



# **Toxic Chemical Release Inventory Reporting Forms and Instructions**

*Revised 2011 Version*

**Section 313  
of the Emergency Planning and  
Community Right-to-Know Act**  
(Title III of the Superfund Amendments  
and Reauthorization Act of 1986)

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## New Information for Reporting Year 2011

Please note that this 2011 version of the Toxic Release Inventory (TRI) Reporting Forms and Instructions document supersedes previous versions of the document.

- Addition of National Toxicology Program (NTP) Carcinogens to the TRI Chemical List.** On November 26, 2010, EPA finalized a rule (75 FR 72727) to provide communities with additional information about sixteen toxic chemicals being released to the environment. Facilities that manufacture, process or otherwise use the following chemicals must report release information for these chemicals on TRI reporting forms due July 1, 2012.

### **Individual Listings**

<b>Chemical Name</b>	<b>CAS #</b>
1-Amino-2,4-dibromoanthraquinone	81-49-2
2,2-bis(Bromomethyl)-1,3-propanediol	3296-90-0
Furan	110-00-9
Glycidol	556-52-5
Isoprene	78-79-5
Methyleugenol	93-15-2
o-Nitroanisole	91-23-6
Nitromethane	75-52-5
Phenolphthalein	77-09-8
Tetrafluoroethylene	116-14-3
Tetranitromethane	509-14-8
Vinyl Fluoride	75-02-5

### **Polycyclic Aromatic compounds (PACs) category**

<b>Chemical Name</b>	<b>CAS #</b>
1,6-Dinitropyrene	42397-64-8
8-Dinitropyrene	42397-65-9
6-Nitrochrysene	7496-02-8
4-Nitropyrene	57835-92-4

- TRI Information Collection Request (ICR)** The TRI Program's most recent TRI reporting forms (Form R and Form A Certification Statement) were approved by the Office of Management and Budget (OMB) in October, 2011. Copies of the Federal Register Notices and supporting statement can be found under Docket ID Number [EPA-HQ-OEI-2010-0835](http://www.regulations.gov) at [www.regulations.gov](http://www.regulations.gov). These updated forms clarify the intent of certain questions and should improve the user experience. The changes are listed here and revised reporting instructions are included in the applicable sections of this RFI document.
  - Part I, Section 5.1:** EPA has replaced the NA box in the Parent Company field with "No U.S. Parent Company (for TRI Reporting purposes)."

## *Important Information for Reporting Year 2011*

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- Part II, Section 5.3:** TRI reporters may now select “NA” if the facility does not discharge to receiving streams or water bodies.
- Part II, Section 6.1:** EPA has provided separate fields for the quantity of a toxic chemical transferred to each POTW. In many cases there will be only one POTW to list. However, if a TRI Reporter transferred a toxic chemical to more than one POTW then the reporter must indicate the quantity transferred to each of the POTWs and the basis used to estimate each quantity. Instructions for Part II, Section 6.1 can be found on page 50.
- Part II, Section 6.2:** A TRI reporter may now use an “NA” box to indicate there were no transfers to off-site locations.
- Part II, Section 8.10:** The form now clearly states that TRI Reporters should only report on “newly implemented” source reduction activities.
- Part II, Section 8.10:** EPA has provided an “NA” box for TRI Reporters to use if they have no “newly implemented” source reduction activities to report.
- Part II, Section 8.11:** TRI Reporters may now provide optional information on source reduction, recycling, or pollution control activities directly on the form.
- Part II, Section 9.1:** TRI reporters may now provide miscellaneous and optional information in the new Part II, 9.1 Section. Instructions for Part II, Section 9.1 can be found on page 77.
- Administrative Stay Lifted for TRI Hydrogen Sulfide (H<sub>2</sub>S) Reporting**

On October 17, 2011, EPA lifted the administrative stay for TRI reporting of hydrogen sulfide (H<sub>2</sub>S) (76 FR 64022). Reporting for H<sub>2</sub>S is not required for RY2011 TRI reporting, but will be required for H<sub>2</sub>S for TRI Reporting Year 2012 – for Form R reports that are due to the Agency on July 1, 2013.
- In RY 2011, there will be a change in the way facilities access their Facility Data Profile (FDP). Facilities submitting TRI forms prior to April, 2012 will continue to review their FDP on the Internet at <http://www.triefdp.org>. If the Technical Contact provides an email address in the Form R/Form A, they will receive an email notifying them when their FDP has been updated and posted to the FDP website.
- Beginning in April 2012, reporting facilities may review their FDP on the Internet by logging into their CDX account and clicking the *TRIMEweb: Prepare Submission* link from the *MyCDX* page. This will open the "Welcome" page of *TRI-MEweb*. On the "Welcome" page, they can follow the instructions for obtaining the FDP. If the Technical Contact provides an email address in the Form R/Form A, they will receive an email notifying them when their FDP has been updated and published for review in *TRI-MEweb*.

## **Other Important Information for Reporting Year 2011**

- EPA’s Audit Policy.** If you discover your facility is or may have been in violation of Section 313 of EPCRA (TRI Reporting), please refer to EPA’s Policy entitled, “Incentives for Self-Policing: Discovery, Disclosure, Correction, and Prevention of Violations” (Audit Policy), 65 FR 19618, April 11, 2000. You may qualify for having all gravity-based penalties waived if your facility meets all nine (9) conditions of the Audit Policy. For more information on EPA’s Audit Policy, see the Agency’s website <http://www.epa.gov/compliance/incentives/auditing/auditpolicy.html>
- EPA’s Small Business Compliance Policy.** If you have 100 or fewer employees and discover that your facility is or may have been in violation of Section 313 of EPCRA (TRI Reporting), please refer to EPA’s Small Business Compliance Policy. EPA will eliminate or significantly reduce penalties for small businesses that meet the conditions of the Policy, including voluntarily discovering violations and promptly disclosing and correcting them. This Policy implements Section 223 of the Small Business Regulatory Enforcement Fairness Act (SBREFA) of 1996. For more information, see the Agency’s website <http://www.epa.gov/compliance/incentives/smallbusiness/index.html>.
- Parent Company Information**

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The Agency has found that many facilities report inaccurate parent companies and/or Dun and Bradstreet numbers in Sections 4 and 5 of the TRI reporting forms. EPA asks all facilities to verify the accuracy of facility and parent company information (e.g., DUNS number, parent company name). Related questions and answers are provided in Appendix I. *Please note that beginning in Reporting Year 2009, EPA started to pre-load into the TRI-MEweb software standardized parent company names that were researched from the prior year submission. This step was taken to improve the accuracy of parent company names as well as create a standard format for the names themselves. For example, all periods were eliminated from the parent names and only capital letters are used in the names. In addition, standardized abbreviations are now used for common terms found in parent names such as 'CO for Company' and 'INC for Incorporated.' More detailed instructions appear in the Parent Company name sections of TRI-MEweb.*

For facilities still using paper TRI forms to report, a detailed listing of Parent Company names is provided at <http://www.epa.gov/tri/report/index.htm>.

- A. To verify the accuracy of your facility and parent company Dun and Bradstreet number and name, as required in Section 5 of both Form R and Form A, go to [https://www.dnb.com/product/dlw/form\\_cc4.htm](https://www.dnb.com/product/dlw/form_cc4.htm) or call 1-888-814-1435 to verify your information. Callers to the toll free phone number should understand that the Dun and Bradstreet support representatives will need to verify that callers requesting the DUNS number are an agent of the business. Dun and Bradstreet recommends knowing basic information such as when the business originated, officer names, and the name, address, and phone number for the facility.
- B. Facilities reporting to TRI should also make sure they are providing the parent company name and Dun and Bradstreet number of the parent company as of December 31<sup>st</sup> of the reporting year.

### **RY 2011 TRI-MEweb**

The RY 2011 TRI-MEweb application helps facilities in determining and completing their Emergency Planning and Community Right-to-Know (EPCRA) Section 313 and Pollution Prevention Act (PPA) Section 6607 obligations. TRI-MEweb is an interactive, intelligent, user-friendly web-based application tool that guides facilities through the TRI reporting experience. By leading prospective reporters through a series of logically ordered questions, TRI-MEweb streamlines the analysis needed to determine if a user must complete a Form R Report or may complete the Form A Certification Statement for a particular chemical.

For those facilities required to report, the TRI-MEweb software provides the user with guidance for each data element on the reporting Form R and Form A Certification Statement. TRI-MEweb will check the data for common errors and then prepare the forms for electronic transmission to be certified in CDX. All of the information contained in this RY 2011 Reporting Forms and Instructions manual is contained within the TRI-MEweb application.

**EPA strongly recommends the use of TRI-MEweb to submit information to the TRI program.** However, if you plan to submit hard copy forms, EPA is providing *fillable forms* that can be completed using a computer and then printed, signed, and mailed. For facilities that submit their RY 2011 or RY 2010 TRI paper forms, EPA highly recommends using this new Web-based format to complete forms prior to printing them.

- EPA will accept only TRI-MEweb or paper submissions for RY 2011.** The following are reasons why you should use TRI-MEweb in RY 2011:
  - TRI-MEweb will guide reporting facilities through the RY2011 changes to the reporting requirements as described earlier in this section.
  - A Web-enabled threshold determination tool is also available to help facilities determine if they need to report to TRI. The threshold tool is useful in determining if your facility meets

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all three threshold indexes: number of employees, covered industry sector and chemical-specific thresholds. <http://www.epa.gov/tri/thresholdtool/>.

- A new feature in *TRI-MEweb* will allow a preparer to choose whether or not to preload the most recent prior year data into their current year forms. The *TRI-MEweb* application will transfer most recent prior data from EPA's databases into the current year TRI forms. Dynamically calling the data upon a preparer's request will allow the capture of any changes to the facility's data from revisions, or late submissions.
  
- TRI-MEweb* can be used to revise prior or current year data (RY 2005-RY 2011). *TRI-MEweb* stores data from the previous five reporting years for each facility so that users will no longer have to load the data each year.
  - If your facility needs to revise forms prior to RY 2005, EPA will only accept these revisions on hard-copy TRI forms.
  
- Facilities that reside in a state participating in the TRI Data Exchange will have their TRI forms sent simultaneously to EPA and their state TRI representative via the Environmental Information Exchange Network.
  - For states not on the TRI Data Exchange, *TRI-MEweb* allows facilities to generate disk/CD submissions for their state. However, please note that EPA will not accept submissions generated of such media type.
  
- Notice about uncertified *TRI-MEweb* submissions.** If you are transmitting your Form R and/or Form A via *TRI-MEweb*, the facility's registered certifying official must electronically sign the submission before it can be processed and entered into the TRI database. Uncertified electronic submissions created in *TRI-MEweb* will not be accepted. Lack of certification will prevent the submission from being processed by EPA. Uncertified submissions fail to meet the reporting requirement under EPCRA Section 313, and will result in a Notice of Non-Compliance (NON) if they remain uncertified after the July 1<sup>st</sup> reporting deadline and you have not reported by another means.

### **How to Begin Using the RY 2011 *TRI-MEweb* Reporting Tool**

In RY 2011, the *TRI-MEweb* application offers enhanced features (listed below) that help the end-user experience, helps to improve the quality of data entered, improves site navigation, and enhances the transmission and submission procedures. In addition, the *TRI-MEweb* application will also guide the reporting facilities through the RY 2011 changes to the reporting requirements.

- |                                                   |                                                                |
|---------------------------------------------------|----------------------------------------------------------------|
| ✓ First-time filer account access                 | ✓ Revision Capability of TRI Forms from 5 Past Reporting Years |
| ✓ Enhanced Data Quality and Validation assistance | ✓ Third Party Load                                             |
| ✓ Enhanced Water Body Query Tool                  | ✓ New POTW Reporting Requirements                              |
| ✓ Enhanced Section 8 Calculator                   | ✓ Enhanced Transmission of TRI Forms                           |

- Getting Started.** The Technical Contacts from reporting facilities that filed in the prior reporting year will be emailed a 6-digit alpha numeric access *key* by January, 2012. This unique access key is used to load the TRI data from the past 5 reporting years into its corresponding *TRI-MEweb* facility account. *TRI-MEweb* will also automatically load into each individual facility account the data needed to pre-populate your current year TRI forms with your prior year data. If your

## ***Important Information for Reporting Year 2011***

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Technical Contact has not received their unique access key, please call the CDX helpdesk. *TRI-MEweb* users may also use the access key that EPA sent to your Technical Contact last year, however, in some exceptional cases, the key may have been changed by EPA's Data Processing Center.

- ***TRI-MEweb* is accessed through EPA's Central Data Exchange (CDX).** The *TRI-MEweb* application uses EPA's CDX to transmit and certify electronic submissions to EPA. CDX allows facilities to submit a paperless report and receive instant receipt confirmation of their submission via the Internet. *TRI-MEweb* is the largest of 60 data flows that are hosted on EPA's Central Data Exchange. In addition, facilities that reside in a state participating in the TRI Data Exchange will have their forms sent simultaneously to EPA and their state TRI representative in electronic format via the Environmental Information Exchange Network.
- **Login into your CDX account to open *TRI-MEweb*.** You must have a CDX account and have added *TRI-MEweb* application before you can begin to prepare your TRI forms. Your Web browser must also have a security setting of TLS 1.0 enabled. If not enabled, the CDX login Web page will appear as if it was down, but in fact it is not. Go to the *TRI-MEweb* Resource Web page for more detailed instructions for changing the security settings on your Web browser.
  - If you cannot reset the password to your CDX account or have forgotten your CDX login name, please call the CDX Hotline - (888) 890-1995
  - If you require assistance in setting up a new CDX account, or adding *TRI-MEweb* to your CDX account, or need information on how to use the *TRI-MEweb* application, please visit: <http://www.epa.gov/tri/report/software/trimeweb/>
  - CDX login can be accessed at: <https://cdx.epa.gov/warning.asp>
- **Two user roles involved in TRI Reporting.** If your facility is using *TRI-MEweb* to submit TRI forms to EPA, the use of this tool requires the creation of two user roles. The individuals who will use *TRI-MEweb* to prepare and transmit data to CDX ("preparers") and individuals who will access CDX to certify transmitted TRI forms ("certifying officials") must both have CDX accounts. *TRI-MEweb* allows the certifying official role to also prepare TRI forms (dual role). However, a CDX account with only a preparer role will require submitting an Electronic Signature Agreement (see A.2 for details on ESA processing) to add the certifying official role.
- ***TRI-MEweb* can be used to submit revisions or withdrawals for data reported between RY 2005- RY 2011.** If you need to revise or withdraw prior or current year data, we encourage you to submit revisions using the *TRI-MEweb* application. If you need to revise/withdraw forms prior to RY 2005, EPA will only accept these requests on hardcopy TRI forms.
- ***TRI-MEweb* will submit your forms electronically to your state.** For states not on the TRI Data Exchange (TDX), *TRI-MEweb* allows facilities to generate disk/CD submissions for their state. However, please note EPA will not accept submissions generated of such media type.
- ***TRI-MEweb* Online Tutorials are available.** If you need additional assistance on how to perform the different tasks within the Web-based application reporting tool, we advise you to view the *TRI-MEweb* Online Tutorials. These tutorials contain step-by-step instructions on how to use the *TRI-MEweb* software application. These tutorials are designed for new users as well as experienced users.

### ***TRI-MEweb* User Resources**

- *TRI-MEweb* website: Any service notification that may impact your electronic reporting will be posted on this Web page. The Web page also contains links to reference materials that will assist you in fulfilling your reporting requirements.  
URL: <http://www.epa.gov/tri/report/software/trimeweb/index.htm>
- *TRI-MEweb* Online tutorials: These tutorials provide step-by step instruction on how to use *TRI-MEweb*. URL: [http://www.epa.gov/tri/report/trime/ry2011/tutorials/tutorial\\_index.html](http://www.epa.gov/tri/report/trime/ry2011/tutorials/tutorial_index.html)

### ***Important Information for Reporting Year 2011***

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- TRI Information Center Hotline [(800) 424-9346 - select option 3] and CDX Help Desk (888) 890-1995: These hotlines provide CDX/TRI-MEweb technical support and reporting requirements assistance to help reporting facilities meet their TRI reporting obligations.

## A. General Information

Reporting to the Toxic Chemical Release Inventory (i.e., Toxics Release Inventory (TRI)) is required by Section 313 of the Emergency Planning and Community Right to Know Act (EPCRA, or Title III of the Superfund Amendments and Reauthorization Act of 1986), Public Law 99 499. The information contained in the Form R constitutes a “report,” and the submission of a report to the appropriate authorities constitutes “reporting.”

The Pollution Prevention Act, passed into law in October, 1990 (Pub. L. 101 508), added reporting requirements to Form R. These requirements affect all facilities required to submit Form R under Section 313 of EPCRA. The data were required beginning with reports for calendar year 1991.

Reporting is required to provide the public with information on the releases and other waste management of EPCRA Section 313 chemicals in their communities and to provide EPA with release and other waste management information to assist the Agency in determining the need for future regulations. Facilities must report the quantities of routine and accidental releases, and releases resulting from catastrophic or other onetime events of EPCRA Section 313 chemicals, as well as the maximum amount of the EPCRA Section 313 chemical on-site during the calendar year and the amount contained in wastes managed on-site or transferred off-site.

A completed Form R or Form A must be submitted for each EPCRA Section 313 chemical manufactured, processed, or otherwise used at each covered facility as described in the reporting rules in 40 CFR Part 372 (originally published February 16, 1988, in the *Federal Register* and November 30, 1994, in the *Federal Register* (for Form A)).

### A.1 Who Must Report

- Section 313 of EPCRA requires that reports be filed by owners and operators of facilities that meet all of the following criteria.

- The facility has 10 or more full-time employee equivalents (i.e., a total of 20,000 hours or greater; see 40 CFR 372.3); and
- The facility is included in a North American Industry Classification System (NAICS) code listed in Table I. NAICS codes found in Table I correspond to the following Standard Industrial Classification (SIC) Codes: SIC 10 (except 1011, 1081, and 1094), 12 (except 1241), 20-39, 4911 (limited to facilities that combust coal and/or oil for the purpose of generating electricity for distribution in commerce), 4931 (limited to facilities that combust coal and/or oil for the purpose of generating electricity for distribution in commerce), 4939 (limited to facilities that combust coal and/or oil for the purpose of generating electricity for distribution in commerce), 4953 (limited to facilities regulated under RCRA Subtitle C, 42 U.S.C. Section 6921 *et seq.*), 5169, 5171, and 7389 (limited to facilities primarily engaged in solvents recovery services on a contract or fee basis); and
- The facility manufactures (defined to include importing), processes, or otherwise uses any EPCRA Section 313 chemical in quantities greater than the established threshold in the course of a calendar year.

Executive Order 13423 extends these reporting requirements to federal facilities, regardless of their SIC or NAICS code.

### A.2 How to Submit Forms

Facilities can use *TRI-MEweb* or paper for submitting Form R(s) and/or Form A(s).

#### A.2.a. How to Submit Form R(s) and/or Form A(s) Electronically to EPA via the Central Data Exchange (Using the *TRI-MEweb* Application)

The preferred method to report to TRI is by the use of the *TRI-MEweb* application via EPA’s Central Data Exchange (CDX). There are several advantages to using *TRI-MEweb* for TRI reporting: TRI reporters no longer have to download the most current version of software,

## General Information

prior year data are pre-populated into current year forms to expedite reporting, allowing a certifier to submit an electronic signature that allows one to file paperless forms. *TRI-MEweb* has error checking software that ensures higher data quality. Facilities transmit reports electronically via *TRI-MEweb* (Internet submissions are not available for trade secret claims) through the Internet via Central Data Exchange (CDX). CDX allows facilities to file a paperless report, significantly reducing data errors, and facilities receive instant receipt confirmation of their submission.

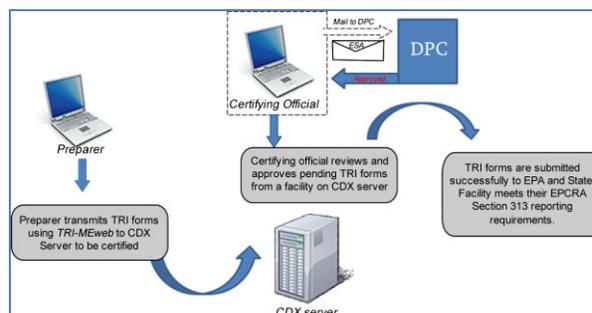
Facilities that use *TRI-MEweb* to transmit forms through the Internet via CDX, and reside in a state participating in the TRI Data Exchange, will have their forms sent simultaneously to EPA and their respective state officials via the Environmental Information Exchange Network. Once a TRI submission is certified, it will be electronically forwarded to state officials and your obligation to report to EPA and your state will be satisfied.

If you choose to submit via the Internet, do not send duplicate paper copies of the reports to EPA's Data Processing Center (DPC). Please be aware that if your facility does not reside in a state participating in the TRI Data Exchange (TDX), just transmitting TRI forms via the Internet does not satisfy your state reporting requirements for your facility. You must report to your state separately and in the required format specified by your state. However, *TRI-MEweb* can still be used by the reporting facility to prepare and print the proper paper TRI forms for the state that is not in the TDX. The facility must physically mail these completed paper TRI forms to non-TDX states. Do not send forms that are in draft format, nor send paper copies to EPA.

### Electronic Signature Agreement

There are two user roles involved in the reporting process to EPA of your TRI data; a preparer role and a certifying official role. Figure 1 illustrates how these two roles are involved in the TRI reporting process. The "Preparer" is the person who will be preparing TRI files for submission in *TRI-MEweb* but is not authorized to certify. The "Certifying Official" is the person of authority at a facility or legal representative of the facility that will be certifying the data contained in transmitted TRI Forms R/A. that will be submitted to EPA

and their state. Certifying officials may also prepare forms in *TRI-MEweb* via their MyCDX profile page. However, the preparer role cannot certify TRI forms that are transmitted to CDX. Step-by-step instructions on how to create your CDX account for your new certifying official can be found on the *TRI-MEweb* Resource Page: <http://www.epa.gov/tri/report/software/trimeweb/>.



**Figure 1: *TRI-MEweb*'s transmission, certification and submission steps**

Both of these user roles require creating/having a CDX account and adding the *TRI-MEweb* application to their MyCDX profile. An Electronic Signature Agreement (ESA) form is needed in RY 2011 only for certifying officials that have been newly designated for a facility or any pre-approved certifying officials that wish to add an unlisted facility in the certifying official's MyCDX profile. The ESA form must be signed and mailed to EPA's Data Processing Center (DPC in figure) for approval before the certifying official can begin to certify any TRI forms transmitted by the preparer to CDX using *TRI-MEweb*. ESAs approval may take up to five business days, so please plan accordingly.

If your certifying official has submitted an ESA in a prior year and it was approved by EPA, a new ESA is not required for the next reporting year for the same facility. Certifying officials may certify for multiple facilities, but each requires an approved ESA. An ESA can be generated by adding the new facility TRI Identification Number (TRIFID) to the certifying official's MyCDX account. Multiple TRIFIDs can also be added to a single ESA form. All newly assigned TRIFIDs will be listed in the printout of the ESA document.

**Accidental Deletion of ESA in *TRI-MEweb*.** The *TRI-MEweb* application also has the capability to manage user profiles (previously

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authorized preparers or certifying officials) that have been granted access to facility accounts. This capability includes revoking approved ESA(s) for any certifying official(s) that have left the facility's payroll or no longer is authorized to certify forms. An ESA could also be accidentally revoked by the preparer. If this occurs, there is a 45-day grace period to get the ESA reactivated by the CDX helpdesk without having to send a paper form to EPA for re-approval. Emails are sent to the affected certifying official by CDX when any ESA has been revoked within *TRI-MEweb*.

Mailing information for EPA's Data Processing Center can be found below or on the TRI website under <http://www.epa.gov/tri/index.htm>. Contact Us:

Send ESA approval requests by *regular mail* to the following address:

Attention: TRI ESA Approval Request  
TRI Reporting Center  
P.O. Box 10163  
Fairfax, VA 22038

Send ESA approval requests by *certified mail or overnight mail* to the following address:

Attention: TRI ESA Approval Request  
CGI Federal, Inc  
c/o EPA Reporting Center  
12601 Fair Lakes Circle  
Fairfax, VA 22033

For questions or additional information about CDX, please see: <http://www.epa.gov/cdx>.

### **A.2.b How to Submit Paper Form R (s) and/or Form A(s)**

It is EPA's ultimate goal to move away from processing paper submissions and receive all TRI submissions via CDX. Although EPA strongly discourages paper submissions due to increased possibility of errors, paper submissions are currently still accepted. Paper submissions must be sent to both EPA and the state (or the designated official of an Indian tribe). If a report is not received by both EPA and the state (or the designated official of an Indian tribe), the submitter is considered out of compliance and subject to enforcement action. Facilities submitting paper forms must use the current

reporting year forms; out-of-date forms will be considered out of compliance. To facilitate the completion and processing of paper forms, EPA is providing electronically fillable reporting forms that can be completed prior to printing. EPA strongly encourages facilities to use this new tool to complete forms prior to printing them. The fillable reporting forms can be found on URL: <http://www.epa.gov/tri/report/index.htm>

Send paper forms by regular mail to:

TRI Reporting Center  
P.O. Box 10163  
Fairfax, VA 22038

Send paper forms by certified mail or overnight mail (i.e. Fed Ex, UPS, etc.) to:

CGI Federal, Inc  
c/o EPA Reporting Center  
12601 Fair Lakes Circle  
Fairfax, VA 22033

E-mail submissions will not be accepted.

Also send a copy of the report to the state in which the facility is located. "State" also includes: the District of Columbia, the Commonwealth of Puerto Rico, Guam, American Samoa, Marshall Islands, the U.S. Virgin Islands, the Commonwealth of the Northern Mariana Islands, and any other jurisdiction and Indian Country. Refer to Appendix E for the appropriate state submission addresses.

Facilities located on Indian land should send a copy to the Chief Executive Officer of the applicable Indian tribe. Some tribes have entered into a cooperative agreement with states; in this case, report submissions should be sent to the entity designated in the cooperative agreement.

### **A.3 Trade Secret Claims**

For any EPCRA Section 313 chemical whose identity is claimed as trade secret, you must submit to EPA two versions of the substantiation form as prescribed in 40 CFR Part 350, published July 29, 1988, in the *Federal Register* (53 FR 28772) as well as two versions of the EPCRA Section 313 report. The current substantiation form is available on the TRI website at <http://www.epa.gov/tri/report/index.htm#forms>.

One set of reports, the unsanitized version, must

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provide the actual identity of the EPCRA Section 313 chemical. The other set of reports, i.e., the “sanitized” version, must provide a generic class or category for the chemical that is structurally descriptive of the EPCRA Section 313 chemical. If EPA deems the trade secret substantiation form valid, only the sanitized set of forms will be made available to the public.

Use the order form in this document to obtain copies of the rule and substantiation form. Further explanation of the trade secret provisions is provided in Part I, Sections 2.1 and 2.2, and Part II, Section 1.3, of the instructions.

In summary, a complete report to EPA for an EPCRA Section 313 chemical claimed as a trade secret must include all of the following:

- A completed unsanitized version of Form R or Form A report including the EPCRA Section 313 chemical identity (staple the pages together); and
- A sanitized version of a completed Form R or Form A report in which the EPCRA Section 313 chemical identity items (Part II, Sections 1.1 and 1.2) have been left blank but in which a generic chemical name that is structurally descriptive has been supplied (Part II, Section 1.3) (staple the pages together); and
- A completed unsanitized version of a trade secret substantiation form (staple the pages together); and
- A sanitized version of a completed trade secret substantiation form (staple the pages together).

Securely fasten all four reports together.

Some states also require submission of both sanitized and unsanitized reports for EPCRA Section 313 chemicals whose identity is claimed as a trade secret. Others require only a sanitized version. Facilities may jeopardize the trade secret status of an EPCRA Section 313 chemical by submitting an unsanitized version of the EPCRA Section 313 report to a state agency or Indian tribe that does not require unsanitized forms. You may identify an individual state’s submission requirements by contacting the appropriate state designated EPCRA Section 313 contact (see Appendix E).

### Where to send your trade secret submission

Please send only trade secret submissions to the P.O. Box below. Send trade secret submissions by regular mail to:

Attention: EPCRA Substantiation  
Packages  
TRI Reporting Center  
P.O. Box 10163  
Fairfax, VA 22038

Send trade secret submissions by certified mail or overnight mail (i.e. Fed Ex, UPS, etc.) to:

Attention: EPCRA Substantiation  
Packages  
CGI Federal, Inc.  
c/o EPA Reporting Center  
12601 Fair Lakes Circle  
Fairfax, VA 22033

### A.4 Recordkeeping

Sound recordkeeping practices are essential for accurate and efficient TRI reporting. It is in the facility’s interest, as well as EPA’s, to maintain records properly. Facilities must keep a copy of each report filed for at least three years from the date of submission. These reports will be of use when completing future reports.

Facilities must also maintain those documents, calculations, worksheets, and other forms upon which they relied to gather information for prior reports. In the event of a problem with data elements on a facility’s Form R or Form A report, EPA may request documentation from the facility that supports the information reported.

EPA may conduct data quality reviews of Form R or Form A submissions. An essential component of this process involves reviewing a facility’s records for accuracy and completeness. EPA recommends that facilities keep a record for those EPCRA Section 313 chemicals for which they did not file EPCRA Section 313 reports.

EPA also recommends keeping records of all documentation containing your CDX account information for your preparer(s) and certifying official(s) that use *TRI-MEweb* to prepare and certify the reporting facility’s TRI Form R and/or Form A. These CDX documents include the Electronic Signature Agreement (ESA) and the facility’s unique 6-digit alphanumeric access key.

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Records to maintain include:

- Previous years' EPCRA Section 313 reports;
- EPCRA Section 313 Reporting Threshold Worksheets;
- Engineering calculations and other notes;
- Purchase records from suppliers;
- Inventory data;
- EPA (NPDES) permits and monitoring reports;
- EPCRA Section 312 Tier II Reports;
- Monitoring records;
- Flowmeter data;
- RCRA Hazardous Waste Generator's Report;
- Pretreatment reports filed by the facility with the local government;
- Invoices from waste management companies;
- Manufacturer's estimates of treatment efficiencies;
- RCRA manifests;
- Process diagrams that indicate emissions and other releases; and
- Records for those EPCRA Section 313 chemicals for which they did not file EPCRA Section 313 reports.
- CDX account information including unique 6-digit access key to pre-load facility account into *TRI-MEweb* and copies of the Electronic Signature Agreement (s) submitted to EPA for approval.

### A.5 How to Revise, Withdraw or Cancel My TRI Data

#### Submitting a Request to Revise TRI Data

Facilities that filed a Form R and/or Form A Certification Statement under EPCRA Section 313 may submit a request to revise a form that was previously submitted, stored in the Toxics Release Inventory Processing System (TRIPS), and made available to the public through Envirofacts and TRI Explorer. Facilities may request a revision for one or more of the following reasons:

- RR1 - New Monitoring Data
- RR2 - New Emission Factor(s)
- RR3 - New Chemical Concentration Data

- RR4 - Recalculation(s)
- RR5 - Other Reason(s)

The revision code(s) should be entered in the "Revision" box on the first page of the reporting form. You may enter up to two revision codes on the form.

Please note that late submissions for chemicals not reported in a previous reporting year are not considered revisions for that year.

#### How do I revise my submission(s)?

If you have determined that your facility wishes to revise a TRI submission, you must send your revised report to EPA and the appropriate state agency. For submitting a revision to EPA, please use one of the following methods:

- EPA will accept revisions for Reporting Year 2005 through current year via *TRI-MEweb*. This is EPA's preferred method to submit revisions.
- EPA will accept revisions on paper forms using the TRI form applicable for the particular year being revised. Keep in mind that EPA does not key-in paper-form data into TRI's database until after the July 1 deadline.
- EPA will accept any revisions prior to Reporting Year 2004 and prior years on magnetic media created using *TRI-ME* software.

**1. *TRI-MEweb*.** The preferred method for revising TRI forms from Reporting Year 2005 through the current year is to use *TRI-MEweb*. *TRI-MEweb* provides several advantages compared to hard-copy reporting, such as automated error-checking software, automatically updating Section 8 calculations when values are modified, and electronically confirming EPA's receipt of a submitted revision. If you have questions about using *TRI-MEweb* to revise your Form R/A, please refer to the *TRI-MEweb tutorial* page at [http://www.epa.gov/tri/report/trime/ry2011/tutorial/tutorial\\_index.html](http://www.epa.gov/tri/report/trime/ry2011/tutorial/tutorial_index.html)

**2. *Hard Copy Form*.** EPA strongly discourages paper submissions due to the increased possibility of data entry errors; however, if necessary, you may revise a previously submitted hard-copy form or revision by using either 1) a photocopy of the

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original or 2) a blank form or 3) using your Facility Data Profile report.

- **Photocopy of Original Submission.** You may submit a photocopy of your original submission (from your records) with the corrections made in blue ink. Please re-sign and re-date the certification statement on Page 1. For RY 2007 revisions and beyond, please enter the appropriate revision code(s). For RY 2006 and prior years, please enter an “X” in the space marked “Enter ‘X’ here if this is a revision,” on Page 1 of the form.
- **Blank Form.** Hard copy submissions may be submitted using the TRI Form R and/or Form A applicable for that particular reporting year or the most recent form available. For revisions submitted by hard copy, EPA recommends the use of the most recent form that can be downloaded from the TRI website. You can also request prior year reporting forms at [tridocs@epa.gov](mailto:tridocs@epa.gov). For RY 2010 and current year forms, EPA recommends using the electronically fillable forms to submit your revision. RY 2009 and prior year forms are not electronically fillable and must be completed by hand. For RY 2007 revisions and beyond, please enter all information including the appropriate revision code(s). For RY 2006 and prior years, please enter all information including an “X” in the space marked “Enter ‘X’ here if this is a revision,” on Page 1 of the form. Please sign and date the certification statement on Page 1.
- **Facility Data Profile.** FDPs are made available by the Data Processing Center to a reporting facility in response to any submission EPA’s Data Processing Center receives. A reporting facility may access and print their FDP report via the Internet and make any corrections in blue ink that are required on their TRI data that has been submitted previously to EPA. These hard-copy FDP forms with hand-written corrections must be mailed to EPA’s Data Processing Center to be keyed into the TRI database. Please note that a certification statement must be signed by a facility owner/operator or senior management official if using the FDP hard-copy to make a revision. Please go to Appendix C for more information on FDP. Hard-copy FDPs with hand written corrections

are still vulnerable to human-error when manually keyed by the DPC into the TRI database. Therefore, EPA recommends facilities that send corrections in response to their FDPs are encouraged to submit any needed revision using *TRI-MEweb*.

You may review your FDP on the Internet at <http://www.triefdp.org> or within *TRI-MEweb* [starting in April 2012]

- **Mailing Address for Hard Copy Forms.** Send revision requests by *regular mail* to the following address:

Attention: TRI Revision Request  
TRI Reporting Center  
P.O. Box 10163  
Fairfax, VA 22038

Send revision requests by *certified mail or overnight mail* to the following address:

Attention: TRI Revision Request  
CGI Federal, Inc.  
c/o EPA Reporting Center  
12601 Fair Lakes Circle  
Fairfax, VA 22033

### Submitting a Request to Withdraw TRI Data

Facilities that filed a Form R and/or Form A Certification Statement under EPCRA Section 313 may submit a request to withdraw a form that was previously submitted, stored in the Toxics Release Inventory Processing System (TRIPS), and made available to the public through Envirofacts and TRI Explorer. EPA may periodically review withdrawals. Facilities may request a withdrawal for one or several reasons, such as:

- WT1 - Did not meet the reporting threshold for manufacturing, processing, or otherwise use
- WT2 - Did not meet the reporting threshold for number of employees
- WT3 - Not in a covered NAICS Code
- WO1 - Other reason(s)

The withdrawal code(s) should be entered in the “Withdrawal” box on the first page of the

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reporting form. You may enter up to two withdrawal codes on the form.

### How do I withdraw my submission(s)?

If you have determined that your facility wishes to withdraw a TRI submission, you must send your request to EPA and the appropriate state agency. Keep in mind that successfully completed withdrawal requests will permanently delete the chemical release data provided by the reporting facility and processed into TRI's database.

If the reporting facility needs to make a correction to data submitted to EPA, a revision is easier to process by a reporting facility than withdrawing incorrect TRI forms and resubmitting them to EPA.

For submitting a withdrawal to EPA, please use one of the following methods:

1. **TRI-MEweb.** The preferred method for withdrawing TRI forms from Reporting Year 2005 through the current year is to use *TRI-MEweb*. Withdrawals can only be done for TRI submissions that have been properly transmitted, certified and processed by EPA. If you have questions about using *TRI-MEweb to withdraw your Form R/A*, please refer to the *TRI-MEweb tutorial* page at [http://www.epa.gov/tri/report/trime/ry2011/tutorials/tutorial\\_index.html](http://www.epa.gov/tri/report/trime/ry2011/tutorials/tutorial_index.html).

2. **TRI-ME desktop via CDX.** For the RY 2002 through 2004 versions of the software, withdrawals may be submitted electronically using the *TRI-ME* desktop software and submitting the report via CDX. You can download the *TRI-ME* software at <http://www.epa.gov/tri>.

3. **Hard Copy Form.** All other withdrawal requests may be submitted by hard copy as follows:

- Reporting Year 2007 Forward. You may submit a photocopy of your original submission (from your records). Using blue ink, re-sign and re-date the certification statement on Page 1 and enter the appropriate withdrawal code(s) in the space provided on Page 1 of the form.

- Reporting Year 2006 and Prior Years. Please submit a photocopy of the form you wish to withdraw (from your file), and attach – as a cover page – Page 1 of the current year's reporting form, which includes a field for the withdrawal codes. Using blue ink, please sign and date the certification statement and enter all information including the appropriate withdrawal code(s) in the space provided on Page 1 of the current year's form.

Send withdrawal requests by *regular mail* to the following address:

Attention: TRI Withdrawal Request  
TRI Reporting Center  
P.O. Box 10163  
Fairfax, VA 22038

Send withdrawal requests by *certified mail or overnight mail* to the following address:

Attention: TRI Withdrawal Request  
CGI Federal, Inc  
c/o EPA Reporting Center  
12601 Fair Lakes Circle  
Fairfax, VA 22033

### Canceling a TRI Submission that has not been Certified.

Cancelling a TRI form is not required for paper hard-copy TRI forms. However, different situations may require a user to cancel an electronic TRI submission. For instance, a facility's preparer or certifying official may determine that a draft electronic submission(s), created in *TRI-MEweb* requires cancellation because the facility's chemical release did not, in fact, meet the reporting thresholds of EPCRA Section 313.

Another reason why a *TRI-MEweb* submission may require cancellation is if a preparer has determined that a correction is needed on a TRI form that was already transmitted to CDX, but the form has not been certified yet. In order to enable the revision feature within *TRI-MEweb* of a TRI form that has been transmitted to CDX, the preparer will need to cancel the transmitted submission with a pending certification status, in order to make the additional corrections in *TRI-*

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*MEweb* and retransmit the original submission or revision to CDX to be certified. EPA is considering issuing a Notice of Non-compliance for TRI Forms that have been transmitted to CDX but are not certified.

A preparer or a certifying official cannot cancel a TRI form submission that has already been transmitted and certified by the certifying official. If a chemical form has a status of *Certified and Sent to EPA* in *TRI-MEweb* it cannot call back the TRI submission to be edited or corrected. To change or remove data that already has been transmitted, certified and submitted to EPA to be processed, either revise or withdraw the submission.

### **How to Cancel a TRI Submission that has not been Certified.**

If your facility decides not to complete the certification process for any pending electronic submission(s) transmitted to CDX by *TRI-MEweb*, the reporting facility should proceed to **CANCEL** the submission(s) using one of the following methods:

**By the Preparer:** The preparer may use the *TRI-MEweb* application to cancel any unwanted pending submission(s). In *TRI-MEweb*, the preparer must click the **Prepare** tab, choose the Reporting Year corresponding to the unwanted submission(s) from the **Select a Reporting Year** page, choose the appropriate facility from the **Select a Facility** page, and then click the **Submit** tab. Next, the preparer must click the **Submission Summary** sub-tab. Then, the preparer must locate the submission that includes the chemical form they wish to cancel and select its radio button. Next, they must click the “**Cancel**” button and confirm the cancellation on the next page. Note: ALL chemical forms that were included in the selected submission will be canceled.

**By the Certifying Official:** The certifying official may also cancel any unwanted pending TRI submission(s) transmitted to CDX. The certifier must log into their CDX account and click the “**TRI-MEweb: Certify Submission**” link from their MyCDX page. This will lead to the **Submissions** page where all pending submissions will be listed. Select the “**Cancel**” radio button and click the “**Next**” button for any unwanted pending submission(s) to begin the cancellation process. You may view the content of the

submission by clicking the link to confirm that this is the correct submission to be cancelled. If you have questions about using *TRI-MEweb* to cancel your Form R/A submission, please refer to the *TRI-MEweb* tutorial page at [http://www.epa.gov/tri/report/trime/ry2011/tutorials/tutorial\\_index.html](http://www.epa.gov/tri/report/trime/ry2011/tutorials/tutorial_index.html).

### **If I submitted a paper TRI Form R/A to meet the July 1 deadline because I could not process my TRI-MEweb transmission to CDX on time, should my certifying official still certify electronically after the July 1 deadline?**

Yes, your certifying official should certify any pending TRI forms in CDX after the ESA approval has been processed by the Data Processing Center after the July 1 deadline. Paper forms are not encouraged for the submission of TRI data to EPA because of the likelihood of the presence of errors. However, facilities may consider submitting a paper hard-copy TRI Form R/A if approval of the certifying official’s Electronic Signature Agreement (ESA) has not been processed by EPA by the July 1 deadline. EPA discourages facilities to submit hard-copy forms because of the potential of reporting errors that will force EPA to issue a separate Notice of Significant Error (NOSE) to your facility to correct them. Instead, EPA strongly encourages your facility’s certifying official to log into CDX (using the link stated above) once their Electronic Signature Agreement (ESA) has been approved by EPA and certify any pending submission(s) because your electronic submission(s) should have already passed the validation/data error checks in *TRI-MEweb*, minimizing any chance of errors in your forms. Your certifying official should certify electronically any pending TRI submission even after the July 1 deadline. *If your facility certifies any pending electronic submission(s) after your paper form(s) has been received and postmarked by the TRI Data Processing Center, your electronic submission(s) will be processed and will retain the postmarked date of your previously filed paper form(s).*

### ***A.6 When the Report Must Be Submitted***

As specified in EPCRA Section 313, the report for any calendar year must be submitted on or before midnight on July 1 of the following year whether

using Form R or Form A. If the reporting deadline falls on a Saturday or Sunday, EPA will accept the forms which are postmarked on the following Monday (i.e., the next business day). If you submit using *TRI-MEweb* via the Central Data Exchange (CDX), you will receive your Facility Data Profile (FDP) in an expedited fashion. Any voluntary revision to a report can be submitted anytime during the calendar year for the current or any previous reporting year. However, voluntary revisions for the current reporting year should be submitted by July 31 in order to be included in that year's TRI National Analysis. Always remember to review your FDP. The FDP presents the information you have submitted to EPA. If the Technical Contact provided an email address in the Form R/Form A, they will receive an email notifying them when their FDP has been updated and published for their review. If you have questions regarding your FDP, please send an e-mail to [tri.efdp@epacdx.net](mailto:tri.efdp@epacdx.net) or call 703-227-7644.

### ***A.7 How to Obtain the TRI Reporting Forms***

The forms and related guidance documents may be obtained from EPA's TRI website: <http://www.epa.gov/tri>.

## **B. How to Determine if Your Facility Must Submit a Form R or Is Eligible to Use Form A**

This section will help you determine whether you must submit an EPCRA Section 313 report (EPA Form R or Form A Certification Statement). This section discusses EPCRA Section 313 reporting requirements such as the number of full-time employees, primary NAICS code, and chemical activity threshold quantities. The EPCRA Section 313 chemicals and chemical categories subject to reporting are listed in Table II (also see 40 CFR 372.65). (See Figure 1 for more information.)

### ***B.1 Full-Time Employee Determination***

The number of full-time employees is dependent only upon the total number of hours worked by all employees and other individuals (e.g., contractors) for the facility during the calendar year and not the number of persons working. Therefore, a full-time employee, for purposes of EPCRA Section 313 reporting, is defined as 2,000 work hours per year. When making the full-time employee determination, the facility must consider all paid vacation and sick leave used as hours worked by each employee. In addition, EPA interprets the hours worked by an employee to include paid holidays. To determine the number of full-time employees working for your facility, add up the hours worked by all employees during the calendar year, including contract employees and sales and support staff working for the facility, and divide the total by 2,000 hours. The result is the number of full-time employees. In other words, if the total number of hours worked by all employees for your facility is 20,000 hours or more, your facility meets the ten employee threshold.

#### **Examples:**

- A facility consists of 11 employees who each worked 1,500 hours for the facility in a calendar year. Consequently, the total number of hours worked by all employees for the facility during the calendar year is 16,500 hours. The number of full-time employees for this facility is equal to 16,500 hours divided by 2,000 hours per full-time employee, or 8.3 full-time employees. Therefore, even though

11 persons worked for this facility during the calendar year, the number of hours worked is equivalent to 8.3 full-time employees. This facility does not meet the employee criteria and is not subject to EPCRA Section 313 reporting.

- Another facility consists of six workers and three sales staff. The six workers each worked 2,000 hours for the facility during the calendar year. The sales staff also each worked 2,000 hours during the calendar year although they may have been on the road half of the year. In addition, five contract employees were hired for a period during which each worked 400 hours for the facility. The total number of hours is equal to the time worked by the workers (12,000 hours), plus the time worked by the sales staff for the facility (6,000 hours), plus the time worked by the contract employees (2,000 hours), or 20,000 hours. Dividing the 20,000 hours by 2,000 yields 10 full-time employees. This facility has met the full-time employee criteria and may be subject to reporting if the other criteria are met.

### ***B.2 Primary NAICS Code Determination***

Beginning with 2006 EPCRA Section 313 reporting, the TRI Program requires North American Industry Classification System (NAICS) codes instead of Standard Industrial Classification (SIC) codes. Please refer to the TRI Program's final rule titled Community Right-to-Know; Toxic Chemical Release Reporting Using North American Industry Classification System (NAICS) published in the *Federal Register* on June 6, 2006 (71 FR 32464).

EPA published a final rule on June 9, 2008 to incorporate 2007 Office of Management and Budget (OMB) revisions and other corrections to the NAICS codes used for TRI Reporting. [Federal Register (FR) notice 73 FR 32466.] With this rule, facilities are required to use 2007 NAICS codes on TRI reporting forms.

The full list of NAICS codes for facilities that must report to TRI (including exceptions and/or limitations) if all other threshold determinations are met can be found in Table I and also at the TRI website at <http://www.epa.gov/tri/lawsandregs/naic/ncodes.htm> The facility should determine its

## ***How to Determine if Your Facility Must Submit a Form R or Is Eligible to Use Form A***

own NAICS code (s), based on its activities on-site, using the NAICS Manual and by referring to the extensive OMB crosswalk tables found on the Census Bureau website: <http://www.census.gov>. For purposes of EPCRA Section 313 reporting, state assigned codes should not be used if they differ from codes assigned using the NAICS Manual.

The TRI Information Center can assist facilities with determining which NAICS codes are

assigned for specific business activities as referenced in the NAICS Manual. Clothbound editions of the NAICS Manual are available in most major libraries or may be ordered through the National Technical Information Service, 5285 Port Royal Road, Springfield, VA 22161, 703-605 6000. The access number for the hardbound manual is PB2002-101430, \$49.00+ shipping and handling. The access number for the CD-ROM version with search and retrieval software is PB2002-502024, \$60 + shipping and handling.

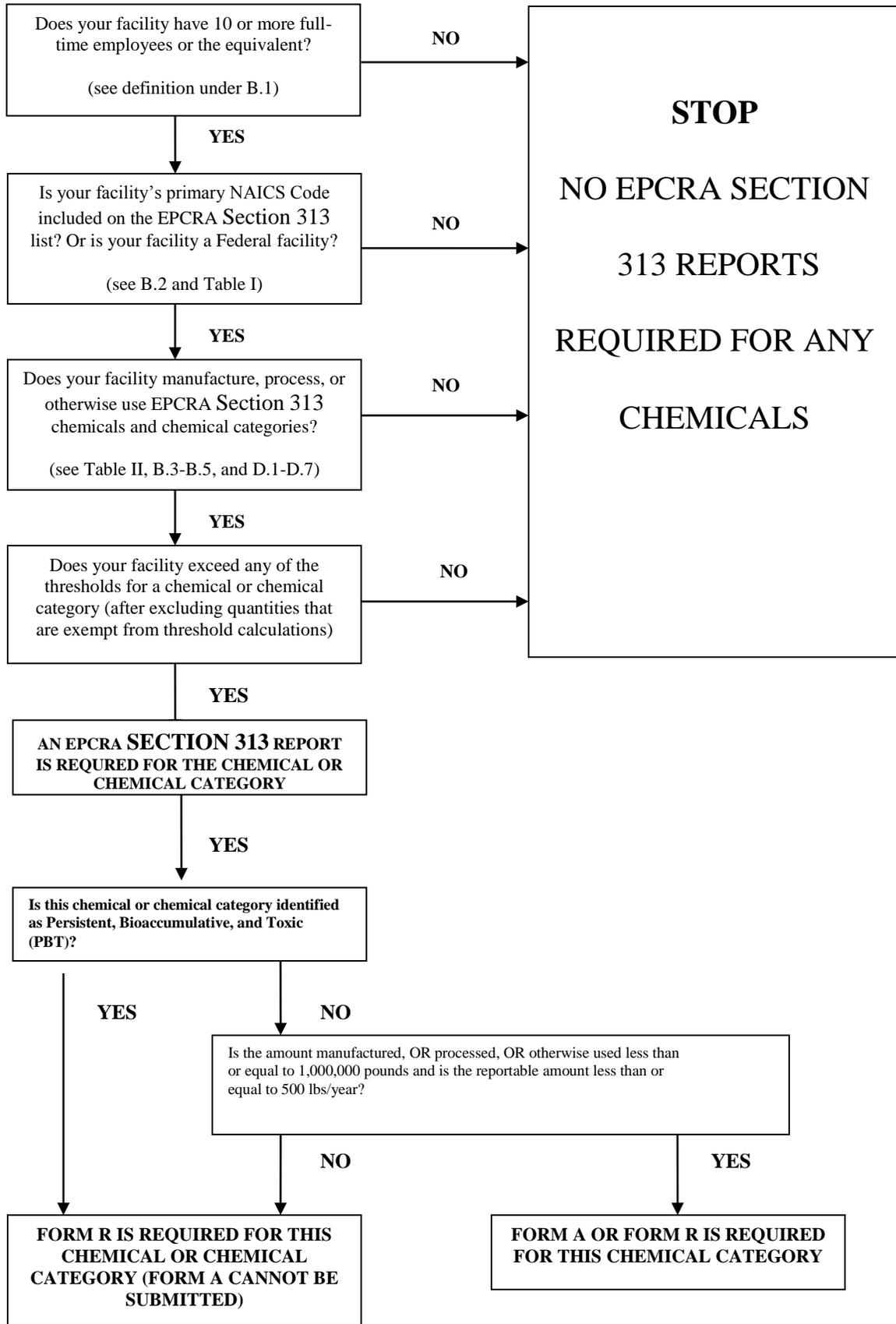
**Paperwork Reduction Act Notice:** The annual public burden related to the Form R, which is approved under OMB Control No. 2025-0009, is estimated to average 35.71 hours per response for a facility filing a report on one chemical. The annual public burden related to the Form A, which is also approved under OMB Control No. 2025-0009, is estimated to average 21.96 hours per response for a facility filing a report on one chemical.

Burden means the total time, effort, or financial resources expended by persons to generate, maintain, retain, or disclose or provide information to or for a Federal agency. This includes the time needed to review instructions; develop, acquire, install, and utilize technology and systems for the purposes of collecting, validating, and verifying information, processing and maintaining information, and disclosing and providing information; adjust the existing ways to comply with any previously applicable instructions and requirements; train personnel to be able to respond to a collection of information; search data sources; complete and review the collection of information; and transmit or otherwise disclose the information. An agency may not conduct or sponsor, and a person is not required to respond to, a collection of information unless it displays a currently valid OMB control number. The OMB control numbers for EPA's regulations are listed in 40 CFR Part 9 and 48 CFR Chapter 15.

Send comments on the Agency's need for this information, the accuracy of the provided burden estimates, and any suggested methods for minimizing respondent burden, including through the use of automated collection techniques, to the Director, Collection Strategies Division, U.S. Environmental Protection Agency (2822), 1200 Pennsylvania Ave., NW, Washington, D.C. 20460; and to the Office of Information and Regulatory Affairs, Office of Management and Budget, 725 17th Street, NW, Washington, DC 20503, Attention: Desk Officer for EPA. Include the EPA ICR number and OMB control number in any correspondence.

The completed forms should be submitted in accordance with the instructions accompanying the form, or as specified in the corresponding regulation.

**How to Determine if Your Facility Must Submit a Form R or Is Eligible to Use Form A**



**Figure 1. EPCRA Section 313 Reporting Decision Diagram**

### **B.2.a. Auxiliary Facilities**

Under the Standard Industrial Classification (SIC) system, an auxiliary facility was defined as one that supported another covered establishment's activities (e.g., research and development laboratories, warehouses, and storage facilities). An auxiliary facility could assume the SIC code of another covered establishment if its primary function was to service that other covered establishment's operations. The North American Industry Classification System (NAICS), that replaces the SIC system for TRI reporting, does not recognize the concept of auxiliary facilities and assigns NAICS codes to all establishments based on economic activity. In its rulemaking, "Toxic Chemical Release Reporting Using North American Industry Classification System," the TRI Program has adopted NAICS for TRI reporting and also the NAICS treatment of former "auxiliary facilities" as entities with their own distinct NAICS code.

### **B.2.b. Multi-establishment Facilities**

Your facility may include multiple establishments that have different NAICS codes. A multi-establishment facility is a facility that consists of two or more distinct and separate economic units. If your facility is a multi-establishment facility, calculate the value added of the products produced, shipped, or services provided from each establishment within the facility and then use the following rule to determine if your facility meets the NAICS code criterion:

- If the total value added of the products produced, shipped, or services provided at establishments with covered NAICS codes is greater than 50 percent of the value added of the entire facility's products and services, the entire facility meets the NAICS code criterion.
- If anyone establishment with a covered NAICS code has a value added of services or products shipped or produced that is greater than any other establishment within the facility (40 CFR Section 372.22(b)(3)) the facility also meets the NAICS code criterion.

The value added of production or service attributable to a particular establishment may be isolated by subtracting the product value obtained from other establishments within the same facility from the total product or service value of the

facility. This procedure eliminates the potential for "double counting" production and services in situations where establishments are engaged in sequential production or service activities at a single facility.

Examples include:

- A facility in coating, engraving and allied services has two establishments. The first establishment, a general automotive repair service, is in NAICS code 811113 (SIC 7537), which is not a covered NAICS code. However, the second establishment, a metal paint shop is in NAICS code 332812 (SIC 3479, which is a covered NAICS code). The metal paint shop paints the parts received from general automotive repair service. The facility determines the product is worth \$500/unit as received from the general automotive repair service (in non-covered NAICS code 811113) and the value of the product is \$1500/unit after processing by the metal paint shop (in covered NAICS code 332812). The value added by the metal paint shop is obtained by subtracting the value of the products from the general automotive repair service from that of the value of the products of the metal paint shop. (In this example, the value added = \$1,500/unit - \$500/unit = \$1,000/unit.) The value added (\$1,000/unit) by the establishment in NAICS code 332812 is more than 50 percent of the product value. Therefore, the facility's primary NAICS code is 332812, which is a covered NAICS code.
- A food processing establishment in a facility processes crops grown at the facility in a separate establishment. To determine the value added of the products of each establishment the facility could first determine the value of the crops grown at the agricultural establishment, and then calculate the contribution of the food processing establishment by subtracting the crop value from the total value of the product shipped from the processing establishment (value of product shipped from processing - crop value = value of processing establishment).

A covered multi-establishment facility must make EPCRA Section 313 chemical threshold determinations and, if required, must report all relevant information about releases and other

waste management activities, and source reduction activities associated with an EPCRA Section 313 chemical **for the entire facility**, even from establishments that are not in covered NAICS codes. EPA realizes, however, that certain establishments in a multi-establishment facility can be, for all practical purposes, separate and distinct business units. Therefore, while threshold determinations must be made for the entire facility, individual establishments which compose the entire facility may report their individual releases and other waste management activities separately. However, the total releases and other waste management quantities for the entire facility must be represented by the sum of the releases and other quantities managed as waste reported by each of the separate establishments.

### **B.2.c. Property Owners**

You are not required to report if you merely own real estate on which a facility covered by this rule is located; that is, you have no other business interest in the operation of that facility (e.g., your company owns an industrial park). The operator of that facility, however, is subject to reporting requirements.

## **B.3 Activity Determination**

### **B.3.a. Definitions of Manufacture, Process, and Otherwise Use**

**Manufacture:** The term “*manufacture*” means to produce, prepare, compound, or import an EPCRA Section 313 chemical. (See Part II, Section 3.1 of these instructions for further clarification.)

Import is defined as causing the EPCRA Section 313 chemical to be imported into the customs territory of the United States. If you order an EPCRA Section 313 chemical (or a mixture containing the chemical) from a foreign supplier, then you have imported the chemical when that shipment arrives at your facility directly from a source outside of the United States. By ordering the chemical, you have caused it to be imported, even though you may have used an import brokerage firm as an agent to obtain the EPCRA Section 313 chemical.

### **Do Not Overlook Coincidental Manufacture**

The term “*manufacture*” also includes coincidental production of an EPCRA Section 313 chemical (e.g., as a byproduct or impurity) as a result of the manufacture, processing, otherwise use or disposal of another chemical or mixture of chemicals. In the case of coincidental production of an impurity (i.e., an EPCRA Section 313 chemical that remains in the product that is distributed in commerce), the *de minimis* exemption, discussed in Section B.3.c of these instructions, applies. The *de minimis* exemption does not apply to byproducts (e.g., an EPCRA Section 313 chemical that is separated from a process stream and further processed or disposed of). Certain EPCRA Section 313 chemicals may be manufactured as a result of wastewater treatment or other treatment processes. For example, neutralization of wastewater containing nitric acid can result in the coincidental manufacture of a nitrate compound (solution), reportable as a member of the nitrate compounds category.

**Process:** The term “*process*” means the preparation of a listed EPCRA Section 313 chemical, after its manufacture, for distribution in commerce. Processing is usually the incorporation of an EPCRA Section 313 chemical into a product (see Part II, Section 3.2 of these instructions for further clarification). However, a facility may process an impurity that already exists in a raw material by distributing that impurity in commerce. Processing includes preparation of the EPCRA Section 313 chemicals in the same physical state or chemical form as that received by your facility, or preparation that produces a change in physical state or chemical form. The term also applies to the processing of a mixture or other trade name product (see Section B.4.b of these instructions) that contains a listed EPCRA Section 313 chemical as one component.

**Otherwise Use:** The term “*otherwise use*” means any use of an EPCRA Section 313 chemical, including an EPCRA Section 313 chemical contained in a mixture or other trade name product or waste, that is not covered by the terms manufacture or process. Otherwise use of an EPCRA Section 313 chemical does not include disposal, stabilization (without subsequent distribution in commerce), or treatment for destruction unless:

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(1) The EPCRA Section 313 chemical that was disposed of, stabilized, or treated for destruction was received from off-site for the purposes of further waste management;

Or

(2) The EPCRA Section 313 chemical that was disposed of, stabilized, or treated for destruction was manufactured as a result of waste

management activities on materials received from off-site for the purposes of waste management activities. Relabeling or redistributing of the EPCRA Section 313 chemical where no repackaging of the EPCRA Section 313 chemical occurs does not constitute an otherwise use or processing of the EPCRA Section 313 chemical. (See 62 FR 23846 and Part II, Section 3.3 of these instructions for further clarification).

### **Example 1: Coincidental Manufacture**

- Your company, a nitric acid manufacturer, uses aqueous ammonia in a waste treatment system to neutralize an acidic wastewater stream containing nitric acid. The reaction of ammonia and nitric acid produces a solution of ammonium nitrate. Ammonium nitrate (solution) is reportable under the nitrate compounds category and is manufactured as a byproduct. If the ammonium nitrate is produced in a quantity that exceeds the 25,000-pound manufacturing threshold, the facility must report under the nitrate compounds category.

The aqueous ammonia is considered to be otherwise used and 10 percent of the total aqueous ammonia would be counted towards the 10,000-pound otherwise use threshold. Reports for releases of ammonia must also include 10 percent of the total aqueous ammonia from the solution of ammonium nitrate (see the qualifier for the ammonia listing).

- As another example, combustion of coal or other fuel in boilers/furnaces can result in the coincidental manufacture of metal category compounds and sulfuric acid (acid aerosols), hydrochloric acid (acid aerosols), and hydrogen fluoride.

**Example 2: Typical Process and Manufacture Activities**

- Your company receives toluene, an EPCRA Section 313 chemical, from another facility, and reacts the toluene with air to form benzoic acid, which the company distributes in commerce. Your company processes toluene and manufactures and processes benzoic acid. Benzoic acid, however, is not an EPCRA Section 313 chemical and thus does not trigger reporting requirements.
- Your facility combines toluene purchased from a supplier with various materials to form paint which it then sells. Your facility processes toluene.
- Your company receives a nickel compound (nickel compounds is a listed EPCRA Section 313 chemical category) as a bulk solid and performs various size-reduction operations (e.g., grinding) before packaging the compound in 50-pound bags, which the company sells. Your company processes the nickel compound.
- Your company receives a prepared mixture of resin and chopped fiber to be used in the injection molding of plastic products. The resin contains a listed EPCRA Section 313 chemical that becomes incorporated into the plastic, which the company distributes in commerce. Your facility processes the EPCRA Section 313 chemical.
- In the combustion of coal or oil, metal category compounds may be produced from either the parent metal or a metal compound contained in the coal or oil. If a metal undergoes a change of valence, a metal compound is considered to be manufactured. For example, during the combustion process copper in valence state zero changes to copper in valence state +2 in a compound such as copper (II) oxide (CuO). Furthermore, a metallic compound could be transformed to another metallic compound without a change in valency (e.g., copper (II) chloride (CuCl<sub>2</sub>) is transformed to copper (II) oxide (CuO)). The transformation to a new compound by combustion without a change in valence state is also considered to be “manufactured” for purposes of EPCRA Section 313.

**Example 3: Typical Otherwise Use Activities**

- When your facility cleans equipment with toluene, you are otherwise using toluene. Your facility also separates two components of a mixture by dissolving one component in toluene, and subsequently recovers the toluene from the process for reuse or disposal. Your facility otherwise uses toluene.
- A covered facility receives a waste containing 12,000 pounds of Chemical A, a non-PBT EPCRA Section 313 chemical, from off-site. The facility treats the waste, destroying Chemical A and in the treatment process manufactures 10,500 pounds of Chemical B, another non-PBT EPCRA Section 313 chemical. Chemical B is disposed of on-site. Since the waste containing Chemical A was received from off-site for the purpose of waste management, the amount of Chemical A must be included in the otherwise use threshold determination for Chemical A. The otherwise use threshold for a non-PBT chemical is 10,000 pounds and since the amount of Chemical A exceeds this threshold, all releases and other waste management activities for Chemical A must be reported. Chemical B was manufactured in the treatment of a waste received from off-site. The facility disposed of Chemical B on-site. Since Chemical B was generated from waste received from off-site for treatment for destruction, disposal, or stabilization, the disposal of Chemical B is considered to be an otherwise use. Thus, the amount of Chemical B must be considered in the otherwise use threshold determination. Thus, the reporting threshold for Chemical B has also been exceeded and all releases and other waste management activities for Chemical B must be reported.

### **B.3.b. Persistent Bioaccumulative Toxic (PBT) Chemicals and Chemical Categories Overview**

On October 29, 1999, EPA published a final rule (64 FR 58666) adding certain chemicals and chemical categories to the EPCRA Section 313 list of toxic chemicals and lowering the reporting threshold for persistent bioaccumulative toxic (PBT) chemicals. In addition, on January 17, 2001 EPA published a final rule (66 FR 4500) that classified lead and lead compounds as PBT chemicals and lowered their reporting thresholds. The lower reporting thresholds for lead applies to all lead except when lead is contained in a stainless steel, brass or bronze alloy.

Dioxin and dioxin-like compounds, lead compounds, mercury compounds and polycyclic aromatic compounds (PACs) are the four PBT chemical categories with lower reporting thresholds. The 17 members of the dioxin and dioxin-like compounds category and the 21 members of the PACs category are listed in Table Iic of these instructions. The dioxin and dioxin-like compounds category has the qualifier, "Manufacturing; and the processing or otherwise use of dioxin and dioxin-like compounds if the dioxin and dioxin-like compounds are present as contaminants in a chemical and if they were created during the manufacturing of that chemical."

EPA has added six individual chemicals to the EPCRA Section 313 list of toxic chemicals that also had their thresholds lowered: benzo(g,h,i)perylene, benzo(j,k)fluorene (fluoranthene), 3-methylcholanthrene, octachlorostyrene, pentachlorobenzene, and tetrabromobisphenol A (TBBPA). Benzo(j,k)fluorene and 3-methylcholanthrene were added as members of the polycyclic aromatic compounds (PACs) chemical category.

EPA lowered the reporting thresholds for PBT chemicals to either 100 pounds, 10 pounds, or in the case of the dioxin and dioxin-like compounds chemical category, to 0.1 grams. The table at the beginning of Section B.4 of these instructions lists the applicable manufacture, process, and otherwise use thresholds for the listed PBT chemicals.

EPA eliminated the *de minimis* exemption for all PBT chemicals (except lead when contained in stainless steel, brass or bronze alloy). However,

this action does not affect the applicability of the *de minimis* exemption to the supplier notification requirements (40 CFR Section 372.45(d) (1)). In addition, PBT chemicals are ineligible for range reporting for on-site releases and transfers off-site for further waste management. This will not affect the applicability of range reporting of the maximum amount on-site as required by EPCRA Section 313(g).

All releases and other waste management quantities greater than 0.1 pounds of a PBT chemical (except the dioxin and dioxin like compounds chemical category) should be reported at a level of precision supported by the accuracy of the underlying data and estimation techniques on which the estimate is based. If a facility's release or other waste management estimates support reporting an amount that is more precise than whole numbers, then the more precise amount should be reported.

For the dioxin and dioxin-like compounds chemical category, which has a reporting threshold of 0.1 grams, facilities need only report all release and other waste management quantities greater than 100 micrograms (i.e., 0.0001 grams). Notwithstanding the numeric precision used when determining reporting eligibility thresholds, facilities should report on the Form R to the level of accuracy that their data supports, up to seven digits to the right of the decimal. EPA's reporting software and data management systems support data precision to seven digits to the right of the decimal. If a facility has information on the individual members of the dioxin and dioxin-like compounds category they will also need to file a Form R Schedule 1 (see instructions in Section D).

#### **Lead and Lead Compounds**

Lead and lead compounds are classified as PBT chemicals and are subject to the lower manufacturing, processing and otherwise use threshold of 100 pounds. However, when lead is contained in stainless steel, brass, or bronze alloys it remains subject to the higher 25,000 pound manufacturing and processing thresholds and the 10,000 pound otherwise use threshold.

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Listed below are some important guidelines to use when calculating threshold and release and other waste management quantities for lead and lead compounds:

- 1) quantities of lead not contained in stainless steel, brass or bronze alloy are applied to both the 100 pound threshold and the 25,000/10,000 pound thresholds;
- 2) quantities of lead that are contained in stainless steel, brass or bronze alloys are only applied toward the 25,000/10,000 pound thresholds;
- 3) a facility may take the *de minimis* exemption for those quantities of lead in stainless steel, brass, or bronze alloys that meet the *de minimis* standard (e.g., manufactured as an impurity). Accordingly, the *de minimis* exemption may be considered for quantities of lead in stainless steel, brass, or bronze alloys but it may not be considered for lead not in stainless steel, brass, or bronze alloys;
- 4) If a facility exceeds the 100-pound threshold for lead other than in stainless steel, brass, or bronze alloys, the facility may not apply Form A eligibility for non-PBTs, range reporting in Sections 5 and 6 of the Form R or the use of whole numbers and 2 significant digits to any of the lead they report though they may be eligible for Form A using the PBT eligibility criteria. If a facility that exceeds the 25,000/10,000 pound threshold for lead in stainless steel, brass, or bronze alloy without tripping the 100-pound threshold for non-alloyed lead, the facility may consider the Form A requirements for non-PBTs, range reporting in Sections 5 and 6 of the Form R, and the use of whole numbers and 2 significant digits.

### **B.3.c. Activity Exemptions**

**Otherwise Use Exemptions.** Certain otherwise uses of listed EPCRA Section 313 chemicals are specifically exempted:

- Otherwise use as a structural component of the facility;
- Otherwise use in routine janitorial or facility grounds maintenance;

- Personal uses by employees or other persons;
- Otherwise use of products containing EPCRA Section 313 chemicals for the purpose of maintaining motor vehicles operated by the facility; and
- Otherwise use of EPCRA Section 313 chemicals contained in intake water (used for processing or non-contact cooling) or in intake air (used either as compressed air or for combustion).

The exemption of an EPCRA Section 313 chemical otherwise used 1) as a structural component of the facility; or 2) in routine janitorial or facility grounds maintenance; or 3) for personal use by an employee cannot be taken for activities involving process related equipment.

**Articles Exemption.** EPCRA Section 313 chemicals contained in articles that are processed or otherwise used at a covered facility are exempt from threshold determinations and release and other waste management calculations. The exemption applies when the facility receives the article from another facility or when the facility produces the article itself. The exemption applies only to the quantity of EPCRA Section 313 chemical present in the article. If the EPCRA Section 313 chemical is manufactured (including imported), processed, or otherwise used at the covered facility other than as part of the article, in excess of an applicable threshold quantity, the facility is required to report that use of a chemical (40 CFR Section 372.38(b)). For an EPCRA Section 313 chemical in an item to be exempt as part of the article, the item must meet all the following criteria in the EPCRA Section 313 article definition; that is, it must be a manufactured item (1) which is formed to a specific shape or design during manufacture, (2) which has end use functions dependent in whole or in part upon its shape or design during end use, and (3) which does not release a toxic chemical under normal conditions of processing or use of the item at the facility.

If the processing or otherwise use of all like items results in a total release of 0.5 pound or less of an EPCRA Section 313 chemical in a reporting year to any environmental medium, EPA will allow this release to be rounded to zero, and the manufactured items retain their article status. The 0.5 pound threshold does not apply to each

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individual article, but applies to the sum of all releases from processing or otherwise use of all like articles. If all the releases of like articles over a reporting year are completely captured and recycled/reused on-site or off-site, those items retain their article status. Any amount that is released and is not recycled/reused will count toward the 0.5 pound per year cut off value.

The articles exemption applies to the normal processing or use of articles. This exemption does not apply to the manufacture of the article. EPCRA Section 313 chemicals incorporated into articles produced at a facility must be factored into threshold determinations and release and other waste management calculations.

If, in the course of processing or use, an item retains its initial thickness or diameter, in whole or in part, it meets the first part (i.e., it must be a manufactured item which is formed to a specific shape or design during manufacture) of the article definition. If the item's basic dimensional characteristics are totally altered during processing or otherwise use, the item does not meet the first part of the definition. An example of items that do

not meet the definition would be items that are cold extruded, such as lead ingots, which are formed into wire or rods. On the other hand, cutting a manufactured item into pieces that are recognizable as the article would not change the original dimensions as long as the diameter or the thickness of the item remained the same; the articles exemption would continue to apply. Metal wire may be bent and sheet metal may be cut, punched, stamped, or pressed without losing their article status as long as the diameter of the wire or tubing or the thickness of the sheet is not totally changed.

What constitutes a release of an EPCRA Section 313 chemical is important since processing or otherwise use of articles that result in a release to the environment (or more than 0.5 pounds) negate the article status and precludes eligibility for the exemption. Cutting, grinding, melting, or other processing of manufactured items could result in a release of an EPCRA Section 313 chemical during normal conditions of processing or otherwise use and therefore negate the exemption as articles.

### **Example 4: Articles Exemption**

- Nickel that is incorporated into a brass doorknob is processed to manufacture the brass doorknob, and therefore must be counted toward threshold determinations and release and other waste management calculations. However, the use of the brass doorknobs elsewhere in the facility does not have to be counted. Disposal of the brass doorknob after its use does not constitute a "release;" thus, the brass doorknob remains an article.
- If an item used in the facility is fragmented, the item is still an article if those fragments being discarded remain identifiable as the article (e.g., recognizable pieces of a cylinder, pieces of wire). For instance, an eight-foot piece of wire is cut into two four-foot pieces of wire, without releasing any EPCRA Section 313 chemicals. Each four-foot piece is identifiable as a piece of wire; therefore, the article status for these pieces of wire remains intact.
- EPCRA Section 313 chemicals received in the form of pellets are not articles because the pellet form is simply a convenient form for further processing of the material.

***De Minimis Exemption.*** The *de minimis* exemption allows facilities to disregard certain minimal concentrations of non-PBT chemicals in mixtures or other trade name products when making threshold determinations and release and other waste management calculations. The *de minimis* exemption does not apply to the

manufacture of an EPCRA Section 313 chemical except if that EPCRA Section 313 chemical is manufactured as an impurity and remains in the product distributed in commerce, or if the EPCRA Section 313 chemical is imported below the appropriate *de minimis* level. The *de minimis* exemption does not apply to a byproduct

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manufactured coincidentally as a result of manufacturing, processing, otherwise use, or any waste management activities. The *de minimis* exemption does not apply to any PBT chemical (except lead when it is contained in stainless steel, brass or bronze alloy) or PBT chemical category. A list of PBT chemicals may be found in Section B.4 of these instructions.

When determining whether the *de minimis* exemption applies to an EPCRA Section 313 chemical, the owner/operator must consider the concentration of the non-PBT EPCRA Section 313 chemical in mixtures and other trade name products. If the non-PBT EPCRA Section 313 chemical in a mixture or other trade name product is manufactured as an impurity, imported, processed, or otherwise used and is below the appropriate *de minimis* concentration level, then the quantity of the non-PBT EPCRA Section 313 chemical in that mixture or other trade name product does not have to be applied to threshold determinations nor included in release or other waste management determinations. If a non-PBT EPCRA Section 313 chemical in a mixture or other trade name product is below the appropriate *de minimis* level, all releases and other waste management activities associated with the EPCRA Section 313 chemical in that mixture or other trade name product are exempt from EPCRA Section 313 reporting. It is possible to meet an activity (e.g., processing) threshold for an EPCRA Section 313 chemical on a facility wide basis, but not be required to calculate releases or other waste management quantities associated with a particular process because that process involves only mixtures or other trade name products containing the non-PBT EPCRA Section 313 chemical below the *de minimis* level.

EPA interprets the *de minimis* exemption such that once a non-PBT EPCRA Section 313 chemical concentration is at or above the appropriate *de minimis* level in the mixture or other trade name product threshold determinations and release and other waste management calculations must be made, even if that chemical later falls below the *de minimis* level in the same mixture or other trade name product. Thus, EPA considers reportable all releases and other quantities managed as waste that occur after the *de minimis* level has been met or exceeded. If an EPCRA Section 313 chemical in a mixture or other trade name product at or above *de minimis* is brought on-site, the *de minimis* exemption never applies.

De minimis levels for non-PBT EPCRA Section 313 chemicals and chemical categories are set at concentration levels of either 1 percent or 0.1 percent; PBT chemicals and chemical categories do not have *de minimis* levels with regard to this exemption. The 0.1 percent *de minimis* levels are dictated by determinations made by the National Toxicology Program (NTP) in its Annual Report on Carcinogens, the International Agency for Research and Cancer (IARC) in its Monographs, or 29 CFR part 1910, subpart Z. Therefore, once a non-PBT chemical's status under NTP, IARC, or 29 CFR part 1910, subpart Z indicates that the chemical is a carcinogen or potential carcinogen, the reporting facility may disregard levels of the chemical below the 0.1 percent *de minimis* concentration provided that the other criteria for the *de minimis* exemption are met. De minimis levels for chemical categories apply to the total concentration of all chemicals in the category within a mixture, not the concentration of each individual category member within the mixture.

**Example 5: *De Minimis* Applications to Process and Otherwise Use Scenarios for Non-PBT Chemicals**

There are many cases in which the *de minimis* “limit” is crossed or re-crossed by non-PBT chemicals within a process or otherwise use scenario. The following examples are meant to illustrate these complex reporting scenarios.

**Increasing Concentration To or Above *De Minimis* Levels During Processing for Non-PBT Chemicals**

A manufacturing facility receives toluene that contains chlorobenzene at a concentration below its *de minimis* limit. Through distillation, the chlorobenzene content in process streams is increased over the *de minimis* concentration of 1 percent. From the point at which the chlorobenzene concentration equals 1 percent in process streams, the amount present must be factored into threshold determinations and release and other waste management estimates. The facility does not need to consider the amount of chlorobenzene in the raw material when below *de minimis* levels, i.e., prior to distillation to 1 percent, when making threshold determinations. The facility does not have to report emissions of chlorobenzene from storage tanks or any other equipment associated with that specific process where the chlorobenzene content is less than 1 percent.

**Fluctuating Concentration During Processing for Non-PBT Chemicals**

A manufacturer produces an ink product that contains toluene, an EPCRA Section 313 chemical, below the *de minimis* level. The process used causes the percentage of toluene in the mixture to fluctuate: it rises above the *de minimis* level for a time but drops below the level as the process winds down. The facility must consider the chemical toward threshold determinations from the point at which it first equals the *de minimis* limit. Once the *de minimis* limit has been met the exemption cannot be taken.

***De Minimis* Application to the Processing or Otherwise Use of a Mixture**

The *de minimis* exemption applies to the processing or otherwise use of a non-PBT EPCRA Section 313 chemical in a mixture. Threshold determinations and release and other waste management calculations begin at the point where the chemical meets or exceeds the *de minimis* level. If a non-PBT EPCRA Section 313 chemical is present in a mixture at a concentration below the *de minimis* level, this quantity of the substance does not have to be included for threshold determinations, release and other waste management reporting, or supplier notification requirements. The exemption will apply as long as the mixture containing *de minimis* amounts of a non-PBT EPCRA Section 313 chemical never equals or goes above the *de minimis* limit.

**Concentration Ranges Straddling the *De Minimis* Value**

There may be instances in which the concentration of a non-PBT chemical is given as a range straddling the *de minimis* limit. Example 6 illustrates how the *de minimis* exemption should be applied in such a scenario.

***De Minimis* Application in the Manufacture of the Listed Chemical in a Mixture**

The *de minimis* exemption generally does not apply to the manufacturing of an EPCRA Section 313 chemical. However, the *de minimis* exemption may apply to mixtures and other trade name products containing non-PBT EPCRA Section 313 chemicals that are imported into the United States. (See Example 5)

The exemption also applies to non-PBT EPCRA Section 313 chemicals that are manufactured as impurities that remain in the product distributed

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in commerce below the *de minimis* levels. The amount remaining in the product is exempt from threshold determinations. If the chemical is separated from the final product, it cannot qualify for the exemption. Any amount that is separated, or is separate, from the product, is considered a byproduct and is subject to threshold determinations and release and other

waste management calculations. Any amount of an EPCRA Section 313 chemical that is manufactured in a waste stream must be considered toward threshold determinations and release and other waste management calculations and accounted for on Form R even if that chemical is manufactured below the *de minimis* level.

### Example 6: Concentration Ranges Straddling the *De Minimis* Value

□ A facility processes 8,000,000 pounds of a mixture containing 0.25 to 1.25 percent manganese. Manganese is eligible for the *de minimis* exemption at concentrations up to 1 percent. The amount of mixture subject to reporting is the quantity containing manganese at or above the *de minimis* concentration:

$$[(8,000,000) \times (1.25\% - 0.99\%)] \div (1.25\% - 0.25\%)$$

The average concentration of manganese that is not exempt (above the *de minimis*) is:

$$(1.25\% + 1.00\%) \div (2)$$

$$\left[ \frac{(8,000,000) \times (1.25\% - 0.99\%)}{(1.25\% - 0.25\%)} \right] \times \left[ \frac{(1.25\% + 1.00\%)}{(2)} \right] = 23,400 \text{ pounds}$$

Therefore, the amount of manganese that is subject to threshold determination and release and other waste management estimates is:

$$= 23,400 \text{ pounds manganese (which is below the processing threshold for manganese)}$$

In this scenario, because the facility's information pertaining to manganese was available to two decimal places, 0.99 was used to determine the amount below the *de minimis* concentrations. If the information was available to one decimal place, 0.9 should be used, as in the scenario below.

□ As in the previous example, manganese is present in a mixture, of which 8,000,000 pounds is processed. The MSDS states the mixture contains 0.2 percent to 1.2 percent manganese. The amount of mixture subject to reporting (at or above *de minimis* limit) is:

$$[(8,000,000) \times (1.2\% - 0.9\%)] \div (1.2\% - 0.2\%)$$

The average concentration of manganese that is not exempt (at or above *de minimis* limit) is:

$$(1.2\% + 1.0\%) \div (2)$$

Therefore, the amount of manganese that is subject to threshold determinations and release and other waste management estimates is:

$$\left[ \frac{(8,000,000) \times (1.2\% - 0.9\%)}{(1.2\% - 0.2\%)} \right] \times \left[ \frac{(1.2\% + 1.0\%)}{(2)} \right] = 26,400 \text{ pounds}$$

$$= 26,400 \text{ pounds manganese (which is above the processing threshold for manganese)}$$

**Example 7: *De Minimis* Application in the Manufacture of a Toxic Chemical in a Mixture**

**Manufacture as a Product Impurity**

Toluene 2,4 diisocyanate reacts with trace amounts of water to form trace quantities of 2,4-diaminotoluene. The resulting product contains 99 percent toluene 2,4-diisocyanate and 0.05 percent 2,4-diaminotoluene. The 2,4 diaminotoluene would not be subject to EPCRA Section 313 reporting nor would supplier notification be required because the concentration of 2,4- diaminotoluene is below its *de minimis* limit of 0.1 percent in the product.

**Manufacture as a Commercial Byproduct and Impurity**

Chloroform is a reaction byproduct in the production of carbon tetrachloride. It is removed by distillation to a concentration of less than 150 ppm (0.0150 percent) remaining in the carbon tetrachloride. The separated chloroform at 90 percent concentration is sold as a byproduct. Chloroform is subject to a 0.1 percent (1000 ppm) *de minimis* limit. Any amount of chloroform manufactured and separated as byproduct must be included in threshold determinations because EPA does not interpret the *de minimis* exemption to apply to the manufacture of a chemical as a byproduct. Releases of chloroform prior to and during purification of the carbon tetrachloride must be reported. The *de minimis* exemption can, however, be applied to the chloroform remaining in the carbon tetrachloride as an impurity. Because the concentration of chloroform remaining in the carbon tetrachloride is below the *de minimis* limit, this quantity of chloroform is exempt from threshold determinations, release and other waste management reporting, and supplier notification.

**Manufacture as a Waste Byproduct**

A small amount of formaldehyde is manufactured as a reaction byproduct during the production of phthalic anhydride. The formaldehyde is separated from the phthalic anhydride as a waste gas and burned, leaving no formaldehyde in the phthalic anhydride. The amount of formaldehyde produced and removed must be included in threshold determinations and release and other waste management estimates even if the formaldehyde were present below the *de minimis* level in the process stream where it was manufactured or in the waste stream to which it was separated because EPA does not interpret mixtures and trade name products to includes wastes.

The *de minimis* exemption also does not apply to situations where a toxic chemical in waste is diluted to below the *de minimis* level.

**Laboratory Activities Exemption.** EPCRA Section 313 chemicals that are manufactured, processed, or otherwise used in a laboratory at a covered facility under the direct supervision of a technically qualified individual do not have to be considered for threshold determinations and release and other waste management calculations. However, pilot plant scale and specialty chemical production does not qualify for this laboratory activities exemption, nor does the use of EPCRA Section 313 chemicals for laboratory support activities, such as the use of chemicals for equipment maintenance.

**Coal Extraction Activities Exemption.** If an EPCRA Section 313 chemical is manufactured, processed, or otherwise used in extraction by facilities in NAICS codes 212111, 212112 and 212113, a person is not required to consider the quantity of the EPCRA Section 313 chemical so manufactured, processed, or otherwise used when considering threshold determinations and release and other waste management calculations (see Example 8). Reclamation activities occurring simultaneously with coal extraction activities (e.g., cast blasting) are included in the exemption. However, otherwise use of ash, waste rock, or fertilizer for reclamation purposes are not considered part of extraction; non-exempt amounts of EPCRA Section 313 chemicals contained in

these materials must be considered toward threshold determinations and release and other waste management calculations.

**Metal Mining Overburden Exemption.** If an EPCRA Section 313 chemical that is a constituent of overburden is processed or otherwise used by facilities in NAICS codes 212221, 212222, 212231, 212234, and 212299, a person is not required to consider the quantity of the EPCRA Section 313 chemical so processed or otherwise used when considering threshold determinations and release and other waste management calculations.

For purposes of EPCRA Section 313 reporting, overburden is the unconsolidated material that overlies a deposit of useful material or ore. It does not include any portion of the ore or waste rock.

**Example 8: Coal mining extraction activities**

Included among these are explosives for blasting operations, solvents, lubricants, and fuels for extraction related equipment maintenance and use, as well as overburden and mineral deposits. The EPCRA Section 313 chemicals contained in these materials are exempt from threshold determinations and release and other waste management calculations, when manufactured, processed or otherwise used during extraction activities at coal mines.

***B.4 Threshold Determinations***

EPCRA Section 313 reporting is required if threshold quantities are exceeded. Separate thresholds apply to the amount of the EPCRA Section 313 chemical that is manufactured, processed or otherwise used.

You must submit a report for any EPCRA Section 313 chemical that is not listed as a PBT chemical and which is manufactured or processed at your facility in excess of the following threshold:

- 25,000 pounds per toxic chemical or category over the calendar year.
- You must submit a report for any EPCRA Section 313 chemical which is not listed as a PBT chemical and that is otherwise used at your facility in excess of 10,000 pounds per toxic chemical or category over the calendar year.

You must submit a report for any EPCRA Section 313 chemical that is listed as a PBT chemical and which is manufactured, processed or otherwise used at your facility above the designated threshold for that chemical.

The PBT chemical names, CAS numbers and their reporting thresholds are listed in the table below. See Table IIc of these instructions for lists of individual members of the dioxin and dioxin-like compounds chemical category and the polycyclic aromatic compounds chemical category.

## ***How to Determine if Your Facility Must Submit a Form R or Is Eligible to Use Form A***

<b>Chemical or chemical category name</b>	<b>CAS number or chemical category code</b>	<b>Threshold (pounds, unless noted otherwise)</b>
Aldrin	309-00-2	100
Benzo[g,h,i]perylene	191-24-2	10
Chlordane	57-74-9	10
Dioxin and dioxin-like compounds category (manufacturing; and the processing or otherwise use of dioxin and dioxin-like compounds category if the dioxin and dioxin-like compounds are present as contaminants in a chemical and if they were created during the manufacturing of that chemical)	N150	0.1 gram
Heptachlor	76-44-8	10
Hexachlorobenzene	118-74-1	10
Isodrin	465-73-6	10
Lead (this lower threshold does not apply to lead when it is contained in stainless steel, brass or bronze alloy)	7439-92-1	100
Lead compounds	N420	100
Mercury	7439-97-6	10
Mercury compounds	N458	10
Methoxychlor	72-43-5	100
Octachlorostyrene	29082-74-4	10
Pendimethalin	40487-42-1	100
Pentachlorobenzene	608-93-5	10
Polychlorinated biphenyls (PCBs)	1336-36-3	10
Polycyclic aromatic compounds category (PACs)	N590	100
Tetrabromobisphenol A	79-94-7	100
Toxaphene	8001-35-2	10
Trifluralin	1582-09-8	100

### **B.4.a. How to Determine if Your Facility Has Exceeded Thresholds**

To determine whether your facility has exceeded an EPCRA Section 313 reporting threshold, compare quantities of EPCRA Section 313 chemicals that you manufacture, process, or otherwise use to the respective thresholds for those activities. A worksheet is provided in Figure 2A to assist facilities in determining whether they exceed any of the reporting thresholds for non-PBT chemicals; Figures 2B-D provide worksheets for PBT chemicals. This worksheet also provides a format for maintaining reporting facility records. Use of this worksheet is not required and the completed worksheet(s) should not accompany Form R reports submitted to EPA and the state.

Complete the appropriate worksheet for each EPCRA Section 313 chemical or chemical category. (The worksheets can be found at the end of section B.4.) Base your threshold determination for EPCRA Section 313 chemicals with qualifiers only on the quantity of the EPCRA Section 313 chemical satisfying the qualifier.

Use of the worksheets is divided into three steps:

- *Step 1* allows you to record the gross amount of the EPCRA Section 313 chemical or chemical category involved in activities throughout the facility. Pure forms as well as the amounts of the EPCRA Section 313 chemical or chemical category present in mixtures or other trade name products must be considered. The types of activity (i.e., manufacturing, processing, or otherwise using) for which the EPCRA Section 313 chemical is used must be identified because separate thresholds apply to each of these activities. A record of the information source(s) used should be kept. Possible information sources include purchase records, inventory data, and calculations by a process engineer. The data collected in Step 1 will be totaled for each activity to identify the overall amount of the EPCRA Section 313 chemical or chemical category manufactured (including imported), processed, or otherwise used.
- *Step 2* allows you to identify uses of the EPCRA Section 313 chemical or chemical category that were included in Step 1 but are

exempt under EPCRA Section 313. Do not include in Step 2 exempt quantities of the EPCRA Section 313 chemical not included in the calculations in Step 1. For example, if Freon contained in the building's air conditioners was not reported in Step 1, you would not include the amount as exempt in Step 2. Step 2 is intended for use when a quantity or use of the EPCRA Section 313 chemical is exempt while other quantities require reporting. Note the type of exemption for future reference. Also identify, if applicable, the fraction or percentage of the EPCRA Section 313 chemical present that is exempt. Add the amounts in each activity to obtain a subtotal for exempted amounts of the EPCRA Section 313 chemical or chemical categories at the facility.

- *Step 3* involves subtracting the result of Step 2 from the results of Step 1 for each activity. Compare this net sum to the applicable activity threshold. If the threshold is exceeded for any of the three activities, a facility must submit a Form R for that EPCRA Section 313 chemical or chemical category. Do not sum quantities of the EPCRA Section 313 chemical that are manufactured, processed, and otherwise used at your facility, because each of these activities requires a separate threshold determination. For example, if in a calendar year you processed 20,000 pounds of a non-PBT EPCRA Section 313 chemical and you otherwise used 6,000 pounds of that same chemical, your facility has not exceeded any applicable threshold and thus is not required to report for that chemical.

Worksheets should be retained to document your determination for reporting or not reporting, but should not be submitted with the report.

You must submit a report if you exceed any threshold for any EPCRA Section 313 chemical or chemical category. For example, if your facility processes 22,000 pounds of a non-PBT EPCRA Section 313 chemical and also otherwise uses 16,000 pounds of that same chemical, it has exceeded the otherwise use threshold (10,000 pounds for a non-PBT chemical) and your facility must report even though it did not exceed the process threshold (25,000 pounds for a non-PBT chemical). In preparing your reports, you must consider all non-exempted activities and all

releases and other waste management quantities of the EPCRA Section 313 chemical from your facility, not just releases and other waste management quantities from the otherwise use activity.

Also note that threshold determinations are based upon the actual amounts of an EPCRA Section 313 chemical manufactured, processed, or otherwise used over the course of the calendar year. The threshold determination may not relate to the amount of an EPCRA Section 313 chemical brought on-site during the calendar year. For example, if a stockpile of 100,000 pounds of a non-PBT EPCRA Section 313 chemical is present on-site but only 20,000 pounds of that chemical is applied to a process, only the 20,000 pounds processed is counted toward a threshold determination, not the entire 100,000 pounds of the stockpile.

#### **B.4.b. Threshold Determinations for On-Site Reuse Operations**

Threshold determinations of EPCRA Section 313 chemicals that are reused at the facility are based only on the amount of the EPCRA Section 313 chemical that is added during the year, not the total volume in the system. For example, a facility operates a refrigeration unit that contains 15,000 pounds of anhydrous ammonia at the beginning of the year. The system is charged with 2,000 pounds of anhydrous ammonia during the year. The facility has therefore "otherwise used" only 2,000 pounds of anhydrous ammonia, a non-PBT EPCRA Section 313 chemical, which is below the otherwise use threshold for anhydrous ammonia and is not required to report (unless there are other "otherwise use" activities of ammonia, that when taken together, exceed the reporting threshold). If, however, the whole refrigeration unit was recharged with 15,000 pounds of anhydrous ammonia during the year, then the facility would have exceeded the otherwise use threshold, and would be required to report.

This does not apply to EPCRA Section 313 chemicals "recycled" or "reused" off-site and returned to a facility. Such EPCRA Section 313 chemicals returned to a facility are treated as the equivalent of newly purchased material for purposes of EPCRA Section 313 threshold determinations.

#### **B.4.c. Threshold Determinations for Ammonia**

The listing for ammonia includes the modifier “includes anhydrous ammonia and aqueous ammonia from water dissociable ammonium salts and other sources; 10 percent of total aqueous ammonia is reportable under this listing.” The qualifier for ammonia means that anhydrous forms of ammonia are 100 percent reportable and aqueous forms are limited to 10 percent of total aqueous ammonia. Therefore, when determining threshold quantities, 100 percent of anhydrous ammonia is included but only 10 percent of total aqueous ammonia is included. If any ammonia evaporates from aqueous ammonia solutions, 100 percent of the evaporated ammonia is included in threshold determinations.

For example, if a facility processes aqueous ammonia, it has processed 100 percent of the aqueous ammonia in that solution. If the ammonia remains in solution, then 10 percent of the total aqueous ammonia is counted towards the threshold. If there are any evaporative losses of anhydrous ammonia, then 100 percent of those losses must be counted towards the processing threshold. If the manufacturing, processing, or otherwise use threshold for the ammonia listing is exceeded, the facility must report 100 percent of these evaporative losses in Sections 5 and 8 of the Form R.

#### **B.4.d. Threshold Determinations for Chemical Categories**

A number of chemical compound categories are subject to reporting. See Table IIc for a listing of these EPCRA Section 313 chemical categories. When preparing threshold determinations for one of these EPCRA Section 313 chemical categories, all individual members of a category that are manufactured, processed, or otherwise used must be counted. Where generic names are used at a facility, threshold determinations should be based on CAS numbers. For example, Poly-Solv EB does not appear among the reportable chemicals in Table IIa or IIb but its CAS number indicates Poly-Solv EB is a synonym for ethylene glycol mono-n-butyl ether, a member of the certain glycol ethers chemical category (code N230). For chemical compound categories, threshold determinations must be made separately for each of the three activities. Do not include in these

threshold determinations for a category any chemicals that are also individually listed EPCRA Section 313 chemicals (see Table IIa or IIb). Individually listed EPCRA Section 313 chemicals are subject to their own individual threshold determination.

#### **Organic Compounds**

For the organic compound categories, you are required to account for the entire weight of all compounds within a specific compound category (e.g., glycol ethers) at the facility for BOTH the threshold determination and release and other waste management estimates.

#### **Metal Category Compounds**

Threshold determinations for metal category compounds present a special case. If, for example, your facility processes several different nickel compounds, base your threshold determination on the total weight of all nickel compounds processed. However, if your facility processes both the “parent” metal (nickel) as well as one or more nickel compounds, you must make threshold determinations for both nickel (CAS number 7440-02-0) and nickel compounds (chemical category code N495) because they are separately listed EPCRA Section 313 chemicals. If your facility exceeds thresholds for both the parent metal and compounds of that same metal, EPA allows you to file one combined report (e.g., one report for nickel compounds, including nickel) because the release information you will report in connection with metal category compounds will be the total pounds of the metal released. If you file one combined report, you should put the name of the metal compound category on the Form R. In the example above, the facility that exceeded reporting thresholds for both the nickel and nickel compounds chemical category could submit a single Form R for the nickel compounds chemical category, which would contain release and other waste management information for both nickel and nickel compounds. Do not put both names on the Form R.

The case of metal category compounds involving more than one metal should be noted. Some metal category compounds may contain more than one listed metal. For example, lead chromate is both a lead compound and a chromium compound. In such cases, if applicable thresholds are exceeded,

you are required to file two separate reports, one for lead compounds and one for chromium compounds. Apply the total weight of the lead chromate to the threshold determinations for both lead compounds and chromium compounds. (Note: Only the quantity of each parent metal released or otherwise managed as waste, not the quantity of the compound, would be reported on the appropriate sections of both Form Rs. See B.5.)

**Nitrate Compounds** (water dissociable; reportable only when in aqueous solution)

For the category nitrate compounds (water dissociable; reportable only when in aqueous solution), the entire weight of the nitrate compound is counted in making threshold determinations. A nitrate compound is covered by this listing only when in water and only if dissociated. If no information is available on the identity of the type of nitrate that is manufactured, processed or otherwise used, assume that the nitrate compound exists as sodium nitrate.

#### **B.4.e Threshold Determination for Persistent Bioaccumulative Toxic (PBT) Chemicals**

There are two separate thresholds for EPCRA Section 313 PBT chemicals; these thresholds are set based on the chemicals' potential to persist and bioaccumulate in the environment. The manufacturing, processing and otherwise use thresholds for PBT chemicals is 100 pounds, while for the subset of PBTs chemicals that are highly persistent and highly bioaccumulative, it is 10 pounds. One exception is the dioxin and dioxin-like compounds chemical category. The threshold for this category is 0.1 gram. The PBT chemicals, their CAS numbers or chemical category code, and their reporting thresholds are listed in a table in the introductory section of B.4. See Table IIc of these instructions for lists of individual members of the dioxin and dioxin-like compounds chemical category and the polycyclic aromatic compounds (PACs) chemical category.

#### **B.4.f Mixtures and Other Trade Name Products**

EPCRA Section 313 chemicals contained in mixtures and other trade name products must be

factored into threshold determinations and release and other waste management calculations.

If your facility processed or otherwise used mixtures or other trade name products during the calendar year, you are required to use the best readily available data (or reasonable estimates if such data are not readily available) to determine whether the toxic chemicals in a mixture meet or exceed the *de minimis* concentration and, therefore, whether they must be included in threshold determinations and release and other waste management calculations. If you know that a mixture or other trade name product contains a specific EPCRA Section 313 chemical, combine the amount of the EPCRA Section 313 chemical in the mixture or other trade name product with other amounts of the same EPCRA Section 313 chemical processed or otherwise used at your facility for threshold determinations and release and other waste management calculations. If you know that a mixture contains an EPCRA Section 313 chemical but it is present below the *de minimis* level, you do not have to consider the amount of the EPCRA Section 313 chemical present in that mixture for purposes of threshold determinations and release and other waste management calculations. PBT chemicals are not eligible for the *de minimis* exemption except lead when it is contained in stainless steel, brass or bronze alloy.

Observe the following guidelines in estimating concentrations of EPCRA Section 313 chemicals in mixtures when only limited information is available:

- If you only know the upper bound concentration, you must use it for threshold determinations (40 CFR Section 372.30(b)(ii)).
- If you know the lower and upper bound concentrations of an EPCRA Section 313 chemical in a mixture, EPA recommends you use the midpoint of these two concentrations for threshold determinations.
- If you know only the lower bound concentration, EPA recommends you subtract out the percentages of any other known components to determine a reasonable upper bound concentration, and then determine a midpoint.

- If you have no information other than the lower bound concentration, EPA recommends you calculate a midpoint assuming an upper bound concentration of 100 percent.
- In cases where you only have a concentration range available, EPA recommends you use the midpoint of the range extremes.

***B.5 Release and Other Waste Management Determinations for Metals, Metal Category Compounds, and Nitrate Compounds***

**Metal Category Compounds**

Although the complete weight of the metal category compounds must be used in threshold determinations for the metal compounds category, only the weight of the metal portion of the metal category compound must be considered for release and other waste management determinations. Remember that for metal category compounds that consist of more than one metal, release and other waste management reporting must be based on the weight of each metal, provided that the appropriate thresholds have been exceeded.

**Metals and Metal Category Compounds**

For compounds within the metal compound categories, only the metal portion of the metal category compound must be considered in determining release and other waste management quantities for the metal category compounds. Therefore, if thresholds are separately exceeded for both the “parent” metal and its compounds, EPA allows you to file a combined Form R for the “parent” metal and its category compounds. This Form R would contain all of the release and other waste management information for both the “parent” metal and metal portion of the related metal category compounds. For example, you exceed thresholds for chromium. You also exceed thresholds for chromium compounds. Instead of filing two Form Rs you can file one combined Form R. This Form R would contain information on quantities of chromium released or otherwise managed as waste and the quantities of the chromium portion of the chromium compounds released or otherwise managed as waste. When filing one combined Form R for an EPCRA Section 313 metal and metal compound category, facilities should identify the chemical reported as the metal compound category name and code in Section 1 of the Form R. Note that this does not apply to the Form A. See the section in these instructions on the Form A. See Appendix B for more information about reporting the release and other waste management of metals and metal compounds.

**Nitrate Compounds (water dissociable; reportable only in aqueous solution)**

Although the complete weight of the nitrate compound must be used for threshold determinations for the nitrate compounds category only the nitrate portion of the compound should be used for release and other waste management calculations.

**Example 9: Mixtures and Other Trade Name Products**

**Scenario #1:** Your facility otherwise uses 12,000 pounds of an industrial solvent (Solvent X) for equipment cleaning. The Material Safety Data Sheet (MSDS) for the solvent indicates that it contains at least 50 percent n-hexane, an EPCRA Section 313 chemical; however, it also states that the solvent contains 20 percent non-hazardous surfactants. This is the only n-hexane-containing mixture used at the facility.

EPA recommends you follow these steps to determine if the quantity of the EPCRA Section 313 chemical in solvent X exceeds the threshold for otherwise use.

- 1) Determine a reasonable maximum concentration for the EPCRA Section 313 chemical by subtracting out the non-hazardous surfactants (i.e.,  $100\% - 20\% = 80\%$ ).
- 2) Determine the midpoint between the known minimum (50%) and the reasonable maximum calculated above (i.e.,  $(80\% + 50\%)/2 = 65\%$ ).
- 3) Multiply total weight of Solvent X otherwise used by 65% (0.65).  
 $12,000 \text{ pounds} \times 0.65 = 7,800 \text{ pounds}$
- 4) Because the total amount of n-hexane otherwise used at the facility was less than the 10,000-pound otherwise use threshold, the facility is not required to file a Form R for n-hexane.

**Scenario #2:** Your facility otherwise used 15,000 pounds of Solvent Y to clean printed circuit boards. The MSDS for the solvent lists only that Solvent Y contains at least 80 percent of an EPCRA Section 313 chemical that is only identified as chlorinated hydrocarbons.

EPA recommends you follow these steps to determine if the quantity of the EPCRA Section 313 chemical in the solvent exceeds the threshold for otherwise use.

- 1) Because the specific chemical is unknown, the Form R will be filed for “chlorinated hydrocarbons.” This name will be entered into Part II, Section 2.1, “Mixture Component Identity.” (Note: Because your supplier is claiming the EPCRA Section 313 chemical identity a trade secret, you do not have to file substantiation forms.)
- 2) The upper bound limit is assumed to be 100 percent and the lower bound limit is known to be 80 percent. Using this information, the specific concentration is estimated to be 90 percent (i.e., the mid-point between upper and lower limits).  
 $(100\% + 80\%)/2 = 90\%$
- 3) The total weight of Solvent Y is multiplied by 90 percent (0.90) when calculating for thresholds.  
 $15,000 \times 0.90 = 13,500$
- 4) Because the total amount of chlorinated hydrocarbons exceeds the 10,000-pound otherwise use threshold, you must file a Form R for this chemical.

**How to Determine if Your Facility Must Submit a Form R or Is Eligible to Use Form A**

Facility Name: \_\_\_\_\_

Date Worksheet Prepared: \_\_\_\_\_

EPCRA Section 313 Chemical or Chemical Category: \_\_\_\_\_

Prepared By: \_\_\_\_\_

CAS Registry Number: \_\_\_\_\_

Reporting Year: \_\_\_\_\_

Amounts of the EPCRA Section 313 chemical or chemical category manufactured, processed, or otherwise used.

Mixture Name or Other Identifier	Information Source	Total Weight (lb)	Percent EPCRA Section 313 Chemical by Weight	EPCRA Section 313 Chemical Weight (lb)	Amount of the EPCRA Section 313 Chemical or Chemical Category by Activity (lb.):		
					Manufactured	Processed	Otherwise Used
1.							
2.							
3.							
4.							
Subtotal:					(A) _____ lb	(B) _____ lb	(C) _____ lb

Exempt quantity of the EPCRA Section 313 chemical or chemical category that should be excluded.

Mixture Name as Listed Above	Applicable Exemption ( <i>de minimis</i> , articles, facility, activity)	Fraction or Percent Exempt (if Applicable)	Amount of the EPCRA Section 313 Chemical Exempt from Above (lb):		
			Manufactured	Processed	Otherwise Used
1.					
2.					
3.					
4.					
Subtotal:			(A <sub>1</sub> ) _____ lb	(B <sub>1</sub> ) _____ lb	(C <sub>1</sub> ) _____ lb

Amount subject to threshold:

(A-A1) \_\_\_\_\_ lb (B-B1) \_\_\_\_\_ lb (C-C1) \_\_\_\_\_ lb

Compare to threshold for EPCRA Section 313 reporting.

25,000 lbs 25,000 lbs 10,000 lbs

If any threshold is exceeded, reporting is required for all activities. Do not submit this worksheet with Form R or Form A; retain it for your records.

**Figure 2A. EPCRA Section 313 Non-PBT Chemical Reporting Threshold Worksheet<sup>1</sup>**

<sup>1</sup> Note: Chemicals listed as PBT have separate thresholds (dioxin and dioxin-like compounds chemical category = 0.1 g; highly persistent, highly bioaccumulative toxic chemicals = 10 lbs; all other PBT chemicals = 100 lbs). Make certain you are using the appropriate worksheet for the toxic chemical of concern.

**How to Determine if Your Facility Must Submit a Form R or Is Eligible to Use Form A**

Facility Name: \_\_\_\_\_

Date Worksheet Prepared: \_\_\_\_\_

EPCRA Section 313 Chemical or Chemical Category: \_\_\_\_\_

Prepared By: \_\_\_\_\_

CAS Registry Number: \_\_\_\_\_

Reporting Year: \_\_\_\_\_

**Amounts of the EPCRA Section 313 chemical or chemical category manufactured, processed, or otherwise used.**

Mixture Name or Other Identifier	Information Source	Total Weight (lb)	Percent EPCRA Section 313 Chemical by Weight	EPCRA Section 313 Chemical Weight (lb)	Amount of the EPCRA Section 313 Chemical or Chemical Category by Activity (lb.):		
					Manufactured	Processed	Otherwise Used
1.							
2.							
3.							
4.							
<b>Subtotal:</b>					(A) _____ lb	(B) _____ lb	(C) _____ lb

**Exempt quantity of the EPCRA Section 313 chemical or chemical category that should be excluded.**

Mixture Name as Listed Above	Applicable Exemption (articles, facility, activity) <sup>1</sup>	Fraction or Percent Exempt (if Applicable)	Amount of the EPCRA Section 313 Chemical Exempt from Above (lb):		
			Manufactured	Processed	Otherwise Used
1.					
2.					
3.					
4.					
<b>Subtotal:</b>			(A <sub>1</sub> ) _____ lb	(B <sub>1</sub> ) _____ lb	(C <sub>1</sub> ) _____ lb

Amount subject to threshold:

(A-A<sub>1</sub>) \_\_\_\_\_ lb (B-B<sub>1</sub>) \_\_\_\_\_ lb (C-C<sub>1</sub>) \_\_\_\_\_ lb

Compare to threshold for EPCRA Section 313 reporting.

100 lbs 100 lbs 100 lbs

If any threshold is exceeded, reporting is required for all activities. Do not submit this worksheet with Form R or Form A; retain it for your records.

**Figure 2B. EPCRA Section 313 Reporting Threshold Worksheet for PBT Chemicals with 100 Pound Thresholds**

<sup>1</sup> Note: Chemicals listed as PBT are not eligible for the de minimis exemption.

**How to Determine if Your Facility Must Submit a Form R or Is Eligible to Use Form A**

Facility Name: \_\_\_\_\_

Date Worksheet Prepared: \_\_\_\_\_

EPCRA Section 313 Chemical or Chemical Category: \_\_\_\_\_

Prepared By: \_\_\_\_\_

CAS Registry Number: \_\_\_\_\_

Reporting Year: \_\_\_\_\_

**Amounts of the EPCRA Section 313 chemical or chemical category manufactured, processed, or otherwise used.**

Mixture Name or Other Identifier	Information Source	Total Weight (lb)	Percent EPCRA Section 313 Chemical by Weight	EPCRA Section 313 Chemical Weight (lb)	Amount of the EPCRA Section 313 Chemical or Chemical Category by Activity (lb.):		
					Manufactured	Processed	Otherwise Used
1.							
2.							
3.							
4.							
<b>Subtotal:</b>					(A) _____ lb	(B) _____ lb	(C) _____ lb

**Exempt quantity of the EPCRA Section 313 chemical or chemical category that should be excluded.**

Mixture Name as Listed Above	Applicable Exemption (articles, facility, activity) <sup>1</sup>	Fraction or Percent Exempt (if Applicable)	Amount of the EPCRA Section 313 Chemical Exempt from Above (lb):		
			Manufactured	Processed	Otherwise Used
1.					
2.					
3.					
4.					
<b>Subtotal:</b>			(A <sub>1</sub> ) _____ lb	(B <sub>1</sub> ) _____ lb	(C <sub>1</sub> ) _____ lb

Amount subject to threshold:

(A-A<sub>1</sub>) \_\_\_\_\_ lb (B-B<sub>1</sub>) \_\_\_\_\_ lb (C-C<sub>1</sub>) \_\_\_\_\_ lb

Compare to threshold for EPCRA Section 313 reporting.

10 lbs 10 lbs 10 lbs

If any threshold is exceeded, reporting is required for all activities. Do not submit this worksheet with Form R or Form A; retain it for your records..

**Figure 2C. EPCRA Section 313 Reporting Threshold Worksheet for PBT Chemicals with 10 Pound Threshold**

<sup>1</sup> Note: Chemicals listed as PBT are not eligible for the de minimis exemption.

**How to Determine if Your Facility Must Submit a Form R or Is Eligible to Use Form A**

Facility Name: \_\_\_\_\_

Date Worksheet Prepared: \_\_\_\_\_

EPCRA Section 313 Chemical or Chemical Category: Dioxin and Dioxin-Like Compounds

Prepared By: \_\_\_\_\_

CAS Registry Number: N150\_

Reporting Year: \_\_\_\_\_

**Amounts of the EPCRA Section 313 chemical or chemical category manufactured, processed, or otherwise used.**

Mixture Name or Other Identifier	Information Source	Total Weight (lb)	Percent EPCRA Section 313 Chemical by Weight	EPCRA Section 313 Chemical Weight (lb)	Amount of the EPCRA Section 313 Chemical or Chemical Category by Activity (g.):		
					Manufactured	Processed	Otherwise Used
1.							
2.							
3.							
4.							
<b>Subtotal:</b>					(A) _____ lb	(B) _____ lb	(C) _____ lb

**Exempt quantity of the EPCRA Section 313 chemical or chemical category that should be excluded.**

Mixture Name as Listed Above	Applicable Exemption (articles, facility, activity) <sup>1</sup>	Fraction or Percent Exempt (if Applicable)	Amount of the EPCRA Section 313 Chemical Exempt from Above (g):		
			Manufactured	Processed	Otherwise Used
1.					
2.					
3.					
4.					
<b>Subtotal:</b>			(A <sub>1</sub> ) _____ lb	(B <sub>1</sub> ) _____ lb	(C <sub>1</sub> ) _____ lb

Amount subject to threshold:

(A-A<sub>1</sub>) \_\_\_\_\_ lb (B-B<sub>1</sub>) \_\_\_\_\_ lb (C-C<sub>1</sub>) \_\_\_\_\_ lb

Compare to threshold for EPCRA Section 313 reporting.

0.1 gram                      0.1 gram    0.1 gram

If any threshold is exceeded, reporting is required for all activities. Do not submit this worksheet with Form R; retain it for your records.

*Figure 2D. EPCRA Section 313 Reporting Threshold Worksheet for Dioxin and Dioxin-Like Compounds Chemical Category*

<sup>1</sup> Note: Chemicals listed as PBT are not eligible for the de minimis exemption.

## **C. Instructions for Completing TRI Form R**

The following instructions provide information on how to enter data on a Form R. Some data entry fields of a Form R are done differently using the TRI's electronic reporting tool called *TRI-MEweb* than on a hard-copy paper form. *TRI-MEweb* also has automatic data quality tools that will assist users to enter valid data on their Form R and detect any errors on a Form R. This is why *TRI-MEweb* is EPA's preferred method to submit TRI data.

### ***Part I. Facility Identification Information***

#### **Section 1. Reporting Year**

The reporting year is the calendar year to which the reported information applies, not the year in which you are submitting the report. Information for the 2011 reporting year must be submitted on or before July 1, 2012.

#### **Section 2. Trade Secret Information**

##### **2.1 Are you claiming the EPCRA Section 313 chemical identified on Page 2 a trade secret?**

Answer this question only after you have completed the rest of the report. The specific identity of the EPCRA Section 313 chemical being reported in Part II, Section 1 may be designated as a trade secret. If you are making a trade secret claim, mark "yes" and proceed to Section 2.2. Only check "yes" if you manufacture, process, or otherwise use the EPCRA Section 313 chemical whose identity is a trade secret. (See Section A.3 of these instructions for specific information on trade secrecy claims.) If you checked "no," proceed to Section 3; do not answer Section 2.2.

##### **2.2 If "yes" in 2.1, is this copy sanitized or unsanitized?**

Answer this question only after you have completed the rest of the report. Check "sanitized" if this copy of the report is the public version that does not contain the EPCRA Section 313 chemical identity but does contain a generic name that is structurally descriptive in its place, and if you have claimed the EPCRA Section 313 chemical

identity trade secret in Part I, Section 2.1. Otherwise, check "unsanitized."

#### **Section 3. Certification**

The certification statement must be signed by a senior official with management responsibility for the person (or persons) completing the form. A senior management official must certify the accuracy and completeness of the information reported on the form by signing and dating the certification statement. Each report must contain an original signature. You should print or type the name and title of the person who signs the statement in the space provided. This certification statement applies to all the information supplied on the form and should be signed only after the form has been completed.

#### **Section 4. Facility Identification**

##### **4.1 Facility Name, Location, and TRI Facility Identification Number**

Enter the full name that the facility presents to the public and its customers in doing business (e.g., the name that appears on invoices, signs, and other official business documents). Do not use a nickname for the facility (e.g., Main Street Plant) unless that is the legal name of the facility under which it does business. Also enter the street address, mailing address, city, county, state, and zip code in the space provided. Do not use a post office box number as the street address. The street address provided must be the location where the EPCRA Section 313 chemicals are manufactured, processed, or otherwise used. You may not use PO Box as a facility address. If your mailing address and street address are the same, you should enter NA in the space for the mailing address.

If your facility is not in a county, put the name of your city, district (for example, District of Columbia), or parish (if you are in Louisiana) in the county block of the Form R and Form A as well as in the county field of *TRI-MEweb*. "NA" or "None" are not acceptable entries. *TRI-MEweb* provides a drop-down menu for the county name, including city districts and parish names

##### **Location information for a facility that has previously submitted data to EPA.**

## *Instructions for Completing TRI Form R*

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If your facility has submitted a Form R in previous reporting years, and your facility is planning on submitting a TRI Form R, a TRI Facility Identification Number (TRIFID) has been already assigned to your facility. If you know your TRIFID, you should complete Form R Part I Section 4. If you do not know your TRI Facility Identification Number, you should visit the TRI Web page at <http://www.epa.gov/tri/contacts/contacts.htm> for more information or contact your Regional TRI Program representative, or utilize Envirofacts on the Web to look up the address or facility name <http://www.epa.gov/enviro>.

### **Location information for a facility that has previously submitted data to EPA, but has changed physical location.**

**Hard-copy paper Form R:** If your facility has moved do not enter your previously assigned TRI Facility Identification Number, enter “New Facility”. If you are filing a separate Form R for each establishment at your facility, you should use the same “New Facility” field for each establishment. Utilize Envirofacts on the Web to look up the address or facility name <http://www.epa.gov/enviro> if you are uncertain if a TRIFID has been assigned to your new facility location.

**TRI-MEweb:** If your facility has moved, you will need to request a new TRIFID to be assigned to your facility, add a new facility account to *TRI-MEweb* and chose to report as a new reporting facility (option 3). *TRI-MEweb* will automatically generate a new TRIFID for your facility. The TRIFID assigned to your new reporting facility should be used in all future reporting of TRI data.

### **Location information for a facility that has changed ownership, but has not changed physical location.**

The TRI Facility Identification Number is established by the first Form R submitted by a facility at a particular location. Only a change in address warrants filing as a new facility; otherwise, the TRI Facility Identification Number is retained by the facility even if the facility changes name, ownership, production processes, NAICS codes, etc.

**Hard-copy paper Form R:** The TRIFID identification number will always stay with the

physical location of a facility. If a new facility unit moves to this location it should use this TRIFID. Establishments of a facility (for facilities that report by part) that report separately should use the TRIFID of the primary facility.

**TRI-MEweb:** If your facility has changed ownership during the reporting year but not their physical location, the facility does not require a new TRIFID. Use the TRIFID assigned to previous owner. *TRI-MEweb* can be used to update facility information due to change of ownership.

### **Location reporting TRI releases for the first time to EPA.**

**Hard-copy paper Form R:** If you are preparing a hard-copy TRI form for the first time for your facility's location and have never reported to TRI in prior years, you should enter “New Facility” in the space on the hardcopy form designated for the TRI Facility Identification number (TRIFID).

**TRI-MEweb:** If your facility is reporting for the first time, upon creating your CDX account, and adding *TRI-MEweb* application, you will be prompted to add a new facility account into *TRI-MEweb*. *TRI-MEweb* will automatically generate a new TRIFID for your facility. The TRIFID assigned to your new reporting facility should be used in all future reporting of TRI data.

## **4.2 Full or Partial Facility Indication**

EPCRA Section 313 requires reports by “facilities,” which are defined as “all buildings, equipment, structures, and other stationary items which are located on a single site or on contiguous or adjacent sites and which are owned or operated by the same person (or by any person which controls, is controlled by, or under common control with such person). A facility may contain more than one establishment.”

EPCRA Section 313 defines establishment as “an economic unit, generally at a single physical location, where business is conducted or where services or industrial operations are performed.” Under Section 372.30(c) of the reporting rule, you may submit a separate Form R for each establishment or for groups of establishments in your facility, provided all releases and other waste management activities and source reduction

## *Instructions for Completing TRI Form R*

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activities involving the EPCRA Section 313 chemical from the entire facility are reported. This allows you the option of reporting separately on the activities involving an EPCRA Section 313 chemical at each establishment, or group of establishments (e.g., part of a covered facility), rather than submitting a single Form R for that EPCRA Section 313 chemical for the entire facility. However, if an establishment or group of establishments does not manufacture, process, or otherwise use or release or otherwise manage as waste an EPCRA Section 313 chemical, you do not have to submit a report for that establishment or group of establishments for that particular chemical. (See also Section B.2.a of these instructions.)

A covered facility must report all releases and other waste management activities and source reduction activities of an EPCRA Section 313 chemical if the facility meets a reporting threshold for that EPCRA Section 313 chemical. Whether submitting a report for the entire facility or separate reports for the establishments, the threshold determination must be made based on the entire facility. Indicate in Section 4.2 whether your report is for the entire covered facility as a whole or for part of a covered facility (i.e., one or more establishments).

Federal facilities and contractors at federal facilities (GOCOs: Government-owned, contractor-operated facilities) should check either 4.2c or 4.2d, but not both. Federal facilities should check 4.2c, even if their TRI reports contain release and other waste management information from contractors located at the facility. Contractors at federal facilities, which are required by EPCRA Section 313 to file TRI reports independently of the federal facility, should check 4.2d. This information is important to prevent duplication of federal facility data. (See Appendix A for further guidance on these instructions.)

### **4.3 Technical Contact**

Enter the name and telephone number (including area code) of a technical representative whom EPA or state officials may contact for clarification of the information reported on Form R. You should also enter an email address for this person. EPA encourages facilities to provide an email address for the Technical Contact on their TRI submissions because they will be able to receive

important program updates and email alerts notifying them when their FDP has been updated and published for their review. If the technical contact does not have an email address you should enter NA. This contact person does not have to be the same person who prepares the report or signs the certification statement and does not necessarily need to be someone at the location of the reporting facility. However, this person should be familiar with the details of the report so that he or she can answer questions about the information provided.

### **4.4 Public Contact**

Enter the name and telephone number (including area code) of a person who can respond to questions from the public about the form. You should also enter an e-mail address for this person. If you choose to designate the same person as both the Technical and the Public Contact, or you do not have a Public Contact, you may enter "Same as Section 4.3" in this space. This contact person does not have to be the same person who prepares the form or signs the Certification Statement and does not necessarily need to be someone at the location of the reporting facility.

### **4.5 North American Industry Classification System (NAICS) Codes**

The North American Industry Classification System (NAICS) is the economic classification system that replaces the 1987 SIC code system for TRI Reporting beginning with the RY 2006 EPCRA Section 313 reporting (71 FR 32464). Enter the appropriate six digit North American Industry Classification System (NAICS) Code that is the primary NAICS code for your facility in Section 4.5(a). For Reporting Year 2008 and beyond, use 2007 NAICS codes. Enter any other applicable NAICS for your facility in 4.5 (b)-(f). Table I lists the TRI-covered NAICS codes. If you do not know your NAICS code, consult the 2007 NAICS Manual (see Section B.2 of these instructions for ordering information) or check the SIC to NAICS crosswalk tables at <http://www.census.gov>

### **4.6 Dun & Bradstreet Number(s)**

Enter the nine digit number assigned by Dun & Bradstreet (D&B) for your facility or each establishment within your facility. These numbers code the facility for financial purposes. This number may be available from your facility's

## *Instructions for Completing TRI Form R*

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treasurer or financial officer. You can also obtain the numbers from Dun & Bradstreet by calling 1-888-814-1435, or by visiting this website: [https://www.dnb.com/product/dlw/form\\_cc4.htm](https://www.dnb.com/product/dlw/form_cc4.htm).

If a facility does not subscribe to the D&B service, a number can be obtained, toll free at 800 234-3867 (8:00 AM to 6:00 PM, Local Time) or on the Web at <http://www.dnb.com>. If none of your establishments has been assigned a D&B number, you should enter NA in box (a). If only some of your establishments have been assigned D&B numbers, enter those numbers in Part I, section 4.6.

obtained from the treasurer or financial officer of the company or by calling 1-888-814-1435, or by visiting this website: [https://www.dnb.com/product/dlw/form\\_cc4.htm](https://www.dnb.com/product/dlw/form_cc4.htm).

If your parent company does not have a D&B number, you should check the NA box.

### **Section 5. Parent Company Information**

You must provide information on your parent company. For TRI Reporting purposes, your parent company is the highest level U.S. company which directly owns at least 50 percent of the voting stock of your company. If there is no higher level U.S. company, select the “No U.S. Parent Company parent (for TRI reporting purposes)” check box. Corporate names should be treated as parent company names for companies with multiple facility sites. For example, the Bestchem Corporation is not owned or controlled by any other corporation but has sites throughout the country whose names begin with Bestchem. In this case, Bestchem Corporation should be listed as the parent company. Note that a facility that is a 50:50 joint venture is its own parent company. When a facility is owned by more than one company and none of the facility owners directly owns at least 50 percent of its voting stock, the facility should provide the name of the parent company of either the facility operator or the owner with the largest ownership interest in the facility.

#### **5.1 Name of Parent Company**

Enter the name of the corporation or other business entity that is your highest level U.S. parent company. If your facility has no higher level U.S. company, select the “No U.S. Parent Company (for TRI reporting purposes)” check box.

#### **5.2 Parent Company’s Dun & Bradstreet Number**

Enter the D&B number for your ultimate U.S. parent company, if applicable. The number may be

## **Part II. Chemical Specific Information**

In Part II, you are to report on:

- The EPCRA Section 313 chemical being reported;
- The general uses and activities involving the EPCRA Section 313 chemical at your facility;
- On-site releases of the EPCRA Section 313 chemical from the facility to air, water, and land;
- Quantities of the EPCRA Section 313 chemical transferred to off-site locations;
- Information for on-site and off-site disposal, treatment, energy recovery, and recycling of the EPCRA Section 313 chemical; and
- Source reduction activities.

### **Section 1. EPCRA Section 313 Chemical Identity**

#### **1.1 CAS Number**

Enter the Chemical Abstracts Service (CAS) registry number in Section 1.1 exactly as it appears in Table II of these instructions for the chemical being reported. CAS numbers are cross-referenced with an alphabetical list of chemical names in Table II. If you are reporting one of the EPCRA Section 313 chemical categories (e.g., chromium compounds), you should enter the applicable category code in the CAS number space. EPCRA Section 313 chemical category codes are listed below and can also be found in Table IIc and Appendix B.

EPCRA Section 313 Chemical Category Codes:

N010	Antimony compounds
N020	Arsenic compounds
N040	Barium compounds
N050	Beryllium compounds
N078	Cadmium compounds
N084	Chlorophenols
N090	Chromium compounds
N096	Cobalt compounds
N100	Copper compounds
N106	Cyanide compounds
N120	Diisocyanates
N150	Dioxin and dioxin-like compounds
N171	Ethylenebisdithiocarbamic acid, salts and esters (EBDCs)

N230	Certain glycol ethers
N420	Lead compounds
N450	Manganese compounds
N458	Mercury compounds
N495	Nickel compounds
N503	Nicotine and salts
N511	Nitrate compounds (water dissociable, reportable only in aqueous solution)
N575	Polybrominated biphenyls (PBBs)
N583	Polychlorinated alkanes (C10 to C13)
N590	Polycyclic aromatic compounds (PACs)
N725	Selenium compounds
N740	Silver compounds
N746	Strychnine and salts
N760	Thallium compounds
N770	Vanadium compounds
N874	Warfarin and salts
N982	Zinc compounds

If you are making a trade secret claim, you must report the CAS number or category code on your unsanitized Form R and unsanitized substantiation form. Do not include the CAS number or category code on your sanitized Form R or sanitized substantiation form.

#### **1.2 EPCRA Section 313 Chemical or Chemical Category Name**

Enter the name of the EPCRA Section 313 chemical or chemical category exactly as it appears in Table II. If the EPCRA Section 313 chemical name is followed by a synonym in parentheses, report the chemical by the name that directly follows the CAS number (i.e., not the synonym). If the EPCRA Section 313 chemical identity is actually a product trade name (e.g., Dicofol), the 9th *Collective Index* name is listed below it in brackets. You may report either name in this case.

**Do not** list the name of a chemical that does not appear in Table II, such as individual members of an EPCRA Section 313 chemical category. For example, if you use silver chloride, **do not** report silver chloride with its CAS number. Report this chemical as “silver compounds” with its category code, N740.

If you are making a trade secret claim, you must report the specific EPCRA Section 313 chemical identity on your unsanitized Form R and unsanitized substantiation form. Do not report the name of the EPCRA Section 313 chemical on your

sanitized Form R or sanitized substantiation form. Include a generic name that is structurally descriptive in Part II, Section 1.3 of your sanitized Form R report.

EPA requests that the EPCRA Section 313 chemical, chemical category, or generic name also be placed in the box marked “Toxic Chemical, Category, or Generic Name” in the upper right-hand corner on all pages of Form R. While this space is not a required data element, providing this information will help you in preparing a complete Form R report.

### **1.3 Generic Chemical Name**

Complete Section 1.3 only if you are claiming the specific EPCRA Section 313 chemical identity of the EPCRA Section 313 chemical as a trade secret and have marked the trade secret block in Part I, Section 2.1 on Page 1 of Form R. Enter a generic chemical name that is descriptive of the chemical structure. You should limit the generic name to seventy characters (e.g., numbers, letters, spaces, punctuation) or less. Do not enter mixture names in Section 1.3; see Section 2 below.

In-house plant codes and other substitute names that are not structurally descriptive of the EPCRA Section 313 chemical identity being withheld as a trade secret are not acceptable as a generic name. The generic name must appear on both sanitized and unsanitized Form Rs, and the name must be the same as that used on your substantiation forms.

## **Section 2. Mixture Component Identity**

Do not complete this section if you have completed Section 1 of Part II. Report the generic name provided to you by your supplier in this section if your supplier is claiming the chemical identity proprietary or trade secret. Do not answer “yes” in Part I, Section 2.1 on Page 1 of the form if you complete this section. You do not need to supply trade secret substantiation forms for this EPCRA Section 313 chemical because it is your supplier who is claiming the chemical identity a trade secret.

### **Example 10: Mixture Containing Unidentified EPCRA Section 313 Chemical**

Your facility uses 20,000 pounds of a solvent that your supplier has told you contains 80 percent “chlorinated aromatic,” their generic name for a non-PBT EPCRA Section 313 chemical subject to reporting under EPCRA Section 313. You, therefore, have used 16,000 pounds of some EPCRA Section 313 chemical and that exceeds the “otherwise use” threshold for a non-PBT chemical. You would file a Form R and enter the name “chlorinated aromatic” in the space provided in Part II, Section 2.

### **2.1 Generic Chemical Name Provided by Supplier**

Enter the generic chemical name in this section only if the following three conditions apply:

- 1.) You determine that the mixture contains an EPCRA Section 313 chemical but the only identity you have for that chemical is a generic name;
- 2.) You know either the specific concentration of that EPCRA Section 313 chemical component or a maximum or average concentration level; and
- 3.) You multiply the concentration level by the total annual amount of the whole mixture processed or otherwise used and determine that you meet the process or otherwise use threshold for that single, generically identified mixture component.

## **Section 3. Activities and Uses of the EPCRA Section 313 Chemical at the Facility**

Indicate whether the EPCRA Section 313 chemical is manufactured (including imported), processed, or otherwise used at the facility and the general nature of such activities and uses at the facility during the calendar year (see Figure 3). You are not required to report on Form R the quantity manufactured, processed or otherwise used. Report activities that take place only at your facility, not activities that take place at other facilities involving your products. You must check all the boxes in this section that apply. Refer to the

## Instructions for Completing Part II of EPA Form R

definitions of “manufacture,” “process,” and “otherwise use” in the general information section of these instructions or Part 40, Section 372.3 of the Code of Federal Regulations for additional explanations.

### 3.1 Manufacture the EPCRA Section 313 Chemical

Persons who manufacture (including import) the EPCRA Section 313 chemical must check at least one of the following:

- a. **Produce** — The EPCRA Section 313 chemical is produced at the facility.
- b. **Import** — The EPCRA Section 313 chemical is imported by the facility into the Customs Territory of the United States. (See Section B.3.a of these instructions for further clarification of import.)

And check at least one of the following:

- c. **For on-site use/processing** — The EPCRA Section 313 chemical is produced or imported and then further processed or otherwise used at the same facility. If you check this block, generally you should also check at least one item in Part II, Section 3.2 or 3.3.
- d. **For sale/distribution** — The EPCRA Section 313 chemical is produced or imported specifically for sale or distribution outside the manufacturing facility.
- e. **As a byproduct** — The EPCRA Section 313 chemical is produced coincidentally during the manufacture, processing, or otherwise use of another chemical substance or mixture and, following its production, is separated from that other chemical substance or mixture. EPCRA Section 313 chemicals produced as a result of waste management are also considered byproducts.
- f. **As an impurity** — The EPCRA Section 313 chemical is produced coincidentally as a result of the manufacture, processing, or otherwise use of another chemical but is not separated and remains in the mixture or other trade name product with that other chemical.

In summary, if you are a manufacturer of the EPCRA Section 313 chemical, you must check (a) and/or (b), and at least one of (c), (d), (e), and (f) in Section 3.1.

### 3.2 Process the EPCRA Section 313 Chemical

Persons who process the EPCRA Section 313 chemical must check at least one of the following:

- a. **As a reactant** — A natural or synthetic EPCRA Section 313 chemical is used in chemical reactions for the manufacture of another chemical substance or of a product. Includes but is not limited to, feedstocks, raw materials, intermediates, and initiators.
- b. **As a formulation component** — An EPCRA Section 313 chemical is added to a product (or product mixture) prior to further distribution of the product that acts as a performance enhancer during use of the product. Examples of EPCRA Section 313 chemicals used in this capacity include, but are not limited to, additives, dyes, reaction diluents, initiators, solvents, inhibitors, emulsifiers, surfactants, lubricants, flame retardants, and rheological modifiers.
- c. **As an article component** — An EPCRA Section 313 chemical becomes an integral component of an article distributed for industrial, trade, or consumer use. One example is the pigment components of paint applied to a chair that is sold.
- d. **Repackaging** — This consists of processing or preparation of an EPCRA Section 313 chemical (or product mixture) for distribution in commerce in a different form, state, or quantity. This includes, but is not limited to, the transfer of material from a bulk container, such as a tank truck to smaller containers such as cans or bottles.
- e. **As an impurity** — The EPCRA Section 313 chemical is processed but is not separated and remains in the mixture or other trade name product with that/those other chemical(s).

### 3.3 Otherwise Use the EPCRA Section 313 Chemical (non-incorporative activities)

## Instructions for Completing Part II of EPA Form R

Persons who otherwise use the EPCRA Section 313 chemical must check at least one of the following:

- a. **As a chemical processing aid** — An EPCRA Section 313 chemical that is added to a reaction mixture to aid in the manufacture or synthesis of another chemical substance but is not intended to remain in or become part of the product or product mixture is otherwise used as chemical processing aid. Examples of such EPCRA Section 313 chemicals include, but are not limited to, process solvents, catalysts, inhibitors, initiators, reaction terminators, and solution buffers.
- b. **As a manufacturing aid** — An EPCRA Section 313 chemical that aids the manufacturing process but does not become part of the resulting product and is not added to the reaction mixture during the manufacture or synthesis of another chemical substance is otherwise used as a manufacturing aid. Examples include, but are not limited to, process lubricants, metalworking fluids, coolants, refrigerants, and hydraulic fluids.
- c. **Ancillary or other use** — An EPCRA Section 313 chemical that is used at a facility for purposes other than aiding chemical processing or manufacturing as described above is otherwise used as an ancillary or other use. Examples include, but are not limited to, cleaners, degreasers, lubricants, fuels, EPCRA Section 313 chemicals used for treating wastes, and EPCRA Section 313 chemicals used to treat water at the facility.

**Figure 3. Reporting EPCRA Section 313 Chemicals**

<b>SECTION 1. TOXIC CHEMICAL IDENTITY</b>		
(Important: DO NOT complete this section if you are reporting a mixture component in Section 2 below.)		
1.1	CAS Number (Important: Enter only one number exactly as it appears on the Section 313 list. Enter category code if reporting a chemical category.)	
	334-88-3	
1.2	Toxic Chemical or Chemical Category Name (Important: Enter only one name exactly as it appears on the Section 313 list.)	
	Diazomethane	
1.3	Generic Chemical Name (Important: Complete only if Part I, Section 2.1 is checked "Yes". Generic Name must be structurally descriptive.)	
<b>SECTION 2. MIXTURE COMPONENT IDENTITY</b>		
(Important: DO NOT complete this section if you completed Section 1.)		
2.1	Generic Chemical Name Provided by Supplier (Important: Maximum of 70 characters, including numbers, letters, spaces, and punctuation.)	
<b>SECTION 3. ACTIVITIES AND USES OF THE TOXIC CHEMICAL AT THE FACILITY</b>		
(Important: Check all that apply.)		
3.1	3.2	3.3
Manufacture the toxic chemical:	Process the toxic chemical:	Otherwise use the toxic chemical:
a. <input checked="" type="checkbox"/> Produce      b. <input type="checkbox"/> Import  If Produce or Import c. <input checked="" type="checkbox"/> For on-site use/processing d. <input checked="" type="checkbox"/> For sale/distribution e. <input type="checkbox"/> As a byproduct f. <input type="checkbox"/> As an impurity	a. <input checked="" type="checkbox"/> As a reactant b. <input type="checkbox"/> As a formulation component c. <input type="checkbox"/> As an article component d. <input type="checkbox"/> Repackaging e. <input type="checkbox"/> As an impurity	a. <input type="checkbox"/> As a chemical processing aid b. <input type="checkbox"/> As a manufacturing aid c. <input type="checkbox"/> Ancillary or other use

**Section 4. Maximum Amount of the EPCRA Section 313 Chemical On-site at Any Time during the Calendar Year**

For data element 4.1 of Part II, insert the code (see codes below) that indicates the maximum quantity of the EPCRA Section 313 chemical (e.g., in storage tanks, process vessels, on-site shipping containers, or in wastes generated) at your facility at any time during the calendar year. If the EPCRA Section 313 chemical was present at several locations within your facility, use the maximum total amount present at the entire facility at any one time. While range reporting is not allowed for PBT chemicals elsewhere on the Form R, range reporting for PBT chemicals is allowed for the Maximum Amount On-site.

**Example 11: Manufacturing and Processing Activities of EPCRA Section 313 Chemicals**

In the two examples below, it is assumed that the threshold quantities for manufacture, process, or otherwise use (25,000 pounds, 25,000 pounds, and 10,000 pounds, respectively for non-PBT chemicals; 100 pounds for certain PBT chemicals; 10 pounds for highly persistent, highly bioaccumulative toxic chemicals; and 0.1 grams for the PBT chemical category comprised of dioxin and dioxin-like compounds) have been exceeded and the reporting of EPCRA Section 313 chemicals is therefore required.

1. Your facility manufactures diazomethane. Fifty percent is sold as a product, thus it is processed. The remaining fifty percent is reacted with alpha-naphthylamine, forming N-methyl-alpha-naphthylamine and also producing nitrogen gas.

- Your company manufactures diazomethane, an EPCRA Section 313 chemical, both for sale/ distribution as a commercial product and for on-site use/processing as a feedstock in the N-methyl-alpha-naphthylamine production process. Because the diazomethane is a reactant, it is also processed. See Figure 3 for how this information would be reported in Part II, Section 3 of Form R.
- Your facility also processes alpha-naphthylamine, as a reactant to produce N-methyl-alpha-naphthylamine, a chemical not on the EPCRA Section 313 list.

2. Your facility is a commercial distributor of Missouri bituminous coal, which contains mercury at 1.5 ppm (w:w). You should check the box on the Form R at Part II, Section 3.2.e for processing mercury as an impurity.

## Instructions for Completing Part II of EPA Form R

### Weight Range in Pounds

Range Code	From...	To...
01	0	99
02	100	999
03	1,000	9,999
04	10,000	99,999
05	100,000	999,999
06	1,000,000	9,999,999
07	10,000,000	49,999,999
08	50,000,000	99,999,999
09	100,000,000	499,999,999
10	500,000,000	999,999,999
11	1 billion	more than 1 billion

If the EPCRA Section 313 chemical present at your facility was part of a mixture or other trade name product, determine the maximum quantity of the EPCRA Section 313 chemical present at the facility by calculating the weight percent of the EPCRA Section 313 chemical only.

Do not include the weight of the entire mixture or other trade name product. These data may be found in the Tier II form your facility may have prepared under Section 312 of EPCRA. See Part 40, Section 372.30(b) of the Code of Federal Regulations for further information on how to calculate the weight of the EPCRA Section 313 chemical in the mixture or other trade name product. For EPCRA Section 313 chemical categories (e.g., nickel compounds), include all chemical compounds in the category when calculating the maximum amount, using the entire weight of each compound.

### Weight Range in Grams (Dioxin and Dioxin-like Compounds)

When reporting for the dioxin and dioxin-like compounds category use the following gram quantity range codes:

Range Code	From...	To...
12	0	0.099
13	0.1	0.99
14	1.0	9.99
15	10	99
16	100	999
17	1,000	9,999
18	10,000	99,999
19	100,000	999,999
20	1,000,000	more than 1 million

### Section 5. Quantity of the Toxic Chemical Entering Each Environmental Medium On-site

In Section 5, you must account for the total aggregate on-site releases of the EPCRA Section 313 chemical to the environment from your facility for the calendar year.

On-site releases to the environment include emissions to the air, discharges to surface waters, and releases to land and underground injection wells.

For all toxic chemicals (except the dioxin and dioxin-like compound category), do not enter the values in Section 5 in gallons, tons, liters, or any measure other than pounds. You must also enter the values as whole numbers (do not use scientific notation). Numbers following a decimal point are not acceptable for toxic chemicals other than those designated as PBT chemicals. For PBT chemicals, facilities should report release and other waste management quantities greater than 0.1 pound (except the dioxin and dioxin-like compounds category), provided the accuracy and the underlying data on which the estimate is based supports this level of precision.

For the dioxin and dioxin-like compounds category, facilities should report at a level of precision supported by the accuracy of the underlying data and the estimation techniques on which the estimate is based. For the dioxin and dioxin like compounds chemical category, which has a reporting threshold of 0.1 gram, facilities need only report all release and other waste management quantities greater than 100 micrograms (i.e., 0.0001 grams). (See Example 12) Notwithstanding the numeric precision used when determining reporting eligibility thresholds, facilities should report on Form R to the level of accuracy that their data supports, up to seven digits to the right of the decimal. EPA's reporting software and data management systems support data precision up to seven digits to the right of the decimal.

**Example 12: Reporting Dioxins and Dioxin-Like Compounds**

If the total quantity for Section 5.2 of the Form R (i.e., stack or point air emissions) is 0.00005 grams or less, then zero can be entered. If the total quantity is between 0.00005 and 0.0001 grams, then 0.0001 grams can be entered or the actual number can be entered (e.g., 0.000075).

**NA vs. a Numeric Value (e.g., Zero).** Generally, NA is applicable if the waste stream that contains or contained the EPCRA Section 313 chemical is not directed to the relevant environmental medium, or if leaks, spills and fugitive emissions cannot occur. If the waste stream that contains or contained the EPCRA Section 313 chemical is directed to the environmental medium, or if leaks, spills or fugitive emissions can occur, NA should not be used, even if treatment or emission controls result in a release of zero. If the annual aggregate release of that chemical was equal to or less than 0.5 pound, the value reported is zero (unless the chemical is a listed PBT chemical).

For Section 5.1, NA generally is not applicable for volatile organic compounds (VOCs). For Section 5.5.4, NA generally would not be applicable, recognizing the possibility of accidental spills or leaks of the EPCRA Section 313 chemical.

An example that illustrates the use of NA vs. a numeric value (e.g., zero) would be nitric acid involved in a facility's processing activities. If the facility neutralizes the wastes containing nitric acid to a pH of 6 or above, then the facility reports a release of zero for the EPCRA Section 313 chemical, not NA. Another example is when the facility has no underground injection well, in which case NA should be entered in Part I, Section 4.10 and checked in Part II, Section 5.4.1 and 5.4.2 of Form R. Also, if the facility does not landfill the acidic waste, NA should be checked in Part II, Section 5.5.1.B of Form R.

All releases of the EPCRA Section 313 chemical to the air must be classified as either stack or fugitive emissions, and included in the total quantity reported for these releases in Sections 5.1 and 5.2. Instructions for columns A, B, and C follow the discussions of Sections 5.1 through 5.5.

**5.1 Fugitive or Non-Point Air Emissions**

Report the total of all releases of the EPCRA Section 313 chemical to the air that are not released through stacks, vents, ducts, pipes, or any other confined air stream. You must include (1) fugitive equipment leaks from valves, pump seals, flanges, compressors, sampling connections, open-ended lines, etc.; (2) evaporative losses from surface impoundments and spills; (3) releases from building ventilation systems; and (4) any other fugitive or non-point air emissions. Engineering estimates and mass balance calculations (using purchase records, inventories, engineering knowledge or process specifications of the quantity of the EPCRA Section 313 chemical entering product, hazardous waste manifests, or monitoring records) may be useful in estimating fugitive emissions. You should check the NA box in Section 5.1 if you do not engage in activities that result in fugitive or non-point air emissions of this listed toxic chemical. For VOCs, NA generally would not be applicable.

**5.2 Stack or Point Air Emissions**

Report the total of all releases of the EPCRA Section 313 chemical to the air that occur through stacks, confined vents, ducts, pipes, or other confined air streams. You must include storage tank emissions. Air releases from air pollution control equipment would generally fall in this category. Monitoring data, engineering estimates, and mass balance calculations may help you to complete this section. You should check the NA box in Section 5.2 if there are no stack air activities involving the waste stream that contains or contained the EPCRA Section 313 chemical.

**5.3 Discharges to Receiving Streams or Water Bodies**

In Section 5.3 you are to enter all the names of the streams or water bodies to which your facility directly discharges the EPCRA Section 313 chemical on which you are reporting. A total of three spaces is provided on Page 2 of Form R. Enter the name of each receiving stream or surface water body to which the EPCRA Section 313 chemical being reported is directly discharged. Report the name of the receiving stream or water body as it appears on the permit for the facility. If the stream is not included in the NPDES permit or its name is not identified in the NPDES permit, enter the name of the off-site stream or water body by which it is

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publicly known or enter the first publicly named water body to which the receiving waters are a tributary, if the receiving waters are unnamed. Do not list a series of streams through which the EPCRA Section 313 chemical flows. Be sure to include all the receiving streams or water bodies that receive stormwater runoff from your facility. Do not enter names of streams to which off-site treatment plants discharge. You should check the NA box in section 5.3 if there are no discharges to receiving streams or water bodies of the waste stream that contains or contained the EPCRA Section 313 chemical (See discussion of NA vs. a Numeric Value (e.g., Zero) in the introduction of Section 5).

Enter the total annual amount of the EPCRA Section 313 chemical released from all discharge points at the facility to each receiving stream or water body. Include process outfalls such as pipes and open trenches, releases from on-site wastewater treatment systems, and the contribution from stormwater runoff, if applicable (see instructions for column C below). Do not include discharges to a POTW or other off-site wastewater treatment facilities in this section. These off-site transfers must be reported in Part II, Section 6 of Form R. Wastewater analyses and flowmeter data may provide the quantities you will need to complete this section.

Discharges of listed acids (e.g., hydrogen fluoride, nitric acid) may be reported as zero if the discharges have been neutralized to pH 6 or above. If wastewater containing a listed acid is discharged below pH 6, then releases of the acid must be reported. In this case, pH measurements may be used to estimate the amount of mineral acid released.

### **5.4.1 *Underground Injection On-Site to Class I Wells***

Enter the total amount of the EPCRA Section 313 chemical that was injected into Class I wells at the facility. Chemical analyses, injection rate meters, and RCRA Hazardous Waste Generator Reports are good sources for obtaining data that will be useful in completing this section. You should check the NA box in Section 5.4.1 if you do not inject the waste stream that contains or contained the EPCRA Section 313 chemical into Class I underground wells (See discussion of NA vs. a Numeric Value (e.g., Zero) in the introduction of Section 5).

### **5.4.2 *Underground Injection On-site to Class II-V Wells***

Enter the total amount of the EPCRA Section 313 chemical that was injected into wells at the facility other than Class I wells. Chemical analyses and injection rate meters are good sources for obtaining data that will be useful in completing this section. You should check the NA box in Section 5.4.2 if you do not inject the waste stream that contains or contained the EPCRA Section 313 chemical into Class II-V underground wells (See discussion of NA vs. a Numeric Value (e.g., Zero) in the introduction of Section 5).

## **5.5 *Disposal to Land On-site***

Five predefined subcategories for reporting quantities released to land within the boundaries of the facility are provided. Do not report land disposal at off-site locations in this section. Accident histories and spill records may be useful (e.g., release notification reports required under Section 304 of EPCRA, Section 103 of CERCLA, and accident histories required under Section 112(r)(7)(B)(ii) of the Clean Air Act). Where relevant, you should check the NA box in sections 5.5.1A through 5.5.3 if there are no disposal activities for the waste stream that contains or contained the EPCRA Section 313 chemical (See discussion of NA vs. a Numeric Value (e.g., Zero) in the introduction of Section 5). For 5.5.4, facilities generally should report zero, recognizing the potential for spills or leaks.

### **5.5.1A *RCRA Subtitle C landfills***

Enter the total amount of the EPCRA Section 313 chemical that was placed in RCRA Subtitle C landfills. EPA has not required facilities to estimate leaks from landfills because the amount of the EPCRA Section 313 chemical has already been reported as a release.

### **5.5.1B *Other landfills***

Enter the total amount of the EPCRA Section 313 chemical that was placed in landfills other than RCRA Subtitle C landfills. EPA has not required facilities to estimate leaks from landfills because the amount of the EPCRA Section 313 chemical has already been reported as a release.

### **5.5.2 *Land treatment/application farming***

Land treatment is a disposal method in which a waste containing an EPCRA Section 313 chemical is applied onto or incorporated into soil. While this disposal method is considered a release to land, any volatilization of EPCRA Section 313 chemicals into

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the air occurring during the disposal operation must not be included in this section but must be included in the total fugitive air releases reported in Part II, Section 5.1 of Form R.

### **Surface Impoundments**

A surface impoundment is a natural topographic depression, man-made excavation, or diked area formed primarily of earthen materials (although some may be lined with man-made materials), that is designed to hold an accumulation of liquid wastes or wastes containing free liquids. Examples of surface impoundments are holding, settling, storage, and elevation pits; ponds, and lagoons. If the pit, pond, or lagoon is intended for storage or holding without discharge, it would be considered to be a surface impoundment used as a final disposal method. A facility must determine, to the best of its ability, the percentage of a volatile chemical, e.g., benzene, that is in waste sent to a surface impoundment that evaporates during the reporting year. The facility must report this as a fugitive air emission in section 5.1. The balance should be reported in either section 5.5.3A or 5.5.3B.

Quantities of the EPCRA Section 313 chemical released to surface impoundments that are used merely as part of a wastewater treatment process generally should not be reported in this section. However, if an impoundment accumulates sludges containing the EPCRA Section 313 chemical, you must include an estimate in this section unless the sludges are removed and otherwise disposed of (in which case they must be reported under the appropriate section of the form). For the purposes of this reporting, storage tanks are not considered to be a type of disposal and are not to be reported in this section of Form R.

#### **5.5.3A RCRA Subtitle C Surface Impoundments**

Enter the total amount of the EPCRA Section 313 chemical that was placed in RCRA Subtitle C surface impoundments.

#### **5.5.3B Other Surface Impoundments**

Enter the total amount of the EPCRA Section 313 chemical that was placed in surface impoundments other than RCRA Subtitle C surface impoundments.

#### **5.5.4 Other Disposal**

Includes any amount of an EPCRA Section 313 chemical released to land that does not fit the categories of landfills, land treatment, or surface impoundment. This other disposal would include

any spills or leaks of EPCRA Section 313 chemicals to land. For example, 2,000 pounds of benzene leaks from an underground pipeline into the land at a facility. Because the pipe was only a few feet from the surface at the erupt point, 30 percent of the benzene evaporates into the air. The 600 pounds released to the air would be reported as a fugitive air release (Part II, Section 5.1) and the remaining 1,400 pounds would be reported as a release to land, other disposal (Part II, Section 5.5.4).

### **Section 5 Column A: Total Release**

Only on-site releases of the EPCRA Section 313 chemical to the environment for the calendar year are to be reported in this section of Form R. The total on-site releases from your facility do not include transfers or shipments of the EPCRA Section 313 chemical from your facility for sale or distribution in commerce, or of wastes to other facilities for disposal, treatment, energy recovery, or recycling (see Part II, Section 6 of these Instructions). Both routine releases, such as fugitive air emissions, and accidental or non-routine releases, such as chemical spills, must be included in your estimate of the quantity released.

**Releases of Less Than 1,000 Pounds.** For total annual releases or off-site transfers of an EPCRA Section 313 chemical from the facility of less than 1,000 pounds, the amount may be reported either as an estimate or by using the range codes that have been developed (range reporting in section 5 does not apply to PBT chemicals). The reporting range codes to be used are:

Code	Range (pounds)
A	1-10
B	11-499
C	500-999

Do not enter a range code and an estimate in the same box in column A. Total annual on-site releases of an EPCRA Section 313 chemical from the facility of less than 1 pound may be reported in one of several ways. You should round the value to the nearest pound. If the estimate is greater than 0.5 pound, you should either enter the range code "A" for "1-10" or enter "1" in column A. If the release is equal to or less than 0.5 pounds, you may round to zero and enter "0" in column A.

Note that total annual releases of 0.5 pound or less from the processing or otherwise use of an article

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maintain the article status of that item. Thus, if the only releases you have are from processing an article, and such releases are equal to or less than 0.5 pound per year, you are not required to submit a report for that EPCRA Section 313 chemical. The 0.5-pound release determination does not apply to just a single article. It applies to the cumulative releases from the processing or otherwise use of the same type of article (e.g., sheet metal or plastic film) that occurs over the course of the reporting year.

**Releases of 1,000 Pounds or More.** For releases to any medium that amount to 1,000 pounds or more for the year, you must provide an estimate in pounds per year in column A. Any estimate provided in column A need not be reported to more than two significant figures. This estimate should be in whole numbers. Do not use decimal points.

**Calculating On-Site Releases.** To provide the release information in column A, EPCRA Section 313(g) (2) requires a facility to use readily available data (including monitoring data) collected pursuant to other provisions of law, or, where such data are not readily available, “reasonable estimates” of the amounts involved. If available data (including monitoring data) are known to be non-representative, facilities must make reasonable estimates using the best readily available information.

Reasonable estimates of the amounts released should be made using published emission factors, material balance calculations, or engineering calculations. You may not use emission factors or calculations to estimate releases if more accurate data are available.

No additional monitoring or measurement of the quantities or concentrations of any EPCRA Section 313 chemical released into the environment, or of the frequency of such releases, beyond that required under other provisions of law or regulation or as part of routine plant operations, is required for the purpose of completing Form R.

You must estimate the quantity (in pounds) of the EPCRA Section 313 chemical or chemical category that is released annually to each environmental medium on-site. Include only the quantity of the EPCRA Section 313 chemical in this estimate. If the EPCRA Section 313 chemical present at your facility was part of a mixture or other trade name product, calculate only the releases of the EPCRA

Section 313 chemical, not the other components of the mixture or other trade name product. If you are only able to estimate the releases of the mixture or other trade name product as a whole, you should assume that the release of the EPCRA Section 313 chemical is proportional to its concentration in the mixture or other trade name product. See Part 40, Section 372.30(b) of the Code of Federal Regulations for further information on how to calculate the concentration and weight of the EPCRA Section 313 chemical in the mixture or other trade name product.

If you are reporting an EPCRA Section 313 chemical category listed in Table II of these instructions rather than a specific EPCRA Section 313 chemical, you must combine the release data for all chemicals in the EPCRA Section 313 chemical category (e.g., all listed members of certain glycol ethers or all listed members of chlorophenols) and report the aggregate amount for that EPCRA Section 313 chemical in that category separately. For example, if your facility releases 3,000 pounds per year of 2-chlorophenol, 4,000 pounds per year of 3-chlorophenol, and 4,000 pounds per year of 4-chlorophenol to air as fugitive emissions, you must report that your facility releases 11,000 pounds per year of chlorophenols to air as fugitive emissions in Part II, Section 5.1.

For aqueous ammonia solutions, releases must be reported based on 10 percent of total aqueous ammonia. Ammonia evaporating from aqueous ammonia solutions is considered to be anhydrous ammonia; therefore, 100 percent of the anhydrous ammonia should be reported if it is released to the environment. For dissociable nitrate compounds, release estimates should be based on the weight of the nitrate only.

For metal category compounds (e.g., chromium compounds), report releases of only the parent metal. For example, a user of various inorganic chromium salts would report the total chromium released regardless of the chemical compound and exclude any contribution to mass made by the other portion of the compound.

### **Section 5 Column B: Basis of Estimate**

For each release and otherwise managed waste estimate (Sections 5 & 6), you are required to indicate the principal method used to determine the amount of release and otherwise managed waste

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reported. You should enter a letter code identifying the method that applies to the largest portion of the total estimated release and otherwise managed waste quantity.

The codes are as follows:

- M1 Estimate is based on continuous monitoring data or measurements for the EPCRA Section 313 chemical
- M2 Estimate is based on periodic or random monitoring data or measurements for the EPCRA Section 313 chemical
- C Estimate is based on mass balance calculations, such as calculation of the amount of the EPCRA Section 313 chemical in streams entering and leaving process equipment.
- E1 Estimate is based on published emission factors, such as those relating release quantity to through-put or equipment type (e.g., air emission factors).
- E2 Estimate is based on-site specific emission factors, such as those relating release quantity to through-put or equipment type (e.g., air emission factors).
- O Estimate is based on other approaches such as engineering calculations (e.g., estimating volatilization using published mathematical formulas) or best engineering judgment. This would include applying estimated removal efficiency to a waste stream, even if the composition of the stream before treatment was fully identified through monitoring data.

For example, if 40 percent of stack emissions of the reported EPCRA Section 313 chemical were derived using source testing data, 30 percent by mass balance, and 30 percent by published chemical-specific emission factors, you should enter the code letter "M2" for periodic or random emission monitoring.

If the monitoring data, mass balance, or emission factor used to estimate the release is not specific to the EPCRA Section 313 chemical being reported, the form should identify the estimate based on other methods of estimation (O).

If a mass balance calculation yields the flow rate of a waste, but the quantity of reported EPCRA Section 313 chemical in the waste is based on solubility data, you should report "O" because engineering calculations were used as the basis of

estimate of the quantity of the EPCRA Section 313 chemical in the waste.

If the concentration of the EPCRA Section 313 chemical in the waste was measured by continuous emissions monitoring equipment and the flow rate of the waste was determined by mass balance, then the primary basis of the estimate should be "continuous emission monitoring" (M1). Even though a mass balance calculation also contributed to the estimate, "continuous emission monitoring" should be indicated because monitoring data were used to estimate the concentration of the chemical in waste.

Mass balance (C) should only be indicated if it is directly used to calculate the mass (weight) of EPCRA Section 313 chemical released. Monitoring data should be indicated as the basis of estimate only if the EPCRA Section 313 chemical concentration is measured in the waste. Monitoring data should not be indicated, for example, if the monitoring data relate to a concentration of the EPCRA Section 313 chemical in other process streams within the facility.

It is important to realize that the accuracy and proficiency of release estimation will improve over time. However, submitters are not required to use new emission factors or estimation techniques to revise previous Form R submissions.

### **Section 5 Column C: Percent from Stormwater**

This column relates only to Section 5.3 - discharges to receiving streams or water bodies. If your facility has monitoring data on the amount of the EPCRA Section 313 chemical in stormwater runoff (including unchanneled runoff), you must include that quantity of the EPCRA Section 313 chemical in your water release in column A and indicate the percentage of the total quantity (by weight) of the EPCRA Section 313 chemical contributed by stormwater in column C (Section 5.3C).

If your facility has monitoring data on the EPCRA Section 313 chemical and an estimate of flow rate, you must use these data to determine the percent stormwater.

If you have monitored stormwater but did not detect the EPCRA Section 313 chemical, enter zero in column C. If your facility has no stormwater

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monitoring data for the chemical, you should enter NA in this space on the form.

If your facility does not have periodic measurements of stormwater releases of the EPCRA Section 313 chemical, but has submitted chemical-specific monitoring data in permit applications, then these data must be used to calculate the percent contribution from stormwater. One way to calculate the flow rates from stormwater runoff is the Rational Method. In this method, flow rates, Q, can be estimated by multiplying the land area of the facility, A, by the runoff coefficient, C, and then multiplying that figure by the annual rainfall

intensity, I (i.e.,  $Q = A \cdot C \cdot I$ ). The rainfall intensity, I, is specific to the geographical area of the country where the facility is located, and may be obtained from most standard engineering manuals for hydrology. The flow rate, Q, will have volumetric dimensions per unit time, and will have to be converted to units of pounds per year. The runoff coefficient represents the fraction of rainfall that does not seep into the ground but runs off as stormwater. The runoff coefficient is directly related to how the land in the drainage area is used. (See table below)

Description of Land Area	Runoff Coefficient	Description of Land Area	Runoff Coefficient
Business		Brick	0.70-0.85
Downtown areas	0.70-0.95	Drives and walks	0.70-0.85
Neighborhood areas	0.50-0.70	Roofs	0.75-0.95
Industrial		Lawns: Sandy Soil	
Light areas	0.50-0.80	Flat, 2 percent	0.05-0.10
Heavy areas	0.60-0.90	Average, 2 - 7 percent	0.10-0.15
Industrial		Steep, 7 percent	0.15-0.20
Railroad yard areas	0.20-0.40	Lawns: Heavy Soil	
Unimproved areas	0.10-0.30	Flat, 2 percent	0.13-0.17
Streets		Average, 2 - 7 percent	0.18-0.22
Asphaltic	0.70-0.95	Steep, 7 percent	0.25-0.35
Concrete	0.80-0.95		

You should choose the most appropriate runoff coefficient for your site or calculate a weighted-average coefficient, which takes into account different types of land use at your facility:

Weighted-average runoff coefficient =

$$(\text{Area 1 \% of total})(C1) + (\text{Area 2 \% of total})(C2) + (\text{Area 3 \% of total})(C3) + \dots + (\text{Area i \% of total})(Ci)$$

where

$C_i$  = runoff coefficient for a specific land use of Area i.

**Example 13: Stormwater Runoff**

Your facility is located in a semi-arid region of the United States that has an annual precipitation (including snowfall) of 12 inches of rain. (Snowfall should be converted to the equivalent inches of rain; assume one foot of snow is equivalent to one inch of rain.) The total area covered by your facility is 42 acres (about 170,000 square meters or 1,829,520 square feet). The area of your facility is 50 percent unimproved area, 10 percent asphaltic streets, and 40 percent concrete pavement.

The total stormwater runoff from your facility is therefore calculated as follows:

Land Use	% Total Area	Runoff Coefficient
Unimproved area	50	0.20
Asphaltic streets	10	0.85
Concrete pavement	40	0.90

Weighted-average runoff coefficient = [(50%) x (0.20)] + [(10%) x (0.85)] + [(40%) x (0.90)] = 0.545

(Rainfall) x (land area) x (conversion factor) x (runoff coefficient) = stormwater runoff  
 (1 ft/year) x (1,829,520 ft<sup>2</sup>) x (7.48 gal/ft<sup>3</sup>) x (0.545) = 7,458,222 gallons/year

Total stormwater runoff = 7,458,222 gallons/year

Your stormwater monitoring data shows that the average concentration of zinc in the stormwater runoff from your facility from a biocide containing a zinc compound is 1.4 milligrams per liter. The total amount of zinc discharged to surface water through the plant wastewater discharge (non-stormwater) is 250 pounds per year. The total amount of zinc discharged with stormwater is:

(7,458,222 gallons stormwater)x(3.785 liters/gallon) = 28,229,370 liters stormwater

(28,229,370 liters stormwater)x(1.4 mg zinc/liter) x 10<sup>3</sup> g/mg x (1/454) lb/g = 87 lb zinc.

The total amount of zinc discharged from all sources of your facility is:

250 pounds zinc from wastewater discharged
<u>+87 pounds zinc from stormwater runoff</u>
337 pounds zinc total water discharged

The percentage of zinc discharge through stormwater reported in section 5.3 column C on Form R is:

(87/337)x100% = 26%

**Section 6. Transfer(s) of the Toxic Chemical in Wastes to Off-Site Locations**

You must report in this section the total annual quantity of the EPCRA Section 313 chemical in wastes sent to any off-site facility for the purposes of disposal, treatment, energy recovery, or recycling. Report the total amount of the EPCRA Section 313 chemical transferred off-site after any on-site waste treatment, recycling, or removal is completed.

For all toxic chemicals (except the dioxin and dioxin-like compounds category), do not enter the

values in Section 6 in gallons, tons, liters, or any measure other than pounds. You must also enter the values as whole numbers. Numbers following a decimal point are not acceptable for toxic chemicals other than those designated as PBT chemicals. For PBT chemicals, facilities should report release and other waste management quantities greater than 0.1 pound (except the dioxin and dioxin-like compounds category) provided the accuracy and the underlying data on which the estimate is based supports this level of precision.

**Dioxin and dioxin-like compounds category.** Facilities should report at a level of precision supported by the accuracy of the underlying data

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and the estimation techniques on which the estimate is based. Notwithstanding the numeric precision used when determining reporting eligibility thresholds, facilities should report on Form R to the level of accuracy that their data supports, up to seven digits to the right of the decimal. EPA's reporting software and data management systems support data precision to seven digits to the right of the decimal. The smallest quantity that needs to be reported on the Form R for the dioxin and dioxin-like compounds category is 0.0001 grams (see Example 12).

**NA vs. a Numeric Value (e.g., Zero).** You must enter a numeric value if you transfer an EPCRA Section 313 chemical to a Publicly Owned Treatment Works (POTW) or transfer wastes containing that toxic chemical to other off-site locations. If the aggregate amount transferred was less than 0.5 pound, then you should enter zero (unless the chemical is listed as a PBT chemical). Also report zero for transfers of listed mineral acids (i.e., hydrogen fluoride and nitric acid) if they have been neutralized to a pH of 6 or above prior to discharge to a POTW; do not check NA.

However, if you do not discharge wastewater containing the reported EPCRA Section 313 chemical to a POTW, you should check the "NA" box for the POTW's name in Section 6.1. If you do not ship or transfer wastes containing the reported EPCRA Section 313 chemical to other off-site locations, you should check the "NA" box in Section 6.2.

**Important:** Number the boxes for reporting the information for each sequential POTW or other off-site location in Sections 6.1 and 6.2. In the upper left hand corner of each box, the section number is either 6.1. [ ] or 6.2. [ ]. This section is required only for paper filers; *TRI-MEweb* does this task automatically for the reporting facility.

If you report a transfer of the listed EPCRA Section 313 chemical to one or more off-site locations, POTWs, you should number the boxes in Section 6.1 as 6.1.1, 6.1.2, etc. If you transfer the EPCRA Section 313 chemical to more than one POTW, you should photocopy Page 3 of Form R as many times as necessary and then number the boxes consecutively for each POTW (e.g., 6.1.2, 6.1.3, etc.). At the bottom of each page 3 that is submitted, indicate the total number of pages numbered "3" that you are submitting as part of Form R, as well as

indicating the sequence of those pages. For example, your facility transfers the reported EPCRA Section 313 chemical in wastewaters to two POTWs. You would photocopy Page 3 once, indicate at the bottom of each Page 3 that there are a total of two pages numbered "3" and then indicate the first and second Page 3. The box for the first POTW on the first Page 3 should be numbered 6.1.1 and while the box for second POTW on the second Page 3 should be numbered 6.1.2.

If you report a transfer of the EPCRA Section 313 chemical to one or more other off-site locations, you should number the boxes in section 6.2 as 6.2.1, 6.2.2, etc. If you transfer the EPCRA Section 313 chemical to more than two other off-site locations, you should photocopy Page 4 of Form R as many times as necessary and then number the boxes consecutively for each off-site location. At the bottom of Page 4 you will find instructions for indicating the total number of Page 4s that you are submitting as part of the Form R as well as indicating the sequence of those pages. For example, your facility transfers the reported EPCRA Section 313 chemical to three other off-site locations. You should photocopy page 4 once, indicate at the bottom of Section 6.2 on each Page 4 that there are a total of two Page 4s and then indicate the first and second Page 4. The boxes for the two off-site locations on the first Page 4 would be numbered 6.2.1 and 6.2.2, while the box for the third off-site location on the second Page 4 should be numbered 6.2.3. Please note that section 6.2 starts on Page 3 and continues on Page 4.

### 6.1 Discharges to Publicly Owned Treatment Works

In Section 6.1. [ ], you should enter the name and address for each POTW to which your facility discharges or otherwise transfers wastewater containing the reported EPCRA Section 313 chemical. The most common transfers of this type will be conveyances of the toxic chemical in facility wastewater through underground sewage pipes; however, materials may also be trucked or transferred via some other direct methods to a POTW. In Section 6.1.[ ]A or Section 6.1.[ ]B (for columns A and B, respectively, estimate the quantity of the reported EPCRA Section 313 chemical transferred to each POTW and the basis upon which the estimate was made, respectively.

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If you do not discharge wastewater containing the reported EPCRA Section 313 chemical to a POTW, enter NA in the box in Section 6.1.. (See discussion of NA vs. a Numeric Value (e.g., Zero) in the introduction of Section 6).

### **6.1.[ ]A. Quantity Transferred to Each POTW**

Enter the total amount, in pounds, of the reported EPCRA Section 313 chemical that is contained in the wastewaters transferred to each POTW. Do not enter the total poundage of the wastewaters. If the total amount transferred is less than 1,000 pounds, you may report a range by entering the appropriate range code (range reporting in section 6.1.[ ]\_A. does not apply to PBT chemicals). The following reporting range codes are to be used:

Code	Reporting Range (in pounds)
A	1-10
B	11-499
C	500-999

### **6.1.[ ]B Basis of Estimate**

You must identify the basis for your estimate of the total quantity of the reported EPCRA Section 313 chemical in the wastewater transferred to each POTW. You should enter one of the following letter codes that applies to the method by which the largest percentage of the estimate was derived.

- M1 Estimate is based on continuous monitoring data or measurements for the EPCRA Section 313 chemical.
- M2 Estimate is based on periodic or random monitoring data or measurements for the EPCRA Section 313 chemical.
- C Estimate is based on mass balance calculations, such as calculation of the amount of the EPCRA Section 313 chemical in streams entering and leaving process equipment.
- E1 Estimate is based on published emission factors, such as those relating release quantity to through-put or equipment type (e.g., air emission factors).
- E2 Estimate is based on-site specific emission factors, such as those relating release quantity to through-put or equipment type (e.g., air emission factors).
- O Estimate is based on other approaches such as engineering calculations (e.g., estimating volatilization using published mathematical formulas) or best engineering judgment. This would include applying estimated removal

efficiency to a waste stream, even if the composition of the stream before treatment was fully identified through monitoring data.

If you transfer an EPCRA Section 313 chemical to more than one POTW, you should report the basis of estimate that was used to determine the largest percentage of the EPCRA Section 313 chemical that was transferred.

### **6.2 Transfers to Other Off-Site Locations**

In Section 6.2 enter the EPA Identification Number (defined in 40 CFR 260.10 and therefore commonly referred to as the RCRA ID Number) name, and address for each off-site location to which your facility ships or transfers wastes containing the reported EPCRA Section 313 chemical for the purposes of disposal, treatment, energy recovery, or recycling. The RCRA ID Number may be found on the Uniform Hazardous Waste Manifest, which is required by RCRA regulations. If you ship or transfer wastes containing an EPCRA Section 313 chemical and the off-site location does not have an EPA Identification Number (e.g., it does not accept RCRA hazardous wastes) enter NA in the box for the off-site location EPA Identification Number. If you ship or transfer hazardous wastes containing an EPCRA Section 313 chemical to a facility that treats, stores, or disposes RCRA hazardous wastes, make sure to include that facility's RCRA Identification Number in the box for the off-site location EPA Identification Number. This RCRA ID is shown on the RCRA manifest that must accompany the hazardous waste to the off-site facility.

If appropriate, you must report multiple activities for each off-site location. For example, if your facility sends a reported EPCRA Section 313 chemical in a single waste stream to an off-site location where some of the EPCRA Section 313 chemical is to be recycled while the remainder of the quantity transferred is to be treated, you must report both the waste treatment and recycle activities, along with the quantity associated with each activity.

If your facility transfers an EPCRA Section 313 chemical to an off-site location and that off-site location performs more than four activities on that chemical, provide the necessary information in Box 6.2.1 for the off-site facility and the first four activities. Provide the information on the remainder

## Instructions for Completing Part II of EPA Form R

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of the activities in Box 6.2.2 and provide again the off-site facility identification and location information.

If you do not ship or transfer wastes containing the EPCRA Section 313 chemical to other off-site locations, you should check the NA box in Section 6.2, "Transfers to Other Off-Site Locations."

If you ship or transfer the reported EPCRA Section 313 chemical in wastes to another country, you do not need to report a RCRA ID for that waste. You should indicate NA in the RCRA ID field. Enter the complete address of the non-U.S. facility in the off-site address fields, the city in the city field, the non-U.S. state or province in the county field, the postal code in the zip code field, and the foreign country code in the country field. The most commonly used FIPS codes are listed in Table IV. To obtain a FIPS code for a country not listed, contact the TRI Information Center. There is nothing to enter in the state field.

### 6.2a Column A: Total Transfers

For each off-site location, enter the total amount, in pounds (in grams for dioxin and dioxin-like compounds), of the EPCRA Section 313 chemical that is contained in the waste transferred to that location. **Do not enter the total quantities of the waste.** If you do not ship or transfer wastes containing the EPCRA Section 313 chemical to other off-site locations, you should enter NA (See discussion of NA vs. a Numeric Value (e.g., Zero) in the introduction of Section 6) in the box for the off-site location's EPA Identification Number (defined in 40 CFR 260.10 and therefore commonly referred to as the RCRA ID Number).

If the total amount transferred is less than 1,000 pounds, you may report a range by entering the appropriate range code (range reporting in section 6.2 does not apply to PBT chemicals). The following reporting range codes are to be used:

Code	Reporting Range (in pounds)
A	1-10
B	11-499
C	500-999

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**Summary of Residue Quantities From Pilot-Scale Experimental Study<sup>a,b</sup>  
(weight percent of drum capacity)**

Unloading Method	Vessel Type	Value	Material			
			Kerosene <sup>c</sup>	Water <sup>d</sup>	Motor Oil <sup>e</sup>	Surfactant Solution <sup>f</sup>
Pumping	Steel drum	Range	1.93 - 3.08	1.84 - 2.61	1.97 - 2.23	3.06
		Mean	2.48	2.29	2.06	3.06
Pumping	Plastic drum	Range	1.69 - 4.08	2.54 - 4.67	1.70 - 3.48	Not Available
		Mean	2.61	3.28	2.30	
Pouring	Bung-top steel drum	Range	0.244 - 0.472	0.266 - 0.458	0.677 - 0.787	0.485
		Mean	0.404	0.403	0.737	0.485
Pouring	Open-top steel drum	Range	0.032 - 0.080	0.026 - 0.039	0.328 - 0.368	0.089
		Mean	0.054	0.034	0.350	0.089
Gravity Drain	Slope-bottom steel tank	Range	0.020 - 0.039	0.016 - 0.024	0.100 - 0.121	0.048
		Mean	0.033	0.019	0.111	0.048
Gravity Drain	Dish-bottom steel tank	Range	0.031 - 0.042	0.033 - 0.034	0.133 - 0.191	0.058
		Mean	0.038	0.034	0.161	0.058
Gravity Drain	Dish-bottom glass-lined tank	Range	0.024 - 0.049	0.020 - 0.040	0.112 - 0.134	0.040
		Mean	0.040	0.033	0.127	0.040

<sup>a</sup> From "Releases During Cleaning of Equipment." Prepared by PEI Associates, Inc., for the U.S. Environmental Protection Agency, Office of Pesticides and Toxic Substances, Washington DC, Contract No. 68-02-4248. June 30, 1986.

<sup>b</sup> The values listed in this table should only be applied to similar vessel types, unloading methods, and bulk fluid materials. At viscosities greater than 200 centipoise, the residue quantities can rise dramatically and the information on this table is not applicable.

<sup>c</sup> For kerosene, viscosity = 5 centipoise, surface tension = 29.3 dynes/cm<sup>2</sup>

<sup>d</sup> For water, viscosity = 4 centipoise, surface tension = 77.3 dynes/cm<sup>2</sup>

<sup>e</sup> For motor oil, viscosity = 94 centipoise, surface tension = 34.5 dynes/cm<sup>2</sup>

<sup>f</sup> For surfactant solution, viscosity = 3 centipoise, surface tension = 31.4 dynes/cm<sup>2</sup>

**Example 14: Container Residue**

You have determined that a Form R for an EPCRA Section 313 chemical must be submitted. The facility purchases and uses one thousand 55-gallon steel drums that contain a 10 percent solution of the chemical. Further, it is assumed that the physical properties of the solution are similar to water. The solution is pumped from the drums directly into a mixing vessel and the "empty" drums are triple-rinsed with water. The rinse water is indirectly discharged to a POTW and the cleaned drums are sent to a drum reclaimer.

In this example, it can be assumed that all of the residual solution in the drums was transferred to the rinse water. Therefore, the quantity transferred to the drum reclaimer should be reported as "zero." The annual quantity of residual solution that is transferred to the rinse water can be estimated by multiplying the mean weight percent of residual solution remaining in water from pumping a steel drum (2.29 percent from the preceding table, "Summary of Residue Quantities From Pilot-Scale Experimental Study") by the total annual weight of solution in the drum (density of solution multiplied by drum volume). If the density is not

## Instructions for Completing Part II of EPA Form R

known, it may be appropriate to use the density of water (8.34 pounds per gallon):

$$(2.29\%) \times (8.34 \text{ pounds/gallon}) \times (55 \text{ gallons/drum}) \times (1,000 \text{ drums}) = 10,504 \text{ pounds solution}$$

The concentration of the EPCRA Section 313 chemical in the solution is only 10%.

$$(10,504 \text{ pounds solution}) \times (10\%) = 1,050 \text{ pounds}$$

Therefore, 1,050 pounds of the chemical are transferred to the POTW.

### Example 15: Reporting Metals and Metal Category Compounds that are sent Off-site

A facility manufactures a product containing elemental copper, exceeding the processing threshold for copper. Various metal fabrication operations for the process produce a wastewater stream that contains some residual copper and off-specification copper material. The wastewater is collected and sent directly to a POTW. Periodic monitoring data show that 500 pounds of copper were transferred to the POTW in the reporting year. The POTW eventually releases these chemicals to a stream. The off-specification products (containing copper) are collected and sent off-site to a RCRA Subtitle C landfill. Sampling analyses of the product combined with hazardous waste manifests were used to determine that 1,200 pounds of copper in the off-spec product were sent to the off-site landfill.

Therefore, the facility must report 500 pounds in Sections 6.1 and 8.1d, and 1200 pounds in Sections 6.2 (waste code M65 (RCRA Subtitle C Landfill) should be used) and 8.1d.

Note that for EPCRA Section 313 chemicals that are not metals or metal category compounds, the quantity sent for treatment at POTWs and to other off-site treatment locations must be reported in Section 8.7 - Quantity Treated Off-site. However, if you know that some or all of the chemical is not treated for destruction at the off-site location you must report that quantity in Section 8.1.

If you transfer the EPCRA Section 313 chemical in wastes to an off-site facility for distinct and multiple purposes, you must report those activities for each off-site location, along with the quantity of the reported EPCRA Section 313 chemical associated with each activity. For example, your facility transfers a total of 15,000 pounds of toluene to an off-site location that will use 5,000 pounds for the purposes of energy recovery, will enter 7,500 pounds into a recovery process, and will dispose of the remaining 2,500 pounds. These quantities and the associated activity codes must be reported separately in Section 6.2. (See Figure 4 for a hypothetical Section 6.2 completed for two off-site locations, one of which receives the transfer of 15,000 pounds of toluene as detailed.) If you have fewer than four total transfers in Section 6.2 Column A (see examples in Figure 4), an NA should be placed in Column A of the first unused row to indicate the termination of the sequence. If all four rows are used, there is no need to terminate the sequence. If there are more than four total transfers, re-enter the name of the off-site location, address, etc. in the next row (6.2.2) and then you should enter NA when the sequence has terminated if there are fewer than 8 (i.e. anytime there are fewer than 4 transfers listed in a Section 6.2 block, an NA should be used to terminate the sequence).

Do not double or multiple count amounts transferred off-site. For example, when a reported EPCRA Section 313 chemical is sent to an off-site facility for sequential activities, you should report the final disposition of the toxic chemical.

### 6.2b Column B: Basis of Estimate

You must identify the basis for your estimates of the quantities of the reported EPCRA Section 313 chemical in waste transferred to each off-site location. Enter one of the following letter codes that applies to the method by which the largest percentage of the estimate was derived.

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- M1 Estimate is based on continuous monitoring data or measurements for the EPCRA Section 313 chemical.
- M2 Estimate is based on periodic or random monitoring data or measurements for the EPCRA Section 313 chemical.
- C Estimate is based on mass balance calculations, such as calculation of the amount of the EPCRA Section 313 chemical in streams entering and leaving process equipment.
- E1 Estimate is based on published emission factors, such as those relating release quantity to through-put or equipment type (e.g., air emission factors).
- E2 Estimate is based on site specific emission factors, such as those relating release quantity to through-put or equipment type (e.g., air emission factors).
- O Estimate is based on other approaches such as engineering calculations (e.g., estimating volatilization using published mathematical formulas) or best engineering judgment. This would include applying an estimated removal efficiency to a waste stream, even if the composition of the stream before treatment was fully identified through monitoring data.

### 6.2c **Column C: Type of Waste Management: Disposal/ Treatment/Energy Recovery/Recycling**

You should enter one of the following M codes to identify the type of disposal, treatment, energy recovery, or recycling methods used by the off-site location for the reported EPCRA Section 313 chemical. You must use more than one line and code for a single location when distinct quantities of the reported EPCRA Section 313 chemical are subject to different waste management activities, including disposal, treatment, energy recovery, or recycling. You must use the code that represents the ultimate disposition of the chemical.

If the EPCRA Section 313 chemical is sent off-site for further direct reuse (e.g., an EPCRA Section 313 chemical in used solvent that will be used as lubricant at another facility) and does not undergo a waste management activity (i.e., release (including disposal), treatment, energy recovery, or recycling

(recovery)) prior to that reuse, it need not be reported in section 6.2 or section 8.

### **Incineration vs. Energy Recovery**

You must distinguish between incineration which is waste treatment, and legitimate energy recovery. For you to claim that a reported EPCRA Section 313 chemical sent off-site is used for the purposes of energy recovery and not for treatment for destruction, the EPCRA Section 313 chemical must have a significant heating value and must be combusted in an energy recovery unit such as an industrial boiler, furnace, or kiln. In a situation where the reported EPCRA Section 313 chemical is in a waste that is combusted in an energy recovery unit, but the EPCRA Section 313 chemical does not have a significant heating value, e.g., CFCs, you should use code M54, Incineration/Insignificant Fuel Value, to indicate that the EPCRA Section 313 chemical was incinerated in an energy recovery unit but did not contribute to the heating value of the waste.

### **Metals and Metal Category Compounds**

Metals and metal category compounds will be managed in waste either by being released (including disposed of) or by being recycled. Remember that the release and other waste management information that you report for metal category compounds will be the total amount of the parent metal released or recycled and NOT the whole metal category compound. The metal has no heat value and thus cannot be combusted for energy recovery and cannot be treated because it cannot be destroyed. Thus, transfers of metals and metal category compounds for further waste management should be reported as either a transfer for recycling or a transfer for disposal. The applicable waste management codes for transfers of metals and metal category compounds for recycling are M24, metals recovery, M93, waste broker - recycling, or M26, other reuse/recovery. Applicable codes for transfers for disposal include M10, M41, M62, M64, M65, M66, M67, M73, M79, M81, M82, M90, M94, and M99. These codes are for off-site transfers for further waste management in which the waste stream may be treated but the metal contained in the waste stream is not treated and is ultimately released. For example, M41 should be used for a metal or metal category compound that is stabilized in preparation for disposal.

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Applicable codes for Part II, Section 6.2, column C are:

### Disposal

M10 Storage Only  
M41 Solidification/Stabilization - Metals and Metal Category Compounds only  
M62 Wastewater Treatment (Excluding POTW) - Metals and Metal Category Compounds only  
M64 Other Landfills  
M65 RCRA Subtitle C Landfills  
M66 Subtitle C Surface Impoundment  
M67 Other Surface Impoundments  
M73 Land Treatment  
M79 Other Land Disposal  
M81 Underground Injection to Class I Wells  
M82 Underground Injection to Class II-V Wells  
M90 Other Off-Site Management  
M94 Transfer to Waste Broker - Disposal  
M99 Unknown

### Treatment

M40 Solidification/Stabilization  
M50 Incineration/Thermal Treatment  
M54 Incineration/Insignificant Fuel Value  
M61 Wastewater Treatment (Excluding POTW)  
M69 Other Waste Treatment  
M95 Transfer to Waste Broker - Waste Treatment

### Energy Recovery

M56 Energy Recovery  
M92 Transfer to Waste Broker - Energy Recovery

### Recycling

M20 Solvents/Organics Recovery  
M24 Metals Recovery  
M26 Other Reuse or Recovery  
M28 Acid Regeneration  
M93 Transfer to Waste Broker - Recycling

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<b>SECTION 6. TRANSFER(S) OF THE TOXIC CHEMICAL IN WASTES TO OFF-SITE LOCATIONS</b>									
<b>6.1 DISCHARGES TO PUBLICLY OWNED TREATMENT WORKS (POTWs)</b>						NA	<input type="checkbox"/>		
6.1. <input type="checkbox"/> POTW Name									
POTW Address									
City			County			State		ZIP	
A. Quantity Transferred to this POTW (pounds/year*) (Enter range code** or estimate)					B. Basis of Estimate (Enter code)				
15,000					O				
If additional pages of Part II, Section 6.1 are attached, indicate the total number of pages in this box <input type="checkbox"/>									
and indicate the Part II, Section 6.1 page number in this box. <input type="checkbox"/> (Example: 1, 2, 3, etc.)									
<b>SECTION 6.2 TRANSFERS TO OTHER OFF-SITE LOCATIONS</b>						NA	<input type="checkbox"/>		
6.2. <input type="checkbox"/> Off-Site EPA Identification Number (RCRA ID No.)				COD566162461					
Off-Site Location Name: Acme Waste Services									
Off-Site Address: 5 Market Street									
City			County			State		ZIP	
Anywhere			Hill			CO		80461	
Country (non-US)									
Is this location under control of reporting facility or parent company? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No									

This off-site location receives a transfer of 15,000 pounds of toluene and will combust 5,000 pounds for the purposes of energy recovery, will enter 7,500 pounds into a recovery process, and will dispose of the remaining 2,500 pounds.

<b>SECTION 6.2. TRANSFERS TO OTHER OFF-SITE LOCATION (CONTINUED)</b>									
A. Total Transfer (pounds/year*) (Enter a range code** or estimate)			B. Basis of Estimate (Enter code)			C. Type of Waste Treatment/Disposal/ Recycling/Energy Recovery (Enter code)			
1. 5,000			1. O			1. M 56			
2. 7,500			2. C			2. M 20			
3. 2,500			3. O			3. M 65			
4. NA			4.			4. M			
6.2. <input type="checkbox"/> Off-Site EPA Identification Number (RCRA ID No.)				COD167725432					
Off-Site Location Name: Combustion, Inc.									
Off-Site Address: 25 Facility Road									
City			County			State		ZIP	
Dumfry			Burns			CO		80500	
Country (non-US)									
Is this location under control of reporting facility or parent company? Yes <input type="checkbox"/> No <input checked="" type="checkbox"/>									
A. Total Transfer (pounds/year*) (Enter a range code** or estimate)			B. Basis of Estimate (Enter code)			C. Type of Waste Treatment/Disposal/ Recycling/Energy Recovery (Enter code)			
1. 12,500			1. O			1. M 54			
2. NA			2.			2. M			
3.			3.			3. M			
4.			4.			4. M			

**Figure 4. Hypothetical Section 6.2 Completed for Two Off-Site Locations**

This off-site location receives a transfer of 12,500 pounds of tetrachloroethylene (perchloroethylene) that is part of a waste that is combusted for the purposes of energy recovery in an industrial furnace. Note that the tetrachloroethylene should be reported using code M54 to indicate that it is combusted in an energy recovery unit but it does not contribute to the heating value of the waste.

## **Section 7. On-Site Waste Treatment, Energy Recovery, and Recycling Methods**

You must report in this section the methods of waste treatment, energy recovery, and recycling applied to the reported EPCRA Section 313 chemical in wastes on-site. There are three separate sections for reporting such activities. (Section 7A column c and Section 7A column e were previously deleted from Form R and are not addressed below.)

### **Section 7A: On-Site Waste Treatment Methods and Efficiency**

Most of the chemical-specific information required by EPCRA Section 313 that is reported on Form R is specific to the EPCRA Section 313 chemical rather than the waste stream containing the EPCRA Section 313 chemical. However, EPCRA Section 313 does require that waste treatment methods applied on-site to waste streams that contain the EPCRA Section 313 chemical be reported. This information is reportable regardless of whether the facility actively applies treatment or the treatment of the waste stream occurs passively. This information is collected in Section 7A of Form R.

In Section 7A, you must provide the following information if you treat waste streams containing the reported EPCRA Section 313 chemical on-site:

- (a) The general waste stream types containing the EPCRA Section 313 chemical being reported;
- (b) The waste treatment method(s) or sequence used on all waste streams containing the EPCRA Section 313 chemical; and
- (c) The efficiency of each waste treatment method or waste treatment sequence in destroying or removing the EPCRA Section 313 chemical.

Use a separate line in Section 7A for each general waste stream type. Report only information about treatment of waste streams at your facility, not information about off-site waste treatment.

If you do not perform on-site treatment of waste streams containing the reported EPCRA Section 313 chemical, check the NA box at the top of Section 7A.

### **7A Column a: General Waste Stream**

For each waste treatment method, indicate the type of waste stream containing the EPCRA Section 313 chemical that is treated. Enter the letter code that corresponds to the general waste stream type:

- A Gaseous (gases, vapors, airborne particulates)
- W Wastewater (aqueous waste)
- L Liquid waste streams (non-aqueous waste)
- S Solid waste streams (including sludges and slurries)

If a waste is a combination of water and organic liquid and the organic content is less than 50 percent, report it as a wastewater (W). Slurries and sludges containing water should be reported as solid waste if they contain appreciable amounts of dissolved solids, or solids that may settle, such that the viscosity or density of the waste is considerably different from that of process wastewater.

### **7A Column b: Waste Treatment Method(s) Sequence**

Enter the appropriate waste treatment code from the list below for each on-site waste treatment method used on a waste stream containing the EPCRA Section 313 chemical, regardless of whether the waste treatment method actually removes the specific EPCRA Section 313 chemical being reported. Waste treatment methods must be reported for each type of waste stream being treated (i.e., gaseous waste streams, aqueous waste streams, liquid non-aqueous waste streams, and solids). Except for the air emission treatment codes, the waste treatment codes are not restricted to any medium.

Waste streams containing the EPCRA Section 313 chemical may have a single source or may be aggregates of many sources. For example, process water from several pieces of equipment at your facility may be combined prior to waste treatment. Report waste treatment methods that apply to the aggregate waste stream, as well as waste treatment methods that apply to individual waste streams. If your facility treats various wastewater streams containing the EPCRA Section 313 chemical in different ways, the different waste treatment methods must be listed separately.

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If your facility has several pieces of equipment performing a similar service in a waste treatment sequence, you may combine the reporting for such equipment. It is not necessary to enter four codes to cover four scrubber units, for example, if all four are treating waste streams of similar character (e.g., sulfuric acid mist emissions), have similar influent concentrations, and have similar removal efficiencies. If, however, any of these parameters differs from one unit to the next, each scrubber should be listed separately.

If you are using the hardcopy paper form, and if your facility performs more than eight sequential waste treatment methods on a single general waste stream, continue listing the methods in the next row

and renumber appropriately those waste treatment method code boxes you used to continue the sequence. For example, if the general waste stream in box 7A.1a had nine treatment methods applied to it, the ninth method would be indicated in the first method box for row 7A.2a. The numeral “1” would be crossed out, and a “9” would be inserted.

Treatment applied to any other general waste stream types would then be listed in the next empty row. In the scenario below, for instance, the second general waste stream would be reported in row 7A.3a. See Figure 5 for an example of a hypothetical section 7A.

**Example 16: Calculating Releases and Other Waste Management Quantities**

Your facility disposes of 14,000 pounds of lead chromate (PbCrO<sub>4</sub>.PbO) in an on-site landfill and transfers 16,000 pounds of lead selenite (PbSeO<sub>4</sub>) to an off-site land disposal facility. You would therefore be submitting three separate reports on the following: lead compounds, selenium compounds, and chromium compounds. However, the quantities you would be reporting would be the pounds of “parent” metal being released on-site or transferred off-site for further waste management. All quantities are based on mass balance calculations (See Section 5, Column B for information on Basis of Estimate and Section 6.2, Column C for waste management codes and information on transfers of EPCRA Section 313 chemicals in wastes). You would calculate releases of lead, chromium, and selenium by first determining the percentage by weight of these metals in the materials you use as follows:

**Lead Chromate (PbCrO<sub>4</sub>.PbO)**

Lead (2 Pb atoms)

Chromium (1 Cr atom)

Molecular weight = 546.37

Atomic weight = 207.2 x 2 = 414.4

Atomic weight = 51.996

Lead chromate is therefore ( percent by weight)

$$(414.4/546.37) = 75.85\% \text{ lead and}$$

$$(51.996/546.37) = 9.52\% \text{ chromium}$$

**Lead Selenite (PbSeO<sub>4</sub>)**

Lead (1 Pb atom)

Selenium (1 Se atom)

Molecular weight = 350.17

Atomic weight = 207.2

Atomic weight = 78.96

Lead selenite is therefore ( percent by weight)

$$(207.2/350.17) = 59.17\% \text{ lead and}$$

$$(78.96/350.17) = 22.55\% \text{ selenium.}$$

The total pounds of lead, chromium, and selenium disposed of on or off-site from your facility are as follows:

**Lead**

Disposal on-site: 0.7585 x 14,000 = 10,619 pounds from lead chromate

Transfer off-site for disposal: 0.5917 x 16,000 = 9,467 pounds from lead selenite

**Chromium**

Disposal on-site: 0.0952 x 14,000 = 1,333 pounds from lead chromate

**Selenium**

Transfer off-site for disposal: 0.2255 x 16,000 = 3,608 pounds from lead selenite

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SECTION 7A. ON-SITE WASTE TREATMENT METHODS AND EFFICIENCY						
<input type="checkbox"/> Not Applicable (NA) - Check here if no on-site waste treatment is applied to any waste stream containing the toxic chemical or chemical category.						
a. General Waste Stream [enter code]	b. Waste Treatment Method(s) Sequence [enter 3- or 4- character code(s)]				d. Waste Treatment Efficiency [enter 2 character code]	
7A.1a	7A.1b	1	H123	2	H124	7A.1c
W	3	4	H101	5	H083	
		6	H082	7	H081	
		8	H077	2	NA	
7A.2a	7A.2b	9/	H077	2	NA	7A.2c
	3	4		5		E4
		6		7		
		8				
7A.3a	7A.3b	1	A01	2	NA	7A.3c
A	3	4		5		E5
		6		7		
		8				
7A.4a	7A.4b	1		2		7A.4c
	3	4		5		
		6		7		
		8				

**Figure 5. Hypothetical Section 7A**

**Waste Treatment Codes**

- A01 Flare
- A02 Condenser
- A03 Scrubber
- A04 Absorber
- A05 Electrostatic Precipitator
- A06 Mechanical Separation
- A07 Other Air Emission Treatment
- H040 Incineration--thermal destruction other than use as a fuel
- H071 Chemical reduction with or without precipitation
- H073 Cyanide destruction with or without precipitation
- H075 Chemical oxidation
- H076 Wet air oxidation
- H077 Other chemical precipitation with or without pre-treatment
- H081 Biological treatment with or without precipitation
- H082 Adsorption
- H083 Air or steam stripping
- H101 Sludge treatment and/or dewatering
- H103 Absorption
- H111 Stabilization or chemical fixation prior to disposal
- H112 Macro-encapsulation prior to disposal
- H121 Neutralization
- H122 Evaporation
- H123 Settling or clarification
- H124 Phase separation
- H129 Other treatment

**7A Column c: Waste Treatment Efficiency Estimate**

In the space provided, enter the range code, based upon the codes listed below, indicating the percentage of the EPCRA Section 313 chemical removed from the waste stream through destruction, biological degradation, chemical conversion, or physical removal. The waste treatment efficiency (expressed as a range of percent removal) represents the percentage of the EPCRA Section 313 chemical destroyed or removed (based on amount or mass), not merely changes in volume or concentration of the EPCRA Section 313 chemical in the waste stream. The efficiency, which can reflect the overall removal from sequential treatment methods applied to the general waste stream, refers only to the percent destruction, degradation, conversion, or removal of the EPCRA Section 313 chemical from the waste stream; it does not refer to the percent conversion or removal of other constituents in the waste stream. The efficiency also does not refer to the general efficiency of the treatment method for any waste stream. For some waste treatment methods, the percent removal will represent removal by several mechanisms, as in an aeration basin, where an EPCRA Section 313 chemical may evaporate, biodegrade, or be physically removed from the sludge.

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Percent removal can be calculated as follows:

$$\frac{(I - E)}{I} \times 100\%$$

where:

I = amount of the EPCRA Section 313 chemical in the influent waste stream (entering the waste treatment step or sequence) and

E = amount of the EPCRA Section 313 chemical in the effluent waste stream (exiting the waste treatment step or sequence).

Calculate the amount of the EPCRA Section 313 chemical in the influent waste stream by multiplying the concentration (by weight) of the EPCRA Section 313 chemical in the waste stream by the total amount or weight of the waste stream. In most cases, the percent removal compares the treated effluent to the influent for the particular type of waste stream. For solidification of wastewater, the waste treatment efficiency can be reported as code E1 (greater than 99.9999 percent) if no volatile EPCRA Section 313 chemicals were removed with the water or evaporated into the air. Percent removal does not apply to incineration because the waste stream, such as wastewater or liquids, may not exist in a comparable form after waste treatment and the purpose of incineration as a waste treatment is to destroy the EPCRA Section 313 chemical by converting it to carbon dioxide and water or other byproducts. In cases where the EPCRA Section 313 chemical is incinerated, the percent efficiency must be based on the amount of the EPCRA Section 313 chemical destroyed or combusted, except for metals or metal category compounds. In the cases in which a metal or metal category compound is incinerated, the efficiency is reported as code E6 (equal to or greater than 0 percent, but less than or equal to 50 percent).

Similarly, an efficiency of zero must be reported for any waste treatment method(s) that does not destroy, chemically convert or physically remove the EPCRA Section 313 chemical from the waste stream.

For metal category compounds, the calculation of the reportable concentration and waste treatment efficiency must be based on the weight of the parent metal, not on the weight of the metal compound. Metals are not destroyed, only physically removed or chemically converted from one form into another. The waste treatment efficiency reported must

represent only physical removal of the parent metal from the waste stream (except for incineration), not the percent chemical conversion of the metal compound. If a listed waste treatment method converts but does not remove a metal (e.g., chromium reduction), the method must be reported with a waste treatment efficiency of code E6 (equal to or greater than 0 percent, but less than or equal to 50 percent).

EPCRA Section 313 chemicals that are strong mineral acids neutralized to a pH of 6 or above are considered treated at 100 percent efficiency.

When calculating waste treatment efficiency, EPCRA Section 313(g)(2) requires a facility to use readily available data (including monitoring data) collected pursuant to other provisions of law, or, where such data are not readily available, "reasonable estimates" of the amounts involved.

### **Waste Treatment Efficiency Range Codes:**

- E1 = greater than 99.9999%
- E2 = greater than 99.99%, but less than or equal to 99.9999%
- E3 = greater than 99%, but less than or equal to 99.99%
- E4 = greater than 95%, but less than or equal to 99%
- E5 = greater than 50%, but less than or equal to 95%
- E6 = equal to or greater than 0%, but less than or equal to 50%

### **Section 7B On-site Energy Recovery Processes**

In Section 7B, you must indicate the on-site energy recovery methods used on the reported EPCRA Section 313 chemical.

EPA considers an EPCRA Section 313 chemical to be combusted for energy recovery if the toxic chemical has a significant heat value and is combusted in an energy recovery device. If a reported EPCRA Section 313 chemical is incinerated on-site but does not contribute energy to the process (e.g., chlorofluorocarbons), it must be considered waste treated on-site and reported in Section 7A. Metals and metal category compounds cannot be combusted for energy recovery and should NOT be reported in this section. Do not include the combustion of fuel oils, such as fuel oil #6, in this section. Energy recovery may take place only in an industrial kiln, furnace, or boiler.

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**NA vs. a Numerical Value (e.g., Zero).** If you do not perform on-site energy recovery for a waste stream that contains or contained the EPCRA Section 313 chemical, check the NA box at the top of Section 7B and enter NA in Section 8.2. If you perform on-site energy recovery for the waste stream that contains or contained the EPCRA Section 313 chemical, enter the appropriate code in Section 7B and enter the appropriate value in Section 8.2. If this quantity is less than or equal to 0.5 pound, round to zero (unless the chemical is a listed PBT chemical) and enter zero in 8.2. (Note: for metals and metal compounds, you should only report NA in Sections 7B and Section 8.2.)

### Energy Recovery Codes

U01 Industrial Kiln  
U02 Industrial Furnace  
U03 Industrial Boiler

If your facility uses more than one on-site energy recovery method for the reported EPCRA Section 313 chemical, list the methods used in descending order (greatest to least) based on the amount of the EPCRA Section 313 chemical entering such methods.

### Section 7C On-site Recycling Processes

In Section 7C, you must report the recycling methods used on the EPCRA Section 313 chemical.

In this section, use the codes below to report only the recycling methods in place at your facility that are applied to the EPCRA Section 313 chemical. Do not list any off-site recycling activities. (Information about off-site recycling must be reported in Part II,

Section 6, "Transfers of the Toxic Chemical in Wastes to Off-site Locations.")

**NA vs. a Numerical Value (e.g., Zero).** If you do not perform on-site recycling for the reported EPCRA Section 313 chemical, check the NA box at the top of Section 7C and enter NA in Section 8.4. If you perform on-site recycling for the reported EPCRA Section 313 chemical, enter the appropriate code in Section 7C and enter the appropriate value in Section 8.4. If this quantity is less than or equal to 0.5 pound, round to zero (unless the chemical is a listed PBT chemical) and enter 0 in Section 8.4.

### On-Site Recycling Codes

H10 Metal recovery (by retorting, smelting, or chemical or physical extraction)  
H20 Solvent recovery (including distillation, evaporation, fractionation or extraction)  
H39 Other recovery or reclamation for reuse (including acid regeneration or other chemical reaction process)

If your facility uses more than one on-site recycling method for an EPCRA Section 313 chemical, enter the codes in the space provided in descending order (greatest to least) based on the volume of the reported EPCRA Section 313 chemical recovered by each process. If your facility uses more than ten separate methods for recycling the reported EPCRA Section 313 chemical on-site, then list the ten activities that recover the greatest amount of the EPCRA Section 313 chemical (again, in descending order).

## Instructions for Completing Part II of EPA Form R

### Example 17: On-Site Waste Treatment

A process at the facility generates a wastewater stream containing an EPCRA Section 313 chemical (chemical A). A second process generates a wastewater stream containing two EPCRA Section 313 chemicals, a metal (chemical B) and a mineral acid (chemical C). Thresholds for all three chemicals have been exceeded and you are in the process of completing separate Form Rs for each chemical.

These two wastewater streams are combined and sent to an on-site wastewater treatment system before being discharged to a POTW. This system consists of an oil/water separator that removes 99 percent of chemical A; a neutralization tank in which the pH is adjusted to 7.5, thereby destroying 100 percent of the mineral acid (chemical C); and a settling tank where 95 percent of the metal (chemical B) is removed from the water (and eventually landfilled off-site).

Section 7A should be completed slightly differently when you file the Form R for each of the chemicals. The table accompanying this example shows how Section 7A should be completed for each chemical. First, on each Form R you should identify the type of waste stream in Section 7A.1a as wastewater (aqueous waste, code W). Next, on each Form R you should list the code for each of the treatment steps that is applied to the entire waste stream, regardless of whether the operation affects the chemical for which you are completing the Form R (for instance, the first four blocks of Section 7A.1b of all three Form Rs should show: H124 (phase separation), H121 (neutralization), H123 (settling or clarification), and N/A (to signify the end of the treatment system). Note that Section 7A.1b is not chemical specific. It applies to the entire waste stream being treated. Section 7A.1d applies to the efficiency of the entire system in destroying and/or removing the chemical for which you are preparing the Form R. You should enter E4 when filing for chemical A, E5 for chemical B, and E1 for chemical C.

#### Chemical A

7A.1a	7A.1b	1. H124	2. H121	7A.1d
W	3. H123	4. N/A	5.	E4
	6.	7.	8.	

#### Chemical B

7A.1a	7A.1b	1. H124	2. H121	7A.1d
W	3. H123	4. N/A	5.	E5
	6.	7.	8.	

#### Chemical C

7A.1a	7A.1b	1. H124	2. H121	7A.1d
W	3. H123	4. N/A	5.	E1
	6.	7.	8.	

Note that the *quantity* removed and/or destroyed is not reported in Section 7 and that the efficiency reported in Section 7A.1d refers to the amount of EPCRA Section 313 chemical destroyed *and/or removed* from the applicable waste stream. The amount actually destroyed should be reported in Section 8.6 (quantity treated on-site). For example, when completing the Form R for Chemical B you should report "N/A" pounds in Section 8.6 because the metal has been removed from the wastewater stream, but not actually destroyed. The quantity of Chemical B that is ultimately landfilled off-site should be reported in Sections 6.2 and 8.1c. However, when completing the Form R for Chemical C you should report the entire quantity in Section 8.6 because raising the pH to 7.5 will completely destroy the mineral acid.

**Example 18: Reporting On-Site Energy Recovery**

One waste stream generated by your facility contains, among other chemicals, toluene and Freon 113. Threshold quantities are exceeded for both of these EPCRA Section 313 chemicals, and you would, therefore, submit two separate Form R reports. This waste stream is sent to an on-site industrial furnace that uses the heat generated in a thermal hydrocarbon cracking process at your facility. Because toluene has a significant heat value (17,440 BTU/pound) and the energy is recovered in an industrial furnace, the code "U02" would be reported in Section 7B for the Form R submitted for toluene.

However, as Freon 113 does not contribute any value for energy recovery purposes, the combustion of Freon 113 in the industrial furnace is considered waste treatment, not energy recovery. You would report Freon 113 as entering a waste treatment step (i.e., incineration), in Section 7A, column b. In Section 7B the facility should report zero.

**Section 8. Disposal or Other Releases, Source Reduction, and Recycling Activities**

This section includes the data elements mandated by Section 6607 of the Pollution Prevention Act of 1990 (PPA).

In Section 8, you must provide information about source reduction activities and quantities of the EPCRA Section 313 chemicals managed as waste. For all appropriate questions, report only the quantity, in pounds, (or, for the dioxin and dioxin-like compounds category, grams) of the reported EPCRA Section 313 chemical itself. Do not include the weight of water, soil, or other waste constituents. When reporting on the metal category compounds, you should report only the amount of the metal portion of the compound as you do when estimating release and other waste management amounts.

Sections 8.1 through 8.9 must be completed for each EPCRA Section 313 chemical. Section 8.10 must be completed only if a source reduction activity was newly implemented specifically (in whole or in part) for the reported EPCRA Section 313 chemical during the reporting year. Section 8.11 allows you to indicate if you have attached additional optional information on source reduction, recycling, or pollution control activities implemented at any time at your facility.

Sections 8.1 through 8.7 require reporting of quantities for the current reporting year, the prior year, and quantities anticipated in both the first year immediately following the reporting year and the

second year following the reporting year (future estimates).

Do not enter the values in Section 8 in gallons, tons, liters, or any measure other than pounds (or, for the dioxin and dioxin-like compounds category, grams). For non-PBT chemicals, you must also enter the values as whole numbers; numbers following a decimal point are not acceptable for toxic chemicals other than those designated as PBT chemicals. For PBT chemicals (except the dioxin and dioxin-like compounds category), facilities should report release and other waste management quantities greater than 0.1 pound provided the accuracy and the underlying data on which the estimate is based supports this level of precision.

For the dioxin and dioxin-like compounds category, facilities should report at a level of precision supported by the accuracy of the underlying data and the estimation techniques on which the estimate is based. However, the smallest quantity that need be reported on the Form R for the dioxin and dioxin-like compounds category is 0.0001 grams (see Example 12). Notwithstanding the numeric precision used when determining reporting eligibility thresholds, facilities should report on Form R to the level of accuracy that their data supports, up to seven digits to the right of the decimal. EPA's reporting software and data management systems support data precision to seven digits to the right of the decimal.

**NA vs. a Numeric Value (e.g., Zero).** You should enter a numeric value in the relevant sections of Section 8 if your facility has released, treated, combusted for energy recovery or recycled any quantity of an EPCRA Section 313 chemical during

## Instructions for Completing Part II of EPA Form R

the reporting year. If the aggregate quantity of that toxic chemical was equal to or less than 0.5 pound for a particular waste management method, you should enter the value zero (unless the chemical is a PBT chemical) in the relevant section. In the case of PBTs (excluding dioxin) if the aggregate quantity of the toxic chemical is equal to or less than 0.1 pound for a particular waste management method, you should enter the value zero in the relevant section. For dioxin, if the aggregate quantity is equal to or less than .0001 grams for a particular waste management method, you should enter the value zero in the relevant section. For both PBTs and dioxin, the accuracy of the underlying data on which the estimate is based must support the specified level of precision in order to round to zero.

However, if there has been no on-site or off-site treatment, combustion for energy recovery, or recycling of the waste stream containing the EPCRA Section 313 chemical, then you should enter NA in the relevant section. (Note: for metals and metal category compounds, you should enter NA in Sections 8.2, 8.3, 8.6 and 8.7, as treatment and combustion for energy recovery generally are not applicable waste management methods for metals and metal compounds). For Section 8.1b, NA generally is not applicable recognizing the potential for spills, leaks, or fugitive emissions of the EPCRA Section 313 chemical. You should enter NA in Section 8.8 if there were no remedial actions, catastrophic events such as earthquakes, fires, or floods or one-time events not associated with normal or routine production processes for that toxic chemical. If there was a catastrophic event at your facility, but you were able to prevent any releases from occurring, then enter zero in Section 8.8.

### Relationship to Other Laws

The reporting categories for quantities recycled, used for energy recovery, treated, and disposed of apply to completing Section 8 of Form R as well as to the rest of Form R. These categories are to be used only for TRI reporting. They are not intended for use in determining, under the Resource Conservation and Recovery Act (RCRA) Subtitle C regulations, whether a secondary material is a waste when recycled. These categories also do not apply to the information that may be submitted in the Biennial Report required under RCRA. In addition, these categories do not imply any future redefinition of RCRA terms and do not affect EPA's RCRA

authority or authority under any other statute administered by EPA.

Differences in terminology and reporting requirements for EPCRA Section 313 chemicals reported on Form R and for hazardous wastes regulated under RCRA occur because EPCRA and the PPA focus on specific chemicals, while the RCRA regulations and the Biennial Report focus on waste streams that may include more than one chemical. For example, assume that a RCRA hazardous waste containing an EPCRA Section 313 chemical is recycled to recover certain constituents of that waste, but not the toxic chemical reported under EPCRA Section 313. The EPCRA Section 313 chemical simply passes through the recycling process and remains in the residual from the recycling process, which is disposed of. While the waste may be considered recycled under RCRA, for TRI purposes, the EPCRA Section 313 chemical constituent would be considered to be disposed of (as part of the residual from the recycling process).

An EPCRA Section 313 chemical or an EPCRA Section 313 chemical in a mixture that is a waste under RCRA must be reported in Sections 8.1 through 8.8.

### Years for Which Quantities for Sections 8.1 – 8.7 Must Be Reported

**Column A: Prior Year.** Quantities for Sections 8.1 through 8.7 must be reported for the year immediately preceding the reporting year in column A. For reports due July 1, 2012 (reporting year 2011), the prior year is 2010. Information available at the facility that may be used to estimate the prior year's quantities include the prior year's Form R submission, supporting documentation, and recycling, energy recovery, treatment, or disposal operating logs or invoices. When reporting prior year estimates, facilities are not required to use quantities reported on the previous year's form if better information is available. *TRI-MEweb* prepopulates this column on the TRI form if the facility reported the previous year.

**Column B: Current Reporting Year.** Quantities for Sections 8.1 through 8.7 must be reported for the current reporting year in column B.

**Columns C and D: Following Year and Second Following Year.** Quantities for Sections 8.1 through 8.7 must be estimated for the following two years.

## Instructions for Completing Part II of EPA Form R

EPA expects reasonable future quantity estimates using a logical basis. Information available at the facility to estimate quantities of the chemical expected during these years include (but are not limited to) planned source reduction activities, market projections, expected contracts, anticipated new product lines, company growth projections, and production capacity figures. Respondents should take into account protections available for trade secrets as provided in EPCRA Section 322 (42 USC 11042) for the chemical identity.

### Example 19: Reporting Future Estimates

A pharmaceutical manufacturing facility uses an EPCRA Section 313 chemical in the manufacture of a prescription drug. During the reporting year (2011), the company received approval from the Food and Drug Administration to begin marketing their product as an over-the-counter drug beginning in 2012. This approval is publicly known and does not constitute confidential business information. As a result of this expanded market, the company estimates that sales and subsequent production of this drug will increase their use of the reported EPCRA Section 313 chemical by 30 percent per year for the two years following the reporting year. The facility treats the EPCRA Section 313 chemical on-site and the quantity treated is directly proportional to production activity. The facility thus estimates the total quantity of the reported EPCRA Section 313 chemical treated for the following year (2012) by adding 30 percent to the amount in column B (the amount for the current reporting year). The second following year (2013) figure can be calculated by adding an additional 30 percent to the amount reported in column C (the amount for the following year (2012) projection).

### Quantities Reportable in Sections 8.1 - 8.7

Section 8 of Form R uses data collected to complete Part II, Sections 5 through 7. For this reason, Section 8 should be completed last. The relationship between Sections 5, 6, and 8.8 to Sections 8.1, 8.3, 8.5, and 8.7 are provided below in equation form.

**Note on Equations.** Where an equation includes a value followed by a parenthetical, this means that the equation is referring only to the portion of that

value described by the parenthetical. For example, “**Section 6.2 (recycling)**” refers to the portion of the value for Section 6.2 that is recycled, while “**Section 6.2 (treatment)**” refers to the portion of the value for Section 6.2 that is treated.

**Section 8.1.** In Section 8.1, facilities report disposal and other releases. This includes on-site disposal and other releases reported in Section 5 and off-site disposal and other releases reported in Section 6, but excludes quantities reported in Section 5 and 6 due to remedial actions, catastrophic events, or non-production related one-time events (see the discussion on Section 8.8). Note that EPCRA Section 329(8) defines release as “any spilling, leaking, pumping, pouring, emitting, emptying, discharging, injecting, escaping, leaching, dumping, or disposing into the environment (including the abandonment of barrels, containers, and other closed receptacles).”

Metals and metal category compounds reported in 1) Section 6.2 as sent off-site for stabilization/solidification (M41) or wastewater treatment (excluding POTWs) (M62) and/or 2) Section 6.1 - discharges to POTWs, should be reported in Section 8.1. These quantities should NOT be reported in Section 8.7 because the metals are not ultimately destroyed.

Beginning in the 2003 reporting year, Section 8.1 was divided into four Subsections (8.1a, 8.1b, 8.1c and 8.1d). Please refer to the following equations that show the relationship between Sections 5, 6, 8.8, and 8.1a through 8.1d.

**Sections 8.1a and 8.1b.** Toxic chemicals disposed of or otherwise released on-site are reported in 8.1a or 8.1b as appropriate. Toxic chemicals sent off-site for disposal are reported in 8.1c or 8.1d.

**Section 8.1a = Section 5.4.1 + Section 5.5.1A + Section 5.5.1B - Section 8.8 (on-site disposal to landfills or UIC Class I Wells)<sup>2</sup>**

**Section 8.1b = Section 5.1 + Section 5.2 + Section 5.3 + Section 5.4.2 + Section 5.5.2 + Section**

<sup>2</sup>§ 8.8 includes quantities of toxic chemicals disposed of or otherwise released on-site or managed as a waste off-site due to remedial actions, catastrophic events, or one-time events not associated with the production process. In each equation, the parenthetical following “Section 8.8” indicates which portion of § 8.8 is subtracted.

**5.5.3A + Section 5.5.3B + Section 5.5.4 - Section 8.8 (on-site disposal or other releases, other than disposal to landfills or UIC Class I Wells)<sup>2</sup>**

**Sections 8.1c and 8.1d.** Toxic chemicals transferred off-site to POTWs or other off-site locations and then disposed of or otherwise released should be reported in 8.1c or 8.1d as appropriate. For example, quantities of a toxic chemical sent to a landfill, or sent to a POTW and subsequently sent to a landfill are reported in Section 8.1c, while quantities of a toxic chemical sent to a surface impoundment, or sent to a POTW and subsequently released to a stream, are reported in Section 8.1d. Metals and metal category compounds sent to POTWs should be reported in one of these two sections and should not be reported as treated for destruction in Section 8.7.

**Section 8.1c = Section 6.1 (portion of transfer that is untreated and ultimately disposed of in landfills or UIC Class I Wells) + Section 6.2 (quantities associated with M codes M64, M65 and M81) - Section 8.8 (off-site disposal to landfills or UIC Class I Wells)<sup>3</sup>**

**Section 8.1d = Section 6.1 (portion of transfer that is untreated and ultimately disposed of or otherwise released, other than disposal to landfills or UIC Class I Wells) + Section 6.2 (quantities associated with M codes M10, M41, M62, M66, M67, M73, M79, M82, M90, M94, and M99) - Section 8.8 (off-site disposal or other releases, other than disposal to landfills or UIC Class I Wells)<sup>3</sup>**

Some chemicals in addition to metals and metal category compounds might not be treated for destruction at a POTW. If you know that some or all of a chemical is not treated for destruction at the POTW, you should report that quantity in Section 8.1 (as indicated in the equations above) instead of Section 8.7 (which is the quantity treated off-site).

**Sections 8.2 and 8.3.** These relate to an EPCRA Section 313 chemical or a mixture containing an EPCRA Section 313 chemical that is used for

energy recovery on-site or is sent off-site for energy recovery, unless it is a commercially available fuel (e.g., fuel oil no. 6). For the purposes of reporting on Form R, reportable on-site and off-site energy recovery is the combustion of a waste stream containing an EPCRA Section 313 chemical when:

- (a) The combustion unit is integrated into an energy recovery system (i.e., industrial furnaces, industrial kilns, and boilers); and
- (b) The EPCRA Section 313 chemical is combustible and has a significant heating value (e.g., 5000 BTU)

Note: Metals and metal category compounds cannot be combusted for energy recovery. For metals and metal category compounds, you should enter NA in Sections 8.2 and 8.3.

Quantities used for energy recovery off-site that are reported in Section 8.8 are excluded from Section 8.3.

**Section 8.2 is not related to Sections 5 or 6**

**Section 8.3 = Section 6.2 (energy recovery) – Section 8.8 (off-site energy recovery)<sup>3</sup>**

**Sections 8.4 and 8.5.** These relate to an EPCRA Section 313 chemical in a waste that is recycled on-site or is sent off-site for recycling. Quantities recycled off-site that are reported in Section 8.8 are excluded from Section 8.5.

**Section 8.4 is not related to Sections 5 or 6**

**Section 8.5 = Section 6.2 (recycling) - Section 8.8 (off-site recycling)<sup>3</sup>**

**Section 8.6 and 8.7.** These relate to an EPCRA Section 313 chemical (except for most metals and metal category compounds) or a waste containing an EPCRA Section 313 chemical that is treated for destruction on-site or is sent to a POTW or other off-site location for treatment for destruction. Most metal and category compounds are not reported in this section because they cannot be destroyed (see Appendix B). Quantities treated off-site that are reported in Section 8.8 are excluded from Section 8.7.

**Section 8.6 is not related to Sections 5 or 6**

<sup>3</sup> § 8.8 includes quantities of toxic chemicals disposed of or otherwise released on-site or managed as a waste off-site due to remedial actions, catastrophic events, or one-time events not associated with the production process. In each equation, the parenthetical following “Section 8.8” indicates which portion of § 8.8 is subtracted.

**Section 8.7 = Section 6.1 (portion of transfer that is ultimately treated) + Section 6.2 (treatment) - Section 8.8 (off-site treatment)**<sup>3</sup>

Some chemicals in addition to metals and metal category compounds might not be treated for destruction at a POTW. If you know that some or all of a chemical is not treated for destruction at the POTW, you should report that quantity in Section 8.1 instead of Section 8.7. Facilities should use their best readily available information to determine the final disposition of the toxic chemical sent to the POTW, and then distribute the amount reported in Section 6.1 among Sections 8.1c, 8.1d, and 8.7, as appropriate.

**8.8 Quantity Released to the Environment as a Result of Remedial Actions, Catastrophic Events, or One-Time Events Not Associated with Production Processes**

In Section 8.8, enter the total quantity of the EPCRA Section 313 chemical disposed of or released directly into the environment or sent off-site for recycling, energy recovery, treatment, or disposal during the reporting year due to any of the following events:

- (1) remedial actions;
- (2) catastrophic events such as earthquakes, fires, or floods; or
- (3) one-time events not associated with normal or routine production processes.

These quantities should not be included in Sections 8.1, 8.3, 8.5, or 8.7.

The purpose of this section is to separate quantities recycled, used for energy recovery, treated, or released (including disposals) that are associated with normal or routine production operations from those that are not. While all quantities released, recycled, combusted for energy recovery, or treated may ultimately be preventable, this section separates the quantities that are more likely to be reduced or eliminated by process oriented source reduction activities from those releases that are largely unpredictable and are less amenable to such source reduction activities. For example, spills that occur as a routine part of production operations and could be reduced or eliminated by improved handling, loading, or unloading procedures are included in the quantities reported in Section 8.1 through 8.7 as appropriate. A total loss of containment resulting

from a tank rupture caused by a tornado would be included in the quantity reported in Section 8.8.

Similarly, the amount of an EPCRA Section 313 chemical cleaned up from spills resulting from normal operations during the reporting year would not be included in Section 8.8. However, the quantity of the reported EPCRA Section 313 chemical disposed of from a remedial action (e.g., RCRA corrective action) to clean up the environmental contamination resulting from past practices should be reported in Section 8.8 because they cannot currently be addressed by source reduction methods. A remedial action for purposes of Section 8.8 is a waste cleanup (including RCRA and CERCLA operations) within the facility boundary. Most remedial activities involve collecting and treating contaminated material.

Also, releases caused by catastrophic events are to be incorporated into the quantity reported in Section 8.8. Such releases may be caused by natural disasters (e.g., hurricanes and earthquakes) or by large scale accidents (e.g., fires and explosions). In addition, releases due to one-time events not associated with production (e.g., terrorist bombing) are to be included in Section 8.8. These amounts are generally unanticipated and cannot be addressed by routine process oriented accident prevention techniques. By checking your documentation for calculating estimates made for Part II, Section 5, "Quantity of the Toxic Chemical Entering Each Environmental Medium On-site," you may be able to identify disposal and release amounts from the above sources. Emergency notifications under CERCLA and EPCRA as well as accident histories required under the Clean Air Act may provide useful information. You should also check facility incident reports and maintenance records to identify one time or catastrophic events.

Note: While the information reported in Section 8.8 represents only remedial, catastrophic, or one-time events not associated with production processes, Section 5 of Form R (on-site disposal and other releases to the environment) and Section 6 (off-site transfers for further waste management) must include all on-site disposal and other releases and transfers for disposal as appropriate, regardless of whether they arise from catastrophic, remedial, or routine process operations.

**Avoid Double Counting in Sections 8.1 Through 8.8**

## *Instructions for Completing Part II of EPA Form R*

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Do not double or multiple count quantities in Sections 8.1 through 8.8. The quantities reported in each of those sections should be mutually exclusive. Do not multiple count quantities entering sequential reportable activities during the reporting year.

Do not include in Sections 8.1 through 8.7 any quantities of the EPCRA Section 313 chemical disposed of or otherwise released into the environment or otherwise managed as waste off-site due to remedial actions, catastrophic events (such as earthquakes, fires, or floods), or unanticipated one-time events not associated with the production process (such as a drunk driver crashing his/her car into a drum storage area). These quantities should be reported in Section 8.8 only. For example, 10,000 pounds of diaminoanisoole sulfate is released due to a catastrophic event and is subsequently treated off-site. The 10,000 pounds is reported in Section 8.8 but the amount subsequently treated off-site is not reported in Section 8.7.

### **8.9 Production Ratio or Activity Index**

For Section 8.9, you must provide a ratio of reporting year production to prior year production, or provide an “activity index” based on a variable other than production that is the primary influence on the quantity of the reported EPCRA Section 313 chemical recycled, used for energy recovery, treated, disposed of or released. The ratio or index must be reported to the nearest tenths or hundredths place (i.e., one or two digits to the right of the decimal point). For EPCRA Section 313 PBT chemicals, including the dioxin and dioxin-like compounds category, you will report the same as for chemicals that are not listed as PBT (i.e., up to one or two digits to the right of the decimal point). If the manufacture, processing, or use of the reported EPCRA Section 313 chemical began during the current reporting year, enter NA as the production ratio or activity index. Note, this is not to be reported as a percent (i.e., report 1.10 for a 10 percent increase, not 110 percent).

It is important to realize that if your facility reports more than one reported EPCRA Section 313 chemical, the production ratio or activity index may vary for different chemicals. For facilities that manufacture reported EPCRA Section 313 chemicals, the quantities of the EPCRA Section 313 chemical(s) produced in the current and prior years provide a good basis for the ratio because that is the primary business activity associated with the

reported EPCRA Section 313 chemical(s). In most cases, the production ratio or activity index must be based on some variable of production or activity rather than on EPCRA Section 313 chemical or material usage. Indices based on EPCRA Section 313 chemical or material usage may reflect the effect of source reduction activities rather than changes in business activity. EPCRA Section 313 chemical or material usage is therefore not a basis to be used for the production ratio or activity index where the EPCRA Section 313 chemical is “otherwise used” (i.e., non-incorporative activities such as extraction solvents, metal degreasers, etc.).

While several methods are available to the facility for determining this data element, the production ratio or activity index must be based on the variable that most directly affects the quantities of the EPCRA Section 313 chemical recycled, used for energy recovery, treated, disposed or released.

Examples of methods available include:

- (1) Amount of EPCRA Section 313 chemical manufactured in a given reporting year divided by the amount of EPCRA Section 313 chemical manufactured in the previous reporting year; or
- (2) Amount of product produced in a given reporting year divided by the amount of product produced in the previous reporting year.

**Example 20: Quantity Released to the Environment as a Result of Remedial Actions, Catastrophic Events, or One-Time Events Not Associated with Production Processes.**

A chemical manufacturer produces an EPCRA Section 313 chemical in a reactor that operates at low pressure. The reactants and the EPCRA Section 313 chemical product are piped in and out of the reactor at monitored and controlled temperatures. During normal operations, small amounts of fugitive emissions occur from the valves and flanges in the pipelines.

Due to a malfunction in the control panel (which is state-of-the-art and undergoes routine inspection and maintenance), the temperature and pressure in the reactor increase, the reactor ruptures, and the EPCRA Section 313 chemical is released. Because the malfunction could not be anticipated and, therefore, could not be reasonably addressed by specific source reduction activities, the amount released is included in Section 8.8. In this case, much of the EPCRA Section 313 chemical is released as a liquid and pools on the ground. It is estimated that 1,000 pounds of the EPCRA Section 313 chemical pooled on the ground and was subsequently collected and sent off-site for treatment. In addition, it is estimated that another 200 pounds of the EPCRA Section 313 chemical vaporized directly to the air from the rupture. The total amount reported in Section 8.8 is the 1,000 pounds that pooled on the ground (and subsequently sent off-site), plus the 200 pounds that vaporized into the air, a total of 1,200 pounds. The quantity sent off-site must also be reported in Section 6 (but not in Section 8.7) and the quantity that vaporized must be reported as a fugitive emission in Section 5 (but not in Section 8.1b).

**8.10 Did Your Facility Engage in Any Newly Implemented Source Reduction Activities for This Chemical During the Reporting Year?**

Section 8.10 must be completed only if a source reduction activity was newly implemented (in whole or in part) at your facility for the reported EPCRA Section 313 chemical during the reporting year. If your facility implemented a new source reduction activity for the reported EPCRA Section 313 chemical during the reporting year, report the activity or activities that were implemented in 8.10.1 through 8.10.4. Source reduction activity codes must be entered in the first column. See more detailed information about these codes in the reporting instructions section below titled, "Source Reduction Activities." Include activities that were newly implemented during the reporting year. An activity is considered newly implemented if it went into effect during this reporting year. If your facility did not implement any new source reduction activity for the reported EPCRA Section 313 chemical, check the "NA" box in Section 8.10.

Source reduction means any practice that:

- Reduces the amount of any hazardous substance, pollutant, or contaminant entering any waste stream or otherwise released into the environment (including fugitive emissions) prior to recycling, energy recovery, treatment, or disposal; and
- Reduces the hazards to public health and the environment associated with the release of such substances, pollutants, or contaminants.

The term includes equipment or technology modifications, process or procedure modifications, reformulation or redesign of products, substitution of raw materials, and improvements in housekeeping, maintenance, training, or inventory control.

The term "source reduction" does not include any practice that alters the physical, chemical, or biological characteristics or the volume of a hazardous substance, pollutant, or contaminant through a process or activity that itself is not integral to and necessary for the production of a product or the providing of a service.

Source reduction activities do not include recycling, using for energy recovery, treating, or disposing of

## Instructions for Completing Part II of EPA Form R

an EPCRA Section 313 chemical. Report in this section only the source reduction activities implemented to reduce or eliminate the quantities reported in Sections 8.1 through 8.7. The focus of the section is only those activities that are applied to reduce routine or reasonably anticipated releases and quantities of the reported EPCRA Section 313 chemical recycled, treated, used for energy recovery, or disposed of. Do not report in this section any activities taken to reduce or eliminate the quantities reported in Section 8.8. If you have fewer than four source reduction codes in Section

8.10, an NA should be placed in the first column of the first unused row to indicate the termination of the sequence. If all four rows are used, there is no need to terminate the sequence. If there are more than four source reduction codes, photocopy Page 5 of Form R as many times as necessary and then number the boxes consecutively for each source reduction activity. Enter NA when the sequence has terminated, unless the sequence ends at 4, 8, 12, 16, etc. source reduction codes.

### Example 21: Avoiding Double-Counting Quantities in Sections 8.1 through 8.7

5,000 pounds of an EPCRA Section 313 chemical enters a treatment operation. Three thousand pounds of the EPCRA Section 313 chemical exits the treatment operation and then enters a recycling operation. Five hundred pounds of the EPCRA Section 313 chemical are in residues from the recycling operation that is subsequently sent off-site to a landfill for disposal. These quantities would be reported as follows in Section 8:

Section 8.1c: 500 pounds disposed of

Section 8.4: 2,500 pounds recycled

Section 8.6: 2000 pounds treated (5,000 that initially entered - 3,000 that subsequently entered recycling)

*To report that 5,000 pounds were treated, 3,000 pounds were recycled, and that 500 pounds were sent off-site for disposal would result in over-counting the quantities of EPCRA Section 313 chemical recycled, treated, and disposed of by 3,500 pounds.*

### Example 22: Determining a Production Ratio

Your facility's only use of toluene is as a paint carrier for a painting operation. You painted 12,000 refrigerators in the current reporting year and 10,000 refrigerators during the preceding year. The production ratio for toluene in this case is 1.2 (12,000/10,000) because the number of refrigerators produced is the primary factor determining the quantity of toluene to be reported in Sections 8.1 through 8.7.

A facility manufactures inorganic pigments, including titanium dioxide. Hydrochloric acid (acid aerosols) is produced as a waste byproduct during the production process. An appropriate production ratio for hydrochloric acid (acid aerosols) is the annual titanium dioxide production, not the amount of byproduct generated. If the facility produced 20,000 pounds of titanium dioxide during the reporting year and 26,000 pounds in the preceding year, the production ratio would be 0.77 (20,000/26,000).

**Example 23: Determining an Activity Index**

Your facility manufactures organic dyes in a batch process. Different colors of dyes are manufactured, and between color changes, all equipment must be thoroughly cleaned with solvent containing glycol ethers to reduce color carryover. During the preceding year, the facility produced 2,000 pounds of yellow dye in January, 9,000 pounds of green dye for February through September, 2,000 pounds of red dye in November, and another 2,000 pounds of yellow dye in December. This adds up to a total of 15,000 pounds and four color changeovers. During the reporting year, the facility produced 10,000 pounds of green dye during the first half of the year and 10,000 pounds of red dye in the second half. If your facility uses glycol ethers in this cleaning process only, an activity index of 0.5 (based on two color changeovers for the reporting year divided by four changeovers for the preceding year) is more appropriate than a production ratio of 1.33 (based on 20,000 pounds of dye produced in the current year divided by 15,000 pounds in the preceding year). In this case, an activity index, rather than a production ratio, better reflects the factors that influence the amount of solvent recycled, used for energy recovery, treated, or disposed of or released.

A facility that manufactures thermoplastic composite parts for aircraft uses toluene as a wipe solvent to clean molds. The solvent is stored in 55-gallon drums and is transferred to 1-gallon dispensers. The molds are cleaned on an as-needed basis that is not necessarily a function of the parts production rate. Operators cleaned 5,200 molds during the reporting year, but only cleaned 2,000 molds in the previous year. An activity index of 2.6 (5,200/2,000) represents the activities involving toluene usage in the facility. If the molds were cleaned after 1,000 parts were manufactured, a production ratio would equal the activity index and either could be used as the basis for the index.

A facility manufactures surgical instruments and cleans the metal parts with 1,1,1-trichloromethane in a vapor degreaser. The degreasing unit is operated in a batch mode and the metal parts are cleaned according to an irregular schedule. The activity index can be based upon the total time the metal parts are in the degreasing operation. If the degreasing unit operated 3,900 hours during the reporting year and 3,000 hours the prior year, the activity index is 1.3 (3,900/3,000).

**Example 24: "NA" is Entered as the Production Ratio or Activity Index**

Your facility began production of semiconductor chips during this reporting year. Perchloroethylene is used as a cleaning solvent for this operation and this is the only use of the EPCRA Section 313 chemical in your facility. You would enter NA in Section 8.9 because you have no basis of comparison in the prior year for the purposes of developing the activity index.

**Example 25: Determining the Production Ratio Based on a Weighted Average**

At many facilities, a reported EPCRA Section 313 chemical is used in more than one production process. In these cases, a production ratio or activity index can be estimated by weighting the production ratio for each process based on the respective contribution of each process to the quantity of the reported EPCRA Section 313 chemical recycled, used for energy recovery, treated, or disposed of.

Your facility paints bicycles with paint containing toluene. Sixteen thousand bicycles were produced in the reporting year and 14,500 were produced in the prior year. There were no significant design modifications that changed the total surface area to be painted for each bike. The bicycle production ratio is 1.1 (16,000/14,500). You estimate 12,500 pounds of toluene recycled, used for energy recovery, treated, disposed of or released as a result of bicycle production. Your facility also uses toluene as a solvent in a glue that is used to make components and add-on equipment for the bicycles. Thirteen thousand components were manufactured in the reporting year as compared to 15,000 during the prior year. The production ratio for the components using toluene is 0.87 (13,000/15,000). You estimate 1,000 pounds of toluene treated, recycled, used for energy recovery, disposed of or released as a result of components production. A production ratio can be calculated by weighting each of the production ratios based on the relative contribution each has to the quantities of toluene treated, recycled, used for energy recovery, disposed of or released during the reporting year (13,500 pounds). The production ratio is calculated as follows:

$$\text{Production ratio} = 1.1 \times (12,500/13,500) + 0.87 \times (1,000/13,500) = 1.08$$

**Example 26: Source Reduction**

A facility assembles and paints furniture. Both the glue used to assemble the furniture and the paints contain EPCRA Section 313 chemicals. By examining the gluing process, the facility discovered that a new drum of glue is opened at the beginning of each shift, whether the old drum is empty or not. By adding a mechanism that prevents the drum from being changed before it is empty, the need for disposal of the glue is eliminated at the source. As a result, this activity is considered source reduction. The painting process at this facility generates a solvent waste that contains an EPCRA Section 313 chemical that is collected and recovered. The recovered solvent is used to clean the painting equipment. The recycling activity does not reduce the amount of EPCRA Section 313 chemical recycled, and therefore is not considered a source reduction activity.

**Source Reduction Activities**

You must enter in the first column of Section 8.10, "Source Reduction Activities," the appropriate code(s) indicating the type of actions taken to reduce the amount of the reported EPCRA Section 313 chemical disposed of or otherwise released (as reported in Section 8.1), used for energy recovery (as reported in Sections 8.2–8.3), recycled (as reported in Sections 8.4–8.5), or treated (as reported in Sections 8.6–8.7). The list of codes below includes many, but not all, of the codes provided in the RCRA biennial report. Remember that source reduction activities include only those actions or techniques that reduce or eliminate the amounts of the EPCRA Section 313 chemical reported in Sections 8.1 through 8.7. Actions taken to recycle, combust for energy recovery, treat, or

dispose of the EPCRA Section 313 chemical are not considered source reduction activities.

**Source Reduction Activity Codes:**

**Good Operating Practices**

- W13 Improved maintenance scheduling, record keeping, or procedures
- W14 Changed production schedule to minimize equipment and feedstock changeovers
- W19 Other changes made in operating practices

**Inventory Control**

- W21 Instituted procedures to ensure that materials do not stay in inventory beyond shelf-life
- W22 Began to test outdated material — continue to use if still effective

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- W23 Eliminated shelf-life requirements for stable materials
- W24 Instituted better labeling procedures
- W25 Instituted clearinghouse to exchange materials that would otherwise be discarded
- W29 Other changes made in inventory control

### **Spill and Leak Prevention**

- W31 Improved storage or stacking procedures
- W32 Improved procedures for loading, unloading, and transfer operations
- W33 Installed overflow alarms or automatic shut-off valves
- W35 Installed vapor recovery systems
- W36 Implemented inspection or monitoring program of potential spill or leak sources
- W39 Other changes made in spill and leak prevention

### **Raw Material Modifications**

- W41 Increased purity of raw materials
- W42 Substituted raw materials
- W49 Other raw material modifications made

### **Process Modifications**

- W51 Instituted re-circulation within a process
- W52 Modified equipment, layout, or piping
- W53 Used a different process catalyst
- W54 Instituted better controls on operating bulk containers to minimize discarding of empty containers
- W55 Changed from small volume containers to bulk containers to minimize discarding of empty containers
- W58 Other process modifications made

### **Cleaning and Degreasing**

- W59 Modified stripping/cleaning equipment
- W60 Changed to mechanical stripping/cleaning devices (from solvents or other materials)
- W61 Changed to aqueous cleaners (from solvents or other materials)
- W63 Modified containment procedures for cleaning units
- W64 Improved draining procedures
- W65 Redesigned parts racks to reduce drag out
- W66 Modified or installed rinse systems
- W67 Improved rinse equipment design
- W68 Improved rinse equipment operation
- W71 Other cleaning and degreasing modifications made

### **Surface Preparation and Finishing**

- W72 Modified spray systems or equipment
- W73 Substituted coating materials used
- W74 Improved application techniques
- W75 Changed from spray to other system
- W78 Other surface preparation and finishing modifications made

### **Product Modifications**

- W81 Changed product specifications
- W82 Modified design or composition of product
- W83 Modified packaging
- W89 Other product modifications made

### **Methods to Identify Activity**

In columns a through c of Section 8.10, the "Methods to Identify Activity," you must enter one or more of the following code(s) that correspond to those internal and external method(s) or information sources you used to identify the possibility for a source reduction activity implementation at your facility. If more than three methods were used to identify the source reduction activity, enter only the three codes that contributed most to the decision to implement the activity.

- T01 Internal pollution prevention opportunity audit(s)
- T02 External pollution prevention opportunity audit(s)
- T03 Materials balance audits
- T04 Participative team management
- T05 Employee recommendation (independent of a formal company program)
- T06 Employee recommendation (under a formal company program)
- T07 State government technical assistance program
- T08 Federal government technical assistance program
- T09 Trade association/industry technical assistance program
- T10 Vendor assistance
- T11 Other

**8.11 If You Wish to Submit Additional Optional Information on Source Reduction, Recycling, or Pollution Control Activities, Provide it Here.**

If you wish to submit any additional optional information on source reduction, recycling, or pollution control activities you have implemented in the reporting year or in prior years for the reported EPCRA Section 313 chemical, you may provide a description in the box supplied on the Form R. If you are using *TRI-MEweb* to submit your report, you can use the pull-down text box feature to describe your source reduction, recycling, or pollution control activities.

While EPA welcomes submissions about recycling and pollution control activities, the Agency is most interested in collecting information about innovative and effective source reduction activities. In addition, the Agency wishes to encourage reporters to provide enough detailed information about their most effective source reduction activities to spur other facilities to adopt similar practices, as well as to inform the public about such activities being implemented in their communities.

**9.1 Miscellaneous, Optional, and Additional Information for Your Form R Report**

Your facility may provide additional information pertaining to any portion of your Form R submission in the box provided on the hard-copy form, or in the drop-down text box provided in *TRI-MEweb*. Your submissions to Section 9.1 regarding miscellaneous, additional, optional information may provide the Agency and/or the public with useful data that helps explain why your facility submitted data in one or more data elements that might appear unusual or inconsistent with previous TRI Form R submissions or with other data supplied by your facility during this reporting year. Such additional data may help EPA reduce the need for additional data quality control as well as additional TRI-related enforcement and compliance efforts. **Do not submit information you consider to be CBI or otherwise protected on your Form R.**

## **D. Instructions for Completing Form R Schedule 1 (Dioxin and Dioxin-like Compounds)**

### ***D.1 What is the Form R Schedule 1?***

The Form R Schedule 1 is a four-page form that mirrors the data elements from Form R Part II Chemical-Specific Information sections 5, 6, and 8 (current year only). The Form R Schedule 1 requires the reporting of the individual grams data for each member of the dioxin and dioxin-like compounds category present, and is submitted as an adjunct to the Form R. Beginning with reporting year 2008, facilities that file Form R reports for the dioxin and dioxin-like compounds category are required to determine if they have any of the information required by the new Form R Schedule 1. Facilities that have any of the information required by Form R Schedule 1 must submit a Form R Schedule 1 in addition to the Form R. Note: Beginning in RY2008, the listing order of the 17 members of the dioxin and dioxin-like compounds category changed.

### ***D.2 Who is required to file a Form R Schedule 1?***

Only facilities that file reports for the dioxin and dioxin-like compounds category may be required to file a Form R Schedule 1. Facilities that have any of the data required by Form R Schedule 1 for the individual members of the dioxin and dioxin-like compounds category must submit a Form R Schedule 1, in addition to the Form R. EPA notes that dioxin and dioxin-like compounds are not measured as a total quantity; the measurements are based on the individual compounds within the category. Emission factors for dioxin and dioxin-like compounds are also based on emission factors for the individual compounds within the category. EPA's guidance

document for dioxin and dioxin-like compounds (Emergency Planning And Community Right-To-Know Act - Section 313: Guidance for Reporting Toxic Chemicals within the Dioxin and Dioxin-like Compounds Category, EPA-745-B-00-021, December 2000) includes tables that contain the emission factors for the individual members of the dioxin and dioxin-like compounds category. Since measured data and emission factor data are based upon data for the individual members of the dioxin and dioxin-like compounds category, the information required by Form R Schedule 1 should be available to facilities that file Form R reports for the dioxin and dioxin-like compounds category.

### ***D.3 What information is reported on the Form R Schedule 1?***

The only data reported on the Form R Schedule 1 is the mass quantity information required in sections 5, 6, and 8 (current year only) of the Form R. All of the other information required in sections 5, 6, and 8 of the Form R (off-site location names, stream or water body names, etc.) would be the same so this information is not duplicated on Form R Schedule 1. For example, if a facility reported 5.3306 grams on Form R Section 5.1 for fugitive or non-point air emissions for the dioxin and dioxin-like compounds category then the facility would report on the Form R Schedule 1 the grams data for each individual member of the category that contributed to the 5.3306 gram total. The sum of the gram quantities reported for each individual member of the category should equal the total gram quantity reported for the category on Form R for each data element (see examples below). The NA box has the same meaning on Form R Schedule 1 as it does on the Form R and should only be marked if it is marked on the Form R.

*Instructions for Completing Part II of EPA Form R*

**Form R Section 5 Example**

SECTION 5. QUANTITY OF THE TOXIC CHEMICAL ENTERING EACH ENVIRONMENTAL MEDIUM ON-SITE					
			A. Total Release (pounds/year*) (Enter a range code** or estimate)	B. Basis of Estimate (Enter code)	C. Percent from Stormwater
5.1	Fugitive or non-point air emissions	NA <input checked="" type="checkbox"/>	5.3306	M	

**Form R Schedule 1 Section 5 Example**

SECTION 5. QUANTITY OF DIOXIN AND DIOXIN-LIKE COMPOUNDS ENTERING EACH ENVIRONMENTAL MEDIUM ON-SITE									
		5.1	NA	5.2	NA	5.3	Discharges to receiving streams or water bodies (Enter data for one stream or water body per box) NA <input type="text"/>		
		Fugitive or non-point air emissions		Stack or point air emissions		5.3.1	5.3.2	5.3.3	
D. Mass (grams) of each compound in the category (1-17)	1	0.0035							
	2	0.0059							
	3	0.0071							
	4	0.0008							
	5	0.0065							
	6	0.0923							
	7	0.5720							
	8	0.0723							
	9	0.0695							
	10	0.0399							
	11	0.3562							
	12	0.1309							
	13	0.0132							
	14	0.0815							
	15	1.4625							
	16	0.3126							
	17	2.1039							

If additional pages of Section 5.3 are attached, indicate the total number of pages in this box

and indicate the Section 5.3 page number in this box  (Example: 1, 2, 3, etc.)

The Form R Schedule 1 provides boxes for recording the gram quantities for all 17 individual members of the dioxin and dioxin-like compounds category. The boxes on the Form R Schedule 1 for each release type are divided into 17 boxes. Each of the boxes (1-17) corresponds to the individual members of the dioxin category as presented in Table I.

## *Instructions for Completing Part II of EPA Form R*

Table I

Box #	CAS#	Chemical Name	Abbreviation
1	01746-01-6	2,3,7,8-Tetrachlorodibenzo- p-dioxin	2,3,7,8-TCDD
2	40321-76-4	1,2,3,7,8-Pentachlorodibenzo- p-dioxin	1,2,3,7,8-PeCDD
3	39227-28-6	1,2,3,4,7,8-Hexachlorodibenzo- p-dioxin	1,2,3,4,7,8-HxCDD
4	57653-85-7	1,2,3,6,7,8-Hexachlorodibenzo- p-dioxin	1,2,3,6,7,8-HxCDD
5	19408-74-3	1,2,3,7,8,9-Hexachlorodibenzo- p-dioxin	1,2,3,7,8,9-HxCDD
6	35822-46-9	1,2,3,4,6,7,8-Heptachlorodibenzo- p-dioxin	1,2,3,4,6,7,8-HpCDD
7	03268-87-9	1,2,3,4,6,7,8,9-Octachlorodibenzo- p-dioxin	1,2,3,4,6,7,8,9-OCDD
8	51207-31-9	2,3,7,8-Tetrachlorodibenzofuran	2,3,7,8-TCDF
9	57117-41-6	1,2,3,7,8-Pentachlorodibenzofuran	1,2,3,7,8-PeCDF
10	57117-31-4	2,3,4,7,8-Pentachlorodibenzofuran	2,3,4,7,8-PeCDF
11	70648-26-9	1,2,3,4,7,8-Hexachlorodibenzofuran	1,2,3,4,7,8-HxCDF
12	57117-44-9	1,2,3,6,7,8-Hexachlorodibenzofuran	1,2,3,6,7,8-HxCDF
13	72918-21-9	1,2,3,7,8,9-Hexachlorodibenzofuran	1,2,3,7,8,9-HxCDF
14	60851-34-5	2,3,4,6,7,8-Hexachlorodibenzofuran	2,3,4,6,7,8-HxCDF
15	67562-39-4	1,2,3,4,6,7,8-Heptachlorodibenzofuran	1,2,3,4,6,7,8-HpCDF
16	55673-89-7	1,2,3,4,7,8,9-Heptachlorodibenzofuran	1,2,3,4,7,8,9-HpCDF
17	39001-02-0	1,2,3,4,6,7,8,9-Octachlorodibenzofuran	1,2,3,4,6,7,8,9-OCDF

It is extremely important that facilities enter their grams data for the individual members of the category based on the box numbers in Table I (do not use the listing order from the 2007 or earlier versions of the reporting instructions). This information will be used to calculate toxic equivalency values using toxic equivalency factors that are specific to each member of the category. As with reporting on the Form R, facilities should report on the Form R Schedule 1 to the level of accuracy that their data supports, up to seven digits to the right of the decimal. EPA's reporting software and data management systems support data precision to seven digits to the right of the decimal. EPA strongly encourages facilities that report for the dioxin and dioxin-like compounds category to file their reports electronically to reduce the potential for errors when transferring the individual grams data from hard copy reports.

## **E. Facility Eligibility Determination for Alternate Threshold and for Reporting on EPA Form A**

This section will help to determine whether you can submit the simplified Form A Certification Statement (hereafter referred to as Form A). The criteria are based on the total annual reportable amount of the listed chemical or chemical category and the amount manufactured, processed, or otherwise used. Note that, effective in Reporting Year 2008, the TRI Burden Reduction Rule has been voided by Congress. The criterion for using Form A has returned to what they were prior to Reporting Year 2006. The criteria are explained below. For more information about the final rule, see the TRI homepage at <http://www.epa.gov/tri/lawsandregs/index.htm>.

### ***E.1 Alternate Threshold***

On November 30, 1994, EPA published a final rule (59 FR 61488) that provides qualifying facilities an alternate threshold of 1 million pounds. Eligible facilities wishing to take advantage of this option may certify on a simplified two-page form referred to as Form A Certification Statement and do not have to use Form R. The "TRI Alternate Threshold for Facilities with Low Annual Reportable Amounts," provides facilities otherwise meeting EPCRA section 313 reporting thresholds the option of certifying on Form A provided that they do not exceed 500 pounds for the total annual reportable amount (defined below) for that chemical, and that their amounts manufactured or processed or otherwise used do not exceed one-million pounds. As with determining section 313 reporting thresholds, amounts manufactured, processed, or otherwise used are to be considered independently. This modification does not apply to forms being submitted on or before July 1, 1995 (covering the 1994 reporting year). If you fill out a Form A for an EPCRA section 313 chemical, do not fill out a Form R for that same chemical.

However, there is an exception to the alternate threshold rule described in the preceding paragraph. All PBT chemicals (except certain instances of reporting lead in stainless steel, brass or bronze alloys) are excluded from eligibility for the alternate threshold.

### ***E.2 What is the Form A Certification Statement?***

The Form A, which is described as the "certification statement" in 59 FR 61488, is intended as a means to reduce the compliance burden associated with *EPCRA section 313*. If a facility chooses to use Form A as a substitute for Form R for any eligible chemical, it must be submitted on an annual basis. Facilities wishing to take advantage of this burden reducing option may only submit Form A for chemicals that meet the conditions described in section E.1, Alternate Threshold, and should not submit a Form R to the TRI Data Processing Center for the same chemicals. The information submitted on the Form A includes facility identification information and the chemical or chemical category identity. The information submitted on the Form A will appear in the TRI data base in the same manner that information submitted on Form R appears. An approved Form A has been included in this Reporting Forms and Instructions document.

### ***E.3 What Is the Annual Reportable Amount (ARA)?***

For the purpose of this optional reporting modification, the annual reportable amount (ARA) is equal to the combined total quantities released at the facility (including disposed of within the facility), treated at the facility (as represented by amounts destroyed or converted by treatment processes), recovered at the facility as a result of recycling operations, combusted for the purpose of energy recovery at the facility, and amounts transferred from the facility to off-site locations for the purpose of recycling, energy recovery, treatment, and/or disposal. These quantities correspond to the sum of amounts reportable for data elements on EPA Form R (EPA Form 9350-1; Rev.10/09) as Part II column B of section 8, data elements 8.1 (quantity released), 8.2 (quantity used for energy recovery on-site), 8.3 (quantity used for energy recovery off-site), 8.4 (quantity recycled onsite), 8.5 (quantity recycled off-site), 8.6 (quantity treated on-site), and 8.7 (quantity treated off-site).

**Facility Eligibility Determination for Alternate Threshold  
and for Reporting on EPA Form A Certification Statement**

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### ***E.4 Recordkeeping***

Each owner or operator who determines that they are eligible, and wishes to apply the alternate threshold to a particular chemical, must retain records substantiating this determination for a period of three years from the date of the submission of the Form A. These records must include sufficient documentation to support calculations as well as the calculations made by the facility that confirm their eligibility for each chemical for which the alternate threshold was applied.

A facility that fits within the category description, and manufactures, processes or otherwise uses no more than one million pounds of an EPCRA Section 313 chemical annually, and whose owner/operator elects to take advantage of the alternate threshold, is not considered an EPCRA Section 313 covered facility for that chemical for the purpose of submitting a Form R. This determination may provide further regulatory relief from other federal or state regulations that apply to facilities on the basis of their EPCRA Section 313 reporting status. A facility will need to reference other applicable regulations to determine if their actual requirements may be affected by this reporting modification.

### ***E.5 Multi-establishment Facilities***

For the purposes of using Form A, the facility must also make its determination based upon the entire facility's operations including all of its establishments (see 59 FR 61488 for greater detail). If the facility as a whole is able to take advantage of the alternate threshold, a single Form A is required. The eligibility to submit a Form A must be made on a whole facility determination. Thus, all of the information necessary to make the determination must be assembled to the facility level.

### ***E.6 Trade Secrets***

When making a trade secret claim on a Form A submission, EPA is requiring that a facility submit a unique Form A for each EPCRA Section 313 chemical meeting the conditions of the alternate threshold. Facilities may assert a trade secrecy claim for a chemical identity on the Form A as on the Form R. Reports submitted on a per chemical

basis protect against the disclosure of trade secrets. Form As with trade secrecy claims, like Form Rs with similar claims, will be separately handled upon receipt to protect against disclosure. Commingling trade secret chemical identities with non-trade secret chemical identities on the same submission increases the risk of disclosure.

**Do not submit  
trade secret reports electronically.**

### ***E.7 Metals and Metal Category Compounds***

For metal category compounds, the amount applied toward the ARA is the amount of parent metal waste that is reported on Form R, but the thresholds apply to the amount of metal category compounds manufactured, processed, or otherwise used. For Form A certification involving both listed parent metals and associated metal compounds, the one million pound alternate threshold must be applied separately to the listed parent metal and the associated metal compound(s). Threshold determinations must be made independently for each because they are separately listed EPCRA Section 313 chemicals.

- If the threshold is exceeded for the listed parent metal but not the associated metal category compounds, then the releases of metal reported on Form R for the parent metal need not include the releases from the metal category compounds.
- If both the parent metal and the associated metal compounds exceed the alternate threshold, then the facility has the option of filing one Form R for both, using the metal category compound name and reporting total releases based on parent metal content.
- If neither the parent metal nor the associated metal compounds exceed the alternate threshold, then the facility must use a separate listing on Form A for each, since the reporting thresholds must be applied to each listed parent metal and all compounds in the associated compound category. EPA believes it is appropriate to make the distinction between filing the Form R and Form A because the Form R accounts for amounts of metal released

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or otherwise managed and Form A verifies that the alternate threshold for each listed chemical or chemical category has not been exceeded.

Similarly, separate listings on Form A must be submitted for all other listed chemicals even if EPA allows one listing on Form R to be filed for two or more listed chemicals (e.g., o-xylene, p-xylene and xylene (mixed isomers)). For example, if a facility processes in three separate process streams, xylene (mixed isomers), o-xylene, and p-xylene, and exceeds the conditions of the alternate threshold for each of these listed substances, the facility may combine the appropriate information on the o-xylene, p-xylene, and xylene (mixed isomers) into one Form R, but cannot combine the reports into one listing on Form A.

Facilities that process o-xylene, p-xylene, and xylene (mixed isomers) in separate process streams and do not exceed the conditions of the alternate threshold for one or more of the compounds may submit a separate Form A for each of the forms of xylene meeting the alternate threshold and report on Form R for those forms that do not. Similar to reporting on the parent metals and their associated category compounds described above, facilities that separately process all types (i.e., isomers) of xylene with individual activity levels within the conditions of the alternate threshold should file a separate Form A for each type of xylene.

Beginning with the 1998 reporting year, facilities may enter as many chemicals as are eligible on a single Form A.

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## **F. Instructions for Completing EPA Form A**

The following instructions provide information on how to enter data on a Form A. Some data entry fields of a Form A are done differently using the TRI's electronic reporting tool called *TRI-MEweb* than on a hard-copy paper form. *TRI-MEweb* also has automatic data quality tools that will assist users to enter valid data on their Form R and detect any errors on a Form A. This is why *TRI-MEweb* is EPA's preferred method to submit TRI data.

For all parts of Form A:

- You should type or print information on the form in the format requested and use black ink. (Using blue ink for the certification signature is suggested as a means of indicating its originality.)
- All information on the Form A is required.
- Do not leave items in Parts I and II on the Form A blank unless specifically directed to do so; if an item does not apply to you, you should enter NA in the space provided. If your information does not fill all the spaces provided for a type of information, enter NA, in the next blank space in the sequence.
- Do not submit an incomplete form. The certification statement (Part I, Section 3) specifies that the report is complete as submitted. See Page 1 of these instructions for the definition of a complete submission.

### ***Part I. Facility Identification Information***

#### **Section 1. Reporting Year**

This is the calendar year to which the reported information applies, not the year in which you are submitting the report. Information for the reporting year 2011 must be submitted on or before July 1, 2012.

#### **Section 2. Trade Secret Information**

##### **2.1 Are you claiming the EPCRA Section 313 chemical identified on Page 3 a trade secret?**

If facilities wish to report more than one eligible chemical on the same Form A, then they are not

able to make trade secrecy claims. Any trade secrecy claims should be made on a separate form, and then the process is the same as using the Form R and as described in the following instructions.

The specific identity of the EPCRA Section 313 chemical being reported in Part II, Section 1, may be designated as a trade secret. If you are making a trade secret claim, mark "yes" and proceed to Section 2.2. Only check "yes" if you manufacture, process, or otherwise use the EPCRA Section 313 chemical whose identity is a trade secret. (See Page 3 of these instructions for specific information on trade secrecy claims.) If you checked "no," proceed to Section 3; do not answer Section 2.2.

**Do not submit trade secret reports electronically. See last year's Reporting Forms and Instructions.**

##### **2.2 If "yes" in 2.1, is this copy sanitized or unsanitized?**

You should check "sanitized" if this copy of the report is the public version that does not contain the EPCRA Section 313 chemical identity but does contain a generic name that is structurally descriptive in its place, and you have claimed the EPCRA Section 313 chemical identity trade secret in Part I, Section 2.1. Otherwise, check "unsanitized."

#### **Section 3. Certification**

The Form A must be signed by a senior official with management responsibility for the person (or persons) completing the form. A senior management official must certify the accuracy and completeness of the information reported on the form by signing and dating the Form A. Each report must contain an original signature. Unlike the certification statement contained on Form R, the certification statement provided on the Alternate Threshold Form A pertains to the facility's eligibility of having met the conditions as described in 40 CFR Section 372.27. You should print or type in the space provided the name and title of the person who signs the statement. This certification statement applies to all the information supplied on the form and should be signed only after the form has been completed. ***Failure to certify submissions will lead to a Notice of Non-Compliance. Please see Appendix C.2 Levels of Errors Identified in FDPs.***

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## **Section 4. Facility Identification**

### **4.1 Facility Name, Location, and TRI Facility Identification Number**

Enter the full name that the facility presents to the public and its customers in doing business (e.g., the name that appears on invoices, signs, and other official business documents). Do not use a nickname for the facility (e.g., Main Street Plant) unless that is the legal name of the facility under which it does business. Also enter the street address, mailing address, city, county, state, and zip code in the space provided. Do not use a post office box number as the street address. The street address provided must be the location where the EPCRA Section 313 chemicals are manufactured, processed, or otherwise used. If your mailing address and street address are the same, you should enter NA in the space for the mailing address.

If your facility is not in a county, put the name of your city, district (for example District of Columbia), or parish (if you are in Louisiana) in the county block of the Form R and Form A as well as in the County field of *TRI-MEweb*. “NA” or “None” are not acceptable entries. *TRI-MEweb* provides a drop-down menu for the county name, including city districts and parish names.

If you have submitted a Form A for previous reporting years, a TRI Facility Identification Number has been assigned to your facility. If you know your TRI Facility Identification Number, complete Section 4. If you do not know your TRI Facility Identification Number, contact the CDX Help Desk toll free at 1-888-890-1995. If your facility has moved, do not enter your TRI facility identification number, you should enter “New Facility.”

The TRI Facility Identification Number is established by the first Form R submitted by a facility at a particular location. This identification number is retained by the facility even if the facility changes name, ownership, production processes, SIC or NAICS codes, etc. This identification number will stay with this location. If a new facility moves to this location it should use this TRI Facility Identification Number. Establishments of a facility that report separately should use the TRI Facility Identification Number of the facility.

**Location information for a facility that has previously submitted data to EPA.**

If your facility has submitted a Form A in previous reporting years, and is planning to submit another Form A, a TRI Facility Identification Number (TRIFID) has been already been assigned to your facility. If you know your TRIFID, you should complete Form A Section 4. If you do not know your TRI Facility Identification Number, you should visit the TRI Web page at <http://www.epa.gov/tri> for more information or contact your Regional TRI Program representative, or utilize Envirofacts on the Web to look up the address or facility name <http://www.epa.gov/enviro> and retrieve your assigned TRIFID.

**Location information for a facility that has previously submitted data to EPA, but has changed physical location.**

**Hard-copy paper Form R:** If your facility has moved, do not enter your previously assigned TRI Facility Identification Number (TRIFID), enter “New Facility”. If you are filing a separate Form R for each establishment at your facility, you should use the same TRIFID for each establishment. You can also utilize Envirofacts on the Web to look up the address or facility name <http://www.epa.gov/enviro> if you are uncertain if a TRIFID has been assigned to your new facility’s location.

**TRI-MEweb:** If your facility has moved, you will need to request a new TRIFID to be assigned to your facility, add a new facility account to *TRI-MEweb* and chose to report as a new reporting facility. *TRI-MEweb* will automatically generate a new TRIFID to your facility. The TRIFID assigned to your new reporting facility should be used in all future reporting of TRI data.

**Location information for a facility that has changed ownership, but has not changed physical location.**

The TRIFID is established by the first Form A submitted by a facility at a particular location. Only a change in address warrants filing as a new facility; otherwise, the TRIFID is retained by the facility even if the facility changes name, ownership, production processes, NAICS codes, etc.

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**Hard-copy paper Form A:** The TRIFID identification number will stay with the physical location, even if change of ownership occurs. If a new facility unit moves to this location it should use the TRIFID assigned to location. Establishments of a facility (facilities that report by part) that report separately should use the TRIFID assigned the primary facility's location.

**TRI-MEweb:** If your facility has changed ownership during the reporting year, the facility does not require a new TRIFID. *TRI-MEweb* can be used to change facility information due to change of ownership. *TRI-MEweb* also assist facilities and their establishments that report by part.

### **Location reporting TRI releases for the first time to EPA.**

**Hard-copy paper Form A:** You should enter "New Facility" in the space for the TRI Facility Identification number if this is your first submission.

**TRI-MEweb:** If your facility is reporting for the first time, upon creating your CDX account and adding the *TRI-MEweb* application, you will be prompted to add a new facility account into *TRI-MEweb*. *TRI-MEweb* will automatically generate a new TRIFID to your facility. The TRIFID assigned to your new reporting facility should be used in all future reporting of TRI data.

### **4.2 Federal Facility Designation**

Executive Order 13423 directs federal facilities to comply with Right-To-Know Laws and Pollution Prevention Requirements. Please indicate in 4.2A, if the reporting facility is a federal facility or in 4.2.B if the submitter is a contractor at a federal facility (GOCO). If the reporting facility is not a federal facility, you should leave this space blank. Form R allows a facility to report multiple submissions for the same chemical if the facility is composed of several distinct establishments. This data element provides the option of reporting full or partial facility information on Form R, however, this is not applicable for those facilities taking advantage of the Alternate Threshold and Form A.

### **4.3 Technical Contact**

Enter the name and telephone number (including area code) of a technical representative whom EPA or state officials may contact for clarification of the information reported on Form A. You should also enter an email address for this person. EPA encourages facilities to provide an email address for the Technical Contact on their TRI submissions because they will be able to receive important program updates and email alerts notifying them when their FDP has been updated and published for their review.

If the technical contact does not have an email address you should enter NA. This contact person does not have to be the same person who prepares the report or signs the Form A and does not necessarily need to be someone at the location of the reporting facility. However, this person should be familiar with the details of the report so that he or she can answer questions about the information provided.

### **4.4 Public Contact**

Enter the name and telephone number (including area code) of a person who can respond to questions from the public about the form. You should also enter an e-mail address for this person. If you choose to designate the same person as both the Technical and the Public Contact, or you do not have a Public Contact, you may enter "Same as Section 4.3" in this space. This contact person does not have to be the same person who prepares the form or signs the Certification Statement and does not necessarily need to be someone at the location of the reporting facility.

### **4.5 North American Industry Classification System (NAICS) Code**

Enter the appropriate six-digit North American Industry Classification System (NAICS) Code that is the primary NAICS Code for your facility in Section 4.5(a). (Use 2007 NAICS codes.) Enter any other applicable NAICS for your facility in 4.5 (b)-(f). If you do not know your NAICS code, consult the 2007 NAICS Manual (see Section B.2 of these instructions for ordering information) or check the SIC to NAICS crosswalk tables at <http://www.census.gov>.

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The North American Industry Classification System (NAICS) is the economic classification system that replaced the 1987 SIC code system. A Federal Register notice was published on June 6, 2006 (71 FR 32464) adopting NAICS codes for TRI reporting. A subsequent Federal Register notice was published on June 9, 2008 (73 FR 32466) to incorporate 2007 OMB revisions and other corrections to the NAICS codes used for TRI Reporting.

#### **4.6 Dun & Bradstreet Number(s)**

Enter the nine digit number assigned by D&B for your facility or each establishment within your facility. These numbers code the facility for financial purposes. This number may be available from your facility's treasurer or financial officer. You can also obtain the numbers by calling 1-888-814-1435 or visiting this website: [https://www.dnb.com/product/dlw/form\\_cc4.htm](https://www.dnb.com/product/dlw/form_cc4.htm).

If a facility does not subscribe to the D&B service, a number can be obtained, toll free at 1-800-234-3867 (8:00 AM to 6:00 PM, Local Time) or on the Web at <http://www.dnb.com>. If none of your establishments has been assigned a D&B number, you should enter NA in box (a). If only some of your establishments have been assigned Dun & Bradstreet numbers, enter those numbers in Part I, Section 4.6.

## **Section 5. Parent Company Information**

You must provide information on your parent company. For TRI Reporting purposes, your parent company is as the highest level company, located in the United States, that directly owns at least 50 percent of the voting stock of your company. If there is no higher level U.S. company, select the "No U.S. Parent Company parent (for TRI reporting purposes)" check box. For example, the Bestchem Corporation is not owned or controlled by any other corporation but has sites throughout the country whose names begin with Bestchem. In this case, Bestchem Corporation should be listed as the parent company. Note that a facility that is a 50:50 joint venture is its own parent company. When a facility is owned by more than one company and there is no parent company for the entire facility (meaning that none of the facility owners directly owns at least 50 percent of the voting stock of the facility at issue), the facility should provide the name of the parent company of either the facility operator or the owner with the largest ownership interest in the facility. If neither the operator nor this owner has a parent company, then the NA box should be checked.

### **5.1 Name of Parent Company**

Enter the name of the corporation or other business entity that is your ultimate US parent company. If there is no higher level U.S. company, select the "No U.S. Parent Company (for TRI reporting purposes)" check box.

### **5.2 Parent Company's Dun & Bradstreet Number**

Enter the D&B number for your ultimate US parent company, if applicable. The number may be obtained from the treasurer or financial officer of the company or you may call 1-888-814-1435 or visit this website:

[https://www.dnb.com/product/dlw/form\\_cc4.htm](https://www.dnb.com/product/dlw/form_cc4.htm).

If your parent company does not have a D&B number, you should check the NA box.

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## **Part II. Chemical Identification**

Reporting on the Alternate Threshold Form A Certification Statement for metals, metal category compounds, and mixed isomers differs somewhat from Form R reporting. Please refer to Section E.7 for these guidelines.

### **Section 1. Toxic Chemical Identity**

(Important: DO NOT complete this section if you completed Section 2 of Part II below.)

#### **1.1 CAS Number**

Enter the Chemical Abstracts Service (CAS) registry number in Section 1.1 exactly as it appears in Table II of these instructions for the chemical being reported. CAS numbers are cross-referenced with an alphabetical list of chemical names in Table II. If you are reporting one of the EPCRA Section 313 chemical categories (e.g., chromium compounds), you should enter the applicable category code in the CAS number space. EPCRA Section 313 chemical category codes are listed below and can also be found in Table IIc and Appendix B.1.

#### **EPCRA Section 313 Chemical Category Codes:**

N010	Antimony compounds
N020	Arsenic compounds
N040	Barium compounds
N050	Beryllium compounds
N078	Cadmium compounds
N084	Chlorophenols
N090	Chromium compounds
N096	Cobalt compounds
N100	Copper compounds
N106	Cyanide compounds
N120	Diisocyanates
N150	Dioxin and dioxin-like compounds*
N171	Ethylenebisdithiocarbamic acid, salts and esters (EBDCs)
N230	Certain glycol ethers
N420	Lead compounds
N450	Manganese compounds
N458	Mercury compounds
N495	Nickel compounds
N503	Nicotine and salts
N511	Nitrate compounds (water dissociable; reportable only when in aqueous solution)
N575	Polybrominated biphenyls (PBBs)
N583	Polychlorinated alkanes (C10 to C13)

N590	Polycyclic aromatic compounds (PACs)
N725	Selenium compounds
N740	Silver compounds
N746	Strychnine and salts
N760	Thallium compounds
N770	Vanadium compounds
N874	Warfarin and salts
N982	Zinc compounds

\* *Facilities cannot take the alternate threshold for chemicals and chemical categories listed as PBT chemicals.*

If you are making a trade secret claim, you must report the specific EPCRA Section 313 chemical identity on your unsanitized Form A and unsanitized substantiation form. Do not report the name of the EPCRA Section 313 chemical on your sanitized Form A or sanitized substantiation form. Include a generic name that is structurally descriptive in Part II, Section 1.3 of your sanitized Form A.

#### **1.2 EPCRA Section 313 Chemical or Chemical Category Name**

Enter the name of the EPCRA Section 313 chemical or chemical category exactly as it appears in Table II. If the EPCRA Section 313 chemical name is followed by a synonym in (parentheses), report the chemical by the name that directly follows the CAS number (i.e., not the synonym). If the EPCRA Section 313 chemical identity is actually a product trade name (e.g., dicofol), the 9th Collective Index name is listed below it in brackets. You may report either name in this case.

Do not list the name of a chemical that does not appear in Table II, such as individual members of an EPCRA Section 313 chemical category. For example, if you use silver chloride, do not report silver chloride with its CAS number. Report this chemical as "silver compounds" with its category code N740.

If you are making a trade secret claim, you must report the specific EPCRA Section 313 chemical identity on your unsanitized Form A and unsanitized substantiation form. Do not report the name of the EPCRA Section 313 chemical on your sanitized Form A or sanitized substantiation form. Include a generic name in Part II, Section 1.3 of your sanitized Form A.

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**1.3 Generic Chemical Name**

Complete Section 1.3 only if you are claiming the specific EPCRA Section 313 chemical identity of the EPCRA Section 313 chemical as a trade secret and have marked the trade secret block in Part I, Section 2.1 on Page 1 of Form A. Enter a generic chemical name that is descriptive of the chemical structure. You should limit the generic name to seventy characters (e.g., numbers, letters, spaces, punctuation) or less. Do not enter mixture names in Section 1.3; see Section 2 below.

In house plant codes and other substitute names that are not structurally descriptive of the EPCRA Section 313 chemical identity being withheld as a trade secret are not acceptable as a generic name. The generic name must appear on both sanitized and unsanitized Form A, and the name must be the same as that used on your substantiation forms.

**Section 2. Mixture Component Identity**

Report the generic name provided to you by your supplier in this section if your supplier is claiming the chemical identity proprietary or trade secret. Do not answer “yes” in Part I, Section 2.1 on Page

1 of the form if you complete this section. You do not need to supply trade secret substantiation forms for this EPCRA Section 313 chemical because it is your supplier who is claiming the chemical identity a trade secret.

**2.1 Generic Chemical Name Provided by Supplier**

Enter the generic chemical name in this section only if the following three conditions apply:

1. You determine that the mixture contains an EPCRA Section 313 chemical but the only identity you have for that chemical is a generic name;
2. You know either the specific concentration of that EPCRA Section 313 chemical component or a maximum or average concentration level; and
3. You multiply the concentration level by the total annual amount of the whole mixture processed or otherwise used and determine that you meet the process or otherwise use threshold for that single, generically identified mixture component.

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**Table 1. NAICS Codes**

**1.1 NAICS codes that correspond to SIC codes 20 through 39:**

<b>113310 Logging</b>	
<b>311</b>	<b>Food Manufacturing</b>
<b>3111</b>	<b>Animal Food Manufacturing</b>
<b>31111</b>	<b>Animal Food Manufacturing</b>
311111	Dog and Cat Food Manufacturing
311119	Other Animal Food Manufacturing (except facilities primarily engaged in Custom Grain Grinding for Animal Feed)
<b>3112</b>	<b>Grain and Oilseed Milling</b>
<b>31121</b>	<b>Flour Milling and Malt Manufacturing</b>
311211	Flour Milling
311212	Rice Milling
311213	Malt Manufacturing
<b>31122</b>	<b>Starch and Vegetable Fats and Oils Manufacturing</b>
311221	Wet Corn Milling
311222	Soybean Processing
311223	Other Oilseed Processing
311225	Fats and Oils Refining and Blending
<b>31123</b>	<b>Breakfast Cereal Manuf.</b>
311230	Breakfast Cereal Manufacturing
<b>3113</b>	<b>Sugar and Confectionery Product Manufacturing</b>
<b>31131</b>	<b>Sugar Manufacturing</b>
311311	Sugarcane Mills
311312	Cane Sugar Refining
311313	Beet Sugar Manufacturing

<b>31132</b>	<b>Chocolate and Confectionery Manufacturing from Cacao Beans</b>
311320	Chocolate and Confectionery Manufacturing from Cacao Beans
<b>31133</b>	<b>Confectionery Manufacturing from Purchased Chocolate</b>
311330	Confectionery Manufacturing from Purchased Chocolate (except facilities primarily engaged in the retail sale of candy, nuts, popcorn and other confections not for immediate consumption made on the premises)
<b>31134</b>	<b>Nonchocolate Confectionery Manufacturing</b>
311340	Nonchocolate Confectionery Manufacturing (except facilities primarily engaged in the retail sale of candy, nuts, popcorn and other confections not for immediate consumption made on the premises)
<b>3114</b>	<b>Fruit and Vegetable Preserving and Specialty Food Manufacturing</b>
<b>31141</b>	<b>Frozen Food Manufacturing</b>
311411	Frozen Fruit, Juice, and Vegetable Manufacturing
311412	Frozen Specialty Food Manufacturing
<b>31142</b>	<b>Fruit and Vegetable Canning, Pickling and Drying</b>
311421	Fruit and Vegetable Canning
311422	Specialty Canning
311423	Dried and Dehydrated Food Manufacturing
<b>3115</b>	<b>Dairy Product Manufacturing</b>
<b>31151</b>	<b>Dairy Product (except Frozen) Manufacturing</b>
311511	Fluid Milk Manufacturing
311512	Creamery Butter Manufacturing
311513	Cheese Manufacturing

Table I. NAICS Codes

311514	Dry, Condensed, and Evaporated Dairy Product Manufacturing	<b>3119</b>	<b>Other Food Manufacturing</b>
<b>31152</b>	<b>Ice Cream and Frozen Dessert Manufacturing</b>	<b>31191</b>	<b>Snack Food Manufacturing</b>
311520	Ice Cream and Frozen Dessert Manufacturing	311911	Roasted Nuts and Peanut Butter Manufacturing
<b>3116</b>	<b>Animal Slaughtering and Processing</b>	311919	Other Snack Food Manufacturing
<b>31161</b>	<b>Animal Slaughtering and Processing</b>	<b>31192</b>	<b>Coffee and Tea Manufacturing</b>
311611	Animal (except Poultry) Slaughtering (except for facilities primarily engaged in Custom Slaughtering for individuals)	311920	Coffee and Tea Manufacturing
311612	Meat Processed from Carcasses [except for facilities primarily engaged in the cutting up and resale of purchased fresh carcasses for the trade (including boxed beef)]	<b>31193</b>	<b>Flavoring Syrup and Concentrate Manufacturing</b>
311613	Rendering and Meat Byproduct Processing	311930	Flavoring Syrup and Concentrate Manufacturing
311615	Poultry Processing	<b>31194</b>	<b>Seasoning and Dressing Manufacturing</b>
<b>3117</b>	<b>Seafood Product Preparation and Packaging</b>	311941	Mayonnaise, Dressing, and Other Prepared Sauce Manufacturing
311711	Seafood Canning	311942	Spice and Extract Manufacturing
311712	Fresh and Frozen Seafood Processing	<b>31199</b>	<b>All Other Miscellaneous Food Manufacturing</b>
<b>3118</b>	<b>Bakeries and Tortilla Manufacturing</b>	311991	Perishable Prepared Food Manufacturing
<b>31181</b>	<b>Bread and Bakery Product Manufacturing</b>	311999	All Other Miscellaneous Food Manufacturing
311812	Commercial Bakeries	<b>312</b>	<b>Beverage and Tobacco Product Manufacturing</b>
311813	Frozen Cakes, Pies, and Other Pastries Manufacturing	<b>3121</b>	<b>Beverage Manufacturing</b>
<b>31182</b>	<b>Cookie, Cracker, and Pasta Manufacturing</b>	<b>31211</b>	<b>Soft Drink and Ice Manufacturing</b>
311821	Cookie and Cracker Manufacturing	312111	Soft Drink Manufacturing
311822	Flour Mixes and Dough Manufacturing from Purchased Flour	312112	Bottled Water Manufacturing (except facilities primarily engaged in bottling mineral or spring water)
311823	Dry Pasta Manufacturing	312113	Ice Manufacturing
<b>31183</b>	<b>Tortilla Manufacturing</b>	<b>31212</b>	<b>Breweries</b>
311830	Tortilla Manufacturing	312120	Breweries
		<b>31213</b>	<b>Wineries</b>
		312130	Wineries

Table I. NAICS Codes

<b>31214 Distilleries</b>		<b>3133 Textile and Fabric Finishing and Fabric Coating Mills</b>	
312140 Distilleries			
<b>3122 Tobacco Manufacturing</b>		<b>31331 Textile and Fabric Finishing Mills</b>	
<b>31221 Tobacco Stemming and Redrying</b>			
312210 Tobacco Stemming and Redrying		313311 Broadwoven Fabric Finishing Mills (except facilities primarily engaged in converting broadwoven piece goods and broadwoven textiles and facilities primarily engaged in sponging fabric for tailors and dressmakers)	
<b>31222 Tobacco Product Manufacturing</b>		313312 Textile and Fabric Finishing (except Broadwoven Fabric) Mills (except facilities primarily engaged in converting narrow woven textiles and narrow woven piece goods)	
312221 Cigarette Manufacturing			
312229 Other Tobacco Product Manufacturing (except for facilities primarily engaged in providing Tobacco Sheeting Services)		<b>31332 Fabric Coating Mills</b>	
<b>313 Textile Mills</b>		313320 Fabric Coating Mills	
<b>3131 Fiber, Yarn, and Thread Mills</b>		<b>314 Textile Product Mills</b>	
<b>31311 Fiber, Yarn, and Thread Mills</b>		<b>3141 Textile Furnishing Mills</b>	
313111 Yarn Spinning Mills		<b>31411 Carpet and Rug Mills</b>	
313112 Yarn Texturizing, Throwing, and Twisting Mills		314110 Carpet and Rug Mills	
313113 Thread Mills		<b>31412 Curtain and Linen Mills</b>	
<b>3132 Fabric Mills</b>		314121 Curtain and Drapery Mills (except facilities primarily engaged in making custom drapery for retail sale)	
<b>31321 Broadwoven Fabric Mills</b>		314129 Other Household Textile Product Mills (except facilities primarily engaged in making custom drapery for retail sale)	
313210 Broadwoven Fabric Mills		<b>3149 Other Textile Product Mills</b>	
<b>31322 Narrow Fabric Mills and Schiffl Machine Embroidery</b>		<b>31491 Textile Bag and Canvas Mills</b>	
313221 Narrow Fabric Mills		314911 Textile Bag Mills	
313222 Schiffl Machine Embroidery		314912 Canvas and Related Product Mills	
<b>31323 Nonwoven Fabric Mills</b>		<b>31499 All Other Textile Product Mills</b>	
313230 Nonwoven Fabric Mills		314991 Rope, Cordage, and Twine Mills	
<b>31324 Knit Fabric Mills</b>		314992 Tire Cord and Tire Fabric Mills	
313241 Weft Knit Fabric Mills			
313249 Other Knit Fabric and Lace Mills			

*Table I. NAICS Codes*

314999	All Other Miscellaneous Textile Product Mills (except facilities engaged in binding carpets and rugs for the trade, carpet cutting and binding, and embroidering on textile products (except apparel) for the trade)	<b>31523 Women's and Girls' Cut and Sew Apparel Manufacturing</b>
<b>315 Apparel Manufacturing</b>		315231 Women's and Girls' Cut and Sew Lingerie, Loungewear, and Nightwear Manufacturing
<b>3151 Apparel Knitting Mills</b>		315232 Women's and Girls' Cut and Sew Blouse and Shirt Manufacturing
<b>31511 Hosiery and Sock Mills</b>		315233 Women's and Girls' Cut and Sew Dress Manufacturing (except custom tailors primarily engaged in making and selling bridal dresses or gowns, or women's, misses' and girls' dresses cut and sewn from purchased fabric (except apparel contractors) (custom dressmakers)
315111 Sheer Hosiery Mills		315234 Women's and Girls' Cut and Sew Suit, Coat, Tailored Jacket, and Skirt Manufacturing
315119 Other Hosiery and Sock Mills		315239 Women's and Girls' Cut and Sew Other Outerwear Manufacturing
<b>31519 Other Apparel Knitting Mills</b>		<b>31529 Other Cut and Sew Apparel Manufacturing</b>
315191 Outerwear Knitting Mills		315291 Infants' Cut and Sew Apparel Manufacturing
315192 Underwear and Nightwear Knitting Mills		315292 Fur and Leather Apparel Manufacturing
<b>3152 Cut and Sew Apparel Manufacturing</b>		315299 All Other Cut and Sew Apparel Manufacturing
<b>31521 Cut and Sew Apparel Contractors</b>		<b>3159 Apparel Accessories and Other Apparel Manufacturing</b>
315211 Men's and Boys' Cut and Sew Apparel Contractors		<b>31599 Apparel Accessories and Other Apparel Manufacturing</b>
315212 Women's, Girls', and Infants' Cut and Sew Apparel Contractors		315991 Hat, Cap, and Millinery Manufacturing
<b>31522 Men's and Boys' Cut and Sew Apparel Manufacturing</b>		315992 Glove and Mitten Manufacturing
315221 Men's and Boys' Cut and Sew Underwear and Nightwear Manufacturing		315993 Men's and Boys' Neckwear Manufacturing
315222 Men's and Boys' Cut and Sew Suit, Coat, and Overcoat Manufacturing (except custom tailors primarily engaged in making and selling men's and boy's suits, cut and sewn from purchased fabric)		315999 Other Apparel Accessories and Other Apparel Manufacturing
315223 Men's and Boys' Cut and Sew Shirt (except Work Shirt) Manufacturing (except custom tailors primarily engaged in making and selling men's and boy's dress shirts, cut and sewn from purchased fabric)		<b>316 Leather and Allied Product Manufacturing</b>
315224 Men's and Boys' Cut and Sew Trouser, Slack, and Jean Manufacturing		<b>3161 Leather and Hide Tanning and Finishing</b>
315225 Men's and Boys' Cut and Sew Work Clothing Manufacturing		<b>31611 Leather and Hide Tanning and Finishing</b>
315228 Men's and Boys' Cut and Sew Other Outerwear Manufacturing		316110 Leather and Hide Tanning and Finishing

Table I. NAICS Codes

<b>3162 Footwear Manufacturing</b>		<b>3219 Other Wood Product Manufacturing</b>	
<b>31621 Footwear Manufacturing</b>		<b>32191 Millwork</b>	
316211 Rubber and Plastics Footwear Manufacturing		321911 Wood Window and Door Manufacturing	
316212 House Slipper Manufacturing		321912 Cut Stock, Resawing Lumber, and Planing	
316213 Men's Footwear (except Athletic) Manufacturing		321918 Other Millwork (including Flooring)	
316214 Women's Footwear (except Athletic) Manufacturing		<b>32192 Wood Container and Pallet Manufacturing</b>	
316219 Other Footwear Manufacturing		321920 Wood Container and Pallet Manufacturing	
<b>3169 Other Leather and Allied Product Manufacturing</b>		<b>32199 All Other Wood Product Manufacturing</b>	
<b>31699 Other Leather and Allied Product Manufacturing</b>		321991 Manufactured Home (Mobile Home) Manufacturing	
316991 Luggage Manufacturing		321992 Prefabricated Wood Building Manufacturing	
316992 Women's Handbag and Purse Manufacturing		321999 All Other Miscellaneous Wood Product Manufacturing	
316993 Personal Leather Good (except Women's Handbag and Purse) Manufacturing			
316999 All Other Leather Good Manufacturing		<b>322 Paper Manufacturing</b>	
<b>321 Wood Product Manufacturing</b>		<b>3221 Pulp, Paper, and Paperboard Mills</b>	
<b>3211 Sawmills and Wood Preservation</b>		<b>32211 Pulp Mills</b>	
321113 Sawmills		322110 Pulp Mills	
321114 Wood Preservation		<b>32212 Paper Mills</b>	
<b>3212 Veneer, Plywood, and Engineered Wood Product Manufacturing</b>		322121 Paper (except Newsprint) Mills	
<b>32121 Veneer, Plywood, and Engineered Wood Product Manufacturing</b>		322122 Newsprint Mills	
321211 Hardwood Veneer and Plywood Manufacturing		<b>32213 Paperboard Mills</b>	
321212 Softwood Veneer and Plywood Manufacturing		322130 Paperboard Mills	
321213 Engineered Wood Member (except Truss) Manufacturing		<b>3222 Converted Paper Product Manufacturing</b>	
321214 Truss Manufacturing		<b>32221 Paperboard Container Manufacturing</b>	
321219 Reconstituted Wood Product Manufacturing		322211 Corrugated and Solid Fiber Box Manufacturing	
		322212 Folding Paperboard Box Manufacturing	
		322213 Setup Paperboard Box Manufacturing	

Table I. NAICS Codes

322214	Fiber Can, Tube, Drum, and Similar Products Manufacturing	323114	Quick Printing (except facilities primarily engaged in reproducing text, drawings, plans, maps, or other copy by blueprinting, photocopying, mimeographing, or other methods of duplication other than printing or microfilming ( <i>i.e.</i> , instant printing))
322215	Nonfolding Sanitary Food Container Manufacturing	323115	Digital Printing
<b>32222</b>	<b>Paper Bag and Coated and Treated Paper Manufacturing</b>	323116	Manifold Business Forms Printing
322221	Coated and Laminated Packaging Paper and Plastics Film Manufacturing	323117	Books Printing
322222	Coated and Laminated Paper Manufacturing	323118	Blankbook, Looseleaf Binders, and Devices Manufacturing
322223	Plastics, Foil, and Coated Paper Bag Manufacturing	323119	Other Commercial Printing
322224	Uncoated Paper and Multiwall Bag Manufacturing	<b>32312</b>	<b>Support Activities for Printing</b>
322225	Laminated Aluminum Foil Manufacturing for Flexible Packaging Uses	323121	Tradebinding and Related Work
322226	Surface-Coated Paperboard Manufacturing	323122	Prepress Services
<b>32223</b>	<b>Stationery Product Manufacturing</b>	<b>324</b>	<b>Petroleum and Coal Products Manufacturing</b>
322231	Die-Cut Paper and Paperboard Office Supplies Manufacturing	<b>3241</b>	<b>Petroleum and Coal Products Manufacturing</b>
322232	Envelope Manufacturing	<b>32411</b>	<b>Petroleum Refineries</b>
322233	Stationery, Tablet, and Related Product Manufacturing	324110	Petroleum Refineries
<b>32229</b>	<b>Other Converted Paper Product Manufacturing</b>	<b>32412</b>	<b>Asphalt Paving, Roofing, and Saturated Materials Manufacturing</b>
322291	Sanitary Paper Product Manufacturing	324121	Asphalt Paving Mixture and Block Manufacturing
322299	All Other Converted Paper Product Manufacturing	324122	Asphalt Shingle and Coating Materials Manufacturing
<b>323</b>	<b>Printing and Related Support Activities</b>	<b>32419</b>	<b>Other Petroleum and Coal Products Manufacturing</b>
<b>3231</b>	<b>Printing and Related Support Activities</b>	324191	Petroleum Lubricating Oil and Grease Manufacturing
<b>32311</b>	<b>Printing</b>	324199	All Other Petroleum and Coal Products Manufacturing
323110	Commercial Lithographic Printing	<b>325</b>	<b>Chemical Manufacturing</b>
323113	Commercial Screen Printing	<b>3251</b>	<b>Basic Chemical Manufacturing</b>
		<b>32511</b>	<b>Petrochemical Manufacturing</b>

Table I. NAICS Codes

325110	Petrochemical Manufacturing	325311	Nitrogenous Fertilizer Manufacturing
<b>32512</b>	<b>Industrial Gas Manufacturing</b>	325312	Phosphatic Fertilizer Manufacturing
325120	Industrial Gas Manufacturing	325314	Fertilizer (Mixing Only) Manufacturing
<b>32513</b>	<b>Synthetic Dye and Pigment Manufacturing</b>	<b>32532</b>	<b>Pesticide and Other Agricultural Chemical Manufacturing</b>
325131	Inorganic Dye and Pigment Manufacturing	325320	Pesticide and Other Agricultural Chemical Manufacturing
325132	Synthetic Organic Dye and Pigment Manufacturing	<b>3254</b>	<b>Pharmaceutical and Medicine Manufacturing</b>
<b>32518</b>	<b>Other Basic Inorganic Chemical Manufacturing</b>	<b>32541</b>	<b>Pharmaceutical and Medicine Manufacturing</b>
325181	Alkalies and Chlorine Manufacturing	325411	Medicinal and Botanical Manufacturing
325182	Carbon Black Manufacturing	325412	Pharmaceutical Preparation Manufacturing
325188	All Other Basic Inorganic Chemical Manufacturing	325413	In-Vitro Diagnostic Substance Manufacturing
<b>32519</b>	<b>Other Basic Organic Chemical Manufacturing</b>	325414	Biological Product (except Diagnostic) Manufacturing
325191	Gum and Wood Chemical Manufacturing	<b>3255</b>	<b>Paint, Coating, and Adhesive Manufacturing</b>
325192	Cyclic Crude and Intermediate Manufacturing	<b>32551</b>	<b>Paint and Coating Manufacturing</b>
325193	Ethyl Alcohol Manufacturing	325510	Paint and Coating Manufacturing
325199	All Other Basic Organic Chemical Manufacturing	<b>32552</b>	<b>Adhesive Manufacturing</b>
<b>3252</b>	<b>Resin, Synthetic Rubber, and Artificial Synthetic Fibers and Filaments Manufacturing</b>	325520	Adhesive Manufacturing
<b>32521</b>	<b>Resin and Synthetic Rubber Manufacturing</b>	<b>3256</b>	<b>Soap, Cleaning Compound, and Toilet Preparation Manufacturing</b>
325211	Plastics Material and Resin Manufacturing	<b>32561</b>	<b>Soap and Cleaning Compound Manufacturing</b>
325212	Synthetic Rubber Manufacturing	325611	Soap and Other Detergent Manufacturing
<b>32522</b>	<b>Artificial and Synthetic Fibers and Filaments Manufacturing</b>	325612	Polish and Other Sanitation Good Manufacturing
325221	Cellulosic Organic Fiber Manufacturing	325613	Surface Active Agent Manufacturing
325222	Noncellulosic Organic Fiber Manufacturing	<b>32562</b>	<b>Toilet Preparation Manufacturing</b>
<b>3253</b>	<b>Pesticide, Fertilizer, and Other Agricultural Chemical Manufacturing</b>	325620	Toilet Preparation Manufacturing
<b>32531</b>	<b>Fertilizer Manufacturing</b>		

Table I. NAICS Codes

<b>3259</b>	<b>Other Chemical Product and Preparation Manufacturing</b>	<b>32613</b>	<b>Laminated Plastics Plate, Sheet (except Packaging), and Shape Manufacturing</b>
<b>32591</b>	<b>Printing Ink Manufacturing</b>		
325910	Printing Ink Manufacturing	326130	Laminated Plastics Plate, Sheet (except Packaging), and Shape Manufacturing
<b>32592</b>	<b>Explosives Manufacturing</b>	<b>32614</b>	<b>Polystyrene Foam Product Manufacturing</b>
325920	Explosives Manufacturing	326140	Polystyrene Foam Product Manufacturing
<b>32599</b>	<b>All Other Chemical Product and Preparation Manufacturing</b>	<b>32615</b>	<b>Urethane and Other Foam Product (except Polystyrene) Manufacturing</b>
325991	Custom Compounding of Purchased Resins	326150	Urethane and Other Foam Product (except Polystyrene) Manufacturing
325992	Photographic Film, Paper, Plate, and Chemical Manufacturing	<b>32616</b>	<b>Plastics Bottle Manufacturing</b>
325998	All Other Miscellaneous Chemical Product and Preparation Manufacturing (except facilities primarily engaged in Aerosol can filling on a job order or contract Basis)	326160	Plastics Bottle Manufacturing
<b>326</b>	<b>Plastics and Rubber Products Manufacturing</b>	<b>32619</b>	<b>Other Plastics Product Manufacturing</b>
<b>3261</b>	<b>Plastics Product Manufacturing</b>	326191	Plastics Plumbing Fixture Manufacturing
<b>32611</b>	<b>Plastics Packaging Materials and Unlaminated Film and Sheet Manufacturing</b>	326192	Resilient Floor Covering Manufacturing
326111	Plastics Bag Manufacturing	326199	All Other Plastics Product Manufacturing
326112	Plastics Packaging Film and Sheet (including Laminated) Manufacturing	<b>3262</b>	<b>Rubber Product Manufacturing</b>
326113	Unlaminated Plastics Film and Sheet (except Packaging) Manufacturing	<b>32621</b>	<b>Tire Manufacturing</b>
<b>32612</b>	<b>Plastics, Pipe, Pipe Fitting, and Unlaminated Profile Shape Manufacturing</b>	326211	Tire Manufacturing (except Retreading)
326121	Unlaminated Plastics Profile Shape Manufacturing	<b>32622</b>	<b>Rubber and Plastics Hoses and Belting Manufacturing</b>
326122	Plastics Pipe and Pipe Fitting Manufacturing	326220	Rubber and Plastics Hoses and Belting Manufacturing
		<b>32629</b>	<b>Other Rubber Product Manufacturing</b>
		326291	Rubber Product Manufacturing for Mechanical Use
		326299	All Other Rubber Product Manufacturing
		<b>327</b>	<b>Nonmetallic Mineral Product Manufacturing</b>

Table I. NAICS Codes

<b>3271 Clay Product and Refractory Manufacturing</b>	<b>32733 Concrete, Pipe, Brick, and Block Manufacturing</b>
<b>32711 Pottery, Ceramics, and Plumbing Fixture Manufacturing</b>	327331 Concrete Block and Brick Manufacturing
327111 Vitreous China Plumbing Fixture and China and Earthenware Bathroom Accessories Manufacturing	327332 Concrete Pipe Manufacturing
327112 Vitreous China, Fine Earthenware, and Other Pottery Product Manufacturing (except facilities primarily engaged in manufacturing and selling pottery on site)	<b>32739 Other Concrete Product Manufacturing</b>
327113 Porcelain Electrical Supply Manufacturing	327390 Other Concrete Product Manufacturing
<b>32712 Clay Building Material and Refractories Manufacturing</b>	<b>3274 Lime and Gypsum Product Manufacturing</b>
327121 Brick and Structural Clay Tile Manufacturing	<b>32741 Lime Manufacturing</b>
327122 Ceramic Wall and Floor Tile Manufacturing	327410 Lime Manufacturing
327123 Other Structural Clay Product Manufacturing	<b>32742 Gypsum Product Manufacturing</b>
327124 Clay Refractory Manufacturing	327420 Gypsum Product Manufacturing
327125 Nonclay Refractory Manufacturing	<b>3279 Other Nonmetallic Mineral Product Manufacturing</b>
<b>3272 Glass and Glass Product Manufacturing</b>	<b>32791 Abrasive Product Manufacturing</b>
<b>32721 Glass and Glass Product Manufacturing</b>	327910 Abrasive Product Manufacturing
327211 Flat Glass Manufacturing	<b>32799 All Other Nonmetallic Mineral Product Manufacturing</b>
327212 Other Pressed and Blown Glass and Glassware Manufacturing	327991 Cut Stone and Stone Product Manufacturing
327213 Glass Container Manufacturing	327992 Ground or Treated Mineral and Earth Manufacturing
327215 Glass Product Manufacturing Made of Purchased Glass	327993 Mineral Wool Manufacturing
<b>3273 Cement and Concrete Product Manufacturing</b>	327999 All Other Miscellaneous Nonmetallic Mineral Product Manufacturing
<b>32731 Cement Manufacturing</b>	<b>331 Primary Metal Manufacturing</b>
327310 Cement Manufacturing	<b>3311 Iron and Steel Mills and Ferroalloy Manufacturing</b>
<b>32732 Ready-Mix Concrete Manufacturing</b>	<b>33111 Iron and Steel Mills and Ferroalloy Manufacturing</b>
327320 Ready-Mix Concrete Manufacturing	331111 Iron and Steel Mills
	331112 Electrometallurgical Ferroalloy Product Manufacturing

Table I. NAICS Codes

<b>3312</b>	<b>Steel Product Manufacturing from Purchased Steel</b>	331423	Secondary Smelting, Refining, and Alloying of Copper
<b>33121</b>	<b>Iron and Steel Pipe and Tube Manufacturing from Purchased Steel</b>	<b>33149</b>	<b>Nonferrous Metal (except Copper and Aluminum) Rolling, Drawing, Extruding, and Alloying</b>
331210	Iron and Steel Pipe and Tube Manufacturing from Purchased Steel	331491	Nonferrous Metal (except Copper and Aluminum) Rolling, Drawing, and Extruding
<b>33122</b>	<b>Rolling and Drawing of Purchased Steel</b>	331492	Secondary Smelting, Refining, and Alloying of Nonferrous Metal (except Copper and Aluminum)
331221	Rolled Steel Shape Manufacturing	<b>3315</b>	<b>Foundries</b>
331222	Steel Wire Drawing	<b>33151</b>	<b>Ferrous Metal Foundries</b>
<b>3313</b>	<b>Alumina and Aluminum Production and Processing</b>	331511	Iron Foundries
<b>33131</b>	<b>Alumina and Aluminum Production and Processing</b>	331512	Steel Investment Foundries
331311	Alumina Refining	331513	Steel Foundries (except Investment)
331312	Primary Aluminum Production	<b>33152</b>	<b>Nonferrous Metal Foundries</b>
331314	Secondary Smelting and Alloying of Aluminum	331521	Aluminum Die-Casting Foundries
331315	Aluminum Sheet, Plate, and Foil Manufacturing	331522	Nonferrous (except Aluminum) Die-Casting Foundries
331316	Aluminum Extruded Product Manufacturing	331524	Aluminum Foundries (except Die-Casting)
331319	Other Aluminum Rolling and Drawing	331525	Copper Foundries (except Die-Casting)
<b>3314</b>	<b>Nonferrous Metal (except Aluminum) Production and Processing</b>	331528	Other Nonferrous Foundries (except Die-Casting)
<b>33141</b>	<b>Nonferrous Metal (except Aluminum) Smelting and Refining</b>	<b>332</b>	<b>Fabricated Metal Product Manufacturing</b>
331411	Primary Smelting and Refining of Copper	<b>3321</b>	<b>Forging and Stamping</b>
331419	Primary Smelting and Refining of Nonferrous Metal (except Copper and Aluminum)	<b>33211</b>	<b>Forging and Stamping</b>
<b>33142</b>	<b>Copper Rolling, Drawing, Extruding and Alloying</b>	332111	Iron and Steel Forging
331421	Copper Rolling, Drawing, and Extruding	332112	Nonferrous Forging
331422	Copper Wire (except Mechanical) Drawing	332114	Custom Roll Forming
		332115	Crown and Closure Manufacturing
		332116	Metal Stamping
		332117	Powder Metallurgy Part Manufacturing
		<b>3322</b>	<b>Cutlery and Handtool Manufacturing</b>

Table I. NAICS Codes

<b>33221</b>	<b>Cutlery and Handtool Manufacturing</b>	<b>3325</b>	<b>Hardware Manufacturing</b>
332211	Cutlery and Flatware (except Precious) Manufacturing	<b>33251</b>	<b>Hardware Manufacturing</b>
332212	Hand and Edge Tool Manufacturing	332510	Hardware Manufacturing
332213	Saw Blade and Handsaw Manufacturing	<b>3326</b>	<b>Spring and Wire Product Manufacturing</b>
332214	Kitchen Utensil, Pot, and Pan Manufacturing	<b>33261</b>	<b>Spring and Wire Product Manufacturing</b>
<b>3323</b>	<b>Architectural and Structural Metals Manufacturing</b>	332611	Spring (Heavy Gauge) Manufacturing
<b>33231</b>	<b>Plate Work and Fabricated Structural Product Manufacturing</b>	332612	Spring (Light Gauge) Manufacturing
332311	Prefabricated Metal Building and Component Manufacturing	332618	Other Fabricated Wire Product Manufacturing
332312	Fabricated Structural Metal Manufacturing	<b>3327</b>	<b>Machine Shops; Turned Product; and Screw, Nut and Bolt Manufacturing</b>
332313	Plate Work Manufacturing	<b>33271</b>	<b>Machine Shops</b>
<b>33232</b>	<b>Ornamental and Architectural Metal Products Manufacturing</b>	332710	Machine Shops
332321	Metal Window and Door Manufacturing	<b>33272</b>	<b>Turned Product and Screw, Nut and Bolt Manufacturing</b>
332322	Sheet Metal Work Manufacturing	332721	Precision Turned Product Manufacturing
332323	Ornamental and Architectural Metal Work Manufacturing	332722	Bolt, Nut, Screw, Rivet, and Washer Manufacturing
<b>3324</b>	<b>Boiler, Tank, and Shipping Container Manufacturing</b>	<b>3328</b>	<b>Coating, Engraving, Heat Treating, and Allied Activities</b>
<b>33241</b>	<b>Power Boiler and Heat Exchanger Manufacturing</b>	<b>33281</b>	<b>Coating, Engraving, Heat Treating, and Allied Activities</b>
332410	Power Boiler and Heat Exchanger Manufacturing	332811	Metal Heat Treating
<b>33242</b>	<b>Metal Tank (Heavy Gauge) Manufacturing</b>	332812	Metal Coating, Engraving (except Jewelry and Silverware), and Allied Services to Manufacturers
332420	Metal Tank (Heavy Gauge) Manufacturing	332813	Electroplating, Plating, Polishing, Anodizing, and Coloring
<b>33243</b>	<b>Metal Can, Box, and Other Metal Container (Light Gauge) Manufacturing</b>	<b>3329</b>	<b>Other Fabricated Metal Product Manufacturing</b>
332431	Metal Can Manufacturing	<b>33291</b>	<b>Metal Valve Manufacturing</b>
332439	Other Metal Container Manufacturing	332911	Industrial Valve Manufacturing
		332912	Fluid Power Valve and Hose Fitting Manufacturing

*Table I. NAICS Codes*

332913	Plumbing Fixture Fitting and Trim Manufacturing	333132	Oil and Gas Field Machinery and Equipment Manufacturing
332919	Other Metal Valve and Pipe Fitting Manufacturing	<b>3332 Industrial Machinery Manufacturing</b>	
<b>33299 All Other Fabricated Metal Product Manufacturing</b>		<b>33321 Sawmill and Woodworking Machinery Manufacturing</b>	
332991	Ball and Roller Bearing Manufacturing	333210	Sawmill and Woodworking Machinery Manufacturing
332992	Small Arms Ammunition Manufacturing	<b>33322 Plastics and Rubber Industry Machinery Manufacturing</b>	
332993	Ammunition (except Small Arms) Manufacturing	333220	Plastics and Rubber Industry Machinery Manufacturing
332994	Small Arms Manufacturing	<b>33329 Other Industrial Machinery Manufacturing</b>	
332995	Other Ordnance and Accessories Manufacturing	333291	Paper Industry Machinery Manufacturing
332996	Fabricated Pipe and Pipe Fitting Manufacturing	333292	Textile Machinery Manufacturing
332997	Industrial Pattern Manufacturing	333293	Printing Machinery and Equipment Manufacturing
332998	Enameled Iron and Metal Sanitary Ware Manufacturing	333294	Food Product Machinery Manufacturing
332999	All Other Miscellaneous Fabricated Metal Product Manufacturing	333295	Semiconductor Machinery Manufacturing
<b>333 Machinery Manufacturing</b>		333298	All Other Industrial Machinery Manufacturing
<b>3331 Agriculture, Construction, and Mining Machinery Manufacturing</b>		<b>3333 Commercial and Service Industry Machinery Manufacturing</b>	
<b>33311 Agricultural Implement Manufacturing</b>		<b>33331 Commercial and Service Industry Machinery Manufacturing</b>	
333111	Farm Machinery and Equipment Manufacturing	333311	Automatic Vending Machine Manufacturing
333112	Lawn and Garden Tractor and Home Lawn and Garden Equipment Manufacturing	333312	Commercial Laundry, Drycleaning, and Pressing Machine Manufacturing
<b>33312 Construction Machinery Manufacturing</b>		333313	Office Machinery Manufacturing
333120	Construction Machinery Manufacturing	333314	Optical Instrument and Lens Manufacturing
<b>33313 Mining and Oil and Gas Field Machinery Manufacturing</b>		333315	Photographic and Photocopying Equipment Manufacturing
333131	Mining Machinery and Equipment Manufacturing	333319	Other Commercial and Service Industry Machinery Manufacturing

Table I. NAICS Codes

<b>3334</b>	<b>Ventilation, Heating, Air-Conditioning, and Commercial Refrigeration</b>	333611	Turbine and Turbine Generator Set Units Manufacturing
<b>33341</b>	<b>Equipment Manufacturing Ventilation, Heating, Air-Conditioning, and Commercial Refrigeration Equipment Manufacturing</b>	333612	Speed Changer, Industrial High-Speed Drive, and Gear Manufacturing
333411	Air Purification Equipment Manufacturing	333613	Mechanical Power Transmission Equipment Manufacturing
333412	Industrial and Commercial Fan and Blower Manufacturing	333618	Other Engine Equipment Manufacturing
333414	Heating Equipment (except Warm Air Furnaces) Manufacturing	<b>3339</b>	<b>Other General Purpose Machinery Manufacturing</b>
333415	Air-Conditioning and Warm Air Heating Equipment and Commercial and Industrial Refrigeration Equipment Manufacturing	<b>33391</b>	<b>Pump and Compressor Manufacturing</b>
<b>3335</b>	<b>Metalworking Machinery Manufacturing</b>	333911	Pump and Pumping Equipment Manufacturing
<b>33351</b>	<b>Metalworking Machinery Manufacturing</b>	333912	Air and Gas Compressor Manufacturing
333511	Industrial Mold Manufacturing	333913	Measuring and Dispensing Pump Manufacturing
333512	Machine Tool (Metal Cutting Types) Manufacturing	<b>33392</b>	<b>Material Handling Equipment Manufacturing</b>
333513	Machine Tool (Metal Forming Types) Manufacturing	333921	Elevator and Moving Stairway Manufacturing
333514	Special Die and Tool, Die Set, Jig, and Fixture Manufacturing	333922	Conveyor and Conveying Equipment Manufacturing
333515	Cutting Tool and Machine Tool Accessory Manufacturing	333923	Overhead Traveling Crane, Hoist, and Monorail System Manufacturing
333516	Rolling Mill Machinery and Equipment Manufacturing	333924	Industrial Truck, Tractor, Trailer, and Stacker Machinery Manufacturing
333518	Other Metalworking Machinery Manufacturing	<b>33399</b>	<b>All Other General Purpose Machinery Manufacturing</b>
<b>3336</b>	<b>Engine, Turbine, and Power Transmission Equipment Manufacturing</b>	333991	Power-Driven Handtool Manufacturing
<b>33361</b>	<b>Engine, Turbine, and Power Transmission Equipment Manufacturing</b>	333992	Welding and Soldering Equipment Manufacturing
		333993	Packaging Machinery Manufacturing
		333994	Industrial Process Furnace and Oven Manufacturing
		333995	Fluid Power Cylinder and Actuator Manufacturing
		333996	Fluid Power Pump and Motor Manufacturing
		333997	Scale and Balance Manufacturing
		333999	All Other Miscellaneous General Purpose Machinery Manufacturing

Table I. NAICS Codes

<b>334</b>	<b>Computer and Electronic Product Manufacturing</b>	<b>33441</b>	<b>Semiconductor and Other Electronic Component Manufacturing</b>
<b>3341</b>	<b>Computer and Peripheral Equipment Manufacturing</b>	334411	Electron Tube Manufacturing
<b>33411</b>	<b>Computer and Peripheral Equipment Manufacturing</b>	334412	Bare Printed Circuit Board Manufacturing
334111	Electronic Computer Manufacturing	334413	Semiconductor and Related Device Manufacturing
334112	Computer Storage Device Manufacturing	334414	Electronic Capacitor Manufacturing
334113	Computer Terminal Manufacturing	334415	Electronic Resistor Manufacturing
334119	Other Computer Peripheral Equipment Manufacturing	334416	Electronic Coil, Transformer, and Other Inductor Manufacturing
<b>3342</b>	<b>Communications Equipment Manufacturing</b>	334417	Electronic Connector Manufacturing
<b>33421</b>	<b>Telephone Apparatus Manufacturing</b>	334418	Printed Circuit Assembly (Electronic Assembly) Manufacturing
334210	Telephone Apparatus Manufacturing	334419	Other Electronic Component Manufacturing
<b>33422</b>	<b>Radio and Television Broadcasting and Wireless Communications Equipment Manufacturing</b>	<b>3345</b>	<b>Navigational, Measuring, Electromedical, and Control Instruments Manufacturing</b>
334220	Radio and Television Broadcasting and Wireless Communications Equipment Manufacturing	<b>33451</b>	<b>Navigational, Measuring, Electromedical, and Control Instruments Manufacturing</b>
<b>33429</b>	<b>Other Communications Equipment Manufacturing</b>	334510	Electromedical and Electrotherapeutic Apparatus Manufacturing
334290	Other Communications Equipment Manufacturing	334511	Search, Detection, Navigation, Guidance, Aeronautical, and Nautical System and Instrument Manufacturing
<b>3343</b>	<b>Audio and Video Equipment Manufacturing</b>	334512	Automatic Environmental Control Manufacturing for Residential, Commercial, and Appliance Use
<b>33431</b>	<b>Audio and Video Equipment Manufacturing</b>	334513	Instruments and Related Products Manufacturing for Measuring, Displaying, and Controlling Industrial Process Variables
334310	Audio and Video Equipment Manufacturing	334514	Totalizing Fluid Meter and Counting Device Manufacturing
<b>3344</b>	<b>Semiconductor and Other Electronic Component Manufacturing</b>	334515	Instrument Manufacturing for Measuring and Testing Electricity and Electrical Signals
		334516	Analytical Laboratory Instrument Manufacturing
		334517	Irradiation Apparatus Manufacturing
		334518	Watch, Clock, and Part Manufacturing

Table I. NAICS Codes

334519	Other Measuring and Controlling Device Manufacturing	<b>33522 Major Appliance Manufacturing</b>	
<b>3346 Manufacturing and Reproducing Magnetic and Optical Media</b>		335221	Household Cooking Appliance Manufacturing
<b>33461 Manufacturing and Reproducing Magnetic and Optical Media</b>		335222	Household Refrigerator and Home Freezer Manufacturing
334612	Prerecorded Compact Disc (except Software), Tape, and Record Reproducing (except facilities primarily engaged in mass reproducing pre-recorded Video Cassettes, and mass reproducing Video tape or disk)	335224	Household Laundry Equipment Manufacturing
334613	Magnetic and Optical Recording Media Manufacturing	335228	Other Major Household Appliance Manufacturing
<b>335 Electrical Equipment, Appliance, and Component Manufacturing</b>		<b>3353 Electrical Equipment Manufacturing</b>	
<b>3351 Electric Lighting Equipment Manufacturing</b>		<b>33531 Electrical Equipment Manufacturing</b>	
<b>33511 Electric Lamp Bulb and Part Manufacturing</b>		335311	Power, Distribution, and Specialty Transformer Manufacturing
335110	Electric Lamp Bulb and Part Manufacturing	335312	Motor and Generator Manufacturing (except facilities primarily engaged in armature rewinding on a factory basis)
<b>33512 Lighting Fixture Manufacturing</b>		335313	Switchgear and Switchboard Apparatus Manufacturing
335121	Residential Electric Lighting Fixture Manufacturing	335314	Relay and Industrial Control Manufacturing
335122	Commercial, Industrial, and Institutional Electric Lighting Fixture Manufacturing	<b>3359 Other Electrical Equipment and Component Manufacturing</b>	
335129	Other Lighting Equipment Manufacturing	<b>33591 Battery Manufacturing</b>	
<b>3352 Household Appliance Manufacturing</b>		335911	Storage Battery Manufacturing
<b>33521 Small Electrical Appliance Manufacturing</b>		335912	Primary Battery Manufacturing
335211	Electric Housewares and Household Fan Manufacturing	<b>33592 Communication and Energy Wire and Cable Manufacturing</b>	
335212	Household Vacuum Cleaner Manufacturing	335921	Fiber Optic Cable Manufacturing
		335929	Other Communication and Energy Wire Manufacturing
		<b>33593 Wiring Device Manufacturing</b>	
		335931	Current-Carrying Wiring Device Manufacturing
		335932	Noncurrent-Carrying Wiring Device Manufacturing

Table I. NAICS Codes

<b>33599 All Other Electrical Equipment and Component Manufacturing</b>	
335991 Carbon and Graphite Product Manufacturing	
335999 All Other Miscellaneous Electrical Equipment and Component Manufacturing	
<b>336 Transportation Equipment Manufacturing</b>	
<b>3361 Motor Vehicle Manufacturing</b>	
<b>33611 Automobile and Light Duty Motor Vehicle Manufacturing</b>	
336111 Automobile Manufacturing	
336112 Light Truck and Utility Vehicle Manufacturing	
<b>33612 Heavy Duty Truck Manufacturing</b>	
336120 Heavy Duty Truck Manufacturing	
<b>3362 Motor Vehicle Body and Trailer Manufacturing</b>	
<b>33621 Motor Vehicle Body and Trailer Manufacturing</b>	
336211 Motor Vehicle Body Manufacturing	
336212 Truck Trailer Manufacturing	
336213 Motor Home Manufacturing	
336214 Travel Trailer and Camper Manufacturing	
<b>3363 Motor Vehicle Parts Manufacturing</b>	
<b>33631 Motor Vehicle Gasoline Engine and Engine Parts Manufacturing</b>	
336311 Carburetor, Piston, Piston Ring, and Valve Manufacturing	
336312 Gasoline Engine and Engine Parts Manufacturing	
<b>33632 Motor Vehicle Electrical and Electronic Equipment Manufacturing</b>	
336321 Vehicular Lighting Equipment Manufacturing	
336322 Other Motor Vehicle Electrical and Electronic Equipment Manufacturing	
<b>33633 Motor Vehicle Steering and Suspension Components (except Spring) Manufacturing</b>	
336330 Motor Vehicle Steering and Suspension Components (except Spring) Manufacturing	
<b>33634 Motor Vehicle Brake System Manufacturing</b>	
336340 Motor Vehicle Brake System Manufacturing	
<b>33635 Motor Vehicle Transmission and Power Train Parts Manufacturing</b>	
336350 Motor Vehicle Transmission and Power Train Parts Manufacturing	
<b>33636 Motor Vehicle Seating and Interior Trim Manufacturing</b>	
336360 Motor Vehicle Seating and Interior Trim Manufacturing	
<b>33637 Motor Vehicle Metal Stamping</b>	
336370 Motor Vehicle Metal Stamping	
<b>33639 Other Motor Vehicle Parts Manufacturing</b>	
336391 Motor Vehicle Air-Conditioning Manufacturing	
336399 All Other Motor Vehicle Parts Manufacturing	
<b>3364 Aerospace Product and Parts Manufacturing</b>	
<b>33641 Aerospace Product and Parts Manufacturing</b>	
336411 Aircraft Manufacturing	

Table I. NAICS Codes

336412	Aircraft Engine and Engine Parts Manufacturing	337110	Wood Kitchen Cabinet and Countertop Manufacturing (except facilities primarily engaged in the retail sale of household furniture and that manufacture custom wood kitchen cabinets and counter tops)
336413	Other Aircraft Parts and Auxiliary Equipment Manufacturing	<b>33712 Household and Institutional Furniture Manufacturing</b>	
336414	Guided Missile and Space Vehicle Manufacturing	337121	Upholstered Household Furniture Manufacturing (except facilities primarily engaged in the retail sale of household furniture and that manufacture custom made upholstered household furniture)
336415	Guided Missile and Space Vehicle Propulsion Unit and Propulsion Unit Parts Manufacturing	337122	Nonupholstered Wood Household Furniture Manufacturing (except facilities primarily engaged in the retail sale of household furniture and that manufacture nonupholstered, household type, custom wood furniture)
336419	Other Guided Missile and Space Vehicle Parts and Auxiliary Equipment Manufacturing	337124	Metal Household Furniture Manufacturing
<b>3365 Railroad Rolling Stock Manufacturing</b>		337125	Household Furniture (except Wood and Metal) Manufacturing
<b>33651 Railroad Rolling Stock Manufacturing</b>		337127	Institutional Furniture Manufacturing
336510	Railroad Rolling Stock Manufacturing	337129	Wood Television, Radio, and Sewing Machine Cabinet Manufacturing
<b>3366 Ship and Boat Building</b>		<b>3372 Office Furniture (including Fixtures) Manufacturing</b>	
<b>33661 Ship and Boat Building</b>		<b>33721 Office Furniture (including Fixtures) Manufacturing</b>	
336611	Ship Building and Repairing	337211	Wood Office Furniture Manufacturing
336612	Boat Building	337212	Custom Architectural Woodwork and Millwork Manufacturing
<b>3369 Other Transportation Equipment Manufacturing</b>		337214	Office Furniture (except Wood) Manufacturing
<b>33699 Other Transportation Equipment Manufacturing</b>		337215	Showcase, Partition, Shelving, and Locker Manufacturing
336991	Motorcycle, Bicycle, and Parts Manufacturing	<b>3379 Other Furniture Related Product Manufacturing</b>	
336992	Military Armored Vehicle, Tank, and Tank Component Manufacturing	<b>33791 Mattress Manufacturing</b>	
336999	All Other Transportation Equipment Manufacturing	337910	Mattress Manufacturing
<b>337 Furniture and Related Product Manufacturing</b>		<b>33792 Blind and Shade Manufacturing</b>	
<b>3371 Household and Institutional Furniture and Kitchen Cabinet Manufacturing</b>			
<b>33711 Wood Kitchen Cabinet and Countertop Manufacturing</b>			

Table I. NAICS Codes

337920	Blind and Shade Manufacturing	339941	Pen and Mechanical Pencil Manufacturing
<b>339</b>	<b>Miscellaneous Manufacturing</b>	339942	Lead Pencil and Art Good Manufacturing
<b>3391</b>	<b>Medical Equipment and Supplies Manufacturing</b>	339943	Marking Device Manufacturing
<b>33911</b>	<b>Medical Equipment and Supplies Manufacturing</b>	339944	Carbon Paper and Inked Ribbon Manufacturing
339111	Laboratory Apparatus and Furniture Manuf.	<b>33995</b>	<b>Sign Manufacturing</b>
339112	Surgical and Medical Instrument Manufacturing	339950	Sign Manufacturing
339113	Surgical Appliance and Supplies Manufacturing (except facilities primarily engaged in manufacturing orthopedic devices to prescription in a retail environment )	<b>33999</b>	<b>All Other Miscellaneous Manufacturing</b>
339114	Dental Equipment and Supplies Manufacturing	339991	Gasket, Packing, and Sealing Device Manufacturing
339115	Ophthalmic Goods Manufacturing (except lens grinding facilities that are primarily engaged in the retail sale of eyeglasses and contact lenses to prescription for individuals)	339992	Musical Instrument Manufacturing
<b>3399</b>	<b>Other Miscellaneous Manufacturing</b>	339993	Fastener, Button, Needle, and Pin Manufacturing
<b>33991</b>	<b>Jewelry and Silverware Manufacturing</b>	339994	Broom, Brush, and Mop Manufacturing
339911	Jewelry (except Costume) Manufacturing	339995	Burial Casket Manufacturing
339912	Silverware and Hollowware Manufacturing	339999	All Other Miscellaneous Manufacturing
339913	Jewelers' Material and Lapidary Work Manufacturing	113310	<b>Logging</b>
339914	Costume Jewelry and Novelty Manufacturing	111998	<b>All Other Miscellaneous Crop Farming</b> (Limited to facilities primarily engaged in reducing maple sap to maple syrup)
<b>33992</b>	<b>Sporting and Athletic Goods Manufacturing</b>	211112	<b>Natural Gas Liquid Extraction</b> (limited to facilities that recover sulfur from natural gas)
339920	Sporting and Athletic Goods Manufacturing	212324	<b>Kaolin and Ball Clay Mining</b> (limited to facilities operating without a mine or quarry and that are primarily engaged in beneficiating kaolin and clay)
<b>33993</b>	<b>Doll, Toy, and Game Manufacturing</b>	212325	<b>Clay and Ceramic and Refractory Minerals Mining</b> (limited to facilities operating without a mine or quarry and that are primarily engaged in beneficiating clay and ceramic and refractory minerals)
339931	Doll and Stuffed Toy Manufacturing	212393	<b>Other Chemical and Fertilizer Mineral Mining</b> (limited to facilities operating without a mine or quarry that are primarily engaged in beneficiating chemical or fertilizer mineral raw materials)
339932	Game, Toy, and Children's Vehicle Manufacturing	212399	<b>All Other Nonmetallic Mineral Mining</b> (limited to facilities operating without a mine or quarry that are primarily engaged in beneficiating nonmetallic minerals)
<b>33994</b>	<b>Office Supplies (except Paper) Manufacturing</b>		

*Table I. NAICS Codes*

488390	<b>Other Support Activities for Water Transportation</b> (limited to facilities that are primarily engaged in providing routine repair and maintenance of ships and boats from floating drydocks)
511110	<b>Newspaper Publishers</b>
511120	<b>Periodical Publishers</b>
511130	<b>Book Publishers</b>
511140	<b>Directory and Mailing List Publishers</b> (except Facilities that are primarily engaged in furnishing services for direct mail advertising including address list compilers, address list publishers, address list publishers and printing combined, address list publishing, business directory publishers, catalog of collections publishers, catalog of collections publishers and printing combined, mailing list compilers, directory compilers, and mailing list compiling services)
511191	<b>Greeting Card Publishers</b>
511199	<b>All Other Publishers</b>
512220	<b>Integrated Record Production/Distribution</b>
512230	<b>Music Publishers</b> (except facilities primarily Engaged in Music copyright authorizing use, Music copyright buying and licensing, and Music publishers working on their own account)
516110	<b>Internet Publishing and Broadcasting and web search portals</b> (limited to facilities primarily engaged in Internet newspaper publishing, Internet periodical publishing, internet book publishing, Miscellaneous Internet publishing, Internet greeting card publishers except web search portals)
541710	<b>Research and Development in the Physical, Engineering, and Life Sciences except Biotechnology</b> (limited to facilities that are primarily engaged in Guided missile and space vehicle engine research and development, and in Guided missile and space vehicle parts (except engines) research and development)
811490	<b>Other Personal and Household Goods Repair and Maintenance (limited to facilities that are primarily engaged in repairing and servicing pleasure and sail boats without retailing new boats (previously classified under SIC 3732, Boat Building and Repairing (pleasure boat building))</b>

Table I. NAICS Codes

**1.2 NAICS codes that correspond to SIC codes other than 20 through 39:**

<p><b>212 Mining (except Oil and Gas)</b></p> <p><b>2121 Coal Mining</b></p> <p>212111 Bituminous Coal and Lignite Surface Mining</p> <p>212112 Bituminous Coal Underground Mining</p> <p>212113 Anthracite Mining</p> <p><b>2122 Metal Ore Mining</b></p> <p>212221 Gold Ore Mining</p> <p>212222 Silver Ore Mining</p> <p>212231 Lead Ore and Zinc Ore Mining</p> <p>212234 Copper Ore and Nickel Ore Mining</p> <p>212299 All Other Metal Ore Mining</p> <hr/> <p><b>221 Utilities</b></p> <p><b>22111 Electric Power Generation (limited to facilities that combust coal and/or oil for the purpose of generating power for distribution in commerce)</b></p> <p>221111 Hydroelectric Power Generation</p> <p>221112 Fossil Fuel Electric Power Generation</p> <p>221113 Nuclear Electric Power Generation</p> <p>221119 Other Electric Power Generation</p> <p>221121 Electric Bulk Power Transmission and Control</p> <p>221122 Electric Power Distribution</p>	<p>221330 <b>Steam and Air Conditioning Supply</b> Limited to facilities engaged in providing combinations of electric, gas and other services, not elsewhere classified (NEC) (previously classified under SIC 4939, Combination Utility Services Not Elsewhere Classified.)</p> <p>424690 <b>Other Chemical and Allied Products Merchant Wholesalers</b></p> <p>424710 <b>Petroleum Bulk Stations and Terminals</b></p> <p>425110 <b>Business to Business Electronic Markets</b> (limited to facilities previously classified in 5169, Chemicals and Allied Products, NEC)</p> <p>425120 <b>Wholesale Trade Agents and Brokers</b> (limited to facilities previously classified in 5169, Chemicals and Allied Products, NEC)</p> <p>562112 <b>Hazardous Waste Collection</b> (limited to facilities primarily engaged in solvent recovery services on a contract or fee basis)</p> <p>562211 <b>Hazardous Waste Treatment and Disposal</b> (limited to facilities regulated under the Resource Conservation and Recovery Act, subtitle C, 42 U.S.C. 6921, <i>et seq.</i>)</p> <p>562212 <b>Solid Waste Landfill</b> (limited to facilities regulated under the Resource Conservation and Recovery Act, subtitle C, 42 U.S.C. 6921, <i>et seq.</i>)</p> <p>562213 <b>Solid Waste Combustors and Incinerators</b> (Limited to facilities regulated under the Resource Conservation and Recovery Act, subtitle C, 42 U.S.C. 6921 <i>et seq.</i>)</p> <p>562219 <b>Other Nonhazardous Waste Treatment and Disposal</b> (Limited to facilities regulated under the Resource Conservation and Recovery Act, subtitle C, 42 U.S.C. 6921 <i>et seq.</i>)</p> <p>562920 <b>Materials Recovery Facilities</b> (Limited to facilities regulated under the Resource Conservation and Recovery Act, subtitle C, 42 U.S.C. 6921 <i>et seq.</i>)</p>
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## Table II. EPCRA Section 313 Chemical List For Reporting Year 2011 (including Toxic Chemical Categories)

Individually listed EPCRA Section 313 chemicals with CAS numbers are arranged alphabetically starting on page II-3. Following the alphabetical list, the EPCRA Section 313 chemicals are arranged in CAS number order. Covered chemical categories follow.

Certain EPCRA Section 313 chemicals listed in Table II have parenthetical “qualifiers.” These qualifiers indicate that these EPCRA Section 313 chemicals are subject to the section 313 reporting requirements if manufactured, processed, or otherwise used in a specific form or when a certain activity is performed. The following chemicals are reportable only if they are manufactured, processed, or otherwise used in the specific form(s) listed below:

Chemical	CAS Number	Qualifier
<b>Aluminum</b> (fume or dust)	7429-90-5	<b><u>Only</u></b> if it is a fume or dust form.
<b>Aluminum oxide</b> (fibrous forms)	1344-28-1	<b><u>Only</u></b> if it is a fibrous form.
<b>Ammonia</b> (includes anhydrous ammonia and aqueous ammonia from water dissociable ammonium salts and other sources; 10 percent of total aqueous ammonia is reportable under this listing)	7664-41-7	<b><u>Only</u></b> 10% of aqueous forms. 100% of anhydrous forms.
<b>Asbestos</b> (friable)	1332-21-4	<b><u>Only</u></b> if it is a friable form.
<b>Hydrochloric acid</b> (acid aerosols including mists, vapors, gas, fog, and other airborne forms of any particle size)	7647-01-0	<b><u>Only</u></b> if it is an aerosol form as defined.
<b>Nitrate compounds</b> (water dissociable; reportable only when in aqueous solution)	NA	<b><u>Only</u></b> if in aqueous solution
<b>Phosphorus</b> (yellow or white)	7723-14-0	<b><u>Only</u></b> if it is a yellow or white form.
<b>Sulfuric acid</b> (acid aerosols including mists, vapors, gas, fog, and other airborne forms of any particle size)	7664-93-9	<b><u>Only</u></b> if it is an aerosol form as defined.
<b>Vanadium</b> (except when contained in an alloy)	7440-62-2	<b><u>Except</u></b> if it is contained in an alloy.
<b>Zinc</b> (fume or dust)	7440-66-6	<b><u>Only</u></b> if it is in a fume or dust form.

The qualifier for the following three chemicals is based on the chemical activity rather than the form of the chemical. These chemicals are subject to EPCRA section 313 reporting requirements only when the indicated activity is performed.

Chemical/ Chemical Category	CAS Number	Qualifier
<b>Dioxin and dioxin-like compounds</b> (manufacturing; and the processing or otherwise use of dioxin and dioxin-like compounds if the dioxin and dioxin-like compounds are present as contaminants in a chemical and if they were created during the manufacture of that chemical.)	NA	<b><u>Only</u></b> if they are manufactured at the facility; or are processed or otherwise used when present as contaminants in a chemical but only if they were created during the manufacture of that chemical.
<b>Isopropyl alcohol</b> (only persons who manufacture by the strong acid process are subject, no supplier notification)	67-63-0	<b><u>Only</u></b> if it is being manufactured by the strong acid process. Facilities that process or otherwise use isopropyl alcohol are <u>not</u> covered and should <u>not</u> file a report.
<b>Saccharin</b> (only persons who manufacture are subject, no supplier notification)	81-07-2	<b><u>Only</u></b> if it is being manufactured.

There are no supplier notification requirements for isopropyl alcohol and saccharin since the processors and users of these chemicals are not required to report. Manufacturers of these chemicals do not need to notify their customers that these are reportable EPCRA section 313 chemicals.

## Table II. EPCRA Section 313 Chemical List – RY2011

**Note:** Chemicals may be added to or deleted from the list. The Emergency Planning and Community Right-to-Know Call Center will provide up-to-date information on the status of these changes. See section B.3.c of the instructions for more information on the *de minimis* values listed below. There are no *de minimis* levels for PBT chemicals since the *de minimis* exemption is not available for these chemicals (an asterisk appears where a *de minimis* limit would otherwise appear in Table II). However, for purposes of the supplier notification requirement only, such limits are provided in Appendix D.

### Chemical Qualifiers

This table contains the list of individual EPCRA Section 313 chemicals and categories of chemicals subject to 2009 calendar year reporting. Some of the EPCRA Section 313 chemicals listed have parenthetical qualifiers listed next to them. An EPCRA Section 313 chemical that is listed without a qualifier is subject to reporting in all forms in which it is manufactured, processed, and otherwise used.

**Fume or dust.** Two of the metals on the list (aluminum and zinc) contain the qualifier “fume or dust.” Fume or dust refers to dry forms of these metals but does not refer to “wet” forms such as solutions or slurries. As explained in Section B.3.a of these instructions, the term manufacture includes the generation of an EPCRA Section 313 chemical as a byproduct or impurity. In such cases, a facility should determine if, for example, it generated more than 25,000 pounds of aluminum fume or dust in the reporting year as a result of its activities. If so, the facility must report that it manufactures “aluminum (fume or dust).” Similarly, there may be certain technologies in which one of these metals is processed in the form of a fume or dust to make other EPCRA Section 313 chemicals or other products for distribution in commerce. In reporting releases, the facility would only report releases of the fume or dust.

EPA considers dusts to consist of solid particles generated by any mechanical processing of materials including crushing, grinding, rapid impact, handling, detonation, and decrepitation of organic and inorganic materials such as rock, ore, and metal. Dusts do not tend to flocculate, except under electrostatic forces.

EPA considers a fume to be an airborne dispersion consisting of small solid particles created by condensation from a gaseous state, in distinction to a gas or vapor. Fumes arise from the heating of solids such as lead. The condensation is often accompanied by a chemical reaction, such as oxidation. Fumes flocculate and sometimes coalesce.

**Manufacturing qualifiers.** Two of the entries in the EPCRA Section 313 chemical list contain a qualifier relating to manufacture. For isopropyl alcohol, the qualifier is “only persons who manufacture by the strong acid process are subject, no supplier notification.” For saccharin, the qualifier is “only persons who manufacture are subject, no supplier notification.” For isopropyl alcohol, the qualifier means that only facilities manufacturing isopropyl alcohol by the strong acid process are required to report. In the case of saccharin, only manufacturers of the EPCRA Section 313 chemical are subject to the reporting requirements. A facility that only processes or otherwise uses either of these EPCRA Section 313 chemicals is not required to report for these EPCRA Section 313 chemicals. In both cases, supplier notification does not

apply because only manufacturers, not users, of these two EPCRA Section 313 chemicals must report.

**Ammonia (includes anhydrous ammonia and aqueous ammonia from water dissociable ammonium salts and other sources; 10 percent of total aqueous ammonia is reportable under this listing).** The qualifier for ammonia means that anhydrous forms of ammonia are 100% reportable and aqueous forms are limited to 10% of total aqueous ammonia. Therefore when determining threshold and releases and other waste management quantities all anhydrous ammonia is included but only 10% of total aqueous ammonia is included. Any evaporation of ammonia from aqueous ammonia solutions is considered anhydrous ammonia and should be included in threshold determinations and release and other waste management calculations.

**Sulfuric acid and Hydrochloric acid (acid aerosols including mists, vapors, gas, fog, and other airborne forms of any particle size).** The qualifier for sulfuric acid and hydrochloric acid means that the only forms of these chemicals that are reportable are airborne forms. Aqueous solutions are not covered by this listing but any aerosols generated from aqueous solutions are covered.

**Nitrate compounds (water dissociable; reportable only when in aqueous solution).** The qualifier for the nitrate compounds category limits the reporting to nitrate compounds that dissociate in water, generating nitrate ion. For the purposes of threshold determinations the entire weight of the nitrate compound must be included in all calculations. For the purposes of reporting releases and other waste management quantities only the weight of the nitrate ion should be included in the calculations of these quantities.

**Phosphorus (yellow or white).** The listing for phosphorus is qualified by the term “yellow or white.” This means that only manufacturing, processing, or otherwise use of phosphorus in the yellow or white chemical form triggers reporting. Conversely, manufacturing, processing, or otherwise use of “black” or “red” phosphorus does not trigger reporting. Supplier notification also applies only to distribution of yellow or white phosphorus.

**Asbestos (friable).** The listing for asbestos is qualified by the term “friable,” referring to the physical characteristic of being able to be crumbled, pulverized, or reducible to a powder with hand pressure. Only manufacturing, processing, or otherwise use of asbestos in the friable form triggers reporting. Supplier notification applies only to distribution of mixtures or other trade name products containing friable asbestos.

**Aluminum Oxide (fibrous forms).** The listing for aluminum oxide is qualified by the term “fibrous forms.” Fibrous refers to a man-made form of aluminum oxide that is processed to

**Table II. EPCRA Section 313 Chemical List – RY2011**

produce strands or filaments which can be cut to various lengths depending on the application. Only manufacturing, processing, or otherwise use of aluminum oxide in the fibrous form triggers reporting. Supplier notification applies only to distribution of mixtures or other trade name products containing fibrous forms of aluminum oxide.

**Notes for Sections A and B of following list of TRI chemicals:**

“Color Index” indicated by “C.I.”

\* There are no *de minimis* levels for PBT chemicals, except for supplier notification purposes (see Appendix D).

**a. Individually-Listed Toxic Chemicals Arranged Alphabetically**

CAS Number	Chemical Name	<i>Deminimis</i> % Limit
71751-41-2	Abamectin [Avermectin B1]	1.0
30560-19-1	Acephate (Acetylphosphoramidothioic acid O,S-dimethyl ester)	1.0
75-07-0	Acetaldehyde	0.1
60-35-5	Acetamide	0.1
75-05-8	Acetonitrile	1.0
98-86-2	Acetophenone	1.0
53-96-3	2-Acetylaminofluorene	0.1
62476-59-9	Acifluorfen, sodium salt [5-(2-Chloro-4-(trifluoromethyl)phenoxy)-2-nitrobenzoic acid, sodium salt]	1.0
107-02-8	Acrolein	1.0
79-06-1	Acrylamide	0.1
79-10-7	Acrylic acid	1.0
107-13-1	Acrylonitrile	0.1
15972-60-8	Alachlor	1.0
116-06-3	Aldicarb	1.0
309-00-2	Aldrin	*
	[1,4:5,8-Dimethanonaphthalene, 1,2,3,4,10,10-hexachloro-1,4,4a,5,8,8a-hexahydro-(1.alpha.,4.alpha.,4a.beta.,5.alpha.,8.alpha.,8a.beta.)-]	
28057-48-9	d-trans-Allethrin [d-trans-Chrysanthemic acid of d-allethrine]	1.0
107-18-6	Allyl alcohol	1.0
107-11-9	Allylamine	1.0
107-05-1	Allyl chloride	1.0
7429-90-5	Aluminum (fume or dust)	1.0
20859-73-8	Aluminum phosphide	1.0
1344-28-1	Aluminum oxide (fibrous forms)	1.0
834-12-8	Ametryn (N-Ethyl-N=-(1-methylethyl)-6-(methylthio)-1,3,5,-triazine-2,4-diamine)	1.0
117-79-3	2-Aminoanthraquinone	0.1
60-09-3	4-Aminoazobenzene	0.1
92-67-1	4-Aminobiphenyl	0.1
82-28-0	1-Amino-2-methylantraquinone	0.1
81-49-2	1-Amino-2,4-dibromoanthraquinone	0.1

CAS Number	Chemical Name	<i>Deminimis</i> % Limit
33089-61-1	Amitraz	1.0
61-82-5	Amitrole	0.1
7664-41-7	Ammonia (includes anhydrous ammonia and aqueous ammonia from water dissociable ammonium salts and other sources; 10 percent of total aqueous ammonia is reportable under this listing)	1.0
101-05-3	Anilazine [4,6-Dichloro-N-(2-chlorophenyl)-1,3,5-triazin-2-amine]	1.0
62-53-3	Aniline	1.0
90-04-0	o-Anisidine	0.1
104-94-9	p-Anisidine	1.0
134-29-2	o-Anisidine hydrochloride	0.1
120-12-7	Anthracene	1.0
7440-36-0	Antimony	1.0
7440-38-2	Arsenic	0.1
1332-21-4	Asbestos (friable)	0.1
1912-24-9	Atrazine (6-Chloro-N-ethyl-N=-(1-methylethyl)-1,3,5-triazine-2,4-diamine)	1.0
7440-39-3	Barium	1.0
22781-23-3	Bendiocarb [2,2-Dimethyl-1,3-benzodioxol-4-ol methylcarbamate]	1.0
1861-40-1	Benfluralin (N-Butyl-N-ethyl-2,6-dinitro-4-(trifluoromethyl)benzenamine)	1.0
17804-35-2	Benomyl	1.0
98-87-3	Benzal chloride	1.0
55-21-0	Benzamide	1.0
71-43-2	Benzene	0.1
92-87-5	Benzidine	0.1
98-07-7	Benzoic trichloride (Benzotrichloride)	0.1
191-24-2	Benzo(g,h,i)perylene	*
98-88-4	Benzoyl chloride	1.0
94-36-0	Benzoyl peroxide	1.0
100-44-7	Benzyl chloride	1.0
7440-41-7	Beryllium	0.1
82657-04-3	Bifenthrin	1.0
92-52-4	Biphenyl	1.0
3296-90-0	2,2-bis(Bromomethyl)-1,3-propanediol	0.1
111-91-1	Bis(2-chloroethoxy) methane	1.0
111-44-4	Bis(2-chloroethyl) ether	1.0
542-88-1	Bis(chloromethyl) ether	0.1
108-60-1	Bis(2-chloro-1-methylethyl)ether	1.0
56-35-9	Bis(tributyltin) oxide	1.0
10294-34-5	Boron trichloride	1.0
7637-07-2	Boron trifluoride	1.0
314-40-9	Bromacil (5-Bromo-6-methyl-3-(1-methylpropyl)-2,4(1H,3H)-pyrimidinedione)	1.0
53404-19-6	Bromacil, lithium salt [2,4(1H,3H)-Pyrimidinedione,5-bromo-6-methyl-3-(1-methylpropyl), lithium salt]	1.0
7726-95-6	Bromine	1.0

**Table II. EPCRA Section 313 Chemical List – RY2011**

CAS Number	Chemical Name	Deminimis % Limit	CAS Number	Chemical Name	Deminimis % Limit
35691-65-7	1-Bromo-1-(bromomethyl)- 1,3-propanedicarbonitrile	1.0	108-90-7	Chlorobenzene	1.0
353-59-3	Bromochlorodifluoromethane (Halon 1211)	1.0	510-15-6	Chlorobenzilate [Benzeneacetic acid, 4-chloro-.alpha.- (4- chlorophenyl)-.alpha.-hydroxy-, ethyl ester]	1.0
75-25-2	Bromoform (Tribromomethane)	1.0	75-68-3	1-Chloro-1,1-difluoroethane (HCFC-142b)	1.0
74-83-9	Bromomethane (Methyl bromide)	1.0	75-45-6	Chlorodifluoromethane (HCFC-22)	1.0
75-63-8	Bromotrifluoromethane (Halon 1301)	1.0	75-00-3	Chloroethane (Ethyl chloride)	1.0
1689-84-5	Bromoxynil (3,5-Dibromo-4-hydroxybenzonitrile)	1.0	67-66-3	Chloroform	0.1
1689-99-2	Bromoxynil octanoate (Octanoic acid, 2,6-dibromo-4- cyanophenylester)	1.0	74-87-3	Chloromethane (Methyl chloride)	1.0
357-57-3	Brucine	1.0	107-30-2	Chloromethyl methyl ether	0.1
106-99-0	1,3-Butadiene	0.1	563-47-3	3-Chloro-2-methyl-1-propene	0.1
141-32-2	Butyl acrylate	1.0	104-12-1	p-Chlorophenyl isocyanate	1.0
71-36-3	n-Butyl alcohol	1.0	76-06-2	Chloropicrin	1.0
78-92-2	sec-Butyl alcohol	1.0	126-99-8	Chloroprene	0.1
75-65-0	tert-Butyl alcohol	1.0	542-76-7	3-Chloropropionitrile	1.0
106-88-7	1,2-Butylene oxide	0.1	63938-10-3	Chlorotetrafluoroethane	1.0
123-72-8	Butyraldehyde	1.0	354-25-6	1-Chloro-1,1,2,2- tetrafluoroethane (HCFC-124a)	1.0
7440-43-9	Cadmium	0.1	2837-89-0	2-Chloro-1,1,1,2- tetrafluoroethane (HCFC-124)	1.0
156-62-7	Calcium cyanamide	1.0	1897-45-6	Chlorothalonil [1,3-Benzenedicarbonitrile, 2,4,5,6- tetrachloro-]	0.1
133-06-2	Captan [1H-Isoindole-1,3(2H)-dione, 3a,4,7,7a- tetrahydro-2-[(trichloromethyl)thio]-]	1.0	95-69-2	p-Chloro-o-toluidine	0.1
63-25-2	Carbaryl [1-Naphthalenol, methylcarbamate]	1.0	75-88-7	2-Chloro-1,1,1- trifluoroethane (HCFC-133a)	1.0
1563-66-2	Carbofuran	1.0	75-72-9	Chlorotrifluoromethane (CFC-13)	1.0
75-15-0	Carbon disulfide	1.0	460-35-5	3-Chloro-1,1,1- trifluoropropane (HCFC-253fb)	1.0
56-23-5	Carbon tetrachloride	0.1	5598-13-0	Chlorpyrifos methyl [O,O-Dimethyl-O-(3,5,6-trichloro-2- pyridyl)phosphorothioate]	1.0
463-58-1	Carbonyl sulfide	1.0	64902-72-3	Chlorsulfuron [2-Chloro-N-[[[(4-methoxy-6-methyl-1,3,5- triazin-2-yl)amino]carbonyl] benzenesulfonamide]	1.0
5234-68-4	Carboxin (5,6-Dihydro-2-methyl-N- phenyl-1,4-oxathiin-3-carboxamide)	1.0	7440-47-3	Chromium	1.0
120-80-9	Catechol	0.1	4680-78-8	C.I. Acid Green 3	1.0
2439-01-2	Chinomethionat [6-Methyl-1,3-dithiolo[4,5-b]quinoxalin-2- one]	1.0	6459-94-5	C.I. Acid Red 114	0.1
133-90-4	Chloramben [Benzoic acid, 3-amino-2,5-dichloro-]	1.0	569-64-2	C.I. Basic Green 4	1.0
57-74-9	Chlordane [4,7-Methanoindan, 1,2,4,5,6,7,8,8- octachloro-2,3,3a,4,7,7a-hexahydro-]	*	989-38-8	C.I. Basic Red 1	1.0
115-28-6	Chlorendic acid	0.1	1937-37-7	C.I. Direct Black 38	0.1
90982-32-4	Chlorimuron ethyl [Ethyl-2-[[[(4-chloro-6-methoxyprimidin-2- yl)amino]carbonyl]amino]sulfonyl] benzoate]	1.0	2602-46-2	C.I. Direct Blue 6	0.1
7782-50-5	Chlorine	1.0	28407-37-6	C.I. Direct Blue 218	1.0
10049-04-4	Chlorine dioxide	1.0	16071-86-6	C.I. Direct Brown 95	0.1
79-11-8	Chloroacetic acid	1.0	2832-40-8	C.I. Disperse Yellow 3	1.0
532-27-4	2-Chloroacetophenone	1.0	3761-53-3	C.I. Food Red 5	0.1
4080-31-3	1-(3-Chloroallyl)-3,5,7-triaza- 1-azoniaadamantane chloride	1.0	81-88-9	C.I. Food Red 15	1.0
106-47-8	p-Chloroaniline	0.1	3118-97-6	C.I. Solvent Orange 7	1.0
			97-56-3	C.I. Solvent Yellow 3	0.1
			842-07-9	C.I. Solvent Yellow 14	1.0
			492-80-8	C.I. Solvent Yellow 34 (Auramine)	0.1
			128-66-5	C.I. Vat Yellow 4	1.0
			7440-48-4	Cobalt	0.1
			7440-50-8	Copper	1.0

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CAS Number	Chemical Name	Deminimis % Limit	CAS Number	Chemical Name	Deminimis % Limit
8001-58-9	Creosote	0.1	124-73-2	Dibromotetrafluoroethane (Halon 2402)	1.0
120-71-8	p-Cresidine	0.1	84-74-2	Dibutyl phthalate	1.0
108-39-4	m-Cresol	1.0	1918-00-9	Dicamba (3,6-Dichloro-2-methoxybenzoic acid)	1.0
95-48-7	o-Cresol	1.0	99-30-9	Dichloran [2,6-Dichloro-4-nitroaniline]	1.0
106-44-5	p-Cresol	1.0	95-50-1	1,2-Dichlorobenzene	1.0
1319-77-3	Cresol (mixed isomers)	1.0	541-73-1	1,3-Dichlorobenzene	1.0
4170-30-3	Crotonaldehyde	1.0	106-46-7	1,4-Dichlorobenzene	0.1
98-82-8	Cumene	1.0	25321-22-6	Dichlorobenzene (mixed isomers)	0.1
80-15-9	Cumene hydroperoxide	1.0	91-94-1	3,3'-Dichlorobenzidine	0.1
135-20-6	Cupferron [Benzeneamine, N-hydroxy- N-nitroso, ammonium salt]	0.1	612-83-9	3,3'-Dichlorobenzidine dihydrochloride	0.1
21725-46-2	Cyanazine	1.0	64969-34-2	3,3'-Dichlorobenzidine sulfate	0.1
1134-23-2	Cycloate	1.0	75-27-4	Dichlorobromomethane	0.1
110-82-7	Cyclohexane	1.0	764-41-0	1,4-Dichloro-2-butene	1.0
108-93-0	Cyclohexanol	1.0	110-57-6	trans-1,4-Dichloro-2-butene	1.0
68359-37-5	Cyfluthrin [3-(2,2-Dichloroethenyl)-2,2- dimethylcyclopropanecarboxylic acid, cyano(4-fluoro-3-phenoxyphenyl) methyl ester]	1.0	1649-08-7	1,2-Dichloro-1,1- difluoroethane (HCFC-132b)	1.0
68085-85-8	Cyhalothrin [3-(2-Chloro-3,3,3-trifluoro-1-propenyl)- 2,2-dimethylcyclopropane-carboxylic acid cyano(3-phenoxyphenyl)methyl ester]	1.0	75-71-8	Dichlorodifluoromethane (CFC-12)	1.0
94-75-7	2,4-D [Acetic acid, (2,4-dichlorophenoxy)-]	0.1	107-06-2	1,2-Dichloroethane (Ethylene dichloride)	0.1
533-74-4	Dazomet (Tetrahydro-3,5-dimethyl-2H-1,3,5- thiadiazine-2-thione)	1.0	540-59-0	1,2-Dichloroethylene	1.0
53404-60-7	Dazomet, sodium salt [Tetrahydro-3,5-dimethyl-2H-1,3,5- thiadiazine-2-thione, ion(1-), sodium]	1.0	1717-00-6	1,1-Dichloro-1-fluoroethane (HCFC-141b)	1.0
94-82-6	2,4-DB	1.0	75-43-4	Dichlorofluoromethane (HCFC-21)	1.0
1929-73-3	2,4-D butoxyethyl ester	0.1	75-09-2	Dichloromethane (Methylene chloride)	0.1
94-80-4	2,4-D butyl ester	0.1	127564-92-5	Dichloropentafluoropropane	1.0
2971-38-2	2,4-D chlorocrotyl ester	0.1	13474-88-9	1,1-Dichloro-1,2,2,3,3- pentafluoropropane (HCFC-225cc)	1.0
1163-19-5	Decabromodiphenyl oxide	1.0	111512-56-2	1,1-Dichloro-1,2,3,3,3- pentafluoropropane (HCFC-225eb)	1.0
13684-56-5	Desmedipham	1.0	422-44-6	1,2-Dichloro-1,1,2,3,3- pentafluoropropane (HCFC-225bb)	1.0
1928-43-4	2,4-D 2-ethylhexyl ester	0.1	431-86-7	1,2-Dichloro-1,1,3,3,3- pentafluoropropane (HCFC-225da)	1.0
53404-37-8	2,4-D 2-ethyl-4- methylpentyl ester	0.1	507-55-1	1,3-Dichloro-1,1,2,2,3- pentafluoropropane (HCFC-225cb)	1.0
2303-16-4	Diallate [Carbamothioic acid, bis(1-methylethyl)-S- (2,3-dichloro-2-propenyl) ester]	1.0	136013-79-1	1,3-Dichloro-1,1,2,3,3- pentafluoropropane (HCFC-225ea)	1.0
615-05-4	2,4-Diaminoanisole	0.1	128903-21-9	2,2-Dichloro-1,1,1,3,3- pentafluoropropane (HCFC-225aa)	1.0
39156-41-7	2,4-Diaminoanisole sulfate	0.1	422-48-0	2,3-Dichloro-1,1,1,2,3- pentafluoropropane (HCFC-225ba)	1.0
101-80-4	4,4'-Diaminodiphenyl ether	0.1	422-56-0	3,3-Dichloro-1,1,1,2,2- pentafluoropropane (HCFC-225ca)	1.0
95-80-7	2,4-Diaminotoluene	0.1	97-23-4	Dichlorophene [2,2'-Methylenebis(4-chlorophenol)]	1.0
25376-45-8	Diaminotoluene (mixed isomers)	0.1	120-83-2	2,4-Dichlorophenol	1.0
333-41-5	Diazinon	1.0	78-87-5	1,2-Dichloropropane	1.0
334-88-3	Diazomethane	1.0	10061-02-6	trans-1,3-Dichloropropene	0.1
132-64-9	Dibenzofuran	1.0	78-88-6	2,3-Dichloropropene	1.0
96-12-8	1,2-Dibromo-3- chloropropane (DBCP)	0.1	542-75-6	1,3-Dichloropropylene	0.1
106-93-4	1,2-Dibromoethane (Ethylene dibromide)	0.1	76-14-2	Dichlorotetrafluoroethane (CFC-114)	1.0
			34077-87-7	Dichlorotrifluoroethane	1.0

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CAS Number	Chemical Name	Deminimis % Limit	CAS Number	Chemical Name	Deminimis % Limit
90454-18-5	Dichloro-1,1,2-trifluoroethane	1.0	121-14-2	2,4-Dinitrotoluene	0.1
812-04-4	1,1-Dichloro-1,2,2-trifluoroethane (HCFC-123b)	1.0	606-20-2	2,6-Dinitrotoluene	0.1
354-23-4	1,2-Dichloro-1,1,2-trifluoroethane (HCFC-123a)	1.0	25321-14-6	Dinitrotoluene (mixed isomers)	1.0
306-83-2	2,2-Dichloro-1,1,1-trifluoroethane (HCFC-123)	1.0	39300-45-3	Dinocap	1.0
62-73-7	Dichlorvos [Phosphoric acid, 2,2-dichloroethenyl dimethyl ester]	0.1	123-91-1	1,4-Dioxane	0.1
51338-27-3	Diclofop methyl [2-[4-(2,4-Dichlorophenoxy)phenoxy]propanoic acid, methyl ester]	1.0	957-51-7	Diphenamid	1.0
115-32-2	Dicofol [Benzenemethanol, 4-chloro-	1.0	122-39-4	Diphenylamine	1.0
77-73-6	Dicyclopentadiene	1.0	122-66-7	1,2-Diphenylhydrazine (Hydrazobenzene)	0.1
1464-53-5	Diepoxybutane	0.1	2164-07-0	Dipotassium endothall [7-Oxabicyclo(2.2.1)heptane-2,3-dicarboxylic acid, dipotassium salt]	1.0
111-42-2	Diethanolamine	1.0	136-45-8	Dipropyl isocinchomerate	1.0
38727-55-8	Diethyl ethyl	1.0	138-93-2	Disodium cyanodithioimidocarbonate	1.0
117-81-7	Di(2-ethylhexyl) phthalate (DEHP)	0.1	94-11-1	2,4-D isopropyl ester	0.1
64-67-5	Diethyl sulfate	0.1	541-53-7	2,4-Dithiobiuret	1.0
35367-38-5	Diflubenzuron	1.0	330-54-1	Diuron	1.0
101-90-6	Diglycidyl resorcinol ether	0.1	2439-10-3	Dodine [Dodecylguanidine monoacetate]	1.0
94-58-6	Dihydrosafrole	0.1	120-36-5	2,4-DP	0.1
55290-64-7	Dimethipin [2,3-Dihydro-5,6-dimethyl-1,4-dithiin 1,1,4,4-tetraoxide]	1.0	1320-18-9	2,4-D propylene glycol butyl ether ester	0.1
60-51-5	Dimethoate	1.0	2702-72-9	2,4-D sodium salt	0.1
119-90-4	3,3'-Dimethoxybenzidine	0.1	106-89-8	Epichlorohydrin	0.1
20325-40-0	3,3'-Dimethoxybenzidine dihydrochloride (o-Dianisidine dihydrochloride)	0.1	13194-48-4	Ethoprop [Phosphorodithioic acid O-ethyl S,S-dipropyl ester]	1.0
111984-09-9	3,3'-Dimethoxybenzidine hydrochloride (o-Dianisidine hydrochloride)	0.1	110-80-5	2-Ethoxyethanol	1.0
124-40-3	Dimethylamine	1.0	140-88-5	Ethyl acrylate	0.1
2300-66-5	Dimethylamine dicamba	1.0	100-41-4	Ethylbenzene	0.1
60-11-7	4-Dimethylaminoazobenzene	0.1	541-41-3	Ethyl chloroformate	1.0
121-69-7	N,N-Dimethylaniline	1.0	759-94-4	Ethyl dipropylthiocarbamate (EPTC)	1.0
119-93-7	3,3'-Dimethylbenzidine (o-Tolidine)	0.1	74-85-1	Ethylene	1.0
612-82-8	3,3'-Dimethylbenzidine dihydrochloride (o-Tolidine dihydrochloride)	0.1	107-21-1	Ethylene glycol	1.0
41766-75-0	3,3'-Dimethylbenzidine dihydrofluoride (o-Tolidine dihydrofluoride)	0.1	151-56-4	Ethyleneimine (Aziridine)	0.1
79-44-7	Dimethylcarbonyl chloride	0.1	75-21-8	Ethylene oxide	0.1
2524-03-0	Dimethyl chlorothiophosphate	1.0	96-45-7	Ethylene thiourea	0.1
68-12-2	N,N-Dimethylformamide	1.0	75-34-3	Ethylidene dichloride	1.0
57-14-7	1,1-Dimethyl hydrazine	0.1	52-85-7	Famphur	1.0
105-67-9	2,4-Dimethylphenol	1.0	60168-88-9	Fenarimol [.alpha.-(2-Chlorophenyl)-.alpha.-(4-chlorophenyl)-5-pyrimidinemethanol]	1.0
131-11-3	Dimethyl phthalate	1.0	13356-08-6	Fenbutatin oxide (Hexakis(2-methyl-2-phenylpropyl) distannoxane)	1.0
77-78-1	Dimethyl sulfate	0.1	66441-23-4	Fenoxaprop ethyl [2-(4-((6-Chloro-2-benzoxazolyl)oxy)phenoxy)propanoic acid, ethyl ester]	1.0
99-65-0	m-Dinitrobenzene	1.0	72490-01-8	Fenoxycarb [[2-(4-Phenoxyphenoxy)ethyl]carbamic acid ethyl ester]	1.0
528-29-0	o-Dinitrobenzene	1.0	39515-41-8	Fenpropathrin [2,2,3,3-Tetramethylcyclopropane	1.0
100-25-4	p-Dinitrobenzene	1.0			
88-85-7	Dinitrobutyl phenol (Dinoseb)	1.0			
534-52-1	4,6-Dinitro-o-cresol	1.0			
51-28-5	2,4-Dinitrophenol	1.0			

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CAS Number	Chemical Name	<i>De minimis</i> % Limit	CAS Number	Chemical Name	<i>De minimis</i> % Limit
55-38-9	carboxylic acid cyano(3-phenoxyphenyl)methyl ester] Fenthion	1.0	74-90-8	(acid aerosols including mists, vapors, gas, fog, and other airborne forms of any particle size) Hydrogen cyanide	1.0
51630-58-1	[O,O-Dimethyl O-[3-methyl-4-(methylthio)phenyl] ester, phosphorothioic acid] Fenvalerate	1.0	7664-39-3	Hydrogen fluoride	1.0
14484-64-1	[4-Chloro-alpha-(1-methylethyl) benzeneacetic acid cyano (3-phenoxyphenyl) methyl ester] Ferbam	1.0	123-31-9	Hydroquinone	1.0
69806-50-4	[Tris(dimethylcarbomodithioato- S,S')iron] Fluazifop butyl	1.0	35554-44-0	Imazalil	1.0
2164-17-2	[2-[4-[[5-(Trifluoromethyl)-2-pyridinyl]oxy]phenoxy]propanoic acid, butyl ester] Fluometuron	1.0	55406-53-6	[1-[2-(2,4-Dichlorophenyl)-2-(2-propenyloxy)ethyl]-1H-imidazole] 3-Iodo-2-propynyl butylcarbamate	1.0
7782-41-4	[Urea, N,N-dimethyl-N=[3-(trifluoromethyl)phenyl]-] Fluorine	1.0	13463-40-6	Iron pentacarbonyl	1.0
51-21-8	Fluorouracil (5-Fluorouracil)	1.0	78-84-2	Isobutyraldehyde	1.0
69409-94-5	Fluvalinate	1.0	465-73-6	Isodrin	*
133-07-3	[N-[2-Chloro-4-(trifluoromethyl)phenyl]-DL-valine(+)-cyano(3-phenoxyphenyl)methyl ester] Folpet	1.0	25311-71-1	Isofenphos[2-[[Ethoxyl][(1-methylethyl)amino]phosphinothioyl]oxy] benzoic acid 1-methylethyl ester]	1.0
72178-02-0	Fomesafen	1.0	78-79-5	Isoprene	0.1
50-00-0	[5-(2-Chloro-4-(trifluoromethyl)phenoxy)-N-methylsulfonyl-2-nitrobenzamide] Formaldehyde	0.1	67-63-0	Isopropyl alcohol	1.0
64-18-6	Formic acid	1.0		(only persons who manufacture by the strong acid process are subject, no supplier notification)	
76-13-1	Freon 113	1.0	80-05-7	4,4'-Isopropylidenediphenol	1.0
110-00-9	[Ethane, 1,1,2-trichloro-1,2,2,-trifluoro-] Furan	0.1	120-58-1	Isosafrole	1.0
556-52-5	Glycidol	0.1	77501-63-4	Lactofen	1.0
76-44-8	Heptachlor	*		[Benzoic acid, 5-[2-Chloro-4-(trifluoromethyl)phenoxy]-2-nitro-, 2-ethoxy-1-methyl-2-oxoethyl ester]	
118-74-1	[1,4,5,6,7,8,8-Heptachloro-3a, 4,7,7a-tetrahydro-4,7-methano-1H-indene] Hexachlorobenzene	*	7439-92-1	Lead	*
87-68-3	Hexachloro-1,3-butadiene	1.0		(when lead is contained in stainless steel, brass or bronze alloys the <i>de minimis</i> level is 0.1)	
319-84-6	alpha-Hexachlorocyclohexane	0.1	58-89-9	Lindane	0.1
77-47-4	Hexachlorocyclopentadiene	1.0		[Cyclohexane, 1,2,3,4,5,6-hexachloro-, (1.alpha.,2.alpha.,3.beta.,4.alpha.,5.alpha.,6.beta.)-]	
67-72-1	Hexachloroethane	0.1	330-55-2	Linuron	1.0
1335-87-1	Hexachloronaphthalene	1.0	554-13-2	Lithium carbonate	1.0
70-30-4	Hexachlorophene	1.0	121-75-5	Malathion	1.0
680-31-9	Hexamethylphosphoramide	0.1	108-31-6	Maleic anhydride	1.0
110-54-3	n-Hexane	1.0	109-77-3	Malononitrile	1.0
51235-04-2	Hexazinone	1.0	12427-38-2	Maneb	1.0
67485-29-4	Hydramethylnon	1.0		[Carbamodithioic acid, 1,2-ethanediybis-, manganese complex]	
302-01-2	[Tetrahydro-5,5-dimethyl-2(1H)-pyrimidinone[3-[4-(trifluoromethyl)phenyl]-1-[2-[4-(trifluoromethyl)phenyl]ethenyl]-2-propenyldiene]hydrazone] Hydrazine	0.1	7439-96-5	Manganese	1.0
10034-93-2	Hydrazine sulfate	0.1	93-65-2	Mecoprop	0.1
7647-01-0	Hydrochloric acid	1.0	149-30-4	2-Mercaptobenzothiazole (MBT)	1.0
			7439-97-6	Mercury	*
			150-50-5	Merphos	1.0
			126-98-7	Methacrylonitrile	1.0
			137-42-8	Metham sodium (Sodium methylldithiocarbamate)	1.0
			67-56-1	Methanol	1.0
			20354-26-1	Methazole	1.0
				[2-(3,4-Dichlorophenyl)-4-methyl-1,2,4-oxadiazolidine-3,5-dione]	
			2032-65-7	Methiocarb	1.0
			94-74-6	Methoxone	0.1

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CAS Number	Chemical Name	Deminimis % Limit	CAS Number	Chemical Name	Deminimis % Limit
	((4-Chloro-2-methylphenoxy) acetic acid) (MCPA)		91-23-6	o-Nitroanisole	0.1
3653-48-3	Methoxone sodium salt	0.1	99-59-2	5-Nitro-o-anisidine	1.0
	((4-Chloro-2-methylphenoxy) acetate sodium salt)		98-95-3	Nitrobenzene	0.1
72-43-5	Methoxychlor	*	92-93-3	4-Nitrobiphenyl	0.1
	[Benzene, 1,1'-(2,2,2- trichloroethylidene)bis[4-methoxy-]		1836-75-5	Nitrofen	0.1
109-86-4	2-Methoxyethanol	1.0	51-75-2	[Benzene, 2,4-dichloro-1-(4-nitrophenoxy)-] Nitrogen mustard	0.1
96-33-3	Methyl acrylate	1.0		[2-Chloro-N-(2-chloroethyl)-N- methylethanamine]	
1634-04-4	Methyl tert-butyl ether	1.0	55-63-0	Nitroglycerin	1.0
79-22-1	Methyl chlorocarbonate	1.0	75-52-5	Nitromethane	0.1
101-14-4	4,4'-Methylenebis(2-chloroaniline) (MBOCA)	0.1	88-75-5	2-Nitrophenol	1.0
101-61-1	4,4'-Methylenebis(N,N-dimethyl) benzenamine	0.1	100-02-7	4-Nitrophenol	1.0
74-95-3	Methylene bromide	1.0	79-46-9	2-Nitropropane	0.1
101-77-9	4,4'-Methylenedianiline	0.1	924-16-3	N-Nitrosodi-n-butylamine	0.1
93-15-2	Methyleugenol	0.1	55-18-5	N-Nitrosodiethylamine	0.1
60-34-4	Methyl hydrazine	1.0	62-75-9	N-Nitrosodimethylamine	0.1
74-88-4	Methyl iodide	1.0	86-30-6	N-Nitrosodiphenylamine	1.0
108-10-1	Methyl isobutyl ketone	1.0	156-10-5	p-Nitrosodiphenylamine	1.0
624-83-9	Methyl isocyanate	1.0	621-64-7	N-Nitrosodi-n-propylamine	0.1
556-61-6	Methyl isothiocyanate	1.0	759-73-9	N-Nitroso-N-ethylurea	0.1
	[Isothiocyanatomethane]		684-93-5	N-Nitroso-N-methylurea	0.1
75-86-5	2-Methylacetonitrile	1.0	4549-40-0	N-Nitrosomethylvinylamine	0.1
80-62-6	Methyl methacrylate	1.0	59-89-2	N-Nitrosomorpholine	0.1
924-42-5	N-Methylolacrylamide	1.0	16543-55-8	N-Nitrosornicotine	0.1
298-00-0	Methyl parathion	1.0	100-75-4	N-Nitrosopiperidine	0.1
109-06-8	2-Methylpyridine	1.0	99-55-8	5-Nitro-o-toluidine	1.0
872-50-4	N-Methyl-2-pyrrolidone	1.0	27314-13-2	Norflurazon	1.0
9006-42-2	Metiram	1.0		[4-Chloro-5-(methylamino)-2-[3- (trifluoromethyl)phenyl]-3(2H)- pyridazinone]	
21087-64-9	Metribuzin	1.0	2234-13-1	Octachloronaphthalene	1.0
7786-34-7	Mevinphos	1.0	29082-74-4	Octachlorostyrene	*
90-94-8	Michler's ketone	0.1	19044-88-3	Oryzalin	1.0
2212-67-1	Molinate	1.0		[4-(Dipropylamino)-3,5-dinitrobenzene sulfonamide]	
	(1H-Azepine-1-carbothioic acid, hexahydro- , S-ethyl ester)		20816-12-0	Osmium tetroxide	1.0
1313-27-5	Molybdenum trioxide	1.0	301-12-2	Oxydemeton methyl	1.0
76-15-3	Monochloropentafluoroethane (CFC-115)	1.0		[S-(2-(Ethylsulfinyl)ethyl) O,O-dimethyl ester phosphorothioic acid]	
150-68-5	Monuron	1.0	19666-30-9	Oxydiazon	1.0
505-60-2	Mustard gas	0.1		[3-[2,4-Dichloro-5-(1- methylethoxy)phenyl]- 5-(1,1- dimethylethyl)-1,3,4-oxadiazol-2(3H)-one]	
88671-89-0	Myclobutanil	1.0	42874-03-3	Oxyfluorfen	1.0
	[.alpha.-Butyl-.alpha.-(4-chlorophenyl)-1H- 1,2,4-triazole-1-propanenitrile]		10028-15-6	Ozone	1.0
142-59-6	Nabam	1.0	123-63-7	Paraldehyde	1.0
300-76-5	Naled	1.0	1910-42-5	Paraquat dichloride	1.0
91-20-3	Naphthalene	0.1	56-38-2	Parathion	1.0
134-32-7	alpha-Naphthylamine	0.1		[Phosphorothioic acid, O,O-diethyl-O-(4- nitrophenyl)ester]	
91-59-8	beta-Naphthylamine	0.1	1114-71-2	Pebulate	1.0
7440-02-0	Nickel	0.1		[Butylethylcarbamothioic acid S-propyl ester]	
1929-82-4	Nitrapyrin	1.0	40487-42-1	Pendimethalin	*
	(2-Chloro-6-(trichloromethyl)pyridine)			[N-(1-Ethylpropyl)-3,4-dimethyl-2,6- dinitrobenzenamine]	
7697-37-2	Nitric acid	1.0	608-93-5	Pentachlorobenzene	*
139-13-9	Nitrilotriacetic acid	0.1			
100-01-6	p-Nitroaniline	1.0			

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CAS Number	Chemical Name	Deminimis % Limit	CAS Number	Chemical Name	Deminimis % Limit
76-01-7	Pentachloroethane	1.0	31218-83-4	Propetamphos [3-[(Ethylamino)methoxyphosphinothioyl] oxy]-2-butenic acid, 1-methylethyl ester]	1.0
87-86-5	Pentachlorophenol (PCP)	0.1	60207-90-1	Propiconazole [1-[2-(2,4-Dichlorophenyl)-4-propyl-1,3- dioxolan-2-yl]-methyl-1H-1,2,4,-triazole]	1.0
57-33-0	Pentobarbital sodium	1.0	57-57-8	beta-Propiolactone	0.1
79-21-0	Peracetic acid	1.0	123-38-6	Propionaldehyde	1.0
594-42-3	Perchloromethyl mercaptan	1.0	114-26-1	Propoxur [Phenol, 2-(1-methylethoxy)-, methylcarbamate]	1.0
52645-53-1	Permethrin [3-(2,2-Dichloroethenyl)-2,2- dimethylcyclopropanecarboxylic acid, (3- phenoxyphenyl) methyl ester]	1.0	115-07-1	Propylene (Propene)	1.0
85-01-8	Phenanthrene	1.0	75-55-8	Propyleneimine	0.1
108-95-2	Phenol	1.0	75-56-9	Propylene oxide	0.1
77-09-8	Phenolphthalein	0.1	110-86-1	Pyridine	1.0
26002-80-2	Phenothrin [2,2-Dimethyl-3-(2-methyl-1- propenyl)cyclopropanecarboxylic acid (3- phenoxyphenyl)methyl ester]	1.0	91-22-5	Quinoline	1.0
95-54-5	1,2-Phenylenediamine	1.0	106-51-4	Quinone	1.0
108-45-2	1,3-Phenylenediamine	1.0	82-68-8	Quintozene (Pentachloronitrobenzene)	1.0
106-50-3	p-Phenylenediamine	1.0	76578-14-8	Quizalofop-ethyl [2-[4-[(6-Chloro-2- quinoxalinyloxy]phenoxy] propanoic acid ethyl ester]	1.0
615-28-1	1,2-Phenylenediamine dihydro- chloride	1.0	10453-86-8	Resmethrin [[5-(Phenylmethyl)-3-furanyl]methyl-2,2- dimethyl-3-(2-methyl-1-propenyl) cyclopropanecarboxylate]	1.0
624-18-0	1,4-Phenylenediamine dihydro- chloride	1.0	81-07-2	Saccharin (only persons who manufacture are subject, no supplier notification)	1.0
90-43-7	2-Phenylphenol	1.0	94-59-7	Safrole	0.1
57-41-0	Phenytol	0.1	7782-49-2	Selenium	1.0
75-44-5	Phosgene	1.0	74051-80-2	Sethoxydim [2-[1-(Ethoxyimino)butyl]-5-[2- (ethylthio)propyl]-3-hydroxyl-2-cyclohexen- 1-one]	1.0
7803-51-2	Phosphine	1.0	7440-22-4	Silver	1.0
7723-14-0	Phosphorus (yellow or white)	1.0	122-34-9	Simazine	1.0
85-44-9	Phthalic anhydride	1.0	26628-22-8	Sodium azide	1.0
1918-02-1	Picloram	1.0	1982-69-0	Sodium dicamba [3,6-Dichloro-2-methoxybenzoic acid, sodium salt]	1.0
88-89-1	Picric acid	1.0	128-04-1	Sodium dimethyldithiocarbamate	1.0
51-03-6	Piperonyl butoxide	1.0	62-74-8	Sodium fluoroacetate	1.0
29232-93-7	Pirimiphos methyl [O-(2-(Diethylamino)-6-methyl-4- pyrimidinyl)-O,O- dimethylphosphorothioate]	1.0	7632-00-0	Sodium nitrite	1.0
1336-36-3	Polychlorinated biphenyls (PCBs)	*	131-52-2	Sodium pentachlorophenate	1.0
7758-01-2	Potassium bromate	0.1	132-27-4	Sodium o-phenylphenoxide	0.1
128-03-0	Potassium dimethyldithio- carbamate	1.0	100-42-5	Styrene	0.1
137-41-7	Potassium N-methyldithio- carbamate	1.0	96-09-3	Styrene oxide	0.1
41198-08-7	Profenofos [O-(4-Bromo-2-chlorophenyl)-O-ethyl-S- propyl phosphorothioate]	1.0	7664-93-9	Sulfuric acid (acid aerosols including mists, vapors, gas, fog, and other airborne forms of any particle size)	1.0
7287-19-6	Prometryn [N,N'-Bis(1-methylethyl)-6-methylthio- 1,3,5-triazine-2,4-diamine]	1.0	2699-79-8	Sulfuryl fluoride (Vikane)	1.0
23950-58-5	Pronamide	1.0	35400-43-2	Sulprofos [O-Ethyl O-[4-(methylthio)phenyl] phosphorodithioic acid S-propylester]	1.0
1918-16-7	Propachlor [2-Chloro-N-(1-methylethyl)-N- phenylacetamide]	1.0	34014-18-1	Tebuthiuron	1.0
1120-71-4	Propane sultone	0.1			
709-98-8	Propanil [N-(3,4-Dichlorophenyl)propanamide]	1.0			
2312-35-8	Propargite	1.0			
107-19-7	Propargyl alcohol	1.0			

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CAS Number	Chemical Name	Deminimis % Limit	CAS Number	Chemical Name	Deminimis % Limit
	[N-[5-(1,1-Dimethylethyl)-1,3,4-thiadiazol-2-yl]-N,N'-dimethylurea]			aziridinyl)-]	
3383-96-8	Temephos	1.0	101200-48-0	Tribenuron methyl	1.0
5902-51-2	Terbacil	1.0		[2-[[[[[4-Methoxy-6-methyl-1,3,5-triazin-2-yl)-methylamino]-carbonyl]amino]sulfonyl]benzoic acid methyl ester)	
	[5-Chloro-3-(1,1-dimethylethyl)-6-methyl-2,4(1H,3H)-pyrimidinedione]		1983-10-4	Tributyltin fluoride	1.0
79-94-7	Tetrabromobisphenol A	*	2155-70-6	Tributyltin methacrylate	1.0
630-20-6	1,1,1,2-Tetrachloroethane	1.0	78-48-8	S,S,S-Tributyltrithio-phosphate (DEF)	1.0
79-34-5	1,1,2,2-Tetrachloroethane	1.0	52-68-6	Trichlorfon	1.0
127-18-4	Tetrachloroethylene (Perchloroethylene)	0.1		[Phosphoric acid,(2,2,2-trichloro-1-hydroxyethyl)-, dimethyl ester]	
354-11-0	1,1,1,2-Tetrachloro-2-fluoroethane (HCFC-121a)	1.0	76-02-8	Trichloroacetyl chloride	1.0
354-14-3	1,1,2,2-Tetrachloro-1-fluoroethane (HCFC-121)	1.0	120-82-1	1,2,4-Trichlorobenzene	1.0
961-11-5	Tetrachlorvinphos	1.0	71-55-6	1,1,1-Trichloroethane (Methyl chloroform)	1.0
	[Phosphoric acid, 2-chloro-1-(2,4,5-trichlorophenyl) ethenyl dimethyl ester]		79-00-5	1,1,2-Trichloroethane	1.0
64-75-5	Tetracycline hydrochloride	1.0	79-01-6	Trichloroethylene	0.1
116-14-3	Tetrafluoroethylene	0.1	75-69-4	Trichlorofluoromethane (CFC-11)	1.0
509-14-8	Tetranitromethane	0.1	95-95-4	2,4,5-Trichlorophenol	1.0
7696-12-0	Tetramethrin	1.0	88-06-2	2,4,6-Trichlorophenol	0.1
	[2,2-Dimethyl-3-(2-methyl-1-propenyl) cyclopropanecarboxylic acid (1,3,4,5,6,7-hexahydro-1,3-dioxo-2H-isoindol-2-yl)methyl ester]		96-18-4	1,2,3-Trichloropropane	0.1
7440-28-0	Thallium	1.0	57213-69-1	Triclopyr triethylammonium salt	1.0
148-79-8	Thiabenzazole	1.0	121-44-8	Triethylamine	1.0
	[2-(4-Thiazolyl)-1H-benzimidazole]		1582-09-8	Trifluralin	*
62-55-5	Thioacetamide	0.1		[Benzeneamine, 2,6-dinitro-N,N-dipropyl-4-(trifluoromethyl)-]	
28249-77-6	Thiobencarb	1.0	26644-46-2	Triforine	1.0
	[Carbamic acid, diethylthio-, S-(p-chlorobenzyl)ester]			[N,N'-[1,4-Piperazinediylbis-(2,2,2-trichloroethylidene)]bisformamide]	
139-65-1	4,4'-Thiodianiline	0.1	95-63-6	1,2,4-Trimethylbenzene	1.0
59669-26-0	Thiodicarb	1.0	2655-15-4	2,3,5-Trimethylphenyl methylcarbamate	1.0
23564-06-9	Thiophanate ethyl	1.0	639-58-7	Triphenyltin chloride	1.0
	[[1,2-Phenylenebis(iminocarbonothioyl)] biscarbamic acid diethylester]		76-87-9	Triphenyltin hydroxide	1.0
23564-05-8	Thiophanate methyl	1.0	126-72-7	Tris(2,3-dibromopropyl) phosphate	0.1
79-19-6	Thiosemicarbazide	1.0	72-57-1	Trypan blue	0.1
62-56-6	Thiourea	0.1	51-79-6	Urethane (Ethyl carbamate)	0.1
137-26-8	Thiram	1.0	7440-62-2	Vanadium (except when contained in an alloy)	1.0
1314-20-1	Thorium dioxide	1.0	50471-44-8	Vinclozolin	1.0
7550-45-0	Titanium tetrachloride	1.0		[3-(3,5-Dichlorophenyl)-5-ethenyl-5-methyl-2,4-oxazolidinedione]	
108-88-3	Toluene	1.0	108-05-4	Vinyl acetate	0.1
584-84-9	Toluene-2,4-diisocyanate	0.1	593-60-2	Vinyl bromide	0.1
91-08-7	Toluene-2,6-diisocyanate	0.1	75-01-4	Vinyl chloride	0.1
26471-62-5	Toluene diisocyanate (mixed isomers)	0.1	75-02-5	Vinyl fluoride	0.1
95-53-4	o-Toluidine	0.1	75-35-4	Vinylidene chloride	1.0
636-21-5	o-Toluidine hydrochloride	0.1	108-38-3	m-Xylene	1.0
8001-35-2	Toxaphene	*	95-47-6	o-Xylene	1.0
43121-43-3	Triadimefon	1.0	106-42-3	p-Xylene	1.0
	[1-(4-Chlorophenoxy)-3,3-di-methyl-1-(1H-1,2,4- triazol-1-yl)-2-butanone]		1330-20-7	Xylene (mixed isomers)	1.0
2303-17-5	Triallate	1.0	87-62-7	2,6-Xylidine	0.1
68-76-8	Triaziquone	1.0	7440-66-6	Zinc (fume or dust)	1.0
	[2,5-Cyclohexadiene-1,4-dione, 2,3,5-tris(1-		12122-67-7	Zineb	1.0
				[Carbamodithioic acid, 1,2-ethanediyibis-, zinc complex]	

**Table II. EPCRA Section 313 Chemical List – RY2011**

**b. Individually Listed Toxic Chemicals Arranged by CAS Number**

		<i>De minimis</i>
CAS Number	Chemical Name	% Limit
<i>Arranged by CAS Number</i>		
50-00-0	Formaldehyde	0.1
51-03-6	Piperonyl butoxide	1.0
51-21-8	Fluorouracil (5-Fluorouracil)	1.0
51-28-5	2,4-Dinitrophenol	1.0
51-75-2	Nitrogen mustard	0.1
	[2-Chloro-N-(2-chloroethyl)-N-methylethanamine]	
51-79-6	Urethane (Ethyl carbamate)	0.1
52-68-6	Trichlorfon	1.0
	[Phosphonic acid, (2,2,2-trichloro-1-hydroxyethyl)-, dimethyl ester]	
52-85-7	Famphur	1.0
53-96-3	2-Acetylaminofluorene	0.1
55-18-5	N-Nitrosodiethylamine	0.1
55-21-0	Benzamide	1.0
55-38-9	Fenthion	1.0
	[O,O-Dimethyl O-[3-methyl-4-(methylthio)phenyl] ester, phosphorothioic acid]	
55-63-0	Nitroglycerin	1.0
56-23-5	Carbon tetrachloride	0.1
56-35-9	Bis(tributyltin) oxide	1.0
56-38-2	Parathion	1.0
	[Phosphorothioic acid, O,O-diethyl-O-(4-nitrophenyl) ester]	
57-14-7	1,1-Dimethylhydrazine	0.1
57-33-0	Pentobarbital sodium	1.0
57-41-0	Phenytoin	0.1
57-57-8	beta-Propiolactone	0.1
57-74-9	Chlordane	*
	[4,7-Methanoindan, 1,2,4,5,6,7,8,8-octachloro-2,3,3a,4,7,7a-hexahydro-]	
58-89-9	Lindane	0.1
	[Cyclohexane, 1,2,3,4,5,6-hexachloro-, (1.alpha.,2.alpha.,3.beta.,4.alpha,5.alpha.,6.beta.)-]	
59-89-2	N-Nitrosomorpholine	0.1
60-09-3	4-Aminoazobenzene	0.1
60-11-7	4-Dimethylaminoazobenzene	0.1
60-34-4	Methyl hydrazine	1.0
60-35-5	Acetamide	0.1
60-51-5	Dimethoate	1.0
61-82-5	Amitrole	0.1
62-53-3	Aniline	1.0
62-55-5	Thioacetamide	0.1
62-56-6	Thiourea	0.1
62-73-7	Dichlorvos	0.1
	[Phosphoric acid, 2,2-dichloroethenyl dimethyl ester]	
62-74-8	Sodium fluoroacetate	1.0
62-75-9	N-Nitrosodimethylamine	0.1
63-25-2	Carbaryl	1.0
	[1-Naphthalenol, methylcarbamate]	

		<i>De minimis</i>
CAS Number	Chemical Name	% Limit
<i>Arranged by CAS Number</i>		
64-18-6	Formic acid	1.0
64-67-5	Diethyl sulfate	0.1
64-75-5	Tetracycline hydrochloride	1.0
67-56-1	Methanol	1.0
67-63-0	Isopropyl alcohol	1.0
	(only persons who manufacture by the strong acid process are subject, no supplier notification)	
67-66-3	Chloroform	0.1
67-72-1	Hexachloroethane	0.1
68-12-2	N,N-Dimethylformamide	1.0
68-76-8	Triaziquone	1.0
	[2,5-Cyclohexadiene-1,4-dione, 2,3,5-tris(1-aziridinyl)-]	
70-30-4	Hexachlorophene	1.0
71-36-3	n-Butyl alcohol	1.0
71-43-2	Benzene	0.1
71-55-6	1,1,1-Trichloroethane (Methyl chloroform)	1.0
72-43-5	Methoxychlor	*
	[Benzene, 1,1'-(2,2,2-trichloroethylidene)bis[4-methoxy-]	
72-57-1	Trypan blue	0.1
74-83-9	Bromomethane (Methyl bromide)	1.0
74-85-1	Ethylene	1.0
74-87-3	Chloromethane (Methyl chloride)	1.0
74-88-4	Methyl iodide	1.0
74-90-8	Hydrogen cyanide	1.0
74-95-3	Methylene bromide	1.0
75-00-3	Chloroethane (Ethyl chloride)	1.0
75-01-4	Vinyl chloride	0.1
75-02-5	Vinyl fluoride	0.1
75-05-8	Acetonitrile	1.0
75-07-0	Acetaldehyde	0.1
75-09-2	Dichloromethane (Methylene chloride)	0.1
75-15-0	Carbon disulfide	1.0
75-21-8	Ethylene oxide	0.1
75-25-2	Bromoform (Tribromomethane)	1.0
75-27-4	Dichlorobromomethane	0.1
75-34-3	Ethylidene dichloride	1.0
75-35-4	Vinylidene chloride	1.0
75-43-4	Dichlorodifluoromethane (HCFC-21)	1.0
75-44-5	Phosgene	1.0
75-45-6	Chlorodifluoromethane (HCFC-22)	1.0
75-52-5	Nitromethane	0.1
75-55-8	Propyleneimine	0.1
75-56-9	Propylene oxide	0.1
75-63-8	Bromotrifluoromethane (Halon 1301)	1.0
75-65-0	tert-Butyl alcohol	1.0
75-68-3	1-Chloro-1,1-difluoroethane (HCFC-142b)	1.0
75-69-4	Trichlorofluoromethane (CFC-11)	1.0
75-71-8	Dichlorodifluoromethane	1.0

**Table II. EPCRA Section 313 Chemical List – RY2011**

<i>De minimis</i>			<i>De minimis</i>		
CAS Number	Chemical Name	% Limit	CAS Number	Chemical Name	% Limit
<i>Arranged by CAS Number</i>			<i>Arranged by CAS Number</i>		
	(CFC-12)		87-86-5	Pentachlorophenol (PCP)	0.1
75-72-9	Chlorotrifluoromethane (CFC-13)	1.0	88-06-2	2,4,6-Trichlorophenol	0.1
75-86-5	2-Methylacetonitrile	1.0	88-75-5	2-Nitrophenol	1.0
75-88-7	2-Chloro-1,1,1-trifluoroethane	1.0	88-85-7	Dinitrobutyl phenol (Dinoseb)	1.0
	(HCFC-133a)		88-89-1	Picric acid	1.0
76-01-7	Pentachloroethane	1.0	90-04-0	o-Anisidine	0.1
76-02-8	Trichloroacetyl chloride	1.0	90-43-7	2-Phenylphenol	1.0
76-06-2	Chloropicrin	1.0	90-94-8	Michler's ketone	0.1
76-13-1	Freon 113	1.0	91-08-7	Toluene-2,6-diisocyanate	0.1
	[Ethane, 1,1,2-trichloro-1,2,2,-trifluoro-]		91-20-3	Naphthalene	0.1
76-14-2	Dichlorotetrafluoroethane	1.0	91-22-5	Quinoline	1.0
	(CFC-114)		91-23-6	o-Nitroanisole	0.1
76-15-3	Monochloropentafluoroethane	1.0	91-59-8	beta-Naphthylamine	0.1
	(CFC-115)		91-94-1	3,3'-Dichlorobenzidine	0.1
76-44-8	Heptachlor	*	92-52-4	Biphenyl	1.0
	[1,4,5,6,7,8,8-Heptachloro-3a,4,7,7a-tetrahydro-4,7-methano-1H-indene]		92-67-1	4-Aminobiphenyl	0.1
76-87-9	Triphenyltin hydroxide	1.0	92-87-5	Benzidine	0.1
77-09-8	Phenolphthalein	0.1	92-93-3	4-Nitrobiphenyl	0.1
77-47-4	Hexachlorocyclopentadiene	1.0	93-15-2	Methyleugenol	0.1
77-73-6	Dicyclopentadiene	1.0	93-65-2	Mecoprop	0.1
77-78-1	Dimethyl sulfate	0.1	94-11-1	2,4-D isopropyl ester	0.1
78-48-8	S,S,S-Tributyltrithiophosphate (DEF)	1.0	94-36-0	Benzoyl peroxide	1.0
			94-58-6	Dihydrosafrole	0.1
78-79-5	Isoprene	0.1	94-59-7	Safrole	0.1
78-84-2	Isobutyraldehyde	1.0	94-74-6	Methoxone	0.1
78-87-5	1,2-Dichloropropane	1.0		((4-Chloro-2-methylphenoxy) acetic acid)	
78-88-6	2,3-Dichloropropene	1.0		(MCPA)	
78-92-2	sec-Butyl alcohol	1.0	94-75-7	2,4-D [Acetic acid, (2,4-dichlorophenoxy)-]	0.1
79-00-5	1,1,2-Trichloroethane	1.0			
79-01-6	Trichloroethylene	0.1	94-80-4	2,4-D butyl ester	0.1
79-06-1	Acrylamide	0.1	94-82-6	2,4-DB	1.0
79-10-7	Acrylic acid	1.0	95-47-6	o-Xylene	1.0
79-11-8	Chloroacetic acid	1.0	95-48-7	o-Cresol	1.0
79-19-6	Thiosemicarbazide	1.0	95-50-1	1,2-Dichlorobenzene	1.0
79-21-0	Peracetic acid	1.0	95-53-4	o-Toluidine	0.1
79-22-1	Methyl chlorocarbonate	1.0	95-54-5	1,2-Phenylenediamine	1.0
79-34-5	1,1,2,2-Tetrachloroethane	1.0	95-63-6	1,2,4-Trimethylbenzene	1.0
79-44-7	Dimethylcarbamyl chloride	0.1	95-69-2	p-Chloro-o-toluidine	0.1
79-46-9	2-Nitropropane	0.1	95-80-7	2,4-Diaminotoluene	0.1
79-94-7	Tetrabromobisphenol A	*	95-95-4	2,4,5-Trichlorophenol	1.0
80-05-7	4,4'-Isopropylidenediphenol	1.0	96-09-3	Styrene oxide	0.1
80-15-9	Cumene hydroperoxide	1.0	96-12-8	1,2-Dibromo-3-chloropropane (DBCP)	0.1
80-62-6	Methyl methacrylate	1.0			
81-07-2	Saccharin (only persons who manufacture are subject, no supplier notification)	1.0	96-18-4	1,2,3-Trichloropropane	0.1
			96-33-3	Methyl acrylate	1.0
81-49-2	1-Amino-2,4-dibromoanthraquinone	0.1	96-45-7	Ethylene thiourea	0.1
81-88-9	C.I. Food Red 15	1.0	97-23-4	Dichlorophene	1.0
82-28-0	1-Amino-2-methylanthraquinone	0.1		[2,2'-Methylenebis(4-chlorophenol)]	
82-68-8	Quintozene	1.0	97-56-3	C.I. Solvent Yellow 3	0.1
	[Pentachloronitrobenzene]		98-07-7	Benzoic trichloride (Benzotrichloride)	0.1
84-74-2	Dibutyl phthalate	1.0			
85-01-8	Phenanthrene	1.0	98-82-8	Cumene	1.0
85-44-9	Phthalic anhydride	1.0	98-86-2	Acetophenone	1.0
86-30-6	N-Nitrosodiphenylamine	1.0	98-87-3	Benzal chloride	1.0
87-62-7	2,6-Xylidine	0.1	98-88-4	Benzoyl chloride	1.0
87-68-3	Hexachloro-1,3-butadiene	1.0	98-95-3	Nitrobenzene	0.1
			99-30-9	Dichloran [2,6-Dichloro-4-nitroaniline]	1.0

**Table II. EPCRA Section 313 Chemical List – RY2011**

<i>De minimis</i>			<i>De minimis</i>		
CAS Number	Chemical Name	% Limit	CAS Number	Chemical Name	% Limit
<i>Arranged by CAS Number</i>			<i>Arranged by CAS Number</i>		
99-55-8	5-Nitro-o-toluidine	1.0	110-00-9	Furan	0.1
99-59-2	5-Nitro-o-anisidine	1.0	110-54-3	n-Hexane	1.0
99-65-0	m-Dinitrobenzene	1.0	110-57-6	trans-1,4-Dichloro-2-butene	1.0
100-01-6	p-Nitroaniline	1.0	110-80-5	2-Ethoxyethanol	1.0
100-02-7	4-Nitrophenol	1.0	110-82-7	Cyclohexane	1.0
100-25-4	p-Dinitrobenzene	1.0	110-86-1	Pyridine	1.0
100-41-4	Ethylbenzene	0.1	111-42-2	Diethanolamine	1.0
100-42-5	Styrene	0.1	111-44-4	Bis(2-chloroethyl) ether	1.0
100-44-7	Benzyl chloride	1.0	111-91-1	Bis(2-chloroethoxy) methane	1.0
100-75-4	N-Nitrosopiperidine	0.1	114-26-1	Propoxur	1.0
101-05-3	Anilazine	1.0		[Phenol, 2-(1-methylethoxy)-, methylcarbamate]	
	[4,6-Dichloro-N-(2-chlorophenyl)-1,3,5-triazin-2-amine]		115-07-1	Propylene (Propene)	1.0
101-14-4	4,4'-Methylenebis(2-chloroaniline) (MBOCA)	0.1	115-28-6	Chlorendic acid	0.1
101-61-1	4,4'-Methylenebis(N,N-dimethyl)benzenamine	0.1	115-32-2	Dicofol	1.0
				[Benzenemethanol, 4-chloro-.alpha.-4-(chlorophenyl)-.alpha.-(trichloromethyl)-]	
101-77-9	4,4'-Methylenedianiline	0.1	116-06-3	Aldicarb	1.0
101-80-4	4,4'-Diaminodiphenyl ether	0.1	116-14-3	Tetrafluoroethylene	0.1
101-90-6	Diglycidyl resorcinol ether	0.1	117-79-3	2-Aminoanthraquinone	0.1
104-12-1	p-Chlorophenyl isocyanate	1.0	117-81-7	Di(2-ethylhexyl) phthalate	0.1
104-94-9	p-Anisidine	1.0	118-74-1	Hexachlorobenzene	*
105-67-9	2,4-Dimethylphenol	1.0	119-90-4	3,3'-Dimethoxybenzidine	0.1
106-42-3	p-Xylene	1.0	119-93-7	3,3'-Dimethylbenzidine (o-Tolidine)	0.1
106-44-5	p-Cresol	1.0			
106-46-7	1,4-Dichlorobenzene	0.1	120-12-7	Anthracene	1.0
106-47-8	p-Chloroaniline	0.1	120-36-5	2,4-DP	0.1
106-50-3	p-Phenylenediamine	1.0	120-58-1	Isosafrole	1.0
106-51-4	Quinone	1.0	120-71-8	p-Cresidine	0.1
106-88-7	1,2-Butylene oxide	0.1	120-80-9	Catechol	0.1
106-89-8	Epichlorohydrin	0.1	120-82-1	1,2,4-Trichlorobenzene	1.0
106-93-4	1,2-Dibromoethane (Ethylene dibromide)	0.1	120-83-2	2,4-Dichlorophenol	1.0
			121-14-2	2,4-Dinitrotoluene	0.1
106-99-0	1,3-Butadiene	0.1	121-44-8	Triethylamine	1.0
107-02-8	Acrolein	1.0	121-69-7	N,N-Dimethylaniline	1.0
107-05-1	Allyl chloride	1.0	121-75-5	Malathion	1.0
107-06-2	1,2-Dichloroethane (Ethylene dichloride)	0.1	122-34-9	Simazine	1.0
			122-39-4	Diphenylamine	1.0
107-11-9	Allylamine	1.0	122-66-7	1,2-Diphenylhydrazine (Hydrazobenzene)	0.1
107-13-1	Acrylonitrile	0.1			
107-18-6	Allyl alcohol	1.0	123-31-9	Hydroquinone	1.0
107-19-7	Propargyl alcohol	1.0	123-38-6	Propionaldehyde	1.0
107-21-1	Ethylene glycol	1.0	123-63-7	Paraldehyde	1.0
107-30-2	Chloromethyl methyl ether	0.1	123-72-8	Butyraldehyde	1.0
108-05-4	Vinyl acetate	0.1	123-91-1	1,4-Dioxane	0.1
108-10-1	Methyl isobutyl ketone	1.0	124-40-3	Dimethylamine	1.0
108-31-6	Maleic anhydride	1.0	124-73-2	Dibromotetrafluoroethane (Halon 2402)	1.0
108-38-3	m-Xylene	1.0			
108-39-4	m-Cresol	1.0	126-72-7	Tris(2,3-dibromopropyl) phosphate	0.1
108-45-2	1,3-Phenylenediamine	1.0			
108-60-1	Bis(2-chloro-1-methylethyl) ether	1.0	126-98-7	Methacrylonitrile	1.0
108-88-3	Toluene	1.0	126-99-8	Chloroprene	0.1
108-90-7	Chlorobenzene	1.0	127-18-4	Tetrachloroethylene (Perchloroethylene)	0.1
108-93-0	Cyclohexanol	1.0			
108-95-2	Phenol	1.0	128-03-0	Potassium dimethyldithiocarbamate	1.0
109-06-8	2-Methylpyridine	1.0			
109-77-3	Malononitrile	1.0	128-04-1	Sodium dimethyldithiocarbamate	1.0
109-86-4	2-Methoxyethanol	1.0	128-66-5	C.I. Vat Yellow 4	1.0

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CAS Number	Chemical Name	<i>De minimis</i> % Limit	CAS Number	Chemical Name	<i>De minimis</i> % Limit
<i>Arranged by CAS Number</i>			<i>Arranged by CAS Number</i>		
131-11-3	Dimethyl phthalate	1.0	334-88-3	Diazomethane	1.0
131-52-2	Sodium pentachlorophenate	1.0	353-59-3	Bromochlorodifluoromethane (Halon 1211)	1.0
132-27-4	Sodium o-phenylphenoxide	0.1	354-11-0	1,1,1,2-Tetrachloro-2-fluoroethane (HCFC-121a)	1.0
132-64-9	Dibenzofuran	1.0	354-14-3	1,1,2,2-Tetrachloro-1-fluoroethane (HCFC-121)	1.0
133-06-2	Captan	1.0	354-23-4	1,2-Dichloro-1,1,2-trifluoroethane (HCFC-123a)	1.0
133-07-3	[1H-Isoindole-1,3(2H)-dione, 3a,4,7,7a-tetrahydro-2-[(trichloromethyl)thio]-] Folpet	1.0	354-25-6	1-Chloro-1,1,2,2-tetrafluoroethane (HCFC-124a)	1.0
133-90-4	Chloramben [Benzoic acid, 3-amino-2,5-dichloro-]	1.0	357-57-3	Brucine	1.0
134-29-2	o-Anisidine hydrochloride	0.1	422-44-6	1,2-Dichloro-1,1,2,3,3-pentafluoropropane (HCFC-225bb)	1.0
134-32-7	alpha-Naphthylamine	0.1	422-48-0	2,3-Dichloro-1,1,1,2,3-pentafluoropropane (HCFC-225ba)	1.0
135-20-6	Cupferron [Benzeneamine, N-hydroxy-N-nitroso, ammonium salt]	0.1	422-56-0	3,3-Dichloro-1,1,1,2,2-pentafluoropropane (HCFC-225ca)	1.0
136-45-8	Dipropyl isocinchomeronate	1.0	431-86-7	1,2-Dichloro-1,1,3,3,3-pentafluoropropane (HCFC-225da)	1.0
137-26-8	Thiram	1.0	460-35-5	3-Chloro-1,1,1-trifluoropropane (HCFC-253fb)	1.0
137-41-7	Potassium N-methyldithiocarbamate	1.0	463-58-1	Carbonyl sulfide	1.0
137-42-8	Metham sodium (Sodium methyldithiocarbamate)	1.0	465-73-6	Isodrin	*
138-93-2	Disodium cyanodithioimido-carbonate	1.0	492-80-8	C.I. Solvent Yellow 34 (Auramine)	0.1
139-13-9	Nitriilotriacetic acid	0.1	505-60-2	Mustard gas [Ethane, 1,1'-thiobis[2-chloro-]]	0.1
139-65-1	4,4'-Thiodianiline	0.1	507-55-1	1,3-Dichloro-1,1,2,2,3-pentafluoropropane (HCFC-225cb)	1.0
140-88-5	Ethyl acrylate	0.1	509-14-8	Tetranitromethane	0.1
141-32-2	Butyl acrylate	1.0	510-15-6	Chlorobenzilate [Benzeneacetic acid, 4-chloro-.alpha.-(4-chlorophenyl)-.alpha.-hydroxy-, ethyl ester]	1.0
142-59-6	Nabam	1.0	528-29-0	o-Dinitrobenzene	1.0
148-79-8	Thiabendazole [2-(4-Thiazolyl)-1H-benzimidazole]	1.0	532-27-4	2-Chloroacetophenone	1.0
149-30-4	2-Mercaptobenzothiazole (MBT)	1.0	533-74-4	Dazomet (Tetrahydro-3,5-dimethyl-2H-1,3,5-thiadiazine-2-thione)	1.0
150-50-5	Merphos	1.0	534-52-1	4,6-Dinitro-o-cresol	1.0
150-68-5	Monuron	1.0	540-59-0	1,2-Dichloroethylene	1.0
151-56-4	Ethyleneimine (Aziridine)	0.1	541-41-3	Ethyl chloroformate	1.0
156-10-5	p-Nitrosodiphenylamine	1.0	541-53-7	2,4-Dithiobiuret	1.0
156-62-7	Calcium cyanamide	1.0	541-73-1	1,3-Dichlorobenzene	1.0
191-24-2	Benzo(g,h,i)perylene	*	542-75-6	1,3-Dichloropropylene	0.1
298-00-0	Methyl parathion	1.0	542-76-7	3-Chloropropionitrile	1.0
300-76-5	Naled	1.0	542-88-1	Bis(chloromethyl) ether	0.1
301-12-2	Oxydemeton methyl [S-(2-(Ethylsulfinyl)ethyl) O,O-dimethyl ester phosphorothioic acid]	1.0	554-13-2	Lithium carbonate	1.0
302-01-2	Hydrazine	0.1	556-52-5	Glycidol	0.1
306-83-2	2,2-Dichloro-1,1,1-trifluoroethane (HCFC-123)	1.0	556-61-6	Methyl isothiocyanate [Isothiocyanatomethane]	1.0
309-00-2	Aldrin [1,4:5,8-Dimethanonaphthalene, 1,2,3,4,10,10-hexachloro-1,4,4a,5,8,8a-hexahydro-(1.alpha.,4.alpha.,4a.beta.,5.alpha.,8.alpha.,8a.beta.)-]	*	563-47-3	3-Chloro-2-methyl-1-propene	0.1
314-40-9	Bromacil (5-Bromo-6-methyl-3-(1-methylpropyl)-2,4(1H,3H)-pyrimidinedione)	1.0	569-64-2	C.I. Basic Green 4	1.0
319-84-6	alpha-Hexachlorocyclohexane	0.1	584-84-9	Toluene-2,4-diisocyanate	0.1
330-54-1	Diuron	1.0	593-60-2	Vinyl bromide	0.1
330-55-2	Linuron	1.0	594-42-3	Perchloromethyl mercaptan	1.0
333-41-5	Diazinon	1.0	606-20-2	2,6-Dinitrotoluene	0.1

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<i>Arranged by CAS Number</i>			<i>Arranged by CAS Number</i>		
608-93-5	Pentachlorobenzene	*	1634-04-4	dipropyl-4-(trifluoromethyl)- Methyl tert-butyl ether	1.0
612-82-8	3,3'-Dimethylbenzidine dihydrochloride (o-Tolidine dihydrochloride)	0.1	1649-08-7	1,2-Dichloro-1,1-difluoroethane (HCFC-132b)	1.0
612-83-9	3,3'-Dichlorobenzidine dihydrochloride	0.1	1689-84-5	Bromoxynil (3,5-Dibromo-4-hydroxybenzoxynil)	1.0
615-05-4	2,4-Diaminoanisole	0.1	1689-99-2	Bromoxynil octanoate (Octanoic acid, 2,6-dibromo-4- cyanophenyl ester)	1.0
615-28-1	1,2-Phenylenediamine dihydrochloride	1.0	1717-00-6	1,1-Dichloro-1-fluoroethane (HCFC-141b)	1.0
621-64-7	N-Nitrosodi-n-propylamine	0.1	1836-75-5	Nitrofen [Benzene, 2,4-dichloro-1-(4- nitrophenoxy)-]	0.1
624-18-0	1,4-Phenylenediamine dihydrochloride	1.0	1861-40-1	Benfluralin (N-Butyl-N-ethyl-2,6-dinitro-4- (trifluoromethyl)benzenamine)	1.0
624-83-9	Methyl isocyanate	1.0	1897-45-6	Chlorothalonil [1,3-Benzenedicarbonitrile, 2,4,5,6- tetrachloro-]	0.1
630-20-6	1,1,1,2-Tetrachloroethane	1.0	1910-42-5	Paraquat dichloride	1.0
636-21-5	o-Toluidine hydrochloride	0.1	1912-24-9	Atrazine (6-Chloro-N-ethyl-N'-(1-methylethyl)- 1,3,5-triazine-2,4-diamine)	1.0
639-58-7	Triphenyltin chloride	1.0	1918-00-9	Dicamba (3,6-Dichloro-2-methoxybenzoic acid)	1.0
680-31-9	Hexamethylphosphoramide	0.1	1918-02-1	Picloram	1.0
684-93-5	N-Nitroso-N-methylurea	0.1	1918-16-7	Propachlor [2-Chloro-N-(1-methylethyl)-N- phenylacetamide]	1.0
709-98-8	Propanil (N-(3,4-Dichlorophenyl) propanamide)	1.0	1928-43-4	2,4-D 2-ethylhexyl ester	0.1
759-73-9	N-Nitroso-N-ethylurea	0.1	1929-73-3	2,4-D butoxyethyl ester	0.1
759-94-4	Ethyl dipropylthiocarbamate (EPTC)	1.0	1929-82-4	Nitrapyrin (2-Chloro-6-(trichloromethyl)pyridine)	1.0
764-41-0	1,4-Dichloro-2-butene	1.0	1937-37-7	C.I. Direct Black 38	0.1
812-04-4	1,1-Dichloro-1,2,2-trifluoroethane (HCFC-123b)	1.0	1982-69-0	Sodium dicamba [3,6-Dichloro-2-methoxybenzoic acid, sodium salt]	1.0
834-12-8	Ametryn (N-Ethyl-N'-(1-methylethyl)-6- (methylthio)-1,3,5,-triazine-2,4-diamine)	1.0	1983-10-4	Tributyltin fluoride	1.0
842-07-9	C.I. Solvent Yellow 14	1.0	2032-65-7	Methiocarb	1.0
872-50-4	N-Methyl-2-pyrrolidone	1.0	2155-70-6	Tributyltin methacrylate	1.0
924-16-3	N-Nitrosodi-n-butylamine	0.1	2164-07-0	Dipotassium endothall [7-Oxabicyclo(2.2.1)heptane-2,3- dicarboxylic acid, dipotassium salt]	1.0
924-42-5	N-Methylolacrylamide	1.0	2164-17-2	Fluometuron [Urea, N,N-dimethyl-N'-(3- (trifluoromethyl)phenyl)-]	1.0
957-51-7	Diphenamid	1.0	2212-67-1	Molinate (1H-Azepine-1-carbothioic acid, hexahydro-S-ethyl ester)	1.0
961-11-5	Tetrachlorvinphos [Phosphoric acid, 2-chloro-1-(2,4,5- trichlorophenyl)ethenyldimethyl ester]	1.0	2234-13-1	Octachloronaphthalene	1.0
989-38-8	C.I. Basic Red 1	1.0	2300-66-5	Dimethylamine dicamba	1.0
1114-71-2	Pebulate [Butylethylcarbamothioic acid S-propyl ester]	1.0	2303-16-4	Diallate [Carbamothioic acid, bis(1-methylethyl)-S- (2,3-dichloro-2-propenyl) ester]	1.0
1120-71-4	Propane sultone	0.1	2303-17-5	Triallate	1.0
1134-23-2	Cycloate	1.0	2312-35-8	Propargite	1.0
1163-19-5	Decabromodiphenyl oxide	1.0			
1313-27-5	Molybdenum trioxide	1.0			
1314-20-1	Thorium dioxide	1.0			
1319-77-3	Cresol (mixed isomers)	1.0			
1320-18-9	2,4-D propylene glycol butyl ether ester	0.1			
1330-20-7	Xylene (mixed isomers)	1.0			
1332-21-4	Asbestos (friable)	0.1			
1335-87-1	Hexachloronaphthalene	1.0			
1336-36-3	Polychlorinated biphenyls (PCBs)	*			
1344-28-1	Aluminum oxide (fibrous forms)	1.0			
1464-53-5	Diepoxybutane	0.1			
1563-66-2	Carbofuran	1.0			
1582-09-8	Trifluralin [Benzeneamine, 2,6-dinitro-N,N-	*			

Table II. EPCRA Section 313 Chemical List – RY2011

CAS Number	Chemical Name	<i>De minimis</i> % Limit	CAS Number	Chemical Name	<i>De minimis</i> % Limit
<i>Arranged by CAS Number</i>			<i>Arranged by CAS Number</i>		
2439-01-2	Chinomethionat	1.0	7440-62-2	Vanadium (except when contained in an alloy)	1.0
2439-10-3	[6-Methyl-1,3-dithiolo[4,5-b]quinoxalin-2-one]	1.0	7440-66-6	Zinc (fume or dust)	1.0
2524-03-0	Dodine	1.0	7550-45-0	Titanium tetrachloride	1.0
2602-46-2	[Dodecylguanidine monoacetate]	1.0	7632-00-0	Sodium nitrite	1.0
2655-15-4	Dimethyl chlorothiophosphate	0.1	7637-07-2	Boron trifluoride	1.0
2699-79-8	C.I. Direct Blue 6	1.0	7647-01-0	Hydrochloric acid	1.0
2702-72-9	2,3,5-Trimethylphenyl methyl carbamate	1.0		(acid aerosols including mists, vapors, gas, fog, and other airborne forms of any particle size)	
2832-40-8	Sulfuryl fluoride (Vikane)	0.1	7664-39-3	Hydrogen fluoride	1.0
2837-89-0	2,4-D sodium salt	1.0	7664-41-7	Ammonia	1.0
2971-38-2	C.I. Disperse Yellow 3	1.0		(includes anhydrous ammonia and aqueous ammonia from water dissociable ammonium salts and other sources; 10 percent of total aqueous ammonia is reportable under this listing)	
3118-97-6	2-Chloro-1,1,1,2-tetrafluoroethane (HCFC-124)	0.1	7664-93-9	Sulfuric acid	1.0
3296-90-0	2,4-D Chlorocrotyl ester	1.0		(acid aerosols including mists, vapors, gas, fog, and other airborne forms of any particle size)	
3383-96-8	C.I. Solvent Orange 7	0.1	7696-12-0	Tetramethrin	1.0
3653-48-3	2,2-bis(Bromomethyl)-1,3-propanediol	1.0		[2,2-Dimethyl-3-(2-methyl-1-propenyl)cyclopropanecarboxylic acid (1,3,4,5,6,7-hexahydro-1,3-dioxo-2H-isoindol-2-yl)methyl ester]	
3761-53-3	Temephos	0.1	7697-37-2	Nitric acid	1.0
4080-31-3	Methoxone sodium salt ((4-Chloro-2-methylphenoxy) acetate sodium salt)	1.0	7723-14-0	Phosphorus (yellow or white)	1.0
4170-30-3	C.I. Food Red 5	0.1	7726-95-6	Bromine	1.0
4549-40-0	1-(3-Chloroallyl)-3,5,7-triaza-1-azoniaadamantane chloride	0.1	7758-01-2	Potassium bromate	0.1
4680-78-8	Crotonaldehyde	1.0	7782-41-4	Fluorine	1.0
5234-68-4	N-Nitrosomethylvinylamine	1.0	7782-49-2	Selenium	1.0
5598-13-0	C.I. Acid Green 3	1.0	7782-50-5	Chlorine	1.0
5902-51-2	Carboxin	1.0	7786-34-7	Mevinphos	1.0
6459-94-5	(5,6-Dihydro-2-methyl-N-phenyl-1,4-oxathiin-3-carboxamide)	1.0	7803-51-2	Phosphine	1.0
7287-19-6	Chlorpyrifos methyl	1.0	8001-35-2	Toxaphene	*
7429-90-5	[O,O-Dimethyl-O-(3,5,6-trichloro-2-pyridyl)phosphorothioate]	1.0	8001-58-9	Creosote	0.1
7439-92-1	Terbacil	1.0	9006-42-2	Metiram	1.0
7439-96-5	[5-Chloro-3-(1,1-dimethylethyl)-6-methyl-2,4(1H,3H)-pyrimidinedione]	1.0	10028-15-6	Ozone	1.0
7439-97-6	C.I. Acid Red 114	0.1	10034-93-2	Hydrazine sulfate	0.1
7440-02-0	Prometryn	1.0	10049-04-4	Chlorine dioxide	1.0
7440-22-4	[N,N'-Bis(1-methylethyl)-6-methylthio-1,3,5-triazine-2,4-diamine]	1.0	10061-02-6	trans-1,3-Dichloropropene	0.1
7440-28-0	Aluminum (fume or dust)	1.0	10294-34-5	Boron trichloride	1.0
7440-36-0	Lead	*	10453-86-8	Resmethrin	1.0
7440-38-2	(when lead is contained in stainless steel, brass or bronze alloys the <i>de minimis</i> level is 0.1)			[[5-(Phenylmethyl)-3-furanyl)methyl-2,2-dimethyl-3-(2-methyl-1-propenyl)cyclopropanecarboxylate]]	
7440-39-3	Manganese	1.0	12122-67-7	Zineb	1.0
7440-41-7	Mercury	*		[Carbamodithioic acid, 1,2-ethanediybis-, zinc complex]	
7440-43-9	Nickel	0.1	12427-38-2	Maneb	1.0
7440-47-3	Silver	1.0		[Carbamodithioic acid, 1,2-ethanediybis-, manganese complex]	
7440-48-4	Thallium	1.0	13194-48-4	Ethoprop	1.0
7440-50-8	Antimony	1.0		[Phosphorodithioic acid O-ethyl S,S-dipropyl ester]	
	Arsenic	0.1	13356-08-6	Fenbutatin oxide	1.0
	Barium	1.0		(Hexakis(2-methyl-2-phenylpropyl)	
	Beryllium	0.1			
	Cadmium	0.1			
	Chromium	1.0			
	Cobalt	0.1			
	Copper	1.0			

Table II. EPCRA Section 313 Chemical List – RY2011

CAS Number	Chemical Name	<i>De minimis</i> % Limit	CAS Number	Chemical Name	<i>De minimis</i> % Limit
<i>Arranged by CAS Number</i>			<i>Arranged by CAS Number</i>		
	distannoxane)		28057-48-9	d-trans-Allethrin	1.0
13463-40-6	Iron pentacarbonyl	1.0		[d-trans-Chrysanthemic acid of d- allethrine]	
13474-88-9	1,1-Dichloro-1,2,2,3,3- pentafluoropropane (HCFC-225cc)	1.0	28249-77-6	Thiobencarb	1.0
13684-56-5	Desmedipham	1.0		[Carbamic acid, diethylthio-, S-(p- chlorobenzyl)ester]	
14484-64-1	Ferbam	1.0	28407-37-6	C.I. Direct Blue 218	1.0
	[Tris(dimethylcarbamidithioato-S,S')iron]		29082-74-4	Octachlorostyrene	*
15972-60-8	Alachlor	1.0	29232-93-7	Pirimiphos methyl	1.0
16071-86-6	C.I. Direct Brown 95	0.1		[O-(2-(Diethylamino)-6-methyl-4- pyrimidiny)-O,O- dimethylphosphorothioate]	
16543-55-8	N-Nitrosornicotine	0.1	30560-19-1	Acephate	1.0
17804-35-2	Benomyl	1.0		(Acetylphosphoramidothioic acid O,S- dimethyl ester)	
19044-88-3	Oryzalin	1.0	31218-83-4	Propetamphos	1.0
	[4-(Dipropylamino)-3,5- dinitrobenzenesulfonamide]			[3-[(Ethylamino) methoxyphosphinothioyl]oxy]-2-butenic acid, 1-methylethyl ester]	
19666-30-9	Oxydiazon	1.0	33089-61-1	Amitraz	1.0
	[3-[2,4-Dichloro-5-(1-methylethoxy) phenyl]-5-(1,1-dimethylethyl)-1,3,4- oxadiazol-2(3H)-one]		34014-18-1	Tebuthiuron	1.0
20325-40-0	3,3'-Dimethoxybenzidine dihydrochloride (o-Dianisidine dihydrochloride)	0.1		[N-[5-(1,1-Dimethylethyl)-1,3,4- thiadiazol-2-yl]-N,N'-dimethylurea]	
20354-26-1	Methazole	1.0	34077-87-7	Dichlorotrifluoroethane	1.0
	[2-(3,4-Dichlorophenyl)-4-methyl-1,2,4- oxadiazolidine-3,5-dione]		35367-38-5	Diflubenzuron	1.0
20816-12-0	Osmium tetroxide	1.0	35400-43-2	Sulprofos	1.0
20859-73-8	Aluminum phosphide	1.0		[O-Ethyl O-[4-(methylthio)phenyl]- phosphorodithioic acid S-propyl ester]	
21087-64-9	Metribuzin	1.0	35554-44-0	Imazalil	1.0
21725-46-2	Cyanazine	1.0		[1-[2-(2,4-Dichlorophenyl)-2-(2- propenyloxy)ethyl]-1H-imidazole]	
22781-23-3	Bendiocarb	1.0	35691-65-7	1-Bromo-1-(bromomethyl)-1,3- propanedicarbonitrile	1.0
	[2,2-Dimethyl-1,3-benzodioxol-4-ol methylcarbamate]		38727-55-8	Diethyl ethyl	1.0
23564-05-8	Thiophanate methyl	1.0	39156-41-7	2,4-Diaminoanisole sulfate	0.1
23564-06-9	Thiophanate ethyl	1.0	39300-45-3	Dinocap	1.0
	[[1,2-Phenylenebis(iminocarbonothioyl)] biscarbamic acid diethyl ester]		39515-41-8	Fenpropathrin	1.0
23950-58-5	Pronamide	1.0		[2,2,3,3-Tetramethylcyclopropane carboxylic acid cyano(3- phenoxyphenyl)methyl ester]	
25311-71-1	Isofenphos	1.0	40487-42-1	Pendimethalin	*
	[2-[[Ethoxyl[(1-methylethyl)- amino]phosphinothioyl]oxy]benzoic acid 1-methylethyl ester]			[N-(1-Ethylpropyl)-3,4-dimethyl-2,6- dinitrobenzenamine]	
25321-14-6	Dinitrotoluene (mixed isomers)	1.0	41198-08-7	Profenofos	1.0
25321-22-6	Dichlorobenzene (mixed isomers)	0.1		[O-(4-Bromo-2-chlorophenyl)-O-ethyl-S- propyl phosphorothioate]	
25376-45-8	Diaminotoluene (mixed isomers)	0.1	41766-75-0	3,3'-Dimethylbenzidine	0.1
26002-80-2	Phenothrin	1.0		dihydrofluoride (o- Tolidinedihydrofluoride)	
	[2,2-Dimethyl-3-(2-methyl-1- propenyl)cyclopropanecarboxylic acid (3- phenoxyphenyl)methyl ester]		42874-03-3	Oxyfluorfen	1.0
26471-62-5	Toluene diisocyanate (mixed isomers)	0.1	43121-43-3	Triadimefon	1.0
26628-22-8	Sodium azide	1.0		[1-(4-Chlorophenoxy)-3,3-dimethyl-1-(1H- 1,2,4-triazol-1-yl)-2-butanone]	
26644-46-2	Triforine	1.0	50471-44-8	Vinclozolin	1.0
	[N,N'-[1,4-Piperazinediylbis (2,2,2- trichloroethylidene)]bisformamide]			[3-(3,5-Dichlorophenyl)-5-ethenyl-5- methyl-2,4-oxazolidinedione]	
27314-13-2	Norflurazon	1.0	51235-04-2	Hexazinone	1.0
	[4-Chloro-5-(methylamino)-2-[3- (trifluoromethyl)phenyl]-3(2H)- pyridazinone]		51338-27-3	Diclofop methyl	1.0

**Table II. EPCRA Section 313 Chemical List – RY2011**

CAS Number	Chemical Name	<i>De minimis</i> % Limit
<i>Arranged by CAS Number</i>		
51630-58-1	[2-[4-(2,4-Dichlorophenoxy)-phenoxy]propanoic acid, methyl ester] Fenvalerate	1.0
52645-53-1	[4-Chloro-alpha-(1-methylethyl)-benzeneacetic acid cyano(3-phenoxyphenyl)methyl ester] Permethrin	1.0
53404-19-6	[3-(2,2-Dichloroethenyl)-2,2-dimethylcyclopropane carboxylic acid, (3-phenoxyphenyl)methyl ester] Bromacil, lithium salt	1.0
53404-37-8	[2,4(1H,3H)-Pyrimidinedione, 5-bromo-6-methyl-3-(1-methylpropyl), lithium salt] 2,4-D 2-ethyl-4-methylpentyl ester	0.1
53404-60-7	Dazomet, sodium salt	1.0
55290-64-7	[Tetrahydro-3,5-dimethyl-2H-1,3,5-thiadiazine-2-thione, ion(1-), sodium] Dimethipin	1.0
55406-53-6	[2,3-Dihydro-5,6-dimethyl-1,4-dithiin 1,1,4,4-tetraoxide] 3-Iodo-2-propynyl butyl carbamate	1.0
57213-69-1	Triclopyr triethylammonium salt	1.0
59669-26-0	Thiodicarb	1.0
60168-88-9	Fenarimol	1.0
60207-90-1	[.alpha.-(2-Chlorophenyl)-.alpha.-(4-chlorophenyl)-5-pyrimidinemethanol] Propiconazole	1.0
62476-59-9	[1-[2-(2,4-Dichlorophenyl)-4-propyl-1,3-dioxolan-2-yl]-methyl-1H-1,2,4,-triazole] Acifluorfen, sodium salt	1.0
63938-10-3	[5-(2-Chloro-4-(trifluoromethyl)phenoxy)-2-nitrobenzoic acid, sodium salt] Chlorotetrafluoroethane	1.0
64902-72-3	Chlorsulfuron	1.0
64969-34-2	[2-Chloro-N-[[[(4-methoxy-6-methyl-1,3,5-triazin-2-yl)amino] carbonyl] benzenesulfonamide] 3,3'-Dichlorobenzidine sulfate	0.1
66441-23-4	Fenoxaprop ethyl	1.0
67485-29-4	[2-(4-((6-Chloro-2-benzoxazolyl)oxy)phenoxy)propanoic acid, ethyl ester] Hydramethylnon	1.0
68085-85-8	[Tetrahydro-5,5-dimethyl-2(1H)-pyrimidinone[3-[4-(trifluoromethyl)phenyl]-1-[2-[4-(trifluoromethyl)phenyl]ethenyl]-2-propenylidene]hydrazone] Cyhalothrin	1.0
68359-37-5	[3-(2-Chloro-3,3,3-trifluoro-1-propenyl)-2,2-dimethylcyclopropanecarboxylic acid cyano(3-phenoxyphenyl) methyl ester] Cyfluthrin	1.0
	[3-(2,2-Dichloroethenyl)-2,2-dimethylcyclopropanecarboxylic acid, cyano(4-fluoro-3-phenoxyphenyl) methyl	

CAS Number	Chemical Name	<i>De minimis</i> % Limit
<i>Arranged by CAS Number</i>		
69409-94-5	ester] Fluvalinate	1.0
69806-50-4	[N-[2-Chloro-4-(trifluoromethyl)phenyl]DL-valine(+)-cyano(3-phenoxyphenyl)methyl ester] Fluazifop butyl	1.0
71751-41-2	[2-[4-[[5-(Trifluoromethyl)-2-pyridinyl]oxy]phenoxy]propanoic acid, butyl ester] Abamectin [Avermectin B1]	1.0
72178-02-0	Fomesafen	1.0
72490-01-8	[5-(2-Chloro-4-(trifluoromethyl)phenoxy)-N-methylsulfonyl]-2-nitrobenzamide] Fenoxycarb	1.0
74051-80-2	[[2-(4-Phenoxy phenoxy)ethyl]carbamic acid ethyl ester] Sethoxydim	1.0
76578-14-8	[2-[1-(Ethoxyimino)butyl]-5-[2-(ethylthio)propyl]-3-hydroxyl-2-cyclohexen-1-one] Quizalofop-ethyl	1.0
77501-63-4	[2-[4-[(6-Chloro-2-quinoxalinyloxy]phenoxy]propanoic acid ethyl ester] Lactofen	1.0
82657-04-3	[Benzoic acid, 5-[2-Chloro-4-(trifluoromethyl)phenoxy]-2-nitro-, 2-ethoxy-1-methyl-2-oxoethyl ester] Bifenthrin	1.0
88671-89-0	Myclobutanil	1.0
90454-18-5	[.alpha.-Butyl-.alpha.-(4-chlorophenyl)-1H-1,2,4-triazole-1-propanenitrile] Dichloro-1,1,2-trifluoroethane	1.0
90982-32-4	Chlorimuron ethyl	1.0
101200-48-0	[Ethyl-2-[[[(4-chloro-6-methoxyprimidin-2-yl)amino]carbonyl] amino]sulfonyl]benzoate] Tribenuron methyl	1.0
111512-56-2	[2-[[[(4-Methoxy-6-methyl-1,3,5-triazin-2-yl)methylamino]carbonyl] amino]sulfonyl]benzoic acid methyl ester] 1,1-Dichloro-1,2,3,3,3-pentafluoropropane (HCFC-225eb)	1.0
111984-09-9	3,3'-Dimethoxybenzidine hydrochloride (o-Dianisidine hydrochloride)	0.1
127564-92-5	Dichloropentafluoropropane	1.0
128903-21-9	2,2-Dichloro-1,1,1,3,3-pentafluoropropane (HCFC-225aa)	1.0
136013-79-1	1,3-Dichloro-1,1,2,3,3-pentafluoropropane (HCFC-225ea)	1.0

**c. Chemical Categories**

Section 313 requires reporting on the EPCRA Section 313 chemical categories listed below, in addition to the specific EPCRA Section 313 chemicals listed above.

**Table II. EPCRA Section 313 Chemical List – RY2011**

The metal compound categories listed below, unless otherwise specified, are defined as including any unique chemical substance that contains the named metal (e.g., antimony, nickel, etc.) as part of that chemical's structure.

EPCRA Section 313 chemical categories are subject to the 1% *de minimis* concentration unless the substance involved meets the definition of an OSHA carcinogen in which case the 0.1% *de minimis* concentration applies. The *de minimis* concentration for each category is provided in parentheses. The *de minimis* exemption is not available for PBT chemicals, therefore an asterisk appears where a *de minimis* limit would otherwise appear. However, for purposes of the supplier notification requirement only, such limits are provided in Appendix D.

**N010 Antimony Compounds (1.0)**  
Includes any unique chemical substance that contains antimony as part of that chemical's infrastructure.

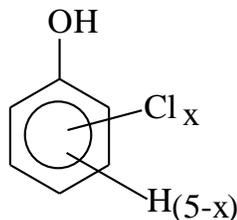
**N020 Arsenic Compounds (inorganic compounds: 0.1; organic compounds: 1.0)**  
Includes any unique chemical substance that contains arsenic as part of that chemical's infrastructure.

**N040 Barium Compounds (1.0)**  
Includes any unique chemical substance that contains barium as part of that chemical's infrastructure. This category does not include:  
Barium sulfate CAS Number 7727-43-7

**N050 Beryllium Compounds (0.1)**  
Includes any unique chemical substance that contains beryllium as part of that chemical's infrastructure.

**N078 Cadmium Compounds (0.1)**  
Includes any unique chemical substance that contains cadmium as part of that chemical's infrastructure.

**N084 Chlorophenols (0.1)**



Where  $x = 1$  to  $5$

**N090 Chromium Compounds (except for chromite ore mined in the Transvaal Region of South Africa and the unreacted ore component of the chromite ore processing residue (COPR). COPR is the solid waste remaining after aqueous extraction of oxidized chromite ore that has been combined with soda ash and kiln roasted at approximately 2,000 deg.F.) (chromium VI compounds: 0.1; chromium III**

**compounds: 1.0)**  
Includes any unique chemical substance that contains chromium as part of that chemical's infrastructure.

**N096 Cobalt Compounds (inorganic compounds: 0.1; organic compounds: 1.0)**  
Includes any unique chemical substance that contains cobalt as part of that chemical's infrastructure.

**N100 Copper Compounds (1.0)**  
Includes any unique chemical substance that contains copper as part of that chemical's infrastructure. This category does not include copper phthalocyanine compounds that are substituted with only hydrogen, and/or chlorine, and/or bromine.

**N106 Cyanide Compounds (1.0)**  
 $X^+CN^-$  where  $X = H^+$  or any other group where a formal dissociation can be made. For example KCN or  $Ca(CN)_2$ .

**N120 Diisocyanates (1.0)**  
This category includes only those chemicals listed below.

38661-72-2	1,3-Bis(methylisocyanate) - cyclohexane
10347-54-3	1,4-Bis(methylisocyanate)- cyclohexane
2556-36-7	1,4-Cyclohexane diisocyanate
134190-37-7	Diethyldiisocyanatobenzene
4128-73-8	4,4'-Diisocyanatodiphenyl ether
75790-87-3	2,4'-Diisocyanatodiphenyl sulfide
91-93-0	3,3'-Dimethoxybenzidine-4,4'-diisocyanate
91-97-4	3,3'-Dimethyl-4,4'-diphenylene diisocyanate
139-25-3	3,3'-Dimethyldiphenyl methane-4,4'-diisocyanate
822-06-0	Hexamethylene-1,6-diisocyanate
4098-71-9	Isophorone diisocyanate
75790-84-0	4-Methyldiphenylmethane-3,4-diisocyanate
5124-30-1	1,1-Methylenebis(4-isocyanatocyclohexane)
101-68-8	Methylenebis(phenylisocyanate) (MDI)
3173-72-6	1,5-Naphthalene diisocyanate
123-61-5	1,3-Phenylene diisocyanate
104-49-4	1,4-Phenylene diisocyanate
9016-87-9	Polymeric diphenylmethane diisocyanate
16938-22-0	2,2,4-Trimethylhexamethylene diisocyanate

**Table II. EPCRA Section 313 Chemical List – RY2011**

15646-96-5 2,4,4-Trimethylhexamethylene diisocyanate

**N230 Certain Glycol Ethers (1.0)**



where n = 1, 2, or 3

R = alkyl C7 or less; or

R = phenyl or alkyl substituted phenyl;

R' = H, or alkyl C7 or less; or

OR= consisting of carboxylic acid ester, sulfate, phosphate, nitrate, or sulfonate.

**N420 Lead Compounds (\*)**

*Includes any unique chemical substance that contains lead as part of that chemical's infrastructure.*

**N450 Manganese Compounds (1.0)**

*Includes any unique chemical substance that contains manganese as part of that chemical's infrastructure.*

**N458 Mercury Compounds (\*)**

*Includes any unique chemical substance that contains mercury as part of that chemical's infrastructure.*

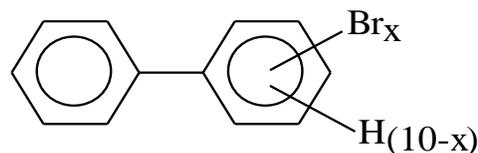
**N495 Nickel Compounds (0.1)**

*Includes any unique chemical substance that contains nickel as part of that chemical's infrastructure.*

**N503 Nicotine and salts (1.0)**

*Includes any unique chemical substance that contains nicotine or a nicotine salt as part of that chemical's infrastructure.*

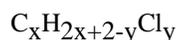
**N511 Nitrate compounds (water dissociable; reportable only when in aqueous solution) (1.0)**



Where x = 1 to 10

**N575 Polybrominated Biphenyls (PBBs) (0.1)**

**N583 Polychlorinated alkanes (C<sub>10</sub> to C<sub>13</sub>) (1.0, except for those members of the category that have an average chain length of 12 carbons and contain an average chlorine content of 60% by weight which are subject to the 0.1% *de minimis*)**



where x = 10 to 13;

y = 3 to 12; and

the average chlorine content ranges from 40 to 70% with the limiting molecular formulas C<sub>10</sub>H<sub>19</sub>Cl<sub>3</sub> and



**N150 Dioxin and Dioxin-Like Compounds**

**(Manufacturing; and the processing or otherwise use of dioxin and dioxin-like compounds if the dioxin and dioxin-like compounds are present as contaminants in a chemical and if they were created during the manufacturing of that chemical.)** (\*) This category includes only those chemicals listed below. [Note: When completing the Form R Schedule 1, enter the data for each member of the category in the order they are listed here (i.e., 1-17).]

1	1746-01-6	2,3,7,8-Tetrachlorodibenzo- <i>p</i> -dioxin
2	40321-76-4	1,2,3,7,8-Pentachlorodibenzo- <i>p</i> -dioxin
3	39227-28-6	1,2,3,4,7,8-Hexachlorodibenzo- <i>p</i> -dioxin
4	57653-85-7	1,2,3,6,7,8-Hexachlorodibenzo- <i>p</i> -dioxin
5	19408-74-3	1,2,3,7,8,9-Hexachlorodibenzo- <i>p</i> -dioxin
6	35822-46-9	1,2,3,4,6,7,8-Heptachlorodibenzo- <i>p</i> -dioxin
7	3268-87-9	1,2,3,4,6,7,8,9-Octachlorodibenzo- <i>p</i> -dioxin
8	51207-31-9	2,3,7,8-Tetrachlorodibenzofuran
9	57117-41-6	1,2,3,7,8-Pentachlorodibenzofuran
10	57117-31-4	2,3,4,7,8-Pentachlorodibenzofuran
11	70648-26-9	1,2,3,4,7,8-Hexachlorodibenzofuran
12	57117-44-9	1,2,3,6,7,8-Hexachlorodibenzofuran
13	72918-21-9	1,2,3,7,8,9-Hexachlorodibenzofuran
14	60851-34-5	2,3,4,6,7,8-Hexachlorodibenzofuran
15	67562-39-4	1,2,3,4,6,7,8-Heptachlorodibenzofuran
16	55673-89-7	1,2,3,4,7,8,9-Heptachlorodibenzofuran
17	39001-02-0	1,2,3,4,6,7,8,9-Octachlorodibenzofuran

**N171 Ethylenebisdithiocarbamic acid, salts and esters EBDCs) (1.0)**

*Includes any unique chemical substance that contains an EBDC or an EBDC salt as part of that chemical's infrastructure.*

**Table II. EPCRA Section 313 Chemical List – RY2011**

**N590 Polycyclic aromatic compounds (PACs) (\*)**

This category includes the chemicals listed below.

56-55-3	Benz(a)anthracene
205-99-2	Benzo(b)fluoranthene
205-82-3	Benzo(j)fluoranthene
207-08-9	Benzo(k)fluoranthene
206-44-0	Benzo(j,k)fluorene
189-55-9	Benzo(r,s,t)pentaphene
218-01-9	Benzo(a)phenanthrene
50-32-8	Benzo(a)pyrene
226-36-8	Dibenz(a,h)acridine
224-42-0	Dibenz(a,j)acridine
53-70-3	Dibenzo(a,h)anthracene
194-59-2	7H-Dibenzo(c,g)carbazole
5385-75-1	Dibenzo(a,e)fluoranthene
192-65-4	Dibenzo(a,e)pyrene
189-64-0	Dibenzo(a,h)pyrene
191-30-0	Dibenzo(a,l)pyrene
57-97-6	7,12-Dimethylbenz(a)-anthracene
42397-64-8	1,6-Dinitropyrene
42397-65-9	1,8-Dinitropyrene
193-39-5	Indeno(1,2,3-cd)pyrene
56-49-5	3-Methylcholanthrene
3697-24-3	5-Methylchrysene
7496-02-8	6-Nitrochrysene
5522-43-0	1-Nitropyrene
57835-92-4	4-Nitropyrene

**N725 Selenium Compounds (1.0)**

*Includes any unique chemical substance that contains selenium as part of that chemical's infrastructure.*

**N740 Silver Compounds (1.0)**

*Includes any unique chemical substance that contains silver as part of that chemical's infrastructure.*

**N746 Strychnine and salts (1.0)**

*Includes any unique chemical substance that contains strychnine or a strychnine salt as part of that chemical's infrastructure.*

**N760 Thallium Compounds (1.0)**

*Includes any unique chemical substance that contains thallium as part of that chemical's infrastructure.*

**N770 Vanadium Compounds (1.0)**

*Includes any unique chemical substance that contains vanadium as part of that chemical's infrastructure.*

**N874 Warfarin and salts (1.0)**

*Includes any unique chemical substance that contains warfarin or a warfarin salt as part of that chemical's infrastructure.*

**N982 Zinc Compounds (1.0)**

*Includes any unique chemical substance that contains zinc as part of that chemical's infrastructure.*

### Table III. State Abbreviations

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Alabama	AL	Montana	MT
Alaska	AK	Nebraska	NE
American Samoa	AS	Nevada	NV
Arizona	AZ	New Hampshire	NH
Arkansas	AR	New Jersey	NJ
California	CA	New Mexico	NM
Colorado	CO	New York	NY
Connecticut	CT	North Carolina	NC
Delaware	DE	North Dakota	ND
District of Columbia	DC	Northern Marianas Islands	MP
Florida	FL	Ohio	OH
Georgia	GA	Oklahoma	OK
Guam	GU	Oregon	OR
Hawaii	HI	Pennsylvania	PA
Idaho	ID	Puerto Rico	PR
Illinois	IL	Rhode Island	RI
Indiana	IN	South Carolina	SC
Iowa	IA	South Dakota	SD
Kansas	KS	Tennessee	TN
Kentucky	KY	Texas	TX
Louisiana	LA	Utah	UT
Maine	ME	Vermont	VT
Marshall Islands	MH	Virginia	VA
Maryland	MD	Virgin Islands	VI
Massachusetts	MA	Washington	WA
Michigan	MI	West Virginia	WV
Minnesota	MN	Wisconsin	WI
Mississippi	MS	Wyoming	WY
Missouri	MO		

**Table IV. Country Codes**

AA	Aruba	CE	Sri Lanka	FS	French Southern and
AC	Antigua and Barbuda	CF	Congo		Antarctic Lands
AE	United Arab		(Brazzaville)	GA	The Gambia
	Emirates	CG	Congo (Kinshasa)	GB	Gabon
AF	Afghanistan	CH	China	GG	Georgia
AG	Algeria	CI	Chile	GH	Ghana
AJ	Azerbaijan	CJ	Cayman Islands	GI	Gibraltar
AL	Albania	CK	Cocos (Keeling)	GJ	Grenada
AM	Armenia		Islands	GK	Guernsey
AN	Andorra	CM	Cameroon	GL	Greenland
AO	Angola	CN	Comoros	GM	Germany
AR	Argentina	CO	Colombia	GO	Glorioso Islands
AS	Australia	CR	Coral Sea Islands	GP	Guadeloupe
AT	Ashmore and Cartier	CS	Costa Rica	GR	Greece
	Islands	CT	Central African	GT	Guatemala
AU	Austria		Republic	GV	Guinea
AV	Anguilla	CU	Cuba	GY	Guyana
AY	Antarctica	CV	Cape Verde	GZ	Gaza Strip
BA	Bahrain	CW	Cook Islands	HA	Haiti
BB	Barbados	CY	Cyprus	HK	Hong Kong
BC	Botswana	DA	Denmark	HM	Heard Island and
BD	Bermuda	DJ	Djibouti		McDonald Islands
BE	Belgium	DO	Dominica	HO	Honduras
BF	The Bahamas	DR	Dominican	HR	Croatia
BG	Bangladesh		Republic	HU	Hungary
BH	Belize	EC	Ecuador	IC	Iceland
BK	Bosnia and	EG	Egypt	ID	Indonesia
	Herzegovina	EI	Ireland	IM	Isle of Man
BL	Bolivia	EK	Equatorial Guinea	IN	India
BM	Burma	EN	Estonia	IO	British Indian Ocean
BN	Benin	ER	Eritrea		Territory
BO	Belarus	ES	El Salvador	IP	Clipperton Island
BP	Solomon Islands	ET	Ethiopia	IR	Iran
BR	Brazil	EU	Europa Island	IS	Israel
BS	Bassas da India	EZ	Czech Republic	IT	Italy
BT	Bhutan	FG	French Guiana	IV	Cote D'Ivoire
BU	Bulgaria	FI	Finland	IZ	Iraq
BV	Bouvet Island	FJ	Fiji	JA	Japan
BX	Brunei	FK	Falkland Islands	JE	Jersey
BY	Burundi		(Islas Malvinas)	JM	Jamaica
CA	Canada	FO	Faroe Islands	JN	Jan Mayen
CB	Cambodia	FP	French Polynesia	JO	Jordan
CD	Chad	FR	France	JU	Juan de Nova Island
KE	Kenya	KS	South Korea	LE	Lebanon
KG	Kyrgyzstan	KT	Christmas Island	LG	Latvia
KN	North Korea	KU	Kuwait	LH	Lithuania
KQ	Kingman Reef	KZ	Kazakhstan	LI	Liberia
KR	Kiribati	LA	Laos	LO	Slovakia

*Table IV. Country Codes*

LS	Liechtenstein	PF	Paracel Islands	TH	Thailand
LT	Lesotho	PG	Spratly Islands	TI	Tajikistan
LU	Luxembourg	PK	Pakistan	TK	Turks and Caicos Islands
LY	Libya	PL	Poland	TL	Tokelau
MA	Madagascar	PM	Panama	TN	Tonga
MB	Martinique	PO	Portugal	TO	Togo
MC	Macau	PP	Papua New Guinea	TP	Sao Tome and Principe
MD	Moldova	PS	Palau	TS	Tunisia
MF	Mayotte	PU	Guinea-Bissau	TT	East Timor
MG	Mongolia	QA	Qatar	TU	Turkey
MH	Montserrat	RE	Reunion	TV	Tuvalu
MI	Malawi	RO	Romania	TW	Taiwan
MK	Macedonia	RP	Philippines	TX	Turkmenistan
ML	Mali	RS	Russia	TZ	Tanzania
MN	Monaco	RW	Rwanda	UG	Uganda
MO	Morocco	SA	Saudi Arabia	UK	United Kingdom
MP	Mauritius	SB	St. Pierre and Miquelon	UP	Ukraine
MR	Mauritania	SC	St. Kitts and Nevis	UV	Burkina Faso
MT	Malta	SE	Seychelles	UY	Uruguay
MU	Oman	SF	South Africa	UZ	Uzbekistan
MV	Maldives	SG	Senegal	VC	St. Vincent and the Grenadines
MX	Mexico	SH	St. Helena	VE	Venezuela
MY	Malaysia	SI	Slovenia	VI	British Virgin Islands
MZ	Mozambique	SL	Sierra Leone	VM	Vietnam
NC	New Caledonia	SM	San Marino	VT	Vatican City
NE	Niue	SN	Singapore	WA	Namibia
NF	Norfolk Island	SO	Somalia	WE	West Bank
NG	Niger	SP	Spain	WF	Wallis and Futuna
NH	Vanuatu	ST	St. Lucia	WI	Western Sahara
NI	Nigeria	SU	Sudan	WS	Western Samoa
NL	Netherlands	SV	Svalbard	WZ	Swaziland
NO	Norway	SW	Sweden	YI	Yugoslavia
NP	Nepal	SX	South Georgia and South Sandwich Islands	YM	Yemen
NR	Nauru	SY	Syria	ZA	Zambia
NS	Suriname	SZ	Switzerland	ZI	Zimbabwe
NT	Netherlands Antilles	TD	Trinidad and Tobago		
NU	Nicaragua	TE	Tromelin Island		
NZ	New Zealand				
PA	Paraguay				
PC	Pitcairn Islands				
PE	Peru				

# Appendix A. TRI Federal Facility Reporting Information

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## Special Instructions for TRI Federal Facility Reporting

### A.1 Why Do Federal Facilities Need to Report?

Executive Order 13423, “Strengthening Federal Environmental Energy, and Transportation Management,” requires federal agencies to comply with the Emergency Planning and Community Right-To-Know Act of 1986 (EPCRA) and the Pollution Prevention Act of 1990 (PPA). Federal facilities have been subject to EPCRA section 313 and PPA since reporting year 1994. TRI submissions are due to EPA on July 1 of the year following each reporting (calendar) year. Reporting by the federal facility does not alter the reporting obligation of on-site contractors. Contracts entered into after the date of this order for contractor operation of government-owned facilities or vehicles require the contractor to comply with the provisions of this order with respect to such facilities or vehicles to the same extent as the agency would be required to comply if the agency operated facilities or vehicles.

For more information on Executive Order 13423 please refer to the implementing instructions, which can be found on the TRI home page at <http://www.epa.gov/tri>

### A.2 Identifying Federal Facility Reports

Federal facility reports are identified as federal by several indicators on the form. The facility name and parent company name are critical indicators and must be reported as described below. Another critical indicator is the federal facility report box, Part I, 4.2c. Federal facilities only should check this box to indicate that the report is from a federal agency for a federal facility; federal facilities should not check the GOCO box, (Part I, Section 4.2d of the Form R). Contractors located at federal facilities (GOCOs) should check the GOCO box (Part I, Section 4.2d of the Form R); they should not check the box 4.2c. Facilities should also complete the partial or complete facility blocks (Form R page 2, block 4.2a and 4.2b) as appropriate. If you are a federal facility reporting for the first time, you should write “new” in the TRI Facility ID (TRIFID) box, even if a contractor has reported for your facility in the past. The contractor will retain the original

TRIFID. You will be assigned a new TRIFID the first time you report.

### A.3 The “Double Counting” Problem

As structured, the law and the executive order require both regulated industries and the federal government to report TRI data, sometimes for the same site. In order to prevent duplicate data in the TRI database, which could result in “double counting” data for some chemicals and locations, EPA must be able to identify and distinguish the GOCO reports submitted by the federal contractor from the federal facility reports which contain data for the same site. To accomplish this, federal facility reports should be accompanied by either 1) exact copies (paper or electronic) of all contractor TRI reports, including when the totals reported by the federal facility are greater than that reported by the contractor(s), or 2) a cover letter which includes a list of the facility contractors which submit TRI reports to EPA, identifying each contractor by name, TRI technical contact, and TRI facility name and address. Additionally, federal facilities should check Form R, Part I, Section 4.2c, while contractors at federal facilities should check Form R, Part I, Section 4.2d.

### A.4 How to Report Your Facility Name

Facility name is a critical data element. It is used by EPA to create the TRI facility ID number (TRIFID), which is a unique number designed to identify a facility site. The facility name and TRIFID number are used by all TRI data users to link data from a single site across multiple reporting years. A federal facility is assigned a new TRIFID number when the federal report is entered into the Toxics Release Inventory system for the first time. This TRIFID number, generated when the first report is entered into the Toxics Release Inventory System, will be included in future reporting packages sent to the federal facility, and should be used by the federal facility in all future reports.

Federal facilities should report their facility name on page 1 of the Form Rs (Section 4.1), as shown in the following example:

U.S. DOE Savannah River Site

It is very important that the agency name appear first, followed by the specific plant or site name.

Federal contractors at GOCO facilities should report their names as shown in the following example:

U.S. DOE Savannah River Site -  
Westinghouse Operations.

## A.5 How to Report Your North American Industry Classification System (NAICS) Code

Federal facilities should report the NAICS code which most closely represents the activities taking place at the site. Additional guidance on determining your NAICS code is provided in the Forms and Instructions booklet. The table on the next page contains Public Administration NAICS codes covering executive, legislative, judicial, administrative and regulatory activities of the Federal government. Government-owned and operated business establishments are classified in major NAICS groups according to the activity in which they are engaged. For example, a Veterans Hospital would be classified in Group 806 - Hospitals.

## A.6 How to Report Your "Parent Company" Name

Federal facilities should report their parent company name on page 2 of the Form Rs (Section 5.1) by reporting their complete Department or Agency name, as shown in the following example:

U.S. Department of Energy

Block 5.2, Parent Company's Dun & Bradstreet Number, should be marked NA.

Federal contractors at GOCO facilities should not report a federal department or agency name as their parent company. A federal name in the parent company name field will classify the report as federal, and the GOCO may be identified as a non-reporter.

## A.7 How to Revise Your Data After It Has Been Submitted

Any TRI Form R submitter may voluntarily revise their submission if they find errors after their reports have been sent to EPA. If the revision is to a hardcopy

report, the facility reporter should photocopy the original form and use a blue or black pen to mark out the incorrect value and write in the corrected value. The revised report should be submitted to EPA, with an "X" in the revision block on page 1 of the Form R. If the revision is to a diskette, a new diskette should be submitted, containing the data only for the revised submission, not all the chemicals originally reported. If a federal facility receives a copy of a revision from a contractor located at the federal facility, the facility should revise the federal report, and submit the revised report to EPA and the appropriate state along with an exact copy of the contractor's revision. The cover letter from the federal facility should indicate that its submission is a revision.

## A.8 Who Should Sign Federal Form R Reports?

Federal Form R reports should be signed by the senior federal employee on-site. If no federal employee is on-site, federal Form R reports must be signed by the senior federal employee with management responsibility for the site. Federal Form R reports should be signed by a federal employee. Contractor employee signatures are not considered valid on federal reports.

## A.9 More Help is Available!

Federal facilities may call the EPA/TRI Information Center to ask specific questions concerning how to submit their Form R report. For contact information, see the TRI Home Page at <http://www.epa.gov/tri/>

## A.10 North American Industry Classification System Codes 921-928

### Sector 92 - Public Administration

#### 921 Executive, Legislative, and Other General Government Support

- 92111 Executive Offices
- 92112 Legislative Bodies
- 92113 Public Finance Activities
- 92114 Executive and Legislative Offices Combined
- 92115 American Indian and Alaska Native Tribal Governments
- 92119 General Government, Not Elsewhere Classified

**922 Justice, Public Order, and Safety  
Activities**

- 92211 Courts
- 92212 Police Protection
- 92213 Legal Counsel and Prosecution
- 92214 Correctional Institutions
- 92215 Parole Offices and Probation Offices
- 92216 Fire Protection
- 92219 Other Justice, Public Order and Safety  
Activities

**923 Administration of Human Resource  
Programs**

- 92311 Administration of Educational Programs
- 92312 Administration of Public Health Programs
- 92313 Administration of Human Resource Programs  
(Except Education, Public Health, and  
Veterans' Affairs Programs)
- 92314 Administration of Veterans Affairs

**924 Administration of Environmental  
Quality Programs**

- 92411 Administration of Air and Water Resource  
and Solid Waste Management Programs
- 92412 Administration of Conservation Programs

**925 Administration of Housing  
Programs, Urban Planning, and  
Community Development**

- 92511 Administration of Housing Programs
- 92512 Administration of Urban Planning and  
Community and Rural Development

**926 Administration of Economic  
Programs**

- 92611 Administration of General Economic  
Programs
- 92612 Regulation and Administration of  
Transportation Programs
- 92613 Regulation and Administration of  
Communications, Electric, Gas, and Other  
Utilities
- 92614 Regulation of Agricultural Marketing and  
Commodities
- 92615 Regulation, Licensing, and Inspection of  
Miscellaneous Commercial Sectors

**927 Space Research and Technology**

- 92711 Space Research and Technology

**928 National Security and International  
Affairs**

- 92811 National Security
- 92812 International Affairs

# Appendix B. Reporting Codes for EPA Form R and Instructions for Reporting Metals

## B.1 Form R Part II

### Revision Codes:

RR1	New Monitoring Data
RR2	New Emission Factor(s)
RR3	New Chemical Concentration Data
RR4	Recalculation(s)
RR5	Other Reason(s)

### Withdrawal Codes:

WT1	Did not meet the reporting threshold for manufacturing, processing, or otherwise use
WT2	Did not meet the reporting threshold for number of employees
WT3	Not in a covered NAICS Code
WO1	Other reason(s)

### Section 1.1. CAS Number

### EPCRA Section 313 Chemical Category Codes

N010	Antimony compounds
N020	Arsenic compounds
N040	Barium compounds
N050	Beryllium compounds
N078	Cadmium compounds
N084	Chlorophenols
N090	Chromium compounds
N096	Cobalt compounds
N100	Copper compounds
N106	Cyanide compounds
N120	Diisocyanates
N150	Dioxin and dioxin-like compounds
	N171 Ethylenebisdithiocarbamic acid, salts and esters (EBDCs)
N230	Certain glycol ethers
N420	Lead compounds
N450	Manganese compounds
N458	Mercury compounds
N495	Nickel compounds
N503	Nicotine and salts
N511	Nitrate compounds
N575	Polybrominated biphenyls (PBBs)
N583	Polychlorinated alkanes
N590	Polycyclic aromatic compounds
N725	Selenium compounds
N740	Silver compounds
N746	Strychnine and salts

N760	Thallium compounds
N770	Vanadium compounds
N874	Warfarin and salts
N982	Zinc compounds

### Section 4. Maximum Amount of the Toxic Chemical On-Site at Any Time During the Calendar Year

	<i>Range(pounds)</i>		
<u>Range Code</u>	<u>From</u>	<u>To</u>	
01	0	99	
02	100	999	
03	1,000	9,999	
04	10,000	99,999	
05	100,000	999,999	
06	1,000,000	9,999,999	
07	10,000,000	49,999,999	
08	50,000,000	99,999,999	
09	100,000,000	499,999,999	
10	500,000,000	999,999,999	
11	1 billion	more than 1 billion	

### Section 5. Quantity of the Non-PBT Chemical Entering Each Environmental Medium On-site and Section 6. Transfers of the Toxic Chemical in Wastes to Off-Site Locations

#### *Total Release or Transfer*

<u>Code</u>	<u>Range (pounds)</u>
A	1-10
B	11-499
C	500-999

#### Basis of Estimate

M1-	Estimate is based on continuous monitoring data or measurements for the EPCRA section 313 chemical.
M2-	Estimate is based on periodic or random monitoring data or measurements for the EPCRA section 313 chemical.

## Appendix B

- C- Estimate is based on mass balance calculations, such as calculation of the amount of the EPCRA section 313 chemical in streams entering and leaving process equipment.
- E1- Estimate is based on published emission factors, such as those relating release quantity to through-put or equipment type (e.g., air emission factors).
- E2- Estimate is based on site specific emission factors, such as those relating release quantity to through-put or equipment type (e.g., air emission factors).
- O- Estimate is based on other approaches such as engineering calculations (e.g., estimating volatilization using published mathematical formulas) or best engineering judgment. This would include applying an estimated removal efficiency to a waste stream, even if the composition of the stream before treatment was fully identified through monitoring data.

### Section 6. Transfers of the Toxic Chemical in Wastes to Off-Site Locations

#### Type of Waste Disposal/Treatment/Energy Recovery/Recycling

- M10 Storage Only
- M20 Solvents/Organics Recovery
- M24 Metals Recovery
- M26 Other Reuse or Recovery
- M28 Acid Regeneration
- M40 Solidification/Stabilization
- M41 Solidification/Stabilization-Metals and Metal Category Compounds only
- M50 Incineration/Thermal Treatment
- M54 Incineration/Insignificant Fuel Value
- M56 Energy Recovery
- M61 Wastewater Treatment (Excluding POTW)
- M62 Wastewater Treatment (Excluding POTW) - Metals and Metal Category Compounds only
- M64 Other Landfills
- M65 RCRA Subtitle C Landfills
- M66 Subtitle C Surface Impoundment
- M67 Other Surface Impoundments
- M69 Other Waste Treatment
- M73 Land Treatment
- M79 Other Land Disposal
- M81 Underground Injection to Class I Wells
- M82 Underground Injection to Class II-V Wells
- M90 Other Off-Site Management
- M92 Transfer to Waste Broker - Energy Recovery
- M93 Transfer to Waste Broker - Recycling
- M94 Transfer to Waste Broker - Disposal

- M95 Transfer to Waste Broker - Waste Treatment
- M99 Unknown

### Section 7A. On-Site Waste Treatment Methods and Efficiency

#### General Waste Stream

- A Gaseous (gases, vapors, airborne particulates)
- W Wastewater (aqueous waste)
- L Liquid waste streams (non-aqueous waste)
- S Solid waste streams (including sludges and slurries)

#### Waste Treatment Methods

##### Air Emissions Treatment

- A01 Flare
- A02 Condenser
- A03 Scrubber
- A04 Absorber
- A05 Electrostatic Precipitator
- A06 Mechanical Separation
- A07 Other Air Emission Treatment

##### Chemical Treatment

- H040 Incineration--thermal destruction other than use as a fuel
- H071 Chemical reduction with or without precipitation
- H073 Cyanide destruction with or without precipitation
- H075 Chemical oxidation
- H076 Wet air oxidation
- H077 Other chemical precipitation with or without pre-treatment

##### Biological Treatment

- H081 Biological treatment with or without precipitation

##### Physical Treatment

- H082 Adsorption
- H083 Air or steam stripping
- H101 Sludge treatment and/or dewatering
- H103 Absorption
- H111 Stabilization or chemical fixation prior to disposal
- H112 Macro-encapsulation prior to disposal
- H121 Neutralization
- H122 Evaporation
- H123 Settling or clarification
- H124 Phase separation
- H129 Other treatment

**Section 7B. On-Site Energy Recovery Processes**

- U01 Industrial Kiln
- U02 Industrial Furnace
- U03 Industrial Boiler

**Section 7C. On-Site Recycling Processes**

- H10 Metal recovery (by retorting, smelting, or chemical or physical extraction)
- H20 Solvent recovery (including distillation, evaporation, fractionation or extraction)
- H39 Other recovery or reclamation for reuse (including acid regeneration or other chemical reaction process)

**Section 8.10. Source Reduction Activity Codes**

**Good Operating Practices**

- W13 Improved maintenance scheduling, record keeping, or procedures
- W14 Changed production schedule to minimize equipment and feedstock changeovers
- W19 Other changes in operating practices

**Inventory Control**

- W21 Instituted procedures to ensure that materials do not stay in inventory beyond shelf-life
- W22 Began to test outdated material - continue to use if still effective
- W23 Eliminated shelf-life requirements for stable materials
- W24 Instituted better labeling procedures
- W25 Instituted clearinghouse to exchange materials that would otherwise be discarded
- W29 Other changes in inventory control

**Spill and Leak Prevention**

- W31 Improved storage or stacking procedures
- W32 Improved procedures for loading, unloading, and transfer operations
- W33 Installed overflow alarms or automatic shut-off valves
- W35 Installed vapor recovery systems
- W36 Implemented inspection or monitoring program of potential spill or leak sources
- W39 Other changes made in spill and leak prevention

**Raw Material Modifications**

- W41 Increased purity of raw materials
- W42 Substituted raw materials
- W49 Other raw material modifications made

**Process Modifications**

- W51 Instituted recirculation within a process
- W52 Modified equipment, layout, or piping
- W53 Use of a different process catalyst
- W54 Instituted better controls on operating bulk containers to minimize discarding of empty containers
- W55 Changed from small volume containers to bulk containers to minimize discarding of empty containers
- W58 Other process modifications

**Cleaning and Degreasing**

- W59 Modified stripping/cleaning equipment
- W60 Changed to mechanical stripping/cleaning devices (from solvents or other materials)
- W61 Changed to aqueous cleaners (from solvents or other materials)
- W63 Modified containment procedures for cleaning units
- W64 Improved draining procedures
- W65 Redesigned parts racks to reduce drag out
- W66 Modified or installed rinse systems
- W67 Improved rinse equipment design
- W68 Improved rinse equipment operation
- W71 Other cleaning and degreasing modifications

**Surface Preparation and Finishing**

- W72 Modified spray systems or equipment
- W73 Substituted coating materials used
- W74 Improved application techniques
- W75 Changed from spray to other system
- W78 Other surface preparation and finishing modifications

**Product Modifications**

- W81 Changed product specifications
- W82 Modified design or composition of products
- W83 Modified packaging
- W89 Other product modifications

**Section 8.10. Methods Used to Identify Source Reduction Activities**

For each source reduction activity, enter up to three of the following codes that correspond to the method(s) which contributed most to the decision to implement that activity.

- T01 Internal Pollution Prevention Opportunity Audit(s)
- T02 External Pollution Prevention Opportunity Audit(s)
- T03 Materials Balance Audits
- T04 Participative Team Management

*Appendix B*

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T05	Employee Recommendation (independent of a formal company program)	T08	Federal Government Technical Assistance Program
T06	Employee Recommendation (under a formal company program)	T09	Trade Association/Industry Technical Assistance Program
T07	State Government Technical Assistance Program	T10	Vendor Assistance
		T11	Other

## B.2 Reporting the Waste Management of Metals

This appendix outlines how the *TRI-MEweb* reporting software restricts reporting for metals when the specific data element or waste management code is not applicable for a particular chemical. Below is a list of metals divided into four groups along with charts that help explain where quantities of these chemicals can and can not be reported on the Form R using *TRI-MEweb*. In addition, there are charts that explain restrictions on reporting waste management codes for the toxic chemicals in each of the four groups. This appendix only shows where reporting is restricted in *TRI-MEweb*, it does not indicate every situation where a metal should not be reported in a specific section of the form. For example, *TRI-MEweb* does not restrict the reporting of most individually-listed metal compounds as used for energy recovery (Sections 8.2 and 8.3) even though some of these chemicals do not have a heat value greater than 5000 British thermal units (Btu) and, thus, can not be combusted for energy recovery. It is left to the facility to decide which of these toxic chemicals can be used for energy recovery. If you are not using *TRI-MEweb* this appendix can serve as a guide to help you understand where it is not appropriate to report certain quantities of toxic chemicals or waste management codes on your Form R.

### Parent Metals:

Antimony  
Arsenic  
Barium  
Beryllium  
Cadmium  
Chromium  
Cobalt  
Copper  
Lead  
Manganese  
Mercury  
Nickel  
Selenium  
Silver  
Thallium

### Metal Compound Categories:

Antimony Compounds  
Arsenic Compounds  
Barium Compounds  
Beryllium Compounds  
Cadmium Compounds  
Chromium Compounds  
Cobalt Compounds  
Copper Compounds  
Lead Compounds  
Manganese Compounds  
Mercury Compounds  
Nickel Compounds  
Selenium Compounds  
Silver Compounds  
Thallium Compounds  
Vanadium Compounds  
Zinc Compounds

### Metals with Qualifiers:

Aluminum (fume or dust)  
Vanadium (except when in an alloy)  
Zinc (fume or dust)

### Individually-Listed Metal Compounds:

Bis(tributyltin) oxide  
Triphenyltin hydroxide  
Triphenyltin chloride  
Molybdenum trioxide  
Thorium dioxide  
Asbestos (friable)  
Aluminum oxide (fibrous forms)  
Tributyltin fluoride

Tributyltin methacrylate  
Titanium tetrachloride  
Boron trifluoride  
Metiram  
Boron trichloride  
Zineb  
Maneb  
Fenbutatin oxide  
Iron pentacarbonyl  
Ferbam  
C.I. Direct Brown 95  
Osmium tetroxide  
Aluminum phosphide  
C.I. Direct Blue 218

### Sections 5.3 - Discharges to Water and 6.1 - Transfers to POTWs

The following chart indicates which metals can be reported as released to water in Section 5.3 or to POTW's in Section 6.1. Only zinc (fume or dust) and aluminum (fume or dust) are not reported in these sections because the fume or dust form of a toxic chemical can not exist in water.

Form R Section in Part II	Parent Metals	Metal Category Compounds	Metals with Qualifiers	Individually-listed Metal Compounds
Section 5.3 - Discharges to receiving streams or water bodies	All	All	Vanadium (except when contained in an alloy)	All except Asbestos
Section 6.1- Discharges to POTWs	All	All	Vanadium (except when contained in an alloy)	All except Asbestos

**Section 6.2. Transfers to Other Off-Site Locations**

Any toxic chemical may be reported in Section 6.2. However, *TRI-MEweb* will not allow certain M codes to be used when reporting metals. The chart below indicates which M codes can be reported in Section 6.2 for the four groups of metals. Note that all disposal M codes other than M41 and M62 can be used for all toxic chemicals. Code M24 is only made available for the four groups of metals.

Waste Management Code for Section 6.2	Parent Metals	Metal Category Compounds	Metals with Qualifiers	Individually-listed Metal Compounds
M41 and M62 (disposal codes-for metals only)	All	All	Vanadium (except when contained in an alloy)	All except Asbestos
M56 and M92 (energy recovery codes)	None	None	None	All except Asbestos <sup>1</sup>
M20 and M28 (recycling codes)	None	None	None	All
M24, M26 and M93 (recycling codes)	All	All	All	All
M40, M50, M54, (treatment codes)	None	None	All except Vanadium (except when contained in an alloy)	All
M61, M69, M95 (treatment codes)	Barium <sup>2</sup>	Barium Compounds <sup>2</sup>	Same as above	All

**Section 7A. On-site Waste Treatment Methods and Efficiency**

*TRI-MEweb* allows any toxic chemical to be reported in Section 7A, however, it limits reporting in two ways. First, *TRI-MEweb* limits the treatment codes that can be reported based on the General Waste Stream Code selected. If a *TRI-MEweb* user selects General Waste Stream code “A – Gaseous”, all Waste Treatment Codes are made available. However, if a user selects from the remaining three General Waste Stream Codes (W - Wastewater, L - Liquid waste streams, or S - Solid waste streams), the “Air Emissions Treatment” Waste Treatment Codes are not made available. Second, the software restricts reporting for certain toxic chemicals with qualifiers. When reporting zinc (fume or dust) or aluminum (fume or dust) *TRI-MEweb* will not allow the user to select General Waste Stream Codes W-Wastewater and L-Liquid waste streams because the fume or dust form of a toxic chemical can not exist in a liquid or water waste. For asbestos (friable) only S - Solid or A - Gaseous can be selected. When reporting hydrochloric acid (acid aerosols) or sulfuric acid (acid aerosols) only A - Gaseous can be selected.

**Crosswalk for Section 7A, Column B. Waste Treatment Method (s) Sequence**

Air Emissions Treatment (applicable to gaseous waste streams only)			
(No change — same as previous codes)			
A01	Flare		
A02	Condenser		
A03	Scrubber		
A04	Absorber		
A05	Electrostatic Precipitator		
A06	Mechanical Separation		
A07	Other Air Emission Treatment		

**Appendix B**

<b>Biological Treatment:</b>			
<b>Previous Codes</b>		<b>New Codes (adapted from RCRA Hazardous Waste Management Codes)</b>	
B11	Aerobic	H081	Biological treatment with or without precipitation
B21	Anaerobic	H081	Biological treatment with or without precipitation
B31	Facultative	H081	Biological treatment with or without precipitation
B99	Other Biological Treatment	H081	Biological treatment with or without precipitation

<b>Chemical Treatment:</b>			
<b>Previous Codes</b>		<b>New Codes (adapted from RCRA Hazardous Waste Management Codes)</b>	
C01	Chemical Precipitation B Lime or Sodium Hydroxide	H071	Chemical reduction with or without precipitation
C02	Chemical Precipitation B Sulfide	H071	Chemical reduction with or without precipitation
C09	Chemical Precipitation B Other	H077	Other chemical precipitation with or without pre-treatment
C11	Neutralization	H121	Neutralization
C21	Chromium Reduction	H071	Chemical reduction with or without precipitation
C31	Complexed Metals Treatment (other than pH adjustment)	H129	Other treatment
C41	Cyanide Oxidation B Alkaline Chlorination	H073	Cyanide destruction with or without precipitation
C42	Cyanide Oxidation B Electrochemical	H073	Cyanide destruction with or without precipitation
C43	Cyanide Oxidation B Other	H073	Cyanide destruction with or without precipitation
C44	General Oxidation (including Disinfection) B Chlorination	H075	Chemical oxidation
C45	General Oxidation (including Disinfection) B Ozonation	H075	Chemical oxidation
C46	General Oxidation (including Disinfection) B Other	H075	Chemical oxidation
C99	Other Chemical Treatment	H129	Other treatment

**Appendix B**

<b>Chemical Treatment:</b>			
<b>Previous Codes</b>		<b>New Codes (adapted from RCRA Hazardous Waste Management Codes)</b>	
Incineration/Thermal Treatment: (Note: Only report combustion for the purposes of incineration/thermal treatment in Section 7A. If the method involves combustion for the purposes of energy recover, report as U01, U02, or U03 in Section 7B. If the method involves combustion for the purposes of materials recovery, report as H39 in Section 7C.)			
F01	Liquid Injection	H040	Incineration B thermal destruction other than use as a fuel
F11	Rotary Kiln with Liquid Injection Unit	H040	Incineration B thermal destruction other than use as a fuel
F19	Other Rotary Kiln	H040	Incineration B thermal destruction other than use as a fuel
F31	Two Stage	H040	Incineration B thermal destruction other than use as a fuel
F41	Fixed Hearth	H040	Incineration B thermal destruction other than use as a fuel
F42	Multiple Hearth	H040	Incineration B thermal destruction other than use as a fuel
F51	Fluidized Bed	H040	Incineration B thermal destruction other than use as a fuel
F61	Infra-Red	H040	Incineration B thermal destruction other than use as a fuel
F71	Fume/Vapor	H040	Incineration B thermal destruction other than use as a fuel
F81	Pyrolytic destructor	H040	Incineration B thermal destruction other than use as a fuel
F82	Wet air oxidation	H076	Wet air oxidation
F83	Thermal Drying/Dewatering	H122	Evaporation
F99	Other Incineration/Thermal Treatment	H040	Incineration B thermal destruction other than use as a fuel

<b>Physical Treatment:</b>			
<b>Previous Codes</b>		<b>New Codes (adapted from RCRA Hazardous Waste Management Codes)</b>	
P01	Equalization	H129	Other treatment
P09	Other blending	H129	other treatment
P11	Settling/clarification	H123	Settling or clarification
P12	Filtration	H123	Settling or clarification
P13	Sludge dewatering (non-thermal)	H101	Sludge treatment and/or dewatering
P14	Air flotation	H124	Phase separation
P15	Oil skimming	H124	Phase separation
P16	Emulsion breaking B thermal	H124	Phase separation
P17	Emulsion breaking B chemical	H124	Phase separation

## Appendix B

Physical Treatment:			
Previous Codes		New Codes (adapted from RCRA Hazardous Waste Management Codes)	
P18	Emulsion breaking B other	H124	Phase separation
P19	Other liquid phase separation	H124	Phase separation
P21	Adsorption B Carbon	H082	Adsorption
P22	Adsorption B Ion exchange (other than for recovery/reuse)	H082	Adsorption
P23	Adsorption B Resin	H082	Adsorption
P29	Adsorption B Other	H082	Adsorption
P31	Reverse Osmosis (other than for recover/reuse)	H129	Other treatment
P41	Stripping B Air	H083	Air or steam stripping
P42	Stripping B Steam	H083	Air or steam stripping
P49	Stripping B Other	H083	Air or steam stripping
P51	Acid Leaching (other than for recovery/reuse)	H129	Other treatment
P61	Solvent Extraction (other than recovery/reuse)	H129	Other treatment
P99	Other Physical Treatment	H129	Other treatment

Solidification/Stabilization:			
Previous Codes		New Codes (adapted from RCRA Hazardous Waste Management Codes)	
G01	Cement processes (including silicates)	H111	Stabilization or chemical fixation prior to disposal
G09	Other Pozzolonic Processes (including silicates)	H111	Stabilization or chemical fixation prior to disposal
G11	Asphaltic Techniques	H111	Stabilization or chemical fixation prior to disposal
G20	Thermoplastic Techniques	H111	Stabilization or chemical fixation prior to disposal
G99	Other Solidification Processes	H111	Stabilization or chemical fixation prior to disposal

### Section 7B. On-site Energy Recovery Processes

The chart below indicates which energy recovery codes can be reported in *TRI-MEweb* in Section 7B for the four groups of metals.

Energy Recovery Code for Section 7B	Parent Metals	Metal Category Compounds	Metals with Qualifiers	Individually-listed Metal Compounds
U01, U02, U03	None	None	None	All except Asbestos <sup>1</sup>

**Section 7C. On-site Recycling Processes**

Any chemical can be reported in Section 7C. However, certain waste management codes should not be reported for certain toxic chemicals. The chart below indicates which codes can be reported in Section 7C when using *TRI-MEweb*.

Recycling Code for Section 7C	Parent Metals	Metal Category Compounds	Metals with Qualifiers	Individually-listed Metal Compounds
H10(this code is for metals only)	All	All	All	All
H20	None	None	None	All
H39	All	All	All	All

**Crosswalk for Section 7C. On-site Recycling Processes**

Previous Codes		New Codes (adapted from RCRA Hazardous Waste Management Codes)	
R11	Solvents/Organics Recovery B Batch Still Distillation	H20	Solvent Recovery (including distillation, evaporation, fractionation or extraction)
R12	Solvents/Organics Recovery B Thin-Film Evaporation	H20	Solvent Recovery (including distillation, evaporation, fractionation or extraction)
R13	Solvents/Organics Recovery B Fractionation	H20	Solvent Recovery (including distillation, evaporation, fractionation or extraction)
R14	Solvents/Organics Recovery B Solvent Extraction	H20	Solvent Recovery (including distillation, evaporation, fractionation or extraction)
R19	Solvents/Organics Recovery B Other	H20	Solvent Recovery (including distillation, evaporation, fractionation or extraction)
R21	Metals Recovery B Electrolytic	H10	Metal Recovery (by retorting, smelting, or chemical or physical extraction)
R22	Metals Recovery B Ion Exchange	H10	Metal Recovery (by retorting, smelting, or chemical or physical extraction)
R23	Metals Recovery B Acid Leaching	H10	Metal Recovery (by retorting, smelting, or chemical or physical extraction)
R24	Metals Recovery B Reverse Osmosis	H10	Metal Recovery (by retorting, smelting, or chemical or physical extraction)
R26	Metals Recovery B Solvent Extraction	H10	Metal Recovery (by retorting, smelting, or chemical or physical extraction)
R27	Metals Recovery B High Temperature	H10	Metal Recovery (by retorting, smelting, or chemical or physical extraction)

## Appendix B

Previous Codes		New Codes (adapted from RCRA Hazardous Waste Management Codes)	
R28	Metals Recovery B Retorting	H10	Metal Recovery (by retorting, smelting, or chemical or physical extraction)
R29	Metals Recovery B Secondary Smelting	H10	Metal Recovery (by retorting, smelting, or chemical or physical extraction)
R30	Metals Recovery B Other	H10	Metal Recovery (by retorting, smelting, or chemical or physical extraction)
R40	Acid Regeneration	H39	Other recovery or reclamation for reuse (including acid regeneration or other chemical reaction process)
R99	Other Reuse or Recovery	H39	Other recovery or reclamation for reuse (including acid regeneration or other chemical reaction process)

### Section 8. Source Reduction and Recycling Activities

The chart below indicates which metals can be reported in Sections 8.2, 8.3, 8.6 and 8.7 of the Form R when using *TRI-MEweb*. Note that all toxic chemicals can be reported in Sections 8.1, 8.4, 8.5 and 8.8.

Waste Management Activity	Parent Metals	Metal Category Compounds	Metals with Qualifiers	Individually-listed Metal Compounds
Quantity used for energy recovery on site and off site (Sections 8.2 and 8.3)	None	None	None	All except Asbestos <sup>2</sup>
Quantity treated for destruction on site and off site (Sections 8.6 and 8.7)	None except Barium <sup>2</sup>	None except Barium Compounds <sup>2</sup>	All except Vanadium (except when contained in an alloy)	All

<sup>1</sup> Although *TRI-MEweb* does not restrict reporting of most individually-listed metal compounds as transferred off site for energy recovery, only chemicals with a heat value greater than 5000 British thermal units that are combusted in a device that is an industrial furnace or boiler (40 CFR Section 372.3) should be reported as used for energy recovery.

<sup>2</sup> The toxic chemical category barium compounds (N040) does not include barium sulfate. Because barium sulfate is not a listed toxic chemical, the conversion in a waste stream of barium or barium compound to barium sulfate is considered treatment for destruction (40 CFR Section 372.3).

# Appendix C. Facility Data Profiles and Common Errors in Completing Form R Reports and Form A Certification Statements

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EPA wishes to ensure that facilities submit all required TRI chemical submissions in a timely manner so that the information may be included in its national database, annual public data release, now known as the TRI National Analysis and other information products. Moreover, EPA seeks to ensure that all submitted data are complete and accurate. This appendix provides an overview of the Facility Data Profile (FDP), an important communication tool that EPA uses to ensure consistent, complete, and accurate submissions from reporting facilities. This appendix also provides specific guidance to avoid common errors in completing Form Rs and Form A Certification Statements, including errors in threshold determination, misapplication of exemptions, and activities involving a reportable chemical, any of which may result in the erroneous non-reporting of a chemical.

## C.1 Facility Data Profile (FDP)

FDPs are made available by the TRI Data Processing Center to a reporting facility in response to any submission the TRI Data Processing Center receives. You may review your FDP on the Internet at <http://www.triefdp.org>. It is very important that you review your FDP. If the Technical Contact provided an email address in the Form R/Form A, they will receive a real-time email notifying them when their FDP has been updated and posted to the FDP website. Beginning in April 2012, you may review your FDP on the internet by logging into your CDX account and clicking the “*TRI-MEweb*; Prepare Submission” link from your MyCDX page. This will open the “Welcome” page of *TRI-MEweb*. Follow the instructions for obtaining your FDP. It is very important that you review your FDP. If the technical contact provided an email address in the Form R/Form A, they will receive an email notifying them when their FDP has been updated and published for their review in *TRI-MEweb*. If you have questions regarding your FDP, please send an email to [tri.efdp@epacdx.net](mailto:tri.efdp@epacdx.net) or call, +1 (703) 227-7644. Facilities that send corrections in response to their FDPs are encouraged to submit a revision using CDX.

An FDP is comprised of the following sections:

**Facility Information.** This section displays all facility-specific data, including TRI Facility Identification (TRIFID), facility name, facility address, facility mailing address, relevant permits (e.g., RCRA, NPDES, and UIC), North American Industry Classification System code (NAICS), and other facility data. Errors related to facility information will be marked in this section.

**Instructions Page.** This page provides instructions on how to review and respond to the FDP.

**Certification Statement Signature Page.** This page provides the Certification Statement to be signed by a facility owner/operator or senior management official if using the FDP to make a revision.

**Chemical Report Summary.** This section lists all chemicals reported by the facility for each reporting year covered by the FDP. For example, if the FDP is responding to five original chemical submissions for Reporting Year 2008 and revisions to one chemical for Reporting Year 2007, a list of all chemicals for both years will appear.

**Errors/Alerts Identified In This Report: Non-Technical Data Changes (NDC), Notices of Technical Errors (NOTE), Notices of Significant Error (NOSE), and Data Quality Alerts (DQA).** FDPs identify three different types of errors: NDCs, NOTES and NOSEs and one type of alert called Data Quality Alert (DQA). See explanations in section B.

**Error Summary Page.** The Error Summary Page provides facilities an error/alert count for each chemical submission.

**Chemical Reports.** All recently submitted and processed Form R or Form A data (i.e., chemical specific data) are displayed in the chemical reports under the appropriate facility or subordinate facility names. The FDP displays facsimiles for chemical reports for submissions received during the current calendar year and revisions or responses to FDPs only. For example, if a facility originally reported five chemicals for Reporting Year 2008, and subsequently revises only one chemical submission, the facility will receive an FDP for Reporting Year 2008 with only the revised chemical included in the Chemical Reports section. Hence there may be fewer chemical reports than chemicals listed in the Chemical Summary section. If only facility level changes have occurred (i.e., Part I of the Form R or A), this section is not provided.

## C.2 Levels of Errors Identified in FDPs: Notice of Non-Technical Data Change (NDC), Notice of Technical Errors (NOTE), Notice of Significant Errors (NOSE), Notice of Noncompliance (NON)

**FDP Error Reporting.** In addition to echoing back the information a facility has submitted, FDPs are used to identify potential errors and provide Data Quality Alerts, as well as indicate where the TRI Data Processing Center has made minor clerical changes to submissions. As submission information is entered into EPA's national database, a series of automated data quality checks are performed. The data quality checks are useful to identify potential errors with certain data fields such as TRI Facility Identification, facility name, county spelling, as well as to perform validation checks to ensure consistency among data elements within a given Form R or Form A. These data quality checks, however, cannot detect whether release, transfer, or waste management quantities were calculated or entered accurately. Within a FDP notice, there may be up to three different types of errors identified.

First, a **Non-Technical Data Change (NDC)** notifies you of simple, clerical errors that the TRI Data Processing Center has corrected for you. It is not necessary to respond to a NDC. The TRI Data Processing Center will correct simple, clerical errors that are not technical or scientific - a "**non-technical data change.**" For example, if a facility transposes CAS numbers (e.g., the submitter lists 7623-00-0 for sodium nitrite instead of 7632-00-0), the TRI Data Processing Center will correct this clerical error and display the correct information on the facility's FDP. If a facility lists a specific glycol ethers subcategory, the TRI Data Processing Center will replace this subcategory with the reportable name Acertain glycol ethers." The messages used on FDPs to report non-technical data changes are shown at the end of this appendix under the heading AE. Messages Used to Report Notices of Technical Errors (NOTEs) and Non-technical Data Changes (NDCs)."

Second, a **Notice of Technical Error (NOTE)** highlights inconsistencies or miscalculations that may distort your facility's information in EPA's public data products or skew analyses. Incomplete addresses, no technical or public contact provided, missing or invalid NAICS codes, or the use of range codes to report PBT chemical releases are all examples of technical errors. You should respond to NOTEs as soon as possible. These types of errors require that the reporting facility make corrections on its FDP (or provide the TRI Data Processing Center with a brief explanation why they do not believe that it is an error) or submit a revised Form R or Form A. Depending upon when your changes are received, there may or may not be

sufficient time to incorporate them into EPA's database before your report has been released to the public. Technical errors do not prevent submissions from being entered into the data management system, but indicate inconsistencies or miscalculations in the submitted form. These errors can distort public information products and skew any analyses if not corrected. The messages used on FDPs to report NOTEs are shown below at the end of this appendix under the heading "D. Messages Used to Report Notices of Technical Errors (NOTEs) and Non-technical Data Changes (NDCs)."

Third, more serious errors are classified as **Notices of Significant Errors (NOSE)**. The FDP contains the Notice of Significant Error if applicable. Significant errors prevent submissions from being entered into the TRI Data Processing Center data management system or do not allow the TRI Data Processing Center to verify the authenticity of the submission. Invalid forms, missing pages, no chemical name or CAS number are examples of significant errors. These types of errors require that the reporting facility make corrections on their FDP, submit a revised Form R or Form A, or provide the TRI Data Processing Center with a brief explanation why they do not believe that it is an error. A facility must respond to a Notice of Significant Error within 21 days of receipt. Failure to respond within the initial 21 day requirement could result in the issuance of a Notice of Noncompliance (NON). A Notice of Noncompliance is not included in a FDP and is mailed separately.

In previous years, reporters would receive a NOSE for failure to certify a submission (i.e. not signing paper forms or sending in the signed certification statement for reports on disk). Beginning in RY2009, the TRI program will no longer send NOSE level errors for failure to certify forms. These will instead go directly to a Notice of Non-Compliance (see below). This includes any electronic submission that is not certified in the *TRI-MEweb* system as of July 1<sup>st</sup>, 2010 for which the user has not submitted certification via another reporting media, such as paper.

**TRI-MEweb Error Reporting.** One of the advantages of using the online electronic *TRI-MEweb* reporting system is that it will notify submitters of several classes of common errors, decreasing the potential for reporting errors on the initial submission that will have to be corrected later. Users will not be allowed to submit until any critical errors found by the software are corrected.

The Agency will issue a **Notice of Noncompliance (NON)** to a facility for failure to respond to a Notice of Significant Error (NOSE) within the required period. A NON requires a facility to take the corrective action within 30 days and respond to the Agency that corrective action has been taken. If a facility fails to respond to the NON within the required time period, the Agency may take further action.

Facilities must keep copies, for three years, of submitted Form R reports and Form A certifications and all documentation used to complete their submissions. This documentation should include calculations for threshold

determinations, the basis of exemptions applied, and the estimation techniques and data used for all quantities reported on the Form R and Form A.

For the first time beginning with RY 2004, TRI will provide **Data Quality Alerts** (DQA). The DQA informs facilities of possible reporting issues. For example, if a facility reports a change in the release of a chemical that is over 25% compared to last year, a DQA will be triggered. This is offered to assist facilities in ensuring accurate reporting.

## C.3 Common Errors in Completing Form R Reports and Form A Certification Statements, including Reporting Determination Errors

### General Considerations

**Lack of signed Certification Statement.** If you choose not to send your TRI submissions via the paperless CDX process, you must sign and submit Part I, Section 3 of your hard copy submission. Although EPA accepts paper submissions, EPA strongly encourages you to send your submission via *TRI-MEweb* and CDX.

**Incomplete Forms.** A complete Form R report for a single EPCRA section 313 chemical or single EPCRA section 313 chemical category consists of five pages stapled together. By using *TRI-MEweb* and CDX, errors such as this would not occur. Each chemical submission must have its own page one. EPA cannot enter into the database data from a package that contains only one page 1, but several page 2s, 3s, 4s, and/or 5s. Such forms are considered incomplete submissions.

### Threshold Determinations

**Calculating threshold determinations.** Annual quantities manufactured, processed, or otherwise used for section 313 chemicals must be calculated, not surmised. The assumption that thresholds are exceeded commonly leads to error.

**Misclassification of EPCRA section 313 chemical activity.** Failure to correctly classify an EPCRA section 313 chemical activity may result in an incorrect threshold determination. As a result, a facility may fail to submit the required Form R.

**EPCRA section 313 chemical activity overlooked.** Many facilities believe that because the section 313 reporting requirement pertains to manufacturers, only the use of EPCRA section 313 chemicals in manufacturing processes must be examined. Any activity involving the manufacture, process, or otherwise use of an EPCRA section 313 chemical or chemical category must be included in threshold

determinations. Commonly overlooked activities include importation of chemicals, generation of waste byproducts, processing of naturally occurring metals and metal category compounds in ore, manufacturing and processing intermediates, the use of chemicals for cleaning of equipment, and the generation of byproducts during combustion of coal and/or oil. Facilities should take a systematic approach to identify all chemicals and mixtures used in production and non-production capacities, including catalysts, well treatment chemicals, and wastewater treatment chemicals.

**Considering EPCRA section 313 chemicals in mixtures and other trade name products.** EPCRA section 313 chemicals contained in mixtures (including ores and stainless steel alloys) and other trade name products must be factored into threshold determinations and release and other waste management determinations, provided that the *de minimis* exemption cannot be taken. When the EPCRA section 313 chemical being reported is a component in a mixture or other trade name product, report only the weight of the EPCRA section 313 chemical in the mixture. Refer to Section B.4b of this document to calculate the weight of an EPCRA section 313 chemical in a mixture or other trade name product.

**Overlooking manufacturing.** Coincidental manufacturing must not be overlooked. If coal and/or fuel oil and other raw materials that contain EPCRA section 313 chemicals are used in boilers/burners, there is a potential for the coincidental manufacture of EPCRA section 313 chemicals such as sulfuric acid (acid aerosols), hydrochloric acid (acid aerosols), hydrogen fluoride, and metal category compounds. Additionally, manufacturing of EPCRA section 313 chemicals during waste treatment is commonly overlooked. For example, the treatment of nitric acid may result in the manufacturing of a reportable chemical (nitrate compounds).

### Container Residue

**Overlooking container residue.** Container residue must not be disregarded in release and other waste management calculations. Even a "RCRA empty" drum is expected to contain a residue and it must be considered for TRI reporting. Additionally, on-site drum rinsing and disposal of the rinsate will result in a release and other waste management activity. Refer to Estimating Releases and Waste Treatment Efficiencies for Toxic Chemical Reporting Forms.

## Part I. Facility Identification Information

### Section 1. Reporting Year

**Invalid Forms.** The correct version of the form for the reporting year in question must be used. For example, forms provided for reporting years 1987-1990 must not be used to report data for reporting years 1991-1995. Form Rs provided for reporting years 1991-1995 must not be used to report data for years 1996 and later

### Section 2. Trade Secret Information

**Incorrect completion of trade secret information.** The responses to trade secret questions in Part I Section 2 and Part II Section 1.3 of Form R/Form A must be consistent. If trade secrecy is indicated, a sanitized Form R/Form A and two trade secret substantiations (one sanitized) must be submitted in the same package as the unsanitized trade secret Form R/Form A. Part II Section 1.3 should be blank if no trade secret claim is being made. Also, if you indicate in Part I, Section 2.1 that you are **not** claiming trade secret information, leave Part I, 2.2 blank.

### Section 3. Certification

**Missing certification signature.** If you are submitting your Form R and/or Form A by hardcopy, an original certification signature must appear on page 1 of every Form R and/or Form A submitted to EPA. If you are submitting your Form R and/or Form A via *TRI-MEweb* and CDX, you must electronically sign the submission before it can be loaded into the TRI database. Uncertified electronic submissions will not be accepted and result in a Notice of Non-Compliance (NON) if they remain uncertified after the July 1<sup>st</sup> reporting deadline and you have not reported by another means.

## Section 4. Facility Identification

**Questionable entries.** Incorrect entries may require corrections to be made by the facility. The use of the *TRI-MEweb* software may prevent such errors from occurring. Questionable entries may include:

- Missing or incorrect street address;
- Missing or incorrect ZIP codes;
- Missing County names;
- Invalid SIC codes;
- Missing or invalid Dun & Bradstreet numbers;
- Missing or invalid RCRA, NPDES, or UIC numbers; and
- Incomplete off-site and POTW information (e.g., missing city name)

If amounts are reported in units other than pounds (e.g., metric units) or with exponential numbers, EPA may require a revision of the Form R/Form A submitted. The exception is for the reporting of dioxin and dioxin-like compounds where the amounts are reported in grams.

## Part II. Chemical-Specific Information

### Section 1. Toxic Chemical Identity

**Reporting chemical abstract service (CAS) registry numbers in Section 1.1.** Beginning with the 1991 reporting year, EPA has assigned alphanumeric category codes to the twenty chemical categories for the purposes of reporting the CAS number field in Section 1.1. When completing a Form R for a chemical category, the appropriate code for that category must be provided in Section 1.1. The CAS numbers are listed in Table II: "Section 313 Toxic Chemical List," and if needed, the category codes are listed in Appendix B: "Reporting Codes for EPA Form R." Category guidance documents are listed in the Chemical and Industry Guidance Documents section in this document.

**Failure to check for synonyms.** Some reportable chemicals (especially glycol ethers and toluene diisocyanates) have many synonyms that do not readily imply they are in the category. For example, benzene,1,3-diisocyanatomethyl may not be readily recognized as toluene diisocyanate (mixed isomers).

**Invalid chemical identification in Section 1.2.** The CAS number and the chemical name reported here must exactly match the listed official EPCRA section 313 CAS number and EPCRA section 313 chemical name.

**Failure to consider an EPCRA section 313 chemical qualifier.** Only EPCRA section 313 chemicals in the form specified in the qualifier require reporting under section 313 and should be reported on Form R with the appropriate qualifier in parentheses. For example, isopropyl alcohol is listed on the EPCRA section 313 chemical list with the qualifier manufacturing- strong acid process, no supplier notification. Thus, the ONLY facilities that should report this EPCRA section 313 chemical are those that manufacture isopropyl alcohol by the strong acid process.

**Generic chemical name in Section 1.3.** A generic chemical name should only be provided if the section 313 chemical identity is claimed as a trade secret.

### Section 2. Mixture Component Identity

Identifying chemicals used in mixtures. Facilities should carefully review the most recent MSDS or supplier notification for every mixture brought on-site to identify all section 313 chemicals used during a reporting year. Although some mixtures may not have MSDSs, the best readily available information should be used to determine the presence of EPCRA section 313 chemicals in ores and alloys.

Mixture names in Section 2.1. Mixture names are to be entered here only if the supplier is claiming the identity of the EPCRA section 313 chemical a trade secret and that is the sole identification. Mixture names that include the name or CAS number of one or more EPCRA section 313 chemicals are not valid uses of the mixture name field.

### Section 3. Activities and Uses of the Toxic Chemical at the Facility

**Reporting EPCRA section 313 chemical activity.** EPCRA section 313 chemical activity is commonly overlooked or misclassified. *Any activity* involving the manufacture, process, or otherwise use of an EPCRA section 313 chemical must be examined. For example, waste treatment operations otherwise use EPCRA section 313 chemicals to treat waste streams and may coincidentally manufacture an additional EPCRA section 313 chemical as a result of the treatment reaction. Such activity must be considered. Further, EPCRA section 313 chemical activity must be correctly classified as either "manufactured," "processed," or "otherwise used."

**Section 3.1** Manufacture means to produce, prepare, compound, or import an EPCRA section 313 chemical.

**Section 3.2** Process means the preparation of an EPCRA section 313 chemical after its manufacture, which usually includes the incorporation of the EPCRA section 313 chemical into the final product, for distribution in commerce.

**Section 3.3** Otherwise use encompasses any use of an EPCRA section 313 chemical that does not fall under the terms "manufacture" or "process," and includes treatment for destruction, stabilization (without subsequent distribution in commerce), disposal, and other use of an EPCRA section 313 chemical, including an EPCRA section 313 chemical contained in a mixture or other trade name product. Otherwise use of an EPCRA section 313 chemical does not include disposal, stabilization (without subsequent distribution in commerce), or treatment for destruction unless:

1. The EPCRA section 313 chemical that was disposed of, stabilized, or treated for destruction was received from off-site for the purposes of further waste management; or
2. The EPCRA section 313 chemical that was disposed of, stabilized, or treated for destruction was manufactured as a result of waste management activities on materials received from off-site for the purposes of further waste management activities.

For example, solvents in paint applied to a manufactured product are often misclassified as processed, instead of otherwise used. Because the solvents are not incorporated

into the final product, the solvent is being otherwise used, not processed.

#### Section 4. Maximum Amount of the Toxic Chemical On-site at Any Time During the Calendar Year

**Maximum amount on-site left blank.** Failure to provide the appropriate code for maximum amount on site.

#### Section 5. Quantity of the Toxic Chemical Entering Each Environmental Medium On-site

**Incorrectly reporting stack emissions.** Fugitive emissions from general indoor air should not be reported as stack emissions when released from a single building vent. Additionally, stack emissions from storage tanks, including loading, working, and breathing losses from tanks, should not be overlooked or reported as fugitive emissions.

**Overlooking releases to land.** Section 313 chemicals placed in stockpiles or in surface impoundments should be reported as a “release to land” even if no section 313 chemicals leak from these sources. Quantities of section 313 chemicals land-treated should be reported as a release to land.

#### Section 6. Transfers of the Toxic Chemical in Wastes to Off-site Locations

**Reporting discharges to POTWs in Section 6.1.** When quantities of a listed mineral acid are neutralized to a pH of 6 or greater, the quantity reported as discharged to a POTW should be reported as zero. It is incorrect to enter “NA” (Not Applicable), in such a situation.

**Reporting other off-site transfers in Section 6.2.** Any quantities reported in Sections 8.1, 8.3, 8.5, and 8.7 as sent off-site for disposal, treatment, energy recovery, or recycling, respectively, must also be reported in Section 6.2 along with the receiving location and appropriate off-site activity code.

#### Section 7A. On-Site Waste Treatment Methods and Efficiency

**Failure to report waste treatment methods in Section 7A.** Waste treatment methods used to treat waste streams containing EPCRA section 313 chemicals, and the efficiencies of these methods, must be reported on Form R. Information must be entered for all waste streams, even if the waste treatment method does not affect the EPCRA section 313 chemical. If no waste treatment is performed on waste streams containing the EPCRA section 313 chemical, the box marked Not Applicable in Section 7A should be checked on Form R.

**Incorrect reporting of waste treatment methods in Section 7A.** The type of waste stream, waste treatment efficiency, and waste treatment method for each waste stream are required to be reported on Form R using specific codes. The waste treatment codes are listed in Appendix B: Reporting Codes for EPA Form R. A table is also provided in Appendix B that displays a crosswalk between the old codes and new ones for reporting year 2005.

#### Section 7B. On-Site Energy Recovery Processes

**Reporting on-site energy recovery methods in Section 7B.** When a quantity is reported in Section 8.2 as combusted for energy recovery on-site, the type of energy recovery system used must be reported in Section 7B, and vice versa.

#### Section 7C. On-Site Recycling Processes

**Reporting on-site recycling methods in Section 7C.** When a quantity is reported in Section 8.4 as recycled on-site, the type of recovery method must be reported in Section 7C, and vice versa.

#### Section 8. Source Reduction and Recycling Activities

The *TRI-MEweb* software offers a Section 8 Calculator. The Section 8 Calculator will assist users in calculating their Section 8 source reduction and recycling activity quantities. Please note that if you use range codes to report data in sections 5 and 6, *TRI-MEweb* will default to the mid-point of the range when performing section 8 calculations.

*The entries in this section must be completed, even if your facility does not engage in source reduction or recycling activities.*

Columns C and D, the future year projections for questions 8.1 through 8.7, must be completed. EPA expects a reasonable estimate for the future year projections. Zero can be used in columns C and D to indicate that the manufacture, process, or otherwise use of the chemical will be discontinued. In such cases, columns C and D for Section 8.1 through 8.7 must all contain zeroes.

It is incorrect to use range codes to report quantities in Section 8. Range codes can be used only in Sections 5 and 6 of Form R.

It is incorrect to use the same codes from Section 4 for reporting the maximum amount of the reported EPCRA section 313 chemical on-site to report quantities in Section 8.

Quantities reported in Section 8.1 through 8.7 are mutually exclusive and additive. This means that quantities of the reported EPCRA section 313 chemical must not be double-counted in Section 8.1 through 8.7.

Some double-counting errors have been due to confusion over the differences in how on-site treatment of an EPCRA section 313 chemical is reported in Section 7A as compared to Section 8. In Section 7A, information on the treatment of *waste streams* containing the EPCRA section 313 chemical is reported, along with the percent efficiency in terms of destruction or removal of the EPCRA section 313 chemical from each waste stream. In Section 8, only the quantity of the *EPCRA section 313 chemical* actually destroyed through the treatment processes reported in Section 7A is reported in Section 8.6 to avoid double-counting within Sections 8.1 through 8.7.

Quantities reported in Section 8.1 through 8.7 must not be reported in Section 8.8 and vice versa.

Any time a reported EPCRA section 313 chemical is contained in a waste, and the waste is associated with routine production-related activities and is recycled, combusted for energy recovery, treated, disposed of, or otherwise released either on- or off-site, that quantity of the EPCRA section 313 chemical must be included in the quantities reported in Sections 8.1 through 8.7

**Reporting quantities in Section 8.1** Quantities of EPCRA section 313 chemicals that are released (including disposed of) on-site and reported in Section 5 of Form R must be reported in either Section 8.1a or 8.1b.

§8.1a = § 5.4.1 + § 5.5.1A + § 5.5.1B - § 8.8 (on-site disposal to landfills or UIC Class I Wells)<sup>1</sup>

§ 8.1b = § 5.1 + § 5.2 + § 5.3 + § 5.4.2 + § 5.5.2 + § 5.5.3A + § 5.5.3B + §5.5.4 - § 8.8 (on-site disposal or other releases, other than disposal to landfills or UIC Class I Wells)<sup>1</sup>

Quantities of EPCRA section 313 chemicals transferred off-site for the purposes of disposal reported in Section 6.2 using the following codes must appear in Section 8.1c:

- M64 Other Landfills
- M65 RCRA Subtitle C Landfills
- M81 Underground Injection to Class I Wells

§ 8.1c = § 6.1 (portion of transfer that is untreated and ultimately disposed of in landfills or UIC Class I Wells) + § 6.2 (quantities associated with M codes M64, M65, and M81) - § 8.8 (off-site disposal to landfills or UIC Class I Wells)<sup>1</sup>

Metals and metal category compounds transferred off-site to POTWs in Section 6.1 must appear in Section 8.1c or 8.1d. To report correctly in Sections 8.1a through d, a facility must include quantities that are disposed of or otherwise released to the environment either on-site or off-site, excluding disposal or other releases due to catastrophic events or non-production related activities.

Quantities of EPCRA section 313 chemicals transferred off-site for the purposes of disposal reported in Section 6.2 using the following codes must appear in Section 8.1d:

- M10 Storage Only
- M41 Solidification/Stabilization - Metals and Metal Category Compounds Only
- M62 Wastewater Treatment (excluding POTW) - Metals and Metal Category Compounds Only
- M66 Subtitle C Surface Impoundment
- M67 Other Surface Impoundments
- M73 Land Treatment
- M79 Other Land Disposal
- M82 Underground Injection to Class II-V Wells
- M90 Other Off-Site Management
- M94 Transfer to Waste Broker - Disposal
- M99 Unknown.

§ 8.1d = § 6.1 (portion of transfer that is untreated and ultimately disposed of or otherwise released, other than disposal to landfills or UIC Class I Wells) + § 6.2 (quantities associated with M codes M10, M41, M62, M66, M67, M73, M79, M82, M90, M94, and M99) - § 8.8 (off-site disposal or other releases due to catastrophic events, other than disposal to landfills or UIC Class I Wells)<sup>1</sup>

**Reporting quantities in Section 8.2 “Quantity used for energy recovery on-site.”** A quantity must be reported in Section 8.2 for the current (reporting) year when a method of on-site energy recovery is reported in Section 7B, and vice versa. An error facilities make when completing Form R is to report the methods of energy recovery used on-site in Section 7B but not report the total quantity associated with those methods. Another error is to report a quantity in this section if the combustion of the EPCRA section 313 chemical took place in a system that did not recover energy (e.g., an incinerator). A quantity of the EPCRA section 313 chemical combusted for energy recovery must not be reported if the EPCRA section 313 chemical does not have a significant heating value. Examples of EPCRA section 313 chemicals that do not have significant heating values include metals, metal portions of metal category compounds, and halons. Metals and metal portions of metal compounds will never be treated or combusted for energy recovery. Any quantities of the EPCRA section 313 chemical associated with

<sup>1</sup> §8.8 includes quantities of toxic chemicals disposed of or otherwise released on site or managed as a waste off site due to remedial actions, catastrophic events, or one-time events not associated with the production processes.

non-production related activities such as catastrophic releases and remedial actions, as well as other one-time events not associated with routine production practices that were combusted for energy recovery on-site must not be included in Section 8.8.

**Reporting quantities in Section 8.3 “Quantity used for energy recovery off-site.”** As in Section 8.2, a quantity must not be reported in this section if the off-site combustion of the EPCRA section 313 chemical took place in a system that did not recover energy (e.g., incinerator). A quantity of an EPCRA section 313 chemical must not be reported as sent off-site for the purposes of energy recovery if the EPCRA section 313 chemical does not have a significant heating value. Examples of EPCRA section 313 chemicals that do not have significant heating values include metals, metal portions of metal category compounds, and halons. Metals and metal portions of metal category compounds will never be combusted for energy recovery. Quantities must be reported in Section 8.3 that are reported in Section 6.2 as transferred off-site for the purposes of combustion for energy recovery using the following codes:

- M56 Energy Recovery
- M92 Transfer to Waste Broker - Energy Recovery

§ 8.3 = § 6.2 (energy recovery) - § 8.8 (off-site energy recovery)<sup>2</sup>

**Reporting quantities in Section 8.4 “Quantity recycled on-site.”** A quantity must be reported in Section 8.4 for the current reporting year when a method of on-site recycling is reported in Section 7C, and vice versa. An error facilities make when completing Form R is to report the methods of recycling used on-site in Section 7C but not report the total quantity recovered using those methods.

In addition, only the amount of the chemical that was actually recovered is to be reported in Section 8.4. Any quantities of the EPCRA section 313 chemical associated with non-production related activities such as catastrophic releases and remedial actions, as well as other one-time events not associated with routine production practices that were recycled on-site must not be included in Section 8.8.

**Reporting quantities in Section 8.5. “Quantity recycled off-site.”** Quantities reported in Section 6.2 as transferred off-site for the purposes of recycling must be included in Section 8.5 using the following codes:

- M20 Solvents/Organic Recovery
- M24 Metals Recovery
- M26 Other Reuse or Recovery
- M28 Acid Regeneration
- M93 Transfer to Waste Broker - Recycling.

§8.5 = §6.2 (recycling) - §8.8 (off-site recycling)<sup>2</sup>

**Reporting quantities in Section 8.6 “Quantity treated on-site.”** Quantities may not always have to be reported in Section 8.6 when Section 7A is completed. This is because the information reported in Section 7A and Section 8 is different. Information on how waste streams containing the reported EPCRA section 313 chemical are treated is reported in Section 7A, while the quantity of the EPCRA section 313 chemical actually destroyed as a result of on-site treatment is reported in Section 8.6. If a quantity is reported in Section 8.6, Section 7A must be completed but the reverse may not be true. This may result in apparent discrepancies between Section 7A and Section 8. For example, a facility may treat wastewater containing an EPCRA section 313 chemical by removing the EPCRA section 313 chemical and then disposing of it on-site. The treatment of the wastewater would be reported in Section 7A, with an efficiency estimate based on the amount of the EPCRA section 313 chemical removed from the wastewater. Although the waste stream has been treated because the EPCRA section 313 chemical has been removed, the EPCRA section 313 chemical has not been treated because it has not been destroyed. The facility would report only the amount of the EPCRA section 313 chemical actually destroyed during treatment in Section 8.6 and the amount ultimately disposed of in Section 8.1 to avoid double-counting the same quantity in Section 8. In cases where the EPCRA section 313 chemical is not destroyed during a treatment process and subsequently enters another activity, such as disposal (e.g., metals removed from wastewater and subsequently disposed of on-site), the quantity of the EPCRA section 313 chemical would be reported as disposed of in Section 8.1, not as treated in Section 8.6. Any quantities of the EPCRA section 313 chemical associated with non-production related activities such as catastrophic releases and remedial actions, as well as other one-time events not associated with routine production practices that were treated for destruction on-site must not be included in Section 8.8. Metals generally will not be treated for destruction.

**Reporting quantities in Section 8.7 “Quantity treated off-site.”** Quantities reported in Section 6.2 as transferred off-site for the purposes of treatment must be included in Section 8.7 using the following codes:

<sup>2</sup>§8.8 includes quantities of toxic chemical disposed of or otherwise released on-site or managed as waste off-site due to remedial actions, catastrophic events, or one-time events not associated with the production processes.

- M40 Solidification/Stabilization
- M50 Incineration/Thermal Treatment
- M54 Incineration/Insignificant Fuel Value
- M61 Wastewater Treatment (excluding POTW)
- M69 Other Waste Treatment
- M95 Transfer to Waste Broker - Waste treatment.

Quantities of an EPCRA section 313 chemical, except metals and metal category compounds, sent off-site to a POTW should also be reported in Section 8.7. If you know, however, that a chemical is not treated for destruction at the POTW you should report that quantity in Section 8.1 instead of 8.7.

To report correctly EPCRA section 313 chemicals in Section 8.7, use the following equation.

$\text{\$8.7} = \text{\$6.1}$  (portion of transfer that is ultimately treated) +  $\text{\$6.2}$  (treatment) -  $\text{\$8.8}$  (off-site treatment)<sup>3</sup>

**Reporting quantities in Section 8.8 Quantity released to the environment as a result of remedial actions, catastrophic events or one-time events not associated with production processes.** The quantities that are reported in Section 8.8 are associated with non-production related activities such as catastrophic releases and remedial actions, as well as one-time events not associated with routine production practices, that were disposed of or released directly to the environment or transferred off-site for the purposes of recycling, energy recovery, treatment or disposal. Quantities reported in Section 8.8 must not be reported in Section 8.1 through 8.7.

**Reporting the production ratio in Section 8.9.** A production ratio or activity index must be provided in Section 8.9. A zero is not acceptable and NA (Not Applicable) can be used only when the reported EPCRA section 313 chemical was not manufactured, processed, or otherwise used in the year prior to the reporting year.

**Calculating production ratio in Section 8.9.** In calculating a production ratio for otherwise used chemicals, an activity index must be used rather than quantities purchased or released from year to year.

**Reporting source reduction activities in Section 8.10.** It is an error to report a source reduction activity in Section 8.10 and not report at least one method used to identify that activity and vice versa.

<sup>3</sup>§8.8 includes quantities of toxic chemical disposed of or otherwise released on-site or managed as waste off-site due to remedial actions, catastrophic events, or one-time events not associated with the production processes.

## C.4 FDP Messages Used to Report Notices of Significant Errors

**Note:** EPA is continually trying to improve the error checking system for TRI submissions. As a result, a small number of the error messages in this appendix may be changed by the time the Reporting Year 2008 submissions are checked. Most of these messages will remain the same. You can look for changes to these error messages on the TRI home page at <http://www.epa.gov/tri>

1. You have used an invalid Form R or Form A by using either a form not applicable for the reporting year, or a facsimile form that has not been approved by EPA. Resubmit your data on a current EPA approved Form R or A.
2. Pages were missing from the form received. Correct this by resubmitting a complete certified form for this chemical substance.
3. Multiple chemicals were reported in your Form R. You must submit a separate and complete Form R for each chemical cited.
4. You have provided a valid CAS number and a valid chemical name, but they do not match. Respond by providing a valid CAS number and matching chemical name.
5. You have left part or all of the chemical identification sections blank. Respond by providing a valid CAS number and matching chemical name or Mixture Component Identity.
6. You reported a CAS number and chemical name that are invalid. Respond by providing a valid CAS number and matching chemical name.
7. Your form indicated Trade Secret status with an indication that this form is a Sanitized version, but the report contains no Generic Chemical Name. You must provide a Generic Chemical Name for this sanitized form.
8. You have reported Dioxin and Dioxin-like Compounds on a Form A. Dioxin and Dioxin-like Compounds are not eligible for the alternate threshold. Thus, this chemical must be reported on a Form R. Please resubmit your data on a Form R.
9. In Part I, Section 1 of the Form R or Form A Certification Statement You did not enter a reporting year. (Note: EPA has set the year to 2084 as a default.) You must enter a valid reporting year for your Form R or Form A Certification Statement. This entry cannot be left blank and NA may not be used. (NOSE)
10. In Part I, Section 1 of the Form R or Form A Certification Statement you provided an invalid or future reporting year. You must enter a valid

reporting year for your Form R or Form A Certification Statement. Valid years are 1987 through 2010. This entry cannot be left blank and NA may not be used. (NOSE)

12. You have reported a negative number(s) in Part II, Sections 5 and/or 6 and/or 8 of your Form R. Quantities reported in these sections must be 0 or greater. Please respond by providing correct release or other waste management data.
13. You did not complete Part II, Sections 5 and 6. Please provide the required information; otherwise indicate NA.
14. You did not complete Part II, Section 7. Please provide the required information; otherwise indicate NA.
15. You did not complete Part II, Section 8. Please provide the required information; otherwise indicate NA.

## C.5 Messages Used to Report Notices of Technical Errors (NOTEs) and Non-technical Data Changes (NDCs)

### Invalid codes throughout Form R

16. You submitted an invalid code. To correct this, consult the instructions for the proper table value and provide a valid code value. [Specific location on the form of the invalid code is given.] (NOTE)
17. PBT chemicals (e.g., Dioxin and Dioxin-like Compounds, Lead Compounds, Mercury Compounds and Polycyclic Aromatic Compounds (PACs)) are ineligible for range reporting for on-site releases and transfers off-site for further waste management. Please provide specific release, transfer, and other waste management values.(NOTE)
18. For aluminum (fume or dust) or zinc (fume or dust), the Waste Management codes M56 and M92 are unacceptable. Please provide the proper Waste Management codes for these chemicals. (NOTE)
19. For asbestos (friable), the Waste Management codes M56 and M92 are unacceptable. Please provide the proper Waste Management codes for these chemicals. (NOTE)

### General Errors for both the Form R and/or Form A

20. You reported a negative value for a release, transfer or other waste management quantity. Please provide a non-negative value for the specified part and section. (NOTE)

21. You have reported a value for a PBT chemical beyond seven digits to the right of the decimal. EPA's data management systems support data precision up to seven digits to the right of the decimal. EPA has truncated your numeric submission so the number of digits to the right of the decimal do not exceed seven. If this was incorrect, specify the correct value, not exceeding seven digits to the right of the decimal. (NDC)

### Errors in Part I, Facility Identification Information

22. No selection was made in Part I, Section 2.1 and 2.2 (Trade Secret Information) and a generic chemical name was not provided in Part II, Section 1.3. Therefore, the No box was selected in Part I, Section 2.1. If this was incorrect, and you intended to make a trade secret claim of the identity of the toxic chemical, you must resubmit following the requirements of 40 CFR Part 350 to claim trade secret. (NDC)
23. You indicated trade secret in Part I, Section 2.1 (Trade Secret Information) but made no selection for Part I, Section 2.2 (sanitized/unsanitized) and did not provide a generic chemical name in Part II, Section 1.3. EPA changed your selection in Part I, Section 2.1 to indicate that a trade secret claim is not being made. If this was incorrect, and you intended to make a trade secret claim for the identity of the toxic chemical, you must resubmit following the requirements of 40 CFR Part 350 to claim trade secret. (NDC)
24. You made a selection of No in Part 1, Section 2.1 (Trade Secret Information) and selected unsanitized in Part 1, Section 2.2. In Part II, Section 1.3 a generic name was indicated. Part II, Section 1.3 should be completed only if trade secret is being claimed (Part 1, Section 2.1). EPA will move the chemical name information in Part II, Section 1.3 to Part II, Section 1.2. If this is incorrect and you wish to claim trade secret, you must resubmit following the requirements of 40 CFR Part 350. (NDC)
25. In Part I, Section 4.1, you entered NA or did not enter a county name, city name, state code, and/or zip code. These fields may not be left blank and NA is not an acceptable entry. You must provide a county name, city name, state code, and/or zip code where the facility is located. (NDC)
26. EPA has corrected the county name, city name, state code, and/ or zip code that you identified in Part I, Section 4.1. The county name, city name, state code, and/ or zip code that you identified was either mis-spelled, or incorrect, or did not match the previous year submissions. If you feel our correction was made in error, please resubmit forms with correct information. (NDC)

## Appendix C

27. In Part I, Section 4.1, you have used an invalid TRIFID or you have self-assigned your own TRIFID or TRIFID that has been superceded. You may not generate your own TRIFID. The TRI Data Processing Center assigns this number to a facility. EPA has corrected this error and assigned you the correct TRIFID. Please note the corrected TRIFID and keep it for use in future submissions. (NDC)
28. No Public Contact name and/or telephone number was listed. Please provide the name and telephone number of your Public Contact. (NOTE)
29. No Technical Contact name and/or telephone number was listed. Please provide the name and telephone number of your Technical Contact. (NOTE)
30. The Federal Facility box was not checked on your form but we believe you are a Federal Facility. Unless you respond that you are not a Federal Facility, we will continue to treat you as a Federal Facility. (NOTE)
31. A valid NAICS code was not provided. Please provide at least one valid primary six-digit NAICS code. (NOTE)
32. You reported an invalid state code. If the address is in the US, please use a valid US Postal Service state code (see Table III of the Reporting Forms and Instructions). If the address is not in the US, please enter a valid code in the Country Field (see Table IV of the Reporting Forms and Instructions) (NOTE)
33. Either Box A (An Entire Facility) or Box B (Part of a Facility) should be checked in Part I, Section 4.2. One of the 2 boxes must be checked, but not both. (NOTE)
34. If applicable, check either Box C (Federal Facility) or Box D (GOCO) in Part I, Section 4.2, but do not check both boxes. (NOTE)
35. You did not enter Longitude or Latitude values for the facility. Please enter a Longitude and Latitude value. (NOTE)
36. You entered an invalid Longitude/Latitude value(s). Longitude degrees must be between 0 and 180, latitude degrees must be between 0 and 90; minutes and seconds for either latitude or longitude must be between 0 and 60. (NOTE)
37. Dun and Bradstreet Numbers (Part I Section 4.7) are typically 9 characters in length. Please check the number(s) submitted. If they are incorrect, please make the appropriate changes. If you believe that they are correct, no further action is necessary. (NOTE)
38. EPA Identification Numbers (RCRA I.D. No. Part I Section 4.8) are typically 12 characters in length. Please check the number(s) submitted. If they are incorrect, please make the appropriate changes. If you believe that they are correct, no further action is necessary. (NOTE)
39. NPDES Permit Numbers (Part I, Section 4.9) are typically 9 characters in length. Please check the number(s) submitted. If they are incorrect, please make the appropriate changes. If you believe that they are correct, no further action is necessary. (NOTE)
40. Underground Injection Well Code (UIC) I.D. Numbers (Part I, Section 4.10) are typically 12 digits. Please check the number(s) you have supplied. If they are incorrect, please make appropriate changes. If you believe that they are correct, no further action is necessary. (NOTE)
41. If this is a North American phone number, please enter all 10 digits (i.e., include area code). If this is for another country, please begin the phone number with "011" as the prefix to your international telephone number. (NOTE)
42. In Part I, Section 3, you did not provide a printed or typed name and official title of owner/operator or senior management official. It cannot be N/A or left blank. Please provide a name for owner/operator or senior management official. (NOTE)
43. In Part I, Section 5.1 you did not enter the name of the parent company. This block cannot be left blank. You must enter the name for the parent company if it is a U.S. company. If it is a foreign company then you may check the [NA] box. (NOTE)
44. The parent company Dun and Bradstreet Number in Part I, Section 5.2 (typically a 9-digit number ) cannot be left blank. However, if your parent company does not have a Dun and Bradstreet Number check the [NA] box next to Part I, Section 5.2. (NOTE)

### Errors in Part II, Section 1. Toxic Chemical Identity

45. You have correctly identified the chemical but have used a synonym for the chemical name. EPA has changed the Chemical Name to use the preferred TRI nomenclature. Please specify the correct CAS Number and matching Chemical Name. (NDC)
46. The CAS number you reported was changed to match the chemical name reported, because the CAS number you provided was not a valid TRI Chemical. If this was incorrect, specify a valid CAS number and matching chemical name. (NDC)
47. The chemical name you reported was changed to match the CAS number reported, because the chemical name you provided was not a valid TRI Chemical. If this was incorrect, specify a valid

- CAS Number and matching Chemical Name. (NDC)
48. You reported a valid TRI CAS Number, a valid Chemical Name, and a generic Chemical Name. Therefore, the Generic Chemical Name was deleted. If this was incorrect, specify the Generic Chemical Name to be used. (NDC)
49. You reported a valid TRI CAS Number, a valid Chemical Name, and a Mixture Component Identity. Therefore, the Mixture Component Identity was deleted. If this was incorrect, specify the Mixture Component Identity to be used. (NDC)
50. EPA has changed the TRI chemical category code you reported in Part II, Section 1.1 from N151 to N150 (the code was incorrectly listed in some pages of the Reporting Forms and Instructions), the correct TRI chemical category code for Dioxin and Dioxin-like Compounds. If this is incorrect and you are not reporting Dioxin and Dioxin-like Compounds, please specify the correct CAS number or chemical category code and matching chemical name.(NDC)
51. You have reported for isopropyl alcohol (Only persons who manufacture by the strong acid process are subject) (CAS number 67-63-0). If you did not manufacture isopropyl alcohol by the strong acid process, you have submitted this form in error and should request that the form be withdrawn. (NOTE)
55. You did not provide values in all 17 boxes in Part II, Section 1.4 and/or the values do not total to 100%. When reporting the distribution of each member of the Dioxin and Dioxin-like Compounds category, you must fill in each of the 17 boxes in Part II, Section 1.4 with either 0 or a number between 0.01 and 100. The summation of the 17 fields in Section 1.4 must equal 100. Please review Part II, Section 1.4 and enter values where needed and/or adjust the percentages where needed so that their summation equals 100%. (NOTE)
56. You reported numeric values in Part II, Section 1.4 for a chemical that is not Dioxin and Dioxin-like Compounds. It appears that the information reported in Part II, Section 1.4 is not valid. If you are reporting for Dioxin and Dioxin-like Compounds, please indicate on this FDP that the chemical category name in Part II, Section 1.2 should be Dioxin and Dioxin-like Compounds, or submit a new Form R. Otherwise, please indicate on this FDP that the values in Part II, Section 1.4 should be blank. (NOTE)
57. Part II, Section 1.4 of your Form R contains data for Dioxin and Dioxin-like Compounds. However, you have indicated both NA and a numeric value (which includes zero). When reporting the distribution of each member of the Dioxin and Dioxin-like Compounds category, you must fill in each of the 17 boxes in Part II, Section 1.4 with either 0 or a number between 0.01 and 100. The summation of the 17 fields in Section 1.4 must equal 100. If you do not have speciation data available, indicate NA rather than zero. (NOTE)

**Errors in Form R, Part II, Section 1.4. Dioxin and Dioxin-like Compounds**

52. EPA has deleted the entry of zeros in Part II, Section 1.4 because you indicated a CAS number or chemical category in Part II, Section 1.1 other than Dioxin and Dioxin-like Compounds. When reporting for a chemical other than Dioxin and Dioxin-like Compounds, you should leave Section 1.4 blank. (NDC)
53. You did not complete Section 1.4. If you report Dioxin and Dioxin-like Compounds in Part II, Sections 1.1 or 1.2, you must complete Section 1.4. Please report the distribution of chemicals included for Dioxin and Dioxin-like Compounds. If you do not have speciation data available, indicate NA. (NOTE)
54. Part II, Section 1.4 of your Form R contains data for Dioxin and Dioxin-like Compounds. However, you have indicated a CAS number or chemical category code in Part II, Section 1.1 other than Dioxin and Dioxin-like Compounds (N150). If you are reporting for Dioxin and Dioxin-like Compounds, please provide the proper chemical category code (N150). Otherwise please indicate on the FDP that Section 1.4 should be left blank. (NOTE)

**Errors in Part II, Section 3. Activities and Uses of Toxic Chemical At The Facility**

58. You did not indicate in Part II, Section 3 which activity(ies) or use(s) of the EPCRA section 313 chemical occur at your facility. Please indicate at least one of the activity(ies) and use(s) of the EPCRA section 313 chemical occur at your facility. (NOTE)

**Errors in Part II, Section 4. Maximum Amount of the Toxic Chemical Onsite At Any Time During the Calendar Year**

59. You did not complete Part II, Section 4.1. Please provide a valid two digit code for the “maximum amount of chemical on-site at any time during the calendar year.” (NOTE)

**Errors in Part II, Section 5. Quantity of the Toxic Chemical Entering Each Environmental Medium Onsite**

60. You did not complete Part II, Section 5.3. If you have discharged to water, please provide the Stream/Water Body name, the Release estimate or

- range code, Basis of Estimate and % from Stormwater; otherwise indicate “NA” (Not Applicable). (NOTE)
61. There are missing or incomplete data for Part II, Section 5.3. If you have discharged to water, please provide the Stream/Water Body name, the Release estimate or range code, Basis of Estimate and % from Stormwater; otherwise indicate “NA” (Not Applicable). (NOTE)
  62. You did not complete Part II, Section 5. Please provide the Release estimate or range code and Basis of Estimate; otherwise indicate “NA” (Not Applicable). (NOTE)
  63. There are missing or incomplete data for Part II, Section 5. Please provide the Release estimate or range code and Basis of Estimate; otherwise indicate “NA” (Not Applicable). (NOTE)
- Errors in Part II, Section 6. Transfers of the Toxic Chemical In Wastes To Off-Site Locations**
64. You did not complete Part II, Section 6.1, “discharges to POTW.” If you did not discharge wastewater containing the section 313 chemical to a POTW(s), enter “NA” (Not Applicable), otherwise please provide the Transfer amount or range code, Basis of Estimate, POTW Name and Location. (NOTE)
  65. You reported a POTW(s) name and location but did not provide a Transfer amount. Please provide a Total Transfer amount or range code and Basis of Estimate; otherwise, if there was no transfer to a POTW of wastewater that contains or contained the section 313 chemical, delete the POTW location and indicate “NA” (Not Applicable) for the POTW transfer amount. (NOTE)
  66. You reported a Total Transfer amount or range code and Basis of Estimate in Part II Section 6.1 but did not indicate a POTW name and location in Section 6.1.B. Please provide the POTW Name and Location. (NOTE)
  67. You provided an incomplete POTW name and address. Please provide the name and complete address for the POTW. (NOTE)
  68. There are missing or incomplete data for Part II, Section 6.1. Please provide the transfer amount or range code and Basis of Estimate for Discharges to POTWs. (NOTE)
  69. You did not complete Part II, Section 6.2, “Transfers to Other Off- site Locations.” If you did not transfer the waste containing the section 313 chemical to other off-site locations, enter “NA” (Not Applicable), otherwise please provide Offsite EPA ID, Name, Location, Transfer amount or range code, Basis of Estimate, and type of Waste Management code. (NOTE)
  70. You reported an Off-site Transfer amount or range code and Basis of Estimate in Part II Section 6.2 but did not indicate an Off-site name and location in Section 6.2. Please provide the Off-site Name and Location. (NOTE)
  71. You reported an Off-site name and location but did not provide a Transfer amount. Please provide a Total Transfer amount or range code, Basis of Estimate and type of Waste Management code; otherwise, if there was no transfer to this Off-site location, delete the Off-site name and location and indicate “NA” (Not Applicable) in the Off-site EPA Identification Number (RCRA ID No.) field. (NOTE)
  72. You provided both county and country data. If this is an extra-national transfer, indicate the off-site name, address, and Country Code; if a domestic Offsite, provide the Off-site Name and correct address. (NOTE)
  73. You reported an Off-site name and location, but there are missing or incomplete data for the off-site transfer amount, basis of estimate and type of waste management code. Please provide the Off-site Transfer amount or range code, Basis of Estimate, and type of Waste Management code. (NOTE)
  74. You provided incomplete off-site name and address data. For a transfer to a domestic off-site location, you must provide a street address, city, state, county and zip code. For a transfer to a foreign off-site location, you must provide a street address, city and a two character country code. (NOTE)
  75. You reported an invalid Type of Waste Management code. For metals/metal compounds use only disposal and certain recycling activities codes. Consult the Reporting Instructions for metal and metal compounds and correct with a valid Waste Management (i.e., “M”) code. (NOTE)
  76. You reported an invalid Type of Waste Management code. For Barium Compounds use only disposal and certain recycling activities codes, M61-Wastewater Treatment (Excluding POTW) or M69-Other Waste Treatment. Consult the Reporting Instructions for metal and metal compounds and correct with a valid Waste Management (i.e., “M”) code. (NOTE)
  77. For non-metals codes M41 and M62 are unacceptable. Provide the appropriate Disposal or Other Waste Management code for this non-metal substance. (NOTE)
  78. In Part II, Section 6.2 column C you reported M codes (M56 and/or M92) for energy recovery, however you left Section 8.3 column B blank. Please provide the quantity used for energy recovery offsite in pounds/year in Section 8.3 column B. (NOTE)

- |                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                           |                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                          |
|-----------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|----------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|
| <p>79. In Part II, Section 6.2 column C you reported M Codes (M20, M24, M26, M28, M93) for recycling, however you left Section 8.5 column B blank. Please provide the quantity recycled offsite in pounds/year in Section 8.5 column B. (NOTE)</p> <p>80. In Part II, Section 6.2 column C you reported M Codes (M40, M50, M54, M61, M69, M95) for treatment, however you left Section 8.7 column B blank. Please provide the quantity treated offsite in pounds/year in Section 8.7 column B. (NOTE)</p> | <p>87. There are no data in Part II, Section 8.9. Please provide a production ratio, an activity index, or "NA" (Not Applicable) if the chemical manufacture or use began during the current reporting year. (NOTE)</p> <p>88. There are no data in Part II, Section 8.10. If your facility did not engage in any source reduction activity for the reported chemical, enter "NA" (Not Applicable) and answer 8.11. Otherwise please provide Source Reduction Activities and Methods code(s). (NOTE)</p> |
|-----------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|----------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|

**Errors in Part II, Section 7. On-Site Waste Treatment Methods and Efficiency**

81. There are no data contained in all of Part II, Section 7A. If you do not treat wastes containing the EPCRA section 313 chemical at your facility, indicate "NA;" otherwise please provide the general waste stream code, waste treatment methods, range of influent concentration, waste treatment efficiency estimate and whether this is based on operating data for all on-site waste treatments for this chemical. (NOTE)
82. There are missing data in Part II, Section 7A. Please provide the general waste stream code, waste treatment methods, range of influent concentration, waste treatment efficiency estimate and whether this is based on operating data. (NOTE)
83. There are no data in Part II, Section 7B. If no on-site energy recovery processes are used for this section 313 chemical at your facility, indicate "NA;" otherwise please provide at least one three-character on-site energy recovery process code. (NOTE)
84. There are no data in Part II, Section 7C. If no on-site recycling processes are used for this section 313 chemical at your facility, indicate "NA;" otherwise please provide at least one three-character on-site recycling process code. (NOTE)

**Errors in Part II, Section 8. Source Reduction and Recycling Activities**

85. There are missing data for Part II, Section 8.1-8.7. Please provide an estimate or "NA" (Not Applicable) in each box for section 8.1 through 8.7, columns A, B, C, and D. You may only use "NA" (Not Applicable) when there is no possibility a release or transfer occurred. You may enter zero if the release or transfer was equal to or less than half a pound. (NOTE)
86. There are missing data in Part II, Section 8.8. Please provide an estimate or "NA" (Not Applicable). You may only use "NA" (Not Applicable) when there is no possibility a release or transfer occurred. You may enter zero if the release or transfer was equal to or less than half a pound. (NOTE)

89. There are missing data in Part II, Section 8.10. Please provide Source Reduction Activities and Methods code(s). (NOTE)
90. Neither box was checked in section 8.11. Please check one of the boxes in section 8.11 indicating if additional information on source reduction, recycling, or pollution control activities is included with your Form R report. (NOTE)
91. You have reported a listed metal or metal compound category in section 8.2, 8.3, 8.6 or 8.7. However, these chemicals cannot be treated for destruction. Metal or metal compound category can only be reported as disposed of or recycled. Please report appropriately in Section 8.1, 8.4, or 8.5. (NOTE)
92. You reported a negative value for a release, transfer or other waste management quantity. Please provide a non-negative value for the specified part and section. (NOTE)

**Errors relating to the reconciliation of data in Part II, Section 8 and Part II, Sections 5, 6, and 7**

93. You did not complete Sections 8.1 through 8.7 column B or 8.8. If you report releases in Part II, Section 5 and/or an off-site transfer in Section 6.2 and/or quantities transferred off-site to POTWs in Section 6.1, you must report an estimate in Part II, Sections 8.1 through 8.7 column B and/or Section 8.8. (NOTE)
94. You did not complete Sections 5, 6, or 7. If you enter an estimate in Part II, Sections 8.1 through 8.7, column B and/or Section 8.8, you must also report releases in Part II, Section 5 and/or off-site transfers in Section 6.2 and/or quantities transferred off-site to POTWs in Section 6.1 and/or waste treatment, energy recovery, or recycling codes in Section 7. Please provide data for Sections 5, 6, and/or 7. (NOTE)
95. You reported an estimate in Part II, Section 8.2, column B, "Quantity Used for Energy Recovery On-site," but did not provide an on-site energy recovery code in Part II, Section 7B. Please provide an on-site energy recovery code for Part II, Section 7B. (NOTE)

## Appendix C

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96. You reported an “On-site Energy Recovery Process” code in Part II, Section 7B, but you did not provide an estimate of the quantity used for energy recovery in Part II, Section 8.2, column B. Please provide an estimate of the quantity used for energy recovery for Part II, Section 8.2, column B. (NOTE)
97. You reported an estimate in Part II, Section 8.4, column B “Quantity Recycled On-site” but did not provide an on-site recycling code in Part II, Section 7C. Please provide an on-site recycling code for Part II, Section 7C. (NOTE)
98. You reported one or more on-site recycling process codes in Part II, Section 7C but did not provide an estimate in Part II, Section 8.4, column B, “Quantity Recycled On-site.” Please provide an estimate of the quantity recycled for Section 8.4 column B. (NOTE)
99. You reported a value in Part II, Section 8.3 column B, however you did not provide a corresponding quantity with an appropriate M Code (M56 and/or M92) for energy recovery in Section 6.2 column C. Please provide the appropriate quantity and M Codes for energy recovery in Section 6.2 column C. (NOTE)
100. You reported a value in Part II, Section 8.5 column B, however you did not provide a corresponding quantity with an appropriate M Code (M20, M24, M26, M28, M93) for recycling in Section 6.2 column C. Please provide the appropriate quantity and M Codes for recycling in Section 6.2 column C. (NOTE)
101. You reported a value in Part II, Section 8.7 column B, however you did not report a quantity in Section 6.1 or a quantity with an appropriate M Code (M40, M50, M54, M61, M69, M95) for treatment in Section 6.2 column C. Please provide a quantity in Section 6.1 or the appropriate quantity and M Codes for treatment in Section 6.2 column C. (NOTE)
102. You have reported a listed metal or metal compound category in Part II, Section 6.1, however you have not provided a quantity released in section 8.1 column B. Note that in Section 8a, metal or metal compound category can only be reported as disposed of or recycled and not reported as treated for energy recovery or treated for destruction. Please provide quantity released in pounds/year in Section 8.1 column B. (NOTE)
103. You have reported a listed metal or metal compound category in Part II, Section 6.1, however you have not provided quantity released in 8.1d Column B. Note that in Section 8a, metal or metal compound category can only be reported as disposed of or recycled and not reported as treated for energy recovery or treated for destruction.
- Please provide quantity released in pounds/year Section 8.1B. (NOTE)

# Appendix D. Supplier Notification Requirements

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EPA requires some suppliers of mixtures or other trade name products containing one or more of the EPCRA section 313 chemicals to notify their customers. This requirement has been in effect since January 1, 1989.

This appendix explains which suppliers must notify their customers, who must be notified, what form the notice must take, and when it must be sent.

## D.1 Who Must Supply Notification

You are covered by the section 313 supplier notification requirements if you own or operate a facility which meets all of the following criteria:

1. Your facility is in a North American Industry Classification System (NAICS) code that corresponds to Standard Industrial Classification [SIC] codes 20–39;
2. You manufacture (including import) or process an EPCRA section 313 chemical; and
3. You sell or otherwise distribute a mixture or other trade name product containing the EPCRA section 313 chemical to either:
  - A facility in a covered NAICS code (see Table I).
  - A person that then may sell the same mixture or other trade name product to a firm in a covered NAICS code (see Table I).

**Note that you may be covered by the supplier notification rules even if you are not covered by the section 313 release reporting requirements.** For example, even if you have fewer than 10 full-time employees or do not manufacture or process any of the EPCRA section 313 chemicals in sufficient quantities to trigger the release and other waste management reporting requirements, you may still be required to notify certain customers.

## D.2 Who Must Be Notified

Industries whose primary NAICS code does not correspond to SIC codes 20 through 39 are not required to initiate the distribution of notifications for EPCRA section 313 chemicals in mixtures or other trade name products that they send to their customers.

However, if these facilities receive notifications from their suppliers about EPCRA section 313 chemicals in mixtures or

other trade name products, they should forward the notifications with the EPCRA section 313 chemicals they send to other covered users.

An example would be if you sold a lacquer containing toluene to distributors who then may sell the product to other manufacturers. The distributors are not in a covered NAICS code, but because they sell the product to companies in covered NAICS codes, they must be notified so that they may pass the notice along to their customers, as required.

The language of the supplier notification requirements covers mixtures or other trade name products that are sold or otherwise distributed. The “otherwise distributes” language includes intra-company transfers and, therefore, the supplier notification requirements at 40 CFR Section 372.45 apply.

## D.3 Supplier Notification Content

The supplier notification must include the following information:

1. A statement that the mixture or other trade name product contains an EPCRA section 313 chemical or chemicals subject to the reporting requirements of EPCRA section 313 (40 CFR 372);
2. The name of each EPCRA section 313 chemical and the associated Chemical Abstracts Service (CAS) registry number of each chemical if applicable. (CAS numbers are not used for chemical categories, since they can represent several individual EPCRA section 313 chemicals.); and
3. The percentage, by weight, of each EPCRA section 313 chemical (or all EPCRA section 313 chemicals within a listed category) contained in the mixture or other trade name product.

For example, if a mixture contains a chemical (i.e., 12 percent zinc oxide) that is a member of a reportable EPCRA section 313 chemical category (i.e., zinc compounds), the notification must indicate that the mixture contains a zinc compound at 12 percent by weight. Supplying only the weight percent of the parent metal (zinc) does not fulfill the requirement. The customer must be told the weight percent of the entire compound within an EPCRA section 313 chemical category present in the mixture.

## D.4 How the Notification Must Be Made

The required notification must be provided at least annually in writing. Acceptable forms of notice include letters, product labeling, and product literature distributed to customers. If you are required to prepare and distribute a Material Safety Data Sheet (MSDS) for the mixture under the Occupational Safety and Health Act (OSHA) Hazard Communication Standard, your section 313 notification must be attached to the MSDS or the MSDS must be modified to include the required information. (A sample letter and recommended text for inclusion in an MSDS appear at the end of this appendix.)

You must make it clear to your customers that any copies or redistribution of the MSDS or other form of notification must include the section 313 notice. In other words, your customers should understand their requirement to include the section 313 notification if they give your MSDS to their customers.

## D.5 When Notification Must Be Provided

You must notify each customer receiving a mixture or other trade name product containing an EPCRA section 313 chemical with the first shipment of each calendar year. You may send the notice with subsequent shipments as well, but it is required that you send it with the first shipment each year. Once customers have been provided with an MSDS containing the section 313 information, you may refer to the MSDS by a written letter in subsequent years (as long as the MSDS is current).

If EPA adds EPCRA section 313 chemicals to the section 313 list, and your products contain the newly added EPCRA section 313 chemicals, notify your customers with the first shipment made during the next calendar year following EPA's final decision to add the chemical to the list. For example, if EPA adds chemical ABC to the list in September 1998, supplier notification for chemical ABC would have begun with the first shipment in 1999.

You must send a new or revised notice to your customers if you:

1. Change a mixture or other trade name product by adding, removing, or changing the percentage by weight of an EPCRA section 313 chemical; or
2. Discover that your previous notification did not properly identify the EPCRA section 313 chemicals in the mixture or correctly indicate the percentage by weight.

In these cases, you must:

1. Supply a new or revised notification within 30 days of a change in the product or the discovery of misidentified EPCRA section 313 chemical(s) in the mixture or incorrect percentages by weight; and
2. Identify in the notification the prior shipments of the mixture or product in that calendar year to which the new notification applies (e.g., if the revised notification is made on August 12, indicate which shipments were affected during the period January 1–August 12).

## D.6 When Notifications Are Not Required

Supplier notification is not required for a “pure” EPCRA section 313 chemical unless a trade name is used. The identity of the EPCRA section 313 chemical will be known based on label information.

You are not required to make a “negative declaration.” That is, you are not required to indicate that a product contains no EPCRA section 313 chemicals.

If your mixture or other trade name product contains one of the EPCRA section 313 chemicals, you are not required to notify your customers if:

1. Your mixture or other trade name product contains the EPCRA section 313 chemical in percentages by weight of less than the following levels (These are known as *de minimis* levels)
  - 0.1 percent if the EPCRA section 313 chemical is defined as an “OSHA carcinogen;”
  - 1 percent for other EPCRA section 313 chemicals.

*De minimis* levels for each EPCRA section 313 chemical and chemical category are listed in Table II. PBT chemicals (except lead when contained in stainless steel, brass or bronze alloys) are not eligible for the *de minimis exemption*. Therefore, *de minimis* levels are not provided for these chemicals in Table II. However, for purposes of supplier notification requirements only, such notification is not required when the following PBT chemicals are contained in mixtures below their respective *de minimis* levels:

**Appendix D**

Chemical or chemical category name	CAS number or chemical category code	Supplier notification limit (%)
Aldrin	309-00-2	1.0
Benzo[g,h,i]perylene	191-24-2	1.0
Chlordane	57-74-9	0.1
Dioxin and dioxin-like compounds (manufacturing; and the processing or otherwise use of dioxin and dioxin-like compounds if the dioxin and dioxin-like compounds are present as contaminants in a chemical and if they were created during the manufacturing of that chemical)	N150	1.0*
Heptachlor	76-44-8	0.1
Hexachlorobenzene	118-74-1	0.1
Isodrin	465-73-6	1.0
Lead	7439-92-1	0.1
Lead compounds	N420	0.1**
Mercury	7439-97-6	1.0
Mercury compounds	N458	1.0
Methoxychlor	72-43-5	1.0
Octachlorostyrene	29082-74-4	1.0
Pendimethalin	40087-42-1	1.0
Pentachlorobenzene	608-93-5	1.0
Polychlorinated biphenyls (PCBs)	1336-36-3	0.1
Polycyclic aromatic compounds category	N590	0.1***
Tetrabromobisphenol A	79-94-7	1.0
Toxaphene	8001-35-2	0.1

Chemical or chemical category name	CAS number or chemical category code	Supplier notification limit (%)
Trifluralin	1582-09-8	1.0
<p>*The <i>de minimis</i> level is 1.0 for all members except for 2,3,7,8-Tetrachlorodibenzo-<i>p</i>-dioxin which has a 0.1% <i>de minimis</i> level.  **The <i>de minimis</i> level is 0.1 for inorganic lead compounds and 1.0 for organic lead compounds  ***The <i>de minimis</i> level is 0.1 except for benzo(a)phenanthrene, dibenzo(a,e)fluoranthene, benzo(j,k)fluorene, and 3-methylcholanthrene which are subject to the 1.0% <i>de minimis</i> level.</p>		

2. Your mixture or other trade name product is one of the following:
  - An article that does not release an EPCRA section 313 chemical under normal conditions of processing or otherwise use.
  - Foods, drugs, cosmetics, alcoholic beverages, tobacco, or tobacco products packaged for distribution to the general public.
  - Any consumer product, as the term is defined in the Consumer Product Safety Act, packaged for distribution to the general public. For example, if you mix or package one-gallon cans of paint designed for use by the general public, notification is not required.
3. A waste sent off site for further waste management. The supplier notification requirements apply only to mixtures and trade name products. They do not apply to wastes.
4. You are initiating distribution of a mixture or other trade name product containing one or more EPCRA section 313 chemicals and your facility is in any of the covered SIC codes added during the 1997 industry expansion rulemaking, including facilities whose SIC code is within SIC major group codes 10 (except 1011, 1081, and 1094), 12 (except 1241); industry codes 4911 (limited to facilities that combust coal and/or oil for the purpose of generating power for distribution in commerce), 4931 (limited to facilities that combust coal and/or oil for the purpose of generating power for distribution in commerce), or 4939 (limited to facilities that combust coal and/or oil for the purpose of generating power for distribution in commerce); or 4953 (limited to facilities regulated under the Resource Conservation and Recovery Act,

subtitle C, 42 U.S.C. Section 6921 et seq.) or 5169, or 5171, or 7389 (limited to facilities primarily engaged in solvents recovery services on a contract or fee basis).

Information retained under 40 CFR 372 must be readily available for inspection by EPA.

## D.7 Trade Secrets

Chemical suppliers may consider the chemical name or the specific concentration of an EPCRA section 313 chemical in a mixture or other trade name product to be a trade secret. If they consider:

1. The specific identity of an EPCRA section 313 chemical to be a trade secret, the notice must contain a generic chemical name that is descriptive of the structure of that EPCRA Section 313 chemical (for example, decabromodiphenyl oxide could be described as a halogenated aromatic);
2. The specific percentage by weight of an EPCRA section 313 chemical in the mixture or other trade name product to be a trade secret, the notice must contain a statement that the EPCRA section 313 chemical is present at a concentration that does not exceed a specified upper bound. For example, if a mixture contains 12 percent toluene and you consider the percentage a trade secret, the notification may state that the mixture contains toluene at no more than 15 percent by weight. The upper bound value chosen must be no larger than necessary to adequately protect the trade secret.

If you claim this information to be trade secret, you must have documentation that provides the basis for your claim.

## D.8 Recordkeeping Requirements

You are required to keep records of the following for three years:

1. Notifications sent to recipients of your mixture or other trade name product;
2. All supporting materials used to develop the notice;
3. If claiming a specific EPCRA section 313 chemical identity a trade secret, you should record why the EPCRA section 313 chemical identity is considered a trade secret and the appropriateness of the generic chemical name provided in the notification; and
4. If claiming a specific concentration a trade secret, you should record explanations of why a specific concentration is considered a trade secret and the basis for the upper bound concentration limit.

## D.9 Sample Notification Letter

January 2, 2009

Mr. Edward Burke  
Furniture Company of North Carolina  
1000 Main Street  
Anytown, North Carolina 99999

Dear Mr. Burke:

This letter is to inform you that a product that we sell to you, Furniture Lacquer KXZ-1390, contains one or more chemicals subject to section 313 of Emergency Planning and Community Right-to-Know Act (EPCRA). We are required to notify you of the presence of these chemicals in the product under EPCRA section 313. This law requires certain industrial facilities to report on annual emissions and other waste management of specified EPCRA section 313 chemicals and chemical categories. Our product contains:

- Toluene, Chemical Abstract Service (CAS) number 108-88-3, 20 percent, and
- Zinc compounds, 15 percent.

If you are unsure whether you are subject to the reporting requirements of EPCRA section 313, or need more information, call the EPA/TRI Information Center. For contact information, please see the TRI Home Page at <http://www.epa.gov/tri>. Your other suppliers should also be notifying you about EPCRA section 313 chemicals in the mixtures and other trade name products they sell to you.

Finally, please note that if you repackage or otherwise redistribute this product to industrial customers, a notice similar to this one should be sent to those customers.

Sincerely,

Emma Sinclair  
Sales Manager  
Furniture Products

## D.10 Sample Notification on an MSDS Furniture Products

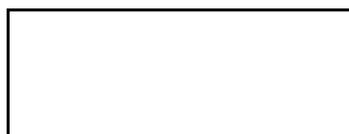
### Section 313 Supplier Notification

This product contains the following EPCRA section 313 chemicals subject to the reporting requirements of section 313 of the Emergency Planning and Community Right-To-Know Act of 1986 (40 CFR 372):

<u>CAS Number</u>	<u>Chemical Name</u>	<u>Percent by Weight</u>
108-88-3	Toluene	20%
NA	Zinc Compounds	15%

This information must be included in all MSDSs that are copied and distributed for this material.

### Material Safety Data Sheet



## Appendix E. TRI State Contacts

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EPCRA Section 313 requires facilities to submit reports to both EPA and their state. For a current list of state designated Section 313 contacts, see the TRI web site at:

State TRI Contact Information and Web Sites: [http://www.epa.gov/tri/stateprograms/state\\_programs.htm](http://www.epa.gov/tri/stateprograms/state_programs.htm)

# Appendix F. TRI Regional Contacts

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## Region 1 (CT, ME, MA, NH, RI, and VT)

Dwight Peavey  
Assistance and Pollution Prevention Office  
USEPA Region 1 (SPT)  
1 Congress Street, Suite 1100  
Boston, MA 02114-2023  
(617) 918-1829; fax: (617) 918-1810  
peavey.dwight@epa.gov

## Region 2 (NJ, NY, PR, and VI)

Nora Lopez  
Pesticides and Toxic Substances Branch  
USEPA Region 2 (MS-105)  
2890 Woodbridge Avenue, Building 10  
Edison, NJ 08837-3679  
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codina.thelma@epa.gov

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Permitting Division  
USEPA Region 6 (6PD-T)  
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Dallas, TX 75202-2733  
(214) 665-8116; fax: (214) 665-6762  
wakeland.morton@epa.gov

## Region 7 (IA, KS, MO, and NE)

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Air, RCRA and Toxics Division  
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wurtz.stephen@epa.gov

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(206) 553-4016; fax: (206) 553-8509  
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# Appendix G. Other Relevant Section 313 Materials

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## G.1 TRI National Analysis

### 2008 Toxics Release Inventory Public Data Release Report

TRI data collected from 1987 through 2009 can be accessed using the TRI Explorer online tool:

<http://www.epa.gov/triexplorer>

as well as several other public access tools available on the TRI website. In addition, users can obtain summary information and access the TRI data submitted for reporting years 1996 through 2008 via the Web from the TRI Internet site at <http://www.epa.gov/tri/tridata/index.htm>. This Internet site provides fast and easy access to the TRI data submitted for each of these years, as well as an overview of the data and other relevant TRI information regarding each year (including tables, charts, maps, and a summary of key findings). EPA has released two electronic publications summarizing the 2008 data: the 2008 TRI National Analysis Brochure and the 2008 National Analysis Key Findings. These reports provide an overview of the 2008 TRI reporting year data and other information relating to TRI data and can be found on the TRI website at [http://www.epa.gov/tri/tridata/tri08/national\\_analysis/index.htm](http://www.epa.gov/tri/tridata/tri08/national_analysis/index.htm). The reports serve as a supplement to EPA's TRI Explorer where, for example, users of TRI data can retrieve electronic 2008 state fact sheets that provide a snapshot of each state's releases and other waste management activities and a description of the information included in the state fact sheet.

## G.2. Access to TRI Information On-line

The **TRI Home Page** <http://www.epa.gov/tri> offers information useful to both novice and experienced users of the Toxics Release Inventory. It provides a description of what the TRI database is and how it can be used; access to TRI data; TRI regulations; and guidance documents for complying with TRI regulations and using TRI data. You can find out about TRI products, view or download the 2008 TRI reports, and identify who to contact for more information in EPA regions and state programs across the country. From the TRI home page,

you can link to other EPA and non-EPA sites that also allow you to search the TRI database and other databases online.

**TRI Explorer** <http://www.epa.gov/triexplorer> is an on-line tool that EPA has created to obtain TRI data. It allows the user to search the TRI database using six criteria: facility, chemical, year or industry type (NAICS code), federal facility and geographic area (at the county, state or national level). The tool will generate three types of reports: (1) Release Reports (including on- and off-site releases (i.e., off-site releases include transfers off-site to disposal and metals and metal compounds transferred to POTWs)); (2) Waste Transfer Reports (including amounts transferred off-site for further waste management but not including transfers off-site to disposal); and (3) Waste Quantity Reports (including amounts recycled, burned for energy recovery, quantities treated, and quantities released).

**TOXNET** <http://toxnet.nlm.nih.gov> the National Library of Medicine's (NLM) Toxicology Data Network, provides free access to several databases, including the TRI database, that provides a variety of information on toxic chemicals. As with EPA's TRI Explorer tool, users of TOXNET can search by chemical or other name, chemical name fragment, or Chemical Abstracts Service Registry Number. Also searchable are facility or parent company name, state, city, county, or zip code. Search results can be limited to releases greater than a specified number of pounds, and individual releases can be summed together to display a total amount. Toxicity and environmental fate data for thousands of chemicals are also available from TOXNET.

## G.3 Other TRI Information

**EPA's Integrated Risk Information System (IRIS)** <http://www.epa.gov/iris> is an electronic database containing information on human health effects that may result from exposure to various chemicals, including TRI chemicals, in the environment. IRIS was initially developed for EPA staff in response to a growing demand for consistent information of chemical

substances for use in risk assessments, decision-making and regulatory activities. The information in IRIS is intended for those without extensive training in toxicology, but with some knowledge of health sciences.

**Consolidated List of Chemicals Subject to the Emergency Planning and Community Right-to-Know Act and Section 112(r) of the Clean Air Act (List of Lists), (October 2001):**

<http://www.epa.gov/ceppo/pubs/title3.pdf>

A paper copy is available from the National Technical Information Service, 5285 Port Royal Road, Springfield, VA 22161, 703 605-6000, Document Number: PB2003-105834, \$38.00 plus \$5.00 shipping and handling.

**Chemicals in Your Community, A Citizen's Guide to the Emergency Planning and Community Right-to-Know Act, December 1999 (EPA 550-99-001).**

This booklet is intended to provide a general overview of the EPCRA requirements and benefits for all audiences. Part I of the booklet describes the provisions of EPCRA and Part II describes more fully the authorities and responsibilities of groups of people affected by the law and is available through written request at no charge from the EPA/TRI Information Center. For contact information, please see the TRI Home Page at <http://www.epa.gov/tri>.

**Chemicals in the Environment**

Issue number 6 of Chemicals in the Environment (CIE), published in the Fall of 1997, is devoted entirely to TRI. This 22 page publication contains 19 articles ranging from the history of TRI to the future of new TRI products. Articles include perspectives from the community, state, Federal, and International level. The publication also provides valuable information on training and contacts within the EPA. CIE is available free from EPA by asking for publication EPA 749-R-97-001b. To request copies, contact:

U.S. Environmental Protection Agency  
Ariel Rios Building  
1200 Pennsylvania Ave., N.W.  
Attn: TRI Documents  
MC: 2844  
Washington, DC 20460  
202 564-9554  
Email: TRIDOCS@epa.gov

**The Pollution Prevention Information Clearinghouse (PPIC)**

<http://www.epa.gov/oppt/ppic/index.html>

PPIC was established as part of EPA's response to the Pollution Prevention Act of 1990, which directed the Agency to compile information, including a database, on management, technical, and operational approaches to source reduction. PPIC provides information to the public and industries involved in conservation of natural resources and in reduction or elimination of pollutants in facilities, workplaces, and communities.

To request EPA information on pollution prevention or obtain fact sheets on pollution prevention from various state programs call the PPIC reference and referral service at 202 566-0799, or fax a request to 202 566-0794, or write to:

U.S. EPA  
Pollution Prevention Information  
Clearinghouse (PPIC)  
EPA West  
1200 Pennsylvania Ave. NW  
Room 3379 (Mail Code 7407-T)  
Washington, DC 20460-0001  
Email: [ppic@epa.gov](mailto:ppic@epa.gov)

# Appendix H. Guidance Documents

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## H.1 General Guidance

Many of the TRI guidance documents are available via the Internet <http://www.epa.gov/tri>.

- **40 CFR 372, Toxic Chemical Release Reporting; Community Right-to-Know; Final Rule**  
A reprint of the final EPCRA section 313 rule as it appeared in the *Federal Register* (FR) February 16, 1988 (53 FR 4500) (OTSFR 021688).
- **Common Synonyms for Chemicals Listed Under Section 313 of the Emergency Planning and Community Right-to-Know Act**  
March 1995 (EPA 745R-95-008)  

This glossary contains chemical names and their synonyms for substances covered by the reporting requirements of EPCRA section 313. The glossary was developed to aid in determining whether a facility manufactures, processes, or otherwise uses a chemical subject to EPCRA section 313 reporting.
- **EPCRA Section 313 Questions and Answers - Revised 1998 Version**  
December 1998 (EPA 745-B-98-004)  

The revised 1998 *EPCRA Section 313 Questions and Answers* document assists regulated facilities in complying with the reporting requirements of EPCRA section 313. This updated document presents interpretive guidance in the form of answers to many commonly asked questions on compliance with EPCRA section 313. In addition, this document includes comprehensive written directives to assist covered facilities in understanding some of the more complicated regulatory issues. This updated guidance document is intended to supplement the instructions for completing the Form R and the Alternate Threshold Certification Statement (Form A).
- **EPCRA Section 313 Questions and Answers - Addendum to the Revised 1998 Version**  
December 2004 (EPA-260-B-04-002)  

As a result of Executive Order 13148, regulatory actions, and legal decisions over the past five years, some of the Qs & As contained in the 1998 Q & A Document were updated. The 1998 Q & A Document remains valid guidance in all other respects.
- **EPCRA Section 313 Questions and Answers Addendum for Federal Facilities**  
May 2000 (EPA 745-R-00-003)  

This document is an addendum to the EPCRA section 313 Questions and Answers: Revised 1998 Version. It provides additional assistance to federal facilities in complying with EPCRA section 313. Federal facilities, which are subject to compliance under EPCRA through Executive Order 13423, frequently have operations that are different from the private sector facilities subject to EPCRA. The document contains questions and answers that address some of those differences.
- **EPCRA Section 313 Release and Other Waste Management Reporting Requirements**  
February 2001 (EPA 260/K-01-001)  

The brochure alerts businesses to their reporting obligations under EPCRA section 313 and assists in determining whether their facility is required to report. The brochure contains the EPA regional contacts, the list of EPCRA section 313 toxic chemicals and a description of the Standard Industrial Classification (SIC) codes subject to EPCRA section 313.
- **Toxic Chemical Release Reporting Using 2007 North American Industry Classification System (NAICS) Final Rule (73 FR 32466; June 9, 2008):** This final rule incorporates 2007 Office of Management and Budget (OMB) revisions and other corrections to the NAICS codes used for TRI Reporting.

- **Toxic Chemical Release Reporting Using North American Industry Classification System (NAICS) Final Rule (71 FR 32464; June 6, 2006):** With this rulemaking, Toxics Release Inventory (TRI) reporting will require North American Industry Classification System (NAICS) codes in place of Standard Industrial Classification (SIC) codes. North American Industry Classification System (NAICS), United States, 2002, Executive Office of the President, Office of Management and Budget, NTIS Order Number: PB2002-101430
- **Persistent Bioaccumulative Toxic (PBT) Chemicals; Final Rule (64 FR 58666)**  
A reprint of the final rule that appeared in the *Federal Register* of October 29, 1999. This rule adds certain PBT chemicals and chemical categories for reporting year 2000 and beyond under EPCRA section 313, lowers their activity thresholds and modifies certain reporting exemptions and requirements for PBT chemicals and chemical categories. In a separate action, as part of the October 29, 1999 rulemaking, EPA added vanadium (except when contained in alloy) and vanadium compounds. These are not listed as PBT chemicals.

## H.2 Supplier Notification Requirements

(EPA 560-4-91-006)

This pamphlet assists chemical suppliers who may be subject to the supplier notification requirements, gives examples of situations which require notification, describes the trade secret provision, and contains a sample notification.

- **Toxic Chemical Release Inventory Reporting Forms and Instructions Revised 2006 Version**  
February 2007 (EPA 260-C-06-901)
- **Toxics Release Inventory: Reporting Modifications Beginning with 1995 Reporting Year**  
February 1995 (EPA 745-R-95-009)
- **Trade Secrets Rule and Substantiation Form**
- (53 FR 28772)  
A reprint of the final rule that appeared in the *Federal Register* of July 29, 1988. This rule implements the trade secrets provision of the Emergency Planning and Community Right-to-Know Act (section 322). The current trade secret substantiation form can be accessed at <http://www.epa.gov/tri/report/index.htm#forms>

## H.3 Chemical-Specific Guidance

EPA has developed a group of guidance documents specific to individual chemicals and chemical categories.

- **Emergency Planning and Community Right-to-Know Section 313: List of Toxic Chemicals within the Chlorophenols Category**  
June 1999 (EPA745-B-99-013)
- **Toxics Release Inventory List of Toxic Chemicals within the Glycol Ethers Category and Guidance for Reporting**  
December 2000 (EPA745-R-00-004)
- **Emergency Planning and Community Right-to-Know Act Section 313: Guidance for Reporting Hydrochloric Acid (acid aerosols including mists, vapors, gas, fog and other airborne forms of any particle size)**  
December 1999 (EPA 745-B-99-014)
- **Emergency Planning and Community Right-to-Know Act - Section 313: Guidance for Reporting Releases and Other Waste Management Activities of Toxic Chemicals: Lead and Lead Compounds**  
November 2001 (EPA-260-B-01-027)
- **Emergency Planning and Community Right-to-Know Act - Section 313: Guidance for Reporting Toxic Chemicals: Mercury and Mercury Compounds Category**  
August 2001 (EPA 260-B-01-004)

- **Toxics Release Inventory List of Toxic Chemicals within the Nicotine and Salt Category and Guidance for Reporting**  
June 1999 (EPA 745-R-99-010)
- **Toxics Release Inventory List of Toxic Chemicals within the Water Dissociable Nitrate Compounds Category and Guidance for Reporting**  
December 2000 (EPA 745-R-00-006)
- **Emergency Planning and Community Right-to-Know Act - Section 313: Guidance for Reporting Toxic Chemicals: Pesticides and Other Persistent Bioaccumulative Toxic (PBT) Chemicals**  
August 2001 (EPA 260-B-01-005)
- **Toxics Release Inventory List of Toxic Chemicals within the Polychlorinated Alkanes Category and Guidance for Reporting**  
June 1999 (EPA 745-B-99-023)
- **Emergency Planning and Community Right-to-Know Act - Section 313: Guidance for Reporting Toxic Chemicals: Polycyclic Aromatic Compounds Category**  
August 2001 (EPA 260-B-01-003)
- **Toxics Release Inventory List of Toxic Chemicals within the Strychnine and Salts Category and Guidance for Reporting**  
June 1999 (EPA 745-R-99-011)
- **Emergency Planning and Community Right-to-Know Act Section 313: Guidance for Reporting Sulfuric Acid (acid aerosols including mists, vapors, gas, fog and other airborne forms of any particle size)**  
March 1998 (EPA745-R-97-007)
- **Toxics Release Inventory List of Toxic Chemicals within Warfarin Category**  
June 1999 (EPA745-B-99-011)
- **Toxics Release Inventory List of Toxic Chemicals within Ethylenebisdithiocarbamic Acid, Salts and Esters Category and List of Mixtures that Contain the Individually listed Chemicals Maneb, Metiram, Nabam, and Zineb**  
September 2001 (EPA 260-B-01-026)
- **Emergency Planning and Community Right-to-Know Act - Section 313: Guidance for Reporting Aqueous Ammonia**  
December 2000 (EPA 745-R-00-005)
- **Emergency Planning and Community Right-to-Know Act - Section 313: Guidance for Reporting Toxic Chemicals within the Dioxin and Dioxin-like Compounds Category**  
December 2000 (EPA 745-B-00-021)

## H.4 Industry-Specific Guidance

EPA has developed specific guidance documents for certain industries.

- **EPCRA Section 313: Guidance for Chemical Distribution Facilities**  
January 1999 (EPA 745-B-99-005)
- **EPCRA Section 313: Guidance for Petroleum Terminals and Bulk Storage Facilities**  
February 2000 (EPA 745-B-00-002)
- **EPCRA Section 313: Guidance for Coal Mining Facilities**  
February 2000 (EPA 745-B-00-003)

- **EPCRA Section 313: Guidance for Electricity Generating Facilities**  
February 2000 (EPA 745-B-00-004)
- **EPCRA Section 313 Reporting Guidance for Food Processors**  
September 1998 (EPA 745-R-98-011)
- **EPCRA Section 313 Reporting Guidance for the Leather Tanning and Finishing Industry**  
April 2000 (EPA 745-B-00-012)
- **EPCRA Section 313: Guidance for Metal Mining Facilities**  
January 1999 (EPA 745-B-99-001)
- **Emergency Planning and Community Right-to-Know Act Section 313 Reporting Guidance for the Presswood and Laminated Products Industry**  
August 2001 (EPA 260-B-01-013)
- **EPCRA Section 313 Reporting Guidance for the Printing, Publishing, and Packaging Industry**  
May 2000 (EPA 745-B-00-005)
- **EPCRA Section 313: Guidance for RCRA Subtitle C TSD Facilities and Solvent Recovery Facilities**  
January 1999 (EPA 745-B-99-004)
- **EPCRA Section 313 Reporting Guidance for Rubber and Plastics Manufacturing**  
May 2000 (EPA 745-B-00-017)
- **EPCRA Section 313 Reporting Guidance for Semiconductor Manufacturing**  
July 1999 (EPA 745-R-99-007)
- **EPCRA Section 313 Reporting Guidance for the Textile Processing Industry**  
May 2000 (EPA 745-B-00-008)
- **EPCRA Section 313 Reporting Guidance for Spray Application and Electrodeposition of Organic Coatings**  
December 1998 (EPA 745-R-98-014)

# Appendix I. Questions and Answers Regarding Facility Identification Information

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## I.1 Categories

This document provides additional information about TRI reporting procedures based on some frequently asked questions. The questions and their answers are organized into three groups:

- Section I.2 Identifying the parent company.
- Section I.3 Reporting after a change in name or ownership.
- Section I.4 Reporting for multiple sites and/or owners.

## I.2 Identifying the Parent Company

### A. Question

When a facility changes ownership after a Form R has been submitted, who is required to respond to a Notice of Noncompliance (NON) related to the Form R? Is the current or prior owner/operator required to respond to the NON?

### A. Answer

The current owner/operator has the primary responsibility for responding to a NON. However, all prior owners/operators back to January 1 of the reporting year may also be held responsible if the current owner/operator does not respond to the NON in an accurate, complete, and timely manner.  
(Source: 1997 EPCRA Section 313 Questions and Answers Document, Question 31 (EPA 745-B-97-008)).

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### B. Question

Who is the parent company for a 50/50 joint venture?

### B. Answer

The 50/50 joint venture is its own parent company.  
(Source: 1997 EPCRA Section 313 Questions and Answers Document, Question #33 (EPA 745-B-97-008)).

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### C. Question

Mom and Pop Plastics is a wholly owned subsidiary of a major chemical company which is a wholly owned subsidiary of Big Oil Corporation, located in St. Paul, Minnesota. Which is the parent company?

### C. Answer

Big Oil Corporation is the parent company.  
(Source: 1997 EPCRA Section 313 Questions and Answers Document, Question #35 (EPA 745-B-97-008)).

## I.3 Reporting After a Change in Name or Ownership

### A. Question

The owner/operator of a covered facility is preparing Form Rs for a facility. The facility and its parent company both changed their names after the reporting year. What names should be reported by the owner/operator (for both the facility and the parent company) on the Form Rs covering the reporting year?

### A. Answer

The facility should report the names used by the facility and parent company during that reporting year. When the owner/operator submits Form Rs for the next reporting year, these reports should reflect the names used by the facility and parent company during the new reporting year. Note that the TRI facility identification number will not change.  
(Source: 1997 EPCRA Section 313 Questions and Answers Document, Question #457 (EPA 745-B-97-008)).

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### B. Question

If a covered facility does not have a Dun & Bradstreet (D&B) number but the parent corporation does, should this number be reported?

### B. Answer

Report the D&B number for the facility. If a facility does not have a D&B number, enter "NA" in Part I, Section 4.7. The corporate D&B number should be entered in Part I, Section 5.2 relating to parent company information.  
(Source: 1997 EPCRA Section 313 Questions and Answers Document, Question #464 (EPA 745-B-97-008)).

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### C. Question

In October 2009, Facility X changes ownership and is purchased by Company Y. For the 2009 reporting year, which facility is obligated to submit the Form R or Form A, and whose name and what TRI identification number should be on the form?

### C. Answer

The owner or operator of the facility on the annual July 1 reporting deadline (i.e., Company Y) is primarily

responsible for reporting the data for the entire previous year's operations at that facility. Any other owner or operator of the facility before the reporting deadline may also be held liable. The form submitted for a given reporting year must reflect the names used by the facility and its parent company on December 31 of that reporting year, even if the facility changed its name or ownership at any time during the reporting year. In this scenario, because Facility X changed ownership before December 31 of the reporting year, Company Y's name should appear on the form. The TRI identification number is location-specific; thus, the identification number will stay the same even if the facility changes names, production processes, or NAICS codes.

(Source: Monthly Call Center Report Question EPA530-R-98---5j; October 1998).

## I.4 Reporting for Multiple Sites and/or Owners

### A. Question

If two plants are separate establishments under the same site management, must they have separate D&B numbers?

### A. Answer

They may have separate D&B numbers, especially if they are distinctly separate business units. However, different divisions of a company located at the same facility usually do not have separate D&B numbers.

(Source: 1997 EPCRA Section 313 Questions and Answers Document, Question #465 (EPA 745-B-97-008)).

### B. Question

An electricity generating facility (EGF) is comprised of multiple independent owners. Each individual owner runs his/her own separate operation, but each has a financial interest in the operation of the entire facility. What name should be entered as the parent company in Part I, Section 5.1 of the Form R? Should the facility report under one holding company name?

### B. Answer

The EGF should enter in Part I, Section 5.1 of the Form R the name of the holding or parent company, consortium, joint venture, or other entity that owns, operates, or controls the facility.

(Source: Question #2, Addendum to the Guidance Documents for the Newly Added Industries (EPA 745-B-98-001)).

### C. Question

A covered facility sells one of its establishments to a new owner. The operator of the newly sold establishment,

however, does not change. The same operator operates the newly sold establishment and the rest of the facility. Although the facility makes its threshold determinations based on the activities at the entire facility (including the newly sold establishment), the facility chooses to report separately for the different establishments. What parent name should the newly sold establishment use, the parent name of the owner or the parent name of the operator (i.e., the same as the rest of the facility)?

### C. Answer

All establishments of a covered facility must report the parent name of the facility. Therefore, in the instance described above, the newly sold establishment should use the parent name of the facility operator (i.e., the same parent name the rest of the facility is using).

(Source: Spring Training 1998).

### D. Question

Company A purchases a facility from Company B between January 1, 2006 and June 30, 2006. For the 2005 reporting year, which company's name and identification number should appear on the Form R or Form A submission?

### D. Answer

In the case that a facility is purchased between January 1 and June 30, the form submitted for the previous year must reflect the name used by the facility on December 31 of that reporting year. In this example, company B's name should appear on the form because it owned the facility for the duration of the reporting year. The TRI identification number is location-specific; thus, the identification number will stay the same even if the facility changes names, production processes, or NAICS codes.

With regard to reporting, the owner or operator of the facility on the annual July 1 reporting deadline (Company A) is primarily responsible for reporting the data for the previous year's operations at that facility. However, all prior owners and operators back to January 1 of the year covered in the report may also be held responsible if the current owner or operator does not submit a report.

(Source: Monthly Call Center Report Question EPA530-R-98---5j; October 1998)

### E. Question

Two distinct NAICS code operations that are covered under EPCRA Section 313 (e.g., an electricity generating unit and a cement plant) are located on adjacent properties and are owned by the same parent company. The two operations are operated completely independently of one another (e.g., separate accounting procedures, employees, etc.). Are these two operations considered one facility under EPCRA Section 313?

**E. Answer**

Yes. Under EPCRA Section 313, a facility is defined as, “all buildings, equipment, structures, and other stationary items which are located on a single site or on contiguous or adjacent sites and which are owned or operated by the same person.” Because these two operations are located on adjacent properties and are owned by the same person they are considered one facility for EPCRA Section 313 reporting purposes. Additional information can be found in the 2009 Toxic Release Inventory Reporting Forms and Instructions.

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**F. Question**

A piece of contiguous property consists of three covered sites with various buildings, structures and equipment. The three sites are owned by two different companies – Company A and Company B. All three sites operate completely independently of each other and have separate personnel, finances, and environmental reporting systems. Site 1 and its buildings and structures are owned and operated by Company A and site 3 and its buildings and structures are owned and operated by Company B. The middle site, site 2 and its surrounding buildings and structures, are owned by Company A and operated by Company B. Are all three sites and their buildings and structures considered separate facilities under EPCRA Section 313? Who is responsible for reporting for each?

**F. Answer**

Under 40 CFR Section 372.3 a facility is defined as “all buildings, equipment, structures, and other stationary items which are located on a single site or on contiguous or adjacent sites and which are owned or operated by the same person.” Because all buildings and structures located on sites 1 and 2 are located on contiguous property and are owned by the same person, they are considered one facility. Because all buildings and structures located on sites 2 and 3 are located on contiguous property and are operated by the same person, they are also considered one facility. Therefore, for purposes of determining thresholds, the toxic chemicals manufactured, processed, and otherwise used at site 2 must be counted toward both Facility A’s and Facility B’s threshold determinations. Because the operator is primarily responsible for reporting, estimating and reporting releases and other waste management calculations for sites 2 and 3 are the primary responsibility of Company B, and the release and other waste management reporting for site 1 is the primary responsibility of Company A. EPA allows the release and other waste management reporting to be done in this manner to avoid “double counting” releases and waste management activities at site 2. However, provided thresholds have been exceeded, if no reports are received from a covered facility, determinations can be found in the 2009 Toxic Release Inventory Reporting Forms and Instructions.