



# U.S. EPA Meeting on Cr(VI) Research

August 10, 2016

ToxStrategies

# Outline

- 1. Background and design of MOA research project**
- 2. Summary of MOA research findings for Cr(VI)**
- 3. Open discussion on research findings**
  - a) Q & A
  - b) New MOA data
  - c) New PK data
  - d) Other topics

# Summary

- Tumors observed in the NTP study occurred only at very high doses
- Pharmacokinetic data indicate non-linearities in Cr(VI) disposition
- Precedent for non-genotoxic/threshold MOA for SI tumors
- Cr(VI) does not induce genotoxicity in target tissues
- Substantial evidence for a cytotoxicity/regenerative hyperplasia MOA

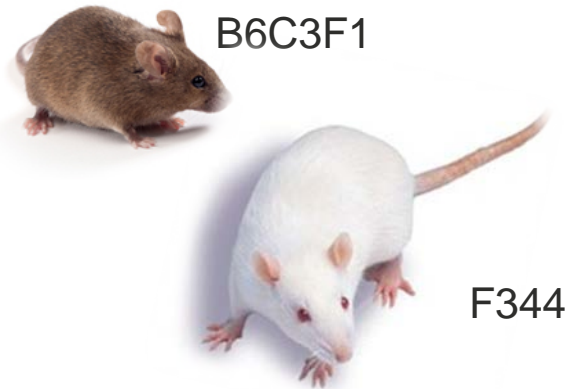
# NTP Cr(VI) and Cr(III) Bioassays (2008)

## NTP Cr(VI) drinking water study

- 5 to 180 ppm
- Rare tumors appeared late in the study

Mice: adenomas and carcinomas of SI ( $\geq 30$  ppm)

Rats: SCC in oral cavity (180 ppm)



## NTP Cr(III) 2 year feeding study

- 2,000 to 50,000 ppm
- No significant effects in either species



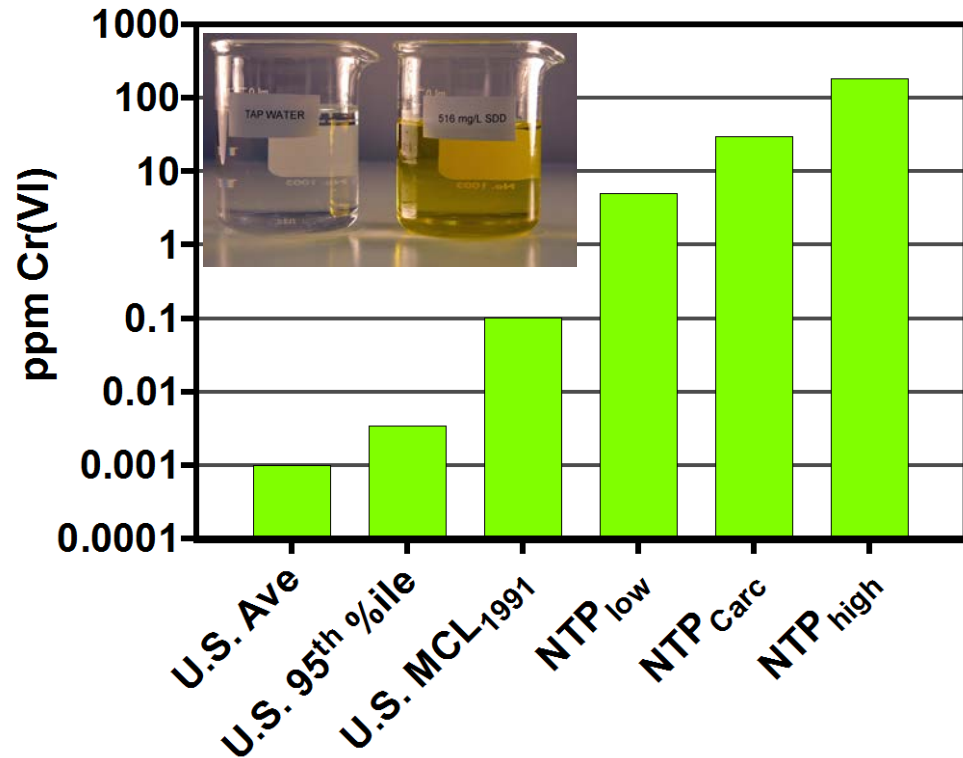
# NTP Cr(VI) and Cr(III) Bioassays (2008)

## NTP Cr(VI) drinking water study

- 5 to 180 ppm
  - Rare tumors appeared late in the study
- Mice: adenomas and carcinomas of SI
- Rats: SCC in oral cavity (180 ppm)

## NTP Cr(III) 2 year feeding study

- 2,000 to 50,000 ppm
- No significant effects in either species



# Cr(VI) MOA Research Project

## Replicated aspects of NTP Cr(VI) study

- Same strains (B6C3F1 mice, F344 rats)
- Same doses, plus two lower doses (including MCL)
- Data collected after 7 and 90 days of exposure

## Specifically investigated target tissue of small intestine and oral mucosa

- Biochemistry
- *In vivo* genotoxicity
- Histopathology
- Toxicogenomics
- *In vitro* genotoxicity

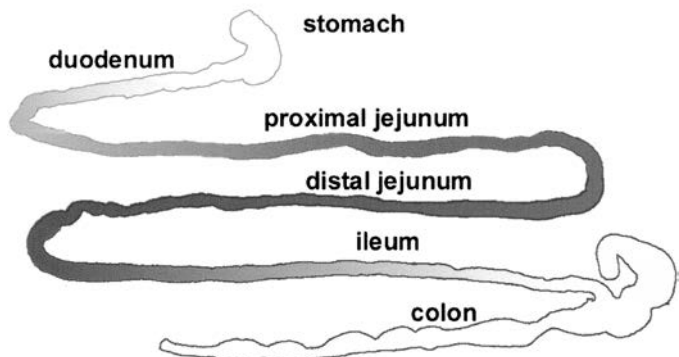
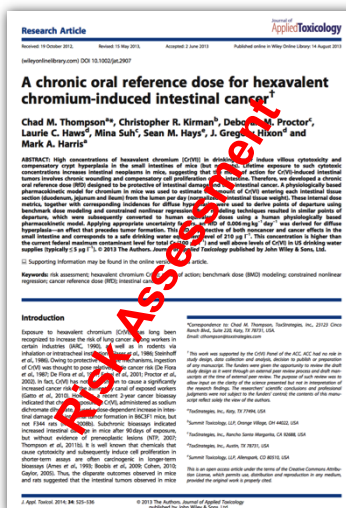
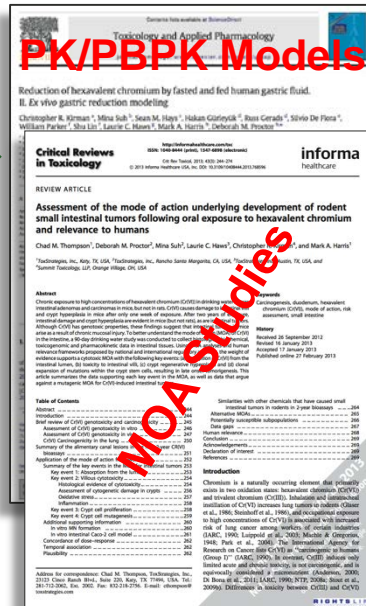
## Evaluated toxicokinetics

- Measured rates and capacity of Cr(VI) reduction to Cr(III) in human and rodent stomach contents
- Developed Physiologically-based Pharmacokinetic (PBPK) Models

## Results used to inform derivation of toxicity values



### DEH & Tumor Incidence (Mouse Duodenum)

MOA  
Research

## RfD for Cancer

## Tox Strategies

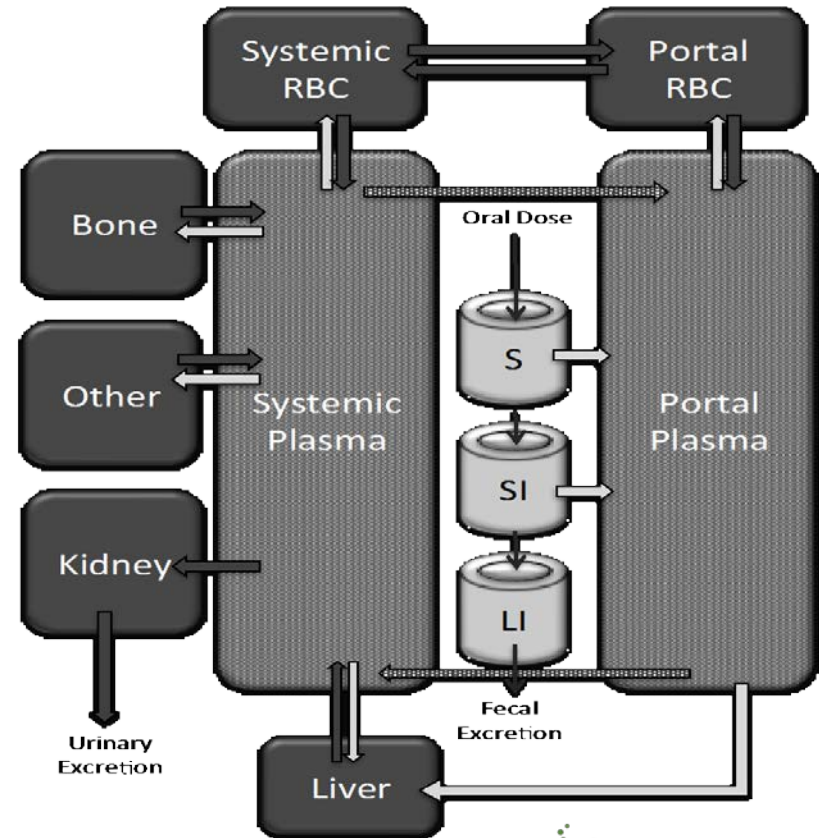
# Early Suggestions of Nonlinear Mechanisms/Pharmacokinetics

- NTP (2008) study authors only observed diffuse epithelial hyperplasia (DEH) in mice
  - Characterized DEH as secondary to mucosal injury in both 13-wk and 2-yr studies
- Silvio De Flora (2008) noted:
  - lack of tumors or genotoxic lesions in intestines of mice exposed to  $\leq 20$  ppm Cr(VI) for 9 mo
  - "...the increase of intestinal tumors in the NTP study was only observed in mice and not in rats, and only at very high doses, unrealistic for human exposures. This clearly implies occurrence of threshold mechanisms..."



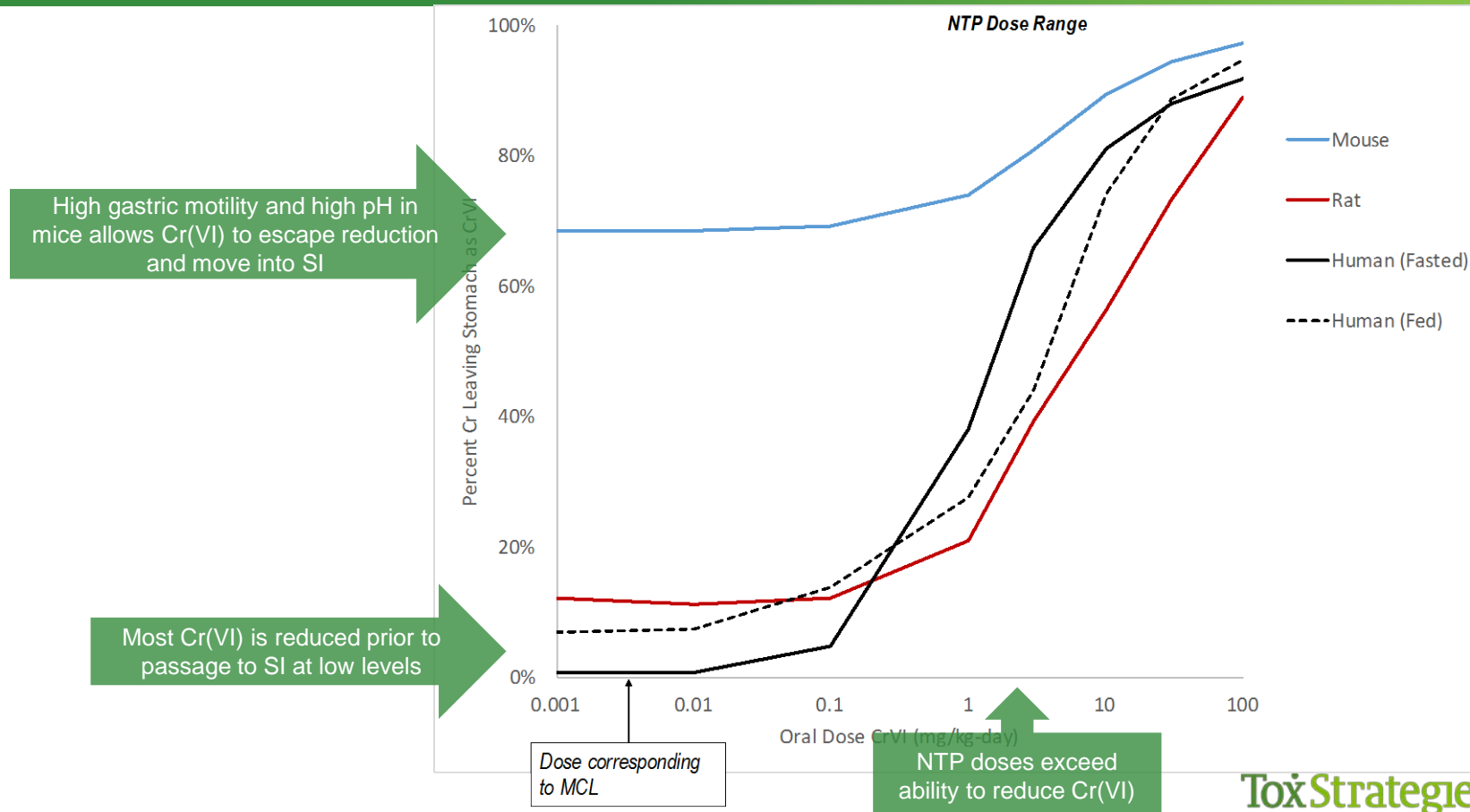
# PBPK Model Developed to Address Nonlinearities

- Provide target tissue dosimetry (to mouse small intestines) instead of administered dose
- Simulate rodents exposed to CrVI under conditions of the NTP cancer bioassay (NTP, 2008)
- Support risk assessment decisions regarding human populations exposed to CrVI
  - Improve interspecies extrapolation
  - Improve high-to-low dose extrapolation
  - Assessment of sensitive subpopulations due to PK factors

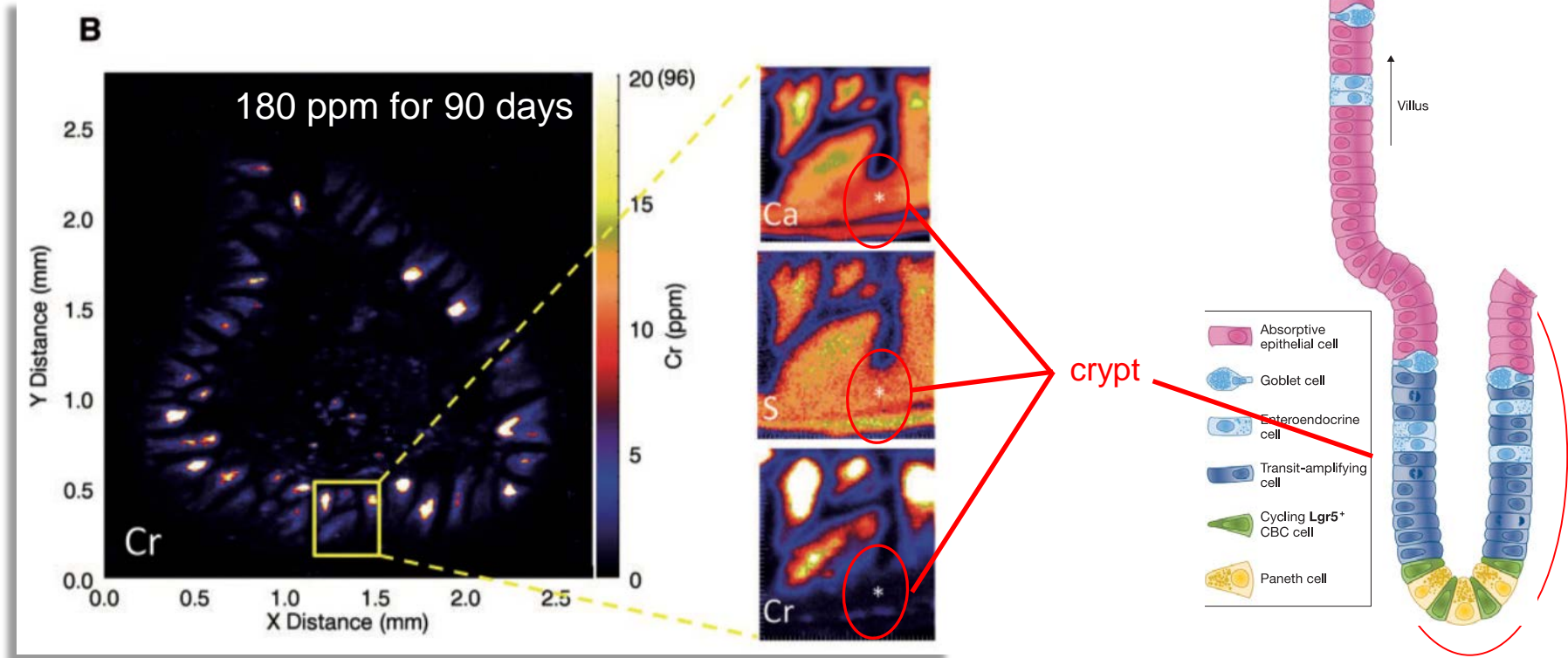




# Nonlinearities and Species Differences in Dosimetry to SI



# Cr(VI) Entering SI Localizes to Intestinal Villi (Not Crypts)



Thompson et al. (2015) Tox Sci



# Precedent for Non-mutagenic MOA for SI Tumors

NTP TECHNICAL REPORT  
ON THE  
TOXICOLOGY AND CARCINOGENESIS  
STUDIES OF SODIUM DICHROMATE DIHYDRATE  
(CAS NO. 7789-12-0)  
IN F344/N RATS AND B6C3F1 MICE  
(DRINKING WATER STUDIES)



NATIONAL TOXICOLOGY PROGRAM  
P.O. Box 12233  
Research Triangle Park, NC 27709

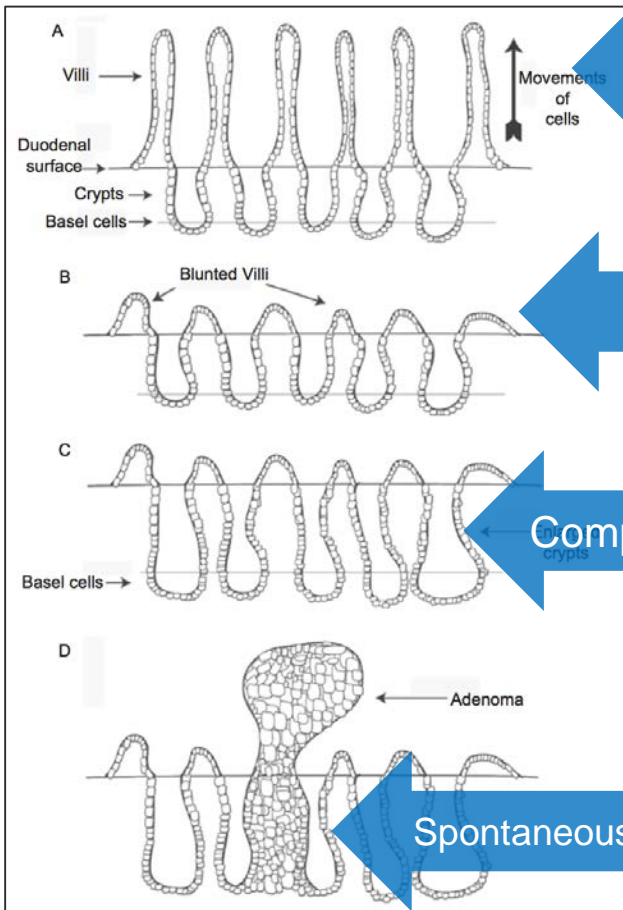
July 2008

NTP TR 546  
NIH Publication No. 08-5887

National Institutes of Health  
Public Health Service  
U.S. DEPARTMENT OF HEALTH AND HUMAN SERVICES

- NTP study authors noted that **captan** was "the only other study performed by the NTP in B6C3F1 mice in which both benign and malignant intestinal neoplasms of epithelial origin have been definitely attributed to chemical exposure"
- U.S. EPA (2004):
  - "**captan** induces adenomas and adenocarcinomas in the duodenum of the mouse by a nongenotoxic MOA involving cytotoxicity and regenerative cell hyperplasia that exhibits a clear dose threshold..."
  - EPA classified captan as "not likely to be a human carcinogen at dose levels that do not cause cytotoxicity and regenerative cell hyperplasia"

# Proposed MOA For Captan/Folpet



Chemical absorption at villi

Toxicity to villous enterocytes

Compensatory crypt hyperplasia

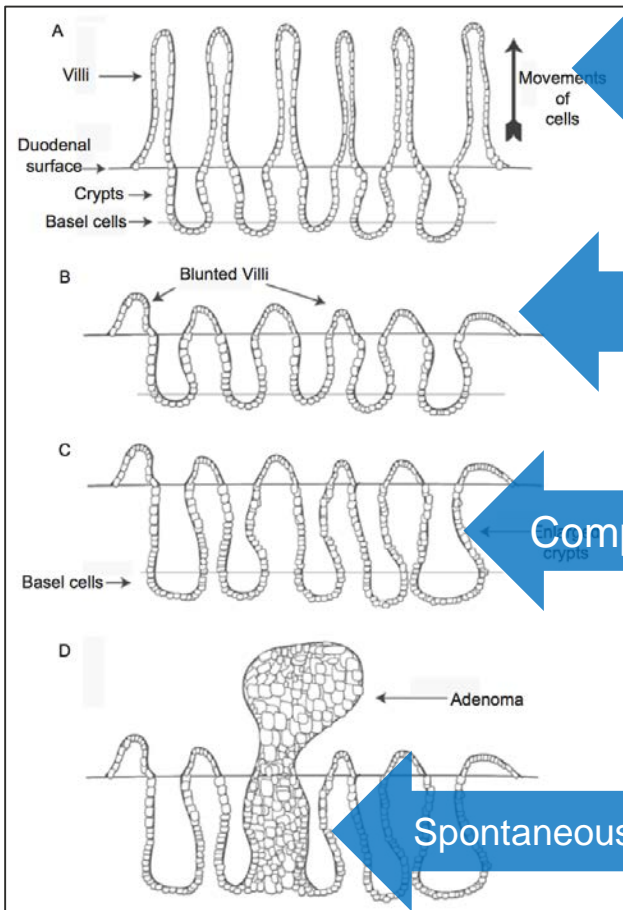
Spontaneous mutation leads to tumorigenesis

actually occur  
concomitantly

Source: Cohen et al. (2010) Crit Rev Toxicol 40: 531.

# Similarities Between Captan/Folpet and Cr(VI)

Source: Cohen et al. (2010) Crit Rev Toxicol 40: 531.

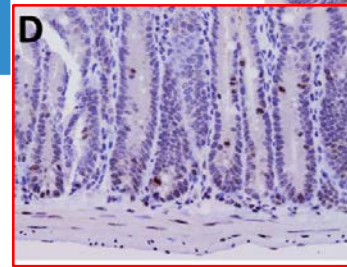
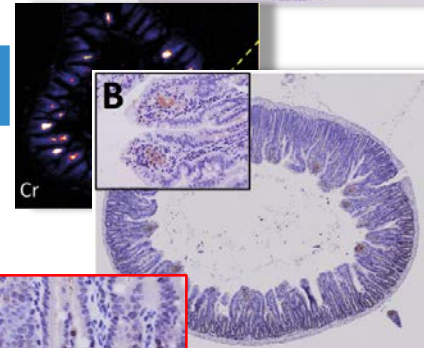
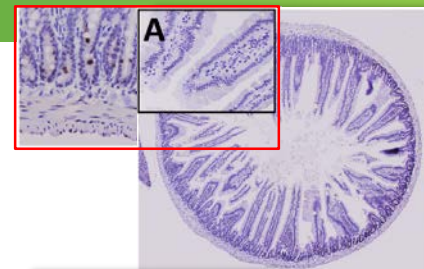


Chemical absorption at villi

Toxicity to villous enterocytes

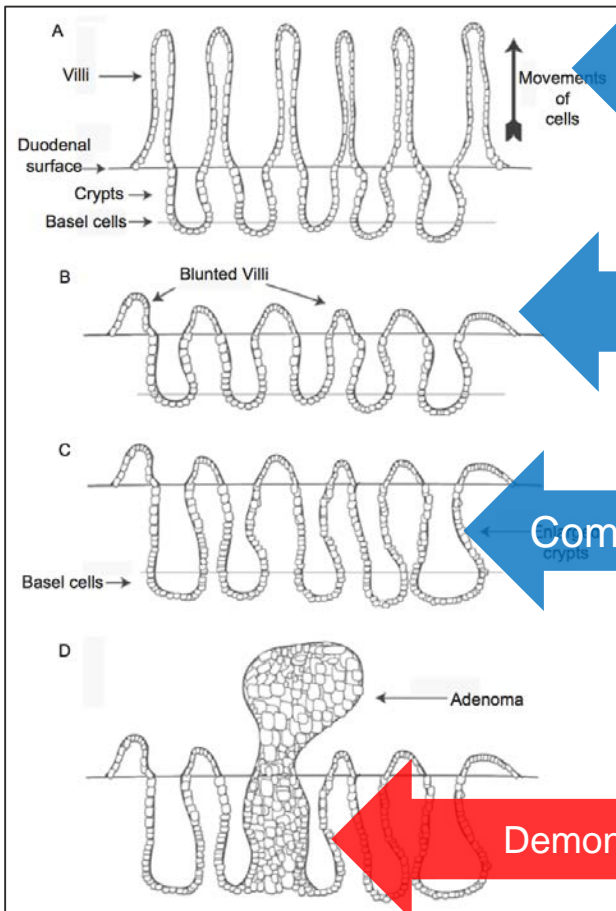
Compensatory crypt hyperplasia

Spontaneous mutation leads to tumorigenesis



# Similarities Between Captan/Folpet and Cr(VI)

Source: Cohen et al. (2010) Crit Rev Toxicol 40: 531.

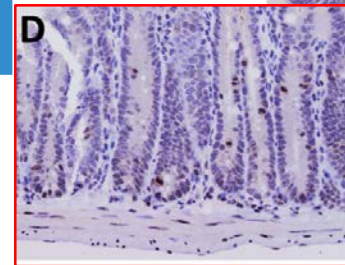
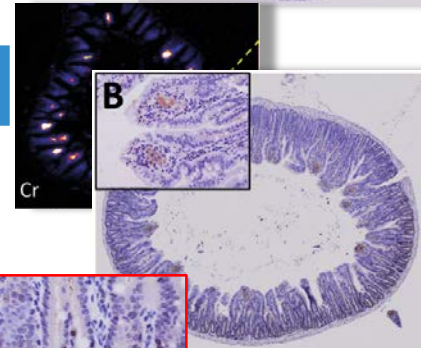
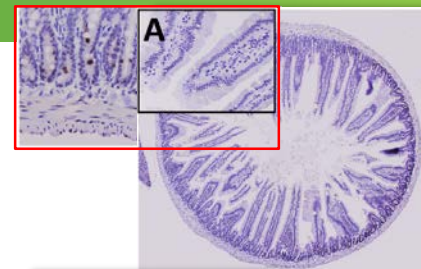


Chemical absorption at villi

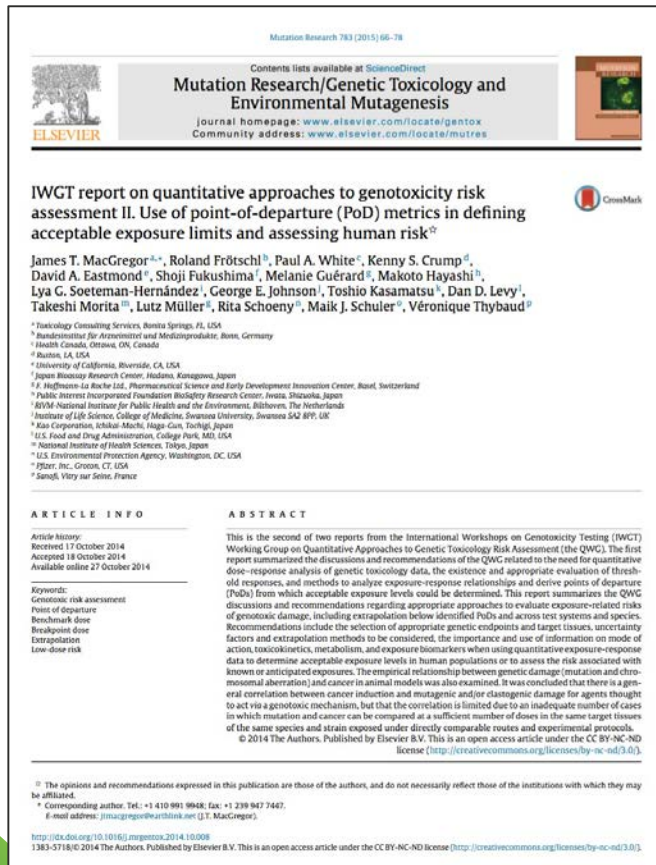
Toxicity to villous enterocytes

Compensatory crypt hyperplasia

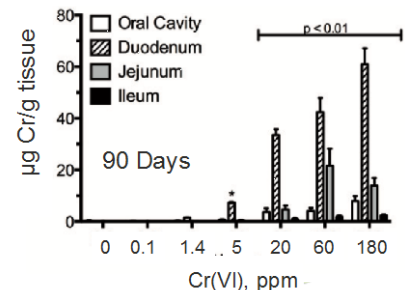
Demonstrate lack of genotoxicity...



# IWGT Recommendations for *In Vivo* Genotoxicity Assays



- Ideally conducted in a proliferative tissue
  - Bone marrow (hematopoietic)
  - Colon
  - Stomach
  - **Small intestine (duodenum)**
- Ideally at site of carcinogenic action
  - **GI tract for Cr(VI)**
- Ideally in tissue with high dosimetry (e.g. site of contact)
  - Stomach
  - Liver
  - **Duodenum for Cr(VI)**



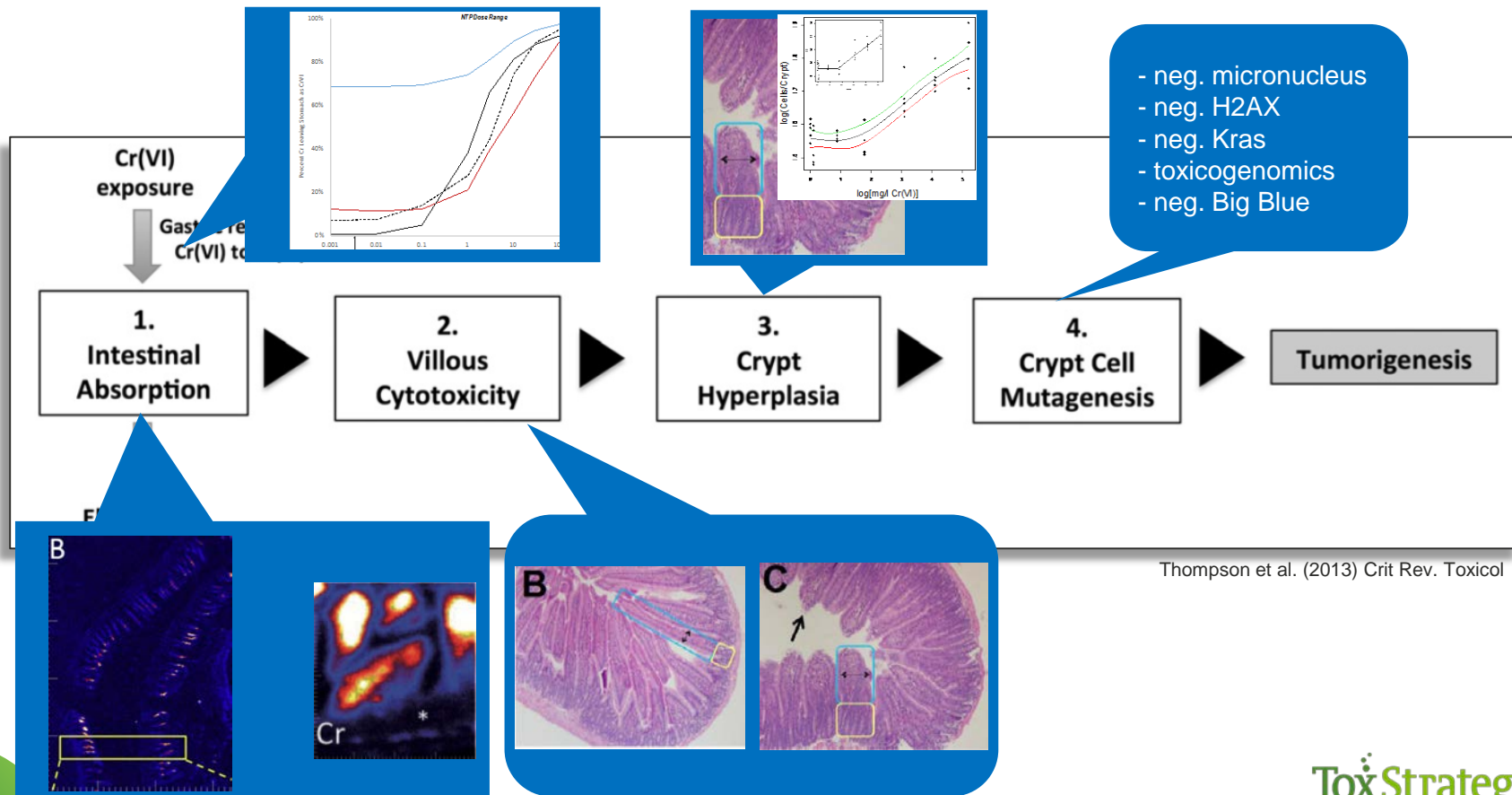


# In Vivo Genotoxicity in Target Tissues

- Duodenal MN assays
  - Neg after 7 and 90 days of exposure
- Duodenal  $\gamma$ -H2AX immunostaining
  - No diff from controls at 7 and 90 days of exposure
- *kras* codon 12 GAT MF in duodenum
  - Neg after 90 days of exposure
- XRF microscopy
  - Cr detected in villi (not crypt)
- Oral mucosa mutation assay
  - Neg in Big Blue rats after 28 days of exposure
- Blood MN assays
  - most are neg.



# Non-mutagenic MOA for SI Tumors



# Evidence for Cytotoxic MOA

Modified Bradford-Hill	Supporting Evidence	Potential Inconsistent Data
Dose-response, temporal concordance	<ul style="list-style-type: none"> <li>• Prolif. @ lower doses than tumors</li> <li>• Prolif. @ 1 wk, 13 wk, 2 yr</li> <li>• Genotoxicity not observed in target tissue (day 8 or 91)</li> </ul>	
Consistency, specificity	<ul style="list-style-type: none"> <li>• Prolif. in multiple mouse studies @ 1 wk, 13 wk</li> <li>• Prolif. in multiple species (mice&gt;&gt;rats)</li> <li>• Mild prolifer. ≠ SI tumors</li> <li>• XRF maps: Cr localizes to villi in both species</li> <li>• Crypts line entire intestine, but tumors observed in region of high villous absorption</li> </ul>	<ul style="list-style-type: none"> <li>• Some individual mice with tumors were "neg" for DEH; however, DEH diagnosis is based on a single single biopsy/slide</li> </ul>
Biological plausibility	<ul style="list-style-type: none"> <li>• Similar MOA for intestinal carcinogens captan and folpet</li> <li>• Crypt stem cells are source of SI cancer</li> </ul>	<ul style="list-style-type: none"> <li>• Villous enterocytes can be dedifferentiated experimentally</li> </ul>



# Recently Proposed RfDs Protective of Cancer

Health Canada Santé Canada  
Your health and safety... our priority. Votre santé et votre sécurité... notre priorité.

## ***Chromium in Drinking Water***

Document for Public Consultation

Prepared by the Federal Health Committee

Consultation  
September

Canada

- Increased TDI 2-fold
- Supports 100 ppb
- Used MOA
- Used PBPK models

TCEQ  
Development Support Document  
Proposed, June 2016

## **Hexavalent Chromium Oral Reference Dose**

CAS Registry Number: 18540-29-9

PROPOSED

Jose T

- RfD supports MCL
- Used MOA
- Used PK data

Office of the Executive Director  
TEXAS COMMISSION ON ENVIRONMENTAL QUALITY

strategies

# Summary

- Tumors observed in the NTP study occurred only at very high doses
  - Exceeded ability to reduce Cr(VI) to Cr(III)
- Pharmacokinetic data indicate non-linearities in Cr(VI) disposition
  - Depletion of reducing pools at high doses
- Precedent for non-genotoxic/threshold MOA for SI tumors
  - Captan/folpet determined to act by cytotoxicity and regenerative hyperplasia
- Cr(VI) does not induce genotoxicity in target tissues
  - Neg results in mutation and clastogenicity assays
- Substantial evidence for a cytotoxicity/regenerative hyperplasia MOA
  - NTP study authors indicated hyperplasia 2° to mucosal injury
  - Re-evaluation by pathologists concluded villus toxicity led to crypt hyperplasia
  - MOA data provide consistent support for threshold MOA

# Collaborators and Co-authors on MOA Studies



Mina Suh  
Deborah M. Proctor  
Laurie C. Haws  
Mark A. Harris  
Julia E. Rager  
Anne Bichteler



Jennifer M. Seiter  
Mark A. Chappel



Sean Hayes  
Chris Kirman



Robert R. Young  
Reem H. Elbekai



Timothy R. Zacharewski  
Anna K. Kopec



Barbara L. Parsons



THE GEORGE  
WASHINGTON  
UNIVERSITY

Travis J. O'Brien



Jeffrey C. Wolf

# Study Transparency: Data Publically Available

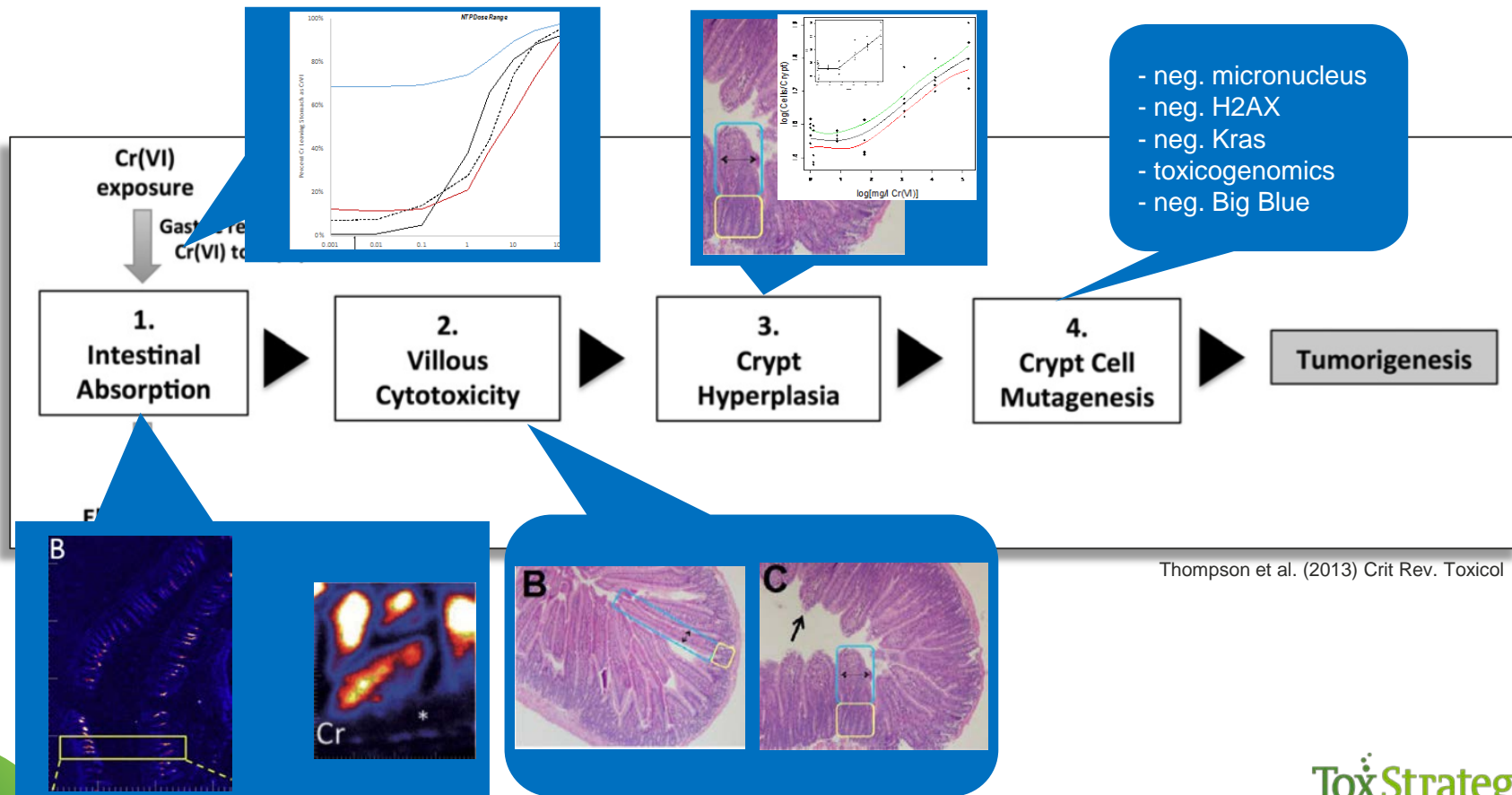


Chromium is an element naturally found in water. Chromium in drinking water supplies can arise from natural (i.e. geologic) and man-made (i.e. anthropogenic) sources. In 2008, The National Toxicology Program (NTP) reported that very high levels of hexavalent chromium [Cr(VI)] in drinking water caused certain cancers in laboratory rodents. The extremely high concentrations of Cr(VI)—sufficient to turn the [water yellow](#)—that caused cancer in rodents in the NTP study are thousands of times higher than most U.S. drinking water supplies and hundreds of times higher than current EPA chromium drinking water standard. To better understand how Cr(VI) causes cancer in the rodents, a multidisciplinary multi-institutional research project was created. The project, called the Cr(VI) Mode of Action (MOA) Research Study investigated how Cr(VI) causes cancer in rodents. Importantly, this research provides information to help address the question of whether the trace levels of Cr(VI) present in many U.S. drinking water supplies poses any cancer risk to humans. Key objectives of the Cr(VI) MOA study were to i) better understand how Cr(VI) causes cancer in rodents (e.g., mutagenic or non mutagenic mode of action) and ii) provide data and analyses to assist regulators in setting drinking water standards for Cr(VI). This website provides a repository for data related to the Cr(VI) MOA Research Study and provides additional information resources related to Cr(VI).



Read our [Privacy Policy](#) and [Terms and Conditions](#).

# Non-mutagenic MOA for SI Tumors



# Outline

1. Overview of MOA research project
2. Summary of proposed MOA for Cr(VI)
3. Open discussion on research findings
  - a) Q & A
  - b) New MOA data
    - i. *Oral toxicogenomics*
    - ii. *Histopathology*
  - c) New PK data
  - d) Other topics
    - i. *Genotoxicity*
    - ii. *Risk Assessment*

# Oral Toxicogenomics

# Toxicogenomic Data

## 13 wk studies in mice and rats

Investigation of the Mode of Action Underlying the Tumorigenic Response Induced in B6C3F1 Mice Exposed Orally to Hexavalent Chromium

Chad M. Thompson,<sup>1,\*</sup> Deborah M. Proctor,<sup>1</sup> Laurie C. Hawk,<sup>1</sup> Charles D. Helbert,<sup>1</sup> Sheila D. Gilman,<sup>1</sup> Howard G. Shorten,<sup>1</sup> Anna K. Kasper,<sup>1</sup> and Michael A. Hayes<sup>1</sup>

<sup>1</sup>Environmental Health Sciences Division, National Institute of Environmental Health Sciences, Research Triangle Park, North Carolina 27709

\*Correspondence to: Chad M. Thompson, Environmental Health Sciences Division, National Institute of Environmental Health Sciences, Research Triangle Park, North Carolina 27709. E-mail: thompsonc@niehs.nih.gov

Received August 15, 2011; accepted October 10, 2011

**ABSTRACT**

Hexavalent chromium (Cr(VI)) is a potent carcinogen in rodents. In this study, we investigated the mode of action underlying the tumorigenic response induced in B6C3F1 mice exposed orally to hexavalent chromium. Mice were exposed to 0.5, 1.0, or 2.0 mg/kg body weight of Cr(VI) for 13 weeks. The results of this study suggest that the tumorigenic response induced in B6C3F1 mice exposed orally to hexavalent chromium is mediated by a non-genotoxic mode of action. The results of this study suggest that the tumorigenic response induced in B6C3F1 mice exposed orally to hexavalent chromium is mediated by a non-genotoxic mode of action. The results of this study suggest that the tumorigenic response induced in B6C3F1 mice exposed orally to hexavalent chromium is mediated by a non-genotoxic mode of action.

Chronic ingestion of high concentrations of hexavalent chromium (Cr(VI)) in drinking water is a known human carcinogen. In this study, we investigated the mode of action underlying the tumorigenic response induced in B6C3F1 mice exposed orally to hexavalent chromium. Mice were exposed to 0.5, 1.0, or 2.0 mg/kg body weight of Cr(VI) for 13 weeks. The results of this study suggest that the tumorigenic response induced in B6C3F1 mice exposed orally to hexavalent chromium is mediated by a non-genotoxic mode of action. The results of this study suggest that the tumorigenic response induced in B6C3F1 mice exposed orally to hexavalent chromium is mediated by a non-genotoxic mode of action.

Investigation of the Mode of Action Underlying the Tumorigenic Response Induced in B6C3F1 Mice Exposed Orally to Hexavalent Chromium

Chad M. Thompson,<sup>1,\*</sup> Deborah M. Proctor,<sup>1</sup> Laurie C. Hawk,<sup>1</sup> Charles D. Helbert,<sup>1</sup> Sheila D. Gilman,<sup>1</sup> Howard G. Shorten,<sup>1</sup> Anna K. Kasper,<sup>1</sup> and Michael A. Hayes<sup>1</sup>

<sup>1</sup>Environmental Health Sciences Division, National Institute of Environmental Health Sciences, Research Triangle Park, North Carolina 27709

\*Correspondence to: Chad M. Thompson, Environmental Health Sciences Division, National Institute of Environmental Health Sciences, Research Triangle Park, North Carolina 27709. E-mail: thompsonc@niehs.nih.gov

Received August 15, 2011; accepted October 10, 2011

**ABSTRACT**

Hexavalent chromium (Cr(VI)) is a potent carcinogen in rodents. In this study, we investigated the mode of action underlying the tumorigenic response induced in B6C3F1 mice exposed orally to hexavalent chromium. Mice were exposed to 0.5, 1.0, or 2.0 mg/kg body weight of Cr(VI) for 13 weeks. The results of this study suggest that the tumorigenic response induced in B6C3F1 mice exposed orally to hexavalent chromium is mediated by a non-genotoxic mode of action. The results of this study suggest that the tumorigenic response induced in B6C3F1 mice exposed orally to hexavalent chromium is mediated by a non-genotoxic mode of action.

SI omics

Oral mucosa omics = unpublished

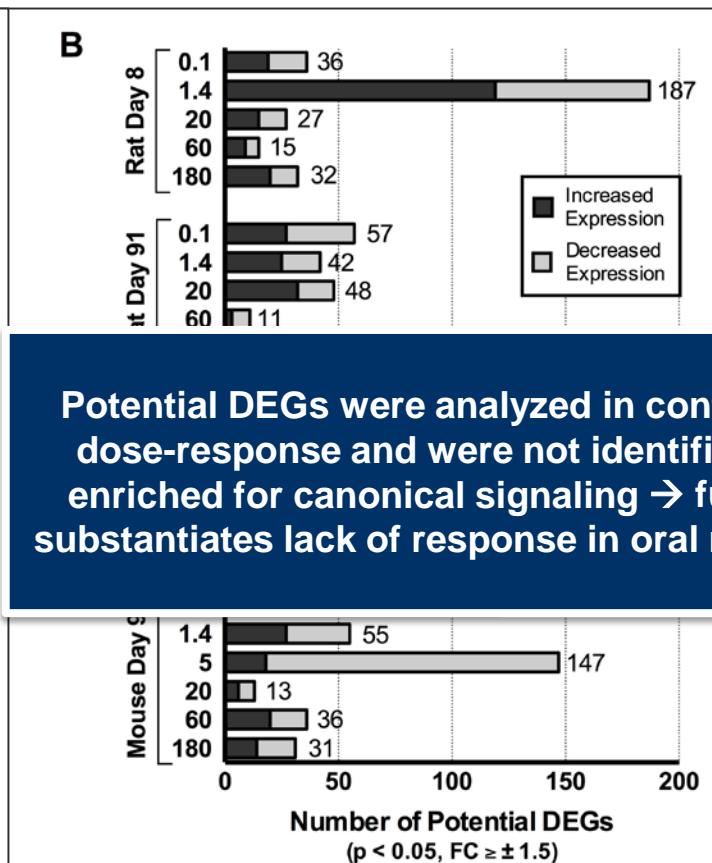
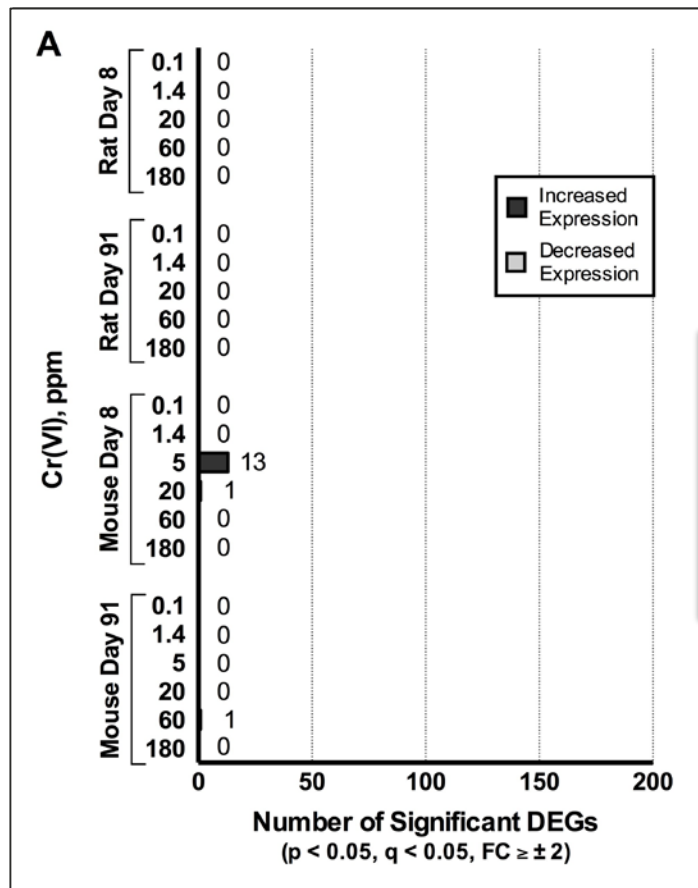




# Updated Microarray Analyses: Differentially Expressed Genes

	0.3 mg/L	4 mg/L	14 mg/L	60 mg/L	170 mg/L	520 mg/L	All Doses
<b>Oral Cavity</b>							
Rat Day 8	0	0	N/A	0	0	0	0
Rat Day 91	0	0	N/A	0	0	0	0
Mouse Day 8	0	0	13	1	0	0	14
Mouse Day 91	0	0	0	0	1	0	1
<b>Ductal Adenoma</b>							
Rat Day 8	0	3	N/A	233	823	629	913
Rat Day 91	0	0	N/A	18	64	118	136
Mouse Day 8	0	0	0	0	0	0	3029
Mouse Day 91	0	0	0	0	0	0	1099
<b>Oral cavity toxicogenomics have never been published... Provide useful information for considering oral tumors...</b>							
Rat Day 8	0	0	0	0	0	0	1053
Rat Day 91	0	0	0	0	0	0	85
Mouse Day 8	22	15	11	202	757	1000	1371
Mouse Day 91	0	0	0	178	1366	1257	1563

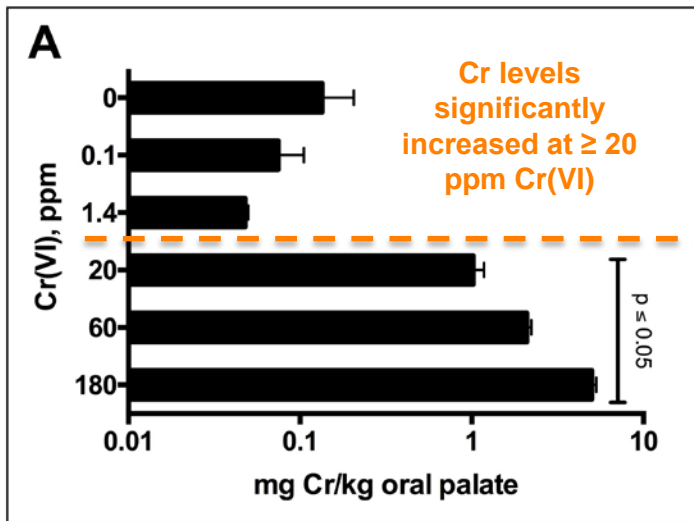
# Transcriptomic Responses in Oral Mucosa (Submitted)



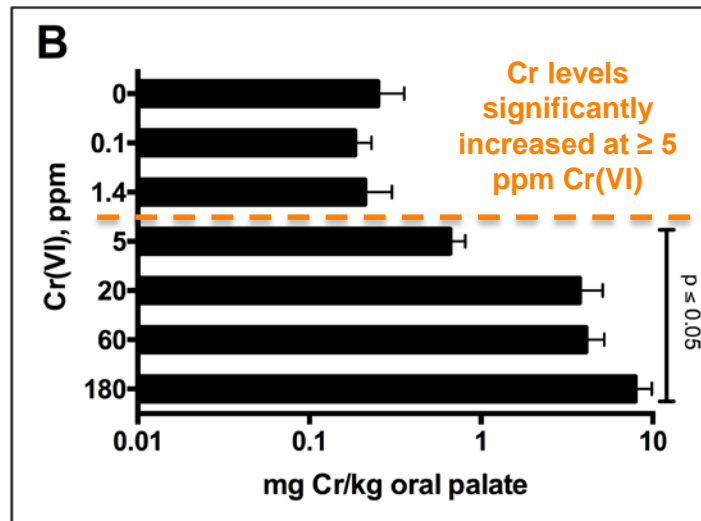
Potential DEGs were analyzed in context of dose-response and were not identified as enriched for canonical signaling → further substantiates lack of response in oral mucosa

# Tissue Dosimetry in Oral Mucosa

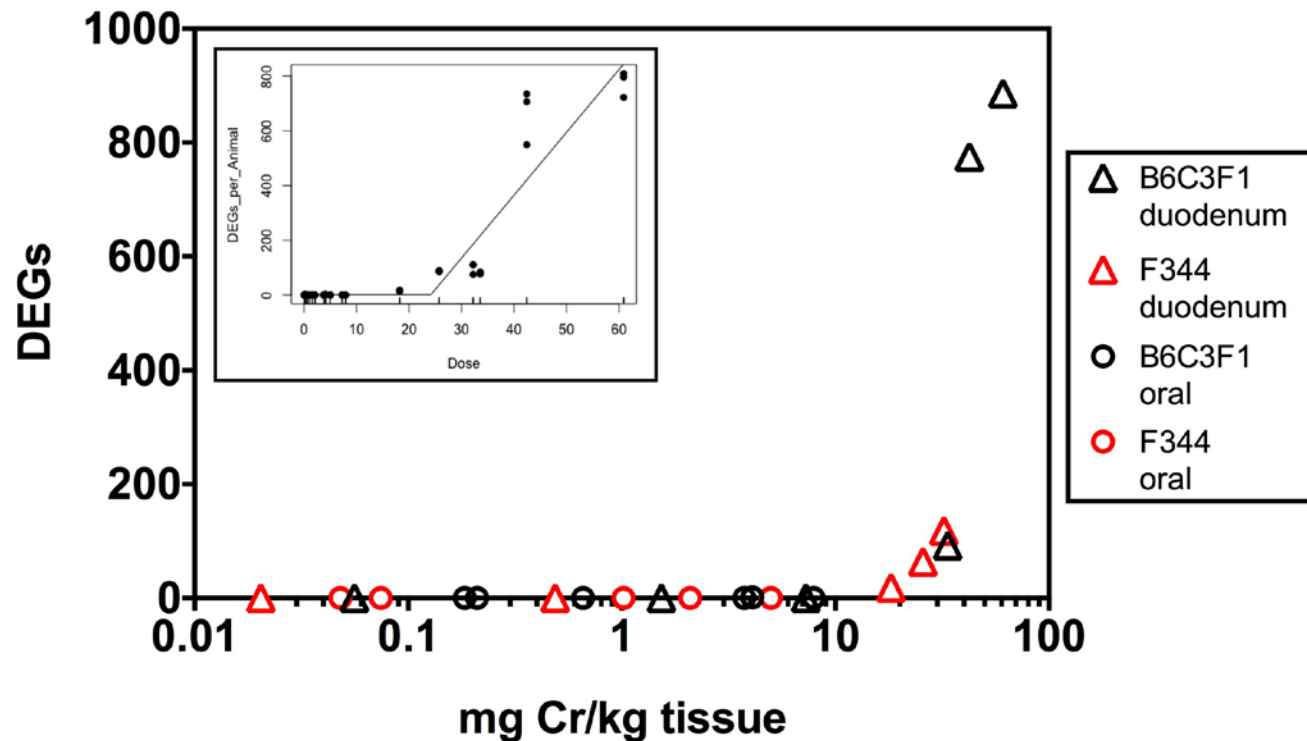
Rat Day 91



Mouse Day 91



# Tissue Dosimetry vs. DEGs after 90 Days of Cr(VI) Exposure



Transcriptomic responses occurred at > 10 mg/kg

# Lack of Transcript Changes is Consistent with Other Oral Mucosa Data

## Summary of Effects in the Rat Oral Mucosa

Endpoint	Evidence
Histopathology	- No non-neoplastic or pre-neoplastic histopathological lesions have been detected in the rat oral mucosa following exposure to $\leq 180$ ppm Cr(VI) for 7 days (Thompson et al., 2012), 13 weeks (Thompson et al., 2012; NTP 2007), 13 weeks (NTP 2007)
Cr(VI) tissue absorption	
Mutation analysis	
Transcriptomic analyses	- No significant DEGs, or potentially altered pathways, associated with exposure to $\leq 180$ ppm Cr(VI) in drinking water for 7 and 90 days

**Data indicate that the oral tumors are not the result of direct action of Cr(VI) in oral mucosa**  
**→ Findings are not compatible with linear MOA and linear low-dose extrapolation methods for setting toxicity criteria**

# Histopathology

# Non-neoplastic Lesions In NTP Study

Summary of the 2-Year Carcinogenesis Studies of Sodium Dichromate Dihydrate

	Male F344/N Rats	Female F344/N Rats	Male B6C3F1 Mice	Female B6C3F1 Mice
Concentrations in drinking water	0, 14.3, 57.3, 172, or 516 mg/L	0, 14.3, 57.3, 172, or 516 mg/L	0, 14.3, 28.6, 85.7, or 257.4 mg/L	0, 14.3, 57.3, 172, or 516 mg/L
Body weights	516 mg/L group less than the control group	516 mg/L group less than the control group	Exposed groups similar to control group	172 and 516 mg/L groups less than control group
Survival rates	28/50, 30/50, 30/49, 36/50, 29/49	33/50, 32/50, 32/50, 36/50, 31/50	33/50, 35/50, 35/50, 38/50, 32/50	37/50, 39/50, 45/50, 42/50, 42/50
Nonneoplastic effects	Liver: infiltration cellular, histiocyte (1/50, 0/50, 2/49, 5/50, 34/49)  Small intestine, duodenum: infiltration cellular, histiocyte (0/48, 0/48, 6/47, 36/46, 47/48)	Liver: infiltration cellular, histiocyte (1/50, 5/50, 21/50, 42/50, 47/50)  Small intestine, duodenum: infiltration cellular, histiocyte (0/46, 0/49, 1/48, 30/46, 47/50)	Small intestine, duodenum: epithelium, hyperplasia, diffuse (0/50, 11/50, 18/50, 42/50, 32/50); infiltration cellular, histiocyte (0/50, 2/50, 4/50, 37/50, 35/50)  Lymph node, mesenteric:	Liver: infiltration cellular, histiocyte (2/49, 15/50, 23/50, 32/50, 45/50)  Small intestine, duodenum: epithelium, hyperplasia, diffuse (0/50, 16/50, 35/50,

DEH was not specifically defined, but appeared to involve changes to both crypt and villus epithelium.

- In rats, the only non-neoplastic lesions in the Summary table were related to infiltration of histiocytes
- In mice, with one exception, all non-neoplastic lesions in the Summary table were related to infiltration of histiocytes
- Diffuse epithelial hyperplasia (DEH) occurred in the duodenum and jejunum (i.e. where SI tumors arose) of mice only
- 13-wk NTP studies also observed DEH in mice (but not rats)

# Intestinal Lesions in the MOA 13-wk Mouse Study

TOXICOLOGICAL SCIENCES 123(1), 58–70 (2011)  
doi:10.1093/toxsci/kfr164  
Advance Access publication June 28, 2011

## Investigation of the Mode of Action Underlying the Tumorigenic Response Induced in B6C3F1 Mice Exposed Orally to Hexavalent Chromium

Chad M. Thompson,<sup>\*,1</sup> Deborah M. Proctor,<sup>†</sup> Laurie C. Haws,<sup>‡</sup> Charles D. Hébert,<sup>§</sup> Sheila D. Grimes,<sup>§</sup> Howard G. Shertzer,<sup>¶</sup> Anna K. Kopec,<sup>||</sup> J. Gregory Hixon,<sup>‡</sup> Timothy R. Zacharewski,<sup>||</sup> and Mark A. Harris<sup>\*</sup>

<sup>\*</sup>ToxStrategies, Inc., Katy, Texas 77494; <sup>†</sup>ToxStrategies, Inc., Rancho Santa Margarita, California 92686; <sup>‡</sup>ToxStrategies, Inc., Austin, Texas 78731; <sup>§</sup>Southern Research Institute, Birmingham, Alabama 35205; <sup>¶</sup>Department of Environmental Health, University of Cincinnati College of Medicine, Cincinnati, Ohio 45267; <sup>||</sup>Department of Biochemistry & Molecular Biology, Center for Integrative Toxicology, Michigan State University, East Lansing, Michigan 48824

<sup>1</sup>To whom correspondence should be addressed at ToxStrategies, Inc., 23501 Cinco Ranch Boulevard, Suite G265, Katy, TX 77494. Fax: (832) 218-2756. E-mail: cthompson@toxstrategies.com.

Received April 22, 2011; accepted June 14, 2011

Chronic ingestion of high concentrations of hexavalent chromium [Cr(VI)] in drinking water induces intestinal tumors in mice. To investigate the mode of action (MOA) underlying these tumors, a 90-day drinking water study was conducted using similar exposure conditions as in a previous cancer bioassay, as well as lower (heretofore unexamined) drinking water concentrations. Tissue samples were collected in mice exposed for 7 or 90 days and subjected to histopathological, biochemical, toxicogenomic, and toxicokinetic analyses. Described herein are the results of toxicokinetic, biochemical, and pathological findings. Following 90 days of exposure to 0.3–520 mg/l of sodium dichromate dihydrate (SDD), total chromium concentrations in the duodenum were significantly elevated at  $\geq 14$  mg/l. At these concentrations, significant decreases in the reduced-to-oxidized glutathione ratio (GSB4/GSSG) were observed. Beginning at 60 mg/l, intestinal lesions were observed including villous cytoplasmic vacuolization, atrophy, apoptosis, and crypt hyperplasia were evident at  $\geq 170$  mg/l. Protein carbonyls were elevated at concentrations  $\geq 4$  mg/l SDD, whereas oxidative DNA damage, as assessed by 8-hydroxydeoxyguanosine, was not increased in any treatment group. Significant decreases in the GSB4/GSSG ratio and similar histopathological lesions as observed in the duodenum were also observed in the jejunum following 90 days of exposure. Cytokine levels (e.g., interleukin-1 $\beta$ ) were generally depressed or unaltered at the termination of the study. Overall, the data suggest that Cr(VI) in drinking water can induce oxidative stress, villous cytotoxicity, and crypt hyperplasia in the mouse intestine and may underlie the MOA of intestinal carcinogenesis in mice.

**Key Words:** risk assessment; carcinogenesis; hexavalent chromium; Cr(VI); mode of action; MOA.

Until recently, there was inadequate information to assess the oral carcinogenicity of hexavalent chromium [Cr(VI)] (International Agency for Research on Cancer, 1990; U.S. EPA,

1991, 1998); however, a 2-year cancer bioassay conducted by the National Toxicology Program (NTP) reported that administration of Cr(VI) in drinking water (in the form of sodium dichromate dihydrate (SDD)) induced tumors in the small intestines of mice at  $\geq 57$  mg/l SDD ( $\geq 20$  mg/l Cr(VI)) and in the oral cavities of rats at  $\geq 172$  mg/l SDD ( $\geq 60$  mg/l Cr(VI)) (NTP, 2008; Stout *et al.*, 2009). Because low levels of Cr(VI) are prevalent in groundwater in certain geographical areas (Oze *et al.*, 2007), wide-spread impact to some drinking water supplies has occurred. For example, approximately one third of California drinking water supplies contain low levels of Cr(VI)—mostly ranging from 0.001 to 0.005 mg/l (California Department of Health Services, 2009); thus, the effects of Cr(VI) at low concentrations is of interest. Notably, the concentrations inducing cancer in rodents are orders of magnitude greater than typical drinking water exposures (Thompson *et al.*, 2011).

In the NTP 2-year cancer bioassay (NTP, 2008), diffuse intestinal epithelial hyperplasia was observed in the small intestine of mice at all concentrations examined (NTP, 2008) and at  $\geq 62.5$  mg/l SDD in an earlier 90-day study (NTP, 2007). In stark contrast to mice, neither diffuse hyperplasia nor tumors were reported in the rat small intestine at any of the drinking water concentrations tested (NTP, 2007, 2008). The non-neoplastic intestinal lesions in the mouse were characterized by the NTP investigators as “regenerative hyperplasia secondary to previous epithelial cell injury” (NTP, 2008) and suggest that the tumors may have been caused by prolonged tissue injury and proliferative pressure on crypt cells (Thompson *et al.*, 2011). Despite evidence that chromium can be genotoxic and mutagenic (McCarroll *et al.*, 2010; Nickens *et al.*, 2010; O’Brien *et al.*, 2003), there are limited data to evaluate the mode of action (MOA) of Cr(VI) in the small intestine. Thus, the MOA for small intestinal tumors at high concentration exposures is uncertain,

Southern Research pathologists did not use term DEH, but specified effects in crypt or villus!

This was more informative, but raised questions on how to directly compare to NTP results!



# Intestinal Lesions in MOA 13-wk Rat Study

TOXICOLOGICAL SCIENCES 125(1), 79–90 (2012)  
doi:10.1093/toxsci/kfz280  
Advance Access publication October 19, 2011

## Comparison of the Effects of Hexavalent Chromium in the Alimentary Canal of F344 Rats and B6C3F1 Mice Following Exposure in Drinking Water: Implications for Carcinogenic Modes of Action

Chad M. Thompson,<sup>a,\*</sup> Deborah M. Proctor,<sup>†</sup> Mina Suh,<sup>†</sup> Laurie C. Haws,<sup>‡</sup> Charles D. Hébert,<sup>§</sup> Jill F. Maron,<sup>¶</sup> Howard G. Shertzer,<sup>¶</sup> J. Gregory Hixon,<sup>‡</sup> and Mark A. Harris<sup>a</sup>

<sup>a</sup>ToxStrategies, Inc., Katy, Texas 77494; <sup>†</sup>ToxStrategies, Inc., Rancho Santa Margarita, California 92688; <sup>‡</sup>ToxStrategies, Inc., Austin, Texas 78711; <sup>§</sup>Southern Research Institute, Birmingham, Alabama 35205; and <sup>¶</sup>Department of Environmental Health, University of Cincinnati College of Medicine, Cincinnati, Ohio 45267

\*To whom correspondence should be addressed at ToxStrategies, Inc., 23501 Cinco Ranch Boulevard, Suite G265, Katy, TX 77494. Fax: (832) 218-2756. E-mail: cthompson@toxstrategies.com.

Received August 22, 2011; accepted October 10, 2011

Exposure to high concentrations of hexavalent chromium (Cr(VI)) in drinking water is reported to induce oral mucosa tumors in F344 rats and intestinal tumors in B6C3F1 mice. To investigate the modes of action underlying these tumors, 90-day drinking water studies (with interim necropsy at day 8) were conducted with concentrations of 0.1–182 mg/l Cr(VI), administered as 0.3–520 mg/l sodium dichromate dihydrate. Blood and tissue samples were analyzed for chromium content, oxidative stress, iron levels, and gross and microscopic lesions. Results for the F344 rats are described herein and compared with results from B6C3F1 mice published previously. After 90 days of exposure, total chromium concentrations in the rat and mouse oral mucosa were comparable, yet significant dose-dependent decreases in the reduced-to-oxidized glutathione ratio (GSU/GSSG) were observed only in rats. In the duodenum, changes in GSU/GSSG were only observed in mice. Levels of 8-hydroxydeoxyguanosine were not increased in the oral or duodenal mucosa of either species. Glutathione levels were increased in the duodenum but decreased in the jejunum of both species, indicating potential differential responses in the intestinal segments. Histiocytic infiltration was observed in the duodenum of both species, yet duodenal cytokines were repressed in mice but increased in rats. Serum and bone marrow iron levels were more decreased in rats than mice. Collectively, these data suggest that Cr(VI)-induced carcinogenesis in the rodent alimentary canal involves oxidative stress; however, differences in histopathology, cytokines, and iron status suggest potential contributions from other factors as well.

**Key Words:** drinking water; oxidative stress; carcinogenesis; Cr(VI); MOA.

Chronic ingestion of hexavalent chromium (Cr(VI)), in the form of sodium dichromate dihydrate ( $\text{Na}_2\text{Cr}_2\text{O}_7 \cdot 2\text{H}_2\text{O}$  or SDD), in drinking water has been found to induce oral mucosa tumors in rats at concentrations  $\geq 172$  mg SDD/l, and intestinal tumors in mice at concentrations  $\geq 57.3$  mg SDD/l (National

Toxicology Program [NTP], 2008; Stout *et al.*, 2009). Villous cytotoxicity and crypt hyperplasia were observed in the mouse small intestine, whereas no obvious non-neoplastic lesions were observed in the oral mucosae of rats (NTP, 2008; Stout *et al.*, 2009). The different lesions suggest that the tumors in the two sites may have arisen through different carcinogenic modes of action (MOAs). Although the NTP (2008) 2-year bioassay demonstrated that Cr(VI) was carcinogenic in rodents following chronic exposure to high concentrations in drinking water, the study did not provide adequate data for understanding how the tumors arose or why different tumors were observed in each species. Because mice and rats developed tumors in different tissues of the alimentary canal, comparisons of species-specific pathology and biochemistry in the target tissues (i.e., oral and intestinal mucosae) are expected to inform the MOAs for carcinogenicity in each tissue.

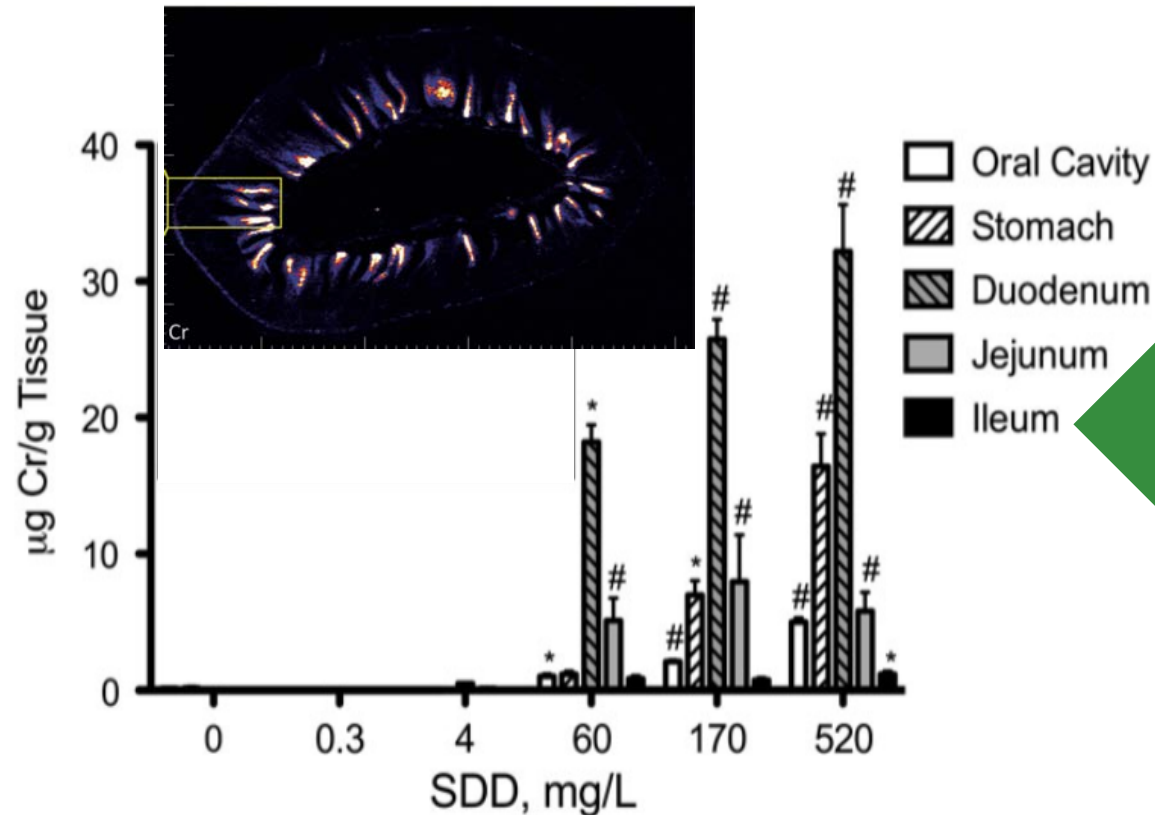
Several authors have proposed or discussed possible MOAs for alimentary cancers in rodents (McCarroll *et al.*, 2010; Stem, 2010; Thompson *et al.*, 2011a). However, currently available data are insufficient to conclusively support any of the hypothesized MOAs. This is especially true for oral squamous cell carcinomas originating from the rat palate after 2 years of exposure to SDD, where no non-neoplastic lesions were reported (NTP, 2007, 2008; Stout *et al.*, 2009). The number of oral cavity tumors was significantly elevated as compared to current and historical controls in the highest dose group (516 mg/l) in both males (6/49) and females (11/50), as well as historical controls in females in the 172 mg/l treatment group (2/50) (NTP, 2008; Stout *et al.*, 2009). Stout *et al.* (2009) noted that 21 chemicals have been shown to cause oral cavity tumors in rats, but no chemicals have been shown to cause oral cavity tumors in male mice, and only one caused oral cavity tumors in female mice. These findings may suggest an inherent susceptibility of rats to oral cancers.

Southern Research pathologists saw effects in rat small intestine!

Initial explanations:  
1. inter-study variability  
2. water/Cr6 consumption differences

This led to some questioning the relevance of MOA studies...

# Cr also Highest in Duodenum and Villi of Rats



Began to suspect mild lesions may have been overlooked in NTP rats.

# Re-evaluation of MOA and NTP H&E Stained Tissue Sections

Original Article

## Reevaluation and Classification of Duodenal Lesions in B6C3F1 Mice and F344 Rats from 4 Studies of Hexavalent Chromium in Drinking Water

John M. Cullen<sup>1</sup>, Jerrold M. Ward<sup>2</sup>, and Chad M. Thompson<sup>3</sup>

### Abstract

Thirteen-week and 2-year drinking water studies conducted by the National Toxicology Program (NTP) reported that hexavalent chromium (Cr(VI)) induced diffuse epithelial hyperplasia in the duodenum of B6C3F1 mice but not F344 rats. In the 2-year study, Cr(VI) exposure was additionally associated with duodenal adenomas and carcinomas in mice only. Subsequent 13-week Cr(VI) studies conducted by another group demonstrated non-neoplastic duodenal lesions in B6C3F1 mice similar to those of the NTP study as well as mild duodenal hyperplasia in F344 rats. Because intestinal lesions in mice are the basis for proposed safety standards for Cr(VI), and the histopathology data are relevant to the mode of action, consistency (an important Hill criterion for causality) was assessed across the aforementioned studies. Two veterinary pathologists applied uniform diagnostic criteria to the duodenal lesions in rats and mice from the 4 repeated-dose studies. Comparable non-neoplastic intestinal lesions were evident in mice and rats from all 4 studies; however, the incidence and severity of intestinal lesions were greater in mice than rats. These findings demonstrate consistency across studies and species and highlight the importance of standardized nomenclature for intestinal pathology. The differences in the severity of non-neoplastic lesions also likely contribute to the differential tumor response.

### Keywords

mouse pathology, rat pathology, gastrointestinal system, environmental toxicology, cell proliferation, carcinogenesis

### Introduction

In 2007 and 2008, the National Toxicology Program (NTP) released reports that described the toxic and carcinogenic effects of hexavalent chromium (Cr(VI)) in 13-week and 2-year rodent drinking water studies (NTP 2007, 2008b). Toxicity and carcinogenicity studies involving trivalent chromium (Cr(III)) were released at the same time (NTP 2008a). Results from the 2-year studies of Cr(VI) and Cr(III) were subsequently published in the peer-reviewed literature (Stout, Herbert, et al. 2009; Stout, Nyska, et al. 2009). Cr(VI) exposure was associated with oral tumors in F344 rats and adenomas and carcinomas of the duodenum and jejunum in B6C3F1 mice (Stout, Herbert, et al. 2009). Despite higher milligram per kilogram body weight doses, Cr(III) exposure was not associated with increased tumors in any organ (Stout, Nyska, et al. 2009). These disparate findings are consistent with the lower bioavailability of Cr(III) relative to Cr(VI) (De Flora et al. 1997).

The major non-neoplastic lesions reported in the duodenum of B6C3F1 mice exposed to Cr(VI) were diffuse epithelial hyperplasia, histiocytic infiltration in the lamina propria of the villus mucosa, blunted villi, and generalized mucosal epithelial hypercellularity (Stout, Herbert, et al. 2009). In both

the 13-week and 2-year studies, these effects were characterized as having occurred secondary to mucosal injury (NTP 2007, 2008b). In contrast to mice, histiocytic infiltration was the only duodenal effect reported in F344 rats. According to NTP (2008b), rats did not exhibit mucosal injury or hyperplasia and did not develop intestinal tumors. Considering that comparable drinking water concentrations were administered to both species, the different outcomes suggested species differences in pharmacokinetic and/or pharmacodynamic responses to Cr(VI).

Between 2011 and 2012, two 13-week studies were conducted to investigate the mode of action (MOA) of Cr(VI) in the rodent small intestine (Thompson et al. 2011; Thompson,

<sup>1</sup>College of Veterinary Medicine, North Carolina State University, Raleigh, North Carolina, USA

<sup>2</sup>Global VetsPathology, Montgomery Village, Maryland, USA

<sup>3</sup>ToxStrategies Inc., Katy, Texas, USA

### Corresponding Author:

Chad M. Thompson, ToxStrategies Inc., 23123 Cinco Ranch Blvd., Suite 220, Katy, TX 77494, USA.  
Email: cthompson@toxstrategies.com

Toxicologic Pathology  
2016, Vol. 44(2) 279-289  
© The Author(s) 2015  
Reprints and permission:  
sagepub.com/journalsPermissions.nav  
DOI: 10.1177/1042642315611501  
tox.sagepub.com  
SAGE

Diagnostic Criteria	Description
Villus, histiocytic cellular infiltrates	primarily in the lamina propria of the villus tips, and characterized by small nodular aggregations of macrophages with abundant, faintly granular, eosinophilic cytoplasm
Villus, atrophy/blunting	villi appeared shortened and/or thickened relative to those of control animals
Villus, enterocyte vacuolation	single or multiple, sharply defined, clear or slightly flocculent spaces within the cytoplasm of terminal villus enterocytes
Villus, single cell necrosis	primarily in the villus tips either as shrunken cells with densely eosinophilic cytoplasm and pyknotic or fragmented nuclei, or as cells with karyorrhectic nuclei
Crypt, epithelial hyperplasia	elongated crypts that were lined by increased numbers of crowded columnar enterocytes with hyperchromatic basophilic cytoplasm and nuclear chromatin clumping. In more extensively affected cases (mice especially), the hyperplastic enterocytes additionally displayed increased cell height and tinctorial changes compared to those of controls

Grade	
1	Minimal
2	Mild
3	Moderate

# Conclusion 1: Mice in NTP and MOA 13-wk Studies had Similar Effects at Comparable Doses (mg/kg SDD)

Original Article

## Reevaluation and Classification of Duodenal Lesions in B6C3F1 Mice and F344 Rats from 4 Studies of Hexavalent Chromium in Drinking Water

John M. Cullen<sup>1</sup>, Jerrold M. Ward<sup>2</sup>, and Chad M. Thompson<sup>3</sup>

### Abstract

Thirteen-week and 2-year drinking water studies conducted by the National Toxicology Program (NTP) reported that hexavalent chromium (Cr(VI)) induced diffuse epithelial hyperplasia in the duodenum of B6C3F1 mice but not F344 rats. In the 2-year study, Cr(VI) exposure was additionally associated with duodenal adenomas and carcinomas in mice only. Subsequent 13-week Cr(VI) studies conducted by another group demonstrated non-neoplastic duodenal lesions in B6C3F1 mice similar to those of the NTP study as well as mild duodenal hyperplasia in F344 rats. Because intestinal lesions in mice are the basis for proposed safety standards for Cr(VI), and the histopathology data are relevant to the mode of action, consistency (an important Hill criterion for causality) was assessed across the aforementioned studies. Two veterinary pathologists applied uniform diagnostic criteria to the duodenal lesions in rats and mice from the 4 repeated-dose studies. Comparable non-neoplastic intestinal lesions were evident in mice and rats from all 4 studies; however, the incidence and severity of intestinal lesions were greater in mice than rats. These findings demonstrate consistency across studies and highlight the importance of standardized nomenclature for intestinal pathology. The differences in the severity of non-neoplastic lesions also likely contribute to the differential tumor response.

### Keywords

mouse pathology, rat pathology, gastrointestinal system, environmental toxicology, cell proliferation, carcinogenesis

### Introduction

In 2007 and 2008, the National Toxicology Program (NTP) released reports that described the toxic and carcinogenic effects of hexavalent chromium (Cr(VI)) in 13-week and 2-year rodent drinking water studies (NTP 2007, 2008b). Toxicity and carcinogenicity studies involving trivalent chromium (Cr(III)) were released at the same time (NTP 2008a). Results from the 2-year studies of Cr(VI) and Cr(III) were subsequently published in the peer-reviewed literature (Stout, Herbert, et al. 2009; Stout, Nyska, et al. 2009). Cr(VI) exposure was associated with oral tumors in F344 rats and adenomas and carcinomas of the duodenum and jejunum in B6C3F1 mice (Stout, Herbert, et al. 2009). Despite higher milligram per kilogram body weight doses, Cr(III) exposure was not associated with increased tumors in any organ (Stout, Nyska, et al. 2009). These disparate findings are consistent with the lower bioavailability of Cr(III) relative to Cr(VI) (De Flora et al. 1997).

The major non-neoplastic lesions reported in the duodenum of B6C3F1 mice exposed to Cr(VI) were diffuse epithelial hyperplasia, histiocytic infiltration in the lamina propria of the villus mucosa, blunted villi, and generalized mucosal epithelial hypercellularity (Stout, Herbert, et al. 2009). In both

the 13-week and 2-year studies, these effects were characterized as having occurred secondary to mucosal injury (NTP 2007, 2008b). In contrast to mice, histiocytic infiltration was the only duodenal effect reported in F344 rats. According to NTP (2008b), rats did not exhibit mucosal injury or hyperplasia and did not develop intestinal tumors. Considering that comparable drinking water concentrations were administered to both species, the different outcomes suggested species differences in pharmacokinetic and/or pharmacodynamic responses to Cr(VI).

Between 2011 and 2012, two 13-week studies were conducted to investigate the mode of action (MOA) of Cr(VI) in the rodent small intestine (Thompson et al. 2011; Thompson,

<sup>1</sup>College of Veterinary Medicine, North Carolina State University, Raleigh, North Carolina, USA

<sup>2</sup>Global VetPathology, Montgomery Village, Maryland, USA

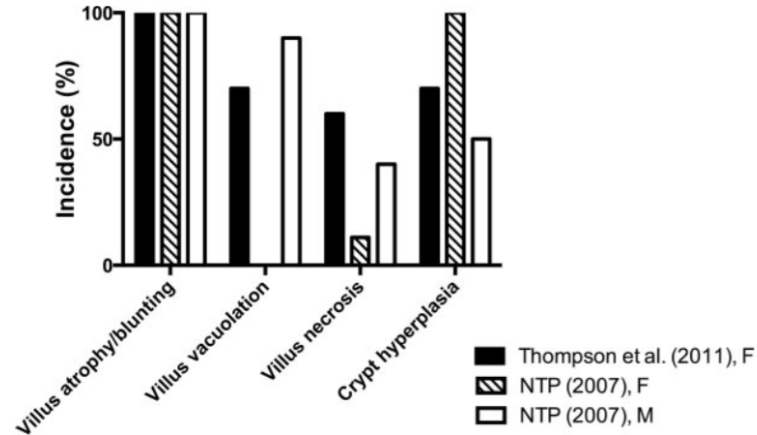
<sup>3</sup>ToxStrategies Inc., Katy, Texas, USA

### Corresponding Author:

Chad M. Thompson, ToxStrategies Inc., 23123 Cinco Ranch Blvd., Suite 220, Katy, TX 77494, USA.  
Email: cthompson@toxstrategies.com

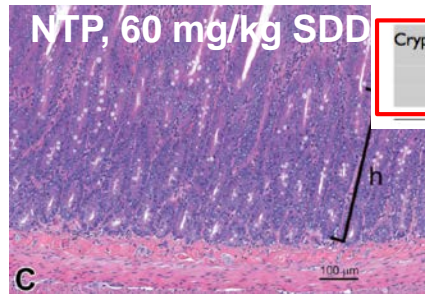
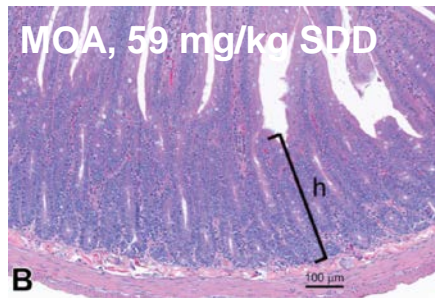
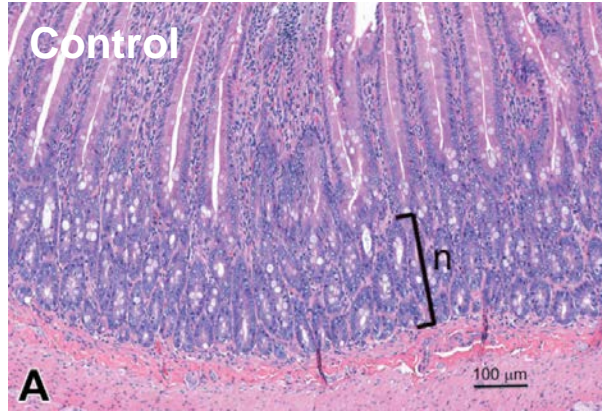
Toxicologic Pathology  
2016, Vol. 44(2) 279-289  
© The Author(s) 2015  
Reprints and permission:  
sagepub.com/journalsPermissions.nav  
DOI: 10.1177/1053426915611501  
tox.sagepub.com  
SAGE

13-wk studies





# Conclusion 2: Rats in NTP and MOA 13-wk Studies had Similar Effects at Comparable Doses (mg/kg SDD)

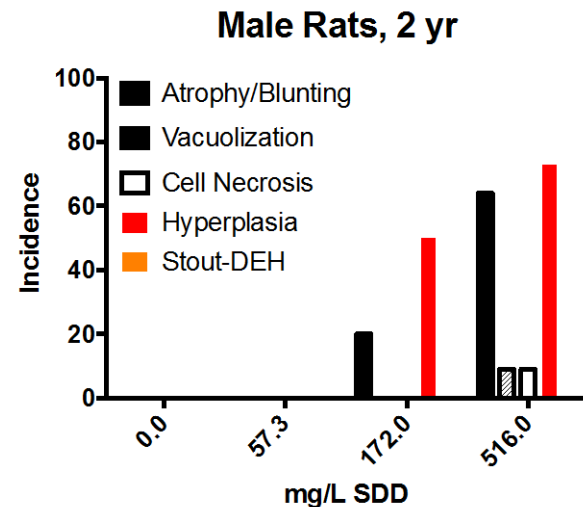
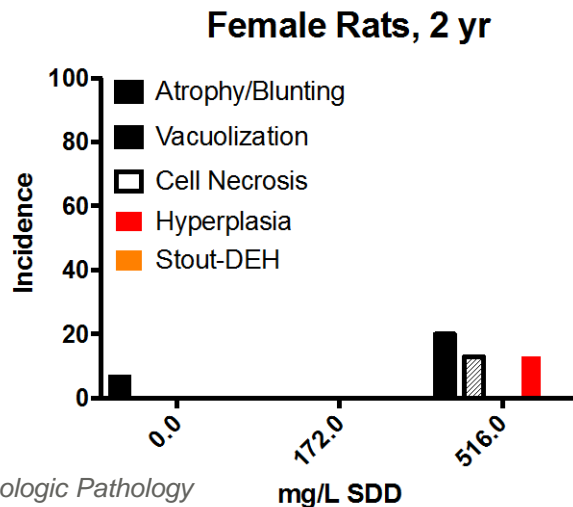
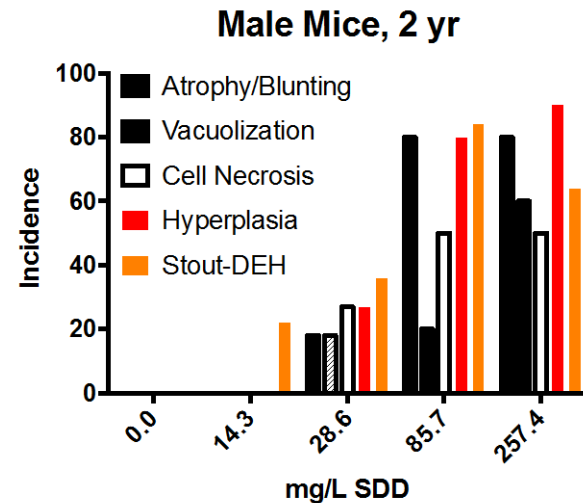
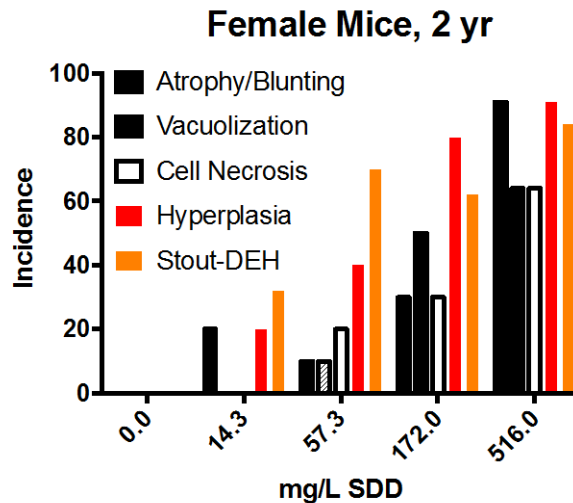


**Table 3.** Reevaluation of Duodenal Lesions in F344 Rats in the 13-week Drinking Water Studies.

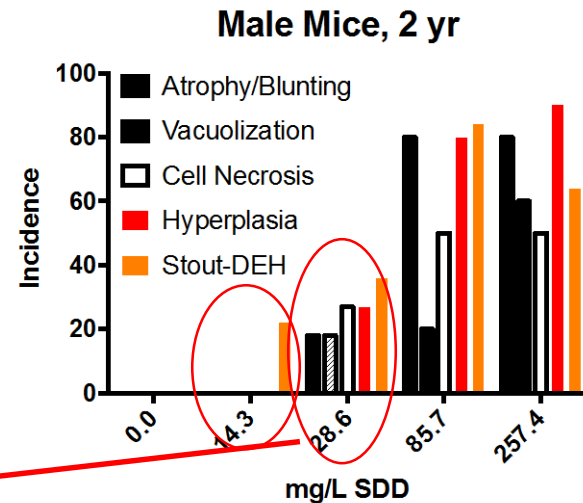
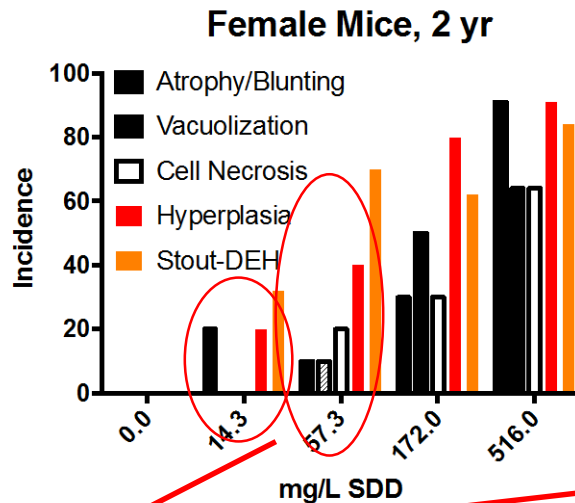
Study	Thompson et al. (2012), female			NTP (2007), female		NTP (2007), male		
Nominal concentration milligram per liter SDD	0	170	520	0	1,000	0	500	1,000
Nominal dose milligram per kilogram SDD	0	20	59	0	61	0	32	60
Number of rats examined	10	10	10	10	10	10	10	10
Villus histiocytic cellular infiltrates	Grade 1	0 <sup>a</sup>	9	1	7	0	4	6
	Grade 2	0	1	0	2	0	1	4
	Grade 3	0	0	0	0	0	0	0
	All grades	0	10	1	9	0	5	10
Villus atrophy/blunting	Grade 1	0	4	8	0	0	0	0
	Grade 2	0	0	0	0	0	0	0
	Grade 3	0	0	0	0	0	0	0
	All grades	0	4	8	0	0	0	0
Villus enterocyte vacuolation	Grade 1	0	0	0	0	0	0	0
	Grade 2	0	0	0	0	0	0	0
	Grade 3	0	0	0	0	0	0	0
	All grades	0	0	0	0	0	0	0
Villus single-cell necrosis	Grade 1	0	0	3	0	0	0	1
	Grade 2	0	0	0	0	0	0	0
	Grade 3	0	0	0	0	0	0	0
	All grades	0	0	3	0	0	0	1
Crypt epithelial hyperplasia	Grade 1	0	3	2	0	3	0	5
	Grade 2	0	0	0	0	0	0	1
	Grade 3	0	0	0	0	0	0	0
	All grades	0	3	2	0	3	0	6

Source: Cullen et al. (2015) *Toxicologic Pathology*

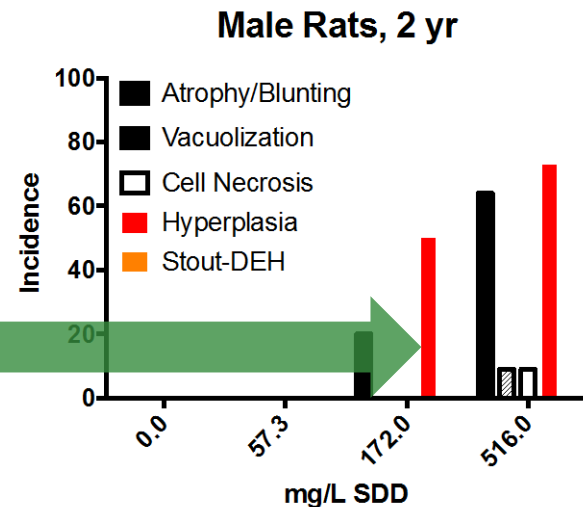
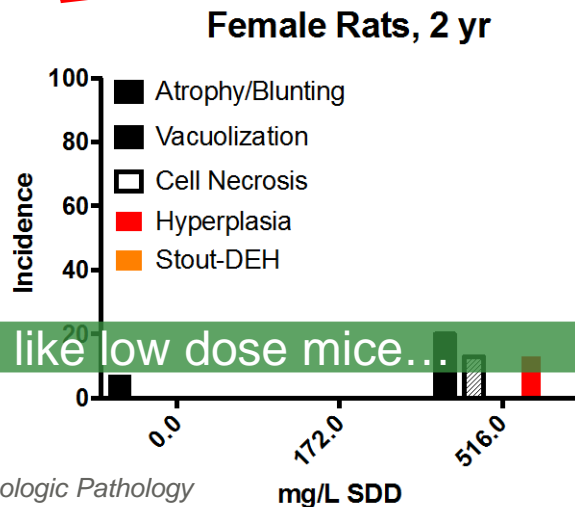
# Re-evaluation of 2-yr NTP Data:



# Re-evaluation of 2-yr NTP Data:



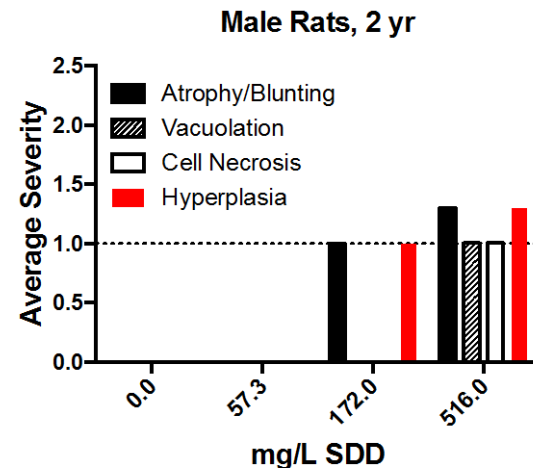
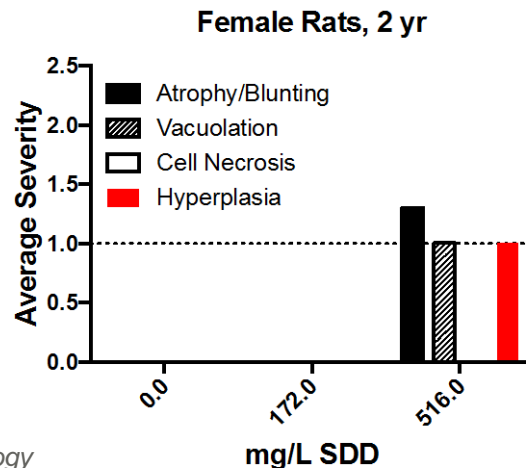
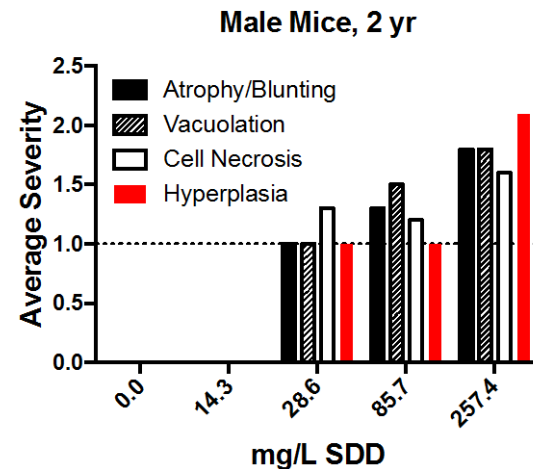
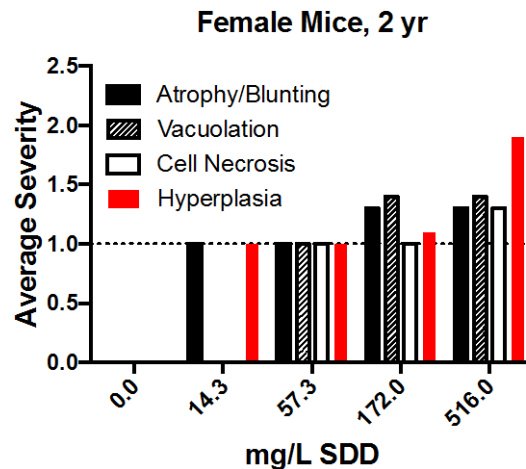
Note that these were not carcinogenic  
(relative to concurrent controls).



High dose rats are like low dose mice...



# Re-evaluation of 2-yr NTP Data:



# Re-evaluation of Tissue Sections: Final Conclusions

Original Article

## Reevaluation and Classification of Duodenal Lesions in B6C3F1 Mice and F344 Rats from 4 Studies of Hexavalent Chromium in Drinking Water

John M. Cullen<sup>1</sup>, Jerrold M. Ward<sup>2</sup>, and Chad M. Thompson<sup>3</sup>

### Abstract

Thirteen-week and 2-year drinking water studies conducted by the National Toxicology Program (NTP) reported that hexavalent chromium (Cr(VI)) induced diffuse epithelial hyperplasia in the duodenum of B6C3F1 mice but not F344 rats. In the 2-year study, Cr(VI) exposure was additionally associated with duodenal adenomas and carcinomas in mice only. Subsequent 13-week Cr(VI) studies conducted by another group demonstrated non-neoplastic duodenal lesions in B6C3F1 mice similar to those of the NTP study as well as mild duodenal hyperplasia in F344 rats. Because intestinal lesions in mice are the basis for proposed safety standards for Cr(VI), and the histopathology data are relevant to the mode of action, consistency (an important Hill criterion for causality) was assessed across the aforementioned studies. Two veterinary pathologists applied uniform diagnostic criteria to the duodenal lesions in rats and mice from the 4 repeated-dose studies. Comparable non-neoplastic intestinal lesions were evident in mice and rats from all 4 studies; however, the incidence and severity of intestinal lesions were greater in mice than rats. These findings demonstrate consistency across studies and species and highlight the importance of standardized nomenclature for intestinal pathology. The differences in the severity of non-neoplastic lesions also likely contribute to the differential tumor response.

### Keywords

mouse pathology, rat pathology, gastrointestinal system, environmental toxicology, cell proliferation, carcinogenesis

### Introduction

In 2007 and 2008, the National Toxicology Program (NTP) released reports that described the toxic and carcinogenic effects of hexavalent chromium (Cr(VI)) in 13-week and 2-year rodent drinking water studies (NTP 2007, 2008b). Toxicity and carcinogenicity studies involving trivalent chromium (Cr(III)) were released at the same time (NTP 2008a). Results from the 2-year studies of Cr(VI) and Cr(III) were subsequently published in the peer-reviewed literature (Stout, Herbert, et al. 2009; Stout, Nyska, et al. 2009). Cr(VI) exposure was associated with oral tumors in F344 rats and adenomas and carcinomas of the duodenum and jejunum in B6C3F1 mice (Stout, Herbert, et al. 2009). Despite higher milligram per kilogram body weight doses, Cr(III) exposure was not associated with increased tumors in any organ (Stout, Nyska, et al. 2009). These disparate findings are consistent with the lower bioavailability of Cr(III) relative to Cr(VI) (De Flora et al. 1997).

The major non-neoplastic lesions reported in the duodenum of B6C3F1 mice exposed to Cr(VI) were diffuse epithelial hyperplasia, histiocytic infiltration in the lamina propria of the villus mucosa, blunted villi, and generalized mucosal epithelial hypercellularity (Stout, Herbert, et al. 2009). In both

the 13-week and 2-year studies, these effects were characterized as having occurred secondary to mucosal injury (NTP 2007, 2008b). In contrast to mice, histiocytic infiltration was the only duodenal effect reported in F344 rats. According to NTP (2008b), rats did not exhibit mucosal injury or hyperplasia and did not develop intestinal tumors. Considering that comparable drinking water concentrations were administered to both species, the different outcomes suggested species differences in pharmacokinetic and/or pharmacodynamic responses to Cr(VI).

Between 2011 and 2012, two 13-week studies were conducted to investigate the mode of action (MOA) of Cr(VI) in the rodent small intestine (Thompson et al. 2011; Thompson,

<sup>1</sup> College of Veterinary Medicine, North Carolina State University, Raleigh, North Carolina, USA

<sup>2</sup> Global VetPathology, Montgomery Village, Maryland, USA

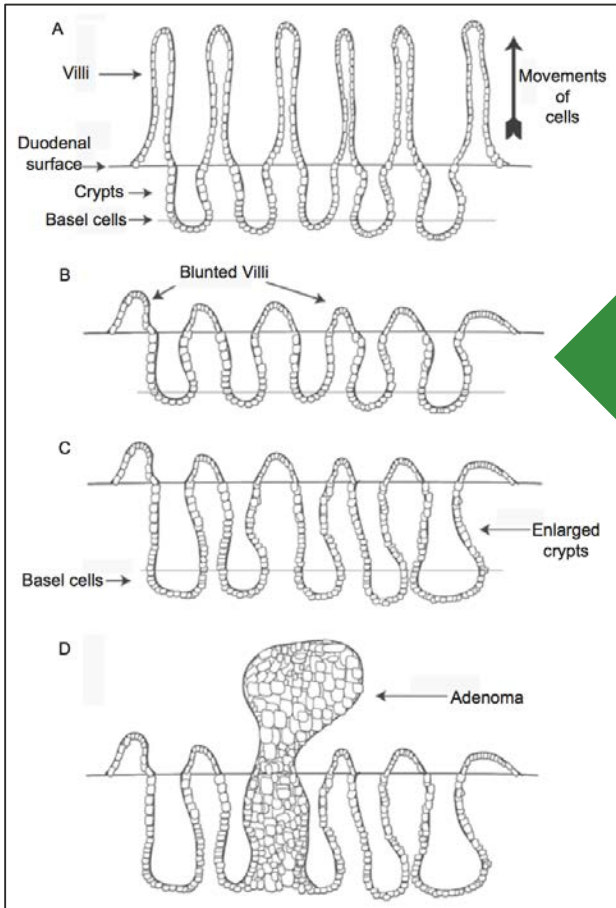
<sup>3</sup> ToxStrategies Inc., Katy, Texas, USA

### Corresponding Author:

Chad M. Thompson, ToxStrategies Inc., 23123 Cinco Ranch Blvd., Suite 220, Katy, TX 77494, USA.  
Email: cthompson@toxstrategies.com

- “In summary, this review demonstrated that the non-neoplastic histopathologic effects of Cr(VI) in the intestines of mice and rats of the NTP (2007, 2008b) and [MOA] studies **were all qualitatively similar**, which suggests that the findings were pathogenically interrelated.”
- “Specifically, villus atrophy/blunting, enterocyte vacuolation, single-cell necrosis, and crypt epithelial hyperplasia **portray a process in which chemically induced villus enterocyte cytotoxicity resulted in regenerative crypt epithelial hyperplasia.**”
- “This sequela of events, which were **more prevalent and severe in mice than rats**, could have contributed to the development of duodenal neoplasms in mice.”

# Relationship Between Villous Cytotoxicity & Crypt Proliferation

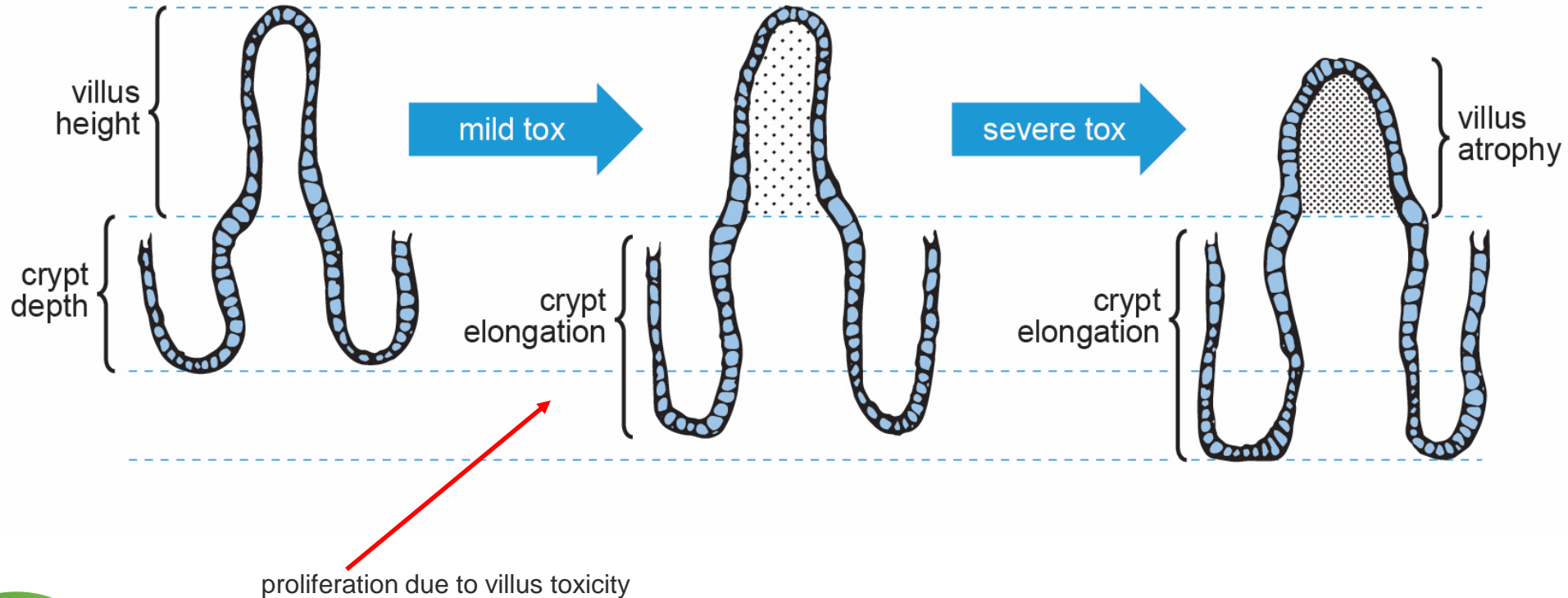


Source: Cohen et al. (2010) Crit Rev Toxicol 40: 331

When injury is mild, increased activity of the crypt replication zone compensates for the increased cell loss and there is no net change in villus length and in crypt depth. Although the villi are shorter and the crypts are deeper, the villi do not become more and more blunted. As the injury increases further, the villi become shorter and the crypts become deeper. Enterocytes migrating upwards to cover the villi do not have sufficient time to mature and tend to remain crowded together. The villi become shorter and broader...

(Brown, 2013, *Morson and Dawson's Gastrointestinal Pathology*)

# Revised Cartoon for MOA



# Pharmacokinetics



# Update of PBPK Modeling Activities for Hexavalent Cr

*Meeting with USEPA*

*August 10, 2016*

*Chris Kirman*

# Overview for Pharmacokinetics

- Background
- New PK Data
- Refinements to PBPK model
- PK Publications in 2016



# Background

## Objective of PBPK Modeling for CrVI:

- Simulate rodents exposed to CrVI under conditions of the NTP cancer bioassay (NTP, 2008)
- Support risk assessment decisions regarding human populations exposed to CrVI
  - *Target tissue dosimetry (mouse small intestines)*
  - *Interspecies extrapolation*
  - *High-to-low dose extrapolation*
  - *Address variation and sensitive subpopulations*

# Background (cont'd)

## Recent PK/Modeling Publications for Chromium

- Ex Vivo Studies on Reduction of CrVI by Gastric Fluid
  - *Rats and Mice: Proctor et al. (2012)*
  - *Humans: Kirman et al. (2013a)*
  - *Refinement: Schlosser and Sasso (2014)*
- Physiologically Based Pharmacokinetic (PBPK) Model Development
  - *Rats and Mice: Kirman et al. (2013b)*
  - *Humans: Kirman et al. (2013a)*
  - *Evaluation: Sasso and Schlosser (2015)*
    - Results for EPA and our model versions are very similar
- PBPK Model Application
  - *RfD Derivation: Thompson et al. (2014)*

# Background (cont'd)

Data gaps and limitations identified previously:

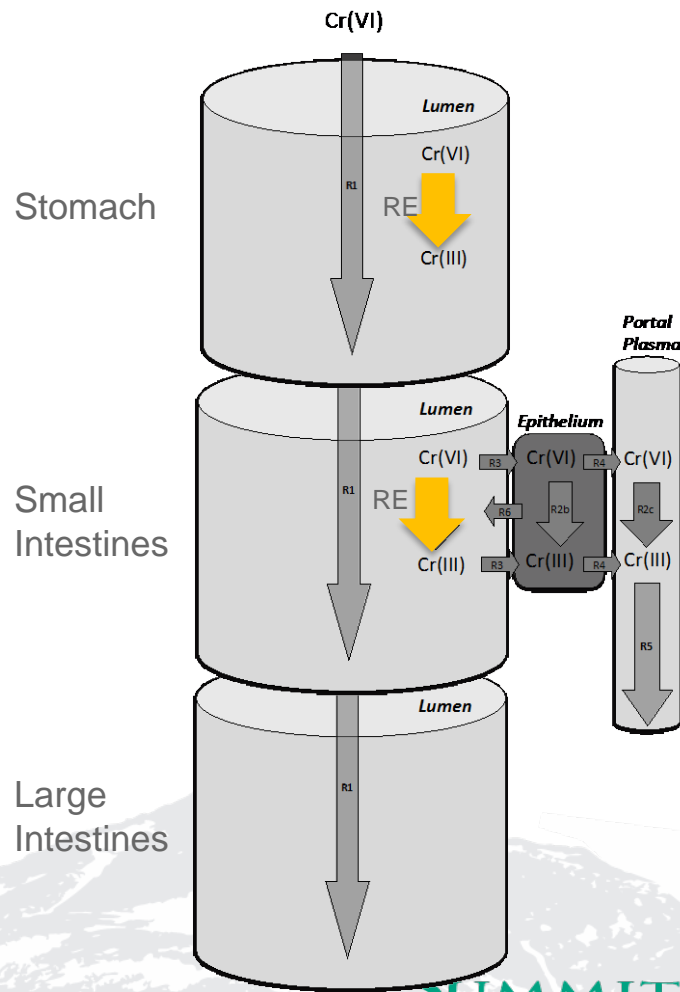
- CrVI reduction by fed human gastric fluid (GF) samples (*previous work done using fasted GF samples*)
- CrVI reduction by individual human GF samples (*previous work done using mostly pooled samples*)
- CrVI reduction by GF at elevated pH (previous work included only 1 sample above pH 4)
  - *Relationship between reduction rate constants and pH (previous work provided insufficient data at elevated pH for us to characterize completely)*
- Number of reducing agent pools present in human fed and fasted samples (*unlike our work with rodent GF, previous work with human GF assessed a narrow range of CrVI concentrations*)

# CrVI reduction model is an important component of the PBPK model

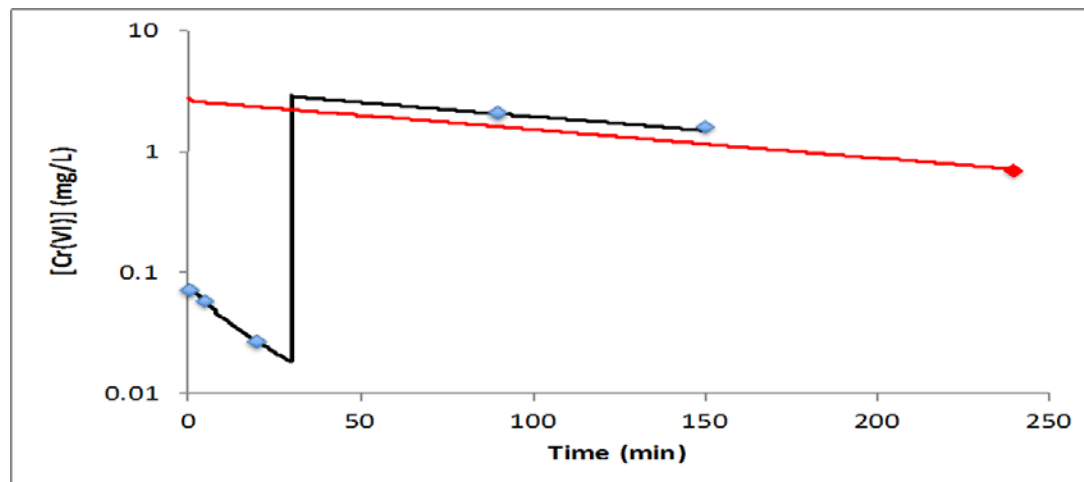
$$\text{Rate Reduction} = C_{\text{CrVI}} \times [(K_{\text{Red}} \times C_{\text{RE}})_{\text{Pool1}} + \dots (K_{\text{Red}} \times C_{\text{RE}})_{\text{PoolN}}]$$

Where,

- $C_{\text{CrVI}}$  = concentration of CrVI (mg/L)
- $K_{\text{Red}}$  = second order rate constant for reduction (L<sup>2</sup>/mg-hr); pH-dependent;
- $C_{\text{RE}}$  = concentration of reducing equivalents (reduction capacity, mg/L)
- $N$  = number of pools (values for  $K_{\text{Red}}$  and  $C_{\text{RE}}$  differ between pools)



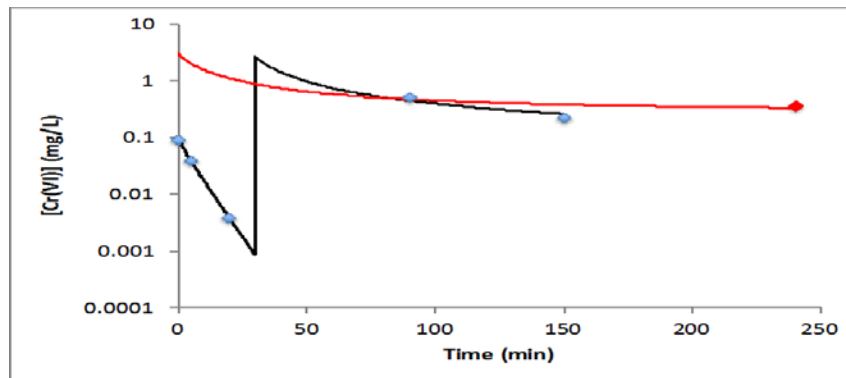
# New PK Data: Ex Vivo Reduction Studies in Human Gastric Fluid Samples



Ex vivo reduction data collected using human GF obtained from Dr. Silvio DeFlora:

- Includes fed ( $n=8$ ) and fasted ( $n=5$ ) individual GF samples, which fills important data gaps (sample figure shown here)
- Also include individual proton pump inhibitor (PPI; Prilosec®) user samples ( $n=3$ ; Duke University)
- Assessed using dual spike design

# New PK Data: Ex Vivo Reduction Studies in Human Gastric Fluid Sample



The dual-spike design provides very useful data:

- In most cases, CrVI is more efficiently reduced at low concentrations than at high concentrations.
  - *Note differences in slope for CrVI reduction in the 1<sup>st</sup> 30 minutes compared to after 30 minutes)*
- Allows for characterization of rates and capacities of multiple reducing agent pools in human samples (data gap)
- Depletion of reducing agents reflects an important source of nonlinear toxicokinetics that needs to be considered when attempting to extrapolate the observations from the NTP bioassay (high concentrations) to environmental exposures (low concentrations)

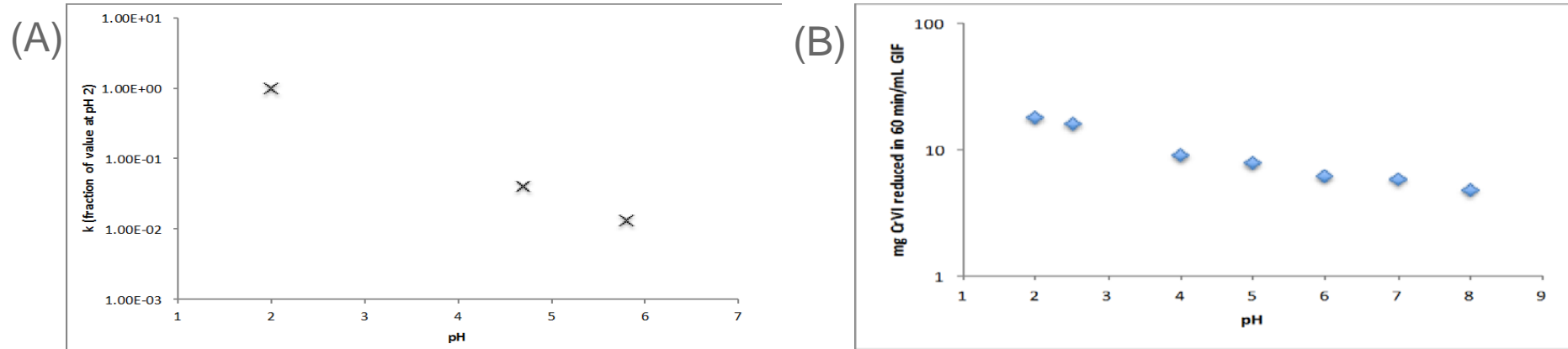
# New Data: Number of Pools

Sample Group	Number of Reducing Agent Pools	Reducing Agent Pools			Maximum LL For All Samples	Number of Estimated Parameters (each sample optimized individually)	AIC
		Pool 1 (fast)	Pool 2 (slow)	Pool 3 (very slow)			
Experiment 2A (n=8 human fed samples)	1	1 <sup>st</sup> order	NA	NA	-2452	8	4921
		2 <sup>nd</sup> order	NA	NA	-782	16	1597
	2	2 <sup>nd</sup> order	1 <sup>st</sup> order	NA	-18	22	79
		2 <sup>nd</sup> order	2 <sup>nd</sup> order	NA	6	30	48
	3	2 <sup>nd</sup> order	2 <sup>nd</sup> order	1 <sup>st</sup> order	88	32	-112
		2 <sup>nd</sup> order	2 <sup>nd</sup> order	2 <sup>nd</sup> order	88	40	-95
Experiment 2B (n=3 human PPI samples)	1	1 <sup>st</sup> order	NA	NA	-159	3	325
		2 <sup>nd</sup> order	NA	NA	28	6	-44
	2	2 <sup>nd</sup> order	1 <sup>st</sup> order	NA	53	9	-89
		2 <sup>nd</sup> order	2 <sup>nd</sup> order	NA	75	12	-126.0
	3	2 <sup>nd</sup> order	2 <sup>nd</sup> order	1 <sup>st</sup> order	78	15	-126.3
		2 <sup>nd</sup> order	2 <sup>nd</sup> order	2 <sup>nd</sup> order	78	18	-120
Experiment 2C (n=5 human fasted samples)	1	1 <sup>st</sup> order	NA	NA	-3659	5	7328
		2 <sup>nd</sup> order	NA	NA	-54	10	127
	2	2 <sup>nd</sup> order	1 <sup>st</sup> order	NA	-51	15	133
		2 <sup>nd</sup> order	2 <sup>nd</sup> order	NA	31	20	-22
	3	2 <sup>nd</sup> order	2 <sup>nd</sup> order	1 <sup>st</sup> order	42	25	-35
		2 <sup>nd</sup> order	2 <sup>nd</sup> order	2 <sup>nd</sup> order	33	30	-5

- For fed, fasted, and PPI samples a 3-pool model provided the best fit (lowest AIC) to the data collected
- This is consistent with conclusions for rat and mouse GF data (Schlosser and Sasso, 2014)



# New Data: CrVI Reduction at Elevated pH

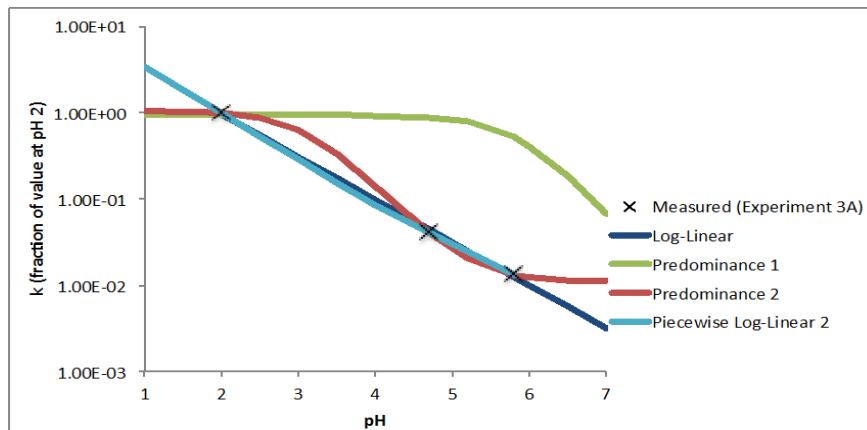


To characterize CrVI reduction at elevated pH:

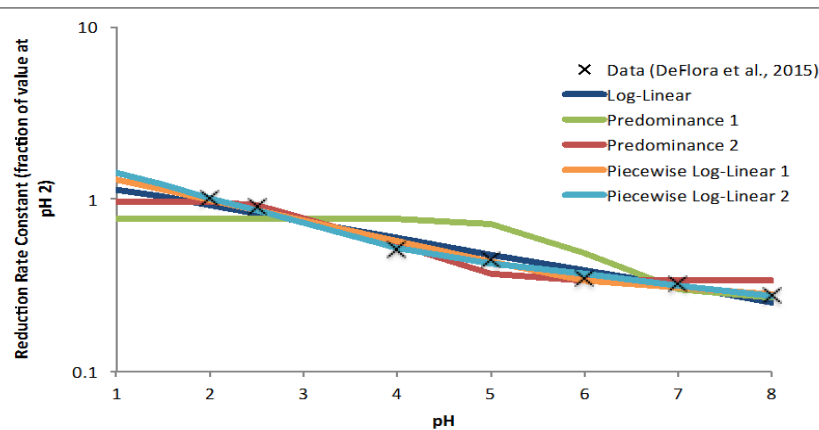
- We artificially increased the pH of a sample (innate pH = 2) to 4.7 and 5.8 and estimated the change in k (Panel A)
- Independently, De Flora et al. increased the pH of a different sample (innate pH=2) to 2.5-8 and measured the amount of CrVI reduced in 60 min (proportionate to k) (Panel B)
- Ex vivo reduction runs using individual PPI samples (pH 5.8-7.5) (part of data discussed in slide #6)

# New Data: Revised pH-Dependence for the Reduction Rate Constant in Human GF

*Our Data*

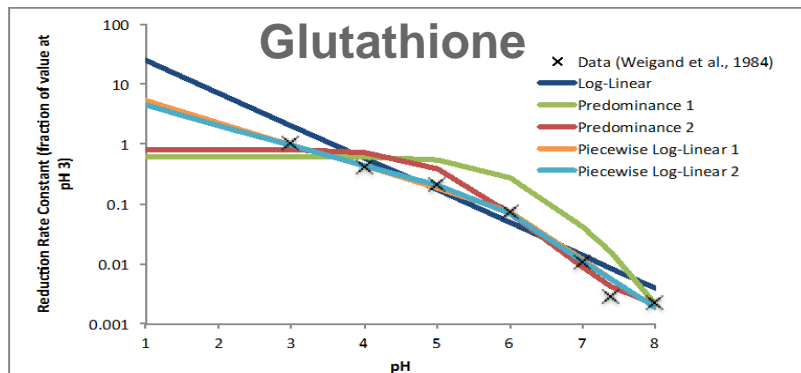


*De Flora Data*

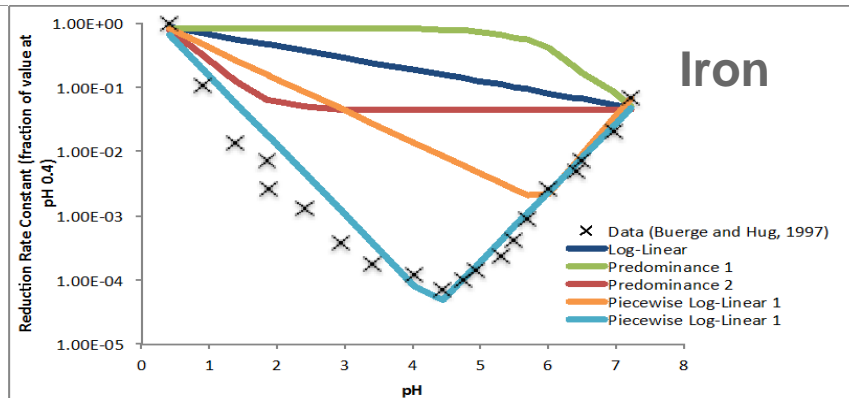
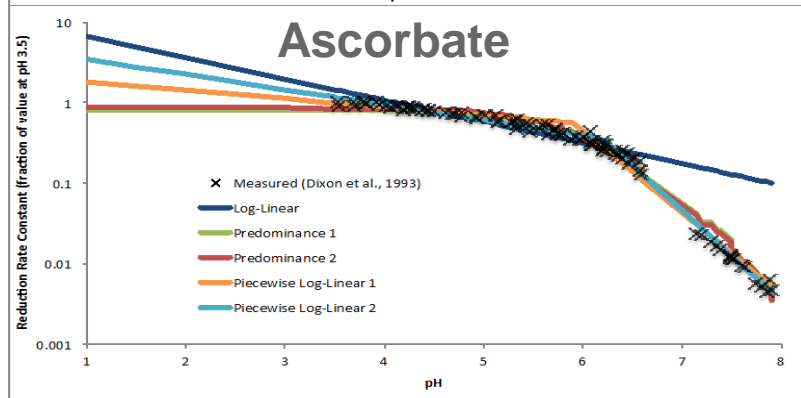


- We evaluated several pH-dependent forms against these GF data
  - Log-linear (form used in Kirman et al., 2013)
  - Predominance 1 & 2 (forms proposed by Schlosser and Sasso)
    - Based on the pH-dependent forms of chromate present
  - Piecewise log-linear (new)
    - Allows for an inflection point

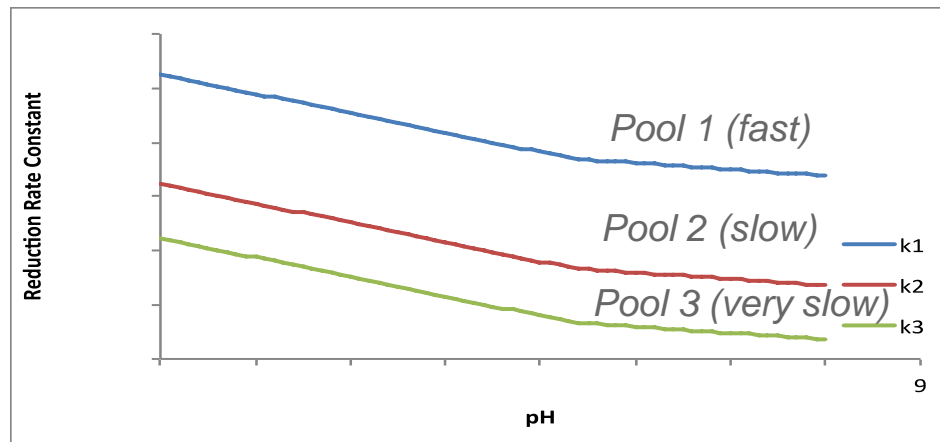
# Revised pH-Dependence for the Reduction Rate Constant for Specific Reducing Agents



*We also evaluated several pH-dependent forms against published data sets for specific reducing agents (glutathione, ascorbate, iron), which are likely components of human GF*



# Revised pH-Dependence for the Reduction Rate Constant



Based on this evaluation we selected the piecewise log-linear model for all three reduction constants (fast, slow, and very slow pools)

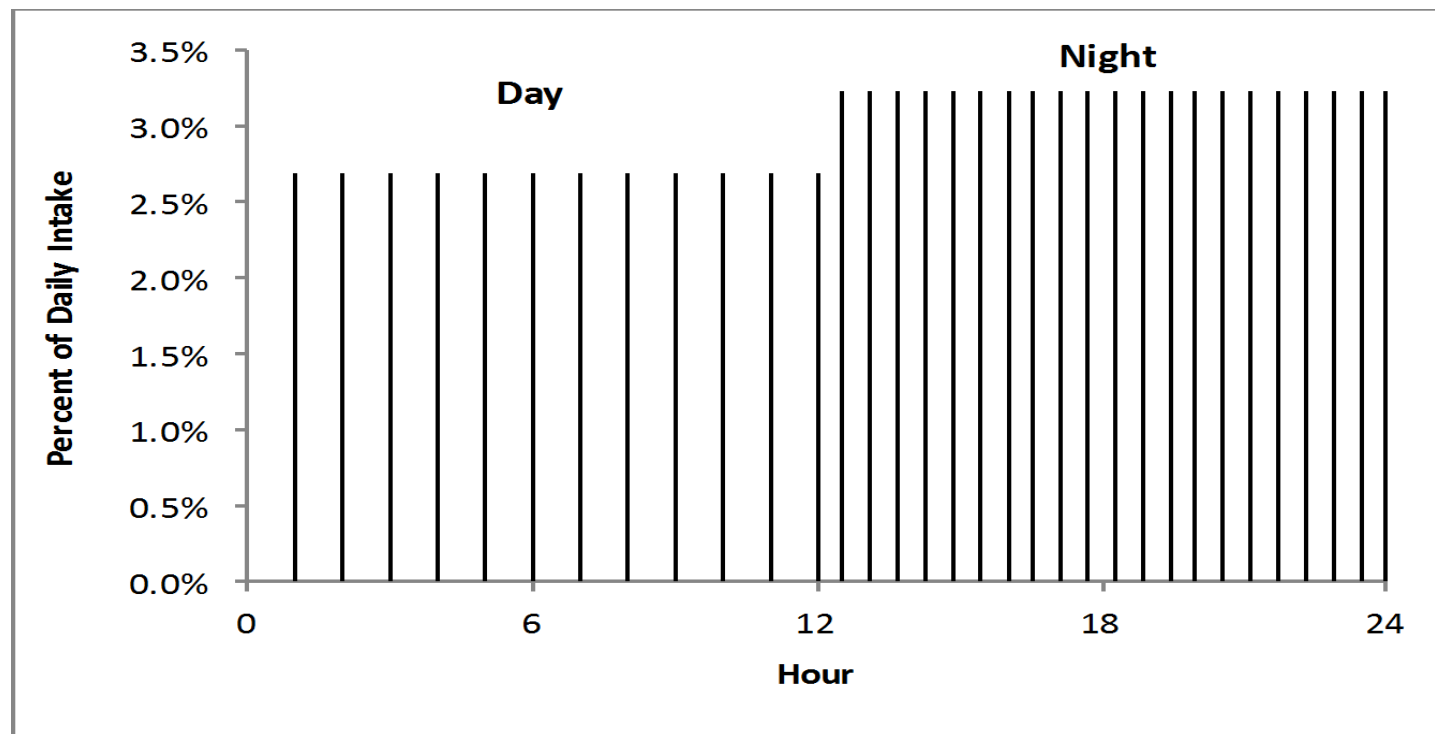
- Piecewise log-linear (replaces simpler log-linear model)
- Differs from USEPA's Cr predominance model, but may not produce meaningful difference in the risk assessment

# Reduction Capacities

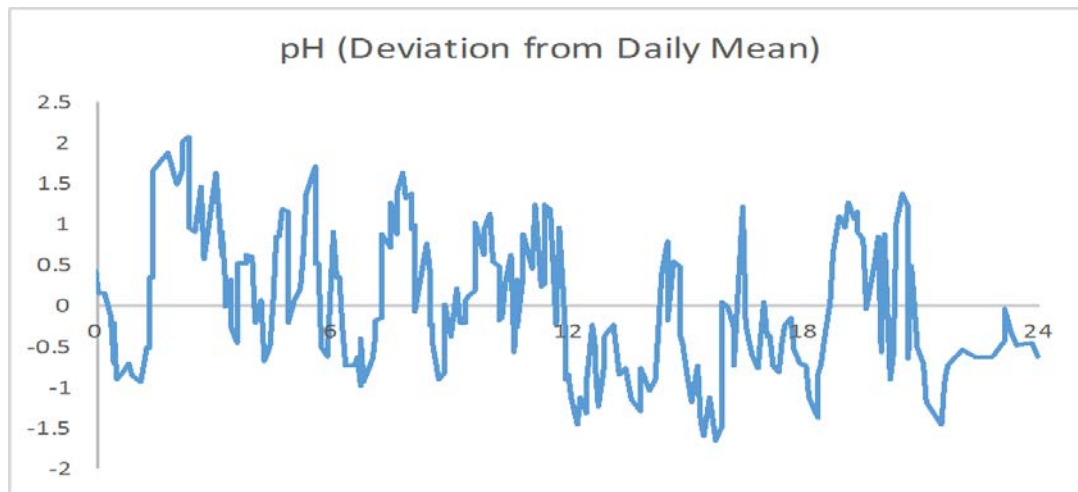
Species for GF Samples	Reducing Agent Pool (reaction rate)	Reducing Equivalents Concentration (mg/L)	
		Fed	Fasted
Human	Pool 1 (fast)	0.68±0.76	2.6±2.8
	Pool 2 (slow)	27±28	12±18
	Pool 3 (very slow)	Unlimited <sup>1</sup>	Unlimited <sup>1</sup>
Mouse <sup>2</sup>	Pool 1 (fast)	6.1	NA
	Pool 2 (slow)	27	NA
	Pool 3 (very slow)	Unlimited <sup>1</sup>	NA
Rat <sup>2</sup>	Pool 1 (fast)	7.1	NA
	Pool 2 (slow)	73	NA
	Pool 3 (very slow)	Unlimited <sup>1</sup>	NA

- Based on a capacity of ~0.7 mg/L for RE pool 1 (fast reaction) in fed human GIF samples, we predict CrVI to be more efficiently reduced at low concentrations (<0.7 mg/L) than at high concentrations (>0.7 mg/L)

# PBPK Refinement: Simulating Individual Drinking Water Events in Mice (Gannon et al., 1992)



# PBPK Refinement: Temporal Variation in Rodent Gastric pH

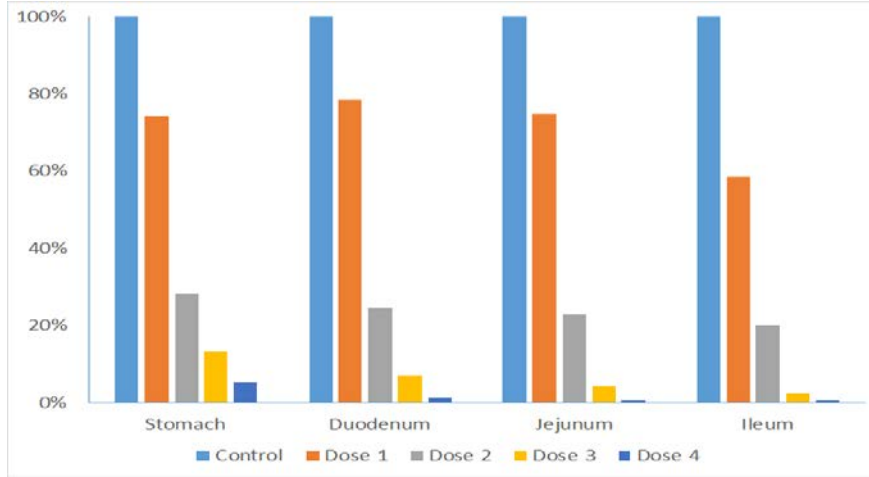


- Based on data of Rudholm et al (2008)
- Data identified to help address comment/question regard diurnal variation in rodent gastric pH
- No clear difference between pH during day vs night
- Currently evaluating how to best use these data, and whether this degree of complexity makes a meaningful difference to modeling results

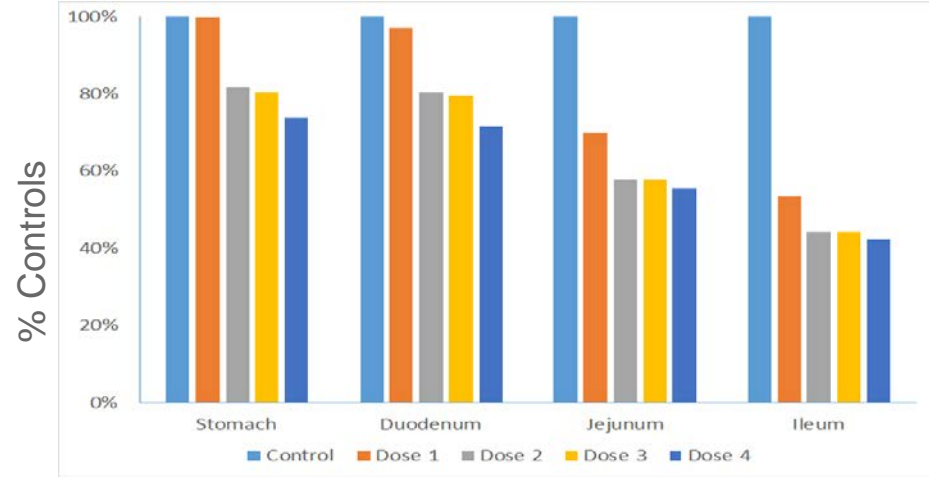


# Preliminary PBPK Model Predictions: Depletion of Reducing Agent Pools

*Pool 1*



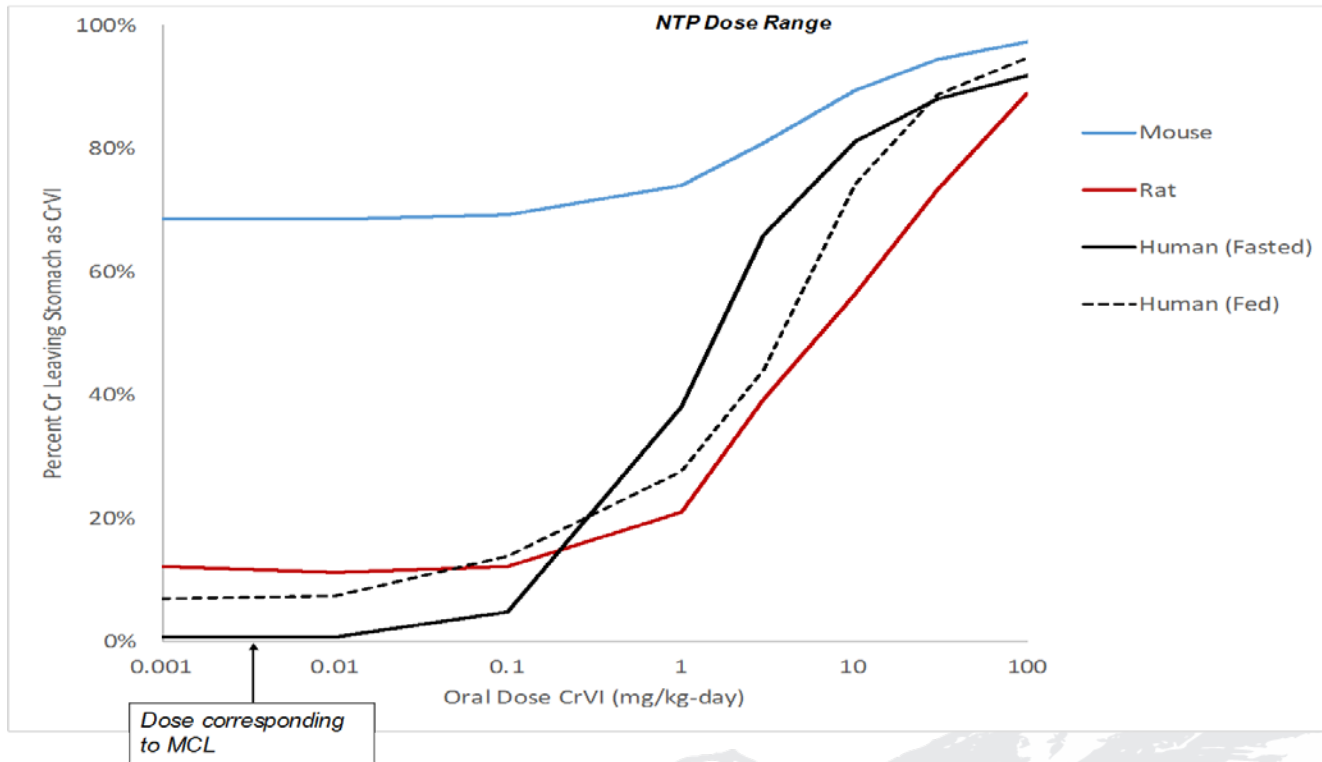
*Pool 2*



Under conditions of NTP bioassay, PBPK model predictions show:

- Significant depletion of Pool 1 (fast reaction) reducing agents
- Some depletion of Pool 2 (slow reaction) reducing agents

# Using the PBPK Model to Characterize Species Differences and Nonlinear Toxicokinetics

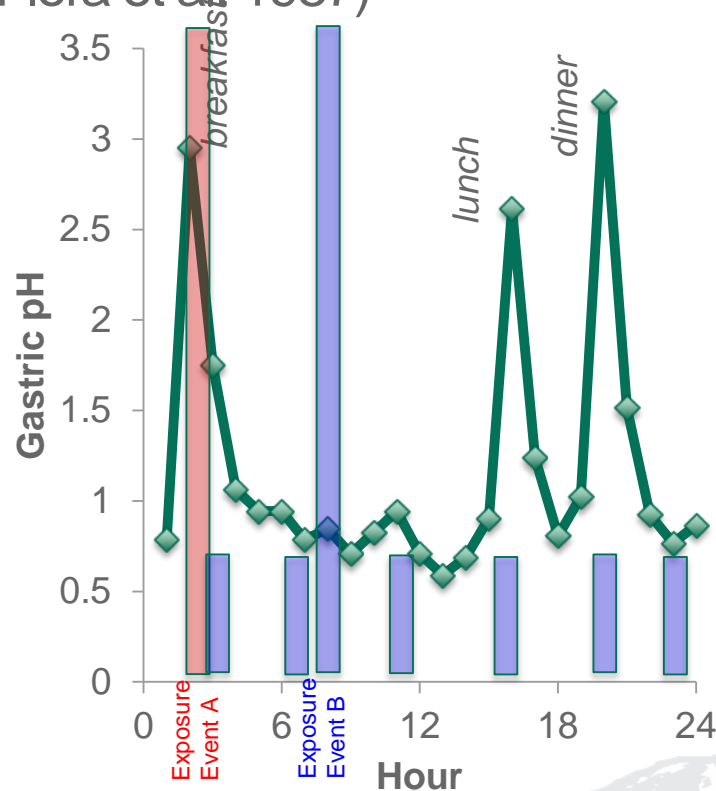


- Due to shorter GI transit times (less time for reduction to occur), a higher percentage of CrVI reaches the small intestines in mice (blue curve) compared to other species
- Due to reducing agent depletion, a greater percentage of CrVI reaches the small intestines in all species
- Nonlinear toxicokinetics become important at oral doses near 0.1 mg/kg-day (above human GF capacity of 0.7 mg/L for fast reduction reaction)

# Using the PBPK Model to Address Temporal Variation, Inter-individual Variation, and Sensitive Subpopulations

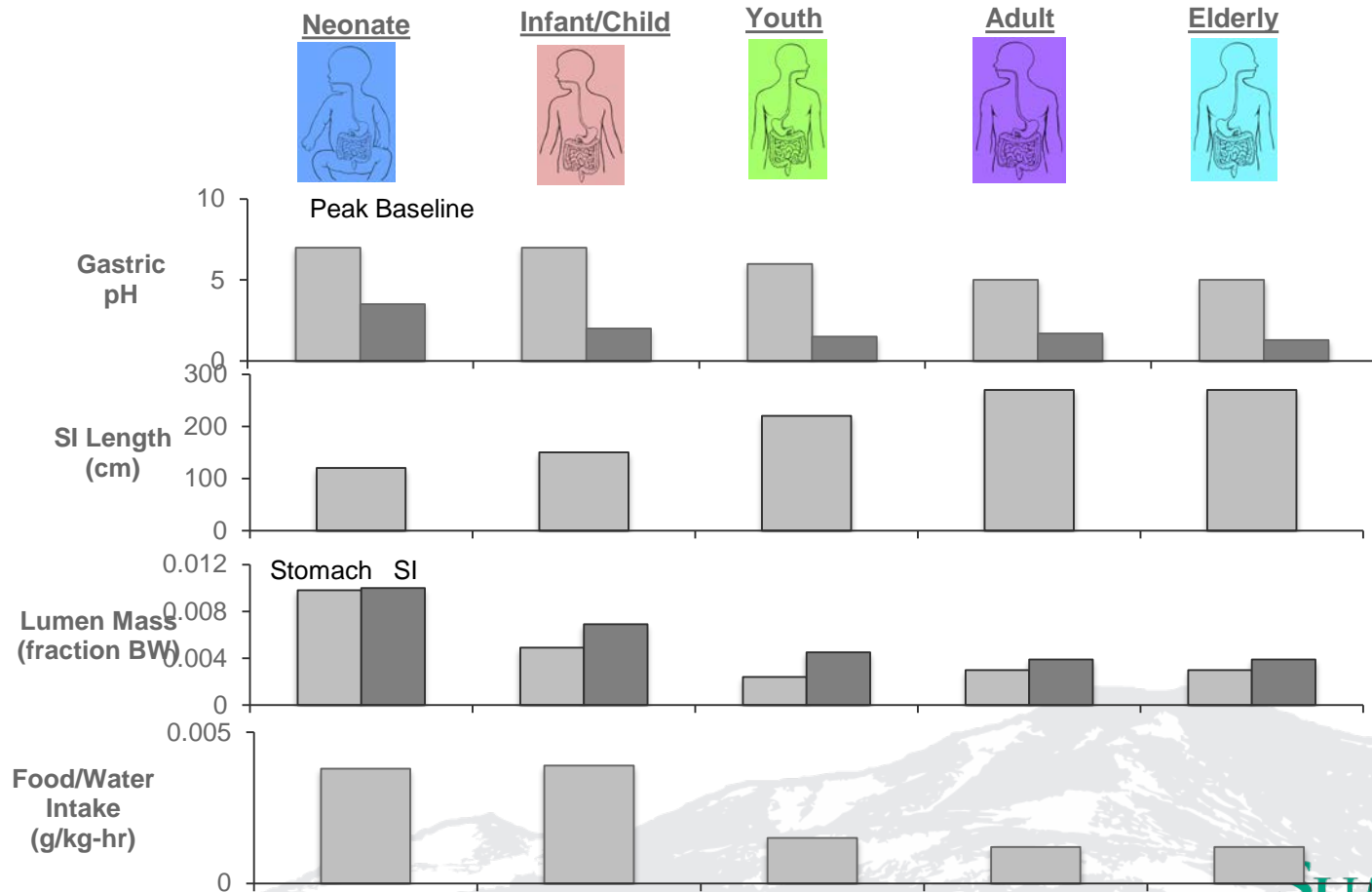
- Temporal Variation
  - *Impact of meals on gastric pH*
- Inter-individual variation
  - *Age differences*
- Sensitive Subpopulations:
  - *Neonates*
  - *Proton pump inhibitor (PPI) Users*
  - *Hypochlorhydria*

## Temporal/Diurnal Variation in Gastric pH (data from de Flora et al. 1987)

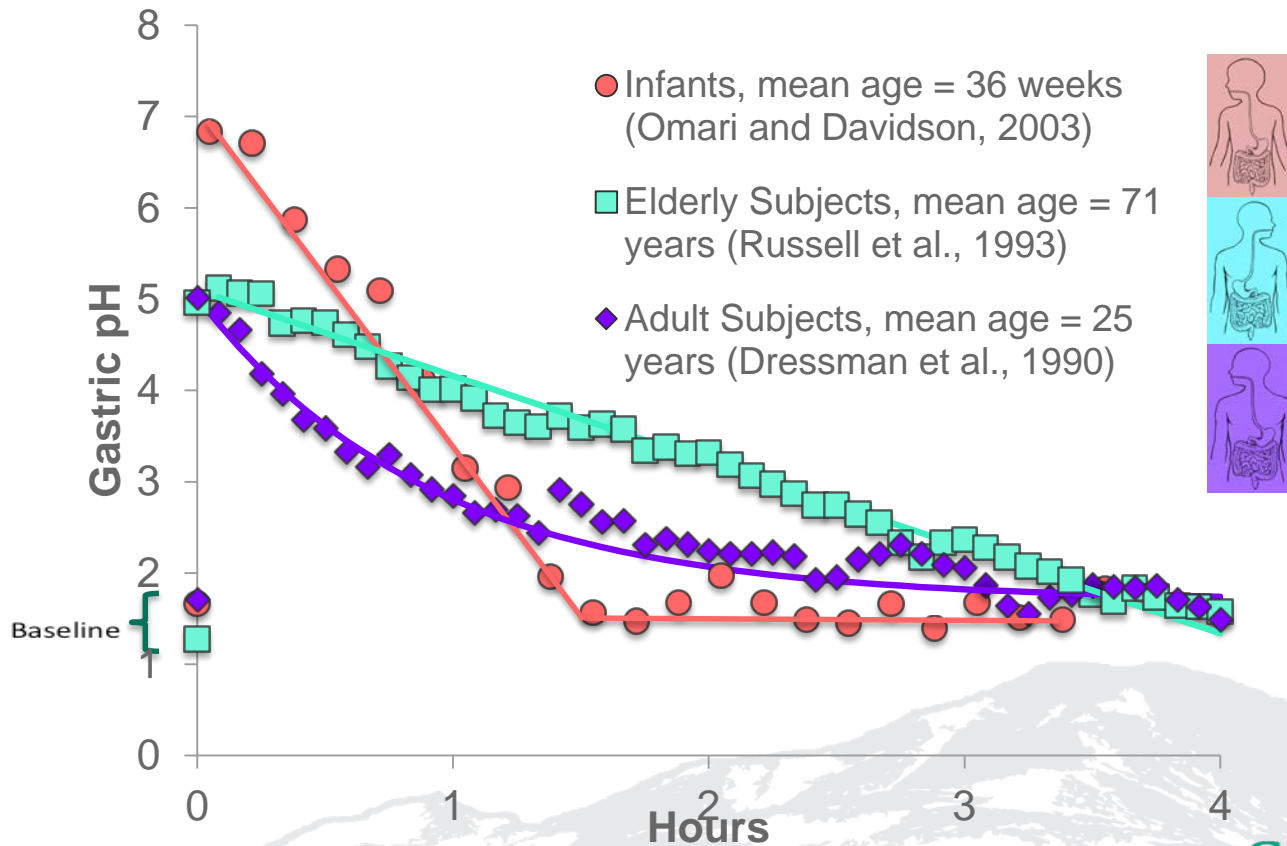


- Gastric pH is important since the rate Cr(VI) reduction is pH-dependent
- In addition, other GI factors exhibit temporal variation
  - **Gastric Transit Rates:** Slower when food is present, faster with liquid intake only
  - **Reducing Agents:** concentrations of ascorbate/sulphydryls depend on the presence or absence of food in GI tract
- Timing of exposure events affects pyloric flux (dose delivered to SI)

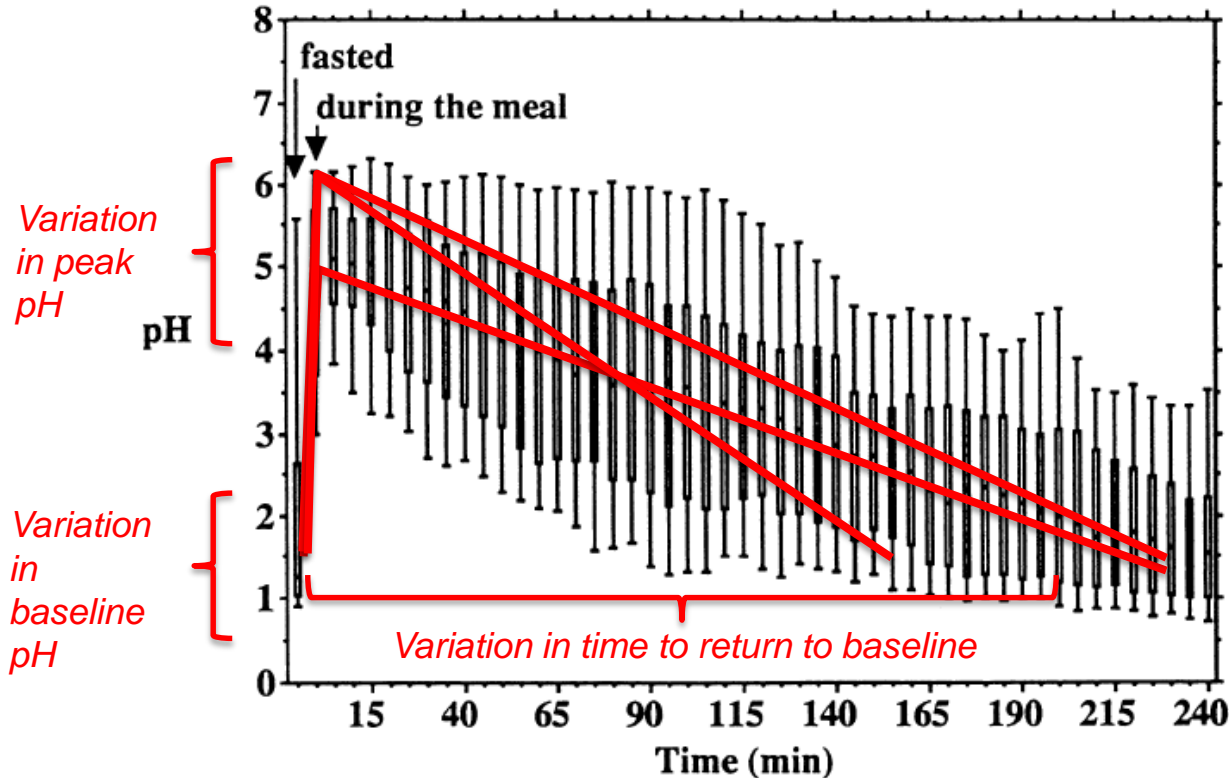
# Variation in Model Parameters Across Lifestage



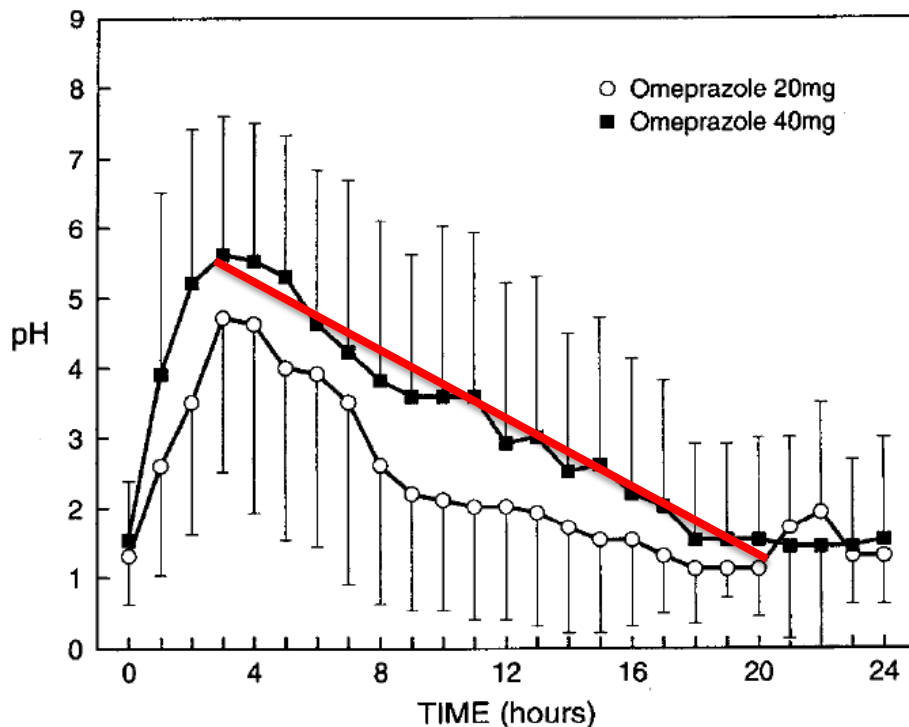
## Variation Across Lifestage: Gastric pH After a Meal



# Individual Variation: Gastric pH After a Meal (Dressman et al., 1990; Russell et al., 1993)



# Sensitive Subpopulations: Proton Pump Inhibitor (PPI) Users (Atanassoff et al. 1995)



*PPI user compared to untreated adults:*

- Higher peak pH
- Greater variation in peak pH
- Much slower return to baseline (16 hours vs 3 hours)



# Hypochlorhydria

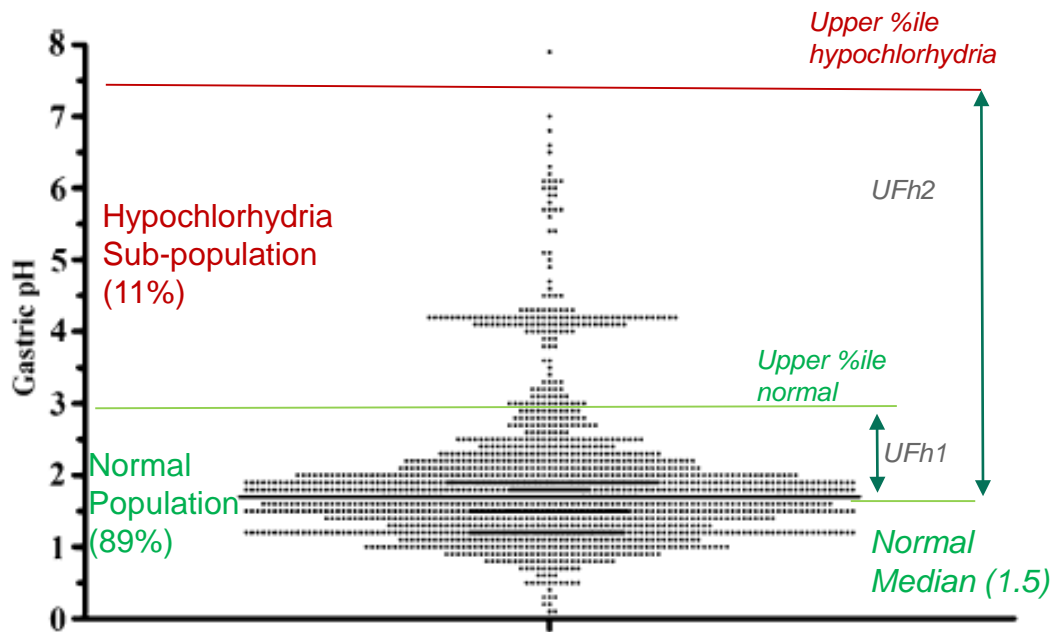


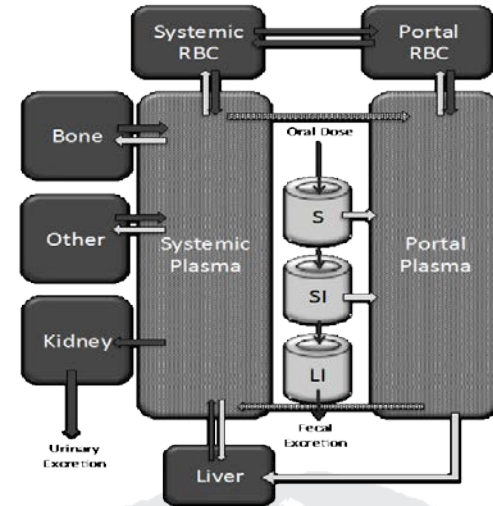
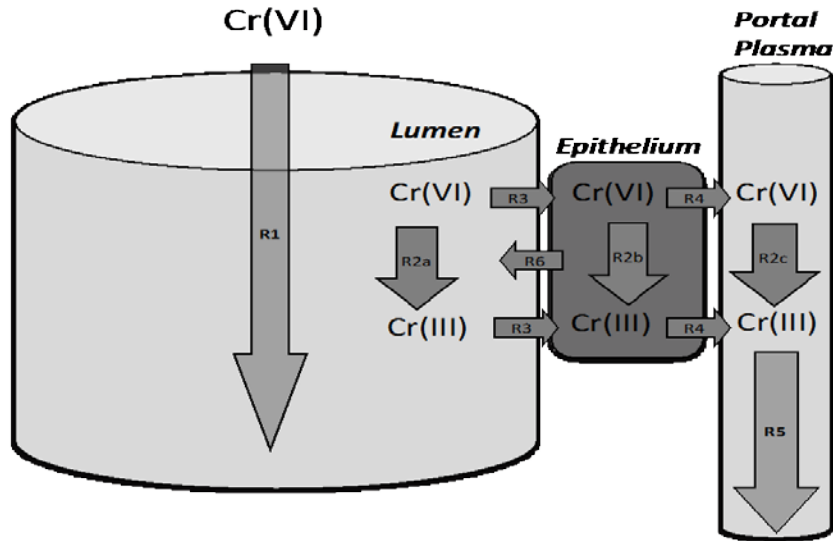
Fig. 2 Gastric pH scatter plot for 1,582 symptomatic subjects showing a bimodal distribution

- Data in Fig 2 are from Ayazi et al 2009
- Bimodal distribution; authors identify pH=2.9 as cutoff (95%ile)
- Hypochlorhydria is a chronic condition whose prevalence increases with age: 0-4 yrs (~1%); 5-9 yrs (~6%); 10-15 yrs (~8%) (Seo et al 2015); Adults (~11%; Ayazi).
  - Higher prevalence w/ age dependence in Japanese (Moriyama et al. 2001)
  - In some individuals elevated pH may be intermittent (Hurwitz et al., 1997)
- In Thompson et al. (2014), we used a default value of 10 for UFh (3 each for TK & TD). We intend to replace the TK component with a data-derived UF values
  - Use pH variation to estimate variation in dose measures
  - Model hypochlorhydria as a separate population
  - Calculate two values for UFh for each dose measure (as depicted in figure).

# 2016 PK Publication Plans

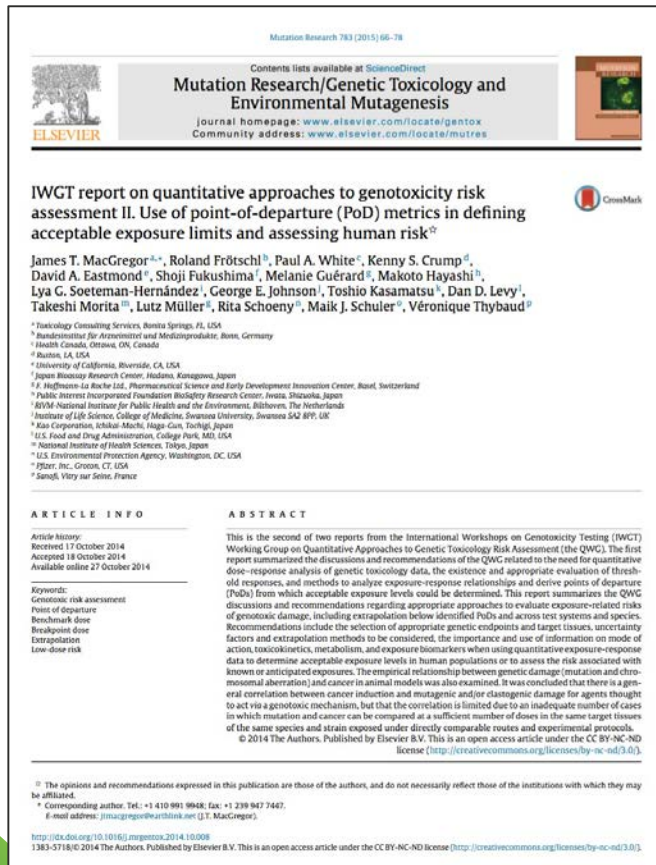
- Ex Vivo Reduction Studies: Companion papers published in summer 2016
  - *Kirman et al. (2016): ex vivo reduction SIDMS data and modeling*
  - *De Flora et al. (2016): ex vivo reduction colorimetric data*
- Updated PBPK Model:
  - *In prep, submit 2016*
  - *Will supersede Kirman et al. (2012, 2013)*
- PBPK Application/Risk Assessment:
  - *In prep, submit 2016*
  - *Will supersede Thompson et al. (2014)*

# Questions on PK?

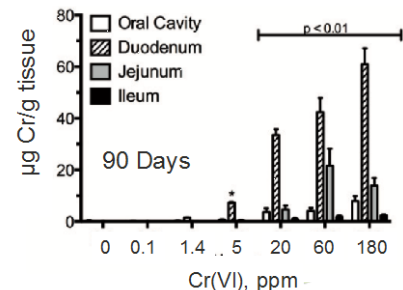


# Genotoxicity

# IWGT Recommendations for *In Vivo* Genotoxicity Assays



- Ideally conducted in a proliferative tissue
  - Bone marrow (hematopoietic)
  - Colon
  - Stomach
  - **Small intestine (duodenum)**
- Ideally at site of carcinogenic action
  - **GI tract for Cr(VI)**
- Ideally in tissue with high dosimetry (e.g. site of contact)
  - Stomach
  - Liver
  - **Duodenum for Cr(VI)**



# *In Vivo* Blood and Bone Marrow Micronucleus (MN) Data for Cr(VI)

## NTP (2007) 90-day GLP Studies

B6C3F1,  $\leq 88$  ppm dw, M, (-)

B6C3F1,  $\leq 350$  ppm dw, M (-)

B6C3F1,  $\leq 350$  ppm dw, F (-)

BALB/c,  $\leq 88$  ppm dw, M, (-)

*Am3*-C57BL/6,  $\leq 88$  ppm dw, M, (+)

dw, drinking water

## De Flora et al. (2006) Mut Res

BDF1, 20 ppm dw, 20 days, M, (-)

BDF1, 500 ppm dw, 7 mo, M, (-)

BDF1, 500 ppm dw, 7 mo, F, (-)

BDF1, 50 mg/kg gavage, 24 hr, M, (-)

Swiss albino (preg), 20 ppm dw, 17 days, (-)

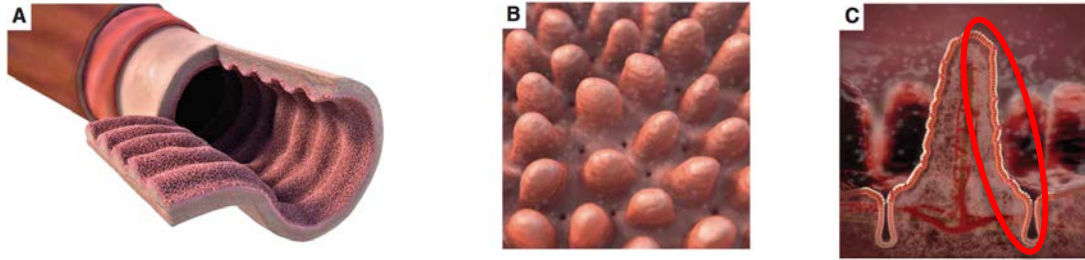
- Fetal PCE (liver, blood), (-)

BDF1, 50 mg/kg i.p., 24 hr, M, (+)

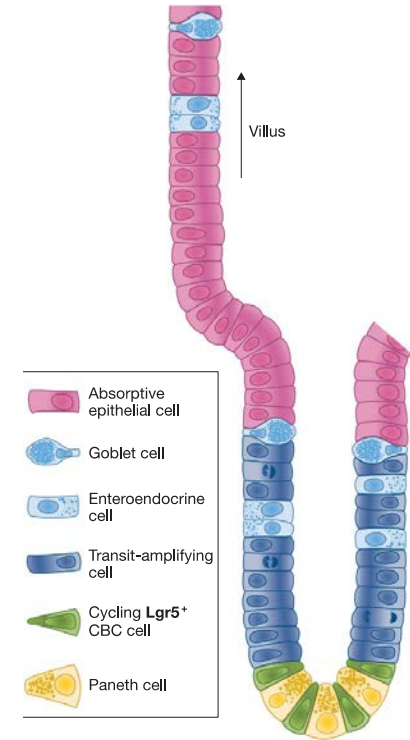
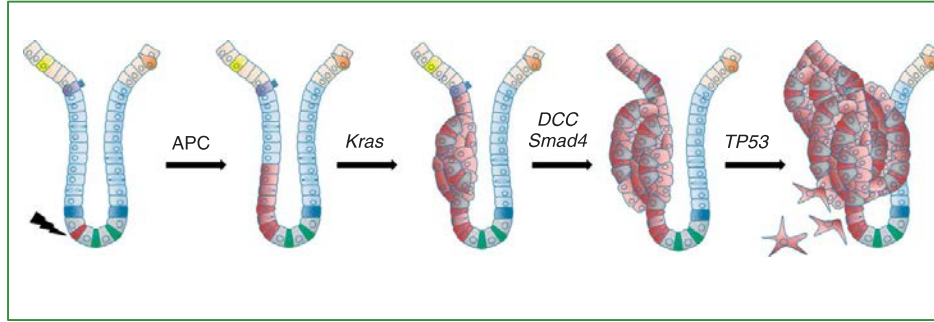
Swiss albino (preg), 50 mg/kg i.p., 24 hr, (+)

- Fetal PCE (liver, blood), (+)

# Small Intestine Structure, Biology, & Carcinogenesis



## Model of Intestinal Cancer Initiation & Progression



Sources: Schuijers & Clevers (2012) EMBO J. 31, 2685.  
Rizk & Barker (2012) WIREs Syst Biol Med. 4, 475.

# *In Vivo* Duodenal Micronucleus Assay (7-day Studies)

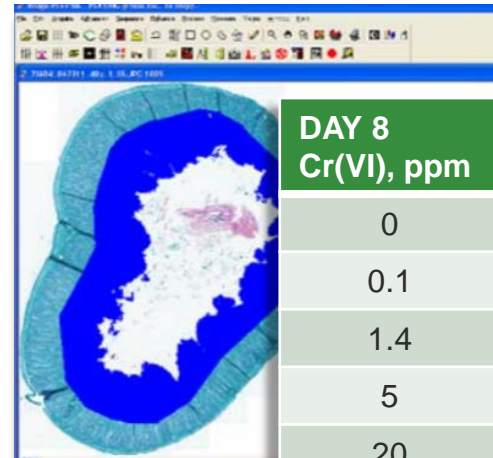
## MN Study (Swiss Roll)



DAY 8 Cr(VI), ppm	Enterocytes	Crypts	Cells/Crypt	M N	K N
0	6694	171	39.3	4	0
1.4	3159	77	41.0	1	0
21	3946	76	<b>51.9</b>	1	1
180	5161	77	<b>67.1</b>	0	0
Cyclophos.	3447	87	39.3	<b>30</b>	<b>5</b>

Thompson et al. (2015) Mut Res 789-790, 61-66.

## MOA Study (Transverse)



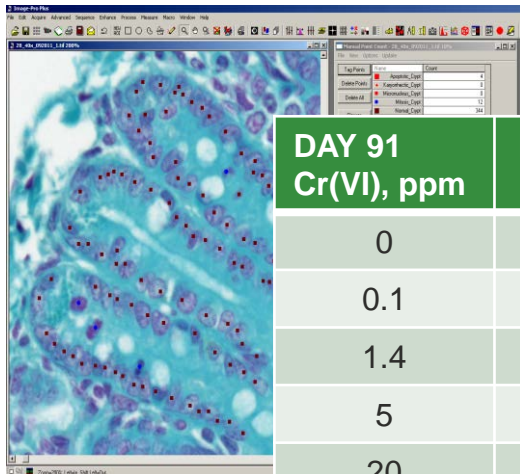
DAY 8 Cr(VI), ppm	Crypt MN, KN	Villi MN, KN
0	1, 0	1, 0
0.1	0, 0	3, 0
1.4	0, 0	5, 0
5	0, 0	2, 0
20	0, 0	1, 2
60	0, 0	6, <b>3</b>
180	0, 1	<b>11, 9</b>

O'Brien et al. (2013) Mut Res



# *In Vivo* Duodenal Micronucleus Assay (90-day Study)

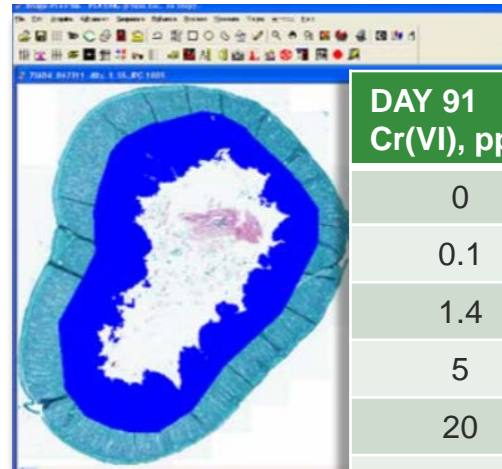
## Intact Crypts



DAY 91 Cr(VI), ppm	Enterocytes	MN, KN
0	1921	0, 0
0.1	1707	0, 4*
1.4	1825	0, 0
5	1420	0, 0
20	2386	0, 0
60	2746	0, 0
180	3194	0, 0
O'Brien et al. (2013) Mut Res		

\*3 observed in one animal

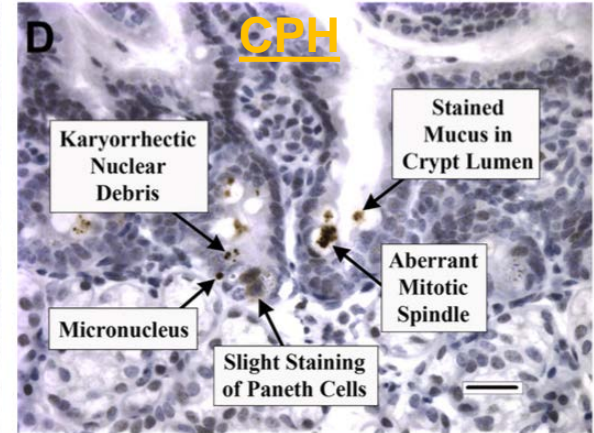
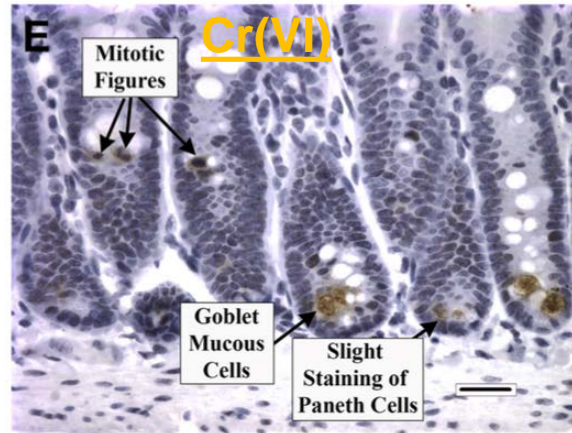
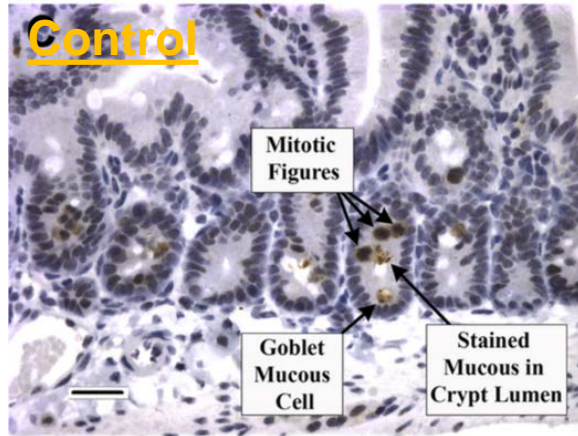
## Full Sections



DAY 91 Cr(VI), ppm	Crypts MN, KN	Villi MN, KN
0	2, 0	1, 0
0.1	2, 1	1, 1
1.4	1, 0	2, 0
5	1, 0	0, 0
20	0, 1	2, <b>5</b>
60	0, 1	<b>9, 6</b>
180	0, 0	<b>9, 25</b>
O'Brien et al. (2013) Mut Res		

Note: bolded values are statistically significant

# $\gamma$ -H2AX Immunostaining in 7-day MN Study (Swiss Roll Sections)

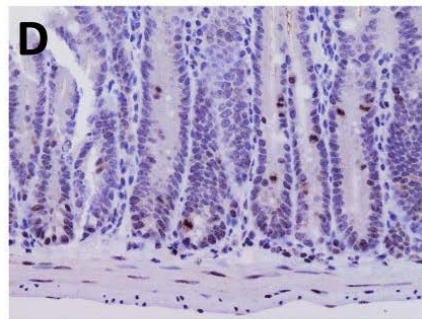
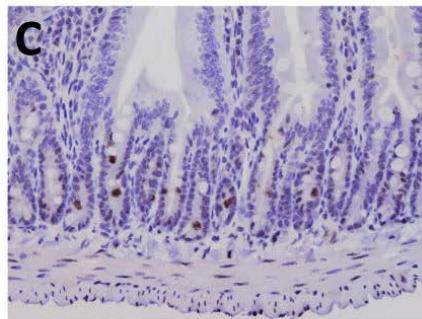
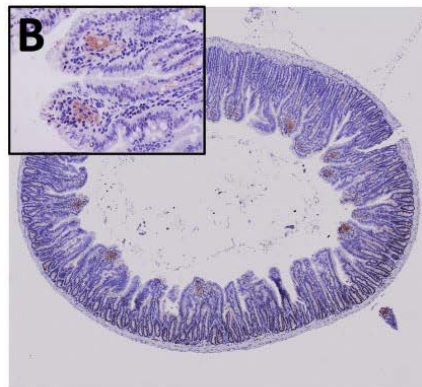
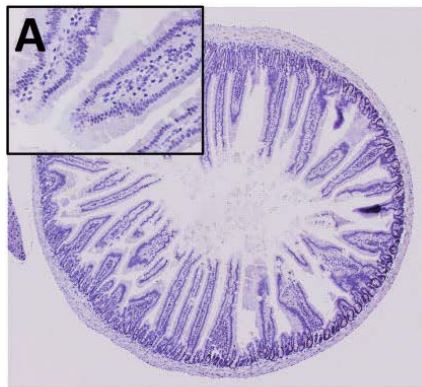


$\gamma$ -H2AX staining provides a 'second look' for aberrant nuclei.

# $\gamma$ -H2AX Immunostaining in 90-day Study

Control

180 ppm



$\gamma$ -H2AX  
reactivity  
present in villi of  
treated mice

$\gamma$ -H2AX  
reactivity similar  
in crypts of all  
mice

# *In Vivo* K-ras Codon 12 Mutations (90-day Exposure)

No mutation data from NTP tumor tissue

K-ras selected b/c implicated in intestinal carcinogenesis

Mutations often occur in codon 12

- GGT → GAT: spontaneous mutation; sometimes elevated with other K-ras mutations
- K-ras<sup>G12D</sup> can increase proliferation in mouse intestine

Sensitive ACB-PCR assay

- B6C3F1 mice exposed to Cr(VI) for 90 days
- Codon 12 GAT mutations measured in scraped duodenal mucosa

# *In Vivo* K-ras Codon 12 Mutations (90-day Exposure)

No mutation data from NTP tumor tissue

K-ras selected b/c implicated in

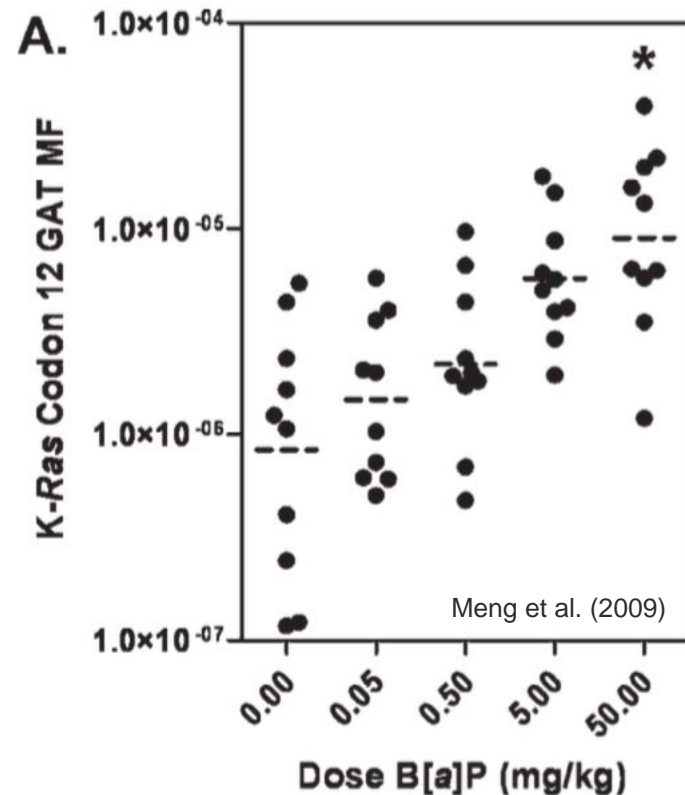
Example with B[a]P. Note trend and sig. increase in MF at highest dose.

- K-ras<sup>G12D</sup> can increase proliferation in mouse intestine

## Sensitive ACB-PCR assay

- B6C3F1 mice exposed to Cr(VI) for 90 days
- Codon 12 GAT mutations measured in scraped duodenal mucosa

mes





# *In Vivo* K-ras Codon 12 Mutations (90-day Exposure)

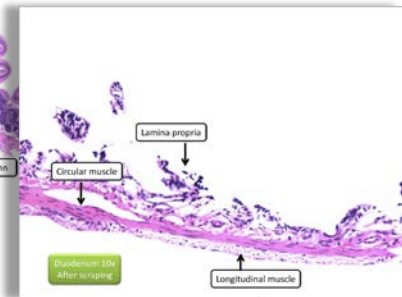
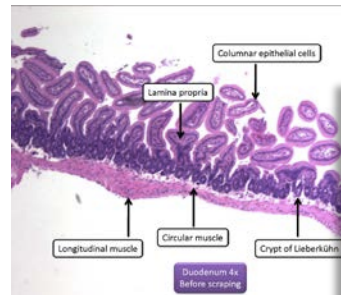
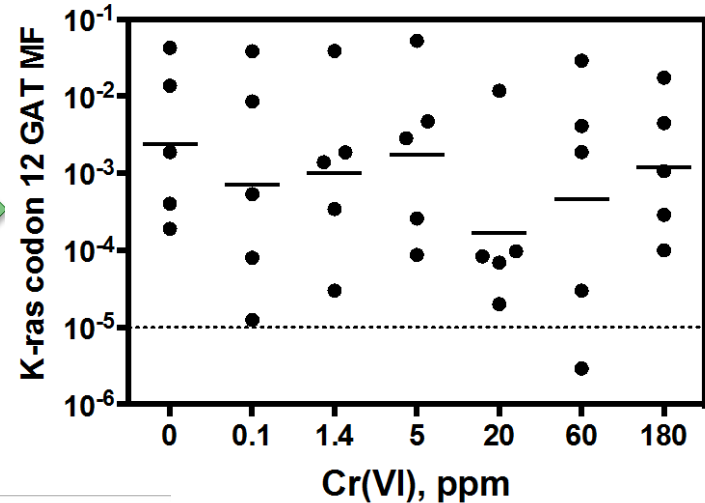
No mutations in K-ras  
tissue  
K-ras  
intest  
Mutat

Despite high MF, small intestine tumors rare among NTP studies.

- GGT → GAT: spontaneous mutation; sometimes elevated with other K-ras mutations
- K-ras<sup>G12D</sup> can increase proliferation in mouse intestine

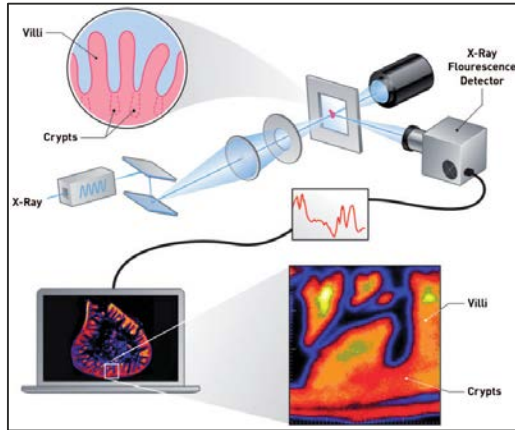
## Sensitive ACB-PCR assay

- B6C3F1 mice exposed to Cr(VI) for 90 days
- Codon 12 GAT mutations measured in scraped duodenal mucosa

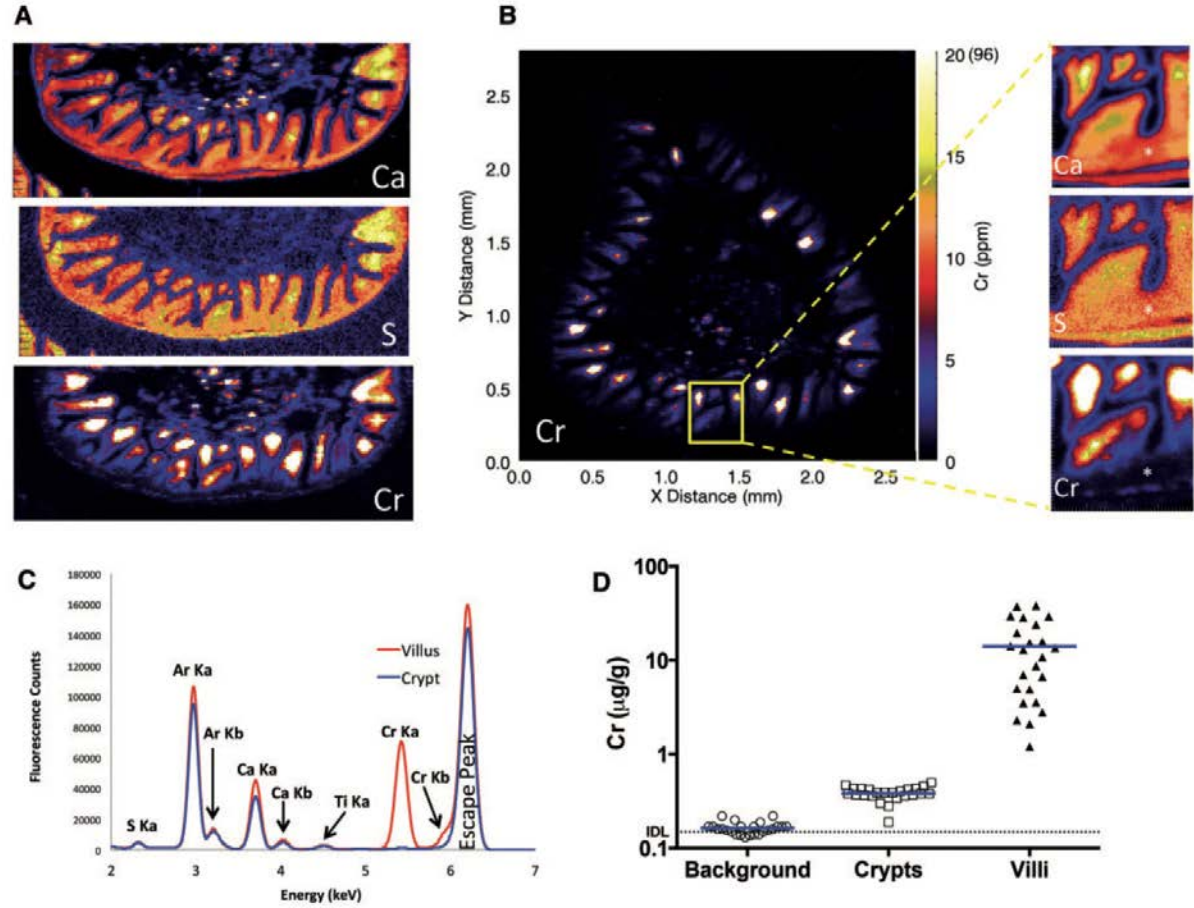


Source: O'Brien et al. (2013) Mut Res. 754, 15.

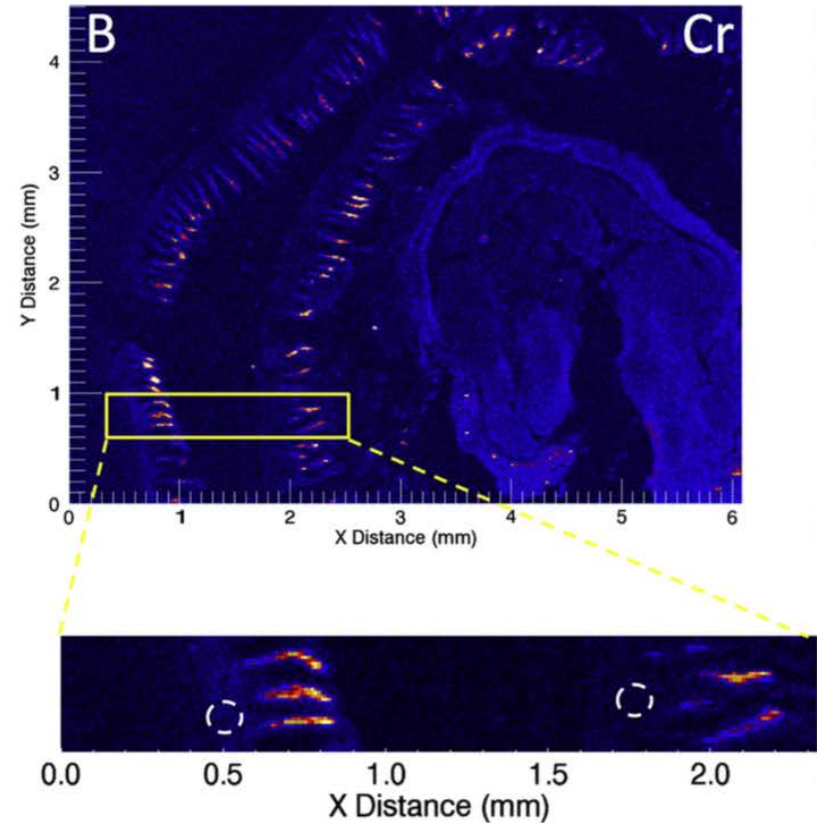
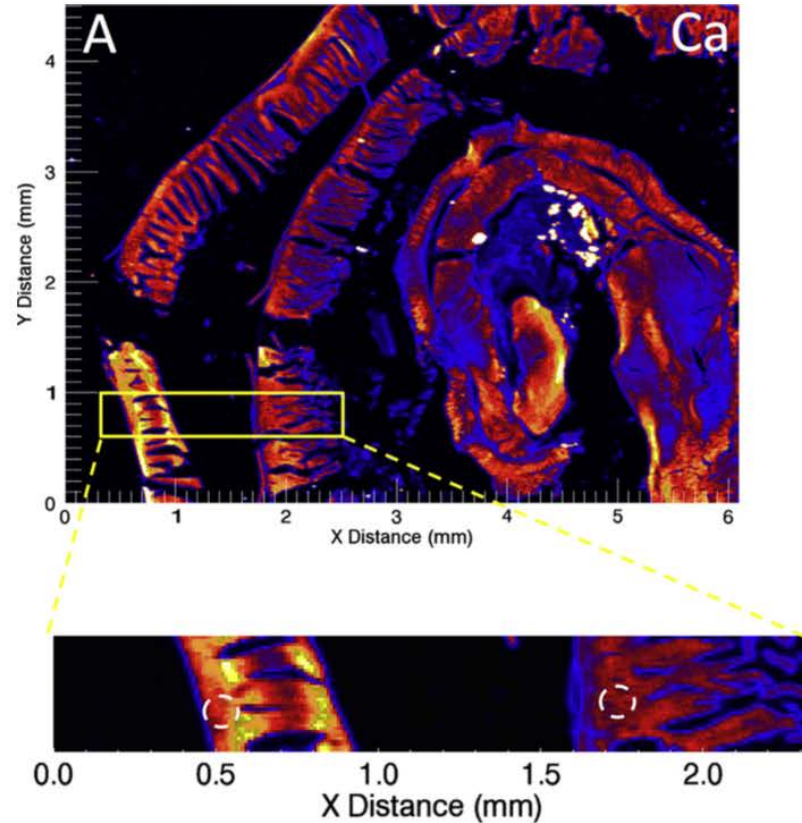
# Cr(VI) Does not Reach Proliferative Crypt Compartment



Source:  
Thompson et al. (2015) Tox Sci 143, 16.



# Cr(VI) Does not Reach Proliferative Crypt Compartment (7-day MN Study)

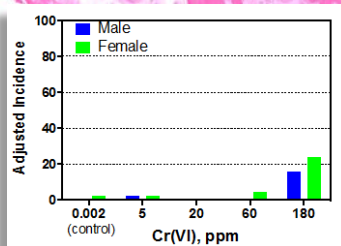
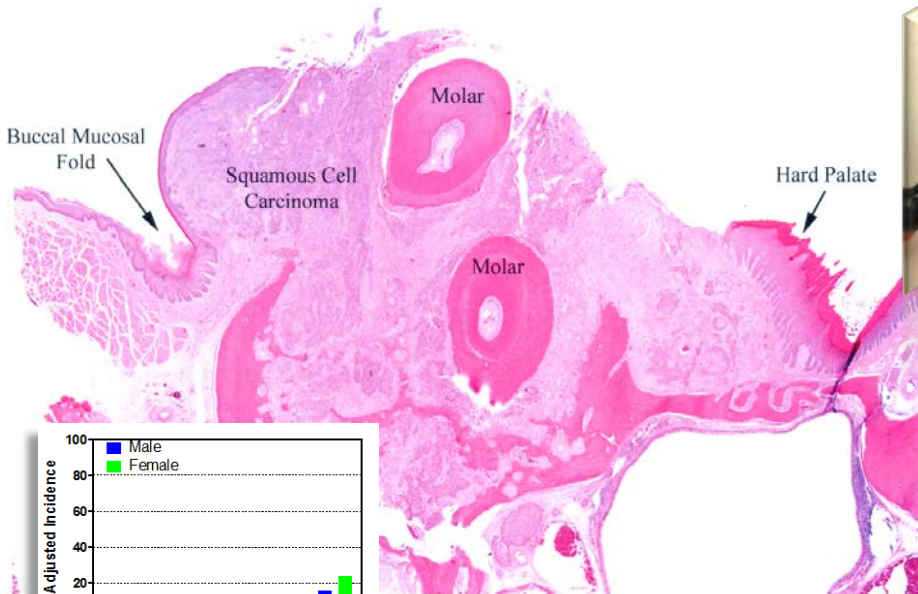


Source: Thompson et al. (2015) Mut Res 789-790, 61.

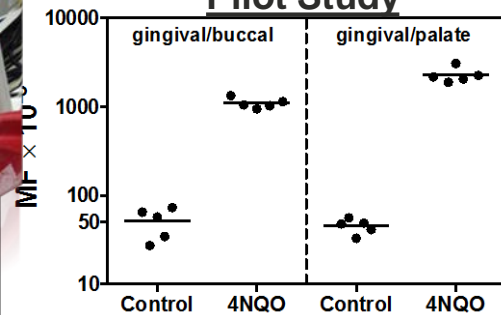


# TGR Mutation Assay in Big Blue® TgF344 Rats

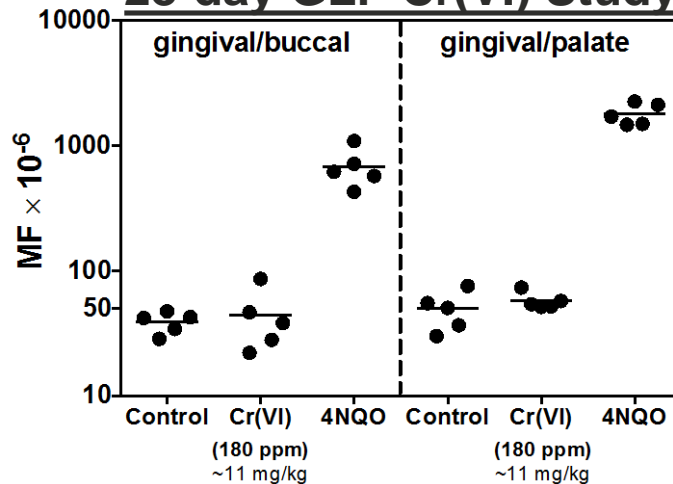
## Oral Tumor Location in F344 Rats



## Pilot Study

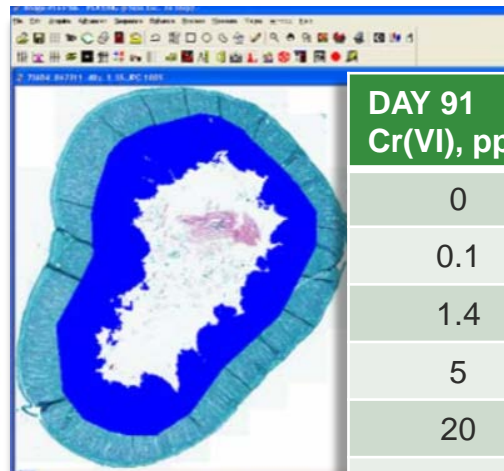
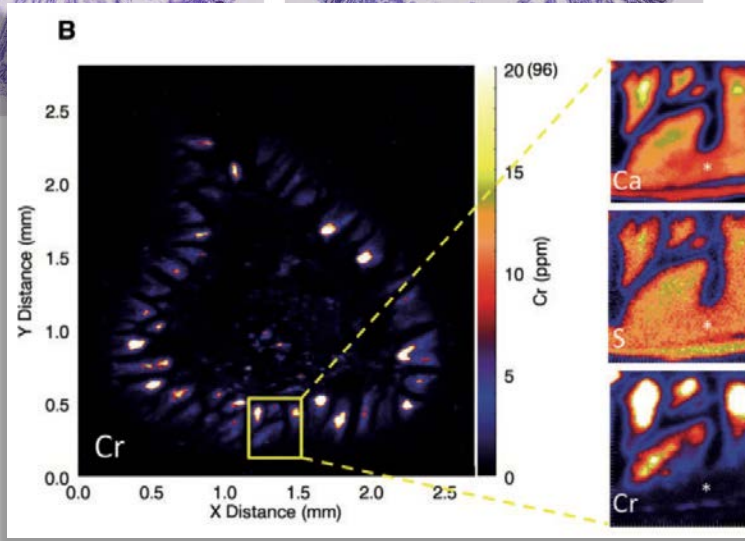
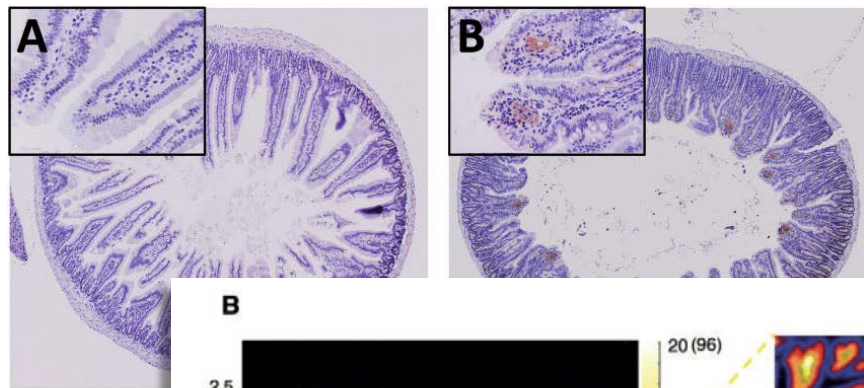


## 28-day GLP Cr(VI) Study



Source: Thompson et al. (2015) EMM 56, 621.

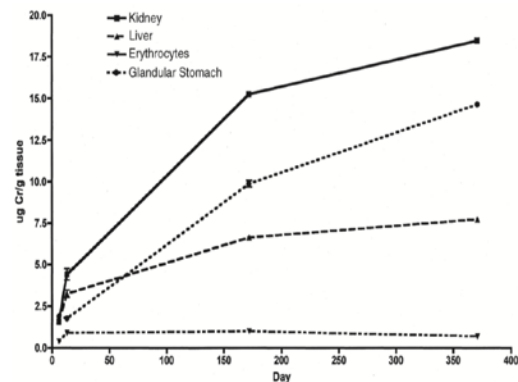
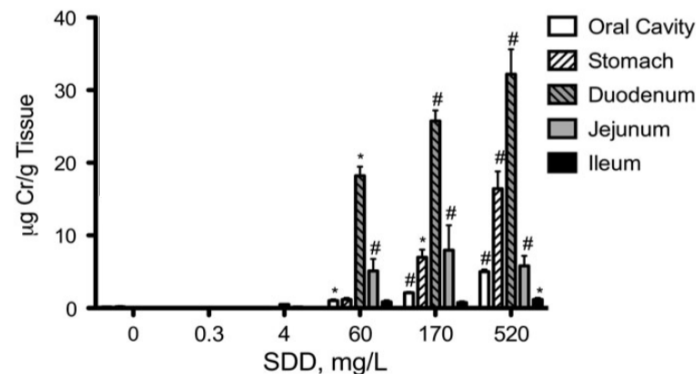
# Lesions Occur in Villus at High Doses



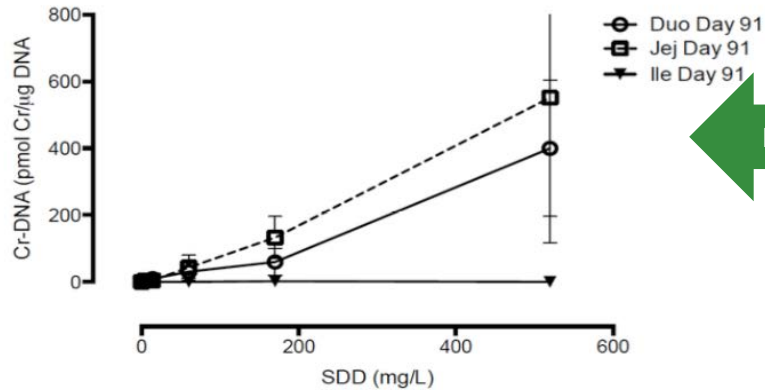
DAY 91 Cr(VI), ppm	Crypts MN, KN	Villi MN, KN
0	2, 0	1, 0
0.1	2, 1	1, 1
1.4	1, 0	2, 0
5	1, 0	0, 0
20	0, 1	2, 5
60	0, 1	9, 6
180	0, 0	9, 25
O'Brien et al. (2013) Mut Res		

# Cr6 is Also Present in Rat Villi

- MOA study data indicate that, like mice, highest Cr levels occur in duodenum
- MOA study data indicate that, like mice, Cr is localized to villi
- NTP 2-yr data indicate that, like mice, rats absorbed Cr (presumably from small intestine)
- NTP 2-yr rats, unlike mice, did not develop duodenal tumors
- Cr in villi (alone) does not appear sufficient to increase tumor risk in rats (same is likely true for mice)

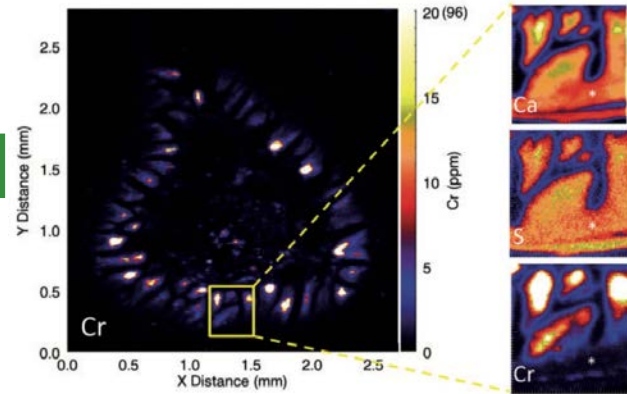


# What About Cr-DNA Binding Data?



Source: O'Brien et al. (2013) Mut Res

Likely from villus cells



Issues with variability, reproducibility, and *ex vivo* Cr-DNA binding

# Genotoxicity Summary

- No increase in blood MN via d.w. (with exception of *Am3* strain)
- No increases in duodenal crypt MN or KN after 7 or 90 days of exposure
- No alteration of  $\gamma$ -H2AX crypt staining after 7 or 90 days of exposure
- No increase in k-ras codon 12 GAT MF after 90 days of exposure (crypt and villus)
- Little or no Cr is detected in intestinal crypts
- **No evidence of genotoxicity in crypt**
- No increase in MF in Big Blue rats after 28 days of exposure
- Increase in villus MN and KN at higher exposure doses
- Increase in villus  $\gamma$ -H2AX staining after 90 days of exposure
- No increase in k-ras codon 12 GAT MF after 90 days of exposure (crypt & villus)
- Cr is localized to duodenal villi of mice *and rats*
- **Cr in villi (alone) does not appear sufficient to cause duodenal tumors**

# Proposed RfDs

## Research Article

Journal of  
Applied Toxicology

Received: 19 October 2013; Revised: 15 May 2013; Accepted: 2 June 2013; Published online in Wiley Online Library: 14 August 2013

(wileyonlinelibrary.com) DOI 10.1002/jat.2927

## A chronic oral reference dose for hexavalent chromium-induced intestinal cancer<sup>†</sup>

Chad M. Thompson<sup>a\*</sup>, Christopher R. Kirman<sup>b</sup>, Deborah M. Proctor<sup>c</sup>, Laurie C. Haws<sup>d</sup>, Mina Suh<sup>e</sup>, Sean M. Hays<sup>e</sup>, J. Gregory Hixon<sup>d</sup> and Mark A. Harris<sup>a</sup>

**ABSTRACT:** High concentrations of hexavalent chromium [Cr(VI)] in drinking water induce villous cytotoxicity and compensatory crypt hyperplasia in the small intestines of mice (but not rats). Lifetime exposure to such cytotoxic concentrations increases intestinal neoplasms in mice, suggesting that the mode of action for Cr(VI)-induced intestinal tumors involves chronic wounding and compensatory cell proliferation of the intestine. Therefore, we developed a chronic oral reference dose (ROD) designed to be protective of intestinal damage and thus intestinal cancer. A physiologically based pharmacokinetic model for chromium in mice was used to estimate the amount of Cr(VI) entering each intestinal tissue section (duodenum, jejunum and ileum) from the lumen per day (normalized to intestinal tissue weight). These internal dose metrics, together with corresponding incidences for diffuse hyperplasia, were used to derive points of departure using benchmark dose modeling and constrained nonlinear regression. Both modeling techniques resulted in similar points of departure, which were subsequently converted to human equivalent doses using a human physiologically based pharmacokinetic model. Applying appropriate uncertainty factors, an ROD of 0.006 mg kg<sup>-1</sup> day<sup>-1</sup> was derived for diffuse hyperplasia—an effect that precedes tumor formation. This ROD is protective of both neoplastic and cancer effects in the small intestine and corresponds to a safe drinking water equivalent level of 210 µg l<sup>-1</sup>. This concentration is higher than the current federal maximum contaminant level for total Cr (100 µg l<sup>-1</sup>) and well above levels of Cr(VI) in US drinking water supplies (typically < 5 µg l<sup>-1</sup>). © 2013 The Authors. *Journal of Applied Toxicology* published by John Wiley & Sons, Ltd.

Supporting Information may be found in the online version of this article.

**Keywords:** risk assessment; hexavalent chromium Cr(VI); mode of action; benchmark dose (BMD) modeling; constrained nonlinear regression; cancer reference dose (ROD); intestinal cancer

## Introduction

Exposure to hexavalent chromium [Cr(VI)] has long been recognized to increase the risk of lung cancer among workers in certain industries (AIC, 1996), as well as in rodents via inhalation or intratracheal instillation (Glaser et al., 1986; Steinhoff et al., 1986). Owing to protective reductive mechanisms, ingestion of Cr(VI) was thought to pose relatively little cancer risk (De Flora et al., 1987; De Flora et al., 1997; Fabel et al., 2001; Proctor et al., 2002). In fact, Cr(VI) has not been shown to cause a significantly increased cancer risk in the alimentary canal of exposed workers (Gatto et al., 2010). However, a recent 2-year cancer bioassay indicated that chronic exposure to Cr(VI), administered as sodium dichromate dihydrate, caused a dose-dependent increase in intestinal damage and intestinal tumor formation in B6C3F1 mice, but not F344 rats (NTP, 2008b). Subchronic bioassays indicated increased intestinal damage in mice after 90 days of exposure, but without evidence of preneoplastic lesions (NTP, 2007; Thompson et al., 2011b). It is well known that chemicals that cause cytotoxicity and subsequently induce cell proliferation in shorter-term assays are often carcinogenic in longer-term bioassays (Ames et al., 1993; Boobis et al., 2009; Cohen, 2010; Gaylor, 2003). Thus, the disparate outcomes observed in mice and rats suggested that the intestinal tumors observed in mice

\*Correspondence to: Chad M. Thompson, ToxStrategies, Inc., 23123 Cinco Ranch Blvd, Suite 220, Katy, TX 77723, USA.  
Email: cthompson@toxstrategies.com

<sup>†</sup>This work was supported by the Cr(VI) Panel of the ACC. ACC had no role in study design, data collection and analysis, decision to publish or preparation of any manuscript. The funders were given the opportunity to review the draft study design as it went through an external peer review process and draft manuscripts at the time of external peer review. The purpose of such review was to allow input on the clarity of the science presented but not in interpretation of the research findings. The research scientists' conclusions and professional judgments were not subject to the funders' control; the contents of this manuscript reflect solely the view of the authors.

<sup>a</sup>ToxStrategies, Inc., Katy, TX 77494, USA

<sup>b</sup>Summit Toxicology, LLP, Orange Village, OH 44022, USA

<sup>c</sup>ToxStrategies, Inc., Rancho Santa Margarita, CA 92688, USA

<sup>d</sup>ToxStrategies, Inc., Austin, TX 78731, USA

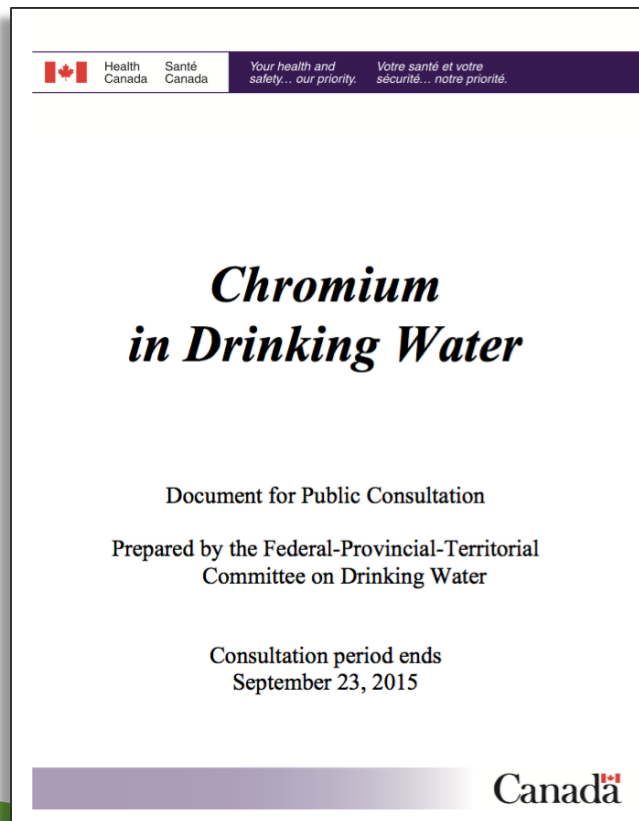
<sup>e</sup>Summit Toxicology, LLP, Allentown, CO 80510, USA

This is an open access article under the terms of the Creative Commons Attribution License, which permits use, distribution and reproduction in any medium, provided the original work is properly cited.

- Rodent PBPK models were used to convert NTP 2-yr study doses into internal Cr(VI) dose metrics
- Dose metrics for the duodenum, jejunum, and ileum of both sexes were combined to create a single robust dose-response curve
- Tumor or hyperplasia incidence were modeled using EPA's BMD5 software
- BMDL values based on internal doses were derived and a 3-fold interspecies UF applied to account for possible differences in pharmacodynamics
- Human PBPK model was used to predict human exposure that results in internal dose equivalent to BMDL
- Applied a 10-fold human variability factor
- RfD = 0.006 mg/kg; DWEL of 210 ppb



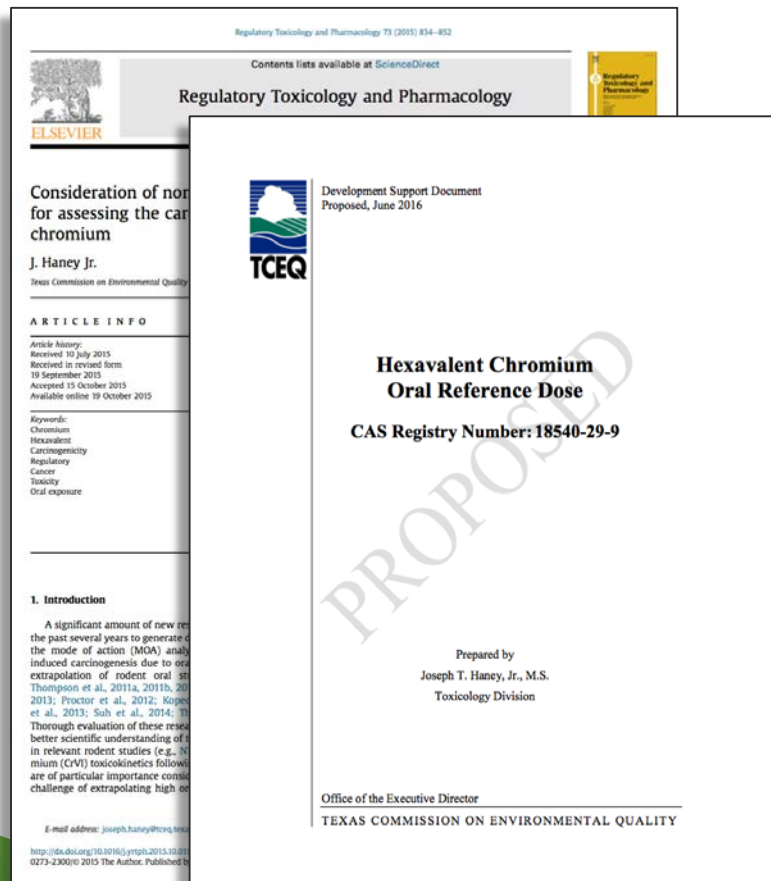
# Health Canada (2015)



- Similar approach as Thompson et al. (2014)
- Human PBPK model was used to predict human exposure that results in internal dose equivalent to BMDL (different assumptions than used in Thompsons et al.)
- Applied 25-fold UF (2.5 interspecies; 10 intraspecies)
- TDI = 0.0044 mg/kg; DWEL of 100 ppb (after 50% contribution adjustment)



# TCEQ (2015)



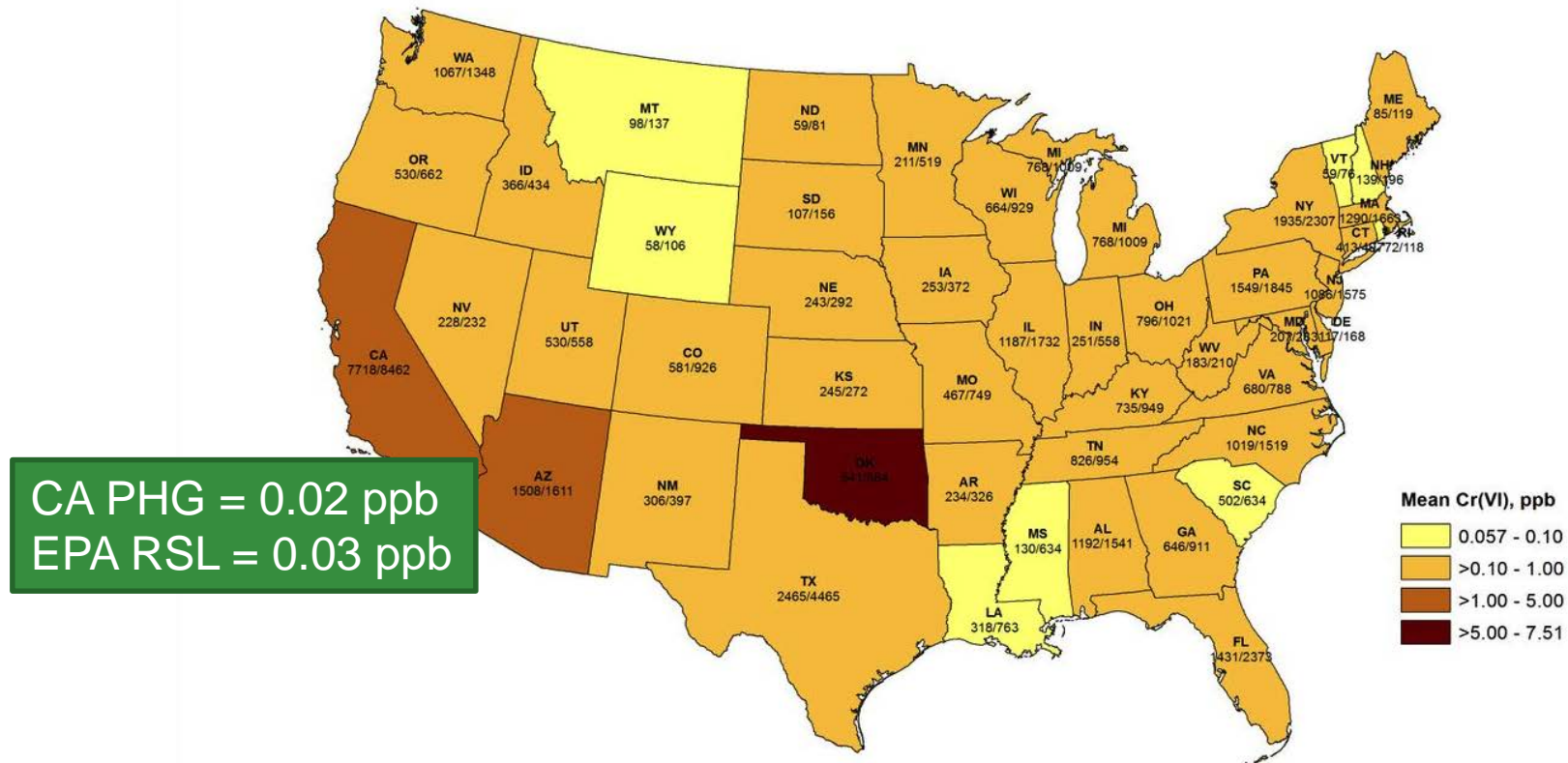
- TCEQ value based on analysis published in Haney (2015)
- Modeled NTP hyperplasia data using 13-wk duodenal Cr levels from MOA research study (Kirman et al. 2012)
- Also modeled the relationship between duodenal levels and mg/kg bw dose
- Then converted the BMDL based on duodenal Cr levels to a mg/kg bw dose
- Applied 100-fold UF (10 interspecies; 10 intraspecies)
- RfD = 0.003 mg/kg; DWEL of 100 ppb  $\cong$  MCL

# Summary of RfD Values Protective of Cancer

Source	RfD or TDI (mg/kg-day)	Drinking Water (ppb)	Data Used
Thompson et al. (2014)	0.006	210 (proposed keep MCL)	NTP data PBPK models MOA research
Heath Canada (2015)	0.0044	100 (increased from 50)	NTP data PBPK models MOA research
Haney (2015), TCEQ (2015)	0.003	$\cong$ MCL	NTP data PK data MOA research

# Cr(VI) Levels in Drinking Water

# Average Cr(VI) Levels in U.S. (UCMR3, June 2015)



# of detects/# of samples