d$  is equal to 35 the average estimated for monkeys (0.73 L/kg), the half-life in humans should be 36  $t_{1/2} = \ln(2) \times V_d / CL = \ln(2) \times 0.73 (L/kg) / (0.137 L/hr-kg) = 3.7 hr.$  If this were the case, 37 human serum levels would fall 99% in a single day, while the data of Nilsson et al. (2013) 38 show that such a decline takes at least 2 months and, even after a day or two off work, a 39 technician's serum concentration would be near zero. Further, the serum concentrations 40 reported <u>Nilsson et al. (2013)</u> do decline to near or below the limit of detection by late 41 spring or early summer, indicating that other ongoing sources of exposure were not significant for that population. Thus, this third option seems extremely unlikely and was 42 43 not be evaluated further.

- 1 The two options for human CL estimated in points (1) and (2) above are provided in
- 2 Table 5-3.

Species/Sex	Study design	Elimination half-life (t <sub>1/2</sub> ) (hr)	Clearance (CL) (L/hr-kg)	Volume of distribution (V <sub>d</sub> ) (L/kg)	References/Data sources
Rat, female	Oral and i.v.	2.7 (0.5–11.2)	0.383 (0.259–0.574)ª	1.48 (0.27–4.42)ª	Dzierlenga et al. (2019); Chengelis et al. (2009a); Gannon et al. (2011)
Rat, male	Oral and i.v.	5.4 (1.6–19.5)	0.163 (0.112–0.228)ª	1.31 (0.37–4.4)ª	<u>Dzierlenga et al. (2019);</u> <u>Chengelis et al. (2009a);</u> <u>Iwabuchi et al. (2017);</u> <u>Gannon et al. (2011)</u>
Mouse, female	Oral	7.9 (2.8–23)	0.206 (0.137– 0.308)ª	2.46 (0.82– 6.82)ª	Gannon et al. (2011); Daikin Industries (2010)
Mouse, male	Oral	10.6 (2.3–29)	0.0894 (0.053– 0.153)ª	1.38 (0.31–3.73)ª	<u>Gannon et al. (2011)</u>
Monkey, female	i.v.	2.4	0.136	0.474 ± 0.349 <sup>b</sup>	<u>Chengelis et al. (2009a)</u>
Monkey, male	i.v.	5.3	0.122	0.989 ± 0.579 <sup>b</sup>	<u>Chengelis et al. (2009a)</u>
Human, male and female	Ecological	337	$1.84 \times 10^{-3}$ (c) 0.137 <sup>d</sup>	0.73 <sup>c</sup> 54 <sup>d</sup>	Nilsson et al. (2013)

Table 5-3. Summary of s	serum half-lives and	estimated clearance	for PFHxA
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<sup>a</sup>For each experiment (study/route/dose), a separate distribution of CL = dose/AUC<sub>inf</sub> and  $V_{d\beta}$  = CL/ $\beta$  was generated. Median, 5<sup>th</sup>, and 95<sup>th</sup> percentiles of each distribution were calculated and are available on request. Results across experiments/dose levels were pooled, and the values presented here are statistics for the pooled results, 50<sup>th</sup> (5<sup>th</sup>–95<sup>th</sup>) percentiles for each species/sex.

<sup>b</sup>Reported mean ± SD from 3 male or female monkeys.

<sup>c</sup>CL =  $V_d \times \ln(2)/t_{1/2}$  with  $V_d$  assumed as the average of the estimated values for male and female monkeys and  $t_{1/2}$  estimated as described in Appendix C.2.

<sup>d</sup>Human CL estimated by allometric scaling from values estimated for mice, rats, and monkeys; human  $V_d = CL \times t_{1/2}/\ln(2)$ .

- Thus, two alternative values of the DAF, CL<sub>H</sub>:CL<sub>A[s]</sub>—which is the ratio of clearance values—
- 2 can be obtained (see Table 5-4).

1

Sex	Species	Animal clearance (L/hr-kg) <sup>a</sup>	Human clearance (L/hr-kg)	DAF (CL <sub>H</sub> :CL <sub>A[s]</sub> )
Male	Rat	0.163	1.84 (1.00–3.49) × 10 <sup>-3 (b)</sup>	1.1 × 10 <sup>-2</sup>
	Mouse	0.0894	(mean, 90% CI, using preferred [data-driven]	2.1 × 10 <sup>-2</sup>
Female	Rat	0.383	approach)	$4.8 \times 10^{-3}$
	Mouse	0.206		8.9 × 10 <sup>-3</sup>
Male	Rat	0.163	0.137 <sup>c</sup>	0.84
	Mouse	0.0894	(alternative approach)	1.5
Female	Rat	0.383		0.36
	Mouse	0.206		0.67

Table 5-4. Two options for rat, mouse, and human clearance values and datainformed dosimetric adjustment factor (DAF)

Shaded values were applied to derive the  $\mathsf{POD}_{\mathsf{HED}}.$ 

<sup>a</sup>Species/sex-specific CL values (Appendix C).

<sup>b</sup>Calculated from human  $t_{1/2}$  value, obtained by Bayesian PK analysis and average volume of distribution for male and female monkeys (see Table 5-3).

°Calculated from allometric scaling of CL using results in Table 5-3.

1 To evaluate whether it is more reasonable to expect CL or  $V_d$  to be similar in humans as in 2 experimental animals, values of CL were examined directly in humans for PFHxS, PFNA, and PFOA 3 by <u>Zhang et al. (2013b)</u> and can be compared to those for experimental animals. By comparing 4 human and rat clearance for a set of compounds from the same chemical family, for which data are 5 available in both species, a "read across" can be done to evaluate the most likely case for PFHxA. 6 Note that PFHxS has the same carbon chain length as PFHxA (C<sub>6</sub>) and while PFOA and PFNA have 7 longer chains ( $C_8$  and  $C_9$  respectively) they are still much more chemically similar to PFHxA than 8 any other compounds for which corresponding human data are available. Briefly, Zhang et al. 9 (2013b) measured PFAS concentrations in serum and matched 24-hour urine samples to directly 10 measure urinary clearance. To avoid the complicating issue of losses from menstrual blood, results 11 for men and women over the age of 50 years are evaluated. Median urinary CL values reported by 12 Zhang et al. (2013b) were 0.015, 0.094, and 0.19 mL/kg-day for PFHxS, PFNA, and total PFOA (all 13 isomers), respectively. 14 Kim et al. (2016b) reported renal PFHxS clearance of 0.76 mL/kg-day while Kim et al. 15 (2016b) and Sundström et al. (2012) reported total clearance of 7–9 mL/kg-day. Sundström et al. 16 (2012) also reported total clearance of PFHxS of 3–5 mL/kg-day in male mice and 1.3–1.9 17 mL/kg-day in monkeys. Thus, these results for PFHxS show significantly slower clearance in 18 humans than in mice, rats, and monkeys. 19 Dzierlenga et al. (2019) evaluated the PK of PFOA (as well as PFHxA) in male rats and 20 obtained clearance values of 9–16 mL/kg/d, depending on the dose and route. Thus, PFOA is also 21 cleared much more rapidly in rats than humans.

1	The reported dose/AUC can be used to derive clearance values for PFNA from the results of
2	Tatum-Gibbs et al. (2011). The estimated CL in rats is highly variable across the studies evaluated
3	but ranged from 2 to 66 mL/kg-day in males and from 4 to 106 mL/kg-day in females (Tatum-Gibbs
4	<u>et al., 2011; Benskin et al., 2009; De Silva et al., 2009; Ohmori et al., 2003</u> ). CL in male and female
5	mice reported by <u>Tatum-Gibbs et al. (2011)</u> ranged from 3 to 10 mL/kg-day. Although the wide
6	range for rats indicates a degree of uncertainty, these results indicate that clearance in mice and
7	rats is similar and much larger than the corresponding human value (0.094 mL/kg-day) ( <u>Zhang et</u>
8	<u>al., 2013b</u> ).
9	Thus, three other PFAS, including one with the same carbon-chain length as PFHxS, have
10	been shown to have much lower clearance in humans than rats. Data for PFDA were not discussed
11	here since it is a $C_{10}$ compound, but it also shows a similar rat-human difference in clearance.
12	Hence, a read-across analysis suggests that option (1) above is more likely to be true.
13	The alternative, option (2) above, requires one to accept that the $V_d$ in humans is roughly
14	two orders of magnitude higher than in rats and monkeys, although the biochemical factors that
15	determine serum-tissue partitioning are expected to be conserved across mammalian species, as
16	described in the section above on distribution. Hence, option (2) seems highly unlikely.
17	Therefore, the top set of DAFs in Table 5-4—based on $CL_{human}$ = 1.84 × 10 <sup>-3</sup> L/kg-hr—are
18	the preferred set because they are consistent with data for other PFAS, and the reasonable
19	expectation, based on data from multiple chemicals, is the volume of distribution in humans
20	does not substantially differ from that in experimental animals.

Representative calculations of the HED for considered health effects follow, using the POD 21 22 of 20 mg/kg-day for postnatal ( $F_1$ ) body weight at PND 0 (Loveless et al., 2009) as an example and 23 the female rat DAF of  $4.8 \times 10^{-3}$ , based on clearance:

$$HED = POD \left(\frac{mg}{L}\right)$$

24 
$$HED = POD \left(\frac{mg}{kg \cdot day}\right) \times DAF$$
  
25 
$$HED = 20 \left(\frac{mg}{kg \cdot day}\right) \times 4.8 \times 10^{-3} = 0.096 \left(\frac{mg}{kg \cdot day}\right)$$
  
5-5

26 In general, clearance captures the overall relationship between exposure and internal dose, 27 specifically the average concentration of a substance in serum, while the half-life does not. In 28 particular, use of half-life makes an intrinsic assumption that  $V_d$  is the same in the test species as in 29 humans. There is a significant difference between rats and monkeys, which leads to the expectation 30 of a difference between rats and humans. (see Table 5-3)

31 HED based on clearance incorporates the observed differences in  $V_d$  among mice, rats, and 32 primates, and is therefore, the preferred approach for dosimetry extrapolation from animals to humans.

33 Uncertainty of animal-human extrapolation of PFHxA dosimetry

34 Although the variability between, and even within, some data sets for rats (~4-fold for males 35 and  $\sim$ 6-fold for females between the lowest and highest mean clearance values) is large, the number

1 of studies provides confidence in the estimated average clearance values for both male and female 2 rats, which is reflected by the modest 90% CI for rat CL in Table 5-3. 3 Only one PK study is available for mice, although with two dose levels (Gannon et al., 2011). 4 Further, the data for the 100 mg/kg dose approach a plateau, as if clearance stopped when the 5 concentration was around  $0.5 \,\mu g/g$ , although such a plateau was not observed for the 2 mg/kg data. 6 EPA concluded that the data, which used <sup>14</sup>C labeling, were not correctly adjusted for the 7 background signal or LOD. EPA was able to analyze the two dose levels for male and female mice 8 successfully, however, by focusing on the data above the concentration at which the plateau 9 occurred. Because the data from Gannon et al. (2011) for rats is near the middle of the range for 10 other rat studies and the methods described otherwise are appropriate, it is presumed that this 11 study has good quality results, with the exception of the LOD correction of this dose in mice, is 12 presumed. Therefore, some uncertainty remains with the clearance value obtained for mice from 13 this study. 14 The PK study of <u>Chengelis et al. (2009a)</u> is considered high quality, but the results for 15 monkeys used only three males and three females. 16 Uncertainty in the application of the DAF based on clearance remains, given that neither  $V_{d}$ 17 nor CL were measured or determined in humans. To estimate CL in humans, the human  $V_d$  was 18 assumed equal to the average value estimated in male and female monkeys, which seems less 19 uncertain given the data and analyses described above. The  $V_d$  of male and female mice was 20 assumed the same as in male and female rats, respectively. Because the difference in  $V_d$  between 21 male and female rats was small, using these sex-specific values for mice will give similar results to 22 using an average. 23 One alternative approach to using clearance in mice or rats to estimate the average blood 24 concentrations in those species for each bioassay might be to use the measured serum 25 concentrations from toxicological studies as BMD modeling inputs and then the estimated human 26 clearance value to calculate the HED. Three of the four studies being evaluated, however, did not 27 measure PFHxA serum concentrations (Klaunig et al., 2015; Iwai and Hoberman, 2014; Chengelis et 28 al., 2009b; Loveless et al., 2009). Although Iwai and Hoberman (2014) attempted to measure 29 serum concentrations in mice, all serum measurements were below the LOQ. Therefore, this 30 alternative approach cannot be applied in evaluating these dose-response data. 31 There is uncertainty in the estimated human clearance because the  $V_d$  had to be 32 extrapolated from animals (nonhuman primates) and the limited human PK data from only eight 33 individuals with noncontrolled exposures. As discussed in Section 3.1.2, the distribution of PFHxA 34 between serum and various tissues is determined by biochemical parameters such as the 35 concentrations of various binding proteins and the affinity of PFHxA for those proteins, that are 36 largely conserved across mammalian species. However,  $V_d$  values estimated for animals range 37 between 0.33 L/kg in rats to 1.54 L/kg in one of six monkeys studied. Together with the estimated 38 uncertainty in the human half-life for which the 90% confidence interval ranges 3.5-fold, an overall

5-6

- 1 range of uncertainty in the human clearance of 16-fold (± 4-fold) was estimated (see Section 3.1.4
- 2 Pharmacokinetics-Elimination-Human Studies).
- 3 <u>POD<sub>HED</sub> for RfD derivation</u>
- 4 Table 5-5 presents the estimated POD<sub>HED</sub> (mg/kg-day) values for the hepatic,
- 5 developmental, and hematopoietic toxicity endpoints considered for RfD derivation based on the
- 6 endpoint selection justification in Table 5-1 and preferred DAF values presented in Table 5-4.
- 7 The last column in Table 5-5 includes normalization from the ammonium salt to the free
- 8 acid using a molecular weight conversion [MW free acid/MW ammonium salt = 314/331 = 0.949
- 9 (Iwai and Hoberman, 2014)] and sodium salt to free acid [MW free acid/MW sodium
- salt = 314/336 = 0.935 (Loveless et al., 2009)]. The POD<sub>HED</sub> for postnatal (F<sub>1</sub>) body weights used
- 11 the female HED, as exposures were to the dams and assumed equal clearance in a developing
- 12 offspring as an adult.
- **13** The free acid of PFHxA is calculated using the ratio of molecular weights, as follows:

14 
$$PFHxA (free acid) = \left(\frac{MW free acid}{MW ammonium salt}\right) = \left(\frac{314}{331}\right) = 0.949$$

15 
$$PFHxA (free acid) = \left(\frac{MW free acid}{MW sodium salt}\right) = \left(\frac{314}{336}\right) = 0.935$$

#### Table 5-5. PODs considered for the derivation of the RfD

Endpoint	Study/confidence	Species, strain (sex)	POD type/model	POD (mg/kg-d)	POD <sub>HED</sub> PFHxA <sup>a</sup> (mg/kg-d)
Hepatic effects					
个Hepatocellular hypertrophy	<u>Chengelis et al.</u> (2009b) Low confidence	Rat, Crl:CD(SD) (male)	NOAEL <sup>b</sup> (0% response)	50	0.55
	Loveless et al. (2009) High confidence	Rat, Crl:CD(SD) (male)	BMDL <sub>10ER</sub> Multistage 1 NCV	10.66	0.11 <sup>c</sup>
		Rat, Crl:CD(SD) (female)	BMDL <sub>10ER</sub> Multistage 3 NCV	96.32	0.43 <sup>c</sup>
Hematopoietic effec	cts				
↓Hemoglobin	<u>Klaunig et al. (2015)</u> <i>High</i> confidence	Rat, Crl:CD(SD) (female)	BMDL <sub>1SD</sub> Linear CV	122.77	0.59
	<u>Chengelis et al.</u> (2009b)	Rat, Crl:CD(SD) (male)	BMDL <sub>1SD</sub> Polynomial 3 CV	81.35	0.89
	High confidence	Rat <i>,</i> Crl:CD(SD) (female)	NOAEL <sup>d</sup> (3% decrease)	50	0.24
	Loveless et al. (2009) High confidence	Rat, Crl:CD(SD) (male)	NOAEL <sup>d</sup> (6% decrease)	50	0.51 <sup>c</sup>

Endpoint	Study/confidence	Species, strain (sex)	POD type/model	POD (mg/kg-d)	POD <sub>HED</sub> PFHxA <sup>a</sup> (mg/kg-d)
		Rat, Crl:CD(SD) (female)	BMDL <sub>1SD</sub> Polynomial 3 CV	127.61	0.57°
$\downarrow$ Red blood cell	<u>Klaunig et al. (2015)</u> <i>High</i> confidence	Rat, Crl:CD(SD) (male)	NOAEL <sup>b</sup> (4% decrease)	100	1.21
		Rat, Crl:CD(SD) (female)	BMDL <sub>1SD</sub> Linear CV	109.15	0.52
	<u>Chengelis et al.</u> (2009b)	Rat, Crl:CD(SD) (male)	NOAEL <sup>d</sup> (no change)	50	0.55
	High confidence	Rat, Crl:CD(SD) (female)	BMDL <sub>ISD</sub> Exponential 5 CV	16.32	0.078
	Loveless et al. (2009) High confidence	Rat, Crl:CD(SD) (male)	BMDL <sub>1SD</sub> Linear NCV	44.57	0.46 <sup>c</sup>
		Rat, Crl:CD(SD) (female)	BMDL <sub>1SD</sub> Linear CV	112.36	0.50 <sup>c</sup>
Developmental effe	cts				
↓Postnatal (F1) body weight, PND 0	Loveless et al. (2009) High confidence	Rat, Crl:CD(SD), F <sub>1</sub> (combined)	BMDL <sub>SRD</sub> Hill	10.62	0.048 <sup>c</sup>
$\downarrow$ Postnatal (F <sub>1</sub> ) body weight, PND 0	Iwai and Hoberman (2014) High confidence	Mouse, CD-1, F <sub>1</sub> (combined)	BMDL <sub>SRD</sub> Polynomial 3 CV Phase 2	80.06	0.68 <sup>e</sup>
↓Postnatal (F1) body weight, PND 4			BMDL <sub>SRD</sub> Exponential-M5 Phase 1 and 2	103.12	0.87 <sup>e</sup>
			Polynomial 3 CV Phase 2	89.79	0.76 <sup>e</sup>
↑Perinatal (F <sub>1</sub> ) mortality (PND 0– 21, including stillbirths)	Iwai and Hoberman (2014) High confidence	Mouse, CD-1, F <sub>1</sub> (combined)	BMDL <sub>1E1R0</sub> NLogistic Phase 2	24.77	0.21 <sup>e</sup>

CV = constant variance; NCV = nonconstant variance; SD = standard deviation.

<sup>a</sup>HED calculations based on the DAF, the ratio of human and animal clearance values (see Table 5-4). DAF values for female rats and female mice were used for the respective developmental effects on combined male and female pups of each species. POD<sub>HED</sub> based on PFHxA free acid.

<sup>b</sup>Response only at high dose with responses far above BMR level, data not modeled.

<sup>c</sup>POD<sub>HED</sub> multiplied by normalization factor to convert from sodium salt to free acid (MW free acid/MW sodium salt = 314/336 = 0.935).

<sup>d</sup>No models provided adequate fit; therefore a NOAEL approach was selected.

<sup>e</sup>POD<sub>HED</sub> multiplied by normalization factor to convert from ammonium salt to the free acid (MW free acid/MW ammonium salt = 314/331 = 0.949).

#### 1 Derivation of Candidate Toxicity Values for the RfD

2 The PODs calculated in Table 5-5 were narrowed, within a health effect, for derivation of 3 candidate lifetime toxicity values based on the POD, certainty in the POD, and biological 4 understanding (if any) of the mechanisms of potential PFHxA-mediated toxicity. The selection of 5 the endpoints for which an RfD was determined was based on several factors, including whether 6 the endpoint is protective of a lifetime exposure, whether an endpoint with less uncertainty or 7 greater sensitivity exists, and whether the endpoint is protective of both sexes and all life stages. 8 Based on these considerations, the endpoints in Table 5-5 were narrowed to the following: for 9 hepatic endpoints to hepatocellular hypertrophy from a subchronic study (Loveless et al., 2009), for 10 hematopoietic endpoints to RBCs and HGB from the chronic study (Klaunig et al., 2015), and for 11 developmental endpoints to offspring body weight from (Loveless et al., 2009).

12 For the hepatic endpoint, hepatocellular hypertrophy was moved forward for POD 13 determination. This decision was based on consistent evidence across studies and sexes for 14 increased hepatocellular hypertrophy accompanied by increased relative liver weight, increased 15 serum enzymes, and decreased proteins that when interpreted together indicate hepatic toxicity 16 and altered homeostasis. This alteration in homeostasis is anticipated to lead to adverse toxic 17 responses including necrosis. The lowest effect level for hepatocellular hypertrophy was observed 18 in the subchronic studies in the 100 mg/kg-day male dose group (Loveless et al., 2009). Males were 19 more sensitive for this endpoint than females (the lowest effect level was 100 mg/kg-day in males 20 vs. 500 mg/kg-day in females) although the effect persisted in both sexes 90 days after recovery 21 (500 mg/kg-day). In the chronic study, the 200 mg/kg-day female dose group was sensitive for 22 necrosis (note the highest administered dose in males was 100 mg/kg-day). Considering that 23 hepatocellular hypertrophy likely precedes necrosis and the dose causing necrosis in the chronic 24 study (<u>Klaunig et al., 2015</u>) was two times higher than the 100 mg/kg-day PFHxA dose causing 25 hypertrophy in the subchronic study (Loveless et al., 2009), hypertrophy from male rats in the 26 subchronic study (Loveless et al., 2009) was selected as the appropriate endpoint and advanced for 27 RfD determination. 28

For developmental effects, decreased postnatal  $(F_1)$  body weight was prioritized over 29 offspring mortality. This was based on the severity of the outcome and the lower POD<sub>HED</sub> for fetal 30 body weight, versus mortality, and is expected to be protective of all developmental effects. Of the 31 two body weight data sets, the data from Loveless et al. (2009) were advanced because the study 32 design included a longer exposure that spanned fetal development through continuous maternal 33 exposure, through gestation, and until the end of lactation) versus Iwai and Hoberman (2014) 34 where offspring were exposed only through the study GD 6–18. 35 For hematopoietic effects, endpoints were available from both subchronic studies and the 36 chronic study. Because these endpoints were available from the chronic study, their suitability for 37 RfD determination was based on evaluating evidence for the magnitude of change, the deviation

around the mean within a large cohort (7,000 rats) of laboratory animals (Matsuzawa et al., 1993),

- 1 and the sensitivity of the endpoint to respond to PFHxA exposure. The magnitude of change for
- 2 RBCs (~8% decreased) or HGB (~5% decrease) was similar when comparisons were made
- 3 between chronic and subchronic studies. RBCs and HGB were decreased in both males and females
- 4 dosed with 200 mg/kg-day in the subchronic study (<u>Chengelis et al., 2009b</u>) and in females dosed
- 5 with 200 mg/kg-day in the chronic study (<u>Klaunig et al., 2015</u>). Note that the maximum dose in the
- 6 chronic study (200 mg/kg-day for females) was the lowest effective dose at which most responses
- 7 were observed across all studies. The maximum dose in the chronic study (females, 200 mg/kg-
- 8 day) was 2.5-fold lower than the maximum dose in the available subchronic studies. However,
- 9 PFHxA-dependent decreases in RBC and HGB levels were correlated with other red blood cell
- 10 indices including decreased MCH along with increased MCHC and MCV that, when interpreted
- 11 together, presents coherent evidence for PFHxA induced hematological effects such as anemia.
- 12 Further, increased reticulocyte counts are a possible indicator of compensatory erythroid cell
- 13 regeneration, which is supported by histological findings of splenic extramedullary hematopoiesis
- 14 and bone marrow erythroid hyperplasia, adding further support for this interpretation. The effect
- 15 on red blood cell parameters had a slightly lower POD than HGB, thus the female RBC hematological
- 16 endpoint from the chronic study was prioritized for RfD determination (<u>Klaunig et al., 2015</u>).
- 17 Under EPA's A Review of the Reference Dose and Reference Concentration Processes (U.S. EPA,
- 18 <u>2002c</u>), five possible areas of uncertainty and variability were considered in deriving the candidate
- 19 values for PFHxA. An explanation of these five possible areas of uncertainty and variability and the
- 20 values assigned to each as a designated uncertainty factor (UF) to be applied to the candidate
- 21  $POD_{HED}$  values are listed in Table 5-6.

UF	Value	Justification
UF <sub>A</sub>	3	A UF <sub>A</sub> of 3 is applied to account for uncertainty in characterizing the PK and pharmacodynamic differences between species following oral NaPFHx/NH <sub>4</sub> PFHxA/PFHxA exposure. Some aspects of the cross-species extrapolation of PK processes have been accounted for by calculating an HED through application of a DAF based on animal and human clearance; however, residual uncertainty related to potential pharmacodynamic differences remains. Therefore, a UF <sub>A</sub> of 3 was selected for PFHxA; see text above for further discussion.
UF <sub>H</sub>	10	A UF <sub>H</sub> of 10 is applied for interindividual variability in humans in the absence of quantitative information on potential differences in PK and pharmacodynamics relating to NaPFHx/NH <sub>4</sub> +PFHxA/PFHxA exposure in humans.
UFs	1 (developmental and hematopoietic endpoints)	A UF <sub>s</sub> of 1 is applied to developmental endpoints from the one-generation reproductive study by Loveless et al. (2009) and Iwai and Hoberman (2014). The developmental period is recognized as a susceptible lifestage and studies using exposure designs capturing sensitive developmental windows (i.e., gestation or lactation) are more relevant for induction of developmental effects than lifetime exposures (U.S. EPA, 1991). Although effects on body weights are not unique to development and studies evaluating the body weight effects of postnatal exposure are lacking, the current evidence for PFHxA suggests this is a sensitive lifestage for body weight effects of PFHxA exposure based on effects being measured at lower doses than adults.
	3 (hepatic)	A UF <sub>s</sub> of 3 is applied to hepatocellular hypertrophy for the purpose of deriving a lifetime RfD. Although the endpoint was derived from a 90-d subchronic study ( <u>Loveless et al.,</u> 2009), the evidence supports a pathway where hepatocellular hypertrophy is the toxic effect altering homeostasis. The evidence suggests that hepatocellular hypertrophy is an adverse hepatic response to PFHxA exposure that worsens with longer exposure toxic effects such as necrosis.
UF∟	1	A UF $_{L}$ of 1 is applied for LOAEL-to-NOAEL extrapolation when the POD is a BMDL or a NOAEL.
UF <sub>D</sub>	3	A UF <sub>D</sub> of 3 is applied because the evidence base for hepatic, hematopoietic, and developmental endpoints included two subchronic studies and one chronic study in Sprague-Dawley rats and developmental/reproductive studies in Sprague-Dawley rats and CrI:CD1 mice. Limitations, as described in <u>U.S. EPA (2002c)</u> were used as the basis for a UF <sub>D</sub> = 3. These limitations included a lack of informative human studies for most outcomes, subchronic or chronic toxicity studies in more than one species, or a multigenerational study. For developmental outcomes, pups were indirectly exposed via the dam (i.e., via placental or lactational transfer); thus, the dose received by the pups is unclear and might be significantly less than that administered to the dams.
UFc	See Table 5-7 and Table 5-11	Composite uncertainty factor = $UF_A \times UF_H \times UF_S \times UF_L \times UF_D$ .

<sup>a</sup>UF<sub>A</sub> = interspecies uncertainty factor, UF<sub>H</sub> = interhumans uncertainty factor, UF<sub>s</sub> = extrapolating from subchronic to chronic uncertainty factor, UF<sub>L</sub> = LOAEL-to-NOAEL extrapolation uncertainty factor, UF<sub>D</sub> = database uncertainty factor.

- 1 As described in Section 3.2.1, PFHxA activates several receptors, and multiple pathways 2 lead to hepatocellular hypertrophy and increased liver weight. The pharmacodynamic relationship 3 between these PFHxA receptor-mediated interactions is not clear from the available evidence, but 4 there are pathways with which these receptors are involved. Although some prototypical PPAR $\alpha$ 5 activators exhibit an exaggerated activation (and downstream response) in rodent as compared to 6 human receptors, some evidence from in vitro studies suggests that PFHxA might induce human 7 PPARα at similar (or lower) concentrations to mouse PPARα. Interpretation of these results is 8 limited, however, as the data are derived from two experiments from same group (Wolf et al., 2014; 9 <u>Wolf et al., 2008</u>). Given the suggestion of similar sensitivities in PPAR $\alpha$  activation by PFHxA across 10 species and possible PPAR $\alpha$ -independent contributions to the observed hepatic effects, the 11 possibility that humans might exhibit pharmacodynamic sensitivity for hepatic effects different 12 from rats cannot be ruled out. Thus, based on the residual uncertainty surrounding the 13 interspecies differences in pharmacodynamics described above, a factor of 3 is applied to account 14 for the pharmacodynamic uncertainty of the  $UF_A$  for all potential health effect consequences of 15 PFHxA exposure. 16 The uncertainty factors described in Table 5-6 were applied and the resulting candidate
- 17 values for use in estimating an RfD for lifetime exposure are shown in Table 5-7.

Endpoint/study/ confidence	Species, strain (sex)	POD <sub>HED</sub> PFHxA <sup>a</sup> (mg/kg-d)	UFA	UF <sub>H</sub>	UFs	UFL	UFD	UFc	Candidate value PFHxA (mg/kg-d)	Candidate value PFHxA-Na <sup>b</sup> (mg/kg-d)
<ul> <li>↑Hepatocellular</li> <li>hypertrophy,</li> <li>90 d</li> <li>Loveless et al.</li> <li>(2009)</li> <li>High confidence</li> </ul>	Rat, Crl:CD(SD) (male)	0.11	3	10	3	1	3	300	4 × 10 <sup>-4</sup>	4 × 10 <sup>-4</sup>
↓ Red blood cells, 51 wks <u>Klaunig et al.</u> (2015) <i>High</i> confidence	Rat, Crl:CD(SD) (female)	0.52	3	10	1	1	3	100	5 × 10 <sup>-3</sup>	6 × 10 <sup>-3</sup>
↓ $F_1$ body weight, PND 0 Loveless et al. (2009) High confidence	Rat, Sprague- Dawley, F <sub>1</sub> (combined)	0.048	3	10	1	1	3	100	5 × 10 <sup>-4</sup>	5 × 10 <sup>-4</sup>

#### Table 5-7. Candidate values for PFHxA

<sup>a</sup>HED calculations based on DAF, the ratio of human and animal clearance values (see Table 5-4). DAF values for female rats and female mice were used for the respective developmental effects on combined male and female pups of each species.

<sup>b</sup>To calculate candidate values for salts of PFHxA, multiply the candidate value of interest by the ratio of molecular weights of the free acid and the salt. For example, for the sodium salt of PFHxA, the candidate value would be calculated by multiplying the free acid candidate value by 1.070 (MW free acid/MW sodium salt = 336/314 = 1.070). This same conversion can be applied to other salts of PFHxA, such as the ammonium salt.

#### 1 Selection of Lifetime Toxicity Value(s)

#### 2 <u>Selection of Organ- or System-Specific RfDs</u>

- 3 Organ/system-specific (os)RfDs associated with each health effect are presented in
- 4 Table 5-8 as they could be useful for certain decision purposes (i.e., site-specific risk assessments).
- 5 The rationale for and application of osRfD are described in the Protocol, Appendix A. Confidence in
- 6 each osRfD is described in Table 5-8 and is based on several factors, including confidence in the
- 7 study, the evidence base supporting the hazard, and quantitative estimate for each osRfD.

Confidence categories	Designation	Discussion
Hepatic osRfD = 4 × 10	) <sup>-4</sup> mg/kg-d PF⊦	IxA; 4 × 10 <sup>-4</sup> mg/kg-d PFHxA-Na
Confidence in the study used to derive osRfD	High	Confidence in the study ( <u>Loveless et al., 2009</u> ) is <i>high</i> based on the study evaluation results (i.e., rated high confidence overall) ( <u>HAWC link</u> ). The overall study size, design, and test species were considered relevant for deriving toxicity values.
Confidence in the evidence base for hepatic effects	Medium	Confidence in the oral toxicity evidence base for hepatic effects is <i>medium</i> based on consistent, dose-dependent, and biologically coherent effects on organ weight and histopathology observed in multiple <i>high</i> confidence subchronic and chronic studies. The available mechanistic evidence also supports biological plausibility of the observed effects. Limitations of the evidence base for hepatic effects is the lack of human studies, and measures that would have been useful to inform the pathways for hepatic effects leading to hepatocellular hypertrophy (e.g., specific stains for hepatic vacuole contents, specific histological for pathology).
Confidence in quantification of the POD <sub>HED</sub>	Medium	Confidence in the quantification of the POD and osRfD is <i>medium</i> , given the POD was based on BMD modeling within the range of the observed data and dosimetric adjustment based on PFHxA-specific PK information. Some residual uncertainty in the application of the dosimetric approach described above is that $V_d$ and CL were not measured in humans or mice and were considered equivalent to those for monkeys and rats, respectively.
Overall confidence in the hepatic osRfD	Medium	The overall confidence in the osRfD is <i>medium</i> and is primarily driven by <i>medium</i> confidence in the overall evidence base for hepatic effects, <i>high</i> confidence in the study, and <i>medium</i> confidence in quantitation of the POD. <i>High</i> confidence in the study was not interpreted to warrant changing the overall confidence from <i>medium</i> .

#### Table 5-8. Confidence in the organ/system-specific RfDs for PFHxA

Confidence categories	Designation	Discussion
Hematopoietic osRfD	= 5 × 10 <sup>-3</sup> mg/k	g-d PFHxA; 6 × 10 <sup>-3</sup> mg/kg-d PFHxA-Na
Confidence in study	High	Confidence in the study ( <u>Klaunig et al., 2015</u> ) is <i>high</i> based on the study evaluation results (i.e., rated <i>high</i> confidence overall) ( <u>HAWC link</u> ) and characteristics that make it suitable for deriving toxicity values, including relevance of the exposure paradigm (route, duration, and exposure levels), use of a relevant species, and the study size and design.
Confidence in evidence base for hematopoietic effects	High	Confidence in the evidence base for hematopoietic effects was <i>high</i> based on consistent and biologically coherent effects on red blood cells, hemoglobin, and other hematological parameters measured across multiple <i>high</i> confidence chronic and subchronic studies. The RBC and hemoglobin findings were correlative with an erythrogenic response indicated by increased reticulocytes and pathological findings of splenic extramedullary hematopoiesis and bone marrow erythroid hyperplasia. Limitations of the hematopoietic evidence base are lack of human studies and some hematological measures were observed only at the highest dose, limiting interpretation of dose-response.
Confidence in the quantification of the POD <sub>HED</sub>	Medium	Confidence in the quantification of the POD and osRfD is <i>medium</i> given the POD was based on BMD modeling within the range of the observed data and dosimetric adjustment based on PFHxA-specific PK information. Some residual uncertainty in the application of the dosimetric approach described above is that $V_d$ and CL were not measured in humans or mice and were considered equivalent to those in monkeys and rats, respectively.
Confidence in hematopoietic osRfD	High	The overall confidence in the osRfD is <i>high</i> and is primarily driven by <i>high</i> confidence in the overall evidence base for hematopoietic effects, <i>high</i> confidence in the study, and <i>medium</i> confidence in quantitation of the POD.
Developmental osRfD	= 5 × 10 <sup>-4</sup> mg/l	cg-d PFHxA; 5 × 10 <sup>-4</sup> mg/kg-d PFHxA-Na
Confidence in study	High	Confidence in the study (Loveless et al., 2009) is <i>high</i> based on study evaluation results (i.e., rated <i>high</i> confidence overall) (HAWC link) and characteristics that make it suitable for deriving toxicity values, including relevance of the exposure paradigm (route, duration, and exposure levels), use of a relevant species, and the study size and design.
Confidence in evidence base for developmental effects	Medium	Confidence in evidence base for developmental effects is <i>medium</i> based on the availability of data from two studies in different species (i.e., rats and mice) that consistently observed decreases in offspring body weight and coherent increases in perinatal mortality. Areas of uncertainty included lack of human data and multigenerational animal toxicity studies. Also, data to inform other organ/system-specific hazards (e.g., thyroid, immune, nervous system) following a developmental exposure are lacking. Additionally, the actual dose received by the offspring is unclear because the pups were indirectly exposed via the dams. Together these present significant data gaps in the potential effects during this sensitive life stage.

Confidence categories	Designation	Discussion
Confidence in the quantification of the POD <sub>HED</sub>	Medium	Confidence in the quantification of the POD and osRfD is <i>medium</i> given the POD was based on BMD modeling within the range of the observed data and dosimetric adjustment based on PFHxA-specific PK information. Some residual uncertainty in the application of the dosimetric approach described above is that $V_d$ and CL were not measured in humans or mice and were considered equivalent to those in monkeys and rats, respectively.
Confidence in developmental osRfD	Medium	The overall confidence in the osRfD is <i>medium</i> and is primarily driven by <i>medium</i> confidence in the overall evidence base for developmental effects, <i>high</i> confidence in the study, and <i>medium</i> confidence in quantitation of the POD. <i>High</i> confidence in the study was not interpreted to warrant changing the overall confidence from <i>medium</i> .

1 <u>Selection of RfD and Confidence Statement</u>

2 Organ/system-specific RfD values for PFHxA selected in the previous section are

**3** summarized in Table 5 9.

## Table 5-9. Organ/system-specific RfD (osRfD) values for PFHxA

System	Basis	POD <sub>HED</sub>	UFc	osRfD for PFHxA (mg/kg-d)	osRfD for PFHxA-Na <sup>a</sup> (mg/kg-d)	Confidence
Hepatic	Increased hepatocellular hypertrophy in adult male CrI:CD Sprague-Dawley rats	0.11 mg/kg-d based on BMDL <sub>10ER</sub> and free salt normalization ( <u>Loveless et al.,</u> <u>2009</u> )	300	4 × 10 <sup>-4</sup>	4 × 10 <sup>-4</sup>	Medium
Hematopoietic	Decreased red blood cells in adult female Crl:CD Sprague-Dawley rats	0.52 mg/kg-d based on BMDL <sub>1SD</sub> ( <u>Klaunig</u> <u>et al., 2015</u> )	100	5 × 10 <sup>-3</sup>	6 × 10 <sup>-3</sup>	High
Developmental	Decreased postnatal (PND 0) body weight in F <sub>1</sub> Sprague-Dawley male and female rats, exposed throughout lactation and gestation	0.048 mg/kg-d based on BMDL <sub>SRD</sub> and free salt normalization ( <u>Loveless et al.,</u> 2009)	100	5 × 10 <sup>-4</sup>	5 × 10 <sup>-4</sup>	Medium

<sup>a</sup>To calculate candidate values for salts of PFHxA, multiply the candidate value of interest by the ratio of molecular weights of the free acid and the salt. For example, for the sodium salt of PFHxA, the candidate value would be calculated by multiplying the free acid candidate value by 1.070 (MW free acid/MW sodium salt = 336/314 = 1.070). This same conversion can be applied to other salts of PFHxA, such as the ammonium salt.

- 1 From the identified human health effects of PFHxA and derived osRfDs for hepatic,
- 2 hematopoietic, and developmental effects (see Table 5-9), an *RfD of 5 × 10<sup>-4</sup> mg/kg-day PFHxA*
- 3 *based on decreased postnatal (F<sub>1</sub>) body weight* in rats was selected. As described in Table 5-8,
- 4 confidence in the RfD is medium, based on medium confidence in the developmental RfD. The
- 5 decision to select the developmental RfD was based on all available osRfDs in addition to overall
- 6 confidence and composite uncertainty for those osRfDs. The confidence in the selected RfD is
- 7 equivalent to that of the hepatic RfDs but lower than the hematopoietic RfD. The developmental
- 8 endpoint decreased F<sub>1</sub> body weight at PND 0 having the lowest overall POD<sub>HED</sub> of 0.048 mg/kg-d
  9 PFHxA based on BMDL<sub>5RD</sub> and free salt normalization (Loveless et al., 2009) and UF<sub>C</sub> of 100 was
- 10 considered protective across all lifestages. The hepatic RfD was slightly lower but was based on a
- to considered protective across an mestages. The nepatic KiD was slightly lower but was based on a
- 11 higher  $POD_{HED}$  (0.11 mg/kg-day PFHxA) and  $UF_C$  (300). The developmental RfD, therefore, is based
- 12 on the lowest  $POD_{HED}$  and lowest  $UF_C$  using a study considered high confidence.

#### 13 Estimation or Selection of Points of Departure (PODs) for Subchronic RfD Derivation

- 14 In addition to providing an RfD for lifetime exposure in health systems, this document also
- 15 provides an RfD for less-than-lifetime ("subchronic") exposures. These subchronic RfDs were
- based on the endpoints advanced for POD derivation provided in Table 5-1. Data to inform
- 17 potential hepatic and hematopoietic effects from the *high* confidence subchronic studies by
- 18 (<u>Chengelis et al., 2009b</u>; <u>Loveless et al., 2009</u>) were considered the most informative for developing
- 19 candidate values. The *high* confidence developmental/reproductive studies (<u>Iwai and Hoberman</u>,
- 20 <u>2014</u>; <u>Loveless et al., 2009</u>) were also advanced for candidate value derivation. The *high* confidence
- short-term study (<u>NTP, 2018</u>) was not advanced based on the same rationale as described above
- 22 for the lifetime RfD. In general, the rationales for advancing these endpoints for subchronic value
- derivation are the same as described and summarized above in Table 5-1; however, for
- hematopoietic effects, subchronic data from <u>Chengelis et al. (2009b)</u> and <u>Loveless et al. (2009</u>)
- were prioritized over the data from the chronic study by <u>Klaunig et al. (2015)</u> for use in deriving a
- 26 subchronic RfD.
- 27 The endpoints selected for dose-response were modeled using approaches consistent with
- 28 EPA's *Benchmark Dose Technical Guidance* document (U.S. EPA, 2012a). The approach was the
- 29 same as described above for derivation of lifetime toxicity values, the BMRs selected for dose-
- 30 response modeling and the rationales for their selection (see Table 5-2), and the dosimetric
- 31 adjustments using the ratio of the clearance in animal to that in the human and salt to free acid
- 32 normalization. Table 5-10 presents the estimated POD<sub>HED</sub> (mg/kg-day) values for the hepatic,
- 33 developmental, and hematopoietic toxicity endpoints considered for subchronic RfD derivation.

Endpoint	Study/confidence	Species, strain (sex)	POD type/model	POD (mg/kg-d)	POD <sub>HED</sub> PFHxA <sup>a</sup> (mg/kg-d)
Hepatic effects		•			
↑Hepatocellular hypertrophy	<u>Chengelis et al. (2009b)</u> <i>Low</i> confidence	Rat, Crl:CD(SD) (male)	NOAEL <sup>♭</sup> (0% response)	50	0.55
	Loveless et al. (2009) High confidence	Rat, Crl:CD(SD) (male)	BMDL <sub>10ER</sub> Multistage 1 NCV	10.66	0.11 <sup>c</sup>
		Rat, Crl:CD(SD) (female)	BMDL <sub>10ER</sub> Multistage 3 NCV	96.32	0.43 <sup>c</sup>
Hematopoietic ef	fects				
↓ Hemoglobin	<u>Chengelis et al. (2009b)</u> <i>High</i> confidence	Rat, Crl:CD(SD) (male)	BMDL <sub>1SD</sub> Polynomial 3 CV	81.35	0.89
		Rat, Crl:CD(SD) (female)	NOAEL <sup>d</sup> (3% decrease)	50	0.24
	<u>Loveless et al. (2009)</u> <i>High</i> confidence	Rat, Crl:CD(SD) (male)	NOAEL <sup>d</sup> (6% decrease)	50	0.51 <sup>c</sup>
		Rat, Crl:CD(SD) (female)	BMDL <sub>1SD</sub> Polynomial 3 CV	127.61	0.57°
√Red blood cell	<u>Chengelis et al. (2009b)</u> <i>High</i> confidence	Rat <i>,</i> Crl:CD(SD) (male)	NOAEL <sup>d</sup> (no change)	50	0.55
		Rat <i>,</i> Crl:CD(SD) (female)	BMDL <sub>1SD</sub> Exponential 5 CV	16.32	0.078
	<u>Loveless et al. (2009)</u> High confidence	Rat, Crl:CD(SD) (male)	BMDL <sub>1SD</sub> Linear NCV	44.57	0.46 <sup>c</sup>
		Rat, Crl:CD(SD) (female)	BMDL <sub>1SD</sub> Linear CV	112.36	0.50°
Developmental E	ffects				
↓Postnatal (F <sub>1</sub> ) body weight, PND 0	Loveless et al. (2009) High confidence	Rat, Crl:CD(SD), F <sub>1</sub> (combined)	BMDL <sub>SRD</sub> Hill	10.62	0.048 <sup>c</sup>

Table 5-10.	PODs considered for the o	derivation of the	subchronic RfD
14010 0 10			

Endpoint	Study/confidence	Species, strain (sex)	POD type/model	POD (mg/kg-d)	POD <sub>HED</sub> PFHxA <sup>a</sup> (mg/kg-d)
↓Postnatal (F1) body weight, PND 0	<u>Iwai and Hoberman</u> (2014) <i>High</i> confidence	Mouse, CD-1, F <sub>1</sub> (combined)	BMDL <sub>5RD</sub> Polynomial 3 CV Phase 2	80.06	0.68 <sup>e</sup>
↓Postnatal (F1) body weight, PND 4			BMDL <sub>SRD</sub> Exponential-M5 Phase 1 and 2 Polynomial 3 CV Phase 2	103.12 89.79	0.87 <sup>e</sup> 0.76 <sup>e</sup>
个Perinatal Mortality	<u>Iwai and Hoberman</u> (2014) High confidence	Mouse, CD-1, F <sub>1</sub> (combined)	BMDL <sub>1ER</sub> Nested Logistic Phase 2	24.77	0.21 <sup>e</sup>

1SD = 1 standard deviation, CV = constant variance, NCV = nonconstant variance.

<sup>a</sup>HED calculations based on the DAF, the ratio of human and animal clearance values (see Table 5-3). DAF values for female rats and female mice were used for the respective developmental effects on combined male and female pups of each species. POD<sub>HED</sub> based on PFHxA free acid.

<sup>b</sup>Response only at high dose with responses far above BMR level, data not modeled.

<sup>c</sup>POD<sub>HED</sub> multiplied by normalization factor to convert from sodium salt to free acid (MW free acid/MW sodium salt = 314/336 = 0.935).

<sup>d</sup>No models provided adequate fit therefore a NOAEL approach was selected.

<sup>e</sup>POD<sub>HED</sub> multiplied by normalization factor to convert from sodium salt to free acid (MW free acid/MW ammonium salt = 314/331 = 0.949).

#### 1 Derivation of Candidate Toxicity Values for the Subchronic RfD

2 The POD<sub>HED</sub> values listed in Table 5-10 were further narrowed for subchronic osRfD 3 derivation and subchronic RfD selection. RBCs were a more sensitive POD<sub>HED</sub> for hematopoietic 4 effects. Therefore, the red blood cell endpoint from female rats from <u>Chengelis et al. (2009b</u>)was 5 advanced for subchronic RfD derivation over male endpoints for hematocrit and red blood cells 6 based on RBC being more sensitive and therefore expected to be protective of effects in both sexes. 7 Applying the rationales described for the selection of the lifetime osRfDs, the same endpoints were 8 advanced for derivation of the hepatic and developmental subchronic osRfDs: male hepatocellular 9 hypertrophy and decreased  $F_1$  body weight at PND 0 (Loveless et al., 2009). 10 As described above under "Derivation of Candidate Values for the RfD," and in U.S. EPA 11 (2002c), five possible areas of uncertainty and variability were considered in deriving the 12 candidate subchronic values for PFHxA. In general, the explanations for these five possible areas of 13 uncertainty and variability and the values assigned to each as a designated UF to be applied to the 14 candidate POD<sub>HED</sub> values are listed above and in Table 5-6, including the UF<sub>D</sub> which remained at 3 15 due to data gaps (i.e., for most outcomes, a lack of: informative human studies, animal studies from 16 multiple species or spanning multiple generations, studies of other organ/system-specific effects 17 associated with other PFAS, including PFOA and PFOS, particularly following developmental 18 exposure). The exception that a  $UF_S = 1$  was applied for all endpoints since no subchronic to

- 1 chronic extrapolation was required for the subchronic RfD. The resulting candidate values are
- 2 shown in Table 5-11.

Table 5-11. Candidate values for deriving the subchronic RfD for PFHxA

Endpoint/Study/Confidence	Species, strain (sex)	POD <sub>HED</sub> PFHxA <sup>a</sup> (mg/kg-d)	UF₄	UF <sub>H</sub>	UFs	UF∟	UF₀	UFc	Candidate value PFHxA (mg/kg-d)	Candidate value PFHxA-Na <sup>c</sup> (mg/kg-d)
个Hepatocellular hypertrophy, 90 d <u>Loveless et al. (2009)</u> <i>High</i> confidence	Rat, Crl:CD(SD) (male)	0.11 <sup>b</sup>	3	10	1	1	3	100	1 × 10 <sup>-3</sup>	1 × 10 <sup>-3</sup>
↓Red blood cell, 90 d <u>Chengelis et al. (2009b)</u> High confidence	Rat, Crl:CD(SD) (female)	0.078	3	10	1	1	3	100	8 × 10 <sup>-4</sup>	8 × 10 <sup>-4</sup>
↓Postnatal (F <sub>1</sub> ) body weight, PND 0 <u>Loveless et al. (2009)</u> High confidence	Rat, Sprague-Dawley, F <sub>1</sub> (combined)	0.048 <sup>b</sup>	3	10	1	1	3	100	5 × 10 <sup>-4</sup>	5 × 10 <sup>-4</sup>

<sup>a</sup>The RfD for the free acid of PFHxA is calculated using the ratio of molecular weights as described above. <sup>b</sup>POD<sub>HED</sub> multiplied by normalization from the sodium salt to free acid (MW free acid/MW sodium salt = 314/336 = 0.935).

<sup>c</sup>To calculate subchronic candidate values, osRfDs or the subchronic RfD for salts of PFHxA, multiply the value of interest by the ratio of molecular weights of the salt and free acid. For example, for the sodium salt of PFHxA, the candidate value is calculated by multiplying the free acid candidate value by 1.070: (MW free acid/MW sodium salt = 336/317 = 1.070)

3

### 1 <u>Selection of Subchronic Organ- or System-Specific RfDs</u>

- 2 As described above, subchronic osRfDs associated with each health effect are presented as
- 3 they may be useful for certain decision purposes (i.e., site-specific risk assessments with less-than-
- 4 lifetime exposures). Confidence in each osRfD are described in Table 5-12 and consider confidence
- 5 in the study used to derive the quantitative estimate, the overall health effect, specific evidence
- 6 base, and quantitative estimate for each osRfD.

Confidence categories	Designation <sup>a</sup>	Discussion			
Hepatic subchronic osRfD = 1 × 10 <sup>-3</sup> mg/kg-d PFHxA; 1 × 10 <sup>-3</sup> mg/kg-d PFHxA-Na					
Confidence in the study used to derive the subchronic osRfD	High	Confidence in the study ( <u>Loveless et al., 2009</u> ) is <i>high</i> based on the study evaluation results (i.e., rated high confidence overall) <u>HAWC</u> <u>link</u> ) and characteristics that make it suitable for deriving toxicity values, including relevance of the exposure paradigm (route, duration, and exposure levels), use of a relevant species, and the study size and design.			
Confidence in the evidence base for hepatic effects	Medium	Confidence in the oral toxicity evidence base for hepatic effects is <i>medium</i> based on consistent, dose-dependent, and biologically coherent effects on organ weight and histopathology observed in multiple <i>high</i> confidence subchronic and chronic studies. The available mechanistic evidence also supports biological plausibility of the observed effects. Limitations of the evidence base for hepatic effects is the lack of human studies, and measures that would have been useful to inform the pathways for hepatic effects leading to hepatocellular hypertrophy (e.g., specific stains for hepatic vacuole contents, specific histological for pathology).			
Confidence in quantification of the POD <sub>HED</sub>	Medium	Confidence in the quantification of the POD and subchronic osRfD is <i>medium</i> given the POD was based on BMD modeling within the range of the observed data and dosimetric adjustment based on PFHxA-specific PK information. Some residual uncertainty in the application of the dosimetric approach described above is that $V_d$ and CL were not measured in humans or mice and were considered equivalent to those in monkeys and rats, respectively.			
Overall confidence in the hepatic subchronic osRfD	Medium	The overall confidence in the subchronic osRfD is <i>medium</i> and is primarily driven by <i>medium</i> confidence in the overall evidence base for hepatic effects, <i>high</i> confidence in the study, and <i>medium</i> confidence in quantitation of the POD. <i>High</i> confidence in the study was not interpreted to warrant changing the overall confidence from medium.			
Hematopoietic subchronic osRfD = 8 × 10 <sup>-4</sup> mg/kg-d PFHxA; 8 × 10 <sup>-4</sup> mg/kg-d PFHxA-Na					
Confidence in study used to derive the subchronic osRfD	High	Confidence in the study ( <u>Chengelis et al., 2009b</u> ) is <i>high</i> based on the study evaluation results (i.e., rated high confidence overall) ( <u>HAWC</u> link) and characteristics that make it suitable for deriving toxicity			

Table 5-12. Confidence in the subchronic organ/system-specific RfDs for PFHxA
Confidence categories	Designation <sup>a</sup>	Discussion
		values, including relevance of the exposure paradigm (route, duration, and exposure levels), use of a relevant species, and the study size and design.
Confidence in evidence base for hematopoietic effects	High	Confidence in the evidence base for hematopoietic effects was <i>high</i> based on consistent and biologically coherent effects on red blood cells, hemoglobin, and other hematological parameters measured across multiple <i>high</i> confidence chronic and subchronic studies. The RBC and hemoglobin findings were correlative with an erythrogenic response indicated by increased reticulocytes and pathological findings of splenic extramedullary hematopoiesis and bone marrow erythroid hyperplasia. Limitations of the hematopoietic evidence base are lack of human studies and some hematological measures were observed only at the highest dose, limiting interpretation of dose-response.
Confidence in quantification of the POD <sub>HED</sub>	Medium	Confidence in the quantification of the POD and subchronic osRfD is <i>medium</i> given the POD was based on BMD modeling within the range of the observed data and dosimetric adjustment based on PFHxA-specific PK information. Some residual uncertainty in the application of the dosimetric approach described above is that $V_d$ and CL were not measured in humans or mice and were considered equivalent to those in monkeys and rats, respectively.
Confidence in hematopoietic subchronic osRfD	High	The overall confidence in the subchronic osRfD is <i>high</i> and is primarily driven by <i>high</i> confidence in the overall evidence base for hematopoietic effects, <i>high</i> confidence in the study, and <i>medium</i> confidence in quantitation of the POD.
Developmental subchron	nic osRfD = 5 × 10	<sup>-4</sup> mg/kg-d PFHxA; 5 × 10 <sup>-4</sup> mg/kg-d PFHxA-Na
Confidence in study used to derive the subchronic osRfD	High	Confidence in the study ( <u>Loveless et al., 2009</u> ) is <i>high</i> based on the study evaluation results (i.e., rated high confidence overall) ( <u>HAWC</u> <u>link</u> ) and characteristics that make it suitable for deriving toxicity values, including relevance of the exposure paradigm (route, duration, and exposure levels), use of a relevant species, and the study size and design.
Confidence in evidence base for developmental effects	Medium	Confidence in evidence base for developmental effects is <i>medium</i> based on the availability of data from two studies in different species (i.e., rats and mice) that consistently observed decreases in offspring body weight and coherent increases in mortality. One area of uncertainty is that there were no multigenerational studies available. Also, data to inform other organ/system-specific hazards (e.g., thyroid, immune, nervous system) following a developmental exposure is lacking. Additionally, the actual dose received by the offspring is unclear since the pups were indirectly exposed via the dams. Together these present significant data gaps in the potential effects during this sensitive life stage.
Confidence in the quantification of the POD <sub>HED</sub>	Medium	Confidence in the quantification of the POD and subchronic osRfD is <i>medium</i> given the POD was based on BMD modeling within the range of the observed data and dosimetric adjustment based on PFHxA-specific PK information. Some residual uncertainty in the application

Confidence categories	Designation <sup>a</sup>	Discussion
		of the dosimetric approach described above is that $V_d$ and CL were not measured in humans or mice and were considered equivalent to those in monkeys and rats, respectively.
Confidence in developmental subchronic osRfD	Medium	The overall confidence in the subchronic osRfD is <i>medium</i> and is primarily driven by <i>medium</i> confidence in the overall evidence base for developmental effects, <i>high</i> confidence in the study, and <i>medium</i> confidence in quantitation of the POD. <i>High</i> confidence in the study was not interpreted to warrant changing the overall confidence from <i>medium</i> .

#### 1 Selection of Subchronic RfD and Confidence Statement

- 2 Organ/system-specific subchronic RfD values for PFHxA selected i are summarized in Table
- **3** 5-13.

### Table 5-13. Subchronic osRfD values for PFHxA

System	Basis	POD <sub>HED</sub>	UFc	osRfD for PFHxA (mg/kg-d)	osRfD for PFHxA-Na <sup>a</sup> (mg/kg-d)	Confidence
Hepatic	Increased hepatocellular hypertrophy in adult male Crl:CD Sprague-Dawley rats	0.11 mg/kg-d based on BMDL <sub>10ER</sub> and free salt normalization ( <u>Loveless et</u> <u>al., 2009</u> )	100	1 × 10 <sup>-3</sup>	1 × 10 <sup>-3</sup>	Medium
Hematopoietic	Decreased red blood cells in adult female Crl:CD Sprague-Dawley rats	0.078 mg/kg-d based on BMDL <sub>1SD</sub> ( <u>Chengelis et al.,</u> <u>2009b</u> )	100	8 × 10 <sup>-4</sup>	8 × 10 <sup>-4</sup>	High
Developmental	Decreased postnatal (PND 0) body weight in F <sub>1</sub> Sprague-Dawley male and female rats, exposed throughout lactation and gestation	0.048 mg/kg-d based on BMDL <sub>SRD</sub> and free salt normalization ( <u>Loveless et</u> <u>al., 2009</u> )	100	5 × 10 <sup>-4</sup>	5 × 10 <sup>-4</sup>	Medium

<sup>a</sup>To calculate candidate values for salts of PFHxA, multiply the candidate value of interest by the ratio of molecular weights of the free acid and the salt. For example, for the sodium salt of PFHxA, the candidate value would be calculated by multiplying the free acid candidate value by 1.070 (MW free acid/MW sodium salt = 336/314 = 1.070). This same conversion can be applied to other salts of PFHxA, such as the ammonium salt.

4

From the identified targets of PFHxA toxicity and derived subchronic osRfDs (see Table 5-

5 13), an *RfD of 5 × 10<sup>-4</sup> mg/kg-day based on decreased postnatal body weight* is selected for less-

- 6 than-lifetime exposure. Confidence in the RfD is medium, based on medium confidence in the
- 7 developmental RfD, as described in Table 5-12. The confidence in the selected RfD is equivalent to
- 8 that of the hepatic RfDs but lower than the hematopoietic RfD. The developmental RfD is expected

- 1 to be protective of all life stages. The  $UF_C$  (see Table 5-13) is equivalent to the other osRfDs and the
- 2 endpoint has the lowest  $POD_{HED}$  (0.048 mg/kg-day, see Table 5-11). The decision to select the
- 3 developmental RfD was based on all of the available osRfDs in addition to overall confidence and
- 4 composite uncertainty for those osRfDs.

## 5.2.2. Inhalation Reference Concentration (RfC)

- 5 No published studies investigating the inhalation effects of subchronic, chronic, or
- 6 gestational exposure to PFHxA in humans or animals have been identified. Therefore, an RfC is not
- 7 derived.

# 5.3. CANCER TOXICITY VALUES

- 8 As discussed in Sections 3.3 and 4.2, given the sparse evidence base and in accordance with
- 9 the *Guidelines for Carcinogen Risk Assessment* (U.S. EPA, 2005), EPA concluded that there is
- 10 *inadequate information to assess carcinogenic potential* for PFHxA for any route of exposure.
- 11 Therefore, consistent with the *Guidelines* and the lack of adequate data on the potential
- 12 carcinogenicity of PFHxA, quantitative estimates for either oral (oral slope factor, OSF) or
- 13 inhalation (inhalation unit risk; IUR) exposure were not derived.

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